

# Automatic Story Generation: A Survey of Approaches

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Computational generation of stories is a subfield of computational creativity where artificial intelligence and psychology intersect to teach computers how to mimic humans' creativity. It helps generate many stories with minimum effort and customize the stories for the users' education and entertainment needs. Although the automatic generation of stories started to receive attention many decades ago, advances in this field to date are less than expected and suffer from many limitations. This survey presents an extensive study of research in the area of non-interactive textual story generation, as well as covering resources, corpora, and evaluation methods that have been used in those studies. It also shed light on factors of story interestingness.

CCS Concepts: • **Information systems** → *Information extraction*; • **Computing methodologies** → **Natural language processing**; **Discourse, dialogue and pragmatics**; **Natural language generation**; *Lexical semantics*;

Additional Key Words and Phrases: Text generation, story generation, datasets, evaluation, survey

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## 1 INTRODUCTION

Stories are a significant part of every culture, attracting people regardless of age. For that reason, stories have always been a medium for entertainment, moral lessons, and wisdom inspiration. In recent decades, stories have also been used as tools for assessing and educating children.

We may define creativity as the ability to generate novel and valuable ideas, where valuable means beautiful, interesting, and useful [26]. Generating stories using computers is a complex task of computational creativity, which lies in the area where psychology and **artificial intelligence (AI)** intersect. To teach computers how to generate a story, we need to understand how humans create one. Knowing this enables computer scientists to mimic the human brain. However, generating stories using computers helps psychologists better understand human cognition.

Many applications can benefit from automatic story generation, e.g., entertainment, where many stories can be produced with minimum effort [12, 107]. It can also be used for education where stories are customized to the learners' needs [13, 99]. In gaming, interactive stories play a major role in increasing the interestingness of games [67, 173].

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Automated story generation is the problem of mechanically selecting a sequence of events or actions that meet a set of criteria and can be told as a story [90]. Each story has a story world, interacting characters, and objects. Furthermore, a story may have an author goal, which is the message that the author aims to deliver to the story receiver through the story events. Although story generation systems started in early 1960s [150], they did not achieve outstanding results and are still classified as weak AI systems because their creativity is not comparable to humans [75].

For a computer system to be creative, it must generate stories different from past seen ones. Many attributes must be considered, including story settings such as time and space, story characters, their desires, and plans to achieve these desires. In addition, the interactions between characters and conflicts that may occur between characters' desires are essential. These attributes result in an enormous growth of the story space, and hence searching for a story in this vast space is difficult, inefficient, or impossible. The large number of attributes makes story generation difficult, and accounting for its aim, believability, and interestingness further complicates the generation process. Open story generation, where stories are generated without relying on a priori engineered domain models, adds two extra challenges to story generation: the automatic construction of the domain model and evaluating the story progress to guide the generation process.

As a long-standing problem, computational narratives received many efforts to survey and classify story generation systems. In 2009, Gervás [60] reviewed how story generation systems emulated human creativity and to what extent they implement the key features of computational creativity. Since this work was published, computational narrative attracted the research community, and a large number of automatic story generators were proposed. Young et al. [187] conducted a survey centered on planning and reasoning in computational narratives, and a more recent survey by Kybartas and Bidarra [84] classified story generation systems based on degrees of automation of plot and space generation to four main categories: manual authoring, plot generation, space generation, and finally story generation that automates elements of both plot and space. In this survey, we aim to provide the reader with a comprehensive guide on automatic story generation. We survey computational approaches of story generation from an AI perspective and their intersection with cognitive science. We overview available knowledge sources for building story generation systems and the different evaluation metrics used in the literature. We also discuss the factors of story interestingness. No doubt there exist earlier surveys, e.g. [84, 187], some from not so long ago. However, we believe that our work can be seen as an up-to-date survey since it covers many newly proposed studies that were not covered previously.

Bailey [17] classified story generation systems into three main categories: *author models* that simulate the author's thoughts in generating a story, *story models* that generate stories by manipulating their structural artifact, and *world models* that generate stories based on the goals and plans of characters [106]. This article categorizes story generators into three main categories: structural models, planning-based models, and **machine learning (ML)** models. Unlike Bailey, we categorize author and world models as goal-directed approaches, which is a subcategory of planning-based models. However, our structural models are analogous to Bailey's story models, where story generation proceeds from an abstract representation of the story as a structural (or linguistic) artifact [17]. They often do not concentrate on causal relations between events. These models can be viewed as top-down approaches where story grammars are used to guide the generation process.

This report is organized as follows. Section 2 introduces some basic definitions of what constitutes a story. The three models used to generate stories are covered in Sections 3, 4, and 5. Section 6 overviews the knowledge needed by story generators. Factors of story interestingness are discussed in Section 7. Section 8 provides an overview of different evaluation methods.

A general discussion is presented in Section 9, and the conclusion and future directions are presented in Section 10.

## 2 DEFINITIONS

A *story* is a description of real or imaginary actors and events generated to achieve one or more goals, such as entertainment or education. Usually, stories have one or more *themes*, which are the central ideas of the story that the author conveys to the receiver [77].

A story *event* happens at a particular time and place [5] and transforms the world from one state into another. Each story has a *plot* representing the sequence of events and the causes affecting these events. Story *characters* are the actors of the story or those affected by them. Most short stories have only one primary character called the *protagonist* who is connected to most of the plot and events and the cause-effect relationships between these events. A story *space* includes the characters, settings, props, and anything present either physically or abstractly in the space of the narrative [84]. The *fabula* refers to a story world in which story events occur in chronological order. The *syuzhet* characterizes selected contents of the fabula arranged in a particular presentation order, taking into account the reader [15]. The *discourse* is the structure of how a story is organized into a surface expression [3]. It includes, but is not limited to, the syuzhet.

A *plot graph* is a representation used in story generation systems to model the plot space. After abstracting a story into discrete plot points, each of which represents some event in the story, some ordering constraints are assigned to plot points by placing them in a directed acyclic graph to define the space of possible sequences of events [180]. Additional disjunctive constraints can also be applied to specify the story points that never occur together [90, 117]. A *script* is a structure that describes an appropriate sequence of events in a particular context [153]. It represents a story as a sequence of slots and imposes constraints of what can fill these slots. The slot content can also be affected by the content of the other slots of the script.

The story *frames* are structures used to represent different story elements. For example, a character frame stores specific information about a character, such as a name, role, and status [86]. However, *events* frames formalize the attributes and constraints of an event, such as actors, locations, and a list of possible actions [31].

For a more realistic appearance, some supplementary *settings* should be added, such as story time and place. Moreover, to achieve the story goals and produce an interesting story, the story plot should be well structured. Many story structure models exist for analyzing and generating stories. However, the most well known and widely accepted story structure is Freytag's pyramid [57], which can be broken down into five main components: *exposition*, where the main characters and story settings are introduced; *rising action*, where the events start to happen that leads to the story climax; the story *climax*, which is the point where the main action or highest tension of the story occurs; and *falling action*, which is the sequence of events leading from the climax to the story *resolution* where the story's main problem is resolved and the story ends. As we can see from Freytag's pyramid, story structure is what distinguishes a story from other types of literature. Its importance lies not only in achieving story goals but also in increasing the interestingness of the story.

The believability of a story is highly affected by its *consistency*, where the sequence of events seems logical to the receiver. Three factors contribute to story consistency: the cause-effect relationship between events, the conformity between the events and the story world, and the accordance between the characters' personalities and actions. Once the story loses its consistency, it will lose its attraction. Therefore, story consistency can be considered as necessary as story structure and is an essential requirement for any generated story [169].

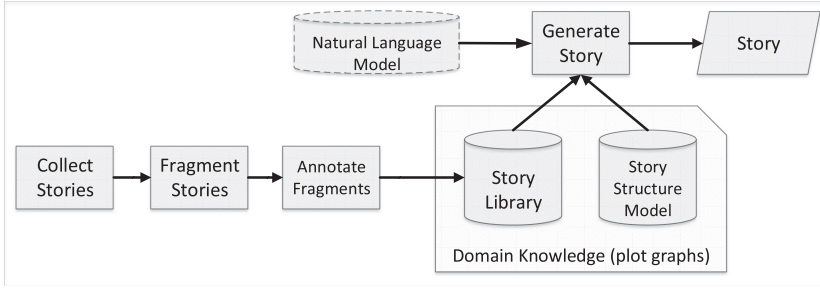


Fig. 1. A framework of structural story generation.

### 3 STRUCTURAL MODELS

In cognitive science, story grammar theories view stories as scripts, where a script is a structure that describes an appropriate sequence of events in a particular context [153]. These theories arose from the fact that in the real world, events usually occur in stereotypical patterns. For example, when someone wants to eat at a restaurant, the sequence of events is as follows: enter restaurant, sit at table, read menu, order food, eat food, pay money, and leave restaurant. These sequences, or patterns, are used as schemas for guiding story generation. However, a context may have different scenarios that vary slightly, resulting in different interfering patterns. For instance, in the restaurant context, the sequence of events may differ if there is no menu on the table. Another scenario occurs when a customer enters a fast-food restaurant where payment precedes eating. The diversity of patterns enhances the diversity of the generated stories.

In the field of story generation, schemas are employed to automatically generate structured stories by dividing the stories into slots following a given schema. The slots are then filled by pasting similar slots of previously collected and annotated stories, considering the inter-effect between the generated story slots' contents. As Figure 1 shows, structural story generation starts by annotating story fragments, and then the annotations are used to "glue" the fragments together based on plot graphs or story grammars.

One of the earliest and most widely adopted efforts to formalize stories into structural models is the Russian formalist Vladimir Propp's work. In his book *Morphology of the Folktale*, Propp [135] analyzed nearly 600 Russian folktales. He concluded that all folktales are composed of the same 31 character actions, which he called *functions* such as absentation, villainy, lack, struggle, and victory. These functions may not appear in every tale; however, functions appearing in one tale follow a rigid order. Propp's structure was widely used for automatic story generation, especially in early research.

Joseph Grimes' forgotten pioneer system recently came to light [150]. Grimes implemented Propp's story morphology by randomly selecting a subset of Propp's 31 functions and then ordering them based on Propp's grammar. In addition, Grimes' system used intelligent referring expressions. For example, "a lion" that was mentioned at the beginning of the story is referred to as "the lion" in the remainder of the story. It also used the discourse marker "thus" to connect the final sentence to prior sentences of the story. Table 1 shows a sample story generated by Grimes' system.

In addition to Propp's functions, there were many efforts to formalize stories. In his book *The Thirty-Six Dramatic Situations*, Polti [134] created a descriptive list to categorize every dramatic situation that might occur in a story or performance [154] by analyzing Greek texts, French, and some non-French works. Although Polti did not propose a way to combine the dramatic situations

Table 1. The Only Surviving Output of J. E. Grimes 1960s' Computer-Generated Story as Reported by Ryan [150]

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*A lion has been in trouble for a long time. A dog steals something that belongs to the lion. The hero, lion, kills the villain, dog, without a fight. The hero, lion, thus is able to get his possession back.*

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to generate stories, his work was adopted by some narrative generation systems as in the work of Jhala and Young [78].

### 3.1 Graph-Based Approaches

The simplest form of a script used in story generation is to build story graphs. During the design phase, a branching story graph representing all possible stories' space is constructed. Then, at the generation phase, the graph is traversed to find a linear path that represents the generated story. The quality of the generated story depends mainly on the quality of the constructed graph. In addition, adding some constraints on the graph search, such as path length range, can enhance the results. Based on Propp's story structure, Maranda [104] developed a graph that can generate folktales. Maranda's graph consists of nodes that contain Propp's functions with specific start nodes and termination nodes. The Russian folktales annotated knowledge base is searched for a piece that matches the node function at each traversed node. The retrieved piece is then concatenated to the generated story. The process is repeated until we reach a terminal node. This approach not only generates the original Russian folktales but can also generate new stories. However, Maranda's graph is cyclic, which may lead the system to infinite iterations.

The SCHEHERAZADE system proposed by Li et al. [90] collects human experiences about a topic domain in the form of scripts. Then, it learns a plot graph based on these scripts. The graph is then traversed to generate stories. SCHEHERAZADE graphs are similar to Maranda's graph in both having specific start and termination nodes. However, its graphs are acyclic and apply mutually inclusive constraints on some of the generated stories' events.

### 3.2 Grammar-Based Approaches

Using grammar to generate stories started when Lakoff [85] reformulated Propp's story structure into a story grammar. Viewing stories as words of the narrative's formal language where the alphabets are Propp's functions, Lakoff used expandable rewrite rules to generate stories, which meant different stories could be produced by selecting different expansions. His work inspired researchers into proposing other story grammars. As a further example, Pemberton [127] proposed a story grammar for an old French epic, which was implemented later as GESTER [128], a program that generates story models based on Pemberton's grammar. Its stories have a clear beginning, middle, and end. BRUTUS [31] is also a system that generates betrayal stories based on story grammars. It created complex stories based on frame structures, where every element of the story, such as characters and events, is considered story frames. These frames are then grouped into several story themes. All previously mentioned story grammars are specialized grammars limited to the domain that produced them. Thus, they can only generate a small restricted set of stories, which necessitates a call for more general story grammars.

Theoretically, Rumelhart [149] was the first to propose general story grammar. Since then, several general story grammars were proposed, including that of Thorndyke [170], which was widely adopted due to its simplicity. To conclude, story structural models are easy-to-implement, fast-to-implement approaches that can generate well-structured stories provided that the structural

model is well structured. They can also generate interesting stories. Nevertheless, as discussed previously, structural models focus on the structure of the story, i.e., they focus on the syntax of the story rather than the semantics, whereas stories are semantic models in nature. Therefore, the logical relationships between story events and between character intentions and actions will be negatively affected, affecting story coherence and believability. In addition, structural models are rigid; they can only generate stories that satisfy the provided story structure and cannot modify their knowledge to generate different stories. Their generated stories are limited to one protagonist because having more than one protagonist requires complex logical relationships. Finally, story structural generation suffers from the over-generation problem. In other words, it generates non-story texts and accepts them as stories. This includes procedural exposition and stories that are ill formed [23].

## 4 PLANNING-BASED MODELS

Story grammar theories were criticized as a story understanding approach [23, 24]. According to psychologists, story grammars can build a story syntactically. However, they do not account for the semantic relationships in the story. Therefore, they cannot be applied to stories with conflicting goals or with multiple protagonists. The worst-case scenario of story grammar is when they accept non-stories as stories [23]. The story points theory was proposed as a response to these criticisms. Here, we view a story as a chain of causally connected events to pursue an end goal [181]. Having the causal connection between events makes more sense to the reader and serves the story semantics. To aid story writers, Cook [46] published *Plotto: The Master Book of All Plots*, which contains 1,852 numbered plot fragments, each of which refers to several potential predecessors and successors with formal instructions on how to combine them to produce complete plots. It also introduced three different ways to start with a story. The wide variety of plot fragments and the structured way of connecting the fragments made Plotto an interesting source for computational narratives. Cook's approach follows the story points theory, where the focus is on the logical flow between successive fragments rather than on the story's overall structure.

Eger et al. [53] proposed Plotter, a computational story generator that generates plots from Plotto's fragments and their associated instructions. However, many generated stories were inconsistent and had logical conflicts. This limitation is considered inherent to the story points approach, where each step only depends on the current state of the story and not past states. To improve story consistency, the authors suggest using an AI planning operator representation of each fragment. Indeed, story points theory has been popular for automatic story generation using AI planning algorithms. Knowing that both theoretical story planning and AI planning are based on reasoning, the analogy between them seems obvious. In general, generating stories using AI planning works by providing an initial state and a goal for a reasoner that infer actions and ultimately lead the initial state to the story goal. An optional directing process may be introduced to enhance the quality of the generated story, as shown in Figure 2. The following sections review the different approaches for employing AI planning in automatic story generation.

### 4.1 Goal-Directed Approaches

Goal-directed approaches were the first intelligent story generators, followed by structural models. Using the goal-based agents, which range from simple atomic problem-solving agents to structured planning agents, story planners were used in a wide range of story generators in the literature.

**4.1.1 Simulation Approach.** Meehan [109] was the first to introduce AI for automatic story generation in his pioneering project TALE-SPIN. In contrast to story grammars, TALE-SPIN concentrated on characters' needs and intentions to fulfill these needs using AI problem-solving



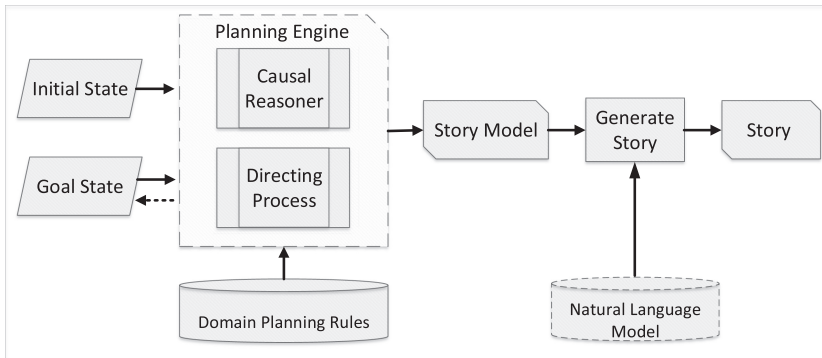


Fig. 2. The framework of goal-directed story generation.

techniques. Through building a world simulation planner, TALE-SPIN was entirely driven by character goals. Story generation starts by setting an initial state, i.e., a description of the story world and one or more character goals. Then, by using an inference engine (reasoner) to implement a forward chaining algorithm, the story plan is produced by inferring chains of causal events considering the effect of each event on the story world. The process continues until a character goal is reached. This approach gives story characters clear intentions and thus improves their believability. TALE-SPIN was able to generate short coherent stories similar to Aesop's fables. For a well-structured story, the world's initial state and the character goals should be declared. However, focusing on a character's own needs and actions to fulfill these needs may result in uninteresting stories that lack climax or resolution. Another shortcoming of TALE-SPIN is that many of its good generated stories reassemble the source stories used to build its rigid knowledge base.

**4.1.2 Global-Schema Approach.** In story writing, authors aim to write coherent and exciting stories by creating a set of goals that form the story's skeleton; then, they direct story characters to pursue these goals. To improve the structure of the story, its generation systems have simulated this process by moving the focus from character goals to author (global) goals, which are independent of the story characters. Because authors are not part of the story world, they are never threatened or involved in any competition, and they do not benefit from opportunities. Therefore, the authors' intention as independent agents is to produce a good story without giving an advantage to a specific character. Moreover, author goals may result in a goal competition or goal conflict between the different characters in the story, which increases a story's interestingness.

Dehn [47] proposed AUTHOR, one of the first story generation systems based on author goals. It implements a reconstructive dynamic memory architecture that simulates a human author by using an external paper to write a story draft and revise it several times before it can be finally delivered. The story generation process starts with a set of author goals that are provided as input. Then, a loop of three subtasks begins. First, dredging starts by searching the memory for related materials. Then, milking occurs by selecting the most appropriate part of the retrieved materials. Finally, conceptual reformulation takes place by revising the author's goals and modifying them if needed. The loop continues until all of the author's goals are satisfied. The stories generated by AUTHOR are generally well structured and more interesting than those generated by a character-goal-based system. Nevertheless, the characters' believability was negatively affected because they (the characters) sometimes acted without clear intentions to satisfy the author's goals.

**4.1.3 Multi-Agent Approach.** In the interest of generating well-structured coherent stories, researchers have aimed to direct story generation by both character goals and author goals. This

approach is similar to the character-goals approach because characters are directed by their own goals, which preserve story coherence. Riedl et al. [139] created Automated Story Director to guide characters' actions throughout the story generation process to avoid the generation of a poorly structured story. The Virtual Storyteller [169] is a computational narrative that uses intelligent agents to generate stories. Although characters plan to achieve their own goals, a virtual director agent who has general knowledge about plot structure is proposed to direct character actions to preserve the story's simple structure: a beginning, a middle, and a happy end. This is achieved by leading the plot in the desired direction using an environmental control, e.g., introducing new characters, and motivational control, e.g., introducing new character goals.

Riedl and Young [141] proposed FABULIST, an **Intent-driven Partial Order Causal-Link (IPOCL)** planner, which consists of two mechanisms. The first mechanism is the partial order causal-link planner that infers chains of characters' causal actions driven by the author's global goals. The second mechanism aims to preserve characters' believability by simulating the audience intention recognition process [34]. This mechanism is a unique reasoning process integrated into the planner that takes character actions and tries to predict the character intention (goal) based on these actions. If a character goal is not predictable, character actions will not be considered intentional, and thus the plan will be regarded as flawed and will need to be revised to ensure character believability. However, highly predictable goals will result in an uninteresting story. The IPOCL planner is slow, a big drawback. It takes approximately 12.3 hours to generate a complete plan [142]. In addition, the fact that IPOCL uses a non-standard representation language limits its improvements based on off-the-shelf planners that have faster performance.

To tackle intent-driven narrative planning by classical planners instead of the IPOCL specialized planner, Haslum [68] remodeled the narrative planning problem to embed character intentionality into it. He modeled character intentions as part of the narrative planning problem specification. Then, he used the intentions as preconditions of character actions. This compilation permits the use of off-the-shelf planners to generate stories and accelerate the generation process. Another extension of IPOCL is the **Conflict Partial Order Causal-Link (CPOCL)** planning algorithm proposed by Ware and Young [179]. CPOCL creates a model of conflict and then enforces the generation of conflict in stories by constraining the planner. This is done by using non-executed steps to model thwarted character intentions, which allow for partially executed plans.

## 4.2 Analogy-Based Approaches

The computational analogy is an AI approach based on the human cognitive process of analogy making. It operates by identifying similarities and transferring knowledge between a source domain and a target domain [191]. Using the analogy, a new problem can be solved by applying the solution to a previously known similar problem. This approach is applied in story generation systems by searching the knowledge base for a story world state similar to the current story world. Then, its next story event will be the next event for the generated story (Figure 3). The similarity measure differs between different systems.

MINSTREL [172] is one of the earliest analogy-based systems for story generation. It is a complex system driven by character and author goals where **Case-Base Reasoning (CBR)** is used mainly to achieve character goals. MINSTREL stores scenes (cases) in its episodic memory, where scenes are indexed by salient cues such as location and action to form groups of related scenes. When the story theme schemas instantiation fails because there are no matching scenes in the memory, MINSTREL creates novel scenes by using Transform-Recall-Adapt Methods (TRAMS). First, to simplify the search in the episodic memory for similar scenes, story schema specifications are transformed into a general form by substituting actors and objects with "someone" and "something," respectively. After recalling similar scenes from the episodic memory, retrieved scenes are



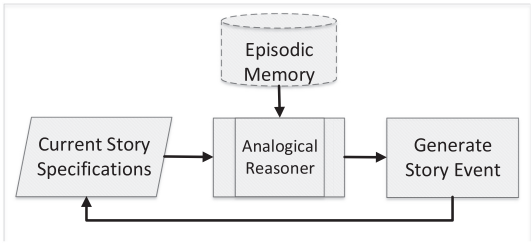


Fig. 3. The framework of analogy-based story generation.

Table 2. Sample Story Generated by MINSTREL [172], a Planning-Based Model for Story Generation

The Proud Knight 13
<i>It was the Spring of 1089, and a knight named Godwin returned to Camelot from elsewhere. A hermit named Bebe told Godwin that Bebe believed that if Godwin jousted then something bad would happen. Godwin was very proud. Because Godwin was very proud, Godwin wanted to impress his king. Godwin jousted. Godwin lost the joust. Godwin hated himself.</i>
<i>Moral: Pride goes before a fall.</i>

Table 3. Story Abstractions

System	Abstraction Type	Story Abstraction
[36, 37, 76]	Pair	(verb, dependency)
[18, 19]	Triple	(argument <sub>1</sub> , relation, argument <sub>2</sub> )
[131]	4-tuples	verb(subject, object, prepositional)
[133]	5-tuples	(verb, subject, object, prepositional, preposition)
[105, 167]	4-tuples	(subject, verb, object, (prepositional object   indirect object   causal complement   unclassifiable dependency))
[6]	5-tuples	(subject, verb, preposition, object, (modifier   prepositional object   indirect object))
[186]	1 word	The most important word of each sentence

adapted to match the original story specification. It is worth pointing out that MINSTREL avoids using a scene more than twice to ensure the story’s novelty. Table 2 shows a sample story generated by MINSTREL.

MEXICA [129] is a story generator based on the engagement-reflection cognitive account of writing [159]. For each character, MEXICA creates a story world context to record emotional links with other characters and the dramatic tensions produced in the story. These tacit elements work as pre- and post-conditions for story actions. In its long-term memory, MEXICA stores different schemas of tacit elements, and each schema is associated with a set of possible subsequent actions obtained by analyzing previous stories. During the engagement process, the long-term memory is searched for a schema that matches any of the story world contexts. If a matching schema is found, one of the actions associated with it will be selected as the next action of the story, and the story world contexts will be updated based on the selected action. During the reflection process,

MEXICA reviews the generated story and evaluates its consistency, novelty, and interestingness compared to previous stories. If these requirements are not satisfied, guidelines will be produced to work as filters of actions when the engagement process starts again.

ProtoPropp by Gervás et al. [61] applies CBR to generate stories based on Propp's story morphology. To create the case base, stories were analyzed and annotated according to Propp's 31 functions, and an ontology of these functions was created to model the temporal relationships and co-occurrence constraints of the morphology. The story generation process is an interactive process that requires progressive user inputs. These inputs include the functions included in the story in addition to other attributes such as characters, roles, and functions. Swanson and Gordon [166] explained in detail how CBR can be applied for textual storytelling. This includes collecting and annotating the case library automatically, the similarity measures and ranking modules used in the retrieval process, the adaptation algorithm used to map the retrieved case to the story context being generated, and the evaluation of different system components.

### 4.3 Heuristic Search Approaches

To increase the variety of generated stories, researchers have expanded the stories search domain. However, as the search space grows, it becomes difficult for traditional planning techniques to find a solution efficiently. Therefore, heuristic search techniques were introduced. HEFTI [124] uses genetic algorithms to generate stories by portioning each story into timesteps that are represented by story components. Each story component is encoded into a chromosome where the genes are story elements, including agents, events, and objects. The fitness of a chromosome is calculated by summing the fitness attribute of each of its story elements that are manually evaluated by authors.

McIntyre and Lapata [108] applied genetic algorithms to generate stories. They first extracted plot graphs from the story corpus where each node represents a single event of the story associated with its arguments, such as nouns, adverbs, or adjectives. Then, an initial population of stories was created by sampling the story graph. To generate new chromosomes, a single point crossover is applied. The mutation is then applied either by replacing an event of the story, i.e., a node, or by replacing one of the event's arguments with a semantically similar argument. The chromosome's fitness is based on its coherence, which is calculated using the entity-grid document representation measure for local coherence [20]. Kartal et al. [80] formulated story generation as a Monte Carlo tree search problem. Each node in the tree represents a story state, and each edge represents an action that changes a state to a possible successive state. The best-generated story is chosen based on an evaluation function that considers two factors: the percentage of user goals accomplished by the story and the product of the believability of each action. The latter is a user-defined measure.

## 5 ML MODELS

Interest in the field of story generation never sustained a continuous interest. The past few years witnessed several research attempts to use ML for story generation. Looking at a story as a sequence of events, ML learns the conditional probability distribution between story events from a story corpus. The work in this field can be categorized as follows:

*Script learning and generation:* A system learns to predict missing script events based on other events of the script.

*Story completion:* A system learns to generate the missing event based on other story events.

*Story generation:* A system in which the system generates the complete story.

Most of these systems employ **Recurrent Neural Networks (RNN)**. RNN has been successful in **sequence-to-sequence (Seq2Seq)** problems such as machine translation [43, 165] and dialogue systems [171, 190]. For story generation, we can train RNN to predict a story event based on other

story events. RNN can also be used to predict story sentences word by word based on language models. We will review the different approaches in applying ML for story generation.

### 5.1 Story Abstraction

Stories in their textual form contain many details that are insignificant for the story plot and add more dimensionality to the learning process. Therefore, it was essential to create a story abstraction that simplifies story representation and, at the same time, increases the potential overlap between stories [105]. This reduced the sparsity of stories and allowed for more efficient learning and inference. The most widely used story abstraction was to create a simple representation for story events that focus on the story's chain of events and its main entities. However, there is a trade-off between events representation simplicity and the extracted chain events coherence.

Chambers and Jurafsky [36, 37] and Jans et al. [76] represented stories as a chain of events, where each event is associated with the grammatical role played by the protagonist in (verb, dependency) pairs, i.e., subject-verb and verb-object pairs. They argue that verbs sharing co-referring arguments are semantically connected. For example, the subject of the verb “fall” has a high potential to occur as the subject of the verb “injured,” which in turn has a high possibility to appear as the object of the verb “heal.” This representation can be used to learn event schemas and to induce event schemas in a domain-independent manner. However, it may cause inconsistent subject-verb-object tuples because although the subject-verb and verb-object pairs are well formed, the entire tuple is not. It is also limited to one protagonist and cannot represent interactions between multiple entities. It cannot infer, for example, that when “X emails Y” there is a high potential that “Y emails X” too.

Instead of (verb, dependency) pairs, Balasubramanian et al. [18, 19] used an Open IE system to extract Rel-grams schemas, relational triples in the form  $(arg_1, Relation, arg_2)$  where the relationship is a head verb and any prepositions are in addition to optional embedded nouns. The  $arg_1$  and  $arg_2$  are arguments represented as head nouns annotated with their semantic types. Although this representation increases sparsity compared to pair models, it achieved more coherent schemas.

Pichotta and Mooney [131] proposed a 4-tuple event representation in the form verb (subject, object, prepositional). By including coreference relationships between different verb arguments, this model was able to express interactions between entities, which improves prediction accuracy. Later, Pichotta and Mooney [133] used a similar representation but considered prepositions. Compared to their earlier work [131], the system prediction accuracy was improved by implementing this representation. Inspired by that earlier work [131], Martin et al. [105] proposed a 4-tuple event representation in the form (subject, verb, object, modifier), where the modifier can be a propositional object, indirect object, causal complement, or any other unclassifiable dependency. The same event representation is used by Tambwekar et al. [167]. A similar event representation is used by Ammanabrolu et al. [6], where each event is represented as a 5-tuple in the form (subject, verb, preposition, object, M), where M can be a modifier, prepositional object, or indirect object. In the work of Yao et al. [186], a story abstraction was created by extracting each sentence's most important word.

### 5.2 Script Learning and Generation

Script learning and generation was the first step in generating stories using story corpora. This method aims to determine to what extent a given event and a set of events are related. Such a statistical model can help to predict new events belonging to a given chain of events. In general, statistical story learning systems proceed as follows:

- (1) Run a dependency parser to extract verbs and their arguments.
- (2) Run a coreference resolver to find all expressions that refer to the same entity in a story.
- (3) Extract the sequence of events, AKA event chains, from a story based on the previous steps.
- (4) Build a statistical model of the events chains.
- (5) Use the statistical model to infer events of a story chain.

In their seminal work, Chambers and Jurafsky [36] used coreference relationships to extract the chain of events for a single protagonist. Then, they used **Pointwise Mutual Information (PMI)** to extract the pairwise relationships between events, as shown in Equation (1):

$$\text{pmi}(e(w, d), e(v, g)) = \log \left( \frac{\Pr(e(w, d), e(v, g))}{\Pr(e(w, d)) \cdot \Pr(e(v, g))} \right), \quad (1)$$

where  $e(w, d)$  is the verb-dependency pair (Section 5.1). After calculating the pairwise relationship scores, the most probable missing event of a chain of events can be found by selecting the event from the training corpus that maximizes the PMI if given all events in the story's chain of events. For temporal ordering of events, a support vector machine was trained to classify the temporal relationship between two events as in the work of Chambers et al. [38] but focusing on the "before" relationship. Given a chain of events, this system generates a ranked list of possible events that can fit as part of the chain.

Jans et al. [76] proposed skip  $n$ -grams for learning about events-chain statistics by pairing each event with the three following events in the chain. This approach outperformed the PMI method [36]. It is based on the observation that closely semantically related events do not necessarily appear next to each other. This approach also decreased data sparsity and hence improved the training process. Their bigram probabilities ranking function scores an event based on its position in the chain by considering the preceding and following events, which models an event chain in the order it was observed. Given an insertion point  $p$  an event is scored as follows:

$$S(a) = \sum_{i=1}^{p-1} \log \Pr(a \mid a_i) + \sum_{i=p}^{|A|} \log \Pr(a_i \mid a), \quad (2)$$

where the conditional probability is given by

$$\Pr(e_1 \mid e_2) = \frac{C(e_1, e_2)}{C(e_2)}. \quad (3)$$

Balasubramanian et al. [18, 19] proposed the Rel-grams system, a Markov model system similar to that of Jans et al. [76] but focusing on relationship co-occurrence rather than argument co-occurrence. Given a relational triple in the form  $(\text{argument}_1, \text{relationship}, \text{argument}_2)$ , the Rel-grams system can predict one of the arguments if provided with the relationships and the other argument.

Pichotta and Mooney [131] proposed structured events with multi-arguments. By incorporating coreference information between the arguments of different events, they were able to encode the pairwise entity relationships between story events and therefore model the interaction between various entities. Their event structure enabled them to generate an event chain for the whole story instead of the separate entity-based event chains produced by verb-dependency pairs. However, the complexity of the events structure adds to the complexity of the statistical model. In verb-dependency chains, it is straightforward to calculate the co-occurrence of events by counting the number of times two events co-occur in the same chain. The co-occurrence of structured events in the work of Pichotta and Mooney [131] was calculated by counting the number of times two events occur in the same chain if, and only if, they have overlapping arguments. After counting

the events co-occurrence, a scoring function similar to that of Jans et al. [76] is used. This system shows better prediction accuracy compared to systems with verb-dependency pairs.

Unlike previous count-based techniques, several studies have attempted to predict events using language models that involve compositional representations of events. Rudinger et al. [148] trained a Log-Bilinear model to predict story events. They argued that event prediction could be productively reframed as a language modeling task. Their discriminative language model showed improved performance compared to prior count-based methods. Pichotta and Mooney [133] used **Long Short-Term Memory (LSTM)** RNN to learn stories statistically. Their model was able to predict nouns or coreference information concerning event arguments. Looking at a story as a sequence of events abstracted as 5-tuples, they trained the LSTM model to anticipate the tuple's next element given the preceding element. The first element of the tuple is predicted based on the last element of the previous tuple. This model has shown better performance compared to several baseline systems. The same researchers extended their work to predict events directly from raw text without using explicit event structures [132]. Their experiments showed that the difference between raw text models and structured events models is marginal, and this indicates that extracting events structures is not necessary for event prediction, particularly in an encoder-decoder setup. Granroth-Wilding and Clark [63] compared several approaches for deriving vector representations of event predicates and argument nouns. The vector representations were fed into a compositional neural network model that predicts how probable it is that two events will appear in one event chain by performing a non-linear composition of their predicates and arguments.

Mostafazadeh et al. [114] proposed the **Story Cloze Test (SCT)** and built the ROCStories Corpora for testing the ability of machines to select a correct story ending given the story context. A detailed description of this work is presented in Section 8. Some researchers used ROCStories to build classification models that can choose the correct ending of stories based on various aspects. These can be categorized in general into feature-based models and neural models.

Chaturvedi et al. [39] proposed a script learning model based on three semantic aspects: event-sequence, emotional trajectory, and topical consistency. Although their work outperforms previous approaches, the researchers suggest using a thorough analysis of human behavior and societal norms to improve script learning. A similar feature-based model was proposed by Lin et al. [95]. Mostafazadeh et al. [116] trained a simple embedding model to predict the correct story ending based on the story context's embedding and the two alternative endings. Wang et al. [175] used generative adversarial networks, where the generative model generates a fake sample conditioned on the story context, and the discriminative model discriminates the real sample from the fake one. The discriminator has three models: an LSTM-RNN model to represent the sentence, an attention-based LSTM-RNN model to represent the document, and a bilinear model to calculate the context document and target sentence similarity. Notable enhancements on the SCT results were achieved when training on huge data as reported by more recent studies [41, 74, 91, 93].

### 5.3 Story Completion

Unlike previous research that predicts new story events by scoring known events, story completion aims to complete the plot when given a story context [65]. Most systems in this category conclude stories by generating a story ending based on previous story events.

Roemmele et al. [145] used the Children's Book Test (CBT) dataset as a story corpus. Story generation starts by taking an initial story that contains 20 sentences as input and generating the next sentence based on CBR. Then, RNN is used to generate the last sentence word-by-word comparing with the original 21st sentence as a gold standard. This study's main contribution is that it used several linguistic metrics to automate the evaluation of the generated stories. In addition, Hu et al.



[72] proposed a context-aware hierarchical LSTM model that can predict future subevents given previous subevents. This model generates a sequence of words describing the future subevent. It considers two levels of the event sequence: the sequence of words and the temporal sequence of events. It also considers the story topic as an additional contextual feature.

Li et al. [92] proposed a Seq2Seq model trained using adversarial training to generate diversified story endings. They argued that traditional Seq2Seq models, trained purely by maximum likelihood estimation, are suitable for generation tasks where a gold standard exists. Nevertheless, this is not the case in story ending generation, where every proper ending is acceptable. To improve the quality of generated endings, the generator is encouraged to create endings similar to story endings written by humans. Therefore, a discriminator (a binary classifier) is trained to label the output as human generated or machine generated. This classification is used as a reward for the generator in the reinforcement learning algorithm. Zhao et al. [189] improved the accuracy and fluency of generated story endings by applying the copy and coverage mechanism to the traditional Seq2Seq model proposed by See et al. [156]. To avoid the **out-of-vocabulary (OOV)** problem, the copy mechanism is used to generate story endings directly from previous story events via pointing. The coverage mechanism is used to overcome the repetitive words problem by maintaining a coverage vector that keeps track of the attention history to adjust future attention. A new objective function of semantic relevance loss was added to maximize the semantic relevance between the generated ending and the story. It is calculated as the cosine similarity between the plot semantic vector and the semantic vector of the generated ending. Although the semantic vector of the generated ending is the encoder's last hidden output, the plot semantic vector is calculated as proposed by Ma and Sun [102]. The generator was trained with a reinforcement learning algorithm that uses different evaluation metrics as reward functions to simulate the process of story generation by humans.

Guan et al. [65] proposed a neural model that generates a story ending considering two perspectives: story consistency and story implicit knowledge. All story events, attributes, and causal relationships between events play a role in story consistency. Therefore, story context clues were implemented by incremental encoding to maintain consistency. To mimic the human brain, which tends to understand a story and infer information based on its background knowledge, this model employs ConceptNet as a source of implicit knowledge and controls this knowledge through multi-source attention. This model was able to generate consistent story endings.

Wang et al. [176] proposed a model based on GPT-2 [137] to generate the missing parts of an incomplete story by conditioning the generated sentence on a previous sentence and a next sentence. Their model was able to create coherent stories that adhere to the provided end. Similarly, Wang and Wan [178] proposed a model for generating the missing story plot at any position for an incomplete story. Unlike the model of Wang et al. [176], this model can generate a sentence at the end of the story. It was adapted from the Transformer [174] by using shared attention layers for the encoder and decoder. BERT (Bidirectional Encoder Representations from Transformers) was used as the coherence discriminator. BERT is a new language representation model that is designed to pre-train deep bidirectional representations from unlabeled text [51].

## 5.4 Story Generation

Researchers were motivated to use Seq2Seq models to generate complete stories due to its success in different NLP tasks. Jain et al. [75] combined two off-the-shelf systems to construct a story generator that generates stories when given a sequence of independent short descriptions. First, Statistical Machine Translation (SMT) was used to translate phrases independently within a sentence. Next, a deep RNN was implemented to encode each sentence as a unit and then decode

Table 4. Sample Story Generated by a Data-Driven Model [75]

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*On their trip to location, they arrive in front of a river. They decide to check out the city. They think its too packed with people, so they go sight seeing. The indoor poor temps them, but they decide not to jump in. They come across some ducks.*

---

them into comprehensive stories. Although this system was able to generate story-like summaries, the summaries were not fully semantically related to the input description. The overall scores of the applied evaluation metrics were not very high. Table 4 shows a generated sample story.

Choi et al. [44] trained an RNN model to generate stories by predicting the next sentence. The model consists of two sub-models: RNN Encoder-Decoder (RNNE), which maps a sentence into a vector representation and vice versa, and RNN for Story Generator (RNNSG), which uses previously learned vectors to predict the next vector in the vectors sequence. The RNN model works by encoding story sentences into vectors and then using them to predict the next vector. The predicted vector is decoded into a sentence representing the next sentence of the story. The model was able to generate sentences with correct grammar and overall content. However, it misused some words in the generated sentences. Harrison et al. [66] used RNN to guide Markov Chain Monte Carlo (MCMC) sampling in generating stories, similar to the two-step process for generating stories in the work of Choi et al. [44]. It starts by reducing the natural language sentences into an event representation that contains a subject, verb, object, and token. Then, the story's next event is predicted by considering a story as a Markov chain where each element of the chain is sampled from a distribution. The predicted event is translated again into a natural language sentence.

Although RNN was successful in many Seq2Seq problems, they did not reach expectations in story generation, as they failed in many systems to generate coherent stories after few sentences. This results from the fact that a story is a sequence of consistent events that is longer than an RNN can maintain. As Khandelwal et al. [81] showed, RNN's predictions in practice depend on a relatively small part of the previous tokens. Therefore, as the story generation progresses, RNNs lose the connection between the currently generated event and the previous far off events. This affects the consistency and coherence of the generated story.

Inspired by planning-based story generation, Tambwekar et al. [167] proposed a controllable RNN story generator that accepts a given start and end, i.e., a start state and a final state. Then, reinforcement learning is used to guide the RNN toward reaching the given end from the start state. Specifically, they used reward shaping, a method used in reinforcement learning whereby transitional training rewards are used to guide the learning process. After analyzing the story corpus, the reward function was formulated based on two components: the distance component that measures how far the next event is from the given final event, and the story-verb frequency component that estimates how often the next event appears before the given final event throughout the stories in the corpus.

Ammanabrolu et al. [6] used the policy gradient deep reinforcement learner from Tambwekar et al. [167] for events generation. However, their main contribution was to improve the quality of the generated story text to retain all of the event tokens and enhance the interestingness of stories. They argued that a simple language model generates the story text depending on the story corpus and ignores the input story event details that produce semantically unrelated sentences. Therefore, they proposed four event-to-text models: a retrieve-and-edit model, a template filling model, a sequence-to-sequence with Monte Carlo beam decoding model, and a Seq2Seq with a finite state machine decoder. Experimental results showed that an ensemble of the four models outperforms the individual models.

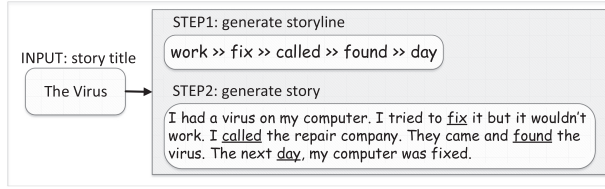


Fig. 4. Hierarchical story generation. Reproduced from Yao et al. [186].

To overcome the drawbacks of RNN in story generation and promote the coherence of generated stories, Fan et al. [54] decomposed story generation into two stages. First, they generated the story premise representing the structure of the story using a convolutional language model. Then, they transformed the premise into a passage of text using a Seq2Seq model. They proposed two mechanisms: a fusion mechanism to improve the relevance between the story and its premise and a self-attention mechanism to model the long-range context. This work inspired some subsequent researches in decomposing story generation into two stages.

Yao et al. [186] proposed another hierarchical story generator that combines plot planning and text generation to generate stories from given titles. During the learning stage, the storyline of each story in the corpus is extracted by pulling out the most important word from each sentence using the RAKE algorithm [147]. Then, two strategies are used to generate stories: the Dynamic Schema and the Static Schema. The Dynamic Schema generates the next word in the storyline and the next sentence in the story at each step. This schema formulates story generation as a content-introducing generation problem. Each word of the generated storyline depends on the context (i.e., the title and previously generated sentences) and the storyline's previous word. However, the Static Schema generates the complete storyline and then translates it into text. This schema formulates story generation as a conditional generation problem where each word of the generated storyline depends on the title and previous word in the storyline. After generating the storyline, a Seq2Seq model is used to translate the storyline into text. The experimental results show that planning the storyline produces better stories in terms of fidelity, coherence, interestingness, and overall user preference. However, the Static Schema outperforms the Dynamic Schema in generating more consistent and coherent stories. Figure 4 shows a sample story generated by this model.

To learn the semantic dependency between sentences in a story, Xu et al. [183] used a reinforcement learning method to learn the most critical phrases of a sentence, called *skeleton*. Based on the skeleton, a Seq2Seq model is trained to generate coherent sentences. However, two factors negatively affected coherence: the input length and the unfamiliarity of input. Like Yao et al. [186], Chen et al. [40] generated outlines as an intermediate step before generating stories. First, they used an off-the-shelf text summarizer to generate high-level plots from the training corpus. Then, they used the natural language summaries to pre-train a planning model on how to generate outlines. A structured Seq2Seq model is used to generate a story given a title and an outline. Although this system outperformed similar previous systems [54, 183, 186], the authors concluded that more powerful mechanisms are needed to improve the coherence at the story level.

Zhai et al. [188] proposed a hybrid model that can generate coherent stories from a small corpus. Their model consists of two modules: an agenda generator that plans the story by sampling a path through a temporal script graph extracted from the story corpus and a neural surface realization module that generates story text conditioned on the story plan. They evaluated the story's global coherence from three different aspects: inclusion, relevance, and order. Araz [10] proposed a transformer neural network for generating stories conditioned on prompts. The generated stories were novel and viable. However, the model

generated repetitions and grammatical errors and did not pay much attention to the provided prompts.

Large-scale pre-trained language models have shown high abilities in processing natural languages. Samples of text generated by the GPT-2 model [137] show that these models can generate text comparable to humans' writings. This encouraged researchers to use pre-trained models in story generation. See et al. [157] proposed two models: the pre-trained version of the Fusion model [125] and the smallest version of GPT2 known as GPT2-117 [137]. As in other works [10, 54], the two models were trained to generate stories conditioned on prompts. Overall, they found that the GPT2-117 model is better than the Fusion model in many aspects. Nevertheless, GPT2-117 generated repetitive and under-diverse text when using likelihood-maximizing decoding algorithms. The inefficiency of pre-trained models in story generation was also pointed out by Holtzman et al. [70] when they observed that such models degenerate text by generating text that is bland, incoherent, or gets stuck in repetitive loops.

Guan et al. [64] attributed the lack of information behind the weak performance of pre-trained models. Story generation, as an open-ended generation task, does not provide the model with a gold standard output to compare against, as in other generation tasks such as summarization. This shortage of input obstructs the learning process. Therefore, there is a need for a supporting knowledge source. Thereupon, the author proposed utilizing commonsense knowledge from external knowledge bases to generate good stories. They also used multi-task learning to capture the causal and temporal dependencies between the sentences in a story. Their model generated better stories compared to baseline models in terms of logic and global coherence. Inspired by Guan et al. [64], Xu et al. [184] proposed a controllable story generation framework that allows the dynamical incorporation of commonsense knowledge into the language model. At each generation step, a set of keywords are predicted given the story context. These keywords are used to query the commonsense knowledge base for related concepts. The next sentence of the story is generated by the GPT-2 model conditioned on the story context and the top-ranked retrieved concepts.

Li et al. [94] proposed open-ended causal generation models based on Transformer. They used a causal relations corpus to train a cause model and an effect model. The models generated high-quality and diverse causes and effects. To support diversity, they also developed an approach for disjunctive positive lexical constraints to allow the decoder to select one of a set of provided words or phrases to be included in its output. This approach was employed to choose among different morphological variants of the same lemma.

## 6 KNOWLEDGE SOURCES FOR STORYTELLING

Computational narratives are complex systems that require complex algorithms for the generation process in addition to extensive knowledge. Throughout this survey, we saw a wide range of components being used as knowledge sources for story generation systems, such as author goals, character goals, emotional links, planning rules, and case bases. Although these sources were used successfully in generating stories, they suffer from two shortcomings. First, the high sparsity of knowledge because many story generators develop their own knowledge domains, along with a wide variety of knowledge types. Second, most well known story generators, especially earlier ones, rely mainly on manually crafted knowledge. This knowledge lacks the flexibility to be generalized to other domains, and it costs considerable time and effort to build. To overcome these shortcomings, we believe that it is essential to unify story knowledge sources to enable reusing them by different story generation systems and develop open-domain story generators that can automatically learn domain knowledge. In this section, we will overview the types of knowledge needed by story generators. We will also shed light on open-domain knowledge sources found in

the literature: story and semantic relationships corpora, crowdsourcing, and commonsense knowledge.

### 6.1 Types of Knowledge for Story Generation

The knowledge of story generators consists of many extensive interconnected components. Bringsjord and Ferrucci [31] proposed a model for the knowledge needed by computational story generators. Oinonen et al. [121] proposed a similar but more expressive knowledge representation model. We may combine and summarize the two models as follows:

*Thematic knowledge:* Settings of the story are identified such as time, place, and objects. This knowledge should also describe the story world concepts, their properties at a certain point in time, and their relationships.

*Characters knowledge:* A complex and extensive representation of intelligent characters including characters' goals, physical state, personality, and emotional relationships.

*Plot knowledge:* Includes the knowledge needed to construct the story plot, such as agents, events, goals, and actions, and how these components are linked.

*Linguistic knowledge:* Used for representing the story in specific linguistic structures to present it to the reader in natural language.

*Literary knowledge:* Incorporates principles of storytelling in literature designed to increase story interestingness.

*Feedback knowledge:* Effects of story fragments on the user are collected to predict the audience's response to new stories.

A story plot is the core component in story generation. It represents the skeleton of a story, as Bowen [28] said: "Plot is story." Its importance is reflected in computational narratives where most research, covered in Sections 3, 4, and 5, is devoted to extracting and generating event chains. For that reason, we believe that plot knowledge is the most important knowledge among different types of story knowledge. However, despite the long history of automatic story generation, different researchers' plot knowledge was scattered. This shortage was solved by using commonsense knowledge bases (see Section 6.4), and more importantly, story and semantic corpora (see Section 6.2). Nevertheless, most open-domain story generators learn stories as a possible sequence of events without considering other knowledge types.

By comparing human-authored stories, literary scholars found that many stories share a common structure. Based on the observations, they proposed several universal narrative patterns, where new stories can be generated following these plot patterns but with different story worlds and characters [160]. Some of the universal narrative patterns, such as Propp's structure [135], were employed by computer scientists to build structural story generation models (see Section 3). However, these models generated simple sequences of events without extensive thematic and character knowledge. Another direction is Emergent Narrative [11], where the events of a story are driven by characters' goals, beliefs, plans, and interactions with each other [140]. Although this direction is popular in interactive systems and role-playing games, it was used in a few early textual story generators, such as TALE-SPIN [109], where character goals directed story generation. Nevertheless, stories generated by TALE-SPIN were criticized as being uninteresting [32]. To generate more exciting stories, both Virtual Storyteller [169] and FABULIST [141] created more complex characters and guided character planning by story world knowledge and a story director.

MEXICA [129] guides story generation by constraints rather than explicit goals. In its context constraints, a story world context is created for each character to record emotional links between characters, situations that put characters at risk, and changes in the physical position of a character in the story world. The emotional links include a range of values for brotherly love and amorous



love in addition to other user-defined emotions. A more complex character representation is proposed by UNIVERSE [87, 88], which follows a top-down approach where a plot is generated based on author goals rather than character goals. However, to generate coherent stories with believable characters, the system motivates character actions to pursue author goals by generating an event, a new character, or a consistent relationship with characters' traits, relationships, and past events. To achieve this, a substantial set of characters is constructed before generating a story and updated after each event. For each character, a Person Frame is created to include character name, physical and personality traits, goals, interpersonal relationships, marriages, and history of events.

Although thematic and character generation represents the right direction for story generation, this direction is understudied by ML researchers. As we saw in Section 5, most ML models' focus was to learn story events and the causal relations between them. Some models generated stories as a language modeling task using pre-trained language models. We believe that exciting stories can be generated by learning and generating high-level plot abstractions with complex story world knowledge, including thematic and character knowledge. This direction can highly benefit from related fields such as game design and interactive systems.

Linguistic knowledge is used to convert a story from its structured form into a natural language text. This knowledge may be considered optional for story generation because off-the-shelf surface realizers can be used to perform this function, as in the work of McIntyre and Lapata [108] and Rishes et al. [143]. Pre-trained language models can also be used to generate well-formed story text given a story context. See et al. [157] found that the smallest version of GPT2 [137] is a better story generator compared to the neural story generation model proposed by Fan et al. [54] in three properties: it conditions more firmly on the story context, it generates more contentful text, and it is more sensitive to correct ordering of events.

Human evaluation is widely used to assess story quality (see Section 8). Consequently, human feedback can make an important factor in improving and predicting the quality of generated stories. Wang et al. [177] used users' feedback from social media to train a model that predicts feedback on newly generated stories. Delatorre et al. [48] controlled the suspense level of generated stories using users' feedback on words' emotional effect. Sagarkar et al. [151] trained a story completion scorer that evaluates a story continuation based on three criteria: overall quality, relevance, and interestingness. The scores predicted by their model strongly correlated with human evaluations.

Literary theories have always influenced automatic story generation as a creative act rooted in human literary. In Section 7, we will discuss in detail how automatic story generators adopted literary theories to increase stories interestingness. Nonetheless, these theories affected the design of the story generation process and were not part of the generation system knowledge base. Therefore, it is safe to say, the system designer requires literary knowledge.

## 6.2 Story and Semantic Relationships Corpora

The recent years witnessed a direction to use ML for modeling computational story generators (see Section 5). These models depend mainly on data for directing the learning process. Therefore, several story datasets were used for developing story generation systems. Table 5 lists the story datasets described in the literature.

In computational narratives, it is crucial to understand and thus generate related events. Causality is one of the primary semantic relationships between events where an event results in another event to happen or hold [115]. The cause-effect relation implicitly implies a temporal order of events. Therefore, temporal and causal relations are closely related. Both have gained much attention in the research community, and several corpora were annotated with these relations. We believe that story generation systems can significantly benefit from research on events

Table 5. Story Generation Datasets

Dataset	No. of Stories	URL Link
Gigaword corpus	1M	<a href="https://catalog.ldc.upenn.edu">https://catalog.ldc.upenn.edu</a>
Andrew Lang fairy tale corpus	> 437	<a href="http://www.mythfolklore.net/andrewlang">http://www.mythfolklore.net/andrewlang</a>
ROCStories	98,161	<a href="http://cs.rochester.edu/nlp/rocstories">http://cs.rochester.edu/nlp/rocstories</a>
Children’s Book Test	607,627	<a href="https://research.fb.com/downloads/babi">https://research.fb.com/downloads/babi</a>
Text Annotations from VIST	41,300	<a href="http://visionandlanguage.net/VIST">http://visionandlanguage.net/VIST</a>
DUC 2002	1,134	<a href="https://www-nlpir.nist.gov/projects/duc/data/2002_data.html">https://www-nlpir.nist.gov/projects/duc/data/2002_data.html</a>
WritingPrompts	~ 300, 000	<a href="https://www.github.com/pytorch/fairseq">https://www.github.com/pytorch/fairseq</a>
CMU movie summary corpus	42,306	<a href="http://www.cs.cmu.edu/~ark/personas/">http://www.cs.cmu.edu/~ark/personas/</a>
Wikipedia’s movie plots*	42,170	<a href="https://dumps.wikimedia.org/enwiki">https://dumps.wikimedia.org/enwiki</a>
Sci-fi TV show plot summaries	2,276	<a href="https://drive.google.com/drive/folders/1A5RYjrj9FZsrBtyTr45-fnYWKZX1e7KA">https://drive.google.com/drive/folders/1A5RYjrj9FZsrBtyTr45-fnYWKZX1e7KA</a>
STORIUM	5,743	<a href="https://storium.cs.umass.edu">https://storium.cs.umass.edu</a>

\*This corpus is the same as the CMU movie summary corpus, just cleaned up a little (hence the fewer stories).

Table 6. Causal and Temporal Relations Corpora as Knowledge Sources for Story Generators

Corpus	Relation		URL Link
	Casual	Temporal	
CaTeRS [115]	Yes	Yes	<a href="http://cs.rochester.edu/nlp/rocstories/CaTeRS">http://cs.rochester.edu/nlp/rocstories/CaTeRS</a>
TCR [118]	Yes	Yes	<a href="http://cogcomp.org/page/publication_view/835">http://cogcomp.org/page/publication_view/835</a>
ESC [35]	Yes	Yes	<a href="https://github.com/cltl/EventStoryLine.git">https://github.com/cltl/EventStoryLine.git</a>
BECauSE [52]	Yes	No	<a href="https://github.com/duncanka/BECauSE">https://github.com/duncanka/BECauSE</a>
CATENA [112]	Yes	Yes	<a href="https://github.com/paramitamirza/CATENA">https://github.com/paramitamirza/CATENA</a>
Causal-TimeBank [111]	Yes	Yes	<a href="http://hlt.fbk.eu/technologies/causal-timebank">http://hlt.fbk.eu/technologies/causal-timebank</a>
CausalBank [94]	Yes	No	<a href="https://nlp.jhu.edu/causalbank">https://nlp.jhu.edu/causalbank</a>

semantic relations because stories are full of causal and temporal relations, as demonstrated by Mostafazadeh et al. [115]. Thus, generating story events conditioned on previous semantically related events produces stories with rich events structures. Li et al. [94] used causal relations corpus to guide the generation of cause and effect in the story continuations task. Although covering semantic relations systems is beyond this survey’s scope, Table 6 lists recent causal and temporal relations corpora as possible knowledge sources.

### 6.3 Crowdsourcing Knowledge

Crowdsourcing is the process of breaking a complex task into multiple smaller ones that can be completed quickly by people without specific training [90]—typically used to save time and money and to ensure the diversity of the collected data. Crowdsourcing generally benefits from Internet users’ enormous potential through forums, social media, or paid crowds. In computational narratives, crowdsourcing was one of the earliest approaches to tackle open-domain story generation, where a story can be generated without relying on manually engineered knowledge. In general, crowdsourcing can be used to obtain all types of knowledge needed by storytelling systems. In addition, it can be used to evaluate generated stories.

SCHEHERAZADE [90] was the first story generation model to use crowdsourcing. First, scripts were collected from non-expert storytellers to build a corpus of a narrative. A plot graph was then

built from this corpus, where a story can be generated by sampling the plot graph. Mostafazadeh et al. [114] crowdsourced ROCStories, a corpus of short commonsense, everyday stories that have three main characteristics: realistic, complete with a clear beginning and ending, and do not include anything irrelevant to the core story. These stories are useful for story learning because they are full of stereotypical causal and temporal relations between events.

Fan et al. [54] collected a large dataset of human-written stories by scraping an online forum. Their dataset, the WritingPrompts, consists of medium-length stories paired with short prompts. The prompts were used to inspire story writers. Therefore, this dataset can be used to train story generators to generate stories conditioned on an associated prompt. STORIUM [4] is a story dataset collected from an online collaborative storytelling platform. Each story is broken into discourse-level scene entries annotated with narrative elements, such as character goals or abilities. These annotations are useful for conditioning language models.

Due to the limitations of automated evaluation metrics for computational narratives, subjective evaluation is widely accepted. As humans are involved in the evaluation process, crowdsourcing represents a suitable method for collecting human ratings. Yao et al. [186] employed paid crowds to evaluate stories generated by their system. To ensure evaluation quality, they applied qualification filters to choose evaluation participants. A similar evaluation approach was used by Fan et al. [55] to evaluate their system's output. In contrast, Wang et al. [177] used social media crowdsourced ratings as training data for their system to predict the quality of generated stories. Similarly, Sagarkar et al. [151] crowdsourced annotations for the output of story continuation systems along with several criteria. Then, the annotations were used to train a model to predict the quality of generated stories.

#### 6.4 Commonsense Knowledge

Commonsense knowledge refers to beliefs or propositions that appear to be obvious to most people, without dependence on specific esoteric knowledge [73]. This knowledge helps people make assumptions and infer facts about the world that are not explicitly mentioned. Researchers attempted to provide computers with commonsense knowledge bases that simulate human beings' background knowledge, such as synonyms, locations, consequences, and motivations. Examples of commonsense knowledge bases are WordNet [45], **Open Mind Common Sense (OMCS)** [161], ConceptNet [98], Event2Mind [138], and ATOMIC [152].

MAKEBELIEVE [97] was the first story generator that employs commonsense knowledge. It generates short fictional stories based on "consequence" relationships extracted from the OMCS Knowledge Base, thereby inferring causal chains of events and actions representing parts of the story plot. It also uses lexical semantics to connect related ideas that are not identical, which improves the creativity of the generated stories.

In Picture Books [162], a story template was combined with "consequence" relationships extracted from semantic ontology to teach children the consequences of disobedience through a sequence of connected events flowing from negative to positive (rule violation to value acquisition). Although their ontology was constructed manually, they adapted its design from ConceptNet. Several subsequent studies extended this work. In Picture Books 2 [8, 9, 123], additional story locations were added, such as grocery stores and classrooms. In addition, characters were embodied with traits to enhance their believability and direct the system to select the story theme. The ontology structure was manually revised and populated with activities, concepts, and conditions needed for triggering events in the story world. Ontology relationships were increased to enable the system to generate more flexible stories, and a multi-agent planner was used to generate stories.

Soo et al. [164] constructed plot elements by extracting the causal relationships from ConceptNet in the form of Concept-Relationship-Concept triples. The related concepts were then classified

based on their semantic and syntactic phrases into action, event, perception, internal element, and goal to determine the type of causal links connecting them. After constructing the plot elements, a **constrained Monte Carlo Tree Search (cMCTS)** algorithm was applied to generate a story plot from the plot elements based on the user's initial state, goal state, and desirable story length. To avoid a cyclic causal sequence, cMCTS removes redundant concepts or semantically similar concepts from the story plot. A simple English sentence generator is designed to generate semantically interpretable sentences from the story plot to enhance its readability.

Commonsense knowledge is vital for open-ended language generation, which usually requires external knowledge to enrich the limited source information. Guan et al. [64] extended a pre-trained model with external commonsense knowledge. They post-trained the model on the knowledge extracted from ConceptNet and ATOMIC, which improved the generated stories' coherence.

## 7 TOWARD INTERESTING STORIES

Stories are artifacts used to deliver knowledge and entertainment. Compared to other texts, stories should be interesting. They aim to create emotional immersion in their recipients and augment pleasure. According to psychologists, several factors can increase a text's interest, such as coherence, causality, completeness, vividness, unexpectedness, suspense, and complexity [155]. Among the interestingness factors, we believe that coherence and causality are the most important factors. Without them, the story may lose its structure and become interconnected with inconsistent fragments. In contrast, the absence of higher-level aspects, such as suspense and surprise, do not affect the story structure but rather decrease its quality. For that reason, coherence and causality were recognized by story generation systems, specifically planning-based models, where inference is based on the causal connections between events. However, literary theories inspired many researchers to improve the interestingness of their auto-generated stories.

**Story suspense.** Suspense is a narrative procedure used to increase the audience's interest [168]. Modeling suspense computationally is a challenge because there is no single unified theoretical definition of suspense [50]. However, there were many attempts to improve the suspense of auto-generated story plots from different aspects:

- *Conflict* in narrative refers to "the struggle in which the actors are engaged" [163]. According to narratologists [1], conflict is an essential element of exciting stories. It occurs when another event in the story thwarts a character's intention—commonly used to create interest in computational narratives. UNIVERSE [86] presents the conflict as part of its pre-scripted stories. MEXICA [129] uses the conflict and resolution processes to generate interesting stories. CPOCL [179] creates a conflict model and then enforces the generation of conflict in stories by constraining the planner. Song et al. [163] propose a way to generate conflicts by manipulating the story plan's causal links to create inter-personal conflicts.
- *Uncertainty* is included in many definitions of suspense [122]. It refers to the possibility that the events of the story do not turn out according to the audience's expectations [1]. Readers enjoy stories with high uncertainty because of their curiosity [83]. Experiments of Gerrig and Bernardo [59] showed that readers feel suspense when led to believe that the quantity or quality of paths through the hero's problem space has become diminished. This definition of uncertainty is specific enough to be implementable.

The SUSPENSER system [42] modeled uncertainty based on the definition in the work of Gerrig and Bernardo [59]. It generates all possible plans a protagonist might have and computes the suspense level as the inverse number of successful plans. DRAMATIS [122] also used a reformulation of the model of suspense in their work [59]. It reads a story

step-by-step to predict whether the protagonist faces a negative outcome and generates an escape plan to avoid that outcome. It computes the level of suspense as the cost of the escape plan. However, some authors question uncertainty as a factor of suspense.

Burget [33] believes that suspense is a fear emotion about an outcome. This fear can be present even if the outcome is known. Delatorre et al. [50] conducted an experiment where the audience read the same story twice. They found that the level of suspense in the second read increased although the story was known in advance. They concluded that uncertainty affects the readers' emotional response, but it is not a feature of suspense. We believe that as long as certainty has an emotional effect on readers, it is a factor of story interestingness despite the relationship between suspense and uncertainty.

- *Emotions* like empathy and hope are naturally evoked by an engaging story. Kintsch [82] classifies interest into two types: cognitive interest that occurs based on the importance of events to the structural development of the story, and emotional interest that occurs when the story arouses a strong emotional response in the reader. Triggering such a response can be done in many ways. According to structural affect theory [30], a story that follows the dramatic arc structure has a better emotional effect on the audience, consequently engaging them. Delatorre et al. [48] used the emotion of fear to increase story suspense. They adopted the definition by Zillman [192], which links suspense to the reader's fearful apprehension of a story event that threatens a liked protagonist. They selected liked protagonists as targets for feared outcomes and created high degrees of subjective certainty for these outcomes. They also discussed the negative effect of high emotional immersion on more sensitive people. Other systems modeled the character's emotions to produce an emotional impact on the readers.

MEXICA [129] records emotional links between characters and uses a tension curve to represent these emotions to guide the generation process. As the story proceeds, the interactions between characters affect the emotional links between them. MINSTREL [172] employed emotionally charged scenes to add interest to its generated stories. Mori et al. [113] analyzed the relationship between emotions and story interestingness and concluded that the reader's feelings have a higher impact on story interestingness than the characters' emotions.

**Discourse.** Genette [58] proposed a narrative model with three elements: story, narrative discourse, and the act of narration. Whereas a story is defined as a temporal sequence of events, a discourse is related to the various ways in which a story can be edited and narrated [119]. According to Genette [58], discourse consists of several components such as temporal order alteration, distance, and focalization. These components aim to produce different cognitive and emotional responses of the reader [69]. Bae and Young [15, 16] proposed Prevoyant, a computational story generator that arouses the reader's surprise using two temporal narrative devices: flashback and foreshadowing. Flashback describes some past events related to the present, whereas, foreshadowing gives hints about a future event in a way that makes it difficult for the reader to recognize its meaning until the event happens.

Winer et al. [182] defined structural properties of discourse to provide a basis to reason about the temporal order of events in the discourse. Focalization is another discourse component where a story is viewed from a restricted perspective, such as from one of the story characters [119]. Bae et al. [14] proposed a computational model of focalization using a planning-based approach where each focal character has a different plan library.

Fabula Tales [100] is a story generator that implements narratological variations such as focalization, character voice, and direct vs. indirect speech. Their model implements discourse independently from the story. However, as the distance is a subcategory of



discourse theory, it means the quantity of the presence in a story where showing formless distance than telling [58]. Ogata and Yamakage [120] modeled distance in their story generator by compressing narrative information for a longer distance and including internal monologue of characters for a shorter distance.

**Characters.** The complexity of characters adds to the interestingness of stories. There are two possible approaches. Either create complex characters or create complicated relations between characters. UNIVERSE [86] was one of the first systems that gave attention to character creation. It used a complex structure to represent characters and enhanced interest by creating unusual but believable characters. It stored characters' histories, family relations, and interpersonal relationships. Moreover, it kept track of ongoing plots to update the stored information. Based on elective affinities theory in the work of Goethe [62], Méndez et al. [110] modeled four levels of affinity between characters to represent human relations. Then, it simulates the correlation between characters' interactions and their affinity levels.

**Dialogue.** Rendering a story as dialogue produces more engagement to the listener [27]. Bowden et al. [27] presented algorithms for converting a deep representation of a story into dialogic storytelling. Their system is capable of telling a story in different settings to different audiences. Petac et al. [130] proposed a system that delivers narrative content through the conversation between these agents. Their system generates rich and engaging dialogues between characters from a formalized plot description. Xu et al. [185] investigated the potential of generating more stylistic dialogues within the context of narratives. They used LSTM-RNN to generate dialogues based on the relations between utterances and narrative actions.

**Narrative text.** Bradley and Lang [29] devised **Affective Norms for English Words (ANEW)** to provide a set of normative emotional ratings for a large number of words in the English language. ANEW is a corpus of 1,034 non-contextualized words that were rated in terms of pleasure, arousal, and dominance. Its experiment has been replicated for other languages such as French, Finnish, Dutch, Portuguese, or Italian [49]. Delatorre et al. [48] proposed a system that uses ANEW words as effective terms that do not change the plot but rather decorate the plot to the required suspense intensity by controlling the audience's emotional effect. Theoretically, text complexity and quality raise its interestingness [155]. Some researchers used **natural language generation (NLG)** techniques to enhance story text's quality and complexity [2, 101, 103].

Although computational narratives modeled different aspects of story interestingness, there is room for improvement by either using different approaches for implementing the mentioned factors or modeling other interesting factors. In general, research on improving story interestingness can benefit from narrative theories and the advances in other related fields such as gaming and interactive narrators, where more progress was achieved in terms of dialogue, discourse, and conflict, as we noticed while preparing this survey. Further, the current advances in **deep learning (DL)** text generation will increase the quality and control the complexity of narrative text; thereby, story interestingness will increase.

## 8 STORY EVALUATION

Automatic evaluation of stories is very important for story generation. Its importance is not only for evaluating the generated story but also for directing the generation process. However, although story generation systems have undergone many improvements and can generate acceptable results, story evaluation falls behind and is still considered an ongoing problem. Compared to other AI models, story generation adds subjectivity, diversity of evaluation criteria, the high

dimensionality of story components, and hence a vast space of possible stories. Therefore, objective measures used for traditional AI models such as completeness and optimality are not applicable for story evaluation. It is also worth mentioning that most computationally generated stories are evaluated based on quality but not creativity. Some researchers argue that assessing computational creativity is impossible because creativity has not been sufficiently studied in human creativity research [79]. However, although human creativity is concerned with h-creativity, i.e., historical creativity [25], it is satisfactory to measure the p-creativity of computers, i.e., psychological creativity where the produced output differs from examples previously seen by the computer.

Many story generation systems, including data-driven systems, rely on human judgments to direct the generation process or evaluate generated stories. For story evaluation, human evaluators are asked to rate the generated stories based on different criteria such as consistency, coherence, and interestingness [108, 129]. Another approach for story subjective evaluation is to ask evaluators to edit generated stories and calculate the story quality measure as the distance between the edit and the original story [90, 144]. Regardless of the wide usage of human evaluation, it suffers from being inflexible, time/effort consuming, and subjective, and it has no gold standard for comparing different story generation systems. Human evaluators also use their background knowledge and imagination to complete inconsistent stories giving them a higher rating than they deserve [126].

The narrative cloze is a sequence of story events from which one event is removed. Chambers and Jurafsky [36] proposed the narrative cloze test to evaluate unsupervised script learning and generation. This type of learning measures a system's ability to predict the missing event by generating a ranked list of guesses for the missing event based on seen events. After generating the predictions list, the system is evaluated using the following metrics.

*Average rank.* Here, the position of the correct event  $c$  is averaged over all events for the final score [36]:

$$\frac{1}{|C|} \sum_{c \in C} \text{rank}(c), \quad (4)$$

where  $C$  is the full set of events consisting of  $|C|$  events.

*Recall@N.* This metric is calculated as the fraction of partial scripts where the missing event is ranked  $N$  or less in the list of guesses [76]:

$$\frac{1}{|C|} |\{c \mid c \in C \wedge \text{rank}(c) \leq N\}|. \quad (5)$$

*Accuracy.* Previous metrics evaluate guessed events as atomic events where an event is assumed correct if it completely matches the held-out event in all of its attributes. Partially correct guesses are considered wrong guesses. In contrast, accuracy evaluates each attribute of the event separately. Therefore, it is regarded as a more practical and robust metric. For each partial script, the top guess's accuracy is calculated as a fraction of correctly guessed event attributes over the total number of attributes. This value is averaged over all of the test set [131].

The SCT, proposed by Mostafazadeh et al. [114], is based on the narrative cloze test but is designed for supervised learning approaches. It transforms story understanding/generation into a classification problem by giving a system a four-sentence story and two alternatives for the fifth sentence labeled as a "right ending" and "wrong ending." The system performance is measured based on its ability to choose the correct ending for each story. To enable the SCT test, they created the ROCStories Corpora. It is a benchmark corpus of 50,000 crowdsourced five-sentence stories

Table 7. SCT Example from the ROCStories Corpora

Context	Right Ending	Wrong Ending
“Karen was assigned a roommate her first year of college. Her roommate asked her to go to a nearby city for a concert. Karen agreed happily. The show was absolutely exhilarating.”	Karen became good friends with her roommate.	Karen hated her roommate.

that capture a rich set of causal and temporal relationships between events (Table 7). An improved version of SCT dataset was crowdsourced by Sharma et al. [158].

Granroth-Wilding and Clark [63] proposed Multiple Choice Narrative Cloze (MCNC), a narrative cloze test where the system is given five randomly ordered events to choose the missing event from. This enables a system to use richer information related to the context and the list of choices. It is also better at comparing different story generation systems.

Once a reference exists for comparing the generated story components, several metrics can be used to evaluate the story generators. Here we give a summary of the evaluation metrics used in the literature.

**Statistical models.** These models predict story events based on several statistical criteria:

*N-gram overlap:* As in other NLG tasks, story generation quality can be assessed by calculating the  $n$ -gram overlap between the predicted and expected events. This includes metrics such as BLEU, METEOR, CIDEr, and ROUGE. However, BLEU is the most widely used metric for story generation, e.g., see [7, 65, 105, 183, 186].

*Perplexity:* Perplexity is an evaluation metric commonly used to assess the quality of language models. It measures the prediction ability of a model given the previous context where lower perplexity indicates better prediction accuracy. Perplexity [6] is defined as follows:

$$\text{Perplexity} = 2^{-\sum_x p(x) \log_2 p(x)}, \quad (6)$$

where  $x$  is a token in the text, and,

$$p(x) = \frac{\text{count}(x)}{\sum_{y \in Y} \text{count}(y)}, \quad (7)$$

where  $Y$  is the vocabulary. Many ML models use perplexity, e.g., [7, 54, 105].

*Pointwise Mutual Information:* PMI is used when an event is selected from several alternatives. It relies on word co-occurrence counts and chooses the event whose co-referring entity has the highest average PMI score within the story chain (see Section 5.2). Initially proposed by Chambers and Jurafsky [36], others have now adopted it, e.g., [76, 148].

*Embeddings models.* Embeddings models predict the story events based on embeddings, either at the word level or the sentence level. Different embedding-based metrics can be used, including Skip Thoughts Cosine Similarity (STCS), Embedding Average Cosine Similarity (EACS), Vector Extrema Cosine Similarity (VECS), and the Greedy Matching Score (GMS). The Average Maximum Similarity model proposed by Roemmele et al. [146] is a word-level embedding model that calculates the mean of the highest similarity embedding for each word of the ending, then selecting the ending with the highest mean. The Deep Structured Semantic model is another structured embedding model applied by Mostafazadeh [114]

for the SCT. The Conditional Generative Adversarial Networks model was also applied in story generation, where the discriminator is used to choose the correct story ending [92]. *Sentiment analysis models.* These models choose the ending with a sentiment that matches the average sentiment of the context, or the sentiment of the last sentence of the context. It is used by Flor and Somasundaran [56] and Mostafazadeh et al. [114].

Evaluating generated stories against a reference is widely accepted, we believe that this practice has its drawbacks. First, treating story generation as a classification problem may lead to good classifiers that do not understand a story's semantics and may not be creative enough to generate a story. Second, generating a story is a creative process by nature. Although these models aim to enhance the systems' prediction ability, a predictive story is not interesting. Furthermore, there is no single answer that should be considered as the only correct answer. This fact contradicts how these evaluation models work by specifying that the system must choose or otherwise be penalized.

Another evaluation approach would be through the story's linguistic properties. Roemmele et al. [145] used three metrics for story-dependent linguistic evaluation measures: *lexical cohesion*, where words in the generated sentence should be semantically related to the words in the story context; *style matching*, where generated sentences should match the style of the story context; and *entity co-reference*, where referring expressions should be used to refer to previously mentioned entities. However, other story-independent linguistic evaluation measures such as spelling, grammar, and lexical diversity could in many systems be a measure for the NLG component rather than the story generator. Purdy et al. [136] assessed the generated story's quality based on four features: grammaticality, temporal ordering, local contextuality, and narrative productivity. Local contextuality checks whether adjacent sentences preserve context, whereas narrative productivity uses several metrics to evaluate stories' reading ease and lexical complexity.

Customized statistical evaluation measures are also used, e.g., in the work of Kartal et al. [80], where the story's believability is calculated as the product of a manually assigned believability value for each action of the story. Soo et al. [164] used weights of causal links to guide the story generation and added some constraints that should be satisfied. León and Gervás [89] guided the story's generation by three different aspects: accumulation of contributions, the appearance of patterns, and inference. These aspects are evaluated based on the values of 13 variables such as interest, tension, causality, and hypotheses. The diversity evaluation metric proposed by Yao et al. [186] measures inter- and intra-story repetition to reduce the repetition rate and generate more diverse stories.

Automatic evaluation of story interestingness attracted several researchers. Wang et al. [177] collected upvotes from social media as an approximate measure for story quality. They trained a neural model to predict upvotes based on textual regions and the interdependence among regions. Sagarkar et al. [151] crowdsourced interestingness evaluation of stories continuations among other criteria. Similar to Wang et al. [177], they trained a neural model to predict human scores. The predicted scores were comparable to human evaluations.

Based on cognitive theories, Behrooz et al. [21, 22] proposed a model that evaluates story interestingness based on the unexpectedness of story events and the story's ability to generate predictive inference in the reader's mind. For estimating unexpectedness, they used word embedding vectors to find the distance between each vector and the average of all vectors belonging to a story. They also used word embeddings to find cases of foreshadowing in a story, as a common cause of predictive inference. The proposed measures were in line with the human judgment of story interestingness. O'Neill and Riedl [122] evaluated suspense in stories based on a cognitive definition of suspense. They defined an escape plan as the process to avoid a negative outcome for

the protagonist. Predicting story suspense starts by reading a story in a discretized symbolic-logic format called *time-slices*. At each time-slice, the suspense level is calculated as the resulting escape plan's cost at that point. As the story proceeds, the change in suspense over time creates the suspense curve, and the overall suspense level of a given story is just the area under the curve.

## 9 DISCUSSION

Automatic story generation has been of interest for many decades. Numerous systems have been developed based on different AI approaches and various psychological theories. Nevertheless, all existing story generators are weak AI systems because their results are not comparable with human-generated stories in terms of creativity, originality, and brevity [75]. Despite the long history of automatic story generation, advances in this field to date are less than expected and suffer from many limitations.

*Dispersion.* Because there is no common domain knowledge or evaluation criteria between story generation systems, it is difficult to compare their performances. A good story may result from well-engineered domain knowledge and not an effective generation system. A good story evaluation may result from personal human opinion since most systems depend on human evaluation. More importantly, standardizing domain knowledge and evaluation metrics helps point out each model's strengths and weaknesses and therefore allows other researchers to enhance previous models and build over each other's efforts. Moreover, this explains the modest advances in the story generation field, although decades have passed since it started. Recent researchers started to reuse story corpora and commonsense knowledge bases. Although many researchers used several metrics, none of the existing corpora or evaluation metrics became a standard.

*Domain knowledge.* Not until the start of this decade did all story generation systems rely on manually crafted domain models, which produce closed-domain stories that cannot be extended to other domains. In addition, the manual engineering of the knowledge costs time and effort and must be performed efficiently. Otherwise, it may lead to over-generation or generate stories that are just a reassembly of source stories used to build the knowledge domain. Designing storytelling knowledge can highly benefit from related research fields such as game design and interactive entertainment. The recent advances in data science, including data acquisition and ML, have encouraged the development of open-domain story generators. Several types of open-domain knowledge were used:

- *Story corpora* either contain short stories or plot summaries or consist of everyday stories that are scripts or procedural expositions rather than artifacts. Although they are sufficient for learning story generation, we believe that a more complex corpus is needed for generating higher-level stories in terms of complexity and interestingness.
- *Crowdsourcing* represents a good technique for collecting data. However, due to the absence of standardization, crowdsourcing adds to the dispersion problem.
- *Commonsense knowledge* is a good source of open-domain data. However, we believe that it is a complementary data source that can be used to extend the knowledge of both planning-based and ML story generation systems.
- *Events semantic relations* are critical in stories. But semantic relations corpora usage is extremely limited. Like commonsense knowledge, we believe that semantic relations corpora can be used to extend the story generator's knowledge.

*Seq2Seq models.* These are open-domain story generators that use ML to create stories. Nevertheless, these models' performance was below expectations and has fallen behind many



story generators with manually crafted knowledge. Three main limitations caused the modest performance of Seq2Seq story models:

- *Losing consistency*: As the story generation progresses, the logical connections between the currently generated event and previous far off events are lost. Therefore, the story's overall consistency cannot be maintained, as we observed in many systems. However, this limitation was overcome by recent hierarchical models that decompose story generation into a multi-level problem rather than word-level generation, e.g., [54, 55, 183, 186], and by applying reinforcement learning for plot generation, e.g., [167].
- *Repetitive words*: Like other NLG systems, Seq2Seq models tend to generate repetitive words in the generated text. Zhao et al. [189] solved this problem using the coverage model where a coverage vector is maintained to keep track of the attention history to adjust future attention. Yao et al. [186] also tackled this problem by applying heuristics to forbid any word to appear twice when generating a storyline, which indirectly reduces repetition in stories. Furthermore, Fan et al. [54] found that using a top  $k$  random sampling scheme reduces repetitive text. Their approach was inspired by Holtzman et al. [71], where a committee of specialized discriminators is trained to address the limitations of the RNN generator.
- *OOV problem*: To reduce the computational expenses, most NLG systems pre-define a word shortlist that contains the top  $k$  most frequent words in the training corpus. All other words are replaced by a unique token, called *UNK* (UNknown Word). This practice causes the loss of some information, making it challenging to model rare and unfamiliar words, commonly known as the OOV problem. The pointer mechanism was proposed to tackle this issue in story generation [189].

*Pre-trained language models*. The emergence of pre-trained language models was a giant leap forward in different fields of NLP research. However, these models did not achieve similar success in NLG tasks. They still suffer from repetition, logic conflicts, and lack of long-range coherence [64]. In addition, they cannot manage commonsense inference effectively [176]. Nevertheless, there were multiple attempts to employ these models in story generation and to overcome their shortages. In addition to the questioned efficiency of pre-trained language models in NLG tasks in general, we believe that one of the main reasons for the less-than-expected performance of these models in story generation is that they consider stories as textual pieces, whereas stories are much more complicated structures. Decomposing story generation into subtasks and combining DL models with other generation approaches or extending them with knowledge resources can generate better stories, e.g., [64, 94].

*Story interestingness*. Story consistency and story structure are essential recipes for an interesting story. Whereas story structure is affected by the key steps that formulate a successful story, story consistency is concerned with story believability and whether events and character actions seem logical in the context of the generated story. For an exciting story, both its consistency and structure should be considered. However, this is not the case in most existing story generators. Structural models and some of the planning-based models focus on story structure, whereas most data-driven models and planning-based models focus on story consistency. Only a few systems aim to balance the two properties, e.g. [142, 162, 169]. Many hierarchical story generation models were proposed where a storyline is generated first and then the story is generated from the storyline. Although none of these models have attempted to evaluate generated stories' interestingness, we believe that such models represent a foundation for generating interesting, structured, and consistent stories.

The hierarchical decomposition allows the model to evaluate story structure by evaluating the storyline. Then, the consistency of the story can be controlled at the generation phase. In addition, story interestingness can be enhanced by incorporating approaches inspired by cognitive science and literature. Many of the implemented interestingness methods, reviewed in Section 7, can be easily added to any story generation system.

*Objective evaluation.* From our perspective, one of the main reasons for generating low-quality stories is the absence of useful automatic story evaluation metrics. This is especially true in ML approaches, where evaluation is crucial for guiding the learning process. Early ML story generators used common NLG metrics such as BLEU, ROUGE, and perplexity. These metrics are not suitable for an open-domain generation because they require a gold standard to compare the generated text against, which also conflicts with story generation's creative nature. They also do not correlate with human judgments [96]. Several approaches were used for evaluating generated stories. However, more recent models emulated human judgments by using evaluation criteria such as causality and suspense. It appears that more cognitive science based interestingness theories must be adopted to implement automatic story evaluation algorithms.

## 10 CONCLUSION AND FUTURE DIRECTIONS

Although automatic story generation is a long-standing computational problem, the recent advances in ML are expected to accelerate this field's development. It is worth mentioning that there is a renewed interest in automatic story generation. We believe that as of this writing, there is much ongoing research on this topic. Therefore, it is worth pointing out the limitations of our survey and propose some future research directions.

*Decomposition.* Stories are complex artifacts that have a large number of features. When generating a story, several attributes must be considered: author goals, characters interactions, consistency, structure, suspense, and story text. Therefore, we believe that it would be more efficient to decompose story generation into multiple interacting modules, each of which performs a subtask. Decomposition also includes generating stories in multiple steps, such as separating plot generation from text generation.

*Deep learning.* DL's ability to solve high-dimensional problems fits the high dimensionality of story generation. We have discussed in this survey how recent hierarchical models were able to generate consistent stories. Nonetheless, as DL is yet emerging, new deep story generators are expected to overcome the previous models' limitations.

*Hybrid systems.* These are closely related to decomposition. Once story generation is divided into sub-models, different generation approaches can be used for each sub-model. In particular, we believe that combining planning-based techniques and ML approaches can produce good story generators. Furthermore, combining multiple knowledge sources can also enhance the quality and diversity of generated stories.

*Automatic evaluation.* Proposing efficient automatic story evaluation metrics is essential for assessing story models and thus accelerating the development of new models. The need for automatic evaluation arises in open-domain generation systems where no gold standard exists for comparing the generated result. Thus, evaluating the fitness of different alternatives acts as an objective function for directing the learning process. As discussed previously, several metrics were used to evaluate generated stories, including metrics that can be used for open-domain generation. Nonetheless, the need for effective evaluation metrics is still necessary. Evaluation models can also be trained to evaluate stories based on different criteria.

**Benchmarking.** It is essential to unify both the datasets and evaluation metrics to compare the different proposed models. Benchmarking helps to identify each model's strengths and limitations and thus accelerates the development of more effective story generators. Most existing datasets contain storylines or stories that lack a dramatic structure, and hence they present a description of a sequence of daily events rather than an exciting story. This directly affects the interestingness of generated stories.

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