

# Word Embeddings

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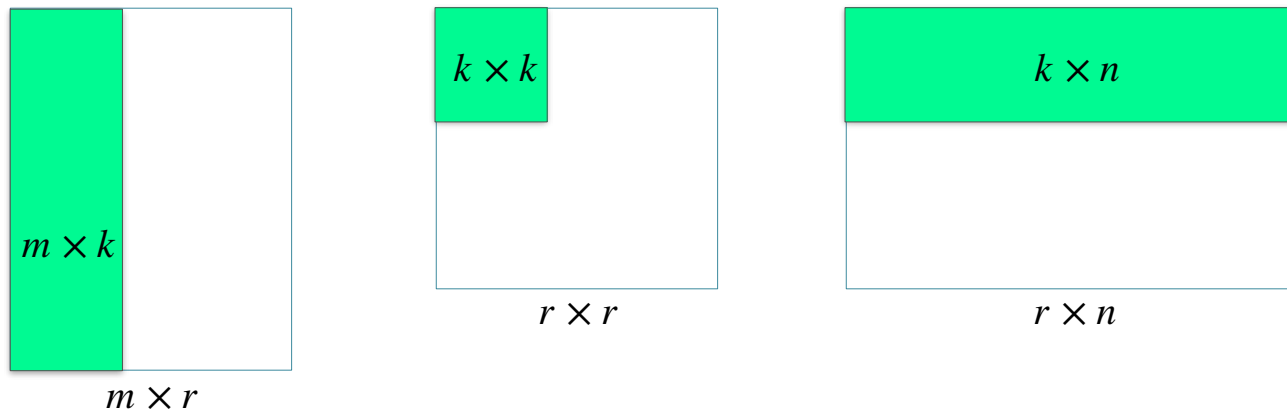
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- In general, vector representation of words (not new)
- Simplest: one-hot (#of dimensions = size of the vocabulary)
  - Each term is totally orthogonal to another
- The row-vectors corresponding to terms in a term-document matrix
  - Similar terms do co-occur more, some relationships captured
- Towards capturing semantics: rows of matrix  $U_k$  containing the first  $k$  singular vectors of the term-document matrix ( $\sim$  post 1990s)
  - Same as the above, arguably better captured in reduced dimension, noise discarded
- Vector representation learned from the corpus
  - Neural language model (2003)
  - word2vec (2013)
  - GloVe
  - FastText
  - ELMO

# Recall: singular value decomposition

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$$A = U\Sigma V^T$$



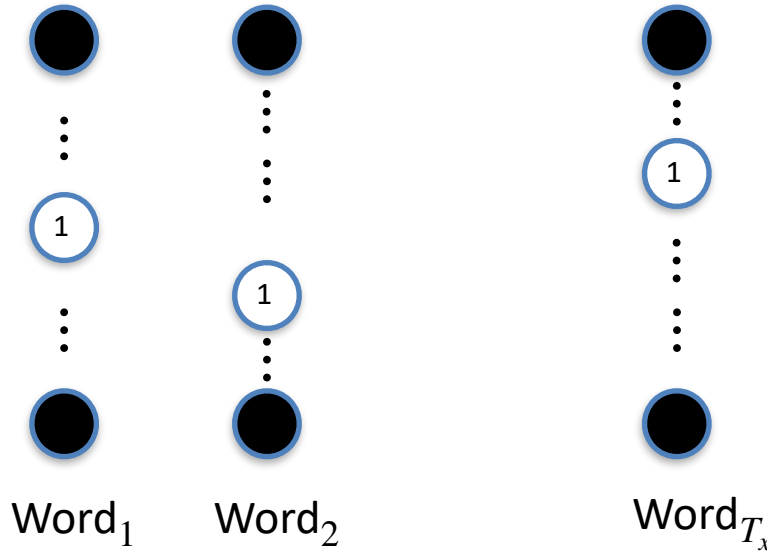
$U_k$ : the matrix with the first  $k$  columns of  $U$  (first  $k$  singular vectors)

Each row of  $U$  corresponds to a term

The rows of the matrix  $U_k$  represent dense  $k$ -dimensional vector representation of the terms

# Word embeddings

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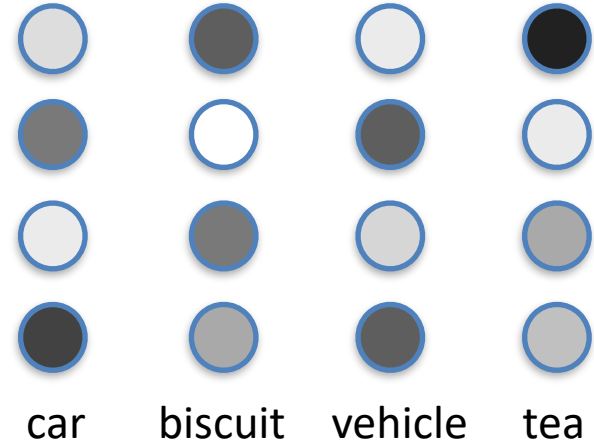


One-hot encoding

Each word is a vector with all zeros except one  
Orthogonal to each other

But words have meanings  
Some words are similar to each other

White = 1; Black = 0, Grey  $\in (0,1)$ .



**Better idea**

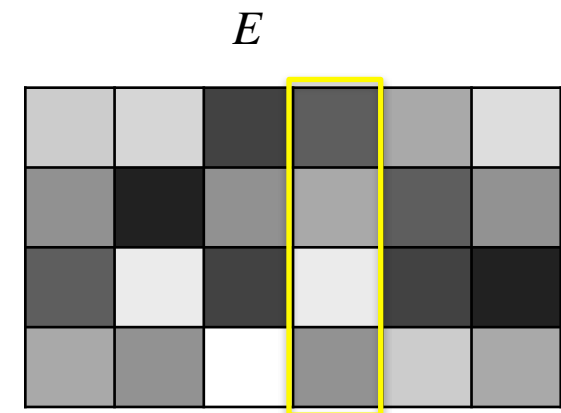
More **compact** vector representation  
retaining **semantics** of words  
Similar words  $\implies$  similar vectors

**Learn word embeddings**

# The embedding matrix

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White = 1; Black = 0, Grey  $\in (0,1)$ .



Embedding matrix for  $d$   
dimensional embedding

$$e_i = E o_i$$

$o_i$

0

0

0

1

0

0

$N \times 1$

One-hot vector for the  $i$ -th  
word in the vocabulary

=

$e_i$

$d \times 1$

Embedding for the  $i$ -th  
word in the vocabulary

# Learning word embeddings

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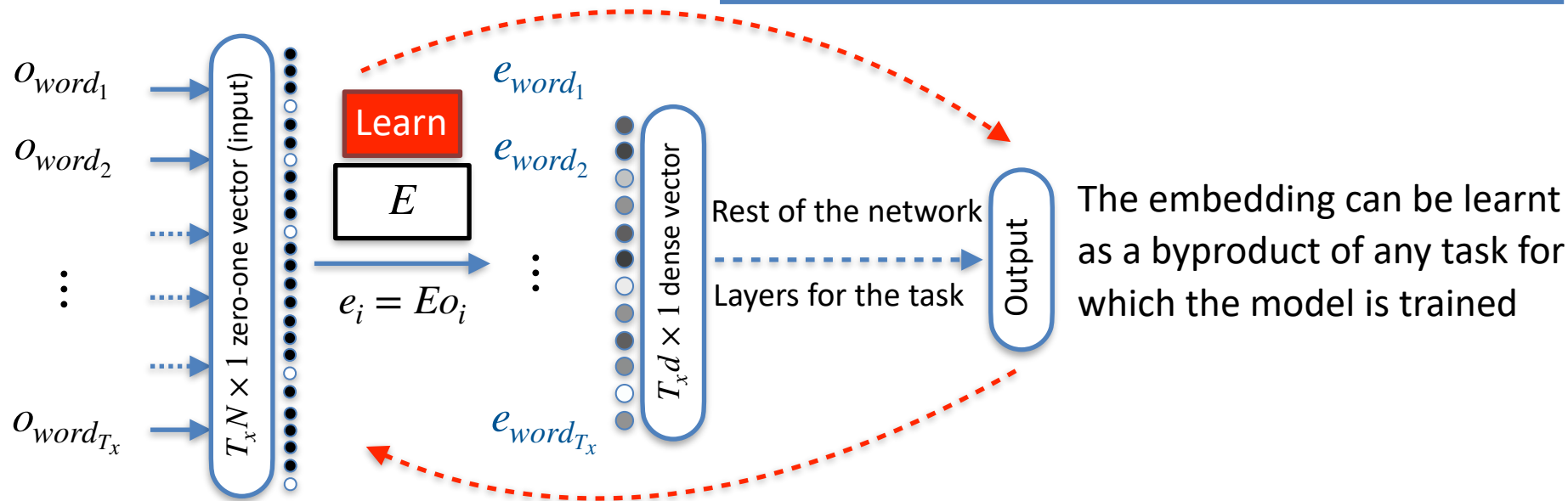
White = 1; Black = 0, Grey  $\in (0,1)$ .

Add an embedding layer in the beginning

```
inputs = keras.Input(shape=(None,), dtype="int32")
```

# Embed each integer in a  $d$ -dimensional vector

```
x = layers.Embedding(N, d)(inputs)
```



Input as one-hot vectors

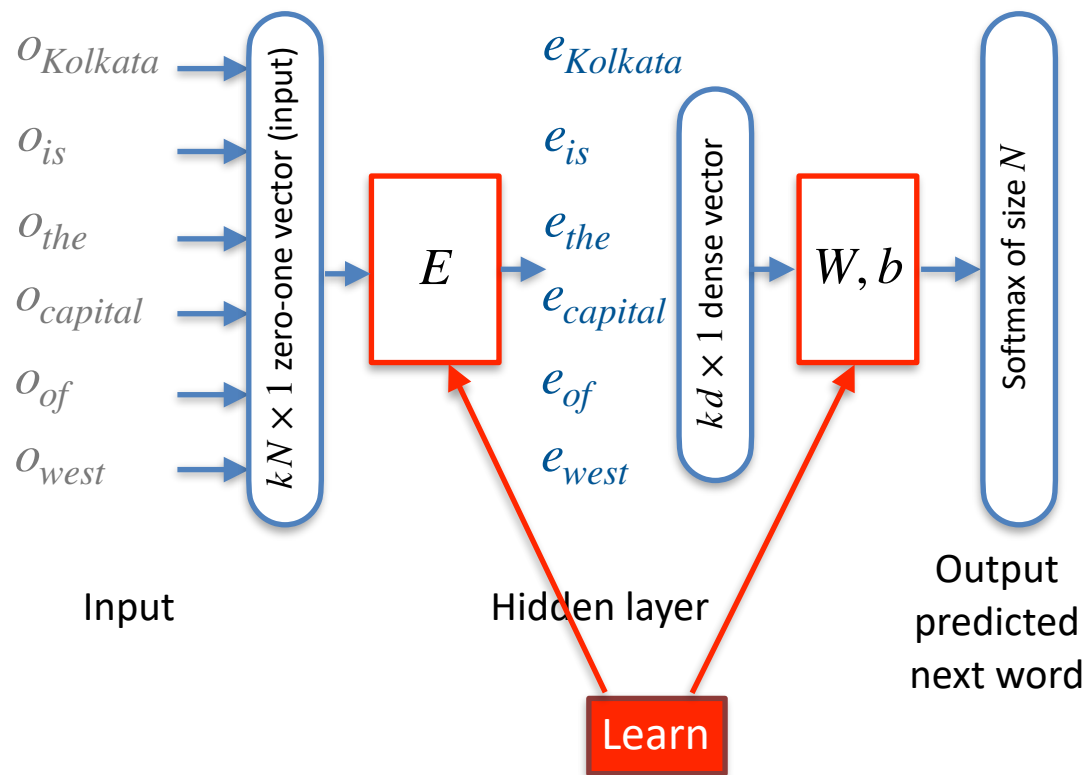
This is the general framework following Neural LM (2003)

# Neural Language Model (2003)

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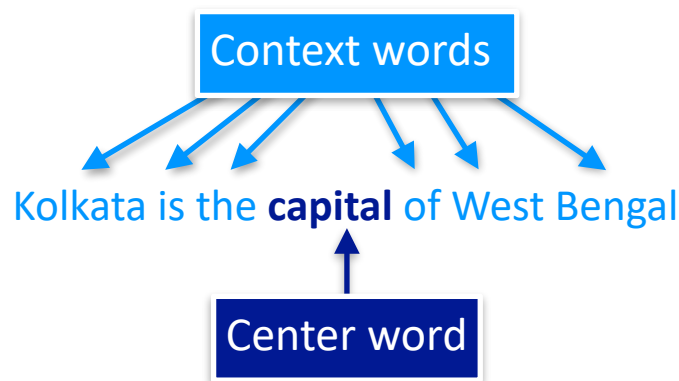
- Problem: build a language model
  - Given  $k$  words in a sentence, predict the next word
- Training data: sequences of  $k + 1$  words in the corpus
- Parameters: embedding matrix  $E$  and weights for the language model
- Learns  $E$  in the process
- Results: almost as good as the state of the art at that time, but the idea is carried forward to research later

Kolkata is the capital of West \_\_\_\_\_



- Goal: semantically similar words  $\implies$  similar vectors
- Similarity measure: dot product
- Approach: Learn association between **words** and **context** from available text
- Skip-gram model: fix a window size  $k$ , each word is associated with other words (context) within a window  $k$
- Dot product between the **embedding** of a **context** word and that of the **center** word must be **high**
- Learn the word embeddings by training a language model

## Example



Here, the window size  $k = 3$

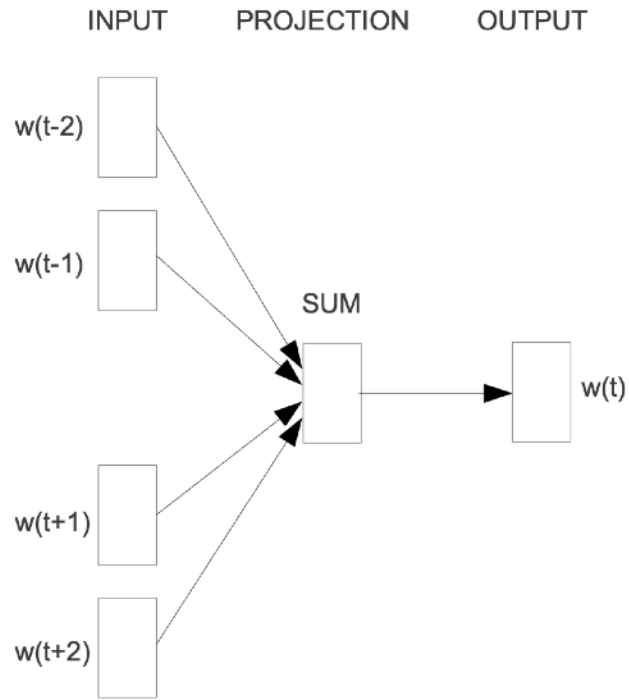


# CBOW and Skip-gram models

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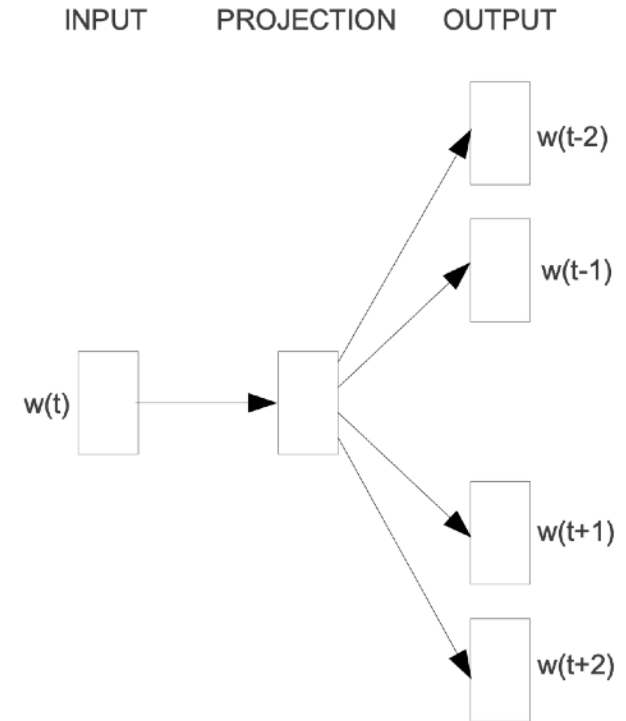
## CBOW

Given context, predict the center word



## Skip-gram

Given center word, predict associated words



- Goal: given the center word  $o$ , output probabilities of context words  $c$
- capital  $\rightarrow$  Kolkata, capital  $\rightarrow$  is, ...  
capital  $\rightarrow$  Bengal, ...
- Two representations for each word  $w$  ( $e_w$  if it is the center word,  $\theta_w$  if it is a context word)
- Challenge 1: for large  $N$ , training the softmax with size  $N$  is computationally impractical
- Challenge 2: too many associations with the stopwords or very frequent words

Kolkata is the **capital** of West Bengal

Output probability of **context words**  $w_{t+j}$   
given **center** word  $w_t$ :

$$P(w_{t+j} | w_t) = P(\text{Kolkata} | \text{capital})$$

for  $0 < |j| \leq k$  (a fixed context size)

$$\text{Softmax: } P(o | c) = \frac{e^{\theta_o^T e_c}}{\sum_{w \in V} e^{\theta_w^T e_c}}$$

Train parameters  $\theta = (\theta_w)_{w \in V}$  and  $E = (e_w)_{w \in V}$

# Word2Vec: Negative Sampling

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Kolkata is the **capital** of West Bengal

capital — Kolkata 1

capital — apple 0

capital — in 0

capital — information 0

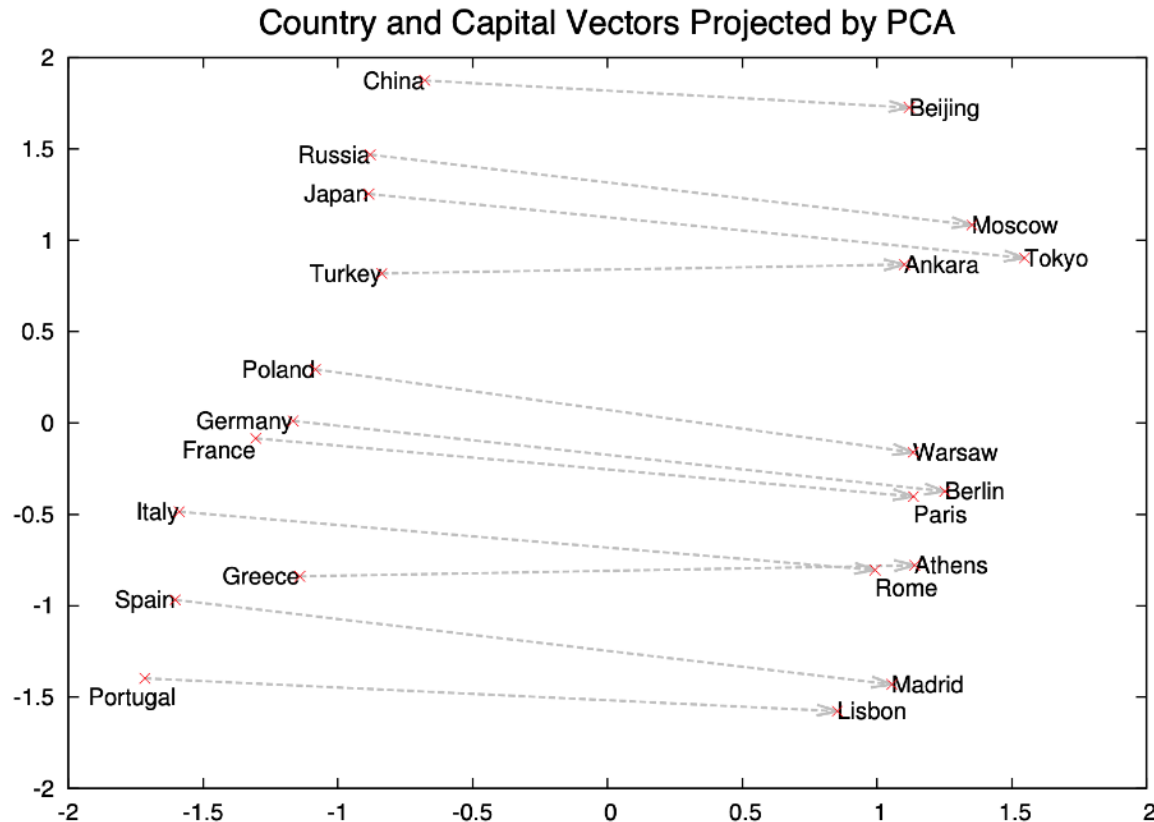
capital — kill 0

capital — the 0

Behaves like many *binary classification* problems instead of a huge softmax

- Prepare training data comprising of positive and negative examples
- For every positive example (from actual sentences in the corpus), sample  $k$  negative examples
- What is a negative example?
  - Any word from the vocabulary
  - Assumption: a random word is unlikely to be associated with the target word
- For small dataset,  $k$  between 5 and 20, for large dataset,  $k$  between 2 and 5
- In each iteration, train only a few of the *binary classifiers*
- Sampling strategy: sample negative word  $w$  with

probability  $P(w) = \frac{f(w)^{3/4}}{\sum_{v \in V} f(v)^{3/4}}$  where  $f(w)$  is the frequency of  $w$  in the corpus (empirical heuristic)



Two-dimensional PCA projection of the 1000-dimensional Skip-gram vectors of countries and their capital cities. The figure illustrates ability of the model to automatically organize concepts and learn implicitly the relationships between them, as during the training we did not provide any supervised information about what a capital city means.

Source: <https://papers.nips.cc/paper/5021-distributed-representations-of-words-and-phrases-and-their-compositionality.pdf>

## Intuitive ideal association

	solid	gas	water	fashion
ice	Yes	No	Yes	No
steam	No	Yes	Yes	No

## Co-occurrence probabilities

w	solid	gas	water	fashion
$P(w   \text{ice})$	0.00019	0.00007	0.0030	0.00002
$P(w   \text{steam})$	0.00002	0.00080	0.0022	0.00002
$P(w   \text{ice}) / P(w   \text{steam})$	8.9	0.085	1.36	0.96

- Learn word vectors from *ratio of probabilities* instead of *probabilities*

- Notation:

$X$  : word-word co-occurrence matrix

$X_{ij}$  : #of times word  $j$  appears in the context of word  $i$

$X_i = \sum_j X_{ij}$  : #of times any word appears in the context of word  $i$

$P_{ij} = P(j | i) = X_{ij} / X_i$  : probability that word  $j$  appears in the context of word  $i$

$\theta_i, e_i$  : embeddings (we want to learn) as before

Reference: [nlp.stanford.edu/pubs/glove.pdf](http://nlp.stanford.edu/pubs/glove.pdf)

- Try to model the *ratio of the probabilities* by

some function  $\frac{P_{ik}}{P_{jk}} = F(\theta_i, \theta_j, e_k)$

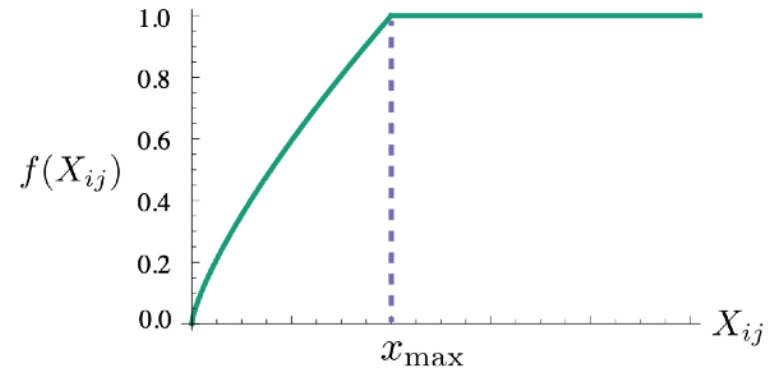
Assumption:  $\frac{P_{ik}}{P_{jk}} = F((\theta_i - \theta_j)^T e_k)$

- The co-occurrence is symmetric, so we should be able to exchange  $\theta_i \leftrightarrow e_i$  and  $X \leftrightarrow X^T$
- Require  $F$  to be a homomorphism between the groups  $(\mathbb{R}, +)$  and  $(\mathbb{R}_{>0}, \times)$
- $F((\theta_i - \theta_j)^T e_k) = P_{ik}/P_{jk} = F(\theta_i^T e_k)/F(\theta_j^T e_k)$
- This happens if:  $\theta_i^T e_k = \log(P_{ik}) = \log(X_{ik}) - \log(X_i)$

w	solid	gas	water	fashion
P(w   ice)	0.00019	0.00007	0.0030	0.00002
P(w   steam)	0.00002	0.0008	0.0022	0.00002
P(w   ice)/ P(w   steam)	8.9	0.085	1.36	0.96

Minimize: 
$$J = \sum_{i,j=1}^N f(X_{ij}) \left( \theta_i^T e_j + b_i + b'_j - \log X_{ij} \right)^2$$

- Note:  $b_i$  and  $b'_j$  are bias terms
- If  $X_{ij} = 0$  (the words never co-occur),  $\log X_{ij}$  would be undefined
- Have a weight function  $f(X_{ij})$  which is 0 at 0 (then, assume  $0 \log 0 = 0$ )



- Andrew Ng's lectures on *Sequence Models*: [www.coursera.org/learn/nlp-sequence-models](http://www.coursera.org/learn/nlp-sequence-models)
- Chris Manning, Abigail See and other TAs. *Natural Language Processing with Deep Learning*. Stanford University Course (CS224n), Winter 2019. [web.stanford.edu/class/archive/cs/cs224n/cs224n.1194/](http://web.stanford.edu/class/archive/cs/cs224n/cs224n.1194/)
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