

# ABES Engineering College Ghaziabad College Code: 032

## **Knowledge Enhancement Program**

Subject Name: Data Structures and Design and Analysis of Algorithms

**Unit-2: Sorting** 

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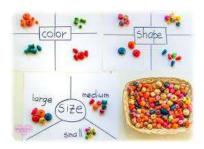
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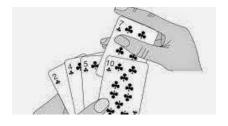
## 2.1. Introduction to Sorting

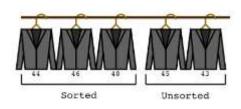
## 2.1.1. Case Studies to understand the requirement of Sorting:

In real life scenario we knowingly and unknowingly use sorting extensively.

- 1. In playing cards, a player gets a bunch of card during play. We need to arrange the cards of a particular player in ascending or descending order.
- 2. When we want to call someone we use only few characters of name because contacts in our phone are arranged in lexicographically (sorted).
- 3. We usually arrange student marks in decreasing order to get top three students name and roll number.
- 4. We might want to arrange sales data by calendar month so that we can produce a graph of sales performance.
- 5. We have a sorted Dictionary / Telephone Directory and an un-sorted Dictionary / Telephone Directory with the same collection of words / telephone numbers printed on about 500 pages. We will save about 99% of our time by using the sorted dictionary Telephone Directory.
- 6. The tailor shop puts stitched clothes either in descending order or ascending order of size.
- 7. Searching any item in junk drawer of our kitchen is time consuming if items are not arranged in the drawer. It is easier and faster to locate items in a sorted list than unsorted.





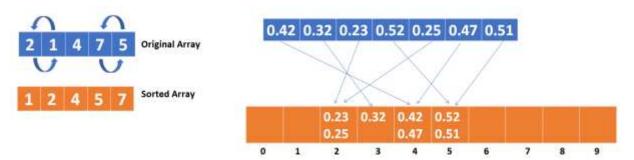


Sorting is the process of arranging data into meaningful sequence so that we can consider it with more ease and convenience. Sort means arranging items into an order either alphabetical or, numerical.

Sorting is particularly helpful in the context of computer science or, programming for the same reason it is important in everyday life. Sorting a list of items can take a long time, especially if it is a large list. A computer program can be created to do this, making sorting a list of data much easier. From a strictly human-friendly perspective, it makes a single dataset a whole lot easier to read. It makes it easier to implement search algorithms in order to find or retrieve an item from the entire data set. Sorting algorithms can be used in a program to sort an array for later searching or writing out to an ordered file or report.

### 2.1.2 Types of Sorting Algorithm:

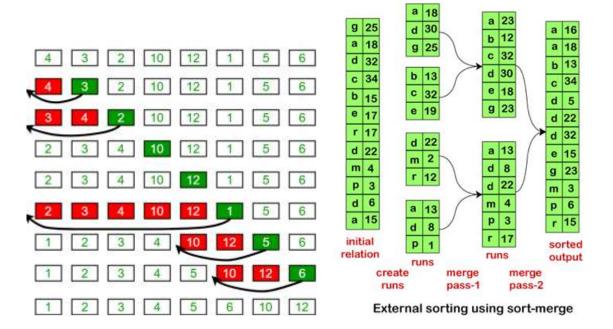
(a)In-place sorting and not-in-place sorting - In in-place sorting algorithm we use fixed additional space for producing the output (modifies only the given list under consideration). It sorts the list only by modifying the order of the elements within the list. e.g. Bubble sort, Comb sort, Selection sort, Insertion sort, Heap sort, and Shell sort. In not-in-place sorting, we use equal or more additional space for arranging the items in the list. Merge-sort is an example of not-in-place sorting.



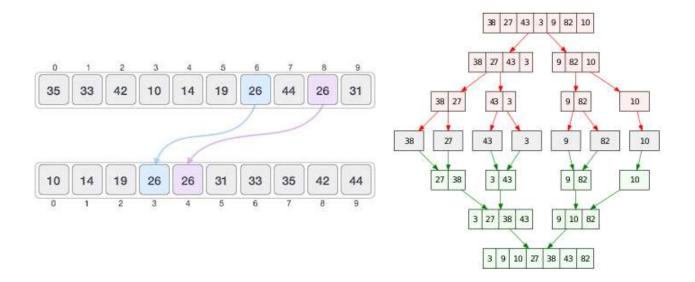
(b) Stable sorting and Unstable sorting— In stable sorting algorithm the order of two objects with equal keys in output remains same after sorting as they appear in the input array to be sorted. e.g. Merge Sort, Insertion Sort, Bubble Sort, and Binary Tree Sort. If a sorting algorithm, after sorting the contents, changes the sequence of similar content in which they appear, it is called unstable Sorting. e.g. Quick Sort, Heap Sort, and Selection sort



(c) Internal sorting and External sorting- If the input data is such that it can be adjusted in the main memory at once or, when all data is placed in-memory it is called internal sorting e.g. Bubble Sort, Insertion Sort, Quick Sort. If the input data is such that it cannot be adjusted in the memory entirely at once, it needs to be stored in a hard disk, floppy disk, or any other storage device. This is called external sorting. External sorting algorithms generally fall into two types, distribution sorting, which resembles quick sort, and external merge sort, which resembles merge sort. The latter typically uses a hybrid sort-merge strategy



(d) Adaptive Sorting and Non-Adaptive Sorting- A sorting algorithm is said to be adaptive, if it takes advantage of already 'sorted' elements in the list that is to be sorted. That is, while sorting if the source list has some element already sorted, adaptive algorithms will take this into account and will try not to re-order them. A non-adaptive algorithm is one which does not take into account the elements which are already sorted. They try to force every single element to be re-ordered to confirm their sortedness.



(e) Comparison based and non-comparison based - Algorithms, which sort a list, or an array, based only on the comparison of pairs of numbers, and not any other information (like what is being sorted, the frequency of numbers etc.), fall under this category. Elements of an array are compared with each other to find the sorted array. e.g. Bubble Sort, Selection Sort, Quick Sort, Merge Sort, Insertion Sort. In non-comparison based sorting, elements of array are not compared with each other to find the sorted array. e.g. Radix Sort, Bucket Sort, Counting Sort.

Here, in the subsequent portion of this chapter, we will discuss about following Sorting Techniques and their variants.

- 1. Bubble Sort
- 2. Selection Sort
- 3. Insertion Sort

- 4. Heap Sort
- 5. Merge Sort
- 6. Quick Sort
- 7. Counting Sort
- 8. Radix Sort
- 9. Bucket Sort

The Sorting Techniques can broadly be categorised (based on time complexity) into

- Order of n<sup>2</sup> (Bubble Sort, Selection Sort, Insertion Sorts),
- Order of nlog n (Heap Sort, Quick Sort, Merge Sort)
- Order of n (Counting Sort, Bucket Sort and Radix Sort)

The document discusses about various cases related to these sorting and strategies to improve the run time.

#### 2.2. Bubble Sort:

#### 2.2.1. Working of Bubble Sort:

Consider a situation when we pour some detergent in the water and shake the water, the bubbles are formed. The bubbles are formed of different sizes. Largest volume bubbles have a tendency of coming to the water surface faster than smaller ones.

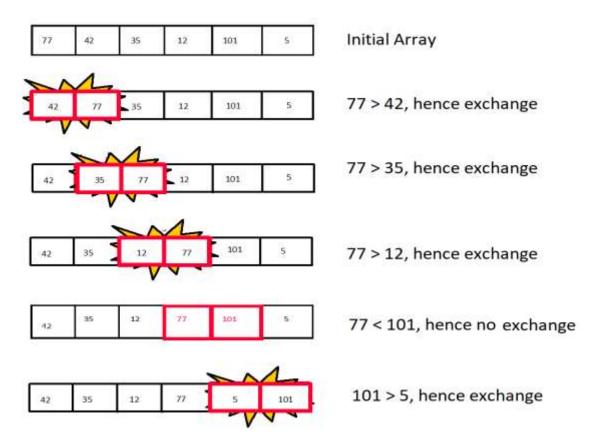
**Bubble sort**, which is also known as **sinking sort**, is a simple Brute force Sorting technique that repeatedly iterates through the item list to be sorted. The process compares each pair of adjacent items and swaps them if they are in the wrong order. The algorithm starts at the beginning of the data set. It compares the first two elements, and if the first is greater than the second, it swaps them. It continues doing this for each pair of adjacent elements to the end of the data set. It then starts again with the first two elements, repeating with one less comparison than the last pass. Bubble sort can be used to sort a small number of items (where its asymptotic inefficiency is not a concern).

In Bubble Sort Algorithm largest element is fixed in the first iteration followed by second largest element and so on so forth. In the process 1<sup>st</sup> element is compared with 2<sup>nd</sup> and if previous element is larger than the next one, elements are exchanged with each other. Then 2<sup>nd</sup> and 3<sup>rd</sup> elements are compared and if second is larger than the 3<sup>rd</sup>, they are exchanged. The process repeats and finally (n-1)<sup>st</sup> element gets compared with n<sup>th</sup> and if previous one is larger than the next one, they are exchanged. This completes the first iteration. As a result largest element occupies its appropriate position in the Sorted array i.e. last position.

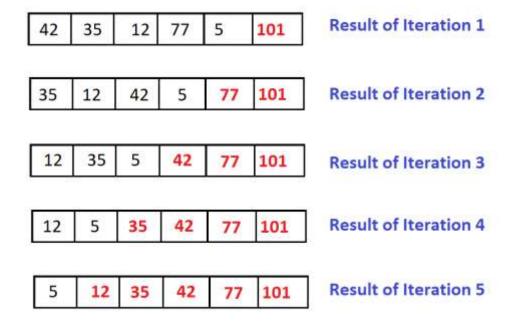
In the next iteration, the same process is repeated but one less comparison is performed than previous iteration (as largest number is already in place). As a result of second iteration, second largest number reaches to its appropriate position in the sorted array.

The similar iterations are repeated n-1 times. The result is the sorted array of n elements.

Below given figure shows how Bubble Sort works:



Above diagram shows the first pass of the Bubble Sort.



#### In first pass

#### Total N-1 passes of similar nature

## **ALGORITHM Bubble Sort(A[],N)**

#### **BEGIN:**

```
FOR i=1 TO N-1 DO

FOR j= 1 To N-i DO

IF A[j] >A[j+1] THEN

Exchange (A[j], A[j+1])
```

#### END;

## **Analysis:**

N-1comparisons in first iteration

N-2 comparison in second iteration

N-3 comparison in third iteration

...

1 Comparisons in (N-1)<sup>st</sup> iteration

Total comparisons = 
$$(N-1) + (N-2) + (N-3) + ... +1$$
  
=  $N(N-1)/2$   
=  $N^2/2 - N/2$ 

If exchanges take place with each comparison, Total number of statements to be executed are  $(N^2/2 - N/2)*3$  as 3 statements are required for exchange.

The **Time Complexity** can be written as  $\theta(N^2)$ 

There are 2 extra variables used in the logic. Hence **space Complexity** is  $\theta(1)$ 

#### 2.2.2. Optimized Bubble Sort

In bubble sort time complexity for the case when elements are already sorted is  $\theta(n^2)$ , because fixed number of iterations need has to be performed.

There are two scenarios possible -

Case 1 - When elements are already sorted: Bubble Sort does not perform any swapping.

Case 2 - When elements are sorted after k-1 passes: In the k<sup>th</sup> pass no swapping occurs.

A small change in Bubble Sort Algorithm above makes it optimized. If no swap happens in some iteration means elements are sorted and we should stop comparisons. This can be done by the use of a flag variable.

## **ALGORITHM Bubble Sort Optimized (A [],N)**

#### **BEGIN:**

```
FOR i=1 TO N-1 DO

FLAG =1

FOR j= 1 To N-i DO

IF A[j] >A[j+1] THEN

Exchange (A[j], A[j+1])

FLAG=0

IF FLAG ==1 THEN

RETURN
```

#### END;

#### **Optimized Bubble Sort Analysis**

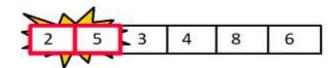
If elements are already sorted then it takes  $\Omega(N)$  time because after the first pass flag remains unchanged, meaning that no swapping occurs. Subsequent passes are not performed. A total of N-1 comparisons are performed in the first pass and that is all.

If elements become sorted after k-1 passes,  $k^{th}$  pass finds no exchanges. It takes  $\theta(N^*k)$  effort.

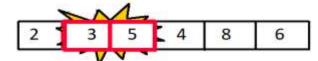




## Initial Array



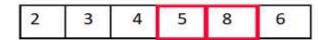
5 > 2 , hence exchange



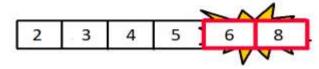
5 > 3 , hence exchange



5 > 4 , hence exchange

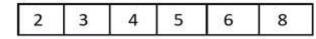


5 < 8 , hence no exchange



8 > 6 , hence exchange

#### **Optimized Bubble Sort (Second Pass)**



2 < 3, Hence no Exchange

2 3 4 5 6	8
-----------	---

3<4, Hence no Exchange

4<5, Hence no Exchange

5<6, Hence no Exchange

6<8, Hence no Exchange

## 2.2.3. Sort the link list using optimized Bubble Sort (case study)

Normally we use sorting algorithms for array because it is difficult to convert array algorithms into Linked List.

There are two reasons for this -

- 1-Linked List cannot be traversed back.
- 2- Elements cannot be accessed directly (traversal is required to reach to the element).

Bubble Sort Algorithm can be easily modified for Linked List due to two reasons -

- 1 In Bubble Sort no random access needed
- 2 In Bubble Sort move only forward direction.

## **ALGORITHM Bubble Sort Link list(START)**

#### **BEGIN:**

```
Q=NULL

FLAG=TRUE

WHILE TRUE DO

FLAG=FALSE

T=START

WHILE T→Next! = Q DO

IF T→Info>T→Next→info THEN

Exchange (T, T→Next)

FLAG=TRUE

T=T→Next

Q=T
```

#### END;

#### **Algorithm Complexity**

If elements are already sorted then it takes  $\Omega(N)$  time because after the first pass flag remains unchanged, meaning that no swapping occurs. Subsequent passes are not performed. A total of N-1 comparisons are performed in the first pass and that is all.

If elements become sorted after k-1 passes,  $k^{th}$  pass finds no exchanges. It takes  $\theta(N^*k)$  effort.

#### 2.3. Selection Sort:

#### 2.3.1. Selection Sort as a variation of Bubble Sort

Selection sort is nothing but a variation of Bubble Sort because in selection sort swapping occurs only one time per pass.

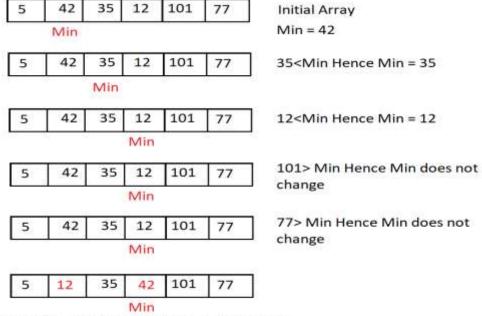
In every pass, choose largest or smallest element and swap it with last or first element. If the smallest element was taken for swap, position of first element gets fixed. The second pass starts with the second element and smallest element is found out of remaining N-1 Elements and exchanged with the second element. In the third pass the smallest element is found out of N-2 elements (3<sup>rd</sup> to N<sup>th</sup> element) and exchanged with the third element and so on so forth. The same is performed for N-1 passes.

Number of comparisons in this Algorithm is just the same as that of Bubble Sort but number of swaps in this 'N' (as compared to  $N^*(N-1)/2$  Swaps in Bubble Sort.

#### **The First Pass**



#### The Second Pass



Min = 12, Exchange it with second element

#### In first pass

```
Min =1;

FOR j=2 TO N DO

IF A[j]<A[min] THEN

Min = j;

Exchange (A[1], A[Min])
```

Total N-1 passes of similar nature

## **ALGORITHM Selection Sort(A[],N)**

#### **BEGIN:**

```
FOR i=1 TO N-1 DO

Min=i

FOR j= i+1 TO N DO

IF A[j] <A[Min] THEN

Min = i

Exchange(A[i],A[Min])
```

END;

## Time Analysis (Total (N-1) iterations)

N-1 comparisons in first iteration

N-2 comparison in second iteration

N-3 comparison in third iteration

...

1 Comparison in (N-1)<sup>st</sup> iteration

Total = 
$$(N-1) + (N-2) + (N-3) + ... +1$$
  
=  $N(N-1)/2$  =  $N^2/2 - N/2$ 

1 Exchange per iteration hence total exchanges are N-1. Total effort for N-1 iterations=3 \*(N-1)

Total Effort = 
$$3*(N-1) + N^2/2 - N/2$$
 =  $N^2/2 + 5/2*N - 3$ 

As there is no best case possible as all iterations are compulsory, Complexity should be written in Theta notation i.e.  $\Theta(N^2)$ 

**Space Complexity** remains  $\theta(1)$  as only 3 variables (i, j, Min) are used in the logic.

Selection Sort Scenario

As selection sort takes only O(N) swaps in worst case, it is the best suitable where we need minimum number of writes on disk. If write operation is costly then selection sort is the obvious choice. In terms of write operations the best known algorithm till now is cycle sort, but cycle sort is unstable algorithm.

## 2.3.2. Cycle Sort

Cycle sort is an in-place, unstable, comparison sort that is theoretically optimal in terms of the total number of writes to the original array. It is optimal in terms of number of memory writes. It minimizes the number of memory writes (Each value is either written zero times, if it's already in its correct position, or written one time to its correct position.)

It is based on the idea that array to be sorted can be divided into cycles. Cycles can be visualized as a graph. We have N nodes and an edge directed from node i to node j if the element at i<sup>th</sup> index must be present at j<sup>th</sup> index in the sorted array.

## Example- Arr[]={10,5,2,3}

10	5	2	3

## **Step1**- let us suppose that

Cycle=0

Item=arr[0]

Now our aim to find out the position where we put the item

Pos=cycle

I=pos+1

WHILE i<n DO //n is number of item

IF arr[i]<item THEN

Pos++

Swap(arr[pos],item)

Here after execution of while loop we get the value of pos=3

Swap(arr[3],10)

10	5	2	10

## Step2-

Cycle =0

Item=3

Pos=cycle

I=pos+1

WHILE i<n DO //n is number of item

IF arr[i]<item THEN

Pos++

Swap(arr[pos],item)

Here after execution of while loop we get the value of pos=1

Swap(arr[1],3)

10	3	2	10

## Step 3-

Cycle =0

Item=5

Pos=cycle

I=pos+1

WHILE i<n DO //n is number of item

IF arr[i]<item THEN

Pos++

Swap(arr[pos],item)

Here after execution of while loop we get the value of pos=2

Swap(arr[2],5)

10 3	5	10
------	---	----

## Step 4-

Cycle =0

Item=2

Pos=cycle

I=pos+1

WHILE i<n DO //n is number of item

IF arr[i]<item THEN

Pos++

Swap(arr[pos],item)

Here after execution of while loop we get the value of pos=0

Swap(arr[0],2)

2	3	5	10

#### 2.3.3. Competitive Coding-Problem Statement-

A company is coming in college campus for recruitment. College has N students and there are exactly N vacancies in the company. Company policy is that to fill all open position from a single college so that they all know each other before joining them and they do not invest extra money for team building activities. Each student is graded and a number is given to every student based on their knowledge. All open position has minimum criteria (number) and a student need to above number to fill the open post. There is no restriction in position allotment and any student can be hired for any one of the N position. You have to find all students are hired or not.

Input format

First line contains number of students N

Second line contains N integers representing knowledge grade of N students

Third line contains N integers represents knowledge criteria for N open position

**Output format** 

Print single line hired or not hired

#### 1-Identify problem statement

Read the story and try to convert it into technical form. For this problem reframes as-

Given two integer array of same size (let arr1, arr2), check if number can be arranged in a way

Arr 1[i] > Arr2[i] for all values of i

#### **2-Identify Problem Constraints**

Knowledge of students and criteria must satisfy

1<N<100

that

Sample input

sample output hire

5

13 46 34 84 49

10 39 48 79 22

#### 3. Design Logic

- 1 Sort both arrays in non-decreasing order.
- 2 In a loop compare all elements of Arr1 and Arr2 and if at any step Get Arr1[i] <Arr[i] print not hire otherwise hire.

#### 4. Implementation-

```
ALGORITHM CheckHire(Arr1[], Arr2[], N)
```

**BEGIN:** 

```
BubbleSort(Arr1)

BubbleSort(Arr2)

FOR i=0 TO N DO

IF Arr1[i ] < Arr2[i] THEN

WRITE("Not hire")

RETURN

WRITE("Hire")
```

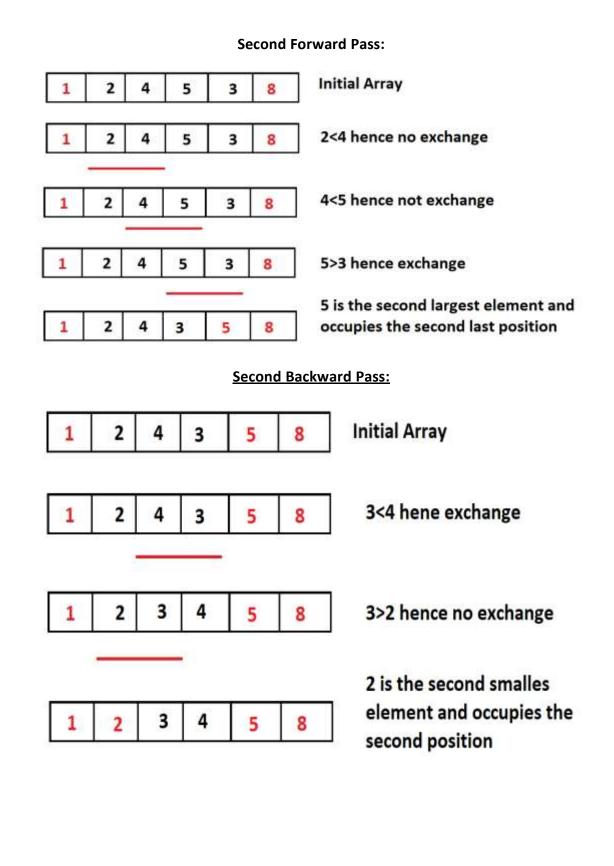
#### END;

Complexity-O(N<sup>2</sup>)

#### 2.3.4. Cocktail Sort-

Cocktail Sort is a variation of Bubble sort in which instead of moving only in forward direction for finding the largest element, the array is traversed alternatively in forward direction (for finding the largest element) and in backward direction (for finding the smallest element).

cupies
ind in



```
ALGORITHM CocktailSort(A[], N)
```

**BEGIN:** 

```
j=1, END=N-1, I

WHILE J < END DO

FLAG=FALSE

FOR I=J TO END DO

IF A[i] > A [i+1]

Exchange (A[I], A[I+1])

FLAG = TRUE

END = END -1

FOR I=END-1 TO J STEP - 1 DO

IF A [i] < A [i-1]

Exchange (A [I], A [I-1])

FLAG = TRUE

J=J+1

IF !FLAG THEN

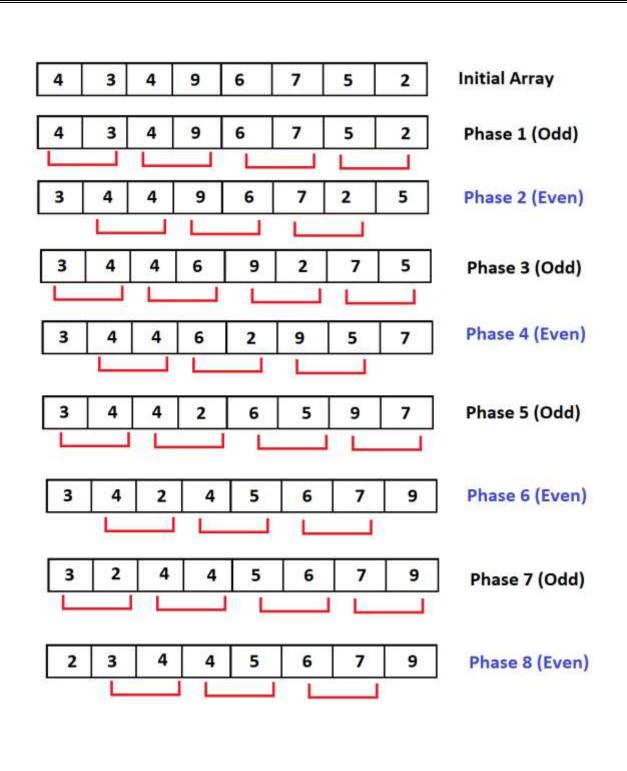
RETURN
```

END;

Time Complexity  $O(N^2)$  in worst case but in best case  $\Omega(N)$ 

## 2.3.5.Odd-Even Sort (Brick Sort)

In this sorting each pass has two loops one for even and other for odd indexes. Total cycles to be performed is equal to the number of Elements in the set or When No exchanges take place in pair of odd and even cycle.



## ALGORITHM Odd Even Sort(A[], N)

```
BEGIN:
```

```
FLAG=TRUE

WHILE FLAG DO

FLAG=False

FOR I=1 TO N-1 STEP + 2 DO

IF A [I] > A [I+1] THEN

Exchange(ARR[I],ARR[I+1])

FLAG=TRUE

FOR I=2 TO N-2 STEP+2 DO

IF ARR[I] > ARR[I+1] THEN

Exchange (ARR[I],ARR[I+1])

FLAG=TRUE
```

END;

## 2.3.6 Objective Type Questions:

1	The number of swapping needed to sort the numbers 8, 22, 7, 9, 31, 5, 13 in ascending order, using Bubble Sort is
Α	13
В	12
С	11
D	10
AN	С

2	Consider a situation where swap operation is very costly. Which of the following sorting algorithms should be preferred so that the number of swap operations is minimized in general?
Α	Selection
В	Merge
С	Неар
D	Quick
AN	С

3	How many comparisons are needed to sort an array of length 5 if a straight selection sort
	is used and array is already in the opposite order?
Α	1
В	5
С	10
D	20
AN	C

```
void Sort(intarr[], int n)
       int i, j;
       bool swapped;
       for (i = 0; i < n-1; i++)
       swapped = false;
       for (j = 0; j < n-i-1; j++)
       if (arr[j] >arr[j+1])
       swap(&arr[j], &arr[j+1]);
       swapped = true;
       What is the time complexity of function in best case?
      O(n)
В
      0(1)
      O(nlog n)
C
      O(log n)
D
ΑN
      C
```

## 2.3.7. Practice Competitive coding problems:

#### 1. Problem Statement:

•Joey loves to eat Pizza. But he is worried as the quality of pizza made by most of the restaurants is deteriorating. The last few pizzas ordered by him did not taste good :(. Joey is feeling extremely hungry and wants to eat pizza. But he is confused about the restaurant from where he should order. As always he asks Chandler for help.

- •Chandler suggests that Joey should give each restaurant some points, and then choose the restaurant having **maximum points**. If more than one restaurant has same points, Joey can choose the one with **lexicographically smallest** name.
- •Joey has assigned points to all the restaurants, but can't figure out which restaurant satisfies Chandler's criteria. Can you help him out?

#### Input format

- First line has N, the total number of restaurants.
- •Next N lines contain Name of Restaurant and Points awarded by Joey, separated by a space. Restaurant name has **no spaces**, all lowercase letters and will not be more than 20 characters.

#### **Output format**

Print the name of the restaurant that Joey should choose.

#### **Constraints**

- $\bullet 1 \le N \le 10^5$
- •1 <= Points <= 10<sup>6</sup>

#### 2. Problem Statement

There are N people in city, who wants to visit Kingdom of Dreams. The road of reaching of Kingdom is not so safe. So, they go to kingdom only in a security vehicle which can accommodate at most 2 people. (There is only one security vehicle available in the town as it is quite costly and unique). People started to hire this vehicle to reach safely by driving it by themselves. Every part of journey from town to kingdom or from kingdom to town has some cost associated with it which is given by an array A[] elements. Array A[] has n elements, where A(i) represents the cost i<sup>th</sup> person has to pay if they travel alone in the vehicle. If two people travel in vehicle, the cost of travel will be the maximum of cost of two people. Calculate the minimum total cost so that all N people can reach Kingdom safely.

#### Input format

•The first line contains, T, denoting the number of test cases. Each test case contains 2 lines each.

The first line has an integer N, denoting the number of persons. Next line contains N space separated distinct integers denoting the cost of i<sup>th</sup> person.

Output format
For each test case, print the minimum cost required so that all people can reach kingdom.

## 2.4. Insertion Sort

## 2.4.1. Analogy (Card Game)

Consider a situation where playing cards are lying on the floor in the arbitrary manner. In case we want these cards to be sorted, we can choose one of the cards and place that in hand. Every time we pick a card from pile, we can insert that at the right place in the hand. This way we will have sorted cards in the hand and a card arbitrarily chosen from lot will be inserted at the right place in the cards in hand.



A, 2, 3, 4, 5, 6, 7 | K, 10, J, 8, 9, Q

Red colored card set is sorted, Black Colored card set unsorted. Try inserting a card from unsorted set in the sorted set.



#### A, 2, 3, 4, 5, 6, 7, 8 | K, 10, J, 9, Q

Red colored card set is sorted, Black Colored card set unsorted. Try inserting a card from unsorted set in the sorted set.



A, 2, 3, 4, 5, 6, 7, 8, 9 | K, 10, J, Q

Red colored card set is sorted, Black Colored card set unsorted. Try inserting a card from unsorted set in the sorted set.

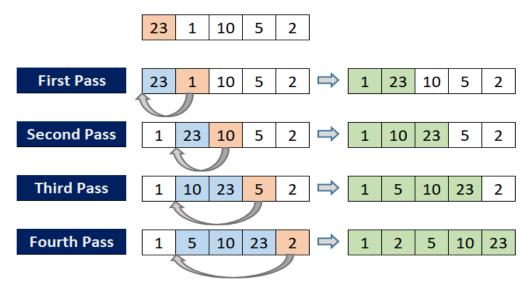
The process like this continues and finally we can have all the cards sorted in the hand.

## 2.4.2. Concept of Insertion Sort:

Insertion sort is a simple sorting algorithm that is relatively efficient for small lists and mostly sorted lists.

It considers two halves in the same array: Sorted and unsorted. To start with only one element is taken in the sorted list (first element) and N-1 elements in the unsorted list (2<sup>nd</sup> to N<sup>th</sup> element). It works by taking elements from the unsorted list one by one and inserting them in their correct position into the sorted list. See the example below wherein we have taken a small set of numbers containing 23, 1, 10, 5, 2. In the first pass we will consider the sorted parts to contain only 23 and unsorted part containing 1, 10, 5, 2. A number (1) is picked from unsorted part and inserted in sorted part at the right place. Now sorted part contains 2 elements. A number from unsorted part (10) is picked and inserted in the sorted part. And so on so forth until all the elements from the

unsorted part have been picked and inserted in the sorted part. The diagram below shows the step by step process:



## In last (N-1)<sup>st</sup> pass

#### Total N - 1 passes of similar nature

## ALGORITHM Insertion Sort (A[], N)

#### **BEGIN:**

```
FOR i=2 TO N DO

J = i;

Key = A[N]

WHILE J >= 1 AND key <A[J-1] DO

A[j] = A[j-1];

J=J-1

A[J+1]=Key;
```

#### END;

#### Worst Case (N-1 iterations, all comparisions in each iteration)

1 comparison in first iteration

2 comparisons in second iteration

3 comparisons in third iteration

...

N-1comparisons in (N-1)<sup>st</sup> iteration

Total = 1+2+3+ ... +(N-1)  
= N(N-1)/2  
= 
$$N^2/2 - N/2 = O(N^2)$$

#### Best Case (All iteration takes place, only one comparisons per iteration, if numbers are Sorted)

Total comparisons = 
$$1+1+1+...(N-1)$$
 times =  $(N-1) = \Omega(N)$ 

**Insertion sort is-**Comparison based sorting algorithm, Stable sorting algorithm, In place sorting algorithm, Uses incremental approach

## **Recursive Approach**

ALGORITHM Insertion Sort(A[], N)

#### **BEGIN:**

END;

#### 2.4.3. Scenarios where Insertion Sort can be applied

#### Scenario-1

Insertion sort is best suited for online algorithms because it sorts the elements as it receives it (if numbers of elements are known). If not known then it is required to store those elements into link list.

Insertion sort performs better than even Quick sort where we sort small amount of data.

#### Scenario-2

When numbers of elements are almost sorted

Let us suppose that out of N elements N-1 elements sorted and only 1 element is out of place. The Insertion sort takes O(N) time in this scenario.

#### 2.4.4. Problems

#### Problem-1

Sort the given elements and compute time complexity needed. Suggest which sorting algorithm will take minimum time to sort these elements.

Arr[]={43,33,64,54,86,75}

#### Solution-

From above it is clear that alternate elements are not sorted.

Outer loop runs n-1 times (Number of iterations)

Inner loop runs for 1 time or 2 time.

It means total time taken O(n)

#### Problem-2

Sort the given elements and compute time complexity needed. Suggest which sorting algorithm will take minimum time to sort these elements.

Arr[]={5,5,5,5,5,5,5,5,5,5}

#### Solution-

From above it is clear that all the elements are same.

Outer loop runs n-1 times

Inner loop runs for one time per iteration.

It means total time taken O(n)

#### **Inversion in insertion sort:**

Inversion is defined as

A pair of elements (arr[i], arr[j]) is said to be inversion if arr[i]>arr[j] for i<j

If array sorted in ascending order – 0 inversion

If array sorted in descending order – n (n+1)/2 inversion

#### Problem-3

If the number of elements is n and it is given that number of inversion is O(n) then how much time insertion sort takes to sort the elements.

#### Solution-

Control goes in inner loop only n times so insertion sort takes O(n) times.

#### **Binary Insertion Sort**

Use binary search logic in order to find the position where element to be inserted. It takes O(logk) times in place of k times.

It is used where cost of comparison is more than cost of swapping. Consider the scenario of sorting the names.

#### ALGORITHM insertionSort(ARR, N)

#### **BEGIN:**

END;

```
FOR i=2 to N DO

j=i-1

temp = ARR[i]

loc = BinarySearch (ARR, temp, 0, j)

WHILE j>=loc DO

ARR[j+1] =ARR[j]

J = J - 1

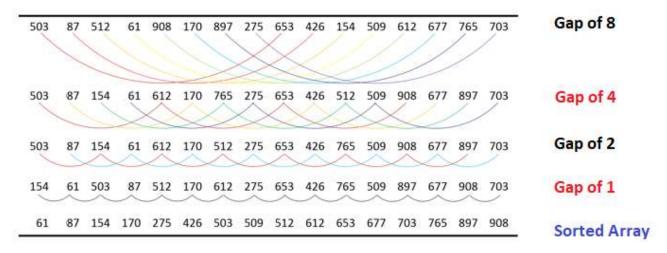
ARR[j+1]=temp
```

#### 2.4.5. Variation of Insertion Sort (Shell Sort)

Shell sort is a variation of insertion sort. It improves complexity of insertion by dividing it into number of parts and then apply insertion sort.

It works on two facts about insertion sort-

- 1-Works better for less number of elements.
- 2-Elements are less distant towards their final positions.



Shell Sort Algorithm (gapped insertion sort)

# ALGORITHM Shell Sort(ARR, N) BEGIN:

```
FOR i=N/2 TO 1 STEP/2 DO

FOR j=i TO j<=N DO

temp=ARR[j]

FOR k = j TO k > = I STEP -1 DO

IF ARR[k-i] > temp THEN

ARR[k]=ARR[k-i]

ARR[k]=temp
```

END;

## Suggested Gaps to be taken for Shell Short

#### 1-Hibbard Gap Sequence

#### 2-Donald Knuth Gap Sequence- (1, 4, 13, ...)

**3-** A gap sequence like (64, 32, 16, ...) is not good because it increases time complexity.

#### 2.4.6. Competitive Coding-Problem Statement-

There is a given Binary Search Tree in which any two of the nodes of the Binary Search Tree are exchanged. Your task is to fix these nodes of the binary search tree.

**Note**: The BST will not have duplicates.

**Examples**:

**Input Tree:** 

In the above tree, nodes 35 and 10 must be swapped to fix the tree.

Following is the output tree

Input format: Given nodes of binary search tree

Output format: Print the nodes in inorder

**1-Identify problem statement:** Read the story and try to convert it into technical form. For this problem reframes as- Given a BST in which two nodes are interchanged so it's lost the property of BST.

#### **Design Logic:**

- 1-ttraverse the node in inorder and store it in an array.
- 2-Sort it using insertion sort and store it into another array.
- 3-Compare both arrays and find out exchanged nodes and fix these node.

#### Implementation-

```
ALGORITHM bst(ROOT)
```

#### **BEGIN:**

END;

```
ARRAY A[]
Inorder(ROOT,A)
Copy(B,A)
Insertion sort(A,N)
FOR i=0 TO N DO
       IF A[i] != B[i] THEN
              Find(ROOT,A[i],B[i])
              BREAK
       RETURN ROOT
       Find(ROOT\rightarrowleft,x,y)
       IF !root THEN
              RETURN
       Find(ROOT->left, x, y)
       IF ROOT ->data == x THEN
              ROOT ->data = y
       ELSE IF ROOT ->data == y
              ROOT -> data = x
       Find(ROOT ->right, x, y)
```

Complexity-O(n)

## 2.4.7. Unsolved Coding problems on Insertion Sort:

## 1. Competitive Coding Problem-(Hackerrank)

Complete the **insert()** function which is used to implement Insertion Sort.

#### Example 1:

#### Input:

N = 5

arr[] = { 1,3,2,4,5}

Output: 1 2 3 4 5

#### Example 2:

#### Input:

N = 7

arr[] = {7,6,5,4,3,2,1}

Output: 7 6 5 4 3 2 1

**Task:** Read no input and don't print anything. Your task is to complete the function **insert()** and **insertionSort()** where **insert()** takes the array, it's size and an index i and **insertion\_Sort()** uses insert function to sort the array in ascending order using insertion sort algorithm.

**Expected Time Complexity:** O(Nlog n).

**Expected Auxiliary Space:** O(1).

#### **Constraints:**

1 <= N <= 1000

1 <= arr[i] <= 1000

### 2. Competitive Coding Problem Statement (code chef)

Given an array sort it using insertion sort and binary search in it to find the position to place the element. Additionally you need to count the number of times recursive calls done by binary search function to find the position.

#### Input:

- First line will contain NN, size of the array.
- Next Line contains n array elements a[i]a[i].

#### **Output:**

For each test case, output sorted array and binary search calls counter modulo 100000007.

#### **Constraints**

- 1≤N≤100001≤N≤10000
- 0≤M≤1090≤M≤109

#### Sample Input:

5

54321

#### **Sample Output:**

Sorted array: 1 2 3 4 5

7

## 3. Competitive Coding Problem Statement (code chef)

Say that a string is *binary* if it consists solely of the symbols 00 and 11 (the empty string is binary too). For binary string ss let's define two functions:

- The function rev(s) reverses the string ss. For example, rev(010111)=111010, and rev(01)=10.
- The string flip(s) changes each character in ss from 00 to 11 or from 11 to 00. For example, flip(010111)=101000 and flip(11)=00.

If s=rev(s) then we say that ss is a *palindrome*. If s=rev(flip(s)) then we say that ss is an *antipalindrome*.

Given a binary string  $s = s_1 s_2 ... s_{|s|}$ , divide it into a palindrome and an antipalindrome. Formally, you should find two sequences  $i_1, i_2, ..., i_k$ , and  $j_1, j_2, ..., j_m$ , such that:

- k, m≥0
- |s|=k+m
- All indices  $i_1, i_2, ..., i_k, j_1, j_2, ..., j_m$  are distinct integers satisfying  $1 \le i_x, j_x \le |s|$ .
- $i_1 < i_2 < ... < i_k$  and  $j_1 < j_2 < ... < j_m$
- The string  $s_{i1}s_{i2}...s_{ik}$  is a palindrome.
- The string  $s_{j1}s_{j2}...s_{jm}$  is an antipalindrome.

#### Input:

The first line contains a single integer, tt - the number of test cases. The next tt lines describe test cases.

The only line for each test case contains binary string ss.

#### **Output:**

In the first line for each test case, print two integers k and m.

In the second line for each test case, print k integers i<sub>1</sub>,i<sub>2</sub>,...,i<sub>k</sub>.

In the third line for each test case, print m integers  $j_1, j_2, ..., j_m$ .

All required conditions should be satisfied.

It can be shown that an answer always exists. If there exists multiple answers you can print any.

#### **Constraints**

- 1 ≤ t ≤ 105
- $1 \le |s| \le 100000$
- the sum of lengths of all strings does not exceed 300000

#### **Subtasks**

#### Subtask #1:

- t≤1000
- |s|≤10

Subtask #2: original constraints

#### Sample Input:

4

#### **Sample Output:**

5 6

#### **Explanation:**

In the first test case, the string 00 is a palindrome and the empty string is an antipalindrome. In the second test case, we can use indices [1,4,6,8,11] to create the palindrome  $s_1s_4s_6s_8s_{11}=11011$  and indices [2,3,5,7,9,10] to create the antipalindrome  $s_2s_3s_5s_7s_9s_{10}=011001$ .

## 2.4.8. Objective Type Questions:

1	Which of the following searching techniques is used in insertion sort algorithm?
Α	Linear Search
В	Binary Search
С	Hashing
D	None of these
AN	A
DL	E

	The heat are consularity in incoming Control or them in 2
2	The best case complexity in insertion Sort algorithm is?
Α	$O(n^2)$
В	O(nlog n)
С	O(n)
D	None of these
AN	С
DL	E
3	In the best case scenario while implementing Insertion sort algorithm, How many
	comparisons occur in inner loop?
Α	n-1
В	n/2
С	1
D	None of these
AN	С
4	In the worst case scenario the elements in the Insertion Sort algorithm will be?
Α	Strictly Increasing order
В	Strictly Decreasing Order
С	ZigZag order
D	None of these
AN	В
DL	M
5	In the best case algorithm the elements in Insertion Sort algorithm will be?
Α	Strictly Increasing order
В	Strictly Decreasing Order
С	ZigZag order
D	None of these5
AN	A
DL	E
	·
6	What would be the space complexity and auxiliary space complexity when we implement
	Insertion Sort Algorithm?
Α	O(n), O(1)
В	O(1), O(n)
С	O(1), O(1)
D	None of these
AN	С
<u> </u>	

7	Which of the following properties is satisfied by Insertion Sort algorithm?
Α	It is in place algorithm
В	It has best case complexity of O(n)
С	Overall complexity is n log n
D	Both A and B
AN	D
DL	M

8	Consider the following array 34 15 29 8. How many number of comparisons required in the third pass to sort the array using bubble sort?
Α	1
В	2
С	0
D	3
AN	1
DL	M

9	Consider the following array 10 9 11 6 15 2. What would be the worst case complexity of
	the sorting operation on the array using bubble sort?
Α	O(n^2)
В	O(n)
С	O(1)
D	O(log n)
AN	A
DL	E

10	Consider the following array 10 9 11 6 15 2. How the array looks like after the second pass
	if applies bubble sort on the array.
Α	9 10 6 11 2 15
В	9 6 10 2 11 15
С	6 9 2 10 11 15
D	9 6 10 2 11 15
AN	В
DL	M

```
Consider the following array 10 9 11 6 15 2. How the array looks like after the third pass if
     applies bubble sort on the array.
     9 10 6 11 2 15
Α
     9 6 10 2 11 15
С
     6 9 2 10 11 15
     9 6 10 2 11 15
D
ΑN
     C
DL
     M
            Consider the following code given below, answer the following questions from 12 to
Scenario
            Insertion_sort(A)
            for j = 2 to A.length
                key = A[j]
            // insert A[j] into sorted sequence of A[i..... j-1]
                i = j-1;
            while(i >0 and A[i] > key)
                   A[i+1] = A[i];
                   i = i - 1;
                A[i+1] = key;
```

12	How many numbers of swapping is required in the worst case scenario in the above
	algorithm? Assuming there are n elements?
Α	1
В	$n^2$
С	n-1
D	None of these
AN	В
DL	M

13	How many numbers of comparisons are required in the worst case scenario in the above algorithm? Assuming there are n elements?
Α	n <sup>2</sup>
В	0
С	1
D	n-1
AN	A
DL	M

14	How many numbers of swapping is required in the best case scenario in the above algorithm? Assuming there are n elements?
Α	
В	0
С	1
D	n-1
AN	B
DL	M
DL	IVI
15	How many numbers of comparisons are required in the best case scenario in the above algorithm? Assuming there are n elements?
Α	n <sup>2</sup>
В	0
С	1
D	n-1
AN	D
DL	M
16	If we use Binary Search instead of linear search while implementing Insertion sort ,then
	total number of swapping involved will be?
Α	O(log n)
В	O(n)
С	$O(n^2)$
D	None of these
AN	C
DL	M
17	If we use Binary Search instead of linear search while implementing Insertion sort ,then
	total number of comparisons and time complexity will be?
Α	O(log n) and O(nlog n)
В	O(n) and O(nlog n)
С	O(log n) and O(n <sup>2</sup> )
D	None of these
AN	Α
DL	М

18	If we use a Doubly Linked list instead of linear search while implementing Insertion sort,
	then total number of comparisons involved will be?
Α	O(log n)
В	O(n)
С	$O(n^2)$
D	None of these
AN	С
DL	M

19	If we use a Doubly Linked list instead of linear search while implementing Insertion sort,
	then total number of swapping involved will be?
Α	O(log n)
В	O(n)
С	$O(n^2)$
D	None of these
AN	В
DL	M

20	Which of the following is not an example of a non comparison algorithm?
Α	Counting Sort
В	Radix Sort
С	Bucket Sort
D	Bubble sort
AN	D
DL	M

21	Which of the following algorithms will in its typical implementation give best performance when applied on an array that is sorted or almost sorted? Assume there to be one or two misplaces?
Α	Counting Sort
В	Insertion Sort
С	Bucket Sort
D	Quick Sort
AN	В
DL	M

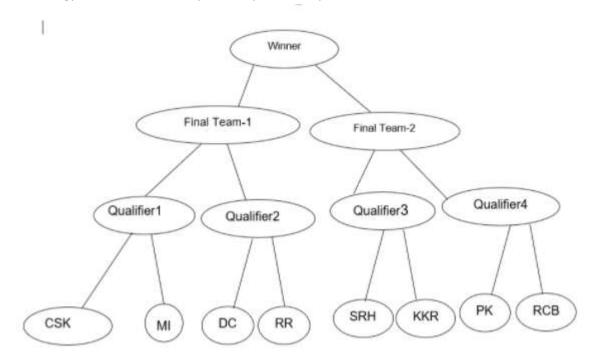
22	Bubble sort can be categorized into which of the following?
Α	Greedy Algorithm
В	Dynamic Programming
С	Brute force technique
D	Divide and conquer
AN	C
DL	E

### 2.5. Heap Sort

## 2.5.1. Heap Analogy

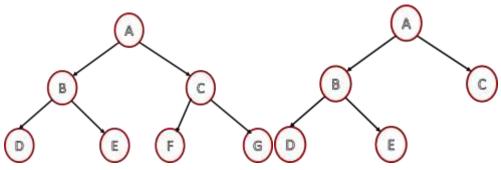
A Knock out tournament is organized where first round is the quarter final in which there are 8 teams CSK, Mumbai Indians, Delhi Capitals, Kolkata Knight Riders, Punjab Kings, Rajasthan Royals, RCB, Sunrisers Hyderabad participated.

After the first round four teams will reach in semi final round where two semi finals will be played. From the semi Final round, two team will reach in final. Now the winner of the final will take This analogy resemble the concept of Heap(Max-Heap).



## 2.5.2. Pre requisite:- Complete Binary Tree

It is a type of binary tree in which all levels are completely filled except possibly the last level. Also last level might or might not be filled completely. If last level is not full then all the nodes should be filled from the left.



Note:- In the above diagram, nodes are filling from left to right and level via level

**Application:** To implement Heap Data structure.

#### 2.5.3.Heap:

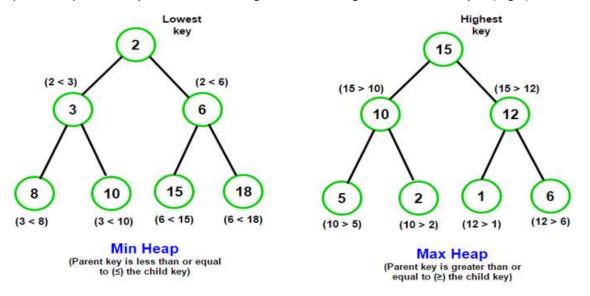
A Binary heap is a complete Binary Tree which makes it suitable to be implemented using array.

A Binary Heap is categorized into either Min-Heap or Max-Heap.

In a Min Binary Heap, the value at the root node must be smallest among all the values present in Binary Heap. This property of Min-Heap must be true repeatedly for all nodes in Binary Tree.

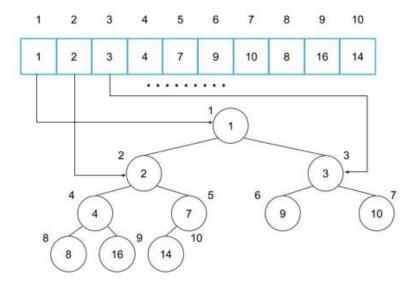
In a Max Binary Heap the value at the root node must be largest among all the values present in Binary Heap. This property of Max-Heap must be true repeatedly for all nodes in Binary Tree.

As heap is a complete binary tree therefore height of tree having N nodes will always O(log n).



#### 2.5.3.1 Implementation of Heap:

If Heap can be implemented using Array. Assume that Array indexes start from 1.



You can access a parent node or a child nodes in the array with indices below.

A root node | i = 1, the first item of the array

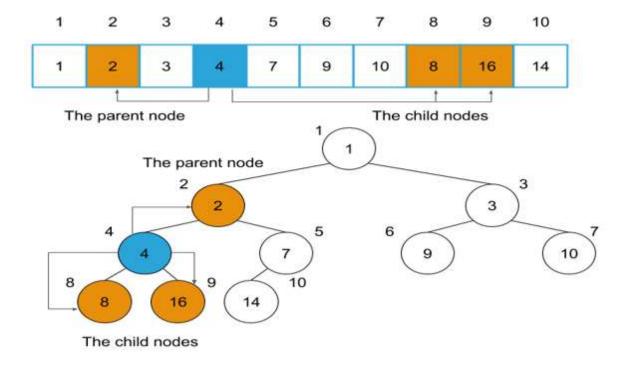
A left child node | left(i) = 2\*i

A right child node | right(i)=2\*i+1

A parent node | parent(i) = i / 2

When you look at the node of index 4, the relation of nodes in the tree corresponds to the indices of the array below. If i = 4, Left Child will be at 2 \* 4 that is  $8^{th}$  position and Right Child will be at  $(2*4 + 1) 9^{th}$  position.

Also if the index of left child is 4 then index of its parent will be 4/2 = 2.



#### 2.5.3.2 Heap Operations

#### 1. Construct max Heap:

Following two operations are used to construct a heap from an arbitrary array:

- a) MaxHeapify—In a given complete binary tree if a node at index k does not fulfill max-heap property while its left and right subtree are max heap, MaxHeapify arrange node k and all its subtree to satisfy maxheap property.
- **b) BuildMaxHeap**—This method builds a Heap from the given array. So BuildMaxHeap use MaxHeapify function to build a heap from the

#### MaxHeapify(A,i,N) is a subroutine.

When it is called, two subtrees rooted at Left(i) and Right(i) are max-heaps, but A[i] may not satisfy the max-heap property.

MaxHeapify(A,i,N) makes the subtree rooted at A[i] become a max-heap by letting A[i] "float down".

#### **Example:**

- a) Given an arrays as below
- b) First construct a complete binary tree from the array
- c) Start from the first index of non-leaf node whose index is given by n/2 where n is the size of an array.

- d) Set current element having index k as largest.
- e) The left child index will be 2\*k and the right child index will be 2\*k + 1 (when array index starts from 1).

If left Child value is greater than current Element (i.e. element at kth index), set leftChildIndex as largest index.

If rightChild value is greater than element at largest index, set rightChildIndex as largest index.

- f) Exchange largest with currentElement(i.e. element at kth index).
- g) Repeat the steps from (c) to (f) until the subtrees are also get heapify.

## ALGORITHM MaxHeapify(A[], k, N) BEGIN:

```
L = Left(k) //Left(k)=2*k

R = Right(k) //Right(k)=2*k+1

//Initialize Largest index

IF L ≤ heap-size(A) and A[L] > A[k] THEN

largest = L

ELSE

largest = k

IF R ≤ heap-size(A) and A[R] > A[largest] THEN

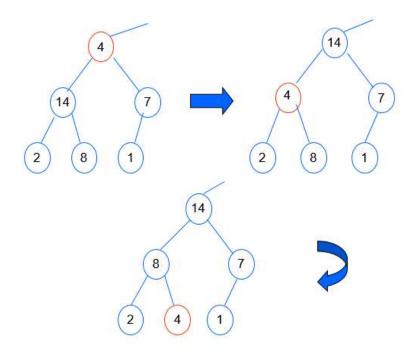
largest ← R

IF largest != k THEN

Exchange A[k] with A[largest]

MaxHeapify (A, largest, N)
```

END;



Left child of orange marked node is 2 and right child is 8.

#### Steps:

- 1) Is 4 > 2, greater is 4 stored in temp.
- 2) Is 4 > 8, greater is 8, now largest is 8. Temp is having index of 8.
- 3) Swap the element at index of marked node and temp node.

## ALGORITHM BuildMaxHeap(A[], N) BEGIN:

FOR i = N/2 TO 1 STEP - 1 DO

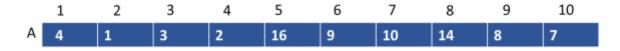
MaxHeapify(A, i, N)

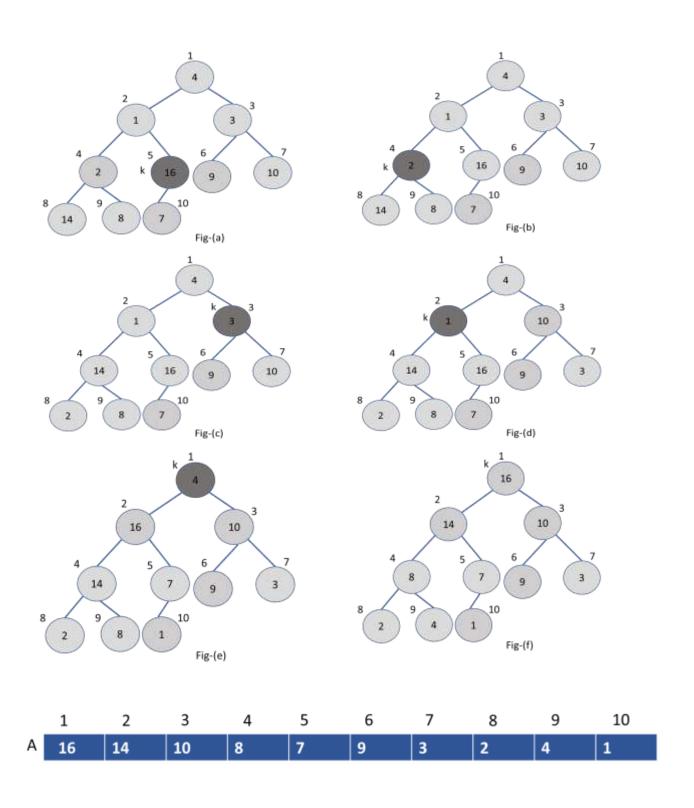
END;

#### **Analysis**

As we know that time complexity of Heapify operation depends on the height of the tree i.e. H and H should be log N when there are N nodes in the heap.

The height 'h' increases as we move upwards along the tree. Build-Heap runs a loop from the index of the last internal node N/2 with height=1, to the index of root(1) with height = lg(n). Hence, Heapify takes different time for each node, which is  $\theta(h)$ .





Finally, we have got the max-heap in fig.(f).

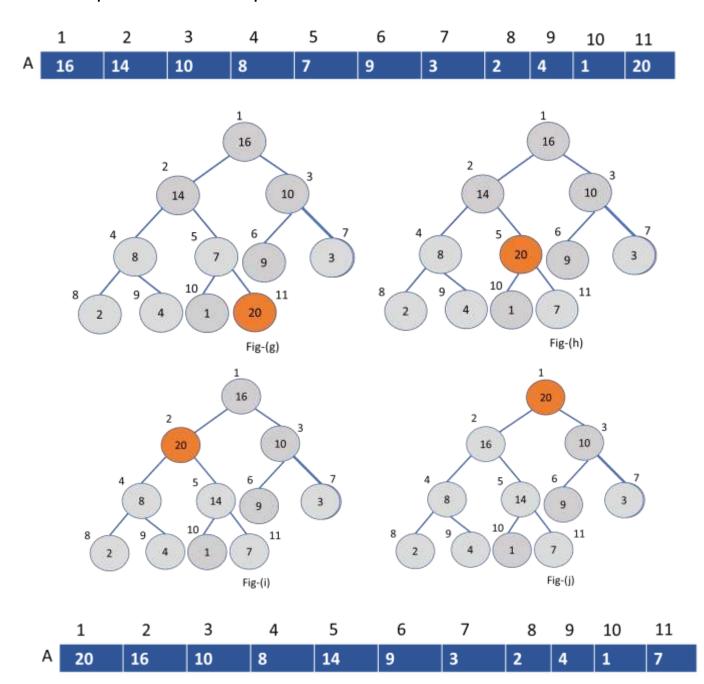
## 2. Insert operation:

To insert an element in Max Heap.

Following are the steps to to insert an element in Max Heap.

- a) First update the size of the tree by adding 1 in the given size.
- b) Then insert the new element at the end of the tree.
- c) Now perform Heapify operation to place the new element at its correct position in the tree and make the tree either as max heap or min heap.

#### Example: To show how insert operation works.



```
This is the array representation of the given max-heap.
```

```
Algorithm: InsertNode( A[ ], N, item)
BEGIN:
```

```
N = N + 1;
A[ N ] = item;
ReHeapifyUp( A[ ], N, k)
```

Algorithm: ReHeapifyUp( A[], N, k)

**BEGIN:** 

END;

END;

#### **Analysis:**

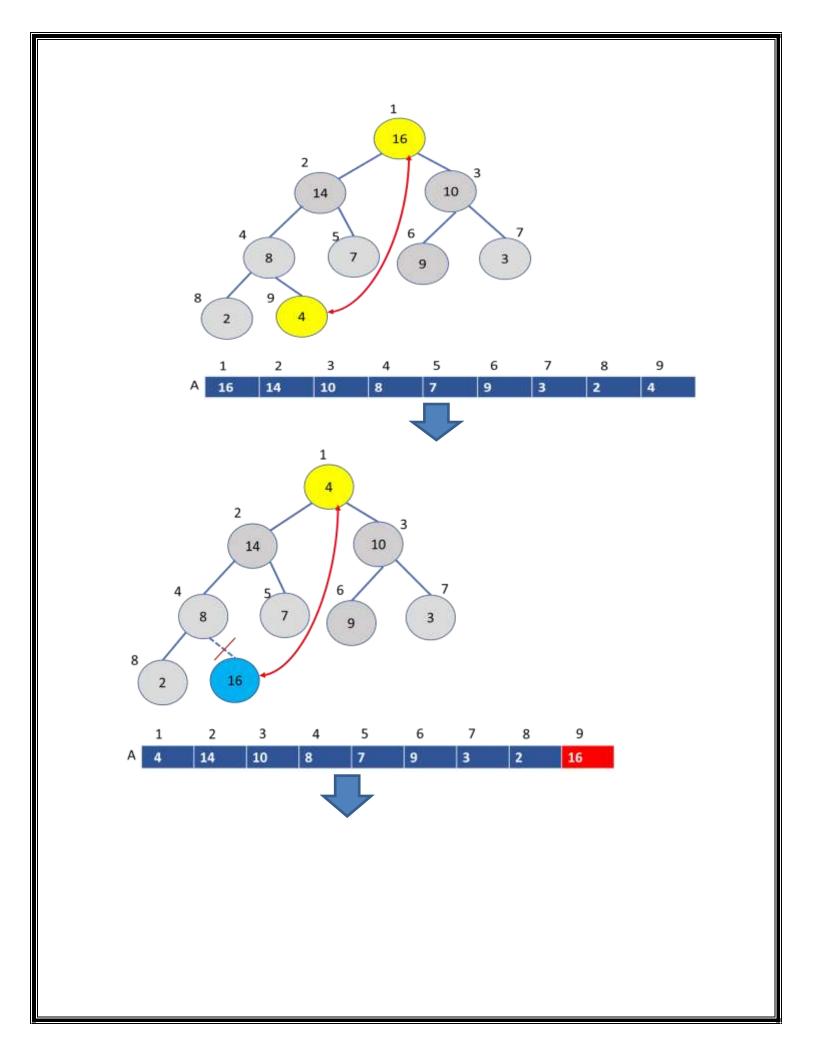
Time Complexity:  $\theta(\log n)$ 

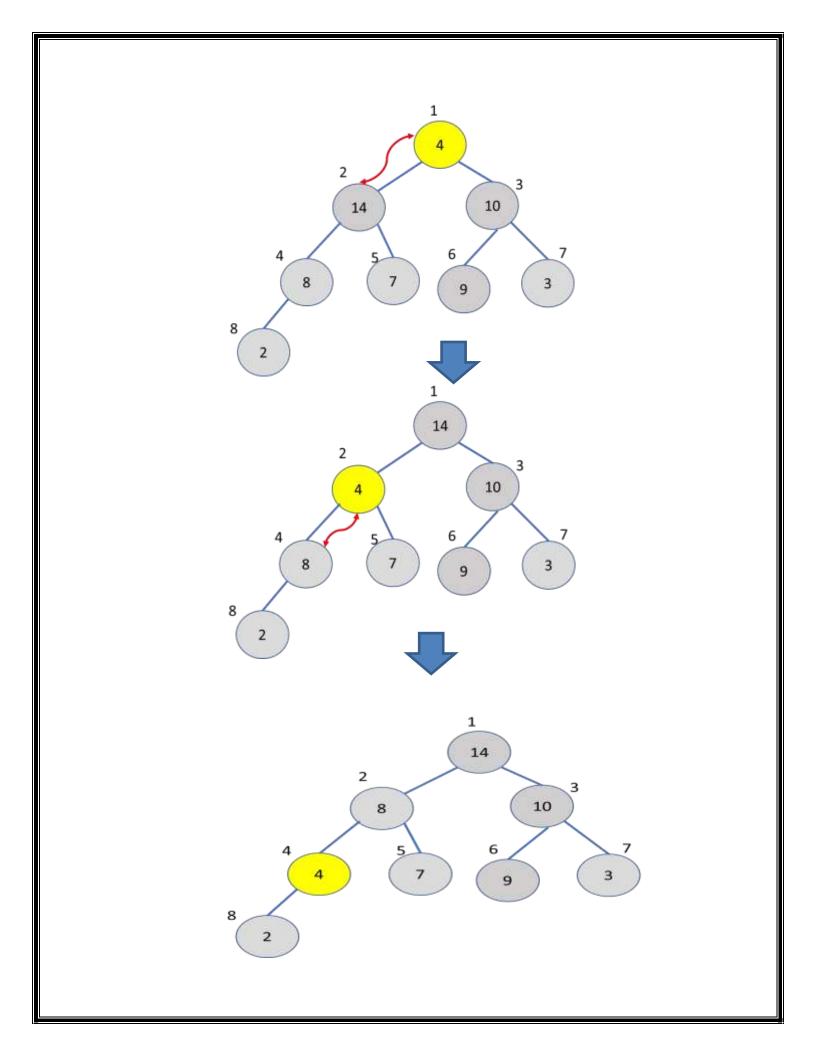
#### 3 Delete Operation:

**Method-1** To delete root element from Max Heap.

Following are the steps to delete an element from Max Heap.

- a) First exchange theroot element with the last element in the heap...
- b) Then remove the last element by decreasing the size of the tree by 1.
- c) Now perform Heapify operation to make the tree either as max heap or min heap.





**Method-2** To delete an element at any position from Max Heap.

Following are the steps to delete an element from Max Heap.

- a) First pick the element to be deleted.
- b) Now exchange it with the last element.
- c) Then remove this element by decreasing the size of the tree by 1.
- d) Now perform Heapify operation to make the tree either as max heap or min heap.

#### Algorithm: DelHeap(A[], N)

#### **BEGIN:**

```
lastitem = A[N]  // Get the last element

A[1] = lastitem;  // Replace root with first element

N = N - 1;  // Decrease size of heap by 1

MaxHeapify(A,1,N);  // heapify the root node
```

#### END;

#### Analysis:

Time Complexity:  $\theta(\log n)$ 

#### 2.5. 4. Heap Sort

Heap Sort is a popular and efficient sorting algorithm to sort the elements.

**Step1:** In the Max-Heap largest item is always stored at the root node.

**Step 2:** Now exchange the root element with the last element in the heap.

**Step 3:** Decrese the size of the heap by 1.

**Step 4:** Now performMax-Heapify operation so that highest element should arrive at root. Repeat these steps until all the items are sorted.

#### **Example:**

Step1: In the Max-Heap largest item is always stored at the root node.

Step 2 Now exchange the root element with the last element.

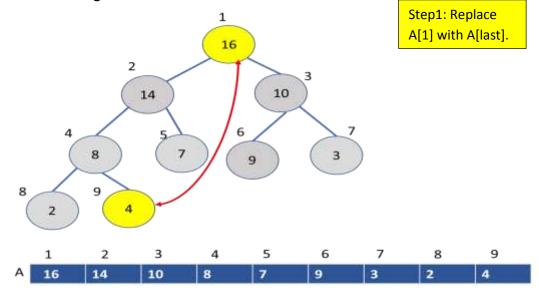


Fig-(k)

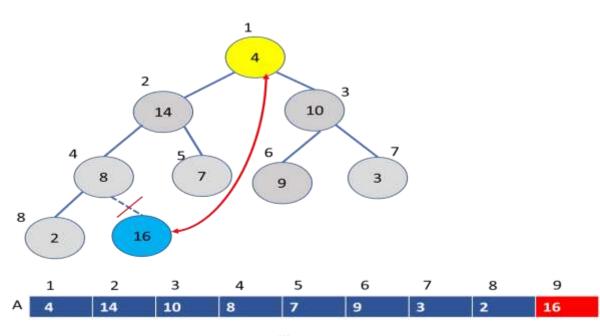


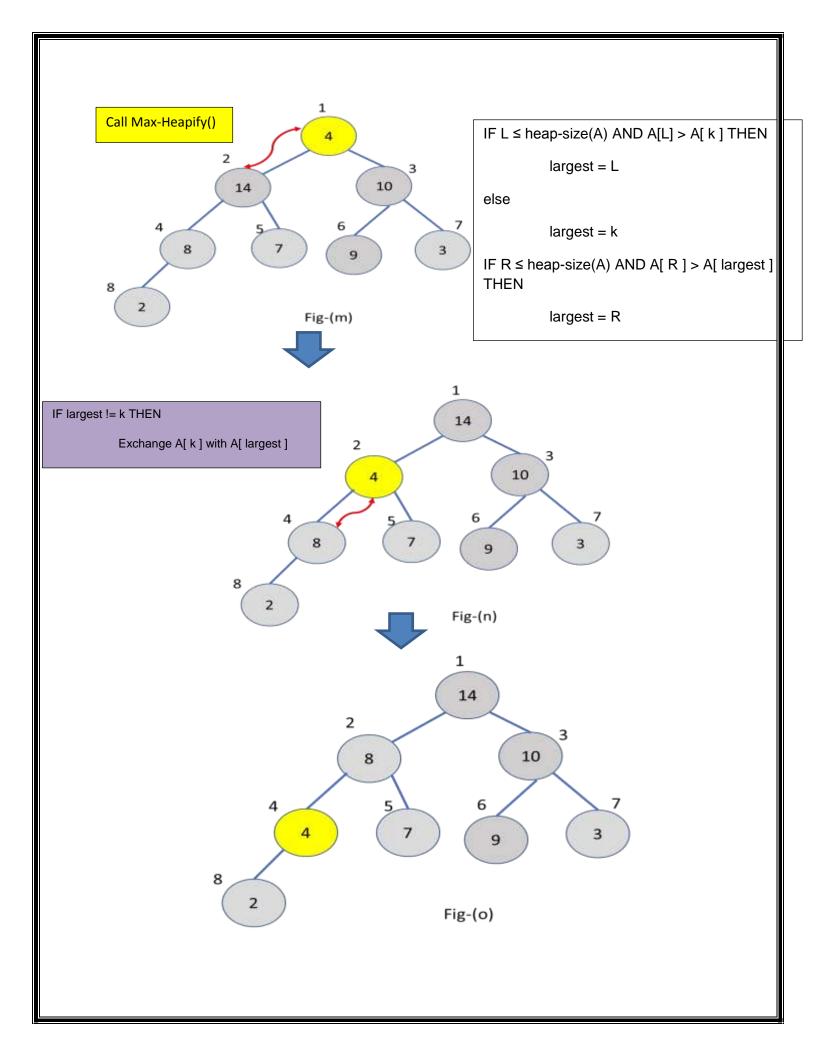
Fig-(I)

Step3 Decrese the size of the heap by 1.

Step4 Now perform Max-Heapify operation so that highest element should arrive at root.

$$L = 2*k$$

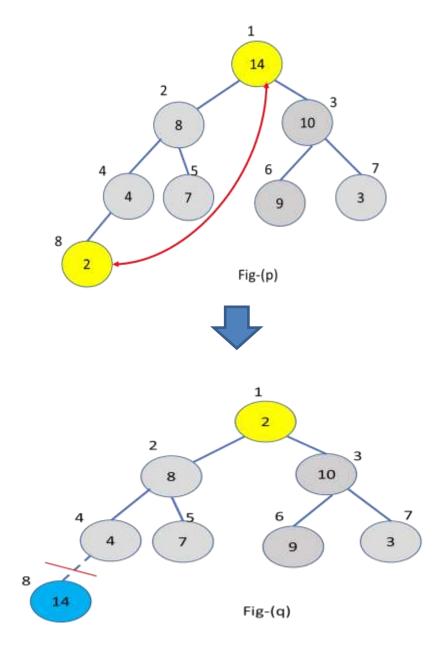
$$R = L+1$$



	_	_			5					
Α	14	8	10	4	7	9	3	2	16	

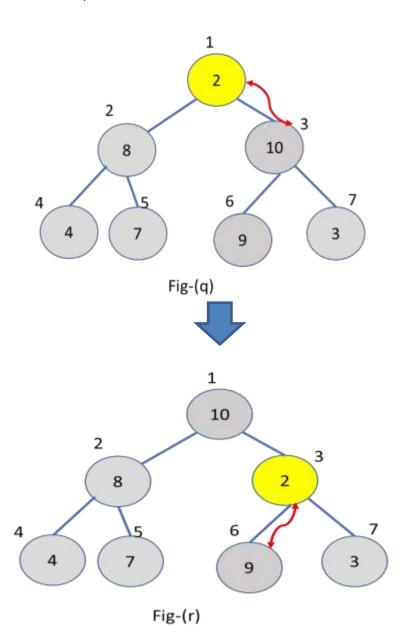
Now this is the Max-heap after performing first deletion of root element.

## Repeat these steps until all the items are sorted.

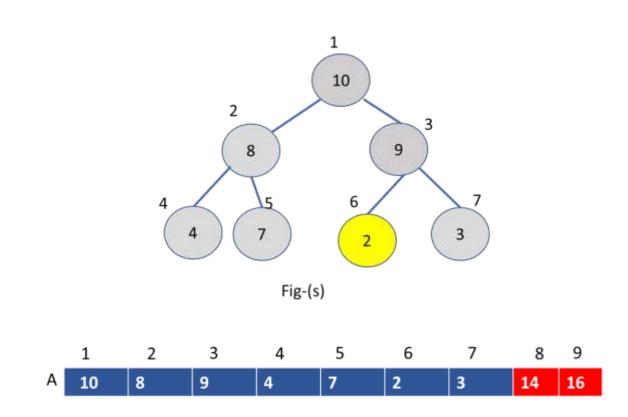


			3						
Α	2	8	10	4	7	9	3	14	16

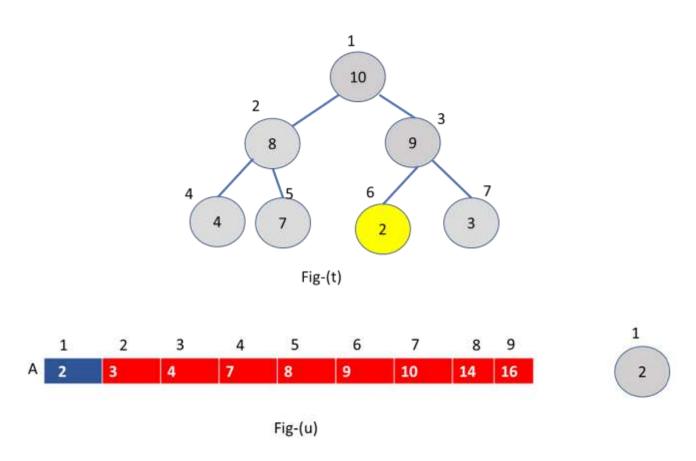
Now, the last two fields of the array are sorted.







We repeat the process until there is only one element left in the tree:



This last element is the smallest element and remains at the beginning of the array. The algorithm is finished, as the array is sorted:



## ALGORITHM HeapSort(A[], N) BEGIN:

```
BuildMaxHeap(A, N)

FOR j = N to 2 STEP -1 DO

Exchange(A[j], A[1]) /*Exchange root and last Node in the Array*/

MaxHeapify(A, 1, j-1) /*Readjust the Heap starting from root node*/
```

END;

#### **Analysis**

Heap Sort has  $\theta$ (nlog n) time complexities for all the cases (best case, average case, and worst case). As heap is the complete binary tree so the height of a complete binary tree containing n elements is log n. During the sorting step, we exchange the root element with the last element and heapify the root element. For each element, this again takes log n worst time because we might have to bring the element all the way from the root to the leaf. Since we repeat this n times, the heapsort step is also nlog n.

It performs sorting in  $\theta(1)$  space complexity as it is in-place sorting.

## 2. 5.5 Uses of Heap Sort

- 1. **Heapsort**: One of the best sorting methods being in-place and log(N) time complexity in all scenarios.
- 2. **Selection algorithms**: Finding the min, max, both the min and max, median, or even the kth largest element can be done in linear time (often constant time) using heaps.
- 3. **Priority Queues**: Heap Implemented priority queues are used in Graph algorithms like Prim's Algorithm and Dijkstra's algorithm. A heap is a useful data structure when you need to remove the object with the highest (or lowest) priority. Schedulers, timers
- 4. **Graph algorithms**: By using heaps as internal traversal data structures, run time will be reduced by polynomial order. Examples of such problems are Prim's minimal
- 5. Because of the lack of pointers, the operations are faster than a binary tree. Also, some more complicated heaps (such as binomial) can be merged efficiently, which is not easy to do for a binary tree.

#### 2.5.6. Variant of Heap Sort

First, we will see some Variant of Heap sort:- Some variants of heapsort are as follows:-

1. Ternary Heap Sort:- Here we use ternary heap instead of binary heap. A ternary heap is one where each node is having three children. It uses fewer numbers of comparison and swapping as compare to Binary Heap Sort.

**A ternary heap** is a data structure which is part of the heap family. It inherits the properties from ternary tree and the heap data structure.

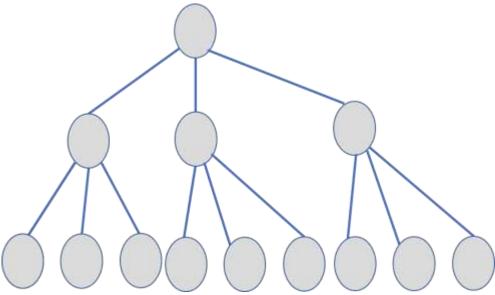
**Types:** There are two kinds of ternary heaps:

#### a) Min ternary heap

A min ternary heap has the smallest element as its root. Parent node has to be smaller than or equal to its children.

#### b) Max ternary heap

A max ternary heap has the biggest element as its root. Each node has to be greater than or equal to its children.



In both cases, a ternary heap has to satisfy the heap property, which is every level of tree has to be filled, or if the last level is not filled, the leaves are distributed from left to right.

You can access a parent node or a child nodes in the array with indices below.

A root node | i = 1, the first item of the array

A left child node | left(i) = 3\*i-1

A middle node | mid(i) = 3\*i

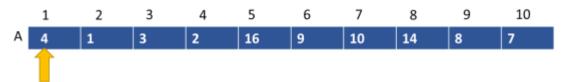
A right child node | right(i)=3\*i+1

A parent node | parent(i) = (i+1) / 3

#### 2. Implementation of Priority Queue using Heap

Priority queue is a type of queue in which every element has a key associated to it and the queue returns the element according to these keys.SO this queue does not follow the concept of FCFS(First Come First Serve).

Thus, a max-priority queue returns the element with maximum key first whereas, a min-priority queue returns the element with the smallest key first.



Largest value is always stored at the root of the heap

## 2.5.7 Uses of Priority Queue:

Heap Implemented priority queues are used in Graph algorithms like Prim's Algorithm and Dijkstra's algorithm. A heap is a useful data structure when you need to remove the object with the highest (or lowest) priority ex-Schedulers, timers etc.

Heap data structure is best to implement priority queue because in max-heap largest value is present at root while in min-heap smallest value is present at root. So Max-Heap can be used to implement Max-Priority Queue and Min-Heap can be used to implement Min-Priority queue.

#### 2.5.8 Operations of the priority queue

Therefore, with the help of heap following operations of the priority queue can be implemented:

- a) Insert(): To insert or add new element in the priority queue.
- b) PrintMax/PrintMin(): To print or get maximum or minimum element from the priority queue.
- c) DeleteMax/DeleteMin(): To delete maximum or minimum element from the priority queue.
- d) UpdatePriority(): To increase or decrease the priority of any element in the priority queue.
- a) Implementation of Insert operation:

To insert an element in Max Heap.

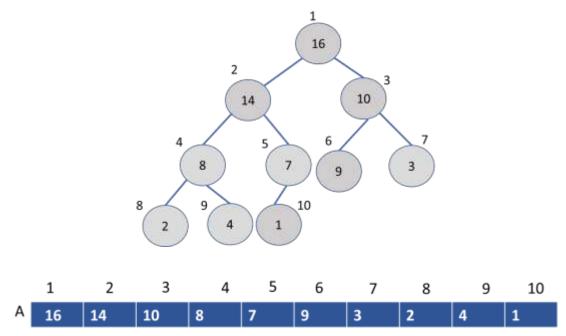
Following are the steps to to insert an element in Max Heap.

- a) First update the size of the tree by adding 1 in the given size.
- b) Then insert the new element at the end of the tree.
- c) Now perform Heapify operation to place the new element at its correct position in the tree and make the tree either as max heap or min heap.

Time Complexity: O(log N) where N is the number of elements

#### b) Implementation of PrintMax()/PrintMin():

As we know that the largest element is present at the root node of max heap and smallest element is present at root in min heap. So simply print the root node to get either lagest element(i.e element with highest priority or key) or smallest element (i.e element with lowest priority or key)



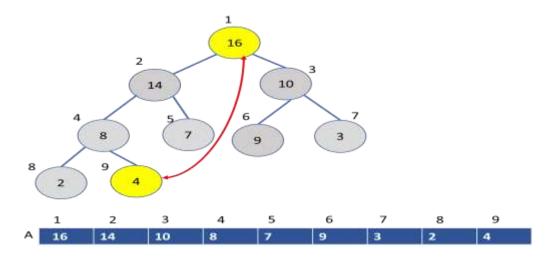
Time Complexity: O(1)

#### c) Implementation of DeleteMax/DeleteMin():

To delete root element from Max Heap.

Following are the steps to delete an element from Max Heap.

- d) First exchange theroot element with the last element in the heap...
- e) Then remove the last element by decreasing the size of the tree by 1.
- f) Now perform Heapify operation to make the tree either as max heap or min heap.



**Time Complexity: O(log N)** where N is the number of elements in heap.

d) Implementation of UpdatePriority():

Using this operation, we can

- i) Either decrease or increase the priority or key of any element then
- ii) Using the heapify procedure place that update priority element at its correct position.

**Time Complexity: O(log N)** where N is the number of elements in heap.

#### Advantages of Using heap to implement Priority Queue:

To maintain the priority queue using array, it take O(Nlog N) time while O(log N) using Heap.

a. **Smooth Sort algorithm:**- This comparison-based algorithm is a variant of Heapsort algorithm, which is not a stable sort algorithm and requires O(nlog n) but may come closer to O(n) time if the input would be sorted to some extent.

## 2.5.9. Heap Sort Gate Questions

1	Consider a max heap, represented by the array: 40, 30, 20, 10, 15, 16, 17, 8, 4.							(Gate 2015)			
	Array Index	1	2	3	4	5	6	7	8	9	
	Value	40	30	20	10	15	16	17	8	4	
Α	40, 30, 20, 10, 15, 16, 17, 8, 4	40, 30, 20, 10, 15, 16, 17, 8, 4, 35									
В	40, 35, 20, 10, 30, 16, 17, 8, 4	40, 35, 20, 10, 30, 16, 17, 8, 4, 15									
С	40, 30, 20, 10, 35, 16, 17, 8, 4, 15										
D	40, 35, 20, 10, 15, 16, 17, 8, 4, 30										
AN	В										
DL	Е										

2	An operator delete (i) for a Binary Heap Data Structure is to be designed to delete the item in the i <sup>th</sup> node. Assume that the heap is implemented in an array and i refers to the i <sup>th</sup> index of the array. If the heap tree has depth d (number of edges on the path from the root to the farthest leaf), then what is the time complexity to re-fix the heap efficiently after the removal of the element? (Gate 2016)
Α	O(1)
В	O (d) but not O (1)
С	O (2d) but not O (d)
D	O (d <sup>2</sup> d) but not O (2d)
AN	В
DL	E

_ [	
3	Consider the following array of elements <89, 19, 50, 17, 12, 15, 2, 5, 7, 11, 6, 9, 100>  The minimum number of interchanges needed to convert it into a max-heap is (Gate 2015)
Α	4
В	5
С	2
D	3
AN	D
DL	E
4	Consider a binary max-heap implemented using an array. Which one of the following array represents a binary max-heap? (Gate 2009)
Α	{25,12,16,13,10, 8,14}
В	{25,14,13, 16,10, 8,12}
С	{25,14,16,13,10, 8,12}
D	{25,14,12,13,10, 8,16}
AN	С
DL	E
4	Consider a binary max-heap implemented using an array. What is the content of the array after two delete operations on the correct answer to the previous question? (Gate 2009)
Α	{14, 13,12,10, 8}
В	{14,12,13, 8,10}
С	{14,13, 8, 12,10}
D	{14,13,12, 8,10}
AN	С
DL	E

6	Consider a max heap, represented by the array: 41, 31, 21, 11, 16, 17, 18, 9, 5. Now consider that a value 35 is inserted into this heap. After insertion, the new heap is							
Α	1, 31, 21, 11, 16, 17, 18, 9, 5, 35							
В	41, 35, 21, 11, 31, 17, 18, 9, 5, 16							
С	41, 31, 21, 11, 35, 17, 18, 9, 5, 16							
D	41, 35, 21, 11, 16, 17, 18, 9, 5, 31							
AN	A							
DL	E							

7	Consider the following array of elements 90, 20, 51, 18, 13, 16, 3, 6, 8, 12, 7, 10, 101 The minimum number of interchanges needed to convert it into a max-heap is ?								
Α	2								
В	4								
С	3								
D	6								
AN	С								

8	Which of the following sequences of array elements forms a heap?					
Α	24, 18, 15, 7, 14, 11, 2, 13, 8, 6					
В	24, 18, 15, 7, 14, 11, 2, 6, 8, 13					
С	24, 18, 15, 8, 14, 11, 2, 6, 7, 13					
D	24, 18, 15, 8, 14, 11, 2, 13, 6, 8					
AN	С					

9	Given a binary-max heap. The elements are stored in an array as 26, 15, 17, 12, 11, 9, 13. What is the content of the array after two delete operations?
Α	15,14,9,13,11
В	15,13,14,11,9
С	15,14,13,9,11
D	15,14,13,11,9
AN	С
DL	E

10.	Consider a max heap, represented by the array: 42, 32, 22, 12, 17, 18, 19, 10, 6. Now consider that a value 36 is inserted into this heap. After insertion, the new heap is								
Α	42, 32, 22, 12, 17, 18, 19, 10, 6, 36								
В	42, 36, 22, 12, 33, 18, 19, 10, 6, 17								
С	42, 32, 22, 12, 36, 18, 19, 10, 6, 17								
D	42, 36, 22, 12, 17, 18, 19, 10, 6, 32								
AN	A								

11	order to perform heap sort , element satisfy ?						
Α	rictly binary tree property.						
В	L tree property.						
С	lmost complete Binary tree property						
D	None of these						
AN	С						

12	Which of the following is not a complete binary tree?
Α	
В	
С	
D	None of these
AN	С

# 2. 5. 10. Practice Questions on Heap Sort:

# **Assignment Questions Heap Sort**

- Q-1) What are the minimum and maximum number of elements in a heap of height h?
- Q-2) Is there a min-heap with seven distinct elements so that the preorder traversal of

it gives the elements in sorted order?

- Q-3) Show the steps for deleting an arbitrary element from min heap.
- Q-4) What could be the height of a heap with n elements?
- Q-5) Is there any optimized method to improve the time complexity for finding the Kth smallest element in min heap.

# **Assessment Questions Heap Sort**

- Q-1) Show a min-heap/max-heap with seven distinct elements so that the inorder traversal of it gives the elements in sorted order?
- Q-2) Show the steps to find the Kth smallest element in min heap. Also calculate the time complexity.

- Q-4) Given a sequence of numbers: 1, 2, 3, 4, 5, 6, 7, 8, 9
  - a. Draw a binary Min-heap by inserting the above numbers one by one
  - b. Also draw the tree that will be formed after calling Dequeue() on this heap
- Q-5) Given an array: [3, 9, 5, 4, 8, 1, 5, 2, 7, 6]. Apply heapify over this to make a min heap and sort the elements in decreasing order?
- Q-6) In Heap-Sort once a root element has been put in its final position, how much time does it take to reheapify the structure so that the next removal can take place?

Reference: DATA STRUCTURE AND ALGORITHM MADE EASY NARASIMHA KARUMANCHI

# **Assignment Questions Heap Sort Variants**

- Q-1) Given a big file containing 10 billion of numbers, how can you find the 20 maximum numbers from that file?
- Q-2) Implementation of Smooth Sort algorithm? (Given that it uses bottom up strategy, depth first and post order traversal).
- Q-3) Implement the Max-Heapify operation, insert operation and delete operation for ternary heap.
- Q-3) How do we implement Queue using heap?
- Q-4) How do we implement stack using heap?

# 2.5.11. Coding Problems on Heap Sort

#### 1) Cube Change Problem

Chandan gave his son a cube with side N. The N X N X N cube is made up of small 1 X 1 X 1 cubes. Chandan's son is extremely notorious just like him. So he dropped the cube inside a tank filled with Coke. The cube got totally immersed in that tank. His son was somehow able to take out the cube from the tank. But sooner his son realized that the cube had gone all dirty because of the coke. Since Chandan did not like dirty stuffs so his son decided to scrap off all the smaller cubes that got dirty in the process. A cube that had coke on any one of its six faces was considered to be dirty and scrapped off. After completing this cumbersome part his son decided to calculate volume of the scrapped off material. Since Chandan's son is weak in maths he is unable to do it alone.

Help him in calculating the required volume.

Practice Problem (hackerearth.com)

### 2) Raghu Vs Sayan Problem

Raghu and Sayan both like to eat (a lot) but since they are also looking after their health, they can only eat a limited amount of calories per day. So when Kuldeep invites them to a party, both Raghu and Sayan decide to play a game. The game is simple, both Raghu and Sayan will eat the dishes served at the party till they are full, and the one who eats maximum number of distinct dishes is the winner. However, both of them can only eat a dishes if they can finish it completely i.e. if Raghu can eat only 50 kCal in a day and has already eaten dishes worth 40 kCal, then he can't eat a dish with calorie value greater than 10 kCal.

Given that all the dishes served at the party are infinite in number, (Kuldeep doesn't want any of his friends to miss on any dish) represented by their calorie value(in kCal) and the amount of kCal Raghu and Sayan can eat in a day, your job is to find out who'll win, in case of a tie print "Tie" (quotes for clarity).

Practice Problem (hackerearth.com)

### 3) Divide Apples Problem

N boys are sitting in a circle. Each of them have some apples in their hand. You find that the total number of the apples can be divided by N. So you want to divide the apples equally among all the boys. But they are so lazy that each one of them only wants to give one apple to one of the neighbors at one step. Calculate the minimal number of steps to make each boy have the same number of apples.

Practice Problem (hackerearth.com)

# 2.6. Merge Sort:

# 2.6.1.Introduction of Merge Sort: Analogy

**Merge sort** is based on divide and conquer approach. It kept on dividing the elements present in array until it cannot be divided further and then we combine all these elements in order to get elements in sorted order.

Analogy 1: King Conquering an entire territory: Suppose you are a king and you wish to conquer an entire territory, your army is awaiting your orders. So, for a feasible approach rather than attacking the entire territory at once, find the weaker territory and conquer them one by one. So, ultimately we take a large land, split up into small problems. Capturing smaller territories is like solving small problems and then capturing as a whole.

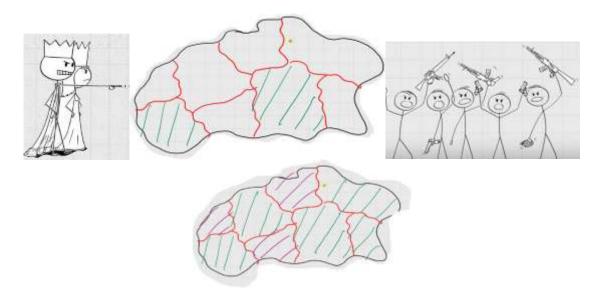


Figure 1: Elaboration about the King Conquering the Territory.

Analogy 2.Searching a page number in book: To straightaway go to any page number of a book let say page 423. I do know the fact that page numbers are serialized from 1 to 710. Initially, I would just randomly open the book at any location. Suppose page 678 opens, I know my page won't be found there. So, my search would be limited to the other half of the book. Now, if I pick any page from other half let say 295. That means that portion of the book is eliminated so I am left with portion of 296- 677 pages. In this way we are reducing our search space. If for the same brute force is used the navigation from page 1 till end would lead to many iterations. So, this gives an idea how merge sort can be applied by the same concept of splitting into smaller sub problems.



Figure 2: Elaboration on searching a page number in book

Analogy 3: Given a loaf of bread, you need to divide it into 1/8th1/8th1/8th pieces, without using any measuring tape:



Figure 3: Elaboration on loaf of bread

One day a man decided to distribute a loaf of bread to eight beggars, he wanted to distribute it in equal proportion among them. He was not having any knife with him so he decided to divide it in two equal halves, now he divided the first ½ into two equal halves that is into two ¼ equal halves, further dividing these ¼ pieces will result in getting four equal 1/8 pieces. Similarly, the second ½ bread loaf can be further divided into two ¼ pieces and then further it can be divided into four 1/8 equal pieces. Hence, total eight equal size pieces is divided among 8 beggars:

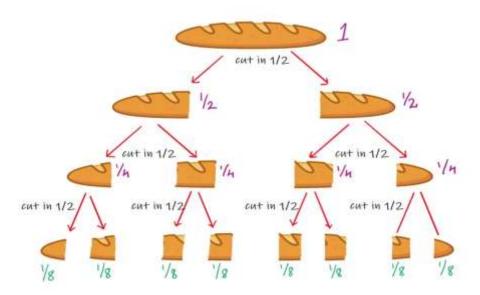


Figure 4: Divide the array into sub-arrays

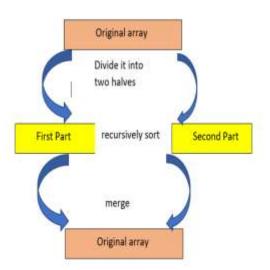
**Conclusion:**-The manner inwhich the bread loaf was broken down in subparts is termed as a Divide procedure. The moment when it cannot be further sub divided is termed as a conquer and when we join together in order to receive the original piece is known as a merging procedure. Merge Sort, thereby work on this Divide and Conquer technology.

# 2.6.2. What is Divide and Conquer Approach and its Categorization:

In Divide and Conquer approach, we break a problem into sub-problems. When the solution to each sub-problem is ready, we merge the results obtained from these subproblems to get the solution to the main problem. Let's take an example of sorting an array A. Here, the sub problem is to sort the sub problem after dividing it into two parts. If q, is the mid index, then the array will be recursively divided into two halves that is from A[p........q] and then from A[q+1......r]. We can merge these and combine the list in order to get data in sorted order.

# 2.6.3. Why Divide and Conquer over Comparison based sorting:

In various comparison based sorting algorithm, the worst case complexity is O ( $n^2$ ). This is because an element is compared multiple times in different passes. For example, In Insertion sorts, while loop runs maximum times in worst case. If array is already sorted in the decreasing order, then in worst case while loop runs 2+3+4+-----n times in each iteration. Hence, we can say that the running time complexity of Insertion Sort in worst case is O ( $n^2$ ).



**Figure 6: Divide and Conquer** 

Using Divide and Conquer approach, we are dividing the array into two sub-arrays. Due to the division focus shift on the half of the elements. Now, number of comparison reduced in context to

comparison based sorting algorithm. Hence, we can say that the complexity of the merge sort will be less than the complexity of comparison based sorting algorithms. In Merge sort, we divide the elements into two sub-arrays on the basis of the size of the array. In Quick Sort, division is done into two sub-arrays on the basis of the Pivot Element.

# 2.6.4. Merge Sort Approach:

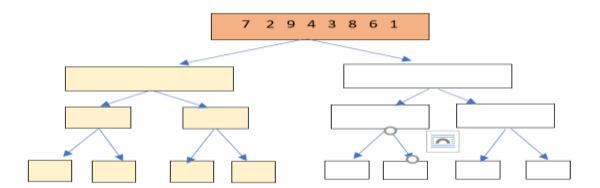
# Theoretical View along with the Diagrammatic representation:

Merge sort is a sorting technique based on divide and conquer technique.

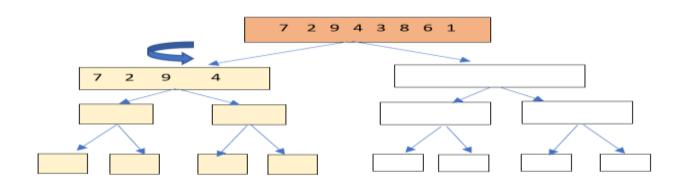
**How Merge Sort Works:** The Merge Sort algorithm has two procedures. These are calling of Merge function and merging procedure. Let's us understand the merging procedure before going towards calling procedure of Merge Sort.

# Flow of Merge Sort:

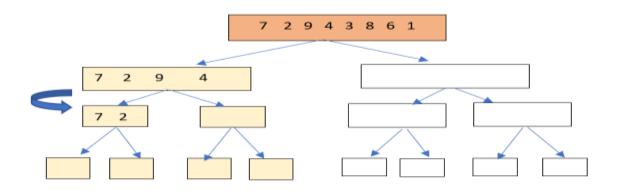
Step 1: Divide the Array of 8 Elements into two equal halves.



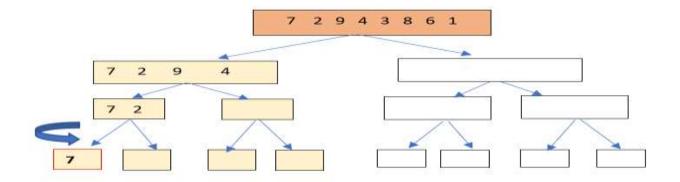
**Step 2: Recursive Call and Partition:** 



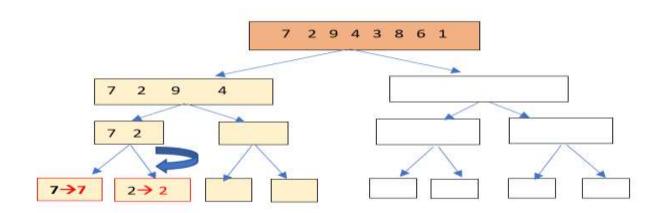
**Step 3: Recursive Call and Partition:** 



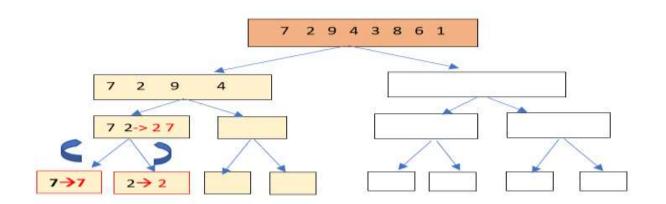
**Step 4: Recursive Call and Base Case:** 



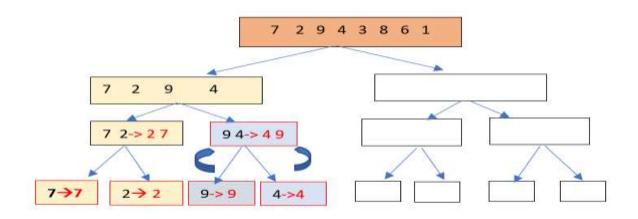
**Step 5: Recursive Call and Base Case:** 



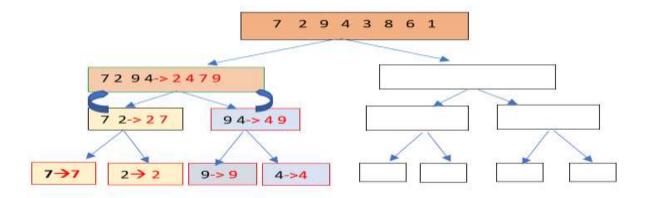
Step 6: Merge:



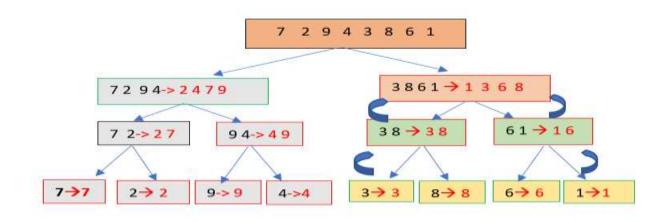
**Step 7: Recursive Call, Base Case, Merge:** 



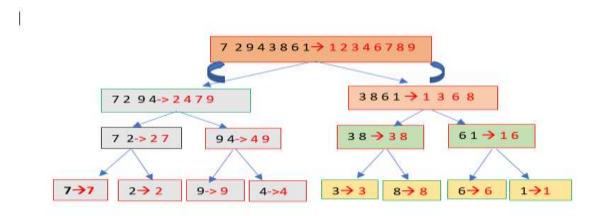
Step 8: Merge:



**Step 9: Recursive Call, Base Case, Merge:** 



Step 10: Merge:



# 2.6.5 Algorithmic View of Merge Sort along with the Example Flow:

Merge Sort Algorithm works in the following steps-

- The first step is to divide the array into two halves- left and right sub arrays.
- Repeative recursive calls are made in second steps.
- This division will be done till the size of array is 1.
- As soon as each sub array contain 1 element, merge procedure is called.
- The merge procedure combines these subs sorted arrays to have a final sorted array.

# **Merging of two Sorted Arrays:**

The heart of the merge sort algorithm is the Merging procedure. In merge procedure, we use an auxiliary array. The MERGE (A, p, q, r) procedure has following tuples :- A is an array and p, q, and r are indices into the array such that  $p \le q < r$ .

The process considers following constraints:-

**Input:-** Two sub-arrays A (p.... q) and A (q+1...... r) are in sorted order.

Output:- Single array which is sorted and having elements ranging from p......q and q+1..... r.

# Pseudo code for performing the merge operation on two sorted arrays is as follows:

```
ALGORITHM Merge(A[], p, q, r)
```

#### **BEGIN:**

```
1. n1 = q-p+1
   2. n2 = r-p
   Create Arrays L[n1+1], R[n2+1]
   4. FOR i = 1 TO n1 DO
   5.
           L[i] = A[p+i-1]
   6. FOR j = 1 TO n2 DO
   7.
           R[i] = A[q+i]
   8. L[n1+1] = ∞

 R[n2+1] = ∞

   10. i = 1
   11. j = 1
   12. FOR k=p TO r DO
          IF L[i] \le R[j] THEN
   13.
   14.
             A[k]=L[i]
             i = i + 1
   15.
          ELSE A[k] = R[j]
   16.
   17.
            j = j + 1
END;
```

Step 1 and Step 2 is used to divide the array into sub-arrays. In Step 3, we will create left and right sub-arrays along with the memory allocation. From First three steps, running time complexity is

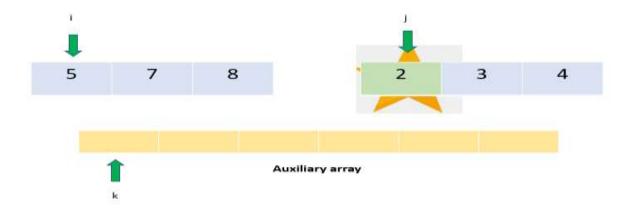
constant  $\theta(1)$ . In Step 4 and 5, Independent for loop is used for assigning Array elements to the left sub-array. In Step 6 and 7, Independent for loop is used for assigning Array elements to the right sub-array. Running Time Complexity of step 4 to step 7 is  $\theta(n_1+n_2)$ . Step 8 and Step 9 is used for storing maximum element in left and right sub-array. Step 10 and Step 11 is used to initialize the variables i and j to 1. Step 12 to Step 17 are used to compare the elements of the left and right sub-array, keeping the track of i and j variables. After comparison, left and right sub-array elements are further stored in original array. After this, running time complexity of Merge Procedure is  $\theta(n)$ .

Let's take an example where we are sorting the array using this algorithm. Here there would be different steps which we have shown later. Here we are using one step in order to show how merging procedure take place:

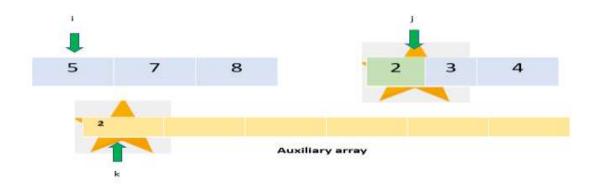


#### Now let's go through step by ;step:-

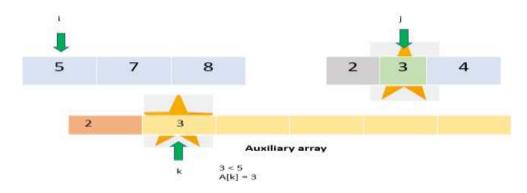
<u>Step-01:</u> Two sub array Left and Right is created, number of elements contained in Left sub array is given by index i and number of elements contained in Right sub array is given by index j. Number of elements contained in Left array will be L[i] = q - p + 1 and number of elements in right most array will be R[j] = r - q.



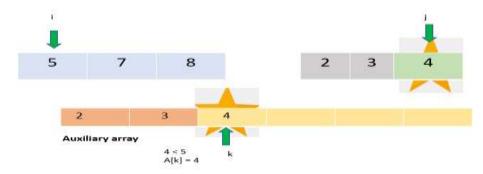
<u>Step-02:</u> Initiallyi, j and k will be 0.As element in right array R[0] is 2 which is than L[0] that is 5. So, A[k] will hold 2.



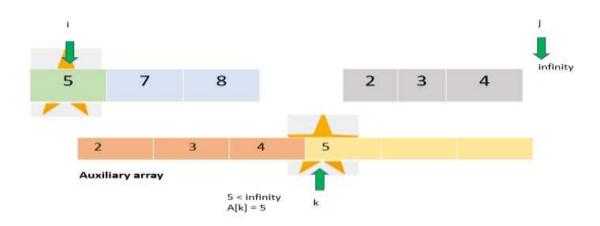
<u>Step-03:</u> Now j = 1, i = 0 and k = 1. Now R[j] will point to 3 and L[i] will point to 5, since 3 is less than 5 A[k] will hold 3.



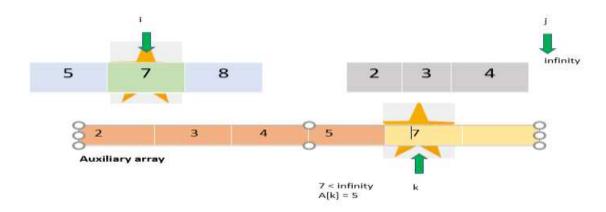
**Step 4:**Nowj = 2, k = 2, i = 0. Since R[j] will point to 4 and L[i] is still at 5 so B[2] will now contains 4.



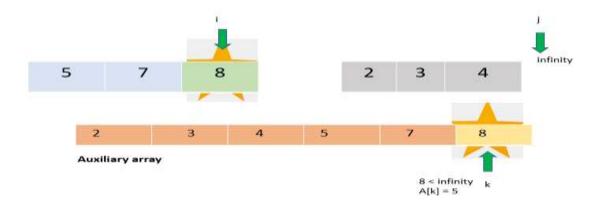
Step-05: Now i = 1, j points to senitel which stores infinity, k = 3. Now A[k] will be 5. Thus, we have-



**Step 6:**Now i = 2, j points to senitel which stores infinity, k is at  $4^{th}$  index. Now A[k] will be holding 7. Thus, we have-



<u>Step-07:</u>Now i = 3, j points to senitel which stores infinity, k is at  $5^{th}$  index. Now A[k] will be holding 8. Thus, we have--



Hence this is how the merging takes place.

#### Merge\_Sort Calling

The procedure MERGE-SORT (A, p, r) recursively calls itself until each element reaches to one that is it cannot be further subdivided. The recursive calling of this procedure is shown by the algo given below:-

```
ALGORITHM MergeSort(A[],p,r)
BEGIN:

IF p<r THEN

q=\lfloor (p+r)/2 \rfloor

MergeSort(A, p, r)

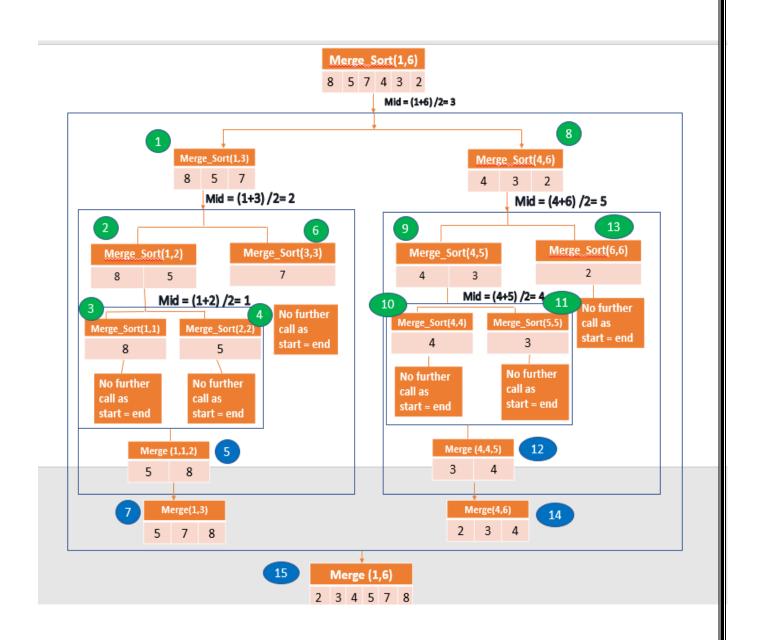
MergeSort(A,q+1,r)

Merge(A,p,q,r)

END;
```

To sort the entire sequence A = A[1], A[2], . . . ,A[n], we make the initial call MERGESORT(A, 1, length[A]), where once again length[A] = n.

In this, p is the first element of the array and r is the last element of the array. In Step 1, we will check the number of the elements in an array. If number of element is 1 then, it can't be further divided. If number of element is greater than 1 then, it can be further divided. q is the variable which specifies from where the array is to be divided. Merge sort function (A, p, r) is divided into two sub function Merge Sort Function(A, p, q) and Merge Sort Function(A,q+1,r). In this, n size problem is divided into two sub problems of n/2 size. This recursive calling will be done and then perform the merge operation in order to unite two sorted arrays into a single array.



# 2.6.6. Complexity Analysis of Merge Sort along with Diagrammatic Flow:

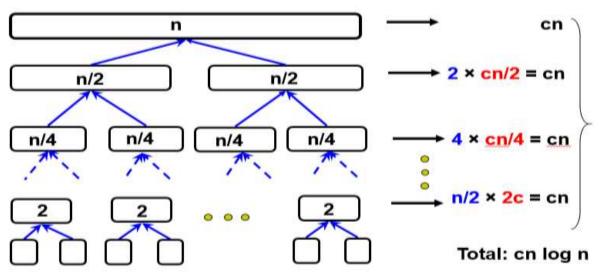
The complexity of merge sort can be analyzed using the piece of information given below:-

- **Divide:** When we find the middle index of the given array and it is a recursive call. So, finding the middle index each time will take  $D(n) = \Theta(1)$  operations.
- Conquer: Whenever we solve two sub-problems, of size n/2 Here n varies from 1 to n/2 as it is a recursive function.
- Combine: Here we are sorting n elements present in array , so  $C(n) = \Theta(n)$ . Hence the recurrence for the worst-case running time T(n) of merge sort will be:

$$T(n) = c \text{ If } n=1$$
  
=  $2T(n/2) + c n$  If n is greater than 1

Where, the constant *c* represents the time required to solve problems of size 1 as well as the time per array element of the divide and combine steps.

By applying Case 2 of the master method, we simply say that the running time complexity of Merge Sort is O (n log n).



Here, recursion tree method is used to calculate the complexity of Merge Sort. In the above diagrammatic representation, we can see how the array is divided in to two sub-arrays. Cost at each level is calculated, which is same as cn. Hence, we can say that there are (log n+1) level in binary tree. At each and every level the cost is same as cn.

Therefore, Total Cost = Cost at each level \* number of levels in the binary tree

- $= c n^* (log n+1)$
- = c n log n+ c n
- =c n log n
- $= \theta (n \log n)$

Hence, running time complexity is  $\theta$  (n log n).

The **time complexity of Merge Sort** is order of (n\*Log n) in all the 3 cases (worst, average and best) as the **merge sort** always divides the array into two halves and takes linear **time** to **merge** two halves.

# 2.6.7. Comparison of Merge Sort Running Time Complexity with other sorting algorithm:

# 1. Comparison of various sorting algorithms on the basis of Time and Space Complexity:

		Space Complexity			
Sorting Algorithms	Best Case	Average Case	Worst Case	Worst Case	
Bubble Sort	Ω(N)	Θ(N^2)	O(N^2)	O(1)	
Selection Sort	Ω(N^2)	Θ(N^2)	O(N^2)	0(1)	
Insertion Sort	Ω(N)	Θ(N^2)	O(N^2)	O(1)	
Quick Sort	Ω(N log N)	Θ(N log N)	O(N^2)	O(N)	
Merge Sort	Ω(N log N)	Θ(N log N)	O(N log N)	O(N)	
Heap Sort	Ω(N log N)	Θ(N log N)	O(N log N)	O(1)	

# 2. Comparison of various sorting algorithms on the basis of its stability and in place sorting:

Sorting Algorithms	In - Place	Stable
Bubble Sort	Yes	Yes
Selection Sort	Yes	No
Insertion Sort	Yes	Yes
Quick Sort	Yes	No
Merge Sort	No (because it requires an extra array to merge the sorted subarrays)	Yes
Heap Sort	Yes	No

# 2.6.7. Variants on Merge Sort:

# 1. Three Way Merge Sort and so on:

Introduction to Three Way Merge Sort: Generally, in merge sort we divide the array into two equal halves and then merge them together to form a sorted array. This process of dividing the array into two halves is called as a 2 way Merge sort. Here, a question arises in the mind that can we divide the array into 3 sub-arrays, 4 sub-arrays or k sub-arrays? Then, we come with an answer that we can divide the arrays into 3 sub-arrays, 4 sub-arrays or k sub-arrays. Process of dividing the array into three sub-arrays and then merging them together to form a sorted array is called as a 3 Way Merge Sort. Process of dividing the array into four sub-arrays and then merging them together to form a sorted array is called as a 4 Way Merge Sort.

Similarly, we can define the K way Merge sort in which we can divide the array in to K sub-arrays and then merge them together to form a sorted array.

### Example 1: Number of Elements are 10. Division is 4, 3 and 3

**Input:**45, -2, -45, 78, 30, -42, 10, 19, 73, 93 **Output:**-45, -42, -2, 10, 19, 30, 45, 73, 78, 93

Example 2: Number of Elements are 2. Division is 1, 1 and 0

Input:24,-18 Output:-18, 24

**Pseudo Code: Three way merge Sort:** 

Merge sort 3(A [1....n]):

- 1. If I less than 1, then return A[1....n])
- Let K = n/3 and m = 2n/3( considering Ceiling Values)
- Return Merge3(Mergesort3(A[1....K], Mergesort3(A[K+1....m], Mergesort3(A[m+1....n])

**Time Complexity**: In 2 way merge sort, the recurrence obtained are:  $T(n) = 2T(n/2) + \theta(n)$ . By applying master method on above mentioned recurrence we can compute the running time complexity of 2 way merge sort which is  $\theta$  ( $n \log n$ ). This is obtained with the help of Case 2 of the Master Method. Similarly, in case of 3-way Merge sort the recurrence obtained is: T(n) = 3T(n/3) + O(n) by solving it using Master method Case 2, we get its complexity as  $O(n \log_3 n)$ . Similarly, in case of 4-way Merge sort the recurrence obtained is:  $T(n) = 4 + T(n/4) + \theta(n)$  by solving it using Master method Case 2, we get its complexity as  $\theta(n \log_4 n)$ . Similarly, in case of K-way Merge sort the recurrence obtained is:  $T(n) = K + T(n/K) + \theta(n)$  by solving it using Master method Case 2, we get its complexity as  $\theta(n \log_4 n)$ .

# 2.Tim Sort:

**Introduction to Tim Sort:** Tim Peter in 2002 derived a sorting algorithm with his name. In this sorting algorithm, Tim proposed the blended model of two sorting algorithm working together i.e. Insertion and Merge Sort in an optimal way on real world data. It is an adaptive sorting algorithm which requires O (n log n) comparisons to sort an array of n elements in average case and worst case. But in the best case the blended mode of Merge and Insertion sort will take O (n) running time to sort an array of n elements.

#### Example 1:

Input Elements is:-2, 7, 15, -14, 0, 15, 0, 7, -7, -4, -13, 5, 8, -14, 12

21, 15, 15, 18, 7, 7, 5, 0, 0, 2-, 4, -2, -2, -2, -14, -14, -14, -15

**Technique used in Tim Sort:** Let us assume that we are given an array of n elements and we have to sort the given array using Tim Sort. In Tim Sort, division of array is done into several parts on the basis of the run. These runs will further be sort one by one using insertion Sort and then, in turn will be combined together in order to form a sorted array using Merge function. Basic idea behind this sorting algorithm is that Insertion sort works more optimally on the short arrays in comparative to large arrays.

3	10	15	20	21	3	5	10	2	4	5	10	14	16	20
Run 1				F	Run 2	2				Run	3			

# **Step by Step Algorithm for Tim Sort:**

- 1. Divide the given array into the number of sub-arrays on the basis of the runs.
- 2. Next Step is to consider the size of the run which can either be 32 or 64.
- 3. Next Step is to sort each run one by one using Insertion Sort.
- 4. Then, Merge the sorted runs one by one in such a way using the merge function of the Merge Sort.
- 5. After performing Merge function of Merge Sort, we will then combine the sorted subarrays in to the sorted array.

**Time Complexity:** Time complexity of Tim Sort in best case is better than the running time complexity of Merge Sort. This is only because of the blended mode used by the Tim sort to sort elements. Blended way means, Tim Sort is basically a combination of Insertion sort and Merge Sort.

Complexity	Best Case Complexity	Average Case Complexity	Worst Case Complexity		
Time Complexity	Ω(n)	θ(n log n)	O(n log n)		

# 3. Iterative Merge Sort (Bottom up Merge Sort):

# Introduction to Iterative Merge Sort (Bottom up Merge Sort):

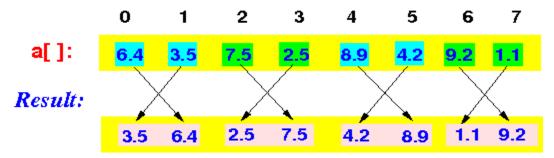
In Bottom-up Merge Sort, we will consider each element of an array as a separate sub-array. Then, we will merge the pairs of adjacent arrays of 1 element each. Then, merges pairs of adjacent arrays of 2 elements, next merges pairs of adjacent arrays of 4 elements and so on, until the whole array is merged.

### **Example on Iterative Merge Sort (Bottom up Merge Sort):**

**Input array:** 6.4 3.5 7.5 2.5 8.9 4.2 9.2 1.1

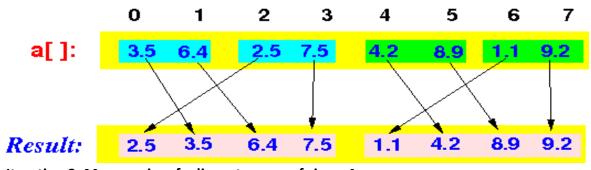
# Iteration 1: Merge pairs of adjacent arrays of size = 1

Merge pairs of arrays of size I



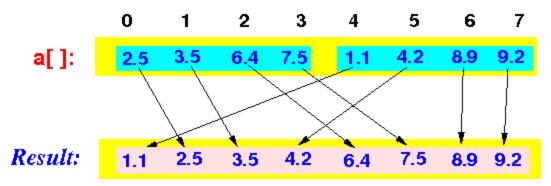
Iteration 2: Merge pairs of adjacent arrays of size = 2

Merge pairs of arrays of size 2



Iteration 3: Merge pairs of adjacent arrays of size = 4

# Merge pairs of arrays of size 4



**Time Complexity:** Running Time Complexity of Iterative Merge sort will be same as the recursive merge sort that is O (n log n).

# 2.6.8. Real life scenarios where Merge sort can be used:

There are various real life scenarios where merge sort can be used. In this, we will discuss about the two scenarios in order to have a depth understanding of the concept.

- 1. E-commerce website: Most of us might see a section in various e-commerce website" You might like" in which we found some stuff of our choice .Do you know how it actually work? E-commerce website maintains an array of its all users with their activities on the website and when they have some latest products in their products list, which also store them in another array, will in turn divide those latest products in array according to user's array of choice. Another mega example of using merge sorting is two of India's biggest e-commerce website policybazar.com and trivago.com who use merge sorting for creating best price and stuff for its users.
- 2. **Division of Long Loaf of Breads:** If you want to divide a long loaf of bread in 8 or 16 equal pieces, generally people cut it into two equal halves first and then cut each half into two equal halves again, repeating the process until you get as many pieces as you want 8, 16, 32, or whatever. Almost nobody tries to divide the loaf into 8 pieces all at once people can guess halves much better than eighths.

# 2.6.9. Animation of Merge Sort: Step by Step Guide:

Following are the two links for better understanding of Merge Sort Concept with the Example:

- 1. Merge Sort Concept: <a href="https://www.youtube.com/watch?v=dxulwsPKRts">https://www.youtube.com/watch?v=dxulwsPKRts</a>
- 2. Merge Sort Example Step by Step: <a href="https://www.youtube.com/watch?v=b2z">hp7Q-wU</a>

# 2.6.10. Objective Type Questions on Merge Sort: Solved

1	Merge sort uses which of the following technique to implement sorting?
Α	Backtracking
В	Greedy Algorithm
С	Divide and Conquer
D	Dynamic Programming
ANS	С

2	What is the average case time complexity of merge sort?
Α	O(n log n)
В	$O(n^2)$
С	O(n <sup>2</sup> log n)
D	O(n log n <sup>2</sup> )
ANS	A

3	What is the auxiliary space complexity of merge sort?
Α	O(1)
В	O(log n)
С	O(n)
D	O(n log n)
ANS	С

4	Which of the following method is used for sorting in merge sort?
Α	Merging
В	Partitioning
С	Selection
D	Exchanging
ANS	A

5	Which of the following is not a variant of merge sort?
Α	in-place merge sort
В	bottom up merge sort
С	top down merge sort
D	linear merge sort
ANS	D

6	Which of the following sorting algorithm does not use recursion?
Α	quick sort
В	merge sort
С	heap sort
D	bottom up merge sort
ANS	D

# **Company Based Objective Type Questions on Merge Sort:Solved**

1	Which of the following is not stable sorting in its typical implementation?
Α	Insertion
В	Merge
С	Quick
D	Bubble
ANS	С

2	Consider a situation where swap operation is very costly. Which of the following sorting algorithms should be preferred so that the number of swap operations is minimized in general?
Α	Неар
В	Selection
С	Insertion
D	Merge
ANS	В

3	You have to sort 1 GB of data with only 100 MB of available main memory. Which sorting technique will be most appropriate?
Α	Неар
В	Merge
С	Quick
D	Insertion
ANS	В

4	In a modified merge sort, the input array is splitted at a position one-third of the length (N) of the array. Which of the following is the tightest upper bound on time complexity of this modified Merge Sort?
Α	N( log N base 3)
В	N( log N base 2/3)
С	N( log N base 1/3)
D	N( log N base 3/2)
ANS	D

5	A list of n string, each of length n, is sorted into lexicographic order using
	the merge-sort algorithm. The worst case running time of this computation is
	computation is
Α	O (n log n)
В	O (n <sup>2</sup> log n)
С	O ( $n^2 + \log n$ )
D	O (n <sup>2</sup> )
ANS	В

6	Which of the following is true about merge sort?
Α	Merge Sort works better than quick sort if data is accessed from slow sequential
	memory.
В	Merge Sort is stable sort by nature
С	Merge sort outperforms heap sort in most of the practical situations.
D	All of the above.
ANS	D

# **GATE/PSUs Objective Type Questions on Merge Sort:Solved**

1	Assume that a Merge sort algorithm in the worst case takes 30 seconds for an input of size 64. Which of the following most closely approximates the maximum input size of a problem that can be solved in 6 minutes?GATE 2015
Α	256
В	512
С	1024
D	2048
ANS	В

2	If one uses straight two-way merge sort algorithm to sort the following elements in ascending order: 20, 47, 15, 8, 9, 4, 40, 30, 12, 17 then the order of these elements after second pass of the algorithm is:ISRO 2015
А	8, 9, 15, 20, 47, 4, 12, 17, 30, 40
В	8, 15, 20, 47, 4, 9, 30, 40, 12, 17
С	15, 20, 47, 4, 8, 9, 12, 30, 40, 17
D	4, 8, 9, 15, 20, 47, 12, 17, 30, 40
ANS	В

3	Given two sorted list of size m and n respectively. The number of comparisons needed the worst case by the merge sort algorithm will beISRO 2018
Α	m * n
В	Minimum of m and n
С	Maximum of m and n
D	m + n -1
ANS	D

4	Of the following sorting algorithms, which has a running time that is least dependent on the initial ordering of the input?  ISRO 2018
А	Merge
В	Insertion
С	Selection
D	Quick
ANS	A

5	For merging two sorted lists of size m and n into sorted list of size
	m + n, we require comparisons of
	GATE 1995
Α	O (n )
В	O (m)
С	O (n +m)
D	O (log n + log m)
ANS	С

6	Given Log n sorted list each of size n/ Log n. What is the total time required to merge them into a single list of size n GATE 2016
Α	O (n )
В	O (log n )
С	O (n log n )
D	O (n log log n )
ANS	D

# 2.6.11. Competitive Coding Problems on Merge Sort:Solved

<u>Coding Problem 1:Count of larger elements on right side of each element in an array</u>

Asked in: (Hack-withInfy First Round 2019)

# **Difficulty Level: Hard**

**Step 1: Problem Statement:** Given an array **arr[]** consisting of **N** integers, the task is to count the number of **greater elements** on the **right side** of each array element.

# **Step 2: Understanding the Problem with the help of Example:**

**Input:**arr[] = {3, 7, 1, 5, 9, 2}

**Output:** {3, 1, 3, 1, 0, 0}

**Explanation:** 

For arr[0], the elements greater than it on the right are {7, 5, 9}.

For arr[1], the only element greater than it on the right is {9}.

For arr[2], the elements greater than it on the right are {5, 9, 2}.

For arr[3], the only element greater than it on the right is {9}.

For arr[4], no greater elements exist on the right.

For arr[5], no element exist on the right.

**Step3: Naive Approach:** The simplest approach is to iterate all the array elements using two loops and for each array element, count the number of elements greater than it on its right side and then print it.

Time Complexity:  $O(N^2)$  and Auxiliary Space: O(1)

**Optimized Approach:** The problem can be solved using the concept of **Merge sort** in descending order. Follow the step by step algorithm to solve the problem:

- **1.**Initialize an array **count[]** where **count[i]** store the respective count of greater elements on the right for every **arr[i]**
- **2.**Take the indexes **i** and **j**, and compare the elements in an array.

**3:**If higher index element is greater than the lower index element then, the entire higher index element will be greater than all the elements after that lower index.

**4:**Since the left part is already sorted, add the count of elements after the lower index element to the **count[]** array for the lower index.

**5:**Repeat the above steps until the entire array is sorted.

**6:**Finally print the values of **count[]** array.

Time Complexity: O(N\*log N) and Auxiliary Space: O(N)

# <u>Coding Problem 2:Count pairs (i, j) from given array such that i < j and arr[i] > K \* arr[j]</u>

# Asked in:(Goldman Sachs 2016)

# **Difficulty Level: Moderate**

**Step 1: Problem Statement:**Given an array **arr**[] of length **N** and an integer **K**, the task is to count the number of pairs (**i**, **j**) such that **i** < **j** and **arr**[**i**] > **K** \* **arr**[**j**].

# Step 2: Understanding the Problem with the help of Example:

Input:arr[] =  $\{5, 6, 2, 5\}$ , K = 2

Output: 2

**Explanation:** The array consists of two such pairs:

(5, 2): Index of 5 and 2 are 0, 2 respectively. Therefore, the required conditions (0 < 2 and 5 > 2 \* 2) are satisfied.

(6, 2): Index of 6 and 2 are 1, 2 respectively. Therefore, the required conditions (0 < 2 and 6 > 2  $^*$  2) are satisfied.

**Step3:** Naive Approach: The simplest approach to solve the problem is to traverse traverse an array and for every index, find numbers having indices greater than it, such that the element in it when multiplied by **K** is less than the element at the current index.

### Steps to solve the problem using Naïve Approach:

- 1. Initialize a variable, say **count**, with **0** to count the total number of required pairs.
- 2. Traverse the array from left to right.
- 3. For each possible index, say i, traverse the indices i + 1 to N 1 and increase the value of count by 1 if any element, say arr[j], is found such that arr[j] \* K is less than arr[i].
- 4. After complete traversal of the array, print countas the required count of pairs.

Time Complexity: O(N<sup>2</sup>) and Auxiliary Space: O(N)

**Optimized Approach:** The idea is to use the **concept of merge sort** and then count pairs according to the given conditions.

### Steps to solve the problem Optimized Approach:

- 1. Initialize a variable, say **answer**, to count the number of pairs satisfying the given condition.
- 2. Repeatedly partition the array into two equal halves or almost equal halves until one element is left in each partition.
- 3. Call a recursive function that counts the number of times the condition arr[i] > K \* arr[j] and i < j is satisfied after merging the two partitions.
- 4. Perform it by initializing two variables, say i and j, for the indices of the first and second half respectively.
- 5. Increment j till arr[i] > K \* arr[j] and j < size of the second half. Add (j (mid + 1)) to the answer and increment i.
- 6. After completing the above steps, print the value of **answer** as the required number of pairs.

**Time Complexity:**O (N\*log N) and Auxiliary Space:O (N)

<u>Coding Problem 3:Count sub-sequences for every array element in which they are the maximum</u>

# Asked in:(Hashed-In 2019)

# Difficulty Level: Moderate

**Step 1: Problem Statement:**Given an array **arr[]** consisting of **N** unique elements, the task is to generate an array **B[]** of length **N** such that **B[i]** is the number of subsequencesin which **arr[i]** is the maximum element.

#### **Step 2: Understanding the Problem with the help of Example:**

Input:arr[] = {2, 3, 1}
Output: {2, 4, 1}

**Explanation:** Subsequences in which arr[0] ( = 2) is maximum are  $\{2\}$ ,  $\{2, 1\}$ .

Subsequences in which arr[1] ( = 3) is maximum are {3}, {1, 3, 2}, {2, 3}, {1, 3}.

Subsequence in which arr[2] ( = 1) is maximum is {1}.

**Step 3: Optimized Approach:** The problem can be solved by observing that all the subsequences where an element, arr[i], will appear as the maximum will contain all the elements less than arr[i]. Therefore, the total number of distinct subsequences will be **2**<sup>(Number of elements less than arr[i])</sup>.

#### Steps to solve the problem Optimized Approach

- 1. Sort the array arr[] indices with respect to their corresponding values present in the given array and store that indices in array indices[], wherearr[indices[i]] <arr[indices[i+1]].
- 2. Initialize an integer, **subsequence** with **1** to store the number of possible subsequences.
- 3. Iterate N times with pointer over the range [0, N-1] using a variable, i.
  - a. **B[indices[i]]** is the number of subsequences in which **arr[indices[i]]** is maximum i.e., **2**<sup>i</sup>, as there will be **i** elements less than **arr[indices[i]]**.
  - b. Store the answer for **B[indices[i]]** as **B[indices[i]]** = **subsequence**.
  - c. Update subsequenceby multiplying it by 2.
- 4. Print the elements of the array**B[]** as the answer.

Time Complexity: O(Nlog n) and Auxiliary Space: O(N)

# 2.6.12. Competitive Coding Problems on Merge Sort: unsolved

# <u>Coding Problem 1:Count sub-sequences which contains both the maximum and minimum array element.</u>

# **Difficulty Level: Moderate**

**Problem Statement:** Given an array **arr[]** consisting of **N** integers, the task is to find the number of subsequences which contain the maximum as well as the minimum element present in the given array.

# <u>Coding Problem 2: Partition array into two sub-arrays with every element in the right sub-array strictly greater than every element in left sub-array</u> <u>Difficulty Level: Moderate</u>

**Problem Statement:** Given an array **arr[]** consisting of **N** integers, the task is to partition the array into two non-empty sub-arrays such that every element present in the right sub-array is strictly greater than every element present in the left sub-array. If it is possible to do so, then print the two resultant sub-arrays. Otherwise, print "Impossible".

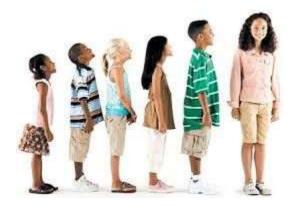
# <u>Coding Problem 3: Count Ways to divide C in two parts and add to A and B to make A strictly greater than B</u>

# **Difficulty Level: Moderate**

**Problem Statement:** Given three integers **A**, **B** and **C**, the task is to count the number of ways to divide **C** into two parts and add to **A** and **B** such that **A** is strictly greater than **B**.

# 2.7. Quick Sort:

**2.7.1. Analogy: Arranging students with different heights: This** is an analogy of quick sort as any one student here looking for its appropriate place can be a pivot. Student's smaller than him will stand ahead of him and taller behind him. In the same way when a single person has found it's exact place the other in the same manner can be the pivot and find it's place. Leading to a very quick way of line formation according to heights.



Quick Sort is another sorting algorithm following the approach of **Divide and Conquer.** Another name of quick sort is **Partition exchange sort** because of the reason, it selects a pivot element and does the array elements partitioning as per that pivot. Placing element smaller than pivot to the left and greater than pivot to the right.

Quick Sort is also known as **Selection exchange sort** because in selection sort we select the position and find an element for that position whereas in Quick Sort we select the element and finding the position. As compared to Merge Sort if the size of input is small, quick sort runs faster.

# 2.7.2. Applications of Quick Sort:

- 1. Commercial applications generally prefer quick sort, since it runs fast and no additional requirement of memory.
- 2. Medical monitoring.
- 3. Monitoring & control in industrial & Research plants handling dangerous material.
- 4. Search for information
- 5. Operations research
- 6. Event-driven simulation
- 7. Numerical computations
- 8. Combinatorial search

- 9. When there is a limitation on memory then randomised quicksort can be used. Merge sort is not an in-place sorting algorithm and requires some extra space.
- 10. Quicksort is part of the standard C library and is the most frequently used tool especially for arrays. Example: Spread sheets and database program.

# **2.7.3.** Partitioning of an array:

Generally, in merge sort we use to divide the array into two-halves but in quick sort division is done on the basis of pivot element which could be either first, last element or any random element.

# Steps to divide an array into sub-arrays on the basis of the Pivot Element:

**Divide:** Initially, we divide Array A into two sub-arrays A[p.....q-1] and A [q+1.....r]. A[q] returns the sorted array element. In line 2 we get q. Every element in A[p.....q-1] are less than or equal to A[q]. Every element in A[q+1.....r] is greater than A[q].

**Conquer:** Recursively call QuickSort.

**Combine:** Nothing done in combine.

**Partitioning Algorithm:** 

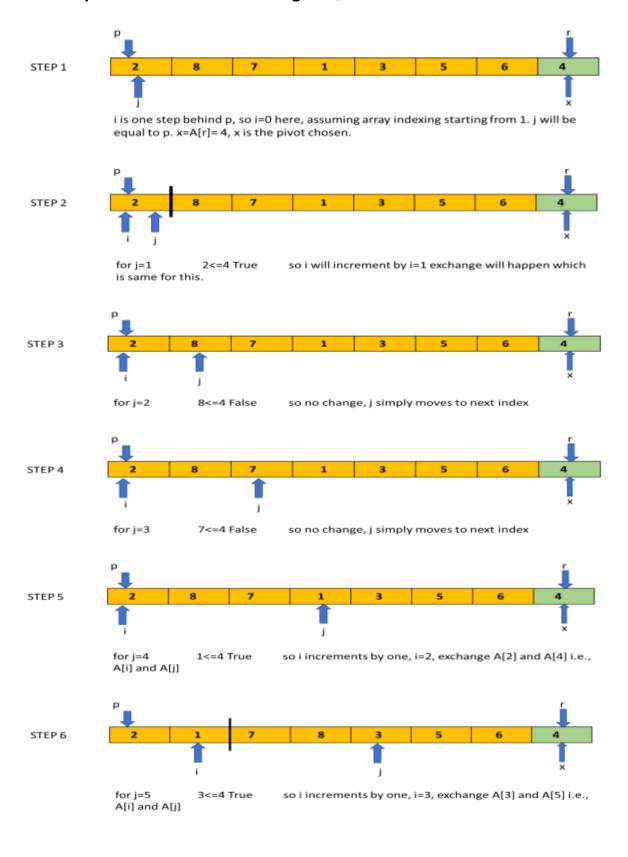
ALGORITHM Partition(A[], p, r)

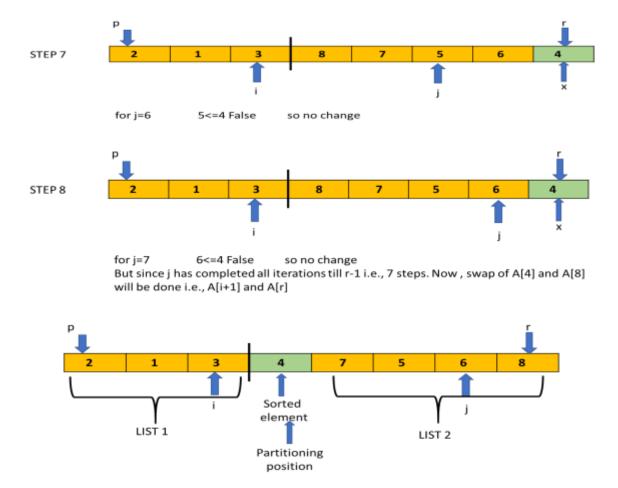
**BEGIN:** 

```
x = A[r]
i = p-1
FOR j = p TO r-1 DO
    IF A[j] < = x THEN
        i = i+1
        ExchangeA[i] with A[j]
Exchange A[i+1] with A[r]
RETURN i+1</pre>
```

END;

# 2.7.4. Example to demonstrate working of Quick Sort:





After first partition call the index of i+1 will be returned which is 4 for the above example.

In same manner, QuickSort partition function will be called once for Array 1 and once for Array 2. For Array 1, QuickSort (A, 1, 3) and for Array 2, QuickSort(A, 5, 8) will be passed for partition function. The algorithm is: Considering p as the index of first element and r as the index of last element:

## ALGORITHM QuickSort(A[], p, r)

#### **BEGIN:**

IF p<r
 q = Partition (A, p, r)
QuickSort(A, p, q-1)
QuickSort(A, q+1, r)</pre>

#### END;

# **Step by step Complexity analysis of Partition Algorithm:**

## **ALGORITHM Partition(A, p, r)**

BEGIN:	Cost	Time
	x = A[r]	1
	i = p-1	1
	FOR j = p to r-1	n+1
	IF A[j] < = x	n
	i = i+1	n
	Exchange A[i] with A[j]	1
	Exchange A[i+1] with A[r]	1
	RETURN i+1C8	1

END;

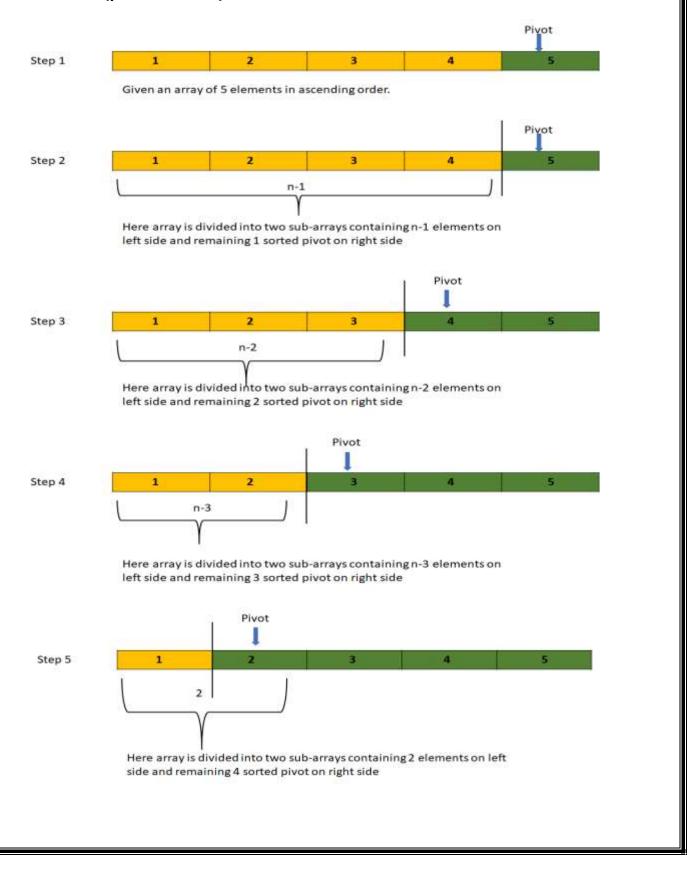
So, total running time calculation:

So, we can say that time complexity:  $\theta(n)$  for partition algorithm. The space complexity of partition algorithm will be  $\theta(1)$ , as 5 extra variables are required.

# 2.7.5.Detailed Complexity Analysis of Quick Sort with the example:

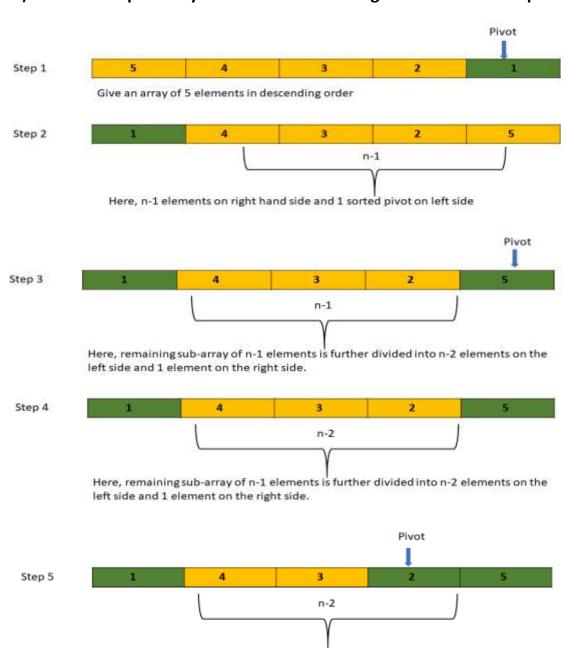
In the performance analysis of QuickSort selection of pivot element plays an important role. This can be classified as 3 cases in the form of input given.

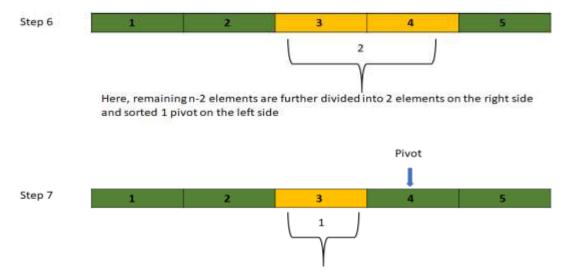
Case 1: a) When the input array is sorted in ascending order. Such a case experiences an unbalanced array split, with (n-1) elements on one side of an array and one as a sorted element (pivot element).





Case 1: b) When the input array is sorted in descending order. Given example below:



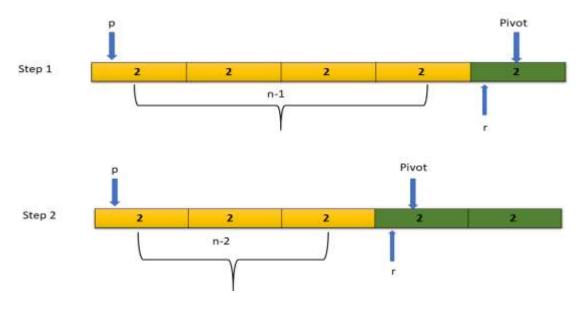


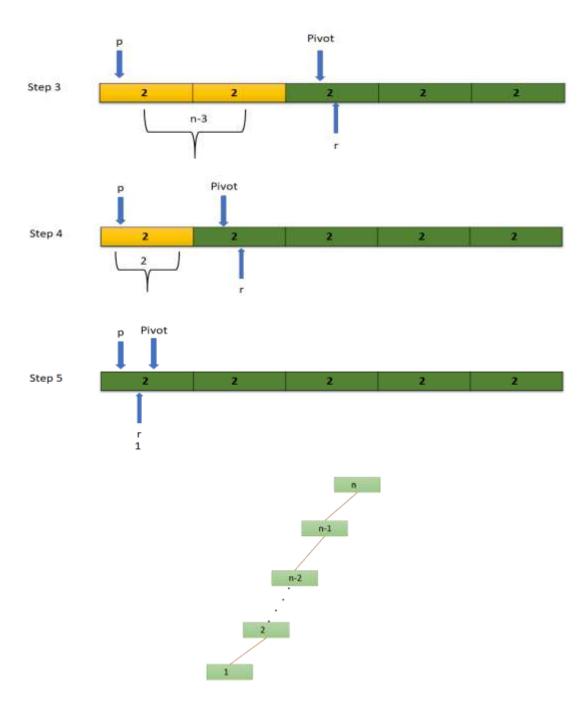
Here, worst case complexity of QuickSort can be explained either by the Recurrence relation or by iterative approach.

**Step 1: Recurrence Method:** Following Recurrence will be obtained for the unbalanced partitioning which occurs when the array is either in ascending order or descending order, that is, T(n) = T(n-1) + O(n). With the help of this recurrence we can simply say that running time complexity will be  $O(n^2)$ .

**Step 2: Iteration Method:** In this method, we will compute the cost at each level of the tree. For example, at  $0^{th}$  level the cost is n, at  $1^{st}$  level the cost is (n-1), and at  $2^{nd}$  level the cost is (n-2) and so on. On the basis of this we derive the cost at all the levels of the tree, which is equal to  $[n + (n-1) + (n-2) + (n-3) + \dots + 2] = (n(n+1)/2 - 1] = O(n^2)$  Worst case complexity.

Case 1: c) When all elements in array are equal

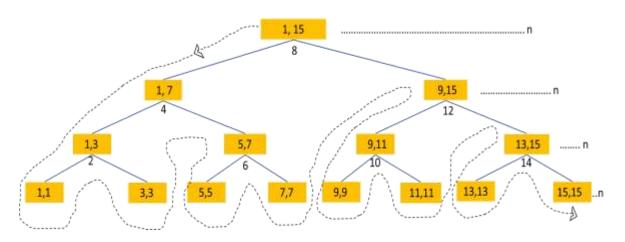




Thus,  $[n + (n-1) + (n-2) + (n-3) + \dots +1] = (n(n+1)/2 -1] = O(n^2)$  worst case complexity.

# Case 2: When the input array splits from the middle and partition occurs evenly from the middle at each level.

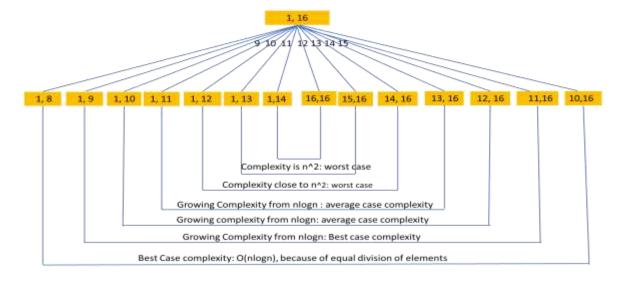
Suppose we have an array of 15 numbers A[1:15]. The best case is possible if:



At each and every level when input array is divided into two equal halves, so, we can simply say that cost at each level of the binary tree is n and the total number of levels is log n+1. Now, we can say that the running time complexity in the best case of quick sort will be equal to cost at each level multiplied by number of levels == n \* (log n+1). Hence, we can conclude the complexity will be  $\Omega(\text{nlog n})$ . Recurrence derived from the above mentioned approach is as follows:  $T(n) = 2T(n/2) + \Theta(n)$  where 2T(n/2) is the cost for dividing the array into sub-arrays and n is the cost of merging the two sub-arrays. We can solve this Recurrence either by the Master Method or by Recursion Tree Method. After applying any of the method we can obtain best case complexity as  $O(n\log n)$ .

# **Case 3: Average Case Complexity:**

 $T(n)=2T(n/2)+\Theta(n)$  where 2T(n/2) is the cost for dividing the array into sub-arrays and n is the cost of merging the two sub-arrays. Thus, best case complexity is  $O(n\log n)$ . In worst case pivot element divides the n element array in such a way that one element remains on the left and remaining n-1 elements on right side or viceversa. On the basis of this we generate a recurrence as  $T(n)=T(n-1)+\Theta(n)$ , resulting in a time-complexity as  $O(n^2)$ . Now, for the average case, the blended mode of both best and worst must be met in array splitting. So, if the partitioning lies somewhere between best and worst case in all levels of n comparisons.



On the basis of this we generate the recurrence for the average case as  $T(n) = T(n/3) + T(2n/3) + \theta(n)$  which results in the complexity as order of (nlog n). This is only one of the recurrences generated for computing the average case complexity. There may be various other recurrences which can symbolise the average case complexity of a quick sort, such as,  $T(n) = T(n/4) + T(3n/4) + \theta(n)$ ,  $T(n) = T(n/5) + T(4n/5) + \theta(n)$ ,  $T(n) = T(n/6) + T(5n/6) + \theta(n)$  and so on.

Let us suppose the problem size n=36. By applying QuickSort algorithm we can partition these 36 elements into 3 ways on the basis of best case, worst case and average case. In best case, the equal elements are available on both the sides. In worst case, maximum elements appear from one side, whereas in average case, the number of elements that appear on the left side may range from 8-16 and number of element that appear on right may range from 20-28 and vice-versa. On the basis of this division of elements on left and right hand side we can derive various types of Recurrences which reflects the average case complexity of the Quick Sort.

The auxiliary space required by the Quick Sort Algorithm is O (n) for the call stack in the worst case.

# 2.7.6. Various Approaches to boost the performance of Quick Sort:

#### 1. Better Selection of the Pivot Element:

Selecting the pivot element is one of the most important operations of the quick sort algorithm. Generally, we select the first or last element as the pivot element in the quick sort which leads to the worst case behavior in a sorted array or closely sorted array. We can also solve the problem by selecting any random element as a pivot (Randomized Quick Sort) or we can also solve the problem by taking the median of the first, medium and last element for the pivot partitioning.

#### 2. Tail Recursion:

In order to make sure that O (n log n) space is used. Recur first array into the partition smaller side, and then use the tail recursion into the other. Here, we sort the array in such a way that we reach the minimum recursive depth.

# 3. Hybrid with Insertion Sort:

In this, threshold value K is set on the basis of size of an array. This threshold value is set in order to define up to which value of k Insertion sort is used.

Insertion sort is used when whole array is processed; each element is almost k positions away from the sorted array position. Now, if we perform the Insertion sort on it, it will take O(K.n) time to finish the sort which is linear.

## 2.7.7. Detailed Variants of Quick Sort:

```
1. Three way Quick Sort : In Three Way Quick Sort, Array A= [1....n] is divided in 3 parts: a)
Sub-array [1.....i] elements less than pivot. b) Sub-array [i+1.....j] elements equal to pivot. c) Sub-
array [j+1.....r] elements greater than pivot. Running Time Complexity is \theta(n) and Space
Complexity is \theta(1).
Partitioning Algorithm of Three Way Quick Sort:
ALGORITHM Partition (arr[], left, right, i, j)
BEGIN:
       IF right - left <= 1THEN
         If arr[right] <arr[left] THEN
            Swap arr[right] and arr[left]
         i = left
         j = right
         RETURN
         Mid = left
         pivot = arr[right]
         WHILE mid <= right DO
                   IF arr[mid] < pivot THEN
                            Swap arr[left], arr[mid]
                            Left=left+1
                            Mid=Mid+1
                   ELSE
                            IF arr[mid] = pivot THEN
                                      mid=mid+1
                            ELSE
                                      Swap arr[mid] and arr[right]
                                      right = right - 1
        i = left – 1
        j = mid
END;
Three Way Quick Sort Algorithms:
ALGORITHM QuickSort (arr[], left, right)
BEGIN:
         IF left >= right THEN
                   RETURN
           Define i and j
```

Partition(arr, left, right, i, j)
Quicksort(arr, left, i)
Quicksort(arr, j, right)

END;

# 2. Hybrid Quick Sort:

Hybrid Quick Sort is basically the combination of the Quick sort and Insertion sort Algorithm.

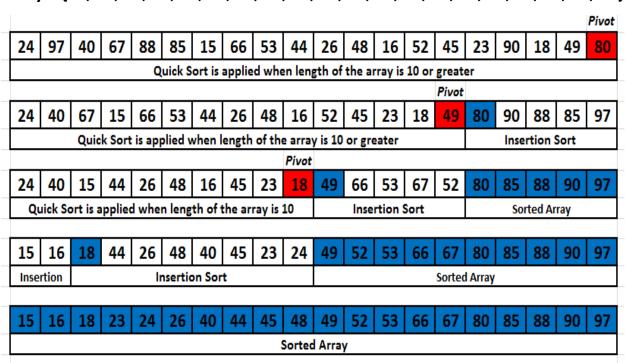
# Why we use Hybrid algorithm:

Quick sort is one of the most efficient sorting algorithms when the size of the input array is large. Insertion sort is more efficient than quick sort when the size of array is small and number of comparisons and number of swaps is less in comparison to quick sort. Hence, we combine two approaches together in order to sort the array efficiently.

**Note:** Selection sort algorithm can also be used to combine with Quick Sort.

# **Example of Hybrid Quick Sort:**

Array = {24, 97, 40, 67, 88, 85, 15, 66, 53, 44, 26, 48, 16, 52, 45, 23, 90, 18, 49, 80}



# **Approach of Hybrid Quick Sort:**

1. The idea is to use recursion and continuously find the size of the array.

- 2. If the size is greater than the threshold value (10), then the quicksort function is called for that portion of the array.
- 3. If the size is less than the threshold value (10), then the Insertion Sort function is called for that portion of the array.

# 3. Median of an unsorted array using Quick Select Algorithm:

**Problem Statement:** We are given an unsorted array of length **N**; our objective is to find the median of this array.

## **Examples:**

**1.Input:** Array [] = {12, 3, 5, 7, 4, 19, and 26}

Output: 7

The size of an array is 7. Hence the median element will be one that is available at 4<sup>th</sup> Position i.e. 7.

**2. Input:** Array [] = {12, 3, 5, 7, 4, and 26}

Output: 6

The Size of an array is 6. Hence the median element will be the average of the elements available at  $3^{rd}$  and  $4^{th}$  Position i.e. 5+7/2=12/2=6/

#### Naive Approach:

- 1. Sort the array in increasing order.
- 2. If number of elements in array is odd, then median is array [n/2].
- 3.If the number of elements in array is even, median is average of array [n/2] and array [n/2+1].

## **Randomized Quick Select:**

- 1. Randomly pick pivot element from **array** and the using the **partition step** from the quick sort algorithm arrange all the elements smaller than the pivot on its left and the elements greater than it on its right.
- 2. If after the previous step, the position of the chosen pivot is the middle of the array then it is the required median of the given array.
- 3. If the position is before the middle element then repeat the step for the sub-array starting from previous starting index and the chosen pivot as the ending index.
- 4. If the position is after the middle element then repeat the step for the sub-array starting from the chosen pivot and ending at the previous ending index.

5. In case of even number of elements, the middle two elements have to be found and their average will be the median of the array.

Best case analysis: O(1)

Average case analysis: O(N)

Worst case analysis: O(N<sup>2</sup>)

# 4. Randomized Quick Sort:

In this, Pivot Element can be chosen at random in randomized quick sort.

The new partitioning procedure simply implemented the swap before actually partitioning.

# ALGORITHM RandomizedPartitioning (A[], p, r)

#### **BEGIN:**

END;

```
i = Random(p, r)
Exchange A[p] with A[i]
RETURN PARTITION(A, p, r)
```

Now Randomized Quick Sort will call the above procedure in place of PARTITION

Considering p as the First element and r as the last element:

# ALGORITHM RandomizedQuickSort (A[], p, r)

#### **BEGIN:**

```
IF p < r THEN q = RandomizedPartition (A, p, r) RandomizedQuick Sort (A, p, q-1) RandomizedQuick Sort (A, q+1, r)
```

# END;

This algorithm is used for selecting any random element as the pivot element.

Randomized Quick Sort has the expected running time complexity as  $\theta$  (n log n) but in the worst case the time complexity will remain as same.

## 2.7.8. Quick Sort Animation Link:

- 1. Quick Sort | GeeksforGeeks YouTube
- **2.** https://www.youtube.com/watch?v=tIYMCYooo3c
- 3. https://www.youtube.com/watch?v=aXXWXz5rF64

# 2.7.9. Coding Problems related Quick Sort:

# 1. Eating apples:

**Problem:** You are staying at point (1, 1) in a matrix  $10^9 \times 10^9$ . You can travel by following these steps:

- 1. If the row where you are staying has 1 or more apples, then you can go to another end of the row and can go up.
- 2. Otherwise, you can go up.

The matrix contains N apples. The ith apple is at point (xi, yi). You can eat these apples while traveling. For each of the apples, your task is to determine the number of apples that have been eaten before. Practice Problem (hackerearth.com)

# 2. Specialty of a sequence:

**Problem:** You are given a sequence A of length n and a number k. A number A[I] is special if there exists a contiguous sub-array that contains exactly k numbers that are strictly greater than A[I]. The specialty of a sequence is the sum of special numbers that are available in the sequence. Your task is to determine the specialty of the provided sequence.

Practice Problem (hackerearth.com)

# 3. Noor and his pond:

**Problem:** Noor is going fish farming. There are N types of fish. Each type of fish has size(S) and eating Factor(E). A fish with eating factor of E, will eat all the fish of size ≤E.Help Noor to select a set of fish such that the size of the set is maximized as well as they do not eat each other.

Practice Problem (hackerearth.com)

## 4. Card game:

**Problem:** Two friends decided to play a very exciting online card game. At the beginning of this game, each player gets a deck of cards, in which each card has some strength assigned. After that, each player picks random card from his deck and them compare strengths of picked cards. The player who picked card with larger strength wins. There is no winner in case both players picked cards with equal strength. First friend got a deck with *n* cards. The *i*-th his card has strength ai. Second friend got a deck with *m* cards. The *i*-th his card has strength bi.

First friend wants to win very much. So he decided to improve his cards. He can increase by 1 the strength of any card for 1 dollar. Any card can be improved as many times as he wants. The second friend can't improve his cards because he doesn't know about this possibility.

What is the minimum amount of money which the first player needs to guarantee a victory for himself?

Practice Problem (hackerearth.com)

**5. Missing Number Problem:** You are given an array *A*. You can decrement any element of the array by *1*. This operation can be repeated any number of times. A number is said to be missing if it is the smallest positive number which is a multiple of *2* that is not present in the array *A*. You have to find the maximum missing number after all possible decrements of the elements.

Practice Problem (hackerearth.com)

# 2.7.10. GATE Objective questions on Quick Sort:

1.	In Quicksort while sorting integers in increasing order, if a1 and a2 are the comparison
	count for the provided inputs {6, 7, 8, 9, 10} and {9, 6, 10, 8, 7}. What situation will hold
	from the following, when pivot is the first element of the array. GATE 2014
Α	a1 = 5
В	a1 < a2
С	a1 > a2
D	a1 = a2
ANS	С
2.	What is recurrence for worst case of Quick Sort and state it's time complexity in worst
	case.
Α	Recurrence is $T(n) = T(n-2) + O(n)$ and time complexity is $O(n^2)$
В	Recurrence is $T(n) = T(n-1) + O(n)$ and time complexity is $O(n^2)$
С	Recurrence is $T(n) = 2T(n/2) + O(n)$ and time complexity is $O(n \log n)$
D	Recurrence is $T(n) = T(n/10) + T(9n/10) + O(n)$ and time complexity is $O(n \log n)$
ANS	В

3.	Suppose we have a O(n) time algorithm that finds median of an unsorted array. Now
	consider a QuickSort implementation where first median is found using above algorithm,
	then use median as pivot. What will be the worst case time complexity of this modified
	Quick Sort
Α	O(n <sup>2</sup> log n)
В	$O(n^2)$
С	O(n log nlog n)
D	O(nlog n)
ANS	D
4.	From the given sorting which is not a stable sort in its typical implementation?
Α	Insertion Sort
В	Merge Sort
С	Quick Sort
D	Bubble Sort
ANS	С
5.	Suppose we are sorting an array of eight integers using QuickSort, after finishing just the
	first partitioning with array elements be like: { 3, 6, 2, 8, 10, 13, 12, 11}
Α	The pivot could be either 8 or 10
В	The pivot could be 8, but not 10
С	The pivot is not 8, but could be 10

6.	In QuickSort, for sorting n elements, the $(n/4)$ th smallest element is selected as pivot using an O(n) time algorithm. What is the worst case time complexity of the QuickSort? (A)O(n) (B) O(nlog n) (C) O( $n^2$ ) (D) O( $n^2$ log n) <b>GATE 2009</b>
Α	A
В	В
С	C
D	D
ANS	В

ANS A

7.	Consider QuickSort algorithm. Suppose there is a procedure for finding a pivot element
	which splits the list into two sub-lists each of which contains at least one-fifth of the
	elements. Let T(n) be number of comparisons required to sort n elements. GATE 2008
Α	T(n) < = 2T(n/5) + n
В	T(n) < = T(n/5) + T(4n/5) + n
С	T(n) < = 2T(4n/5) + n
D	T(n) < = 2T(n/2) + n
ANS	В

8.	You have an array of n elements. Suppose you implement QuickSort by always choosing
	the central element of the array as the pivot. Then the tightest upper bound for the
	worst case performance is: GATE 2014
Α	$O(n^2)$
В	O(nlog n)
С	Θ(nlog n)
D	$O(n^3)$
ANS	A

9.	Randomized QuickSort is an extension of QuickSort where the pivot is chosen randomly.
	What is the worst case complexity of sorting n numbers using randomized QuickSort?
	GATE 2001
Α	O(n)
В	O(nlog n)
С	$O(n^2)$
D	O(n!)
ANS	С

10.	Which of the following changes to typical QuickSort improves its performances on
	average and are generally done in practice?
	1) Randomly picking up to make worst case less likely to occur.
	<ol><li>Calling insertion sort for small sized arrays to reduce recursive calls.</li></ol>
	3) QuickSort is tail recursive, so tail call optimizations can be done.
	4) A linear time median searching algorithm is used to pick the median, so that the
	worst case time reduces to O(nlog n) GATE 2015
Α	1 and 2
В	2, 3 and 4
С	1, 2 and 3
D	2, 3 and 4
ANS	С

11.	Which one of the following is the recurrence equation for the worst case time complexity
	of the QuickSort algorithm for sorting n(>=2) numbers? In the recurrence equations given
	in the options below, c is a constant GATE 2015
Α	T(n)= 2T(n/2) +cn
В	T(n) = T(n-1) + T(0) + cn
С	T(n) = 2T(n-2) + cn
D	T(n) = T(n/2) + cn
ANS	В

12.	What is the best sorting algorithm to use for the elements in array are more than 1
	million in general?
Α	Merge Sort
В	Bubble Sort
С	Quick Sort
D	Insertion Sort
ANS	С

13.	QuickSort is run on 2 inputs shown below to sort in ascending order taking first element
	as pivot, 1) 1, 2, 3,, n
	2) n, n-1, n-2,, 2, 1
	Let C1 and C2 be the number of comparisons made for the inputs 1) and 2) respectively.
	Then, GATE 1996
Α	C1 <c2< th=""></c2<>
В	C1>C2
С	C1=C2
D	We cannot say anything for arbitrary n
ANS	С

14.	Consider the following array 35 50 15 25 80 20 90 45. How the array looks like after the
	first pass if it applies a quick sort on the array.
Α	25 20 15 35 80 50 90 45
В	25 15 20 35 45 50 80 90
С	15 20 45 35 25 50 80 90
D	25 20 15 35 80 50 90 45
ANS	A

15.	The recurrence relation to solve the Quick sort algorithm in the average case will be?
Α	T(n) = 2T(n/2) + n
В	$T(n) = T(n-1) + \log n$
С	T(n) = T(n/4) + n
D	T(n) = T(n-1) + 1
ANS	A

16.	The recurrence relation to solve the Quick sort algorithm in the worst case will be?
Α	T(n) = T(n-1) + n
В	$T(n) = T(n-1) + \log n$
С	T(n) = T(n/4) + n
D	T(n) = T(n-1) + 1
ANS	A

17.	How much time complexity will be required by the partition algorithm in one iteration to sort the element in the case of quick sort?
Α	O(n)
В	O(1)
С	O(log n)
D	O(n^2)
ANS	A

18.	When does the worst case occur in the quick sort algorithm?			
Α	Pivot element is randomly selected			
В	Elements are randomly selected			
С	All elements are the same			
D	Pivot element is always the smallest or the largest element			
ANS	D			

19.	When does the best case occur in the quick sort algorithm?
Α	Pivot is at ⅓ rd position
В	Pivot is randomly selected
С	Pivot is always the middle element
D	Pivot element is the smallest or the largest element
ANS	С

20.	When pivot is the middle element, we are able to reduce a problem of size N to two problems of size (N-1)/2 and (N-1)/2 results in ? (Considering quick sort)
Α	Best case
В	Worst case
С	Average Case
D	None of the above
ANS	A
21.	When pivot is the smallest or largest element, we are able to reduce a problem of size N to two problems of size 1 and (N-1) results in? (Considering quick sort)
Α	Best case
В	Worst case
С	Average Case
D	None of the above
ANS	В

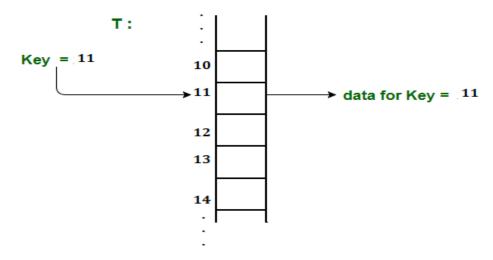
22.	To guarantee achieving the complexity of quick sort as O(n log n). Which method must be selected for picking the pivot element?
Α	Choose the middle element
В	Choose the left most or the rightmost element
С	Median of three
D	Median of the medians
ANS	D

# 2.8 Counting Sort

Counting Sort, as the name suggests, must be counting something to sort the elements. The situation wherein we are working with the data set having a very short range, counting sort may be handy. Usually we deal with Direct address table while doing the sorting with the Counting Sort. Let us first see what the Direct Address Table is.

#### 2.8.1.Direct Address Table

DAT is A data structure for mapping records to their corresponding keys using arrays. Records are placed using their key values directly as indexes.



Problem Statement: Given An array of integers (Range 1:10), Find which of the elements are repeated and which are not. {4,3,1,2,5,7,1,6,3,2,4,1,8,10}

To solve this problem, let us take the Direct address table of size 10. Each of the elements in this table are initialized to zero.

1 2 3 4	5 6	7 8	9 10
0 0 0 0	0 0	0 0	0 0

For Input Key: 4 we will increase a count at that index.

1	2	3	4	5	6	7	8	9	10
			1						

For Input Key: 3 we will increase a count at that index.

1 2 3 4 5 6 7 8 9 10

			1	1						
For Input Key: 1 we	For Input Key: 1 we will increase a count at that index.									
	1	2	3	4	5	6	7	8	9	10
	1		1	1						
For Input Key: 2 we	will i	ncreas	e a co	unt at	that	index.				
	1	2	3	4	5	6	7	8	9	10
	1	1	1	1						
For Input Key: Similarly we will get the Final Array as:										
	1	2	3	4	5	6	7	8	9	10
	3	2	2	2	1	1	1	1	0	1

The values in the output array having values greater than 1 are the one having frequency more than one.

# **2.8.2.Explanation on Counting Sort:**

So far all the sorting methods we have talked about works on the principle of comparing two numbers whether they are equal, smaller or larger. Counting sort algorithms rely solely on non-comparison approach. In counting sort basically works on the principle of counting the occurrence of the elements to be sorted. This sorting algorithm works faster having a complexity of linear time without making any comparison between two values. It is assumed the numbers we want to sort are in range from 1 to k where the value of k small. The main idea is to find the rank of each value in the final sorted array. Counting sort is not used frequently because there are some limitations that make this algorithm impractical in many applications. However if the input data is in small range then this algorithm has a distinct advantage. As it is the only algorithm that sorts the elements in order of (n) Complexity. This is also a stable sort algorithm. Many of the comparison sort algorithms sorts in quadratic time ( $\theta(n^2)$ ), The exceptions – Merge, Heap and Quick Sort algorithms sorts elements in ( $\theta(n \log n)$ ) time . We rarely use Counting Sort but if the requirements are fulfilled then it proves to be the best algorithm in choice.

#### 2.8.3.Limitations:-

#### **Positive Integers Only**

Counting sort is an integer sort algorithm. For sorting we use the data values concurrently as indices and keys. There is a requirement that the objects or values or elements that we are sorting must be integers greater than 0 as they used to represent the index of an array.

#### **Lesser Values**

As the name suggests, counting sort utilizes a counting procedure. It is in the form of an auxiliary Array which stores the frequency count, i.e. number of occurrences, of each value. It is done by initializing an Array of 0s to accommodate the maximum input value. For example, if the input was the sequence 5 1 3, the count Array would need to accommodate an index 5, plus an additional element to represent the index 0.

**Stable Sorting:** A sorting algorithm is stable if the relative order of elements with the same key value is preserved by the algorithm.

Example application of stable sort: Assume that names have been sorted in alphabetical order. Now, if this list is sorted again by tutorial group number, a stable sort algorithm would ensure that all students in the same tutorial groups still appear in alphabetical order of their names.

#### **Assumptions:**

- Data size is n
- Each item contains keys and data
- The range of all keys is from 1 to k

#### **Space**

- The unsorted list is stored in A, the sorted list will be stored in an additional array B
- Uses an additional array C of size k

## Input:

#### **Output:**

B [ 1 .. n ], sorted

 Uses C [ 1 .. k ], auxiliary storage

# ALGORITHM CountingSort(A[], B[], k)

#### **BEGIN:**

```
FOR i = 0 TO k DO

c[i] = 0

FOR j = 1 to length[A] DO

C[A[j]] = C[A[j]] + 1

//C [i] now contains the number of elements equal to i

FOR i = 1 TO k DO

C[i] = C[i] + C[i-1]

//C [i] now contains the number of elements less than or equal to i

FOR j = length[A] to 1 STEP -1 DO

B[C[A[j]]] = A[j]

C[A[j]] = C[A[J]] - 1
```

END;

#### **Running time of Counting Sort**

The first for loop takes time  $\Theta(k)$ , the second for loop takes time  $\Theta(n)$ , third for loop takes time  $\Theta(k)$ , and the fourth for loop takes time  $\Theta(n)$ . Thus, the overall time is  $\Theta(k+n)$ . In practice, we usually use counting sort when we have k = O(n), in which case the running time is  $\Theta(n)$ .

Some of the Applications of counting sort algorithm are:

- 1. We can apply the counting sort to sort the data lexicographically whether the data may be in form of punch cards, words, integers, or mails.
- 2. We can apply counting sort in the area of parallel computing.
  - a) We can also apply counting sort in the field of molecular biology, data compression and finding plagiarism it has the capabilities of finding redundant data
- 3. Radix sort is quite useful for very large in-memory sorts in a multiprocessor or cluster. Imagine that the entire dataset is in ram, distributed across a cluster. Each node sorts

locally, based on the first digit of the key, The nodes then exchange data (what in MPI is called an ALL TO ALL collective) so that the data is distributed across the machine but with the first digit in overall order. Then the nodes move to the next key digit. Repeat until done..

4. Suppose you want to implement medals tally counter country wise. Gold has more priority than silver and silver has more priority than bronze irrespective of the count of each of the individual type.

# 2.8.4. Example on Counting Sort:

**Example: Illustration the operation of Counting Sort in the array.** 

A= (6,0,2,0,1,5,4,6,1,5,2)

**Solution:** 

1 2 3 4 5 6 7 8 9 10 11 A[1...n] 6 0 2 0 1 5 4 6 1 5 2

Here k=6 (largest number in A)

For i=0 TO 6 DO

C[i]=0 , i.e,

 0
 1
 2
 3
 4
 5
 6

 0
 0
 0
 0
 0
 0
 0
 0

FOR j=1 TO 11 DO

C[A[J]] = C[A[J]] + 1 // Array c contains the frequency of elements contained in array A. Now C[i] contains the number of elements equal to I //

0 1 2 3 4 5 6 C 2 2 2 0 1 2 2

FOR i=1 TO 6 DO

C[i] = C[i]+C[i-1] // C [i] now contains the number of elements less than or equal to I //

C 2 4 6 6 7 9 11

FOR j = 11 TO 1 STEP -1 DO

B[C[A[j]]] = A[j]

C[A[j]] = C[A[j]]-1

J	A[i]	C[A[j]]	B[C[A[j]]] = A[j]	C[A[j]] = C[A[j]]-1
11	2	6	B[6]=2	C[2]= 5
10	5	9	B[9]=5	C[5]=8
9	1	4	B[4]=1	C[1]=3
8	6	11	B[11]=6	C[6]=10
7	4	7	B[7]=4	C[4]=6
6	5	8	B[8]=5	C[5]=7
5	1	3	B[3]=1	C[1]=2
4	0	2	B[2]=0	C[0]=1
3	2	5	B[5]=2	C[2]=4
2	0	1	B[1]=0	C[0]=0
1	6	10	B[6]=6	C[6]=9

After execution of all iterations of the loop the Resultant

9 10 Final Array B= 

# 2.8.5..Objective Questions:

1	Q1. How many comparisons will be made to sort the array arr={1, 5, 3, 8, 2} using counting sort?
Α	5
В	7
С	9
D	0
ANS	D

2	Which of the following is not an example of non comparison sort?
Α	Bubble Sort
В	Counting Sort
С	Radix Sort
D	Bucket Sort
ANS	A

3	Which of the following sorting techniques is most efficient if the range of input data is not significantly greater than a number of elements to be sorted?
Α	Bubble Sort
В	Counting Sort
С	Radix Sort
D	Bucket Sort
ANS	В

4	What is the auxiliary space requirement of counting sort?
Α	O(1)
В	O(n)
С	O(log n)
D	O(n+k) where k is the range of input
ANS	D

5	It is not possible to implement counting sort when any of the input element has negative value.
Α	TRUE
В	FALSE
ANS	В

6	If we use Radix sort to sort n integers in the range (n <sup>k/2</sup> , n <sup>k</sup> ) for some value k>0 which is
	independent of n , the time taken would be :
Α	O(n)
В	O(kn)
С	O(nlog n)
D	$O(n^2)$
ANS	В

7	Following algorithm can be used to sort n integers in the range [1n <sup>3</sup> ] in O(n) time:
Α	Heap Sort
В	Quick Sort
С	Merge Sort
D	Radix Sort
ANS	D

# 2.8.6. Competitive Coding-Problem Statement-

Imagine a situation where heights of students are given. Your task is to find out any positive number n if possible, by using this number n all the given heights becomes equal by using any of these given operations

- 1) Adding number n in given heights, not necessary to add in all heights
- 2) Subtracting number n in given heights, not necessary to subtract in all heights
- 3) No operation perform on given heights

Example-

12 5 12 19 19 5 12

Let us suppose that value of n=7

If addition operation perform on 5 then = 5+7=12

If subtraction operation perform on 19 then = 19-7=12

Perform no operation on 12

Now heights becomes

12 12 12 12 12 12 12

#### **Input format**

First line contains heights of N students

Second line contains an integer n

## **Output format**

Print single line height becomes equal or height not becomes equal

## 1-Identify problem statement

Read the story and try to convert it into technical form. For this problem reframes as-

Store the heights in an array and read a number n and try to perform given operations.

#### **2-Identify Problem Constraints**

1<N<100

Sample input sample output heights becomes equal

7

```
12 5 12 19 19 5 12
7
Design Logic
1 – Take an auxiliary array and store all the unique heights in this array.
2 – Count unique heights and store it in a variable c
IF c==1 THEN
       WRITE("Height becomes equal")
       RETURN 0
IF c==2 THEN
       WRITE("Height becomes equal")
       RETURN h1-h2
 //two unique heights let h1 and h2 and also h1>h2
IF c==3 THEN
       IF h3-h2==h2-h1 THEN
                                   //h1<h2<h3
             WRITE("Height becomes equal")
              RETURN h3-h2
       ELSE
             WRITE("Height did not become equal")
              RETURN
```

Time Complexity- $\theta(n)$ 

# 2.8.7.Un solved Coding problem:

- 1. <a href="https://www.hackerearth.com/practice/algorithms/sorting/counting-sort/practice-problems/algorithm/shil-and-birthday-present/">https://www.hackerearth.com/practice/algorithms/sorting/counting-sort/practice-problems/algorithm/shil-and-birthday-present/</a>
- 2. <a href="https://www.hackerearth.com/practice/algorithms/sorting/counting-sort/practice-problems/algorithm/finding-pairs-4/">https://www.hackerearth.com/practice/algorithms/sorting/counting-sort/practice-problems/algorithm/finding-pairs-4/</a>

#### 2.9.RADIX SORT

The major problem with counting sort is that when the range of key elements is very high it does not work efficiently as we have to increase the size of auxiliary array and sorting time is high. In such input, Radix sort proves to be the better choice to sort elements in linear time. In Radix Sort we used to sort every digit hence the complexity is O(nd). This algorithm is fastest and most efficient when we talk about linear time sorting Algorithms. It was basically developed to sort large range integers.

2.9.1Analogy:- If you want to sort the 32 bit numbers, then the most efficient algorithm will be Radix Sort.

2.9.2.Radix Sort Algorithm

ALGORITHM Radix Sort (A[], N, d)

**BEGIN:** 

FOR i=1 TO d DO

Perform Counting Sort on A at Radix i

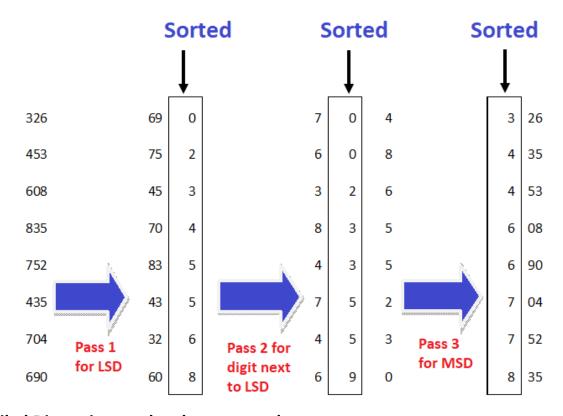
END;

# **Running Time Complexity of Radix Sort:**

Radix Sort is a linear sorting algorithm. The running time complexity of Radix Sort is O(nd), Here n represents the elements of input array and the number of digits is represented by d. This sorting algorithm uses an auxiliary array for the purpose of sorting that's why it's not a In place sorting algorithm. Radix Sort is a stable sort as the relative order of elements with equal values is maintained. When the applied operations are not efficient Radix sort works slower as compared to other sorting algorithms like quick sort and merge sort. These operations include insert and delete functions of the sub-list and the process of isolating the digits we want. One of the limitations with radix as compared to other sorting is its flexibility as it depends on the digits or letter. When the data is changed we have to rewrite the algorithm.

# 2.9.3. Explanation of Radix Sort with Example:

Observe the image given below carefully and try to visualize the concept of this algorithm.



# Detailed Discussion on the above example:

- 1. **In First Iteration:** the least significant bit i. e the rightmost digit is sorted by applying counting sort. Notice that the value of 435 is below 835 and the least significant bit of both is equal so in the second list 435 will be below 835.
- 2. **In Second Iteration:** The sorting is done on the next digit (10s place) using counting sort. Here we can see that 608 is below 704, because the occurrence of 608 is below 704 in the previous list, and likely for (835, 435) and (752, 453).
- 3. **In third Iteration:** The sorting is done basis of the most significant digit (MSB) i.e 100s place using counting sort. Here we can see that here occurrence of 435 is below 453, because 435 occurred below 453 in the previous list, and similarly for (608, 690) and (704, 752).

# 2.9.4 Coding Problem on Radix Sort:

#### 1. Problem Statement:

We have already studied about many sorting techniques such as Insertion sort, Bubble sort, Selection sort, Quick sort, Merge sort, Heap sort etc. Here I will talk about a different type of sorting technique which is called as "Radix Sort" and is probably the best sorting technique as far as time complexity is concerned.

Operations which are performed in Radix Sort is as follows:-

- 1) Do following for each digit i where i varies from least significant digit to the most significant digit.
- a) Sort input array using counting sort (or any stable sort) according to the ith digit.

## Example

Original Unsorted List

170, 45, 75, 90, 802, 24, 2, 66

Sorting by least significant digit (1s place) gives:

[Notice that we keep 802 before 2, because 802 occurred before 2 in the original list, and similarly for pairs 170 & 90 and 45 & 75.]

17<u>0</u>, 9<u>0</u>, 80<u>2</u>, <u>2</u>, 2<u>4</u>, 4<u>5</u>, 7<u>5</u>, 6<u>6</u>

Sorting by next digit (10s place) gives: [\*Notice that 802 again comes before 2 as 802 comes before 2 in the previous list.]

802, 2, 24, 45, 66, 170, 75, 90

Sorting by most significant digit (100s place) gives:

2, 24, 45, 66, 75, 90, <u>1</u>70, <u>8</u>02

Hence we get a sorted sequence for the corresponding random sequence.

For a given set of N random numbers, generate a sorted (non-decreasing order) sequence using above discussed technique

2. <u>Radix Sort | CodeChef:</u> Given n strings, how to output their order after k phases of the radix sort **Problem** 

You are given n strings. Output their order after k phases of the radix sort.

#### Input

The first line of the input file contains three integer numbers: n, the number of strings, m, the length of each string, and k, the number of phases of the radix sort.

```
(1<=n<=106,1<=k<=m<=106,n*m<=5*107)
```

Then the description of the strings follows. The format is not trivial. The i-string (1<=i<=n) is written as the i<sup>th</sup> symbols of the second, ..., (m+1)<sup>th</sup> lines of the input file. In simple words, the strings are written vertically. This is made intentionally to reduce the running time of your programs. If you construct the strings from the input lines in your program, you are doing the wrong thing.

The strings consist of small Latin letters, from "a" to "z" inclusively. In the ASCII table, all these letters go in a row in the alphabetic order. The ASCII code of "a": 97, of "z": 122.

## Output

Print the indices of the strings in the order these strings appear after kk phases of the radix sort.

#### Example:

#### Input

3 3 1

bab

bba

baa

#### Output

#### 231

In all examples the following strings are given:

- "bbb", with index 1;
- "aba", with index 2;
- "baa", with index 3.

Consider the first example. The first phase of the radix sort will sort the strings using their last symbol. As a result, the first string will be "aba" (index 2), then "baa" (index 3), then "bbb" (index 1). The answer is thus "2 3 1".

algorithms - Given n strings, how to output their order after k phases of the radix sort (huge constraints)? - Computer Science Stack Exchange

## 3. Descending Weights

#### Problem

You have been given an array A of size N and an integer K. This array consists of N integers ranging from 1 to 107. Each element in this array is said to have a **Special Weight**. The special weight of an element a[i] is a[i]%K.

You now need to sort this array in **Non-Increasing** order of the weight of each element, i.e the element with the highest weight should appear first, then the element with the second highest weight and so on. In case two elements have the same weight, the one with the lower value should appear in the output first.

#### Input Format:

The first line consists of two space separated integers *N* and *K*. The next line consists of *N* space separated integers denoting the elements of array *A*.

#### **Output Format:**

Print *N* space separated integers denoting the elements of the array in the order in which they are required.

#### Constraints:

1≤N≤105

1≤A[i]≤107

1≤K≤107

**Note**: You need to print the value of each element and not their weight.

#### Sample Input

5 2

12345

#### **Sample Output**

13524

## 2.10. Bucket Sort or Bin Sort

# 2.10.1 Analogy:

Bucket Sort can be best understood with the following Example-

- Super market product arrangement- In this, we have to sort product according to product
  rack. All household products in same area, food items in same area, all cloth in same area.
  Then these areas are sorted in some order like if we take example of food area then all
  type of biscuits are sorted at same place, chocolates are at same place and so on. These
  areas are treated as bucket in bucket sort.
- **2. Bar Chart-** In bar chart, we set the range for the chart, like 0-10, 11-20, 21-30..... then we put the elements in these range bucket.

#### **2.10.2.BUCKET SORT:**

Bucket sort or Bin Sort is a sorting algorithm that works by distributing the elements of an array into different buckets uniformly. After distribution each bucket is sorted independently using any sorting algorithms or recursively or by recursively applying bucket sort on each bucket.

## Why Bucket Sort?

END;

- 1. After distribution of elements into bucket array size become smaller and can be solve each bucket independently.
- 2. Each bucket can be solved in parallel.
- 3. Bucket sort solve fractional numbers efficiently.
- 4. Bucket Sort is not in place sorting algorithm.

```
ALGORITHM Bucket Sort (arr [], n) // n is length of array

BEGIN:

Create n bucket with NULL value. // initialize empty bucket

FOR i=0 TO n-1 DO // start loop from first element to last element

bucket[ n*array[i]] = arr[i] // enter element into respective Bucket

Sort each bucket using insertion sort or any other sort.

Concatenate all sorted buckets.
```

#### Concatenated Bucket is the sorted buckets

# **Complexity:**

- 1. Time Complexity:
  - a. Average Case complexity is  $\theta(n)$
  - b. Best case complexity is  $\Omega(n)$
  - c. Worst case time complexity is O(n<sup>2</sup>)
- **2. Space Complexity** of bucket sort is  $\theta$  (n + k). n is number of elements and k is number of buckets.

#### Cases:

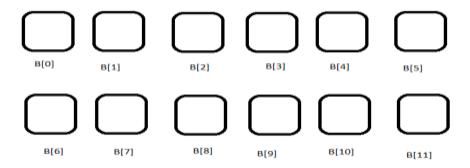
- 1. Average case & Best Case: when all element distribution is uniform in buckets.
- **2. Worst Case:** when n or approximate n elements lie in one Bucket. Then it will work as insertion sort.

# Example 1.

Ī	0.57	0.23	0.54	0.12	0.45	0.62	0.28	0.16	0.49	0.35	0.01	0.9

n= length of array (12)

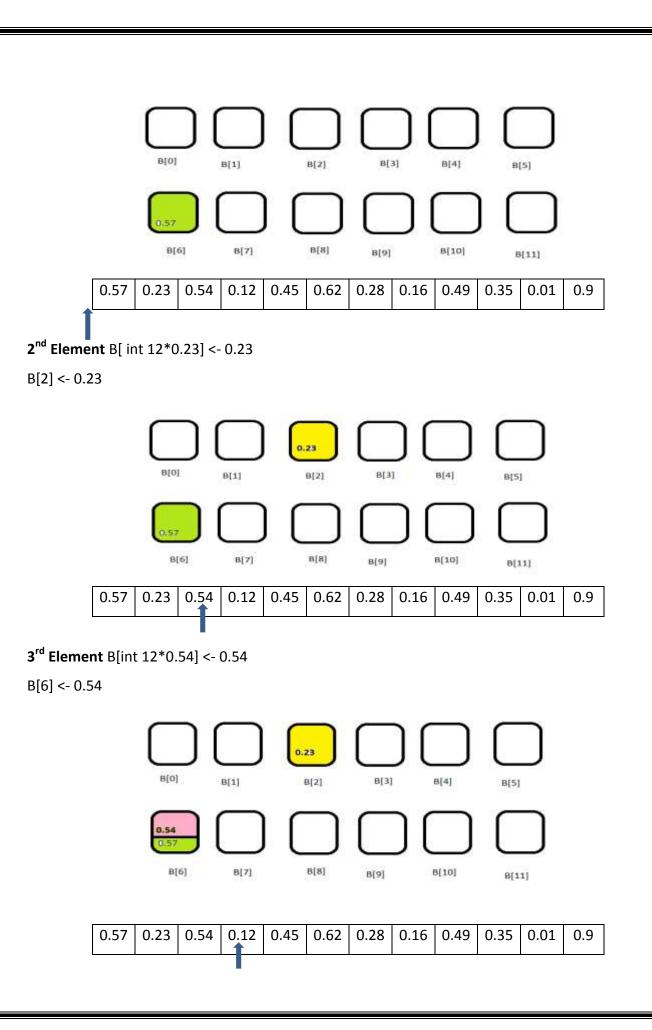
B[int n\* a[i]]=a[i]



0.57   0.23   0.54   0.12   0.45   0.62   0.28   0.16   0.49   0.35   0.01   0.9
--

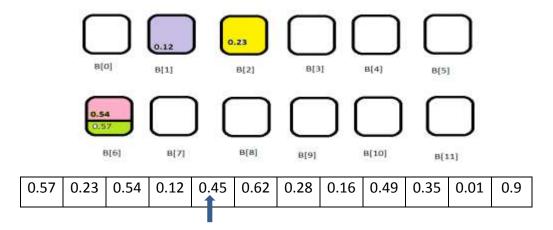
1<sup>st</sup> Element B[ int 12\* 0.57] <- .57

B[6] = 0.57



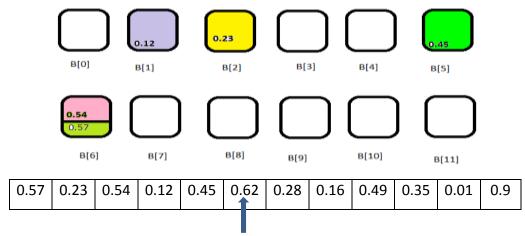
# **4**<sup>th</sup> **Element** B[int 12\*0.12] <- 0.12

B[1]=0.12



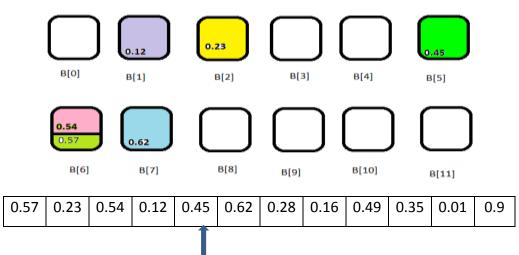
# **5**<sup>th</sup> **Element** B[int 12\*0.45] <- 0.45

B[5]=0.45



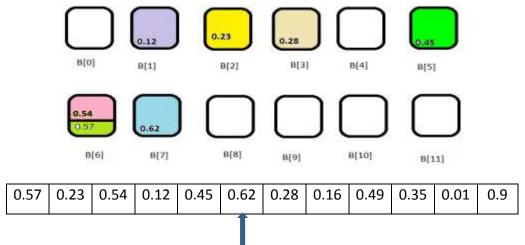
**6**<sup>th</sup> **Element** B[int 12\*0.62] <- 0.62

B[7]=0.62



**7**<sup>th</sup> **Element** B[int 12\*0.28] <- 0.28

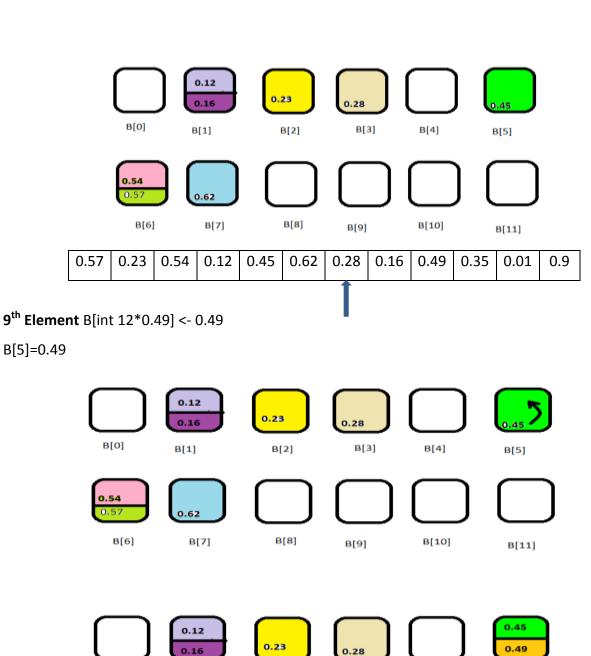
B[3]=0.28



**8**<sup>th</sup> **Element** B[int 12\*0.16] <- 0.16

B[1]=0.16



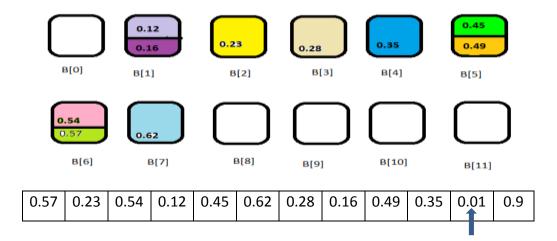




0.57 | 0.23 | 0.54 | 0.12 | 0.45 | 0.62 | 0.28 | 0.16 | 0.49 | 0.35 | 0.01 | 0.9

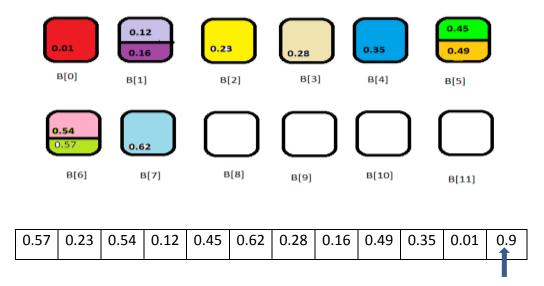
**10**<sup>th</sup> **Element** B[int 12\*0.35] <- 0.35

B[4]=0.35



# **11**<sup>th</sup> **Element** B[int 12\*0.01] <- 0.01

B[0]=0.01

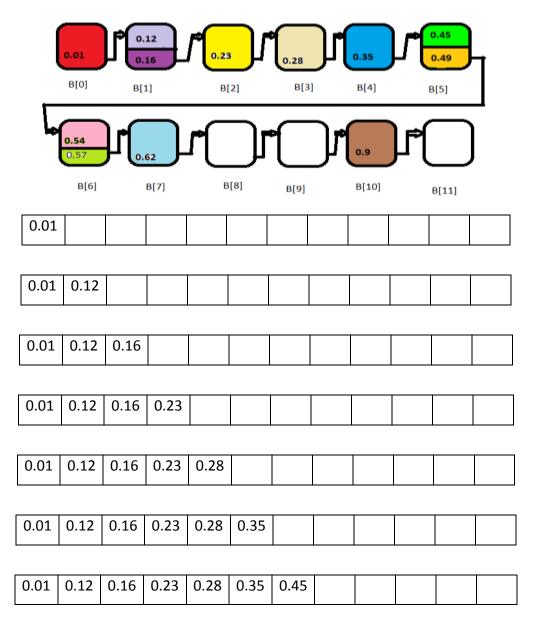


# **12**<sup>th</sup> Element B[int 12\*0.9] <- 0.9

B[10]=0.9



### Final sorted Array



0.01	0.12	0.16	0.23	0.28	0.35	0.45	0.49				
0.01	0.12	0.16	0.23	0.28	0.35	0.45	0.49	0.54			
0.01	0.12	0.16	0.23	0.28	0.35	0.45	0.49	0.54	0.57		
									•		
0.01	0.12	0.16	0.23	0.28	0.35	0.45	0.49	0.54	0.57	0.62	
<u> </u>						•					
0.01	0.12	0.16	0.23	0.28	0.35	0.45	0.49	0.54	0.57	0.62	0.9

#### 2.10.3. Cases of Bucket Sort

### **CASE1- NUMBER OF BUCKETS=2(Quick Sort Partition)**

If number of buckets is two then we can use quick sort partition of elements. (best one) and after that apply insertion sort to sort the elements.

### **CASE2- NUMBER OF BUCKETS=n(Counting Sort)**

If number of buckets is n then it becomes counting sort.

#### Algorithm 1-

- 1-Create array of pointers of size n
- 2-insert elements using link list but takes last pointer so that it takes constant time.
- 3-Sort individually each buckets using insertion sort.
- 4-finally concatenate in to original array.

### Algorithm 2-

- 1-Create array of pointers of size n
- 2-insert elements using link list but takes last pointer so that it takes constant time and keep in insertion happens in sorted order.
- 3-finally concatenate in to original array.

Example 2 Arr[]= 33,18,10,64,52,40,25,75,59,94,36,13,99,24,68 number of element=10 0-10 10 11-20 13 18 21-30 24 25 31-40 36 33 40 41-50 51-60 52 59 61-70 68 64 71-80 75 81-90 99 91-100 94 0-10 10 11-20 13 18 25 21-30 24 31-40 33 36 40 41-50 51-60 52 59 61-70 64 68 71-80 75 81-90 91-100 94 99

### 2.10.4. Bucket sort for integer numbers

### Algorithm:

- 1. Find Max and min element of array.
- 2. Calculate the range of each bucket

Range = 
$$(max + min) / n$$
 // n is the number of bucket

- 3. Create n Buckets of Calculated Range
- 4. Distribute the elements in the buckets.
- 5. Bucket index=( arr[i] min) / range
- 6. Now Sort each bucket individually.
- 7. Concatenate the sorted elements from buckets to original array.

### **Example:**

**Input array** 9.6, 0.5, 10.5, 3.04, 1.2, 5.4, 8.6, 2.47, 3.24, 1.28 and number of bucket = 5

Max=10.5

Min=0.5

Range=(10.5-0.5)/5 = 2

	9.6	0.5	10.5	3.04	1.2	5.4	6.6	2.47	3.24	2.28	
0.5 1.2		2.47	2.28		3.04	3.24		5.4	6.6		9.6 11
0.5 1.2		2.28	2.47		3.04	3.24		5.4	6.6		9.6 11
	0.5	1.2	2.28	2.47	3.04	3.24	5.4	6.6	9.6	11	

# 2.10.5. Objective Type Questions:

1	If we use Radix Sort to sort n integers in the range $(n^{k/2}, n^k)$ , for some k>0 which is independent of n, the time taken would be. (GATE 2008)
Α	O(n)
В	O(kn)
С	O(nlogn)
D	$O(n^2)$
ANS	В

2	How many comparisons will be made to sort the array arr={1, 5, 3, 8, 2} using bucket sort?
Α	5
B	7
C	9
D	0
ANS	D
3	What is the alternate name of bucket sort?
Α	Group sort
В	Radix Sort
С	Bin Sort
D	Uniform Sort
ANS	С
4	Which of the following non-comparison sort can also be considered as a comparison
	based sort?
Α	Counting sort
В	MSD radix sot
С	bucket sort
D	pigeonhole sort
ANS	C
5	Which of the following is not true about bucket sort?
Α	It is a non-comparison based integer sort
В	It is a distribution sort
С	can also be considered as comparison based sort
D	It is in place sorting algorithm
ANS	D
	Wilder of the Celler tree death office the tree of the
6	Which of the following don't affect the time complexity of bucket sort?
A	Algorithm implemented for sorting individual buckets
В	number of buckets used
С	distribution of input
D	input values
ANS	D

7	Bucket sort is most efficient in the case when
Α	the input is non-uniformly distributed
В	the input is uniformly distributed
С	the input is randomly distributed
D	the input range is large
ANS	В
8	Bucket sort is a generalization of which of the following sort?
Α	LSD radix sort
В	Pigeonhole sort
С	Counting sort
D	MSD radix sort
ANS	В
9	What is the worst case time complexity of bucket sort (k = number of buckets)?
Α	O(n + k)
В	O(n.k)
С	$O(n^2)$
D	O(n log n)
ANS	C
10	What is the best case time complexity of bucket sort (k = number of buckets)?
Α	O(n + k)
В	O(n.k)
С	$O(n^2)$
D	O(n log n)
ANS	A
11	Which of the following is not necessarily a stable sorting algorithm?
Α	bucket sort
В	counting sort
С	merge sort
D	pigeonhole sort
ANS	A
/	••

12	Bucket sort is an in place sorting algorithm.			
Α	True			
В	False			
ANS	В			

13	What is the worst space complexity of bucket sort (k = number of buckets)?
Α	O(n + k)
В	O(n.k)
С	$O(n^2)$
D	O(n log n)
ANS	В

# 2.10.6..Animated Link

:https://www.cs.usfca.edu/~galles/visualization/BucketSort.html