# Syntax -Parsing I

Pawan Goyal

CSE, IIT Kharagpur

Week 5: Lecture 2

#### Grammar Rewrite Rules

 $S \rightarrow NP VP$  $Det \rightarrow that \mid this \mid a \mid the$  $S \rightarrow Aux NP VP$ 

 $\mathsf{Noun} \to \mathit{book} \mid \mathit{flight} \mid \mathit{meal} \mid \mathit{man}$ 

 $S \rightarrow VP$  $Verb \rightarrow book \mid include \mid read$ 

 $Aux \rightarrow does$ 

 $NP \rightarrow Det NOM$ NOM → Noun

NOM → Noun NOM

 $VP \rightarrow Verb$ 

 $VP \rightarrow Verb NP$ 

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 $\mathsf{NOM} \to \mathsf{Noun}$ 

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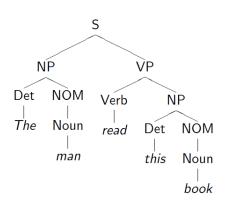
- $\rightarrow$  Det NOM VP
- $\rightarrow$  *The* NOM VP
- $\rightarrow$  *The* Noun VP
- $\rightarrow$  The man VP
- $\rightarrow$  *The man* Verb NP
- $\rightarrow$  The man read NP
- ightarrow The man read Det NOM
- ightarrow The man read this NOM
- → The man read this Noun
- → The man read this book

#### Parse Tree

- $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$
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#### What are the constraints? "book that flight"

- There must be three leaves, book, that and flight
- The tree must have one root, the start symbol S
- Give rise to two search strategies: top-down (goal-oriented) and bottom-up (data-directed)

#### **Parsing**

#### Grammar

 $S \rightarrow NP VP$ 

 $S \rightarrow Aux NP VP$ 

 $S \to VP$ 

 $NP \rightarrow Pronoun$ 

NP → Proper-Noun

 $NP \rightarrow Det Nominal$ 

Nominal → Noun

 $Nominal \rightarrow Nominal\ Noun$ 

 $Nominal \rightarrow Nominal \ PP$ 

 $VP \rightarrow Verb$ 

 $VP \rightarrow Verb NP$ 

 $VP \rightarrow VP PP$ 

 $PP \rightarrow Prep NP$ 

#### Lexicon

Det  $\rightarrow$  the | a | that | this

 $Noun \rightarrow book \mid flight \mid meal \mid money$ 

 $Verb \rightarrow book \mid include \mid prefer$ 

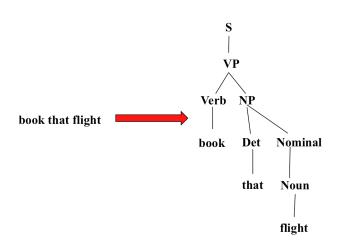
Pronoun  $\rightarrow$  I | he | she | me

 $Proper-Noun \rightarrow Houston \mid NWA$ 

 $Aux \rightarrow does$ 

Prep  $\rightarrow$  from | to | on | near | through

#### **Parsing**



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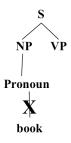
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- Find all trees that can start with S, by looking at the grammar rules with S
  on the left-hand side
- Trees are grown downward until they eventually reach the POS categories at the bottom
- Trees whose leaves fail to match the words in the input can be rejected

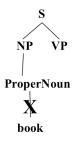
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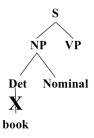


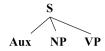


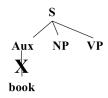
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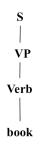


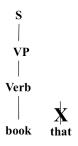










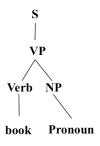


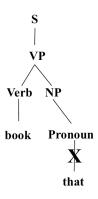


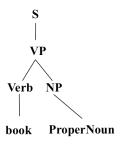
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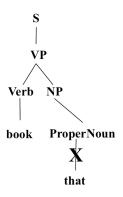


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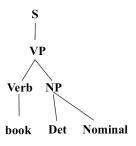


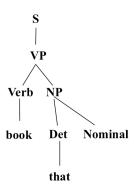


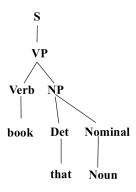


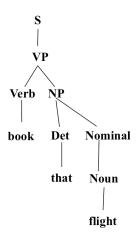


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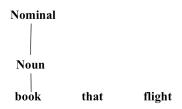


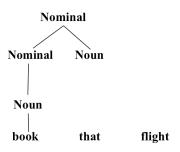
- The parser starts with the words of the input, and tries to build trees from the words up, by applying rules from the grammar one at a time
- Parser looks for the places in the parse-in-progress where the right-hand-side of some rule might fit.

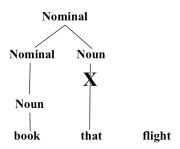
book that flight

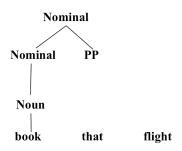
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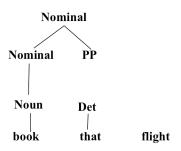


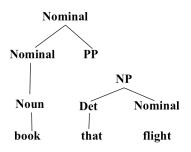


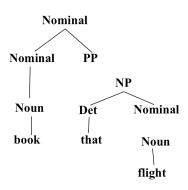


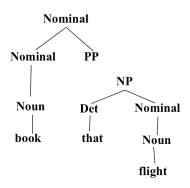


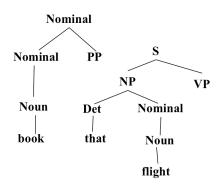


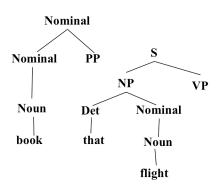


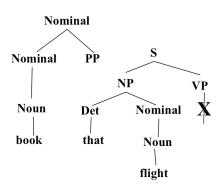


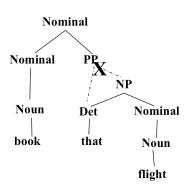


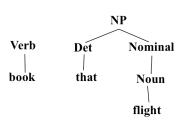


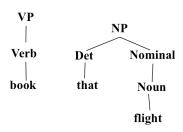


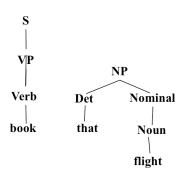


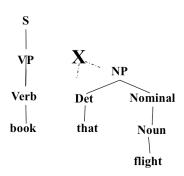


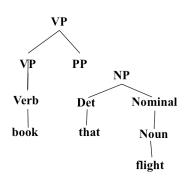


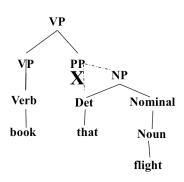


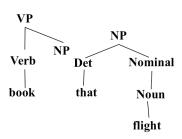


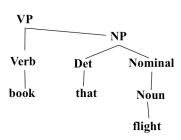


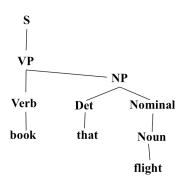












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- Bottom up never explores options that do not connect to the actual sentence but can explore options that can never lead to a full parse.
- Relative amounts of wasted search depend on how much the grammar branches in each direction.

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- Caching (memoizing) critical to obtaining a polynomial time parsing (recognition) algorithm for CFGs.
- Dynamic programming algorithms based on both top-down and bottom-up search can achieve  $O(n^3)$  recognition time where n is the length of the input string.

#### Dynamic Programming Parsing Methods

 CKY (Cocke-Kasami-Younger) algorithm: bottom-up, requires normalizing the grammar

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- Earley Parser top-down, does not require normalizing grammar, more complex
- More generally, chart parsers retain completed phrases in a chart and can combine top-down and bottom-up searches.

#### CKY Algorithm

- Grammar must be converted to Chomsky normal form (CNF) in which all productions must have
  - Either, exactly two non-terminals on the RHS
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- Grammar must be converted to Chomsky normal form (CNF) in which all productions must have
  - Either, exactly two non-terminals on the RHS
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- Parse bottom-up storing phrases formed from all substrings in a triangular table (chart)

#### Converting to CNF

#### **Original Grammar**

 $S \to NP \, VP$ 

 $S \rightarrow Aux NP VP$ 

 $S \rightarrow VP$ 

NP → Pronoun

 $NP \rightarrow Proper-Noun$ 

 $NP \rightarrow Det Nominal$ 

Nominal → Noun

Nominal → Nominal Noun

 $Nominal \rightarrow Nominal \ PP$ 

 $VP \rightarrow Verb$ 

 $VP \rightarrow Verb NP$ 

 $VP \rightarrow VP PP$ 

 $PP \rightarrow Prep NP$ 

 $Pronoun \rightarrow I \ | \ he \ | \ she \ | \ me$ 

Noun  $\rightarrow$  book | flight | meal | money

 $Verb \rightarrow book \mid include \mid prefer$ 

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Proper-Noun  $\rightarrow$  Houston | NWA

#### **Chomsky Normal Form**

 $S \rightarrow NP VP$ 

 $S \rightarrow X1 VP$ 

 $X1 \rightarrow Aux NP$ 

 $S \rightarrow book \mid include \mid prefer$ 

 $S \rightarrow Verb NP$ 

 $S \rightarrow VP PP$ 

 $NP \rightarrow I \mid he \mid she \mid me$ 

NP → Houston | NWA

NP → Det Nominal

 $Nominal \rightarrow book \mid flight \mid meal \mid money$ 

Nominal → Nominal Noun

Nominal → Nominal PP

 $VP \rightarrow book \mid include \mid prefer$ 

 $VP \rightarrow Verb NP$ 

 $VP \rightarrow VP PP$ 

 $PP \rightarrow Prep NP$ 

 $Pronoun \rightarrow I \mid he \mid she \mid me$ 

Noun  $\rightarrow$  book | flight | meal | money

Verb → book | include | prefer Proper-Noun → Houston | NWA