The Complexity Class Co-NP

Def. For a problem X, the problem \overline{X} is the problem such that $\overline{X}(s)=1$ if and only if X(s)=0.

Def. Co-NP is the set of decision problems X such that $\overline{X} \in NP$.

Def. A tautology is a boolean formula that always evaluates to 1.

Tautology Problem

Input: a boolean formula

Output: whether the formula is a tautology

• e.g. $(\neg x_1 \land x_2) \lor (\neg x_1 \land \neg x_3) \lor x_1 \lor (\neg x_2 \land x_3)$ is a tautology

Def. A tautology is a boolean formula that always evaluates to 1.

Tautology Problem

Input: a boolean formula

Output: whether the formula is a tautology

- e.g. $(\neg x_1 \land x_2) \lor (\neg x_1 \land \neg x_3) \lor x_1 \lor (\neg x_2 \land x_3)$ is a tautology
- Bob can certify that a formula is not a tautology

Def. A tautology is a boolean formula that always evaluates to 1.

Tautology Problem

Input: a boolean formula

Output: whether the formula is a tautology

- e.g. $(\neg x_1 \land x_2) \lor (\neg x_1 \land \neg x_3) \lor x_1 \lor (\neg x_2 \land x_3)$ is a tautology
- Bob can certify that a formula is not a tautology
- Thus Tautology \in Co-NP

$\overline{\mathsf{P}}\subseteq \mathsf{N}\mathsf{P}$

• Let $X \in \mathsf{P}$ and X(s) = 1

Q: How can Alice convince Bob that s is a yes instance?

• Let $X \in \mathsf{P}$ and X(s) = 1

Q: How can Alice convince Bob that s is a yes instance?

A: Since $X \in \mathsf{P}$, Bob can check whether X(s) = 1 by himself, without Alice's help.

• Let $X \in \mathsf{P}$ and X(s) = 1

Q: How can Alice convince Bob that s is a yes instance?

A: Since $X \in \mathsf{P}$, Bob can check whether X(s) = 1 by himself, without Alice's help.

The certificate is an empty string

• Let $X \in \mathsf{P}$ and X(s) = 1

Q: How can Alice convince Bob that s is a yes instance?

A: Since $X \in \mathsf{P}$, Bob can check whether X(s) = 1 by himself, without Alice's help.

- The certificate is an empty string
- Thus, $X \in \mathsf{NP}$ and $\mathsf{P} \subseteq \mathsf{NP}$

• Let $X \in \mathsf{P}$ and X(s) = 1

Q: How can Alice convince Bob that s is a yes instance?

A: Since $X \in \mathsf{P}$, Bob can check whether X(s) = 1 by himself, without Alice's help.

- The certificate is an empty string
- Thus, $X \in \mathsf{NP}$ and $\mathsf{P} \subseteq \mathsf{NP}$
- Similarly, $P \subseteq Co-NP$, thus $P \subseteq NP \cap Co-NP$

- A famous, big, and fundamental open problem in computer science
- Most researchers believe $P \neq NP$
- ullet It would be too amazing if P = NP: if one can check a solution efficiently, then one can find a solution efficiently

- A famous, big, and fundamental open problem in computer science
- Little progress has been made
- Most researchers believe $P \neq NP$
- It would be too amazing if P = NP: if one can check a solution efficiently, then one can find a solution efficiently

- A famous, big, and fundamental open problem in computer science
- Little progress has been made
- Most researchers believe $P \neq NP$
- It would be too amazing if P = NP: if one can check a solution efficiently, then one can find a solution efficiently
- We assume $P \neq NP$ and prove that problems do not have polynomial time algorithms.

- A famous, big, and fundamental open problem in computer science
- Little progress has been made
- Most researchers believe $P \neq NP$
- It would be too amazing if P = NP: if one can check a solution efficiently, then one can find a solution efficiently
- We assume $P \neq NP$ and prove that problems do not have polynomial time algorithms.
- We said it is unlikely that Hamiltonian Cycle can be solved in polynomial time:
 - if $P \neq NP$, then $HC \notin P$
 - HC \notin P, unless P = NP

Is NP = Co-NP?

• Again, a big open problem

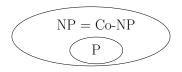
Is NP = Co-NP?

- Again, a big open problem
- Most researchers believe NP \neq Co-NP.

4 Possibilities of Relationships

Notice that $X \in \mathsf{NP} \Longleftrightarrow \overline{X} \in \mathsf{Co}\text{-}\mathsf{NP}$ and $\mathsf{P} \subseteq \mathsf{NP} \cap \mathsf{Co}\text{-}\mathsf{NP}$

$$P = NP = Co-NP$$







People commonly believe we are in the 4th scenario

Outline

- Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- Dealing with NP-Hard Problems
- **6** Summary
- Summary of Studies 2024 Spring

Polynomial-Time Reductions

Def. Given a black box algorithm A that solves a problem X, if any instance of a problem Y can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to A, then we say Y is polynomial-time reducible to X, denoted as $Y \leq_P X$.

Polynomial-Time Reductions

Def. Given a black box algorithm A that solves a problem X, if any instance of a problem Y can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to A, then we say Y is polynomial-time reducible to X, denoted as $Y \leq_P X$.

To prove positive results:

Suppose $Y \leq_P X$. If X can be solved in polynomial time, then Y can be solved in polynomial time.

Polynomial-Time Reductions

Def. Given a black box algorithm A that solves a problem X, if any instance of a problem Y can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to A, then we say Y is polynomial-time reducible to X, denoted as $Y \leq_P X$.

To prove positive results:

Suppose $Y \leq_P X$. If X can be solved in polynomial time, then Y can be solved in polynomial time.

To prove negative results:

Suppose $Y \leq_P X$. If Y cannot be solved in polynomial time, then X cannot be solved in polynomial time.

Hamiltonian-Path (HP) problem

Input: G = (V, E) and $s, t \in V$

Output: whether there is a Hamiltonian path from s to t in G

Hamiltonian-Path (HP) problem

Input: G = (V, E) and $s, t \in V$

Output: whether there is a Hamiltonian path from s to t in G

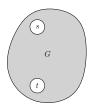
Lemma $HP \leq_P HC$.

Hamiltonian-Path (HP) problem

Input: G = (V, E) and $s, t \in V$

Output: whether there is a Hamiltonian path from s to t in G

Lemma $HP \leq_P HC$.

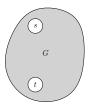


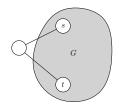
Hamiltonian-Path (HP) problem

Input: G = (V, E) and $s, t \in V$

Output: whether there is a Hamiltonian path from s to t in G

Lemma $HP \leq_P HC$.



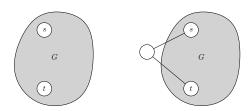


Hamiltonian-Path (HP) problem

Input: G = (V, E) and $s, t \in V$

Output: whether there is a Hamiltonian path from s to t in G

Lemma $HP \leq_P HC$.



Obs. G has a HP from s to t if and only if graph on right side has a HC.

- ullet $X \in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

Def. A problem X is called NP-hard if

 $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

 NP-hard problems are at least as hard as NP-complete problems (a NP-hard problem is not required to be in NP)

Def. A problem *X* is called NP-complete if

- \bullet $X \in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

 NP-hard problems are at least as hard as NP-complete problems (a NP-hard problem is not required to be in NP)

Def. A problem *X* is called NP-complete if

- ullet $X\in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

Theorem If X is NP-complete and $X \in P$, then P = NP.

 NP-hard problems are at least as hard as NP-complete problems (a NP-hard problem is not required to be in NP)

Def. A problem *X* is called NP-complete if

- \bullet $X \in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

Theorem If X is NP-complete and $X \in P$, then P = NP.

- NP-complete problems are the hardest problems in NP
- NP-hard problems are at least as hard as NP-complete problems (a NP-hard problem is not required to be in NP)

Outline

- Some Hard Problems
- P, NP and Co-NF
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems
- **6** Summary
- Summary of Studies 2024 Spring

- ullet $X\in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.

- \bullet $X \in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.
 - ullet How can we find a problem $X \in \mathsf{NP}$ such that every problem $Y \in \mathsf{NP}$ is polynomial time reducible to X? Are we asking for too much?

- \bullet $X \in \mathsf{NP}$, and
- $Y \leq_{\mathsf{P}} X$ for every $Y \in \mathsf{NP}$.
 - How can we find a problem $X \in \mathsf{NP}$ such that every problem $Y \in \mathsf{NP}$ is polynomial time reducible to X? Are we asking for too much?
 - No! There is indeed a large family of natural NP-complete problems