

# Insertion Sort Algorithm Proof

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## 1 Preliminary

In this note, we will demonstrate how to prove correctness using an induction proof. Specifically, we will prove that the invariant “after iteration  $j$  of the outer loop,  $A[1...j]$  is the sorted array for the original  $A[1...j]$ ” holds true at all times. This invariant is crucial in establishing the algorithm’s correctness.

## 2 Proof for Insertion Sort Algorithm

**Lemma 1.** *After iteration  $j$  of the outer loop,  $A[1...j]$  is the sorted array for the original  $A[1...j]$ .*

*Proof.* We will proceed with a formal proof by induction.

- Base case: This is obviously true when  $i = 1$  because  $A[1...1]$  consists of a single number, which is naturally sorted.
- Inductive case: Assume that the inductive hypothesis holds for  $j - 1$ , meaning that  $A[1...j - 1]$  is sorted after the  $(j - 1)$ -th iteration. We aim to show that  $A[1...j]$  is sorted after the  $j$ -th iteration.

Consider the  $key = A[j]$ . Let  $i$  be the largest index in  $1, 2, \dots, j - 1$  such that  $A[i] \leq key$ . In the inner loop, we find the largest index  $i$  in  $\{1, 2, \dots, j - 1\}$  such that  $A[i] \leq key$ , or  $i = 0$  if  $A[1] > key$ . The list is then updated to:  $A[1], \dots, A[i], A[i + 1] = key, A[i + 2], \dots, A[j - 1], A[j]$ .

By the inductive hypothesis, we know that  $A[1] \leq \dots \leq A[i] \leq A[i + 2] \leq \dots \leq A[j - 1] \leq A[j]$ . We claim that the resulting list with  $key$  is sorted. Since  $i$  is the largest index in the original sorted list such that  $A[i] \leq key$ , we have:

$$A[1] \leq \dots \leq A[i] \leq key \text{ (since } i \text{ is the largest index satisfying } A[i] \leq key)$$

$$key < A[i + 2] \leq \dots \leq A[j] \text{ (since key is smaller than } A[i + 2]).$$

Thus,  $key$  is in its correct position. All other numbers were already in their correct positions, proving the claim. Therefore, after the  $j$ -th iteration,  $A[1...j]$  is sorted, so this proves the inductive case.

By induction, we conclude that the lemma holds.  $\square$