

FIXLOG 1 : OFAC IAT Verification for mismatch counts procedures

1. if the column “# of IAT Transactions for row “Grand Total” is not equal to column “# of IAT Transactions” for row “IAT txns sent to EPS”, please run below queries in Self Auth DB.

- a. Self Auth IAT Count

```
select count(*) from db.AuthTransaction with (nolock)
where SecCode = 'IAT' and FileLoadID in (
select FileID
from [BankingauhtorizationHub].[dbo].[AuthFile]
where ProcessDate = current date
and BatchType = '%EarlyACH%'
and FileType = 'ACH')
```

- b. The 1 transaction which was sent to EPS system but not received in the self authorization files to Mainframe is available in the “Deposit Red flag” Work queue:

```
Select AccountID, CheckingAccount, Amount, CreditOrDebit, TraceID,
WorkQueue, SecCode from dbo.AuthTransaction with (nolock)
where SecCode = 'IAT' and FileLoad in (select FileID from
[BankingAuthorizationHub].[dbo].[AuthFile]
where ProcessData = current date
and BatchType like '%EarlyACH%'
and FileType = 'ACH'
and WorkQueue = 'DRF')
```

If a transaction is present in DRF then no action is required else contact Dev Team.

2. if there are any txns in column # of mismatch Transactions “for rows” Approvers txns from Self Auth” or “ Declined txns from Self Auth”, please verify if counts tally with txns with Trace ID Zeros in the Self-Auth File of the corresponding Batch.

Sample mail: _Self-Auth__OFAC_Screening_recon_-_Prod.msg

FIXLOG 2 : 5th lockbox posting /icl GL file received with Indicator 'N'

Issue - We received all files but 5th posting/ICL FL received today has no final file indicator (Y) in posting & (Y) in ICL GL file.

Whenever we receive the attached automated email please verify if all the 5 posting/ICL GL are received if all the files are received then we need to verify if 5th posting/ICL GL file is received with indicator 'N'

if 5th file is received with indicator as 'N', follow below steps:

1. verify TFDC_TRANSMIT_LOG/TFDC_GL_ICL_LOG table data for current day and check if status was inserted as 'N'
2. if the status is 'N' in tables TFDC_TRANSMIT_LOG/TFDC_GL_ICL_LOG for the 5th file, then for corresponding table entry for 5th file, status needs to be updated to 'Y' by executing request job
3. *TSTG.PROD.WORK.JCL9(PLBXRUPD)*

Queries to be used to fix the indicator-

highlighted values below need to be cross checked in table and updated to fix the issue

```
UPDATE DB2PLBX.TFDC_TRANSMIT_LOG
SET CDE_FILE_STA='F'
where CDE_USER_ID = 'PLBXD300' AND DT2_TRANSMIT='2018-08-23'
AND NUM_CYCLE = '55'
COMMIT
```

```
UPDATE DB2PLBX.TFDC_GL_ICL_LOG
SET CDE_FILE_STA='Y'
WHERE
DT2_FIILE_XMIT = '2018-08=23' AND IDN_BANK='A'
COMMIT
```

Sample mail: PLBXDFNC_-FDC_File_Validation_-_Reminder_1_at_1930_NYT.msg

FIXLOG 15 : File contentionabend notification for the job PAAAD151.

This job has a resource requirement on the mainframe file
PAAA.VSM.PAAAW151.CARDHIST.CLUSTER.

If the Job fails due to contention of file PAAA.VSM.PAAAW151.CARDHIST.CLUSTER by holder CICS1513, follow below steps for the resolution:

Clear the contention by requesting Mainframe Computing (Console Ops) to close the file in region CICS1513.

Restart the job from that step once the contention is verified and cleared.
Once done, ensure the job is in a completed state.

Note: Generally, it takes 13 mins to complete this job.

In case of any question, reach out to banking-mssb-asg@morganstanley.com