

CSE 431/531: Algorithm Analysis and Design (Fall 2024)

NP-Completeness

Lecturer: Kelin Luo

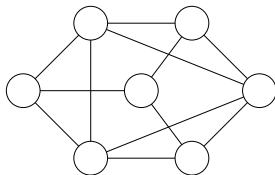
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University at Buffalo*

Outline

- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems
- 6 Summary
- 7 Summary of Studies 2024 Spring

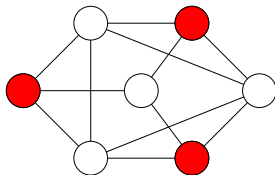
Maximum Independent Set Problem

Def. An **independent set** of $G = (V, E)$ is a subset $I \subseteq V$ such that no two vertices in I are adjacent in G .



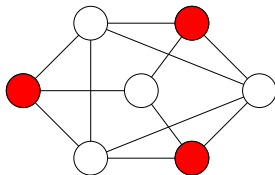
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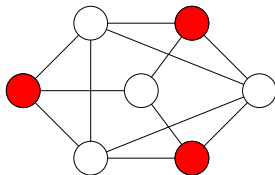
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Output: the size of the maximum independent set of G

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- Maximum Independent Set is NP-hard

Formula Satisfiability

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Input: boolean formula with n variables, with \vee, \wedge, \neg operators.

Output: whether the boolean formula is satisfiable

- Example: $\neg((\neg x_1 \wedge x_2) \vee (\neg x_1 \wedge \neg x_3) \vee x_1 \vee (\neg x_2 \wedge x_3))$ is not satisfiable
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Decision Problem Vs Optimization Problem

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Fact For each optimization problem X , there is a decision version X' of the problem. If we have a polynomial time algorithm for the decision version X' , we can solve the original problem X in polynomial time.

Shortest Path

Input: graph $G = (V, E)$, weight w , s, t and a bound L

Output: whether there is a path from s to t of length at most L

Optimization to Decision

Shortest Path

Input: graph $G = (V, E)$, weight w , s, t and a bound L

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Maximum Independent Set

Input: a graph G and a bound k

Output: whether there is an independent set of size at least k

Encoding

The input of a problem will be **encoded** as a binary string.

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- Input: (3, 6, 100, 9, 60)
- Binary: (11, 110, 1100100, 1001, 111100)

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- String: **11/**

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- String: 11/**110**/

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Example: Sorting problem

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- String: 11/110/**1100100**/

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Example: Sorting problem

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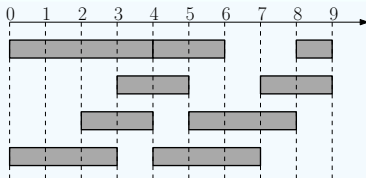
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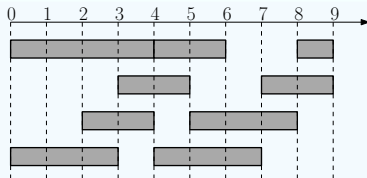
Example: Interval Scheduling Problem



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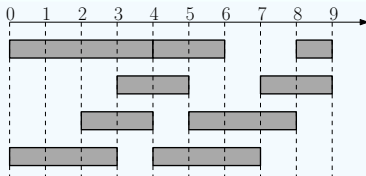


- (0, 3, 0, 4, 2, 4, 3, 5, 4, 6, 4, 7, 5, 8, 7, 9, 8, 9)

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Example: Interval Scheduling Problem



- $(0, 3, 0, 4, 2, 4, 3, 5, 4, 6, 4, 7, 5, 8, 7, 9, 8, 9)$
- Encode the sequence into a binary string as before

Encoding

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A: No! As long as we are using a “natural” encoding. We only care whether the running time is polynomial or not

Define Problem as a Function

$$X : \{0, 1\}^* \rightarrow \{0, 1\}$$

Def. A **decision problem** X is a function mapping $\{0, 1\}^*$ to $\{0, 1\}$ such that for any $s \in \{0, 1\}^*$, $X(s)$ is the correct output for input s .

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Def. An algorithm A **solves** a problem X if, $A(s) = X(s)$ for any binary string s

Def. A has a **polynomial running time** if there is a polynomial function $p(\cdot)$ so that for every string s , the algorithm A terminates on s in at most $p(|s|)$ steps.

Complexity Class P

Def. The **complexity class P** is the set of decision problems X that can be solved in polynomial time.

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- The decision versions of interval scheduling, shortest path and minimum spanning tree all in P.

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Def. The message Alice sends to Bob is called a **certificate**, and the algorithm Bob runs is called a **certifier**.

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A: Alice gives a set of size k to Bob and Bob checks if it is really a independent set in G .

- Certificate: a set of size k
- Certifier: check if the given set is really an independent set

The Complexity Class NP

Def. B is an **efficient certifier** for a problem X if

- B is a polynomial-time algorithm that takes two input strings s and t , and outputs 0 or 1.
- there is a polynomial function p such that, $X(s) = 1$ if and only if there is string t such that $|t| \leq p(|s|)$ and $B(s, t) = 1$.

The string t such that $B(s, t) = 1$ is called a **certificate**.

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Def. The complexity class NP is the set of all problems for which there exists an efficient certifier.

HC (Hamiltonian Cycle) \in NP

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- $\text{HC}(G) = 1 \iff \exists S, B(G, S) = 1$

MIS (Maximum Independent Set) \in NP

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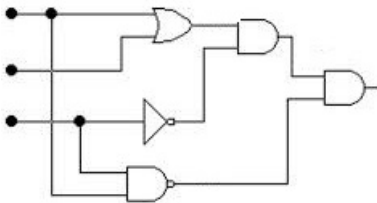
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- Clearly, B runs in polynomial time
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Circuit Satisfiability (Circuit-Sat) Problem

Input: a circuit with and/or/not gates

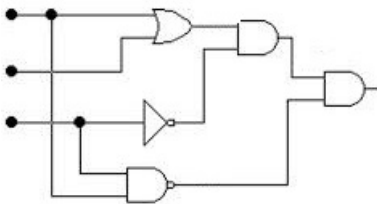
Output: whether there is an assignment such that the output is 1?



Circuit Satisfiability (Circuit-Sat) Problem

Input: a circuit with and/or/not gates

Output: whether there is an assignment such that the output is 1?



- Is Circuit-Sat \in NP?

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- Unlikely
- Alice can only convince Bob that G is a no-instance
- $\overline{\text{HC}} \in \text{Co-NP}$

The Complexity Class Co-NP

Def. For a problem X , the problem \overline{X} is the problem such that $\overline{X}(s) = 1$ if and only if $X(s) = 0$.

Def. **Co-NP** is the set of decision problems X such that $\overline{X} \in \text{NP}$.