# **Caption Generation for Images: PicInfo**

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## **Abstract**

Image captioning involves generating human-3 readable descriptions or sentences that accurately depict 4 the content of an image. In this study, we propose an 5 image caption generation utilizing two NLP techniques Search(argmax) and Beam 7 Convolutional Neural Networks (CNN), specifically the 8 InceptionV3 model is used for image feature extraction. 9 The combination of CV and NLP techniques are applied 10 to a Facebook public multimodal dataset (PMD) 11 comprising 566,747 training images and 25,010 test 12 images. From this dataset, 16,000 training images are 13 utilized for feature extraction and training the Natural 14 Language Processing (NLP) model, while 1,600 test 15 images are employed for feature extraction and testing 16 the NLP model. The image caption generation 17 techniques (Greedy Search and Beam Search) are 18 compared based on BLEU (Bilingual Evaluation 19 Understudy), ROUGE-L and METEOR score. Through 20 comprehensive experimentation and evaluation, this 21 study aims to provide insights into the comparative 22 performance of different caption generation approaches.

## 23 1 Introduction

Image captioning, also known as photo captioning, refers to the automated process of generating textual descriptions for an image. This innovative technique encapsulates the content of an image in textual form, enabling a deeper understanding and interpretation of visual data. Leveraging a combination of natural language processing techniques such as Greedy Search and Beam Search, alongside computer vision model like InceptionV3 for feature extraction, image captioning algorithms strive to accurately depict the visual content of images through descriptive text.

The task of image captioning holds significant importance across various domains due to its wide range of applications. In intelligent transportation, image captions can aid in analyzing traffic patterns, identifying road hazards, and enhancing navigation

42 systems. In network image analysis, captions can
43 facilitate the understanding of complex visual data
44 for cybersecurity purposes. Moreover, in the
45 medical field, image captions can provide guidance
46 to practitioners during diagnosis, treatment
47 planning, and medical research. Additionally,
48 image captions play a crucial role in assisting
49 visually impaired individuals by providing them
50 with a textual description of their surroundings,
51 thus enabling greater independence and access to
52 visual information. Overall, image captioning has
53 far-reaching implications in improving safety,
54 accessibility, and efficiency across various sectors
55 of society.

## 56 2 Related Work

The paper [1] proposes a model built upon the 58 encoder-decoder framework, introducing 59 enhancements in both feature extraction and 60 decoding processes. Specifically, the encoder 61 utilizes a ResNest network architecture 62 augmented with Squeeze-and-Excitation a 63 module to extract more informative image 64 features. The decoder incorporates a two-layer 65 long short-term memory (LSTM) architecture with multi-head attention mechanisms for 67 improved understanding of feature relationships 68 and generation of accurate text descriptions. 69 Based on the findings of this study, the ResNest 70 network architecture utilized in the proposed 71 model demands significant computational 72 resources. Considering resource constraints, we 73 opted to integrate InceptionV3. This decision was 74 motivated by the renowned capability of 75 InceptionV3 to balance computational power 76 while still effectively extracting informative 77 image features, aligning with our project's 78 objectives and constraints.

This paper [2] introduces a novel approach to image captioning, employing an LSTM-based language model alongside a pre-trained CNN and

82 semantic keywords extraction module for feature 131 3.2 Dataset Preprocessing methodology 83 extraction. This significantly 84 enhances caption efficiency by accurately 132 90 with their names. Evaluation metrics such as 91 BLEU and METEOR scores are utilized to assess 92 caption precision. Building upon these findings, 93 our study proposes the integration of GRU in the 94 decoder architecture to address the complexities 95 associated with LSTM architecture and the 96 vanishing gradient problem inherent in RNNs.

The proposed model [3] leverages 98 combination of convolutional neural networks 146 processes. 99 (CNNs), specifically InceptionV3, for feature 147 100 extraction, and recurrent neural networks (RNNs), 148 3.3 Feature Extraction 101 particularly the GRU architecture, for generating 102 text from these features using Greedy search 149 103 (argmax). Additionally, the model incorporates an 150 the Inception V3 model, selected due to its 105 improve contextual relevance. Evaluation of the 152 Given the constraints posed by limited resources, model is conducted on the MSCOCO database, 153 the pretrained InceptionV3 was employed for demonstrating its efficacy in generating natural 108 language descriptions of images. However, it is worth noting that the evaluation of the model on 110 the MSCOCO database lacks the utilization of metrics such as BLEU score to assess the quality of generated captions. Additionally, the study 113 does not compare the performance of the 114 proposed Greedy search approach with other text 115 generation techniques, such as Beam search. 116 Incorporating these evaluation metrics and 117 comparative analyses could provide a more 118 comprehensive assessment of the 119 effectiveness and contribute to further insights 120 into image captioning methodologies.

#### Methodology 121 3

### 122 3.1 Work Expectations

In this study, we compare the two NLP 124 techniques that is Greedy Search and Beam Search and expect that Beam Search will outperform the 126 Greedy Search as Beam Search offers more diverse and higher-quality results, because it selects top-k 175 3.5 Model Architecture 128 predictions iteratively, and ultimately selects the 129 caption with the highest probability based on the 130 model's output.

The Public Multimodal Dataset (PMD) 85 describing objects and integrating semantic 133 utilized in our study comprises publicly available 86 labels. Moreover, a facial recognition system is 134 image-text pair datasets, with a subset derived from 87 integrated to identify and recognize celebrity 135 COCO containing 566,747 training images and 88 faces, enabling the generation of personalized 136 25,010 test images. From this subset, 16,000 89 captions by replacing instances of individuals 137 training images and 1,600 test images were 138 selected for analysis. Preprocessing of the dataset 139 involved downloading images using the Python 140 library urllib from the provided image URLs. To standardize the input for the InceptionV3 model, 142 which accepts images of size 299 x 299, the downloaded images were resized accordingly. This 144 preprocessing step ensured consistency in input a 145 dimensions for subsequent feature extraction

Feature extraction was conducted utilizing attention mechanism during caption generation to 151 efficiency in computation and resource utilization. 154 feature extraction. This choice was driven by its ability to extract informative features from images 156 while demanding less computational power, thus ensuring optimal performance within the available 158 resource constraints.

## 160 3.4 Tokenization

Tokenization of the training and test image 162 captions was accomplished using the TensorFlow 163 tokenizer, a robust tool for text preprocessing. This 164 process involved converting the raw textual data 165 into a sequence of tokens, allowing for efficient 166 handling and analysis of the caption texts. 167 Following tokenization, two mappings were 168 created: id2word, which maps token indices to 169 corresponding words, and word2id, 170 performs the reverse mapping. These mappings 171 facilitated the conversion between token indices and their corresponding words, enabling seamless integration of textual data into subsequent stages of 174 the image captioning pipeline.

In our model architecture, the encoder employs a CNN architecture with a Dense layer as 178 a fully connected network, complemented by a 179 Rectified Linear Unit (RELU) activation function.

180 This configuration efficiently extracts features 206 maximum probability from the predicted vector 181 from the features extracted by Inception V3. 207 until either the "<end>" token is encountered or the Transitioning to the decoder, we integrate a 208 maximum caption length is reached. Conversely, in mechanism, 183 Bahdanau attention 184 alignment of relevant image regions with 210 candidate captions (with a beam width of 3), 185 corresponding words in the caption. This attention 211 refines them by iteratively selecting the top-k 186 mechanism enhances the model's ability to 212 predictions, and ultimately selects the caption with 187 generate accurate and contextually relevant 213 the highest probability based on the model's output. 188 descriptions. The decoder further incorporates an 214 From the output obtained image caption generation 189 embedding layer to process decoder inputs, 215 process continues until either the "<end>" token is 190 propagating generated embeddings to a GRU layer. 216 encountered or the maximum caption length is 191 The output from the GRU layer is then passed 217 reached. 192 through a fully connected dense layer, with input 218 corresponding to the GRU units. 194 Subsequently, a second fully connected dense 219 3.7 195 network, with the vocabulary size as input, 220 generates the predicted caption. This process 221 captioning model, we employed three key metrics: 197 iterates recursively, with the predicted caption 222 BLEU score, METEOR score, and ROUGE\_L. 198 serving as input to the decoder until the highest 223 These metrics provided quantitative measures to probable sentence is generated. Figure 1 depicts the 224 assess the quality and similarity of generated 200 above architecture.

## 201 3.6 Image Caption Generation

203 employs two distinct methods: Greedy Search and 230 assessment, we obtained a comprehensive 204 Beam Search. In Greedy Search, the caption is 231 understanding of our model's performance, predicted by iteratively selecting the token with the 232 ensuring robust evaluation and validation of the

facilitating 209 Beam Search, the model generates multiple

### **Evaluation**

In evaluating the performance of our image 225 captions to reference captions. Additionally, 226 manual inspection was conducted to qualitatively 227 evaluate the captions, focusing 228 resemblance to human-generated descriptions. By For image caption generation, our model 229 combining quantitative metrics with qualitative

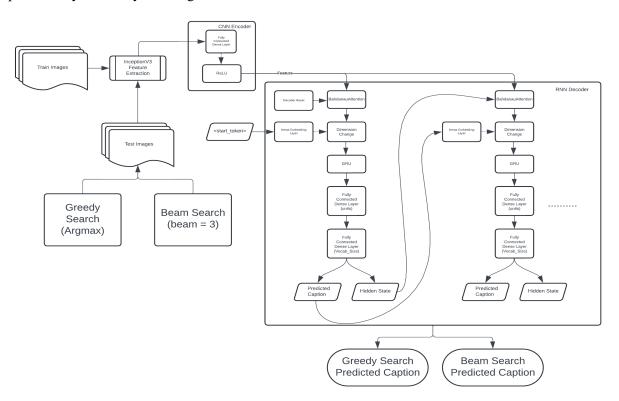


Figure 1: Model Architecture

233 generated captions in terms of both linguistic 234 accuracy and semantic coherence.

## **Experiments**

### **Quantitative Results**

The model was trained over 10 epochs, 238 converging to a final loss of 0.007312, as illustrated 239 in Figure 2. Employing the PMD COCO dataset, 240 encompassing 16,000 training images, and 1,600 test images, image captioning was executed using Greedy Search and Beam 243 methodologies. Evaluation metrics such as BLEU 244 score, METEOR score, and ROUGE L were 245 utilized to gauge the efficacy of these NLP 246 techniques. The findings, outlined in Table 1, <sup>247</sup> underscore the proficiency of the NLP techniques 248 in generating image captions, elucidating their 249 performance across diverse evaluation criteria.

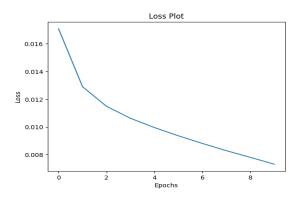


Figure 2: Epoch v/s Loss Plot

Technique	BLEU	METEOR	ROUGE_L
GREEDY	0.068	0.288	0.857
ARGMAX			
BEAM	0.053	0.236	0.777
SEARCH			

Table 1: Evaluation Metric

### 250 4.2 Qualitative Results

In Figure 3, an illustration from the PMD 255 identifies the scene as "a man riding a skateboard 257 skateboard down a street." This exemplifies a 289 model's overall performance and generalizability. limitation of Beam Search, indicating its tendency 259 to produce sentences that may lack human-like 260 fluency and context.



Figure 3: Real Caption: A young man riding a skateboard down a street.

## 262 4.3 Findings

For the evaluation conducted on the 1,600 264 test images, Greedy Search exhibited a notably 265 faster processing time, completing caption 266 generation within 5 minutes, compared to Beam 267 Search which took 65 minutes. In terms of 268 evaluation metrics, Greedy Search yielded a BLEU 269 score of 0.068, METEOR score of 0.288, and 270 ROUGE L score of 0.857. In contrast, Beam 271 Search obtained a lower BLEU score of 0.053, 272 METEOR score of 0.236, and ROUGE L score of 273 0.777. These results underscore the trade-off 274 between computational efficiency and caption 275 quality, with Greedy Search demonstrating 276 relatively better performance across all metrics 277 compared to Beam Search.

## 4.4 Limitations

It's worth noting that our study has certain 280 limitations that should be acknowledged. Firstly, 281 we restricted the dataset to a subset consisting of 282 16,000 train images and 1,600 test images from the 283 larger dataset comprising 566,747 train images and dataset is presented. Here, a comparative analysis 284 25,010 test images. Additionally, to mitigate between Greedy Search and Beam Search is 285 computational demands, we limited the training depicted. While Greedy Search accurately 286 epochs to 10 and constrained the Beam search <sup>287</sup> width to 3. While these measures were necessary 256 down a road," Beam Search predicts it as "a 288 for practical reasons, they may have impacted the

## 290 5 Conclusion

In conclusion, our study reveals that Greedy 292 Search (Argmax) outperformed Beam Search in 293 generating image captions, exhibiting both faster 294 processing times and more human-like outputs. While Beam Search theoretically offers the 296 potential for more diverse and higher-quality 297 results, our findings suggest that Greedy Search 298 yielded superior outcomes in this context. This 299 unexpected result may be attributed to various 300 factors, including training the model on a smaller 301 dataset, limiting epochs to 10 due to resource 302 constraints, and employing a lower beam width of 303 3. Moving forward, further investigation is 304 warranted to better understand the interplay 305 between search algorithms, dataset size, and 306 training parameters in image captioning tasks.

### o7 6 Future Work

In future work, we aim to enhance the 309 performance of our image captioning model by 310 exploring several avenues. Firstly, training the model on a larger dataset could lead to improved 312 generalization and reduced overfitting, thereby 313 enhancing the model's ability to generate accurate and diverse captions. Additionally, increasing the 315 number of epochs beyond the current limitation of 316 10 would allow for more comprehensive learning, 317 potentially resulting in further improvements in 318 model performance. Moreover, we plan to experiment with higher beam width values, such as <sup>320</sup> 7 or 10, in Beam search to explore its impact on 321 caption quality and diversity. By systematically 322 investigating these avenues, we anticipate gaining 323 deeper insights into the factors influencing image captioning model performance and refining our approach to achieve even better results.

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