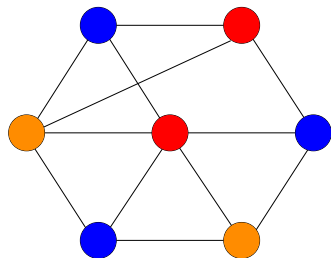


# $k$ -coloring problem

**Def.** A  $k$ -coloring of  $G = (V, E)$  is a function  $f : V \rightarrow \{1, 2, 3, \dots, k\}$  so that for every edge  $(u, v) \in E$ , we have  $f(u) \neq f(v)$ .  $G$  is  $k$ -colorable if there is a  $k$ -coloring of  $G$ .



## $k$ -coloring problem

**Input:** a graph  $G = (V, E)$

**Output:** whether  $G$  is  $k$ -colorable or not

# 2-Coloring Problem

**Obs.** A graph  $G$  is 2-colorable if and only if it is bipartite.

**Q:** How do we check if a graph  $G$  is 2-colorable?

# 2-Coloring Problem

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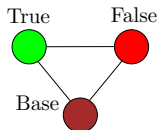
**Q:** How do we check if a graph  $G$  is 2-colorable?

**A:** We check if  $G$  is bipartite.

# 3-SAT $\leq_P$ 3-Coloring

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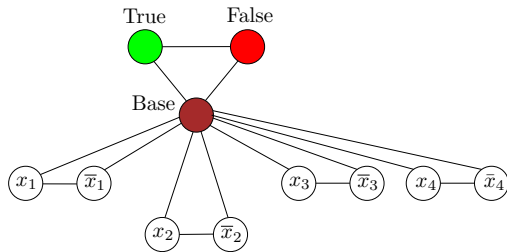
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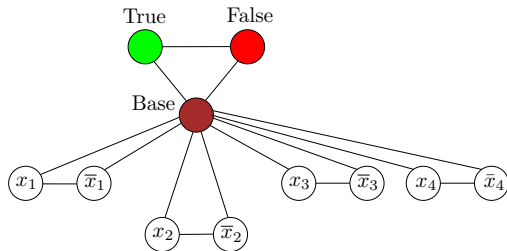


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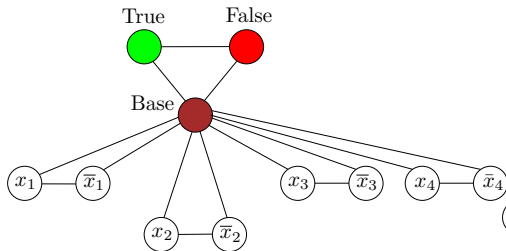
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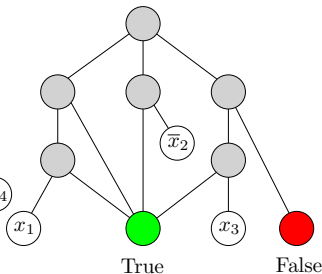
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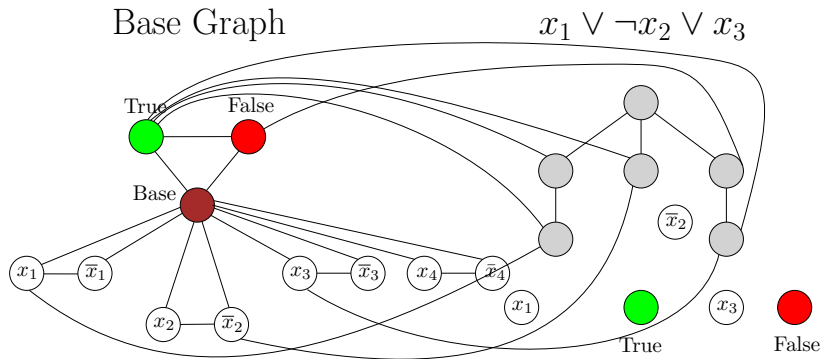


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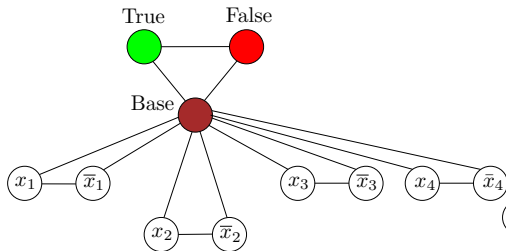




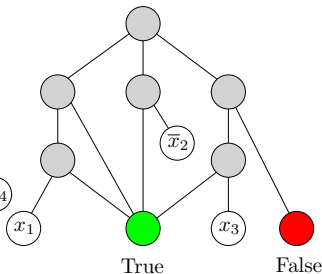
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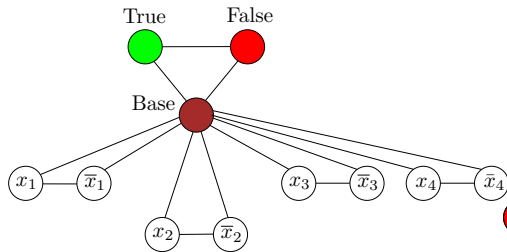
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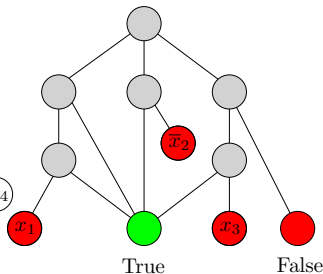
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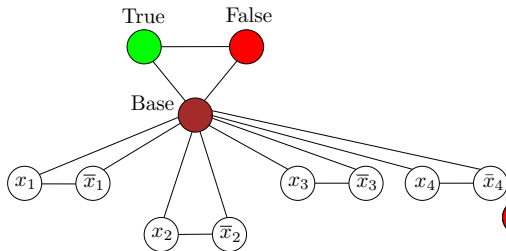
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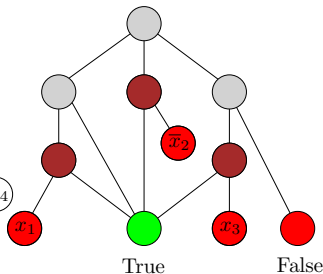
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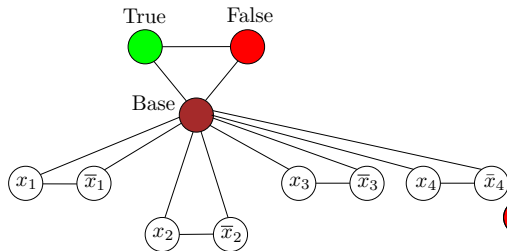
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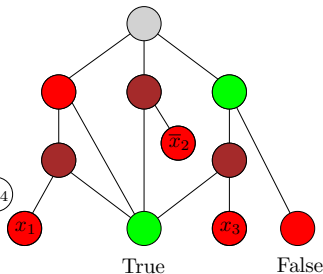
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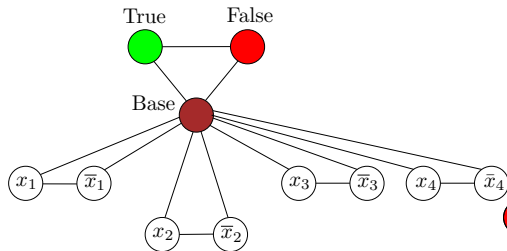
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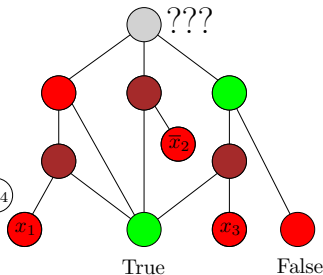
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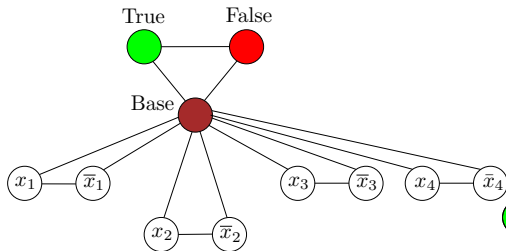
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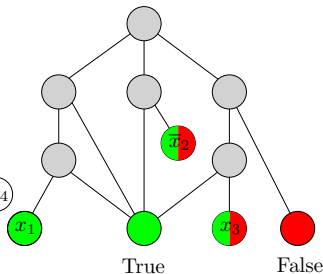
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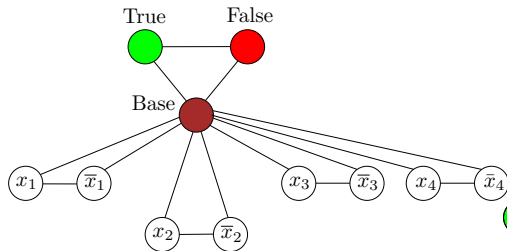
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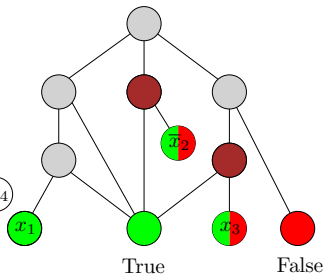
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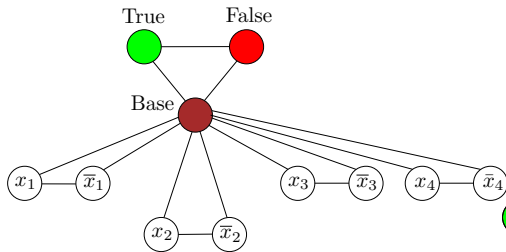
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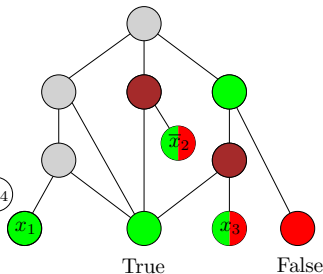
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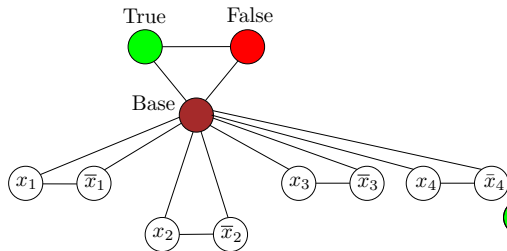




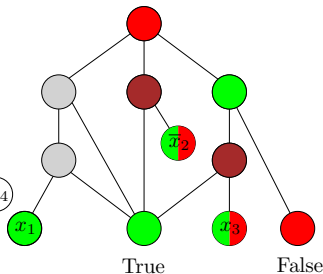
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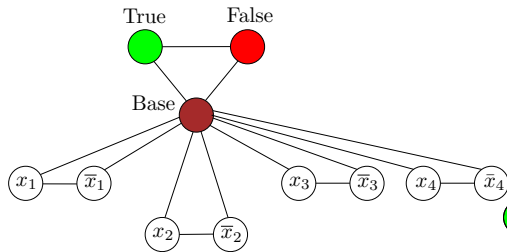
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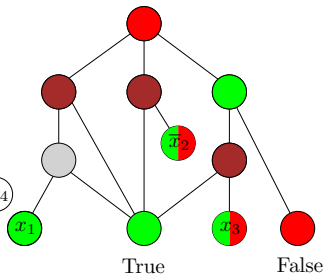
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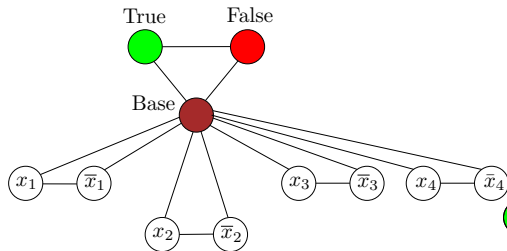
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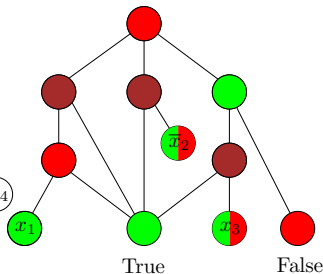
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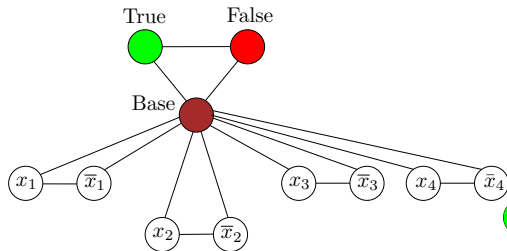
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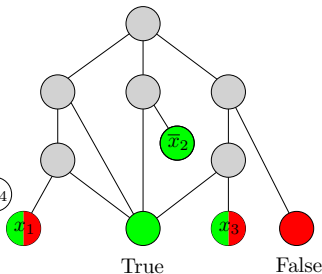
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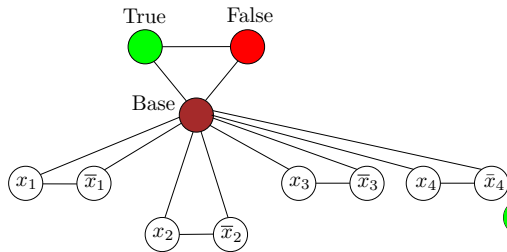
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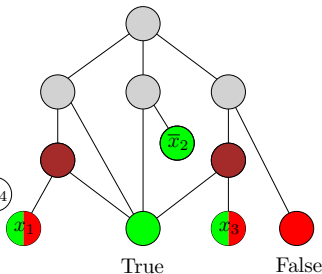
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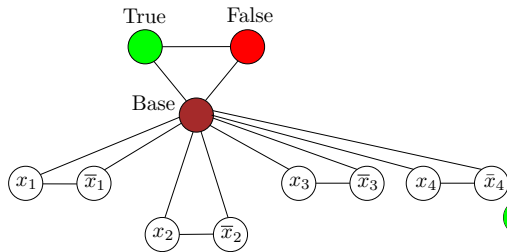
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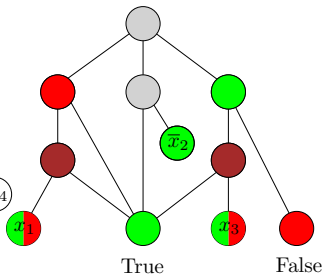
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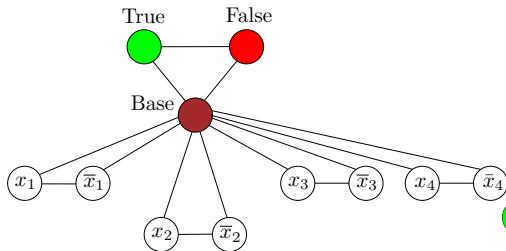
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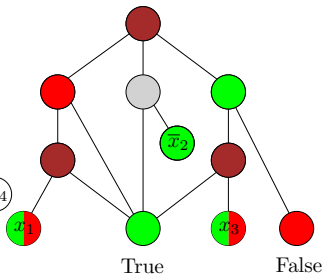
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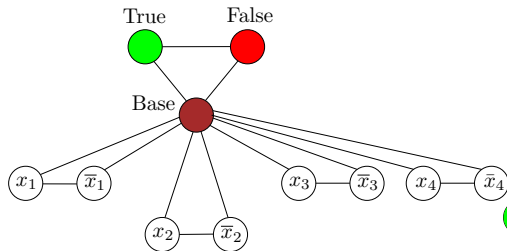
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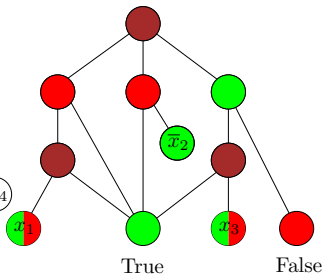
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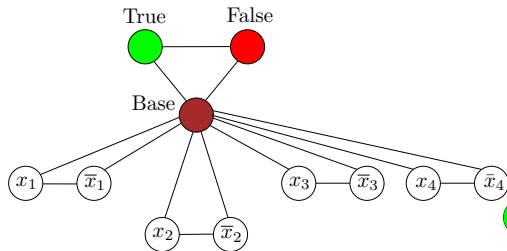




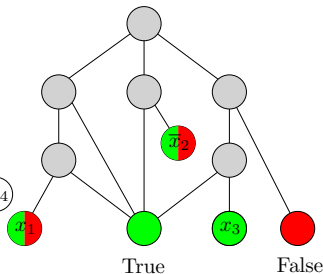
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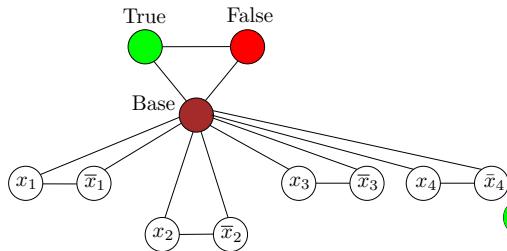
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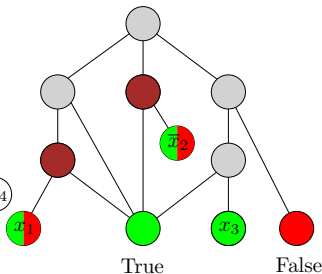
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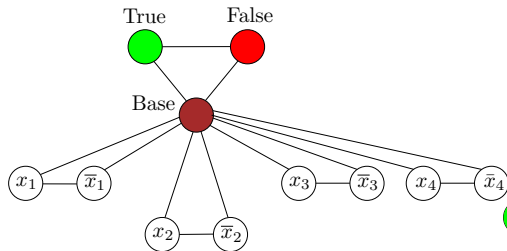
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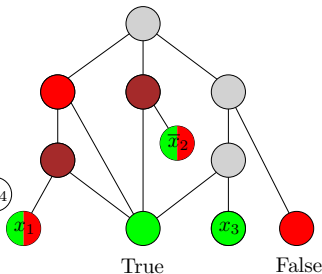
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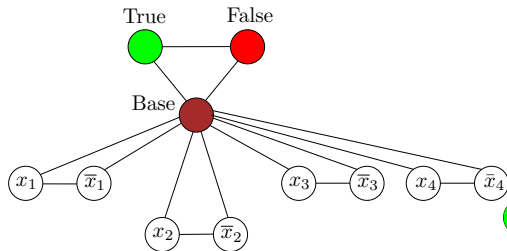
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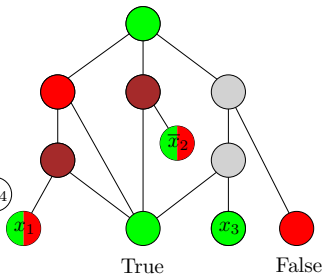
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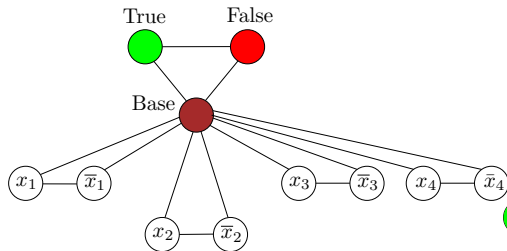
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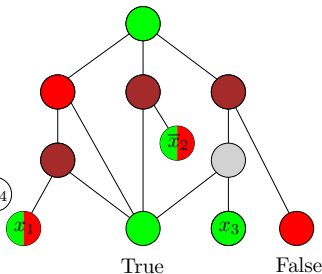
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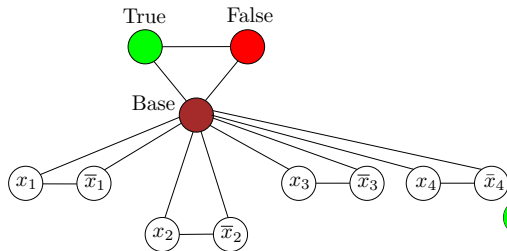
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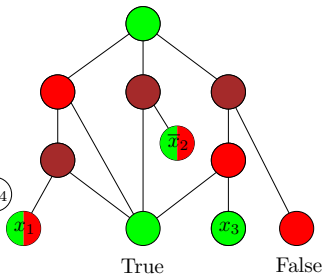
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# A Strategy of Polynomial Reduction

Recall the definition of polynomial time reductions:

**Def.** Given a black box algorithm  $A$  that solves a problem  $X$ , if any instance of a problem  $Y$  can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to  $A$ , then we say  $Y$  is polynomial-time reducible to  $X$ , denoted as  $Y \leq_P X$ .

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- However, for most reductions, we call algorithm for  $X$  only once
- That is, for a given instance  $s_Y$  for  $Y$ , we only construct one instance  $s_X$  for  $X$

# A Strategy of Polynomial Reduction

- Given an instance  $s_Y$  of problem  $Y$ , show how to construct in polynomial time an instance  $s_X$  of problem  $X$  such that:
  - $s_Y$  is a yes-instance of  $Y \Rightarrow s_X$  is a yes-instance of  $X$
  - $s_X$  is a yes-instance of  $X \Rightarrow s_Y$  is a yes-instance of  $Y$

# Outline

- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems**
- 6 Summary
- 7 Summary of Studies 2024 Spring

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  - Best lower bound is  $\Omega(n)$
- Essentially we have no techniques for proving lower bound for running time

# Dealing with NP-Hard Problems

- Faster exponential time algorithms
- Solving the problem for special cases
- Fixed parameter tractability
- Approximation algorithms

# Faster Exponential Time Algorithms

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## Travelling Salesman Problem:

# Faster Exponential Time Algorithms

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- Brute-force:  $O(2^n \cdot \text{poly}(n))$
- $2^n \rightarrow 1.844^n \rightarrow 1.3334^n$
- Practical SAT Solver: solves real-world sat instances with more than 10,000 variables

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- Brute-force:  $O(n! \cdot \text{poly}(n))$
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- In practice: TSP Solver can solve Euclidean TSP instances with more than 100,000 vertices

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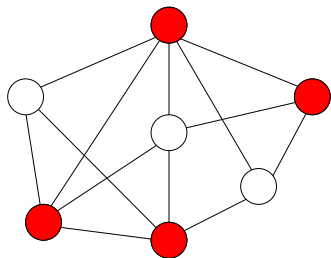
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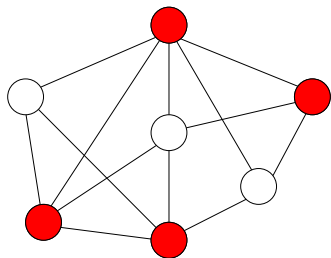
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- Problem: whether there is a vertex cover of size  $k$ , for a **small**  $k$  (number of nodes is  $n$ , number of edges is  $\Theta(n)$ .)



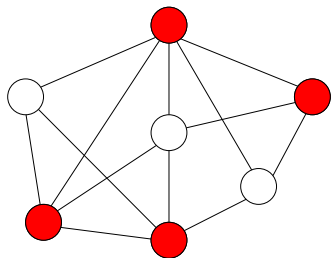
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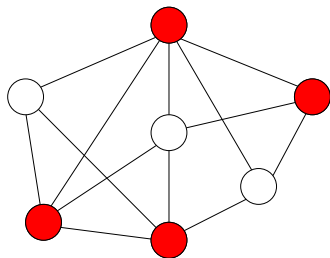
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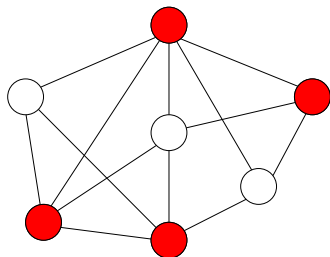
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- Running time is  $f(k)n^c$  for some  $c$  independent of  $k$
- Vertex-Cover is fixed-parameter tractable.



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- There is an 2-approximation for the vertex cover problem: **we can efficiently find a vertex cover whose size is at most 2 times that of the optimal vertex cover**

## 2-Approximation Algorithm for Vertex Cover

### VertexCover( $G$ )

```
1:  $C \leftarrow \emptyset$ 
2: while  $E \neq \emptyset$  do
3:   select an edge  $(u, v) \in E$ ,  $C \leftarrow C \cup \{u, v\}$ 
4:   Remove from  $E$  every edge incident on either  $u$  or  $v$ 
5: return  $C$ 
```

- Let the set  $C$  and  $C^*$  be the sets output by above algorithm and an optimal alg, respectively. Let  $S$  be the set of edges selected.
- Since no two edge in  $S$  are covered by the same vertex (Once an edge is picked in line 3, all other edges that are incident on its endpoints are removed from  $E$  in line 4), we have  $|C^*| \geq |S|$ ;
- As we have added both vertices of edge  $(u, v)$ , we get  $|C| = 2|S|$  but  $C^*$  have to add one of the two, thus,  $|C|/|C^*| \leq 2$ .

# Outline

- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems
- 6 Summary**
- 7 Summary of Studies 2024 Spring



# Summary

- We consider decision problems
- Inputs are encoded as  $\{0, 1\}$ -strings

**Def.** The complexity class **P** is the set of decision problems  $X$  that can be solved in polynomial time.

- Alice has a supercomputer, fast enough to run an exponential time algorithm
- Bob has a slow computer, which can only run a polynomial-time algorithm

**Def.** (Informal) The complexity class **NP** is the set of problems for which Alice can convince Bob a yes instance is a yes instance

# Summary

**Def.**  $B$  is an **efficient certifier** for a problem  $X$  if

- $B$  is a polynomial-time algorithm that takes two input strings  $s$  and  $t$
- there is a polynomial function  $p$  such that,  $X(s) = 1$  if and only if there is string  $t$  such that  $|t| \leq p(|s|)$  and  $B(s, t) = 1$ .

The string  $t$  such that  $B(s, t) = 1$  is called a **certificate**.

**Def.** The complexity class **NP** is the set of all problems for which there exists an efficient certifier.

# Summary

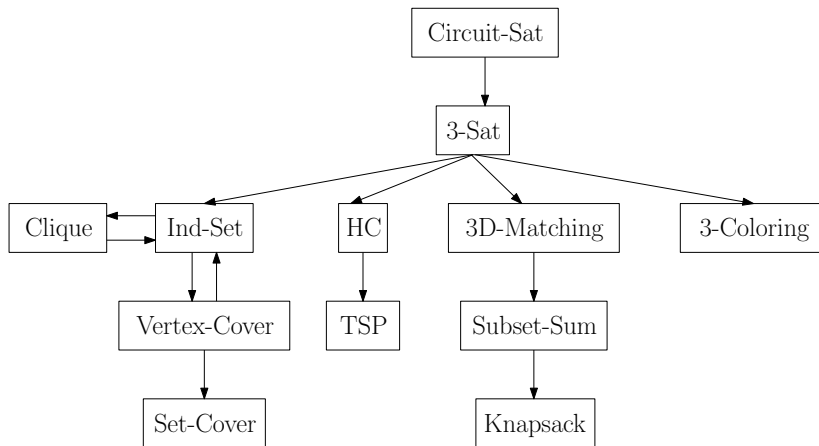
**Def.** Given a black box algorithm  $A$  that solves a problem  $X$ , if any instance of a problem  $Y$  can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to  $A$ , then we say  $Y$  is polynomial-time reducible to  $X$ , denoted as  $Y \leq_P X$ .

**Def.** A problem  $X$  is called NP-complete if

- ①  $X \in \text{NP}$ , and
- ②  $Y \leq_P X$  for every  $Y \in \text{NP}$ .

- If any NP-complete problem can be solved in polynomial time, then  $P = \text{NP}$
- Unless  $P = \text{NP}$ , a NP-complete problem can not be solved in polynomial time

# Summary



## Proof of NP-Completeness for Circuit-Sat

- Fact 1: a polynomial-time algorithm can be converted to a polynomial-size circuit
- Fact 2: for a problem in NP, there is a efficient certifier.
- Given a problem  $X \in \text{NP}$ , let  $B(s, t)$  be the certifier
- Convert  $B(s, t)$  to a circuit and hard-wire  $s$  to the input gates
- $s$  is a yes-instance if and only if the resulting circuit is satisfiable
- Proof of NP-Completeness for other problems by reductions