

Neural Networks & Deep Learning

Introduction to Neural Networks

CSE & IT Department
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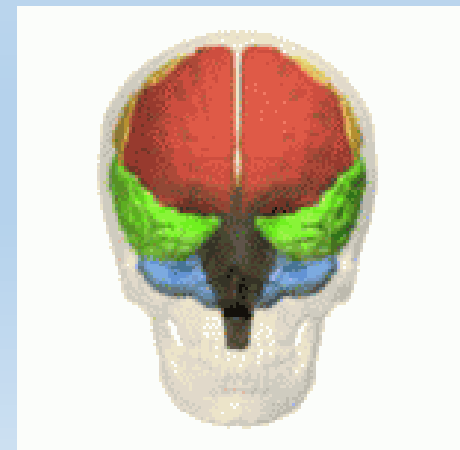
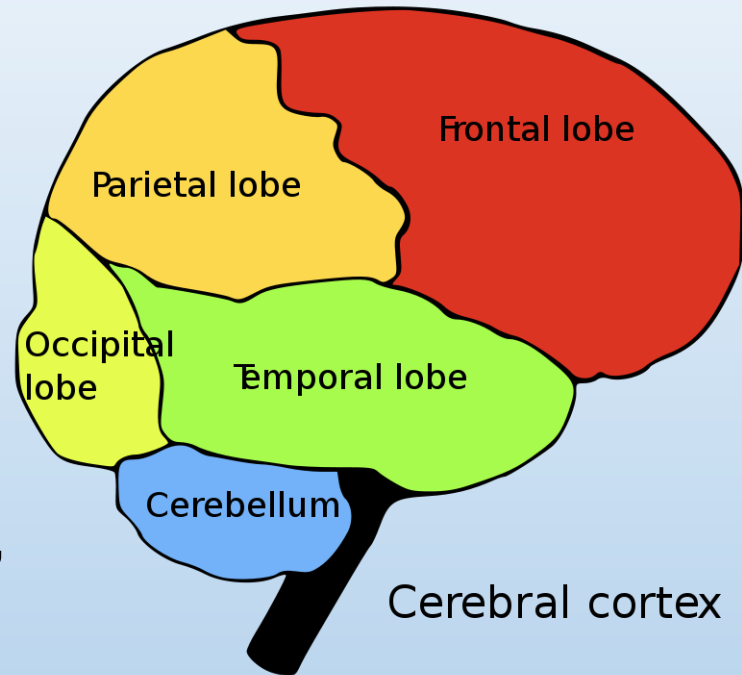
Biological Inspiration

- **Brain** is a highly **complex**, **nonlinear**, and **parallel** computer
 - Simple processing units called **neurons**
 - Cycle times in **milliseconds**
 - **Massive** number of neurons ($\sim 10^{11}$ in humans)
 - **Massive** interconnection ($\sim 60^{12}$ connections)
- **Brain** can perform certain tasks (pattern recognition, perception) many times **faster** than fastest digital computers

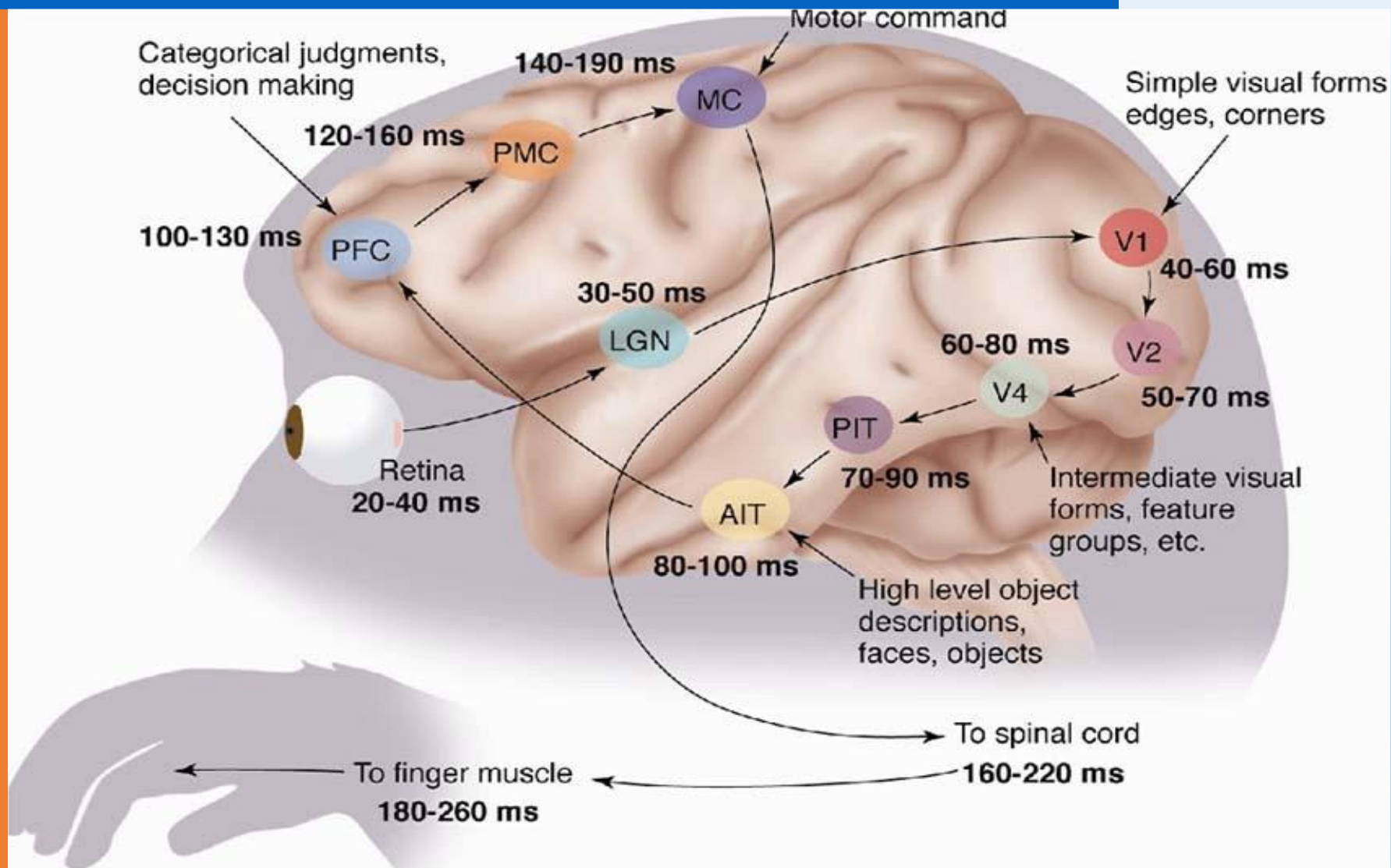
Natural Intelligence

Structure of human brain

- **Frontal lobe**
 - Reward, attention, planning, short-term memory, motivation
- **Parietal lobe**
 - Sensory inputs from skin (touch, temperature, pain)
- **Occipital lobe**
 - Visual processing center
- **Temporal lobe**
 - Visual memories, language comprehension, emotion

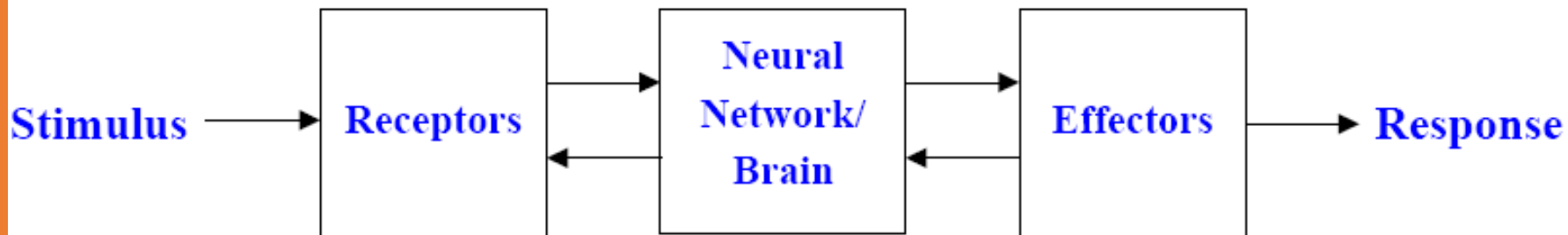


From Receptor to Effector (~1s)



Human Nervous System Operation

- May be broken down into three stages:

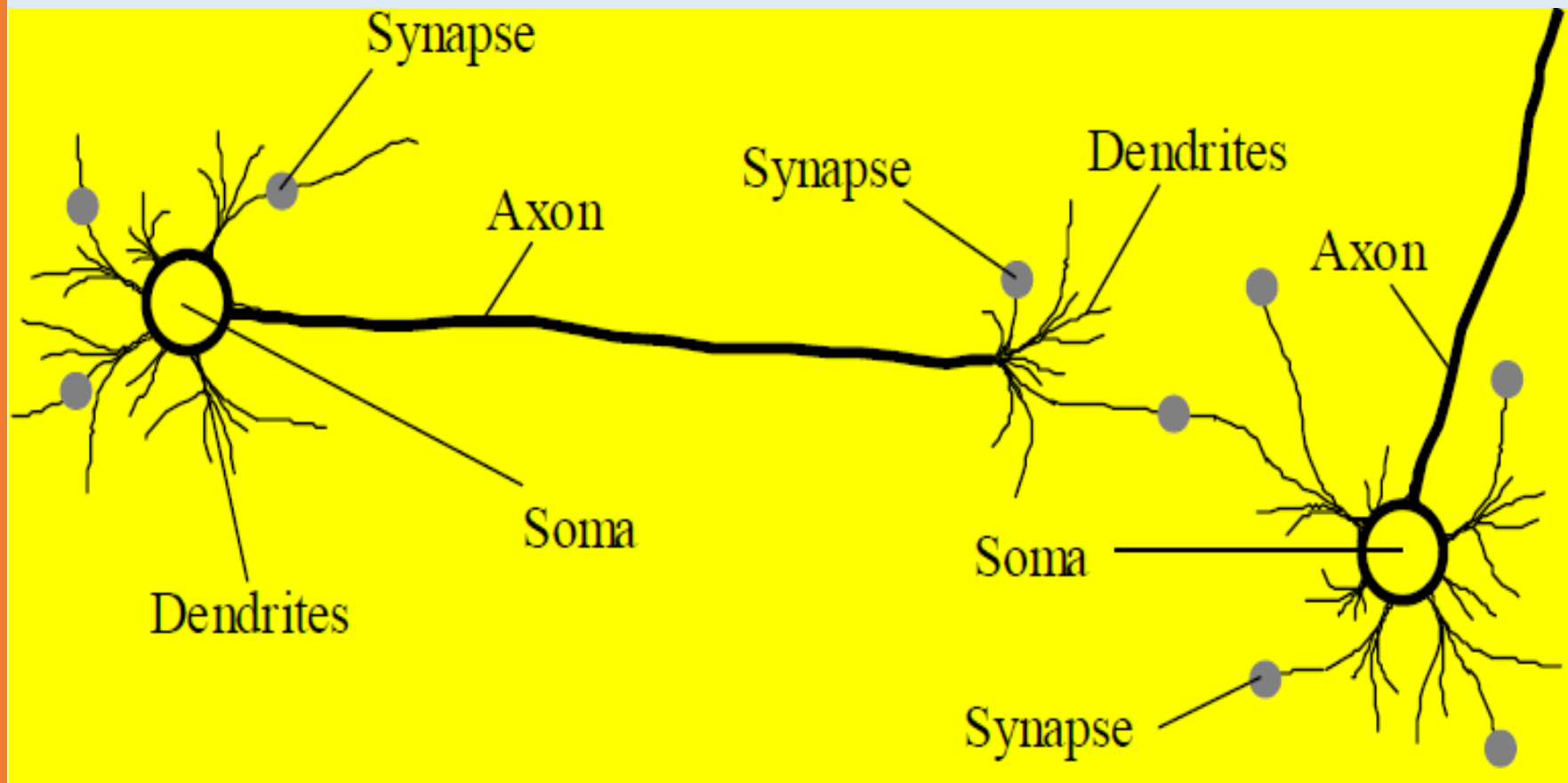


- Receptors**
 - Collect information from the **environment** (e.g. photons on the **retina**)
 - Convert stimuli from human body or environment into electrical **pulses** as information conveyer to **brain**

Organization of Human Nervous System

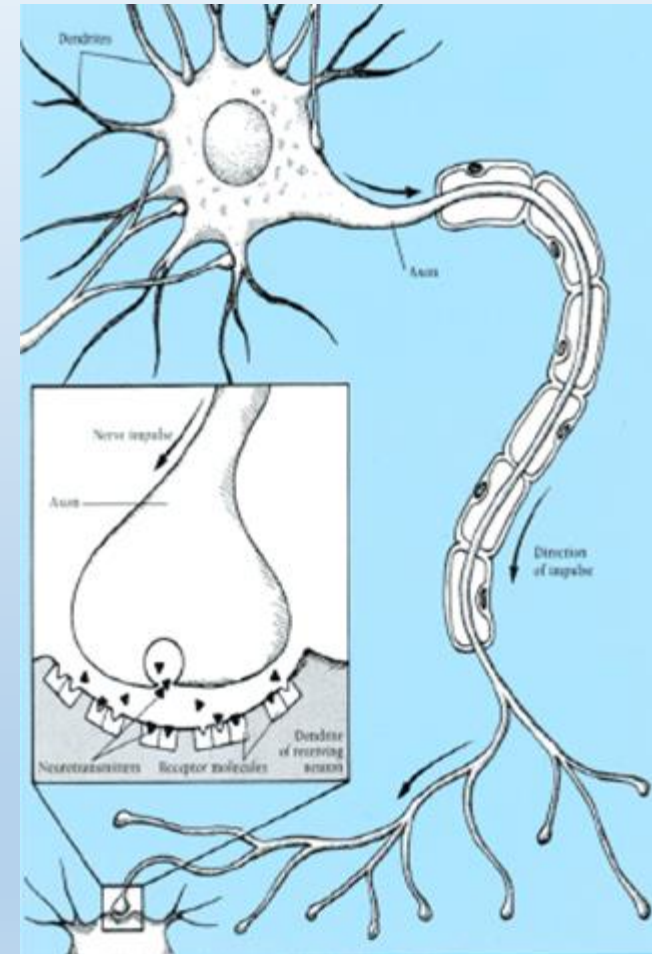
- Neural network
 - Represents the brain which receives information and makes appropriate decisions
- Effectors
 - Convert electrical impulses generated by the neural network into responses as system outputs
 - Generate interactions with the environment (e.g. activate muscles)
- Arrows
 - Represent the flow of information/activation (feed-forward and feed-back)

Biological Neuron



Biological Neuron

- **Dendrites**
 - Receptive zones that receive activation from other neurons
- **Cell body (soma)**
 - Processes incoming activations and converts them into output activations
- **Axons**
 - Transmission lines that send activation to other neurons
- **Synapses**
 - Allow weighted transmission of signals (using neurotransmitters) between axons and dendrites

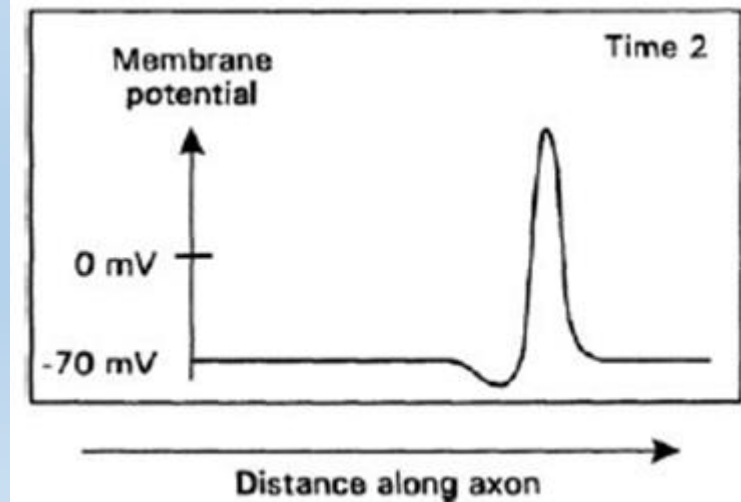
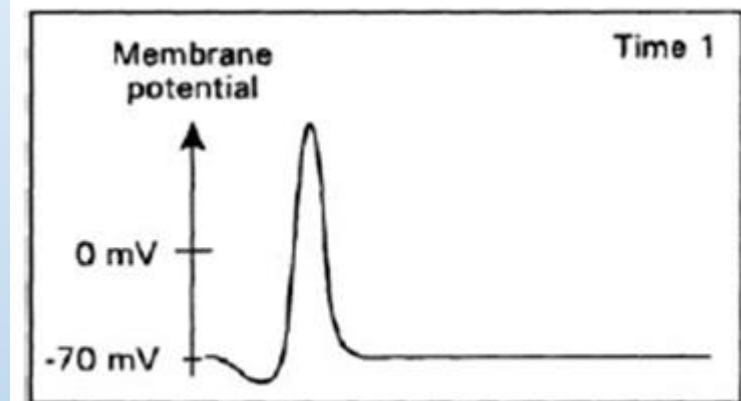
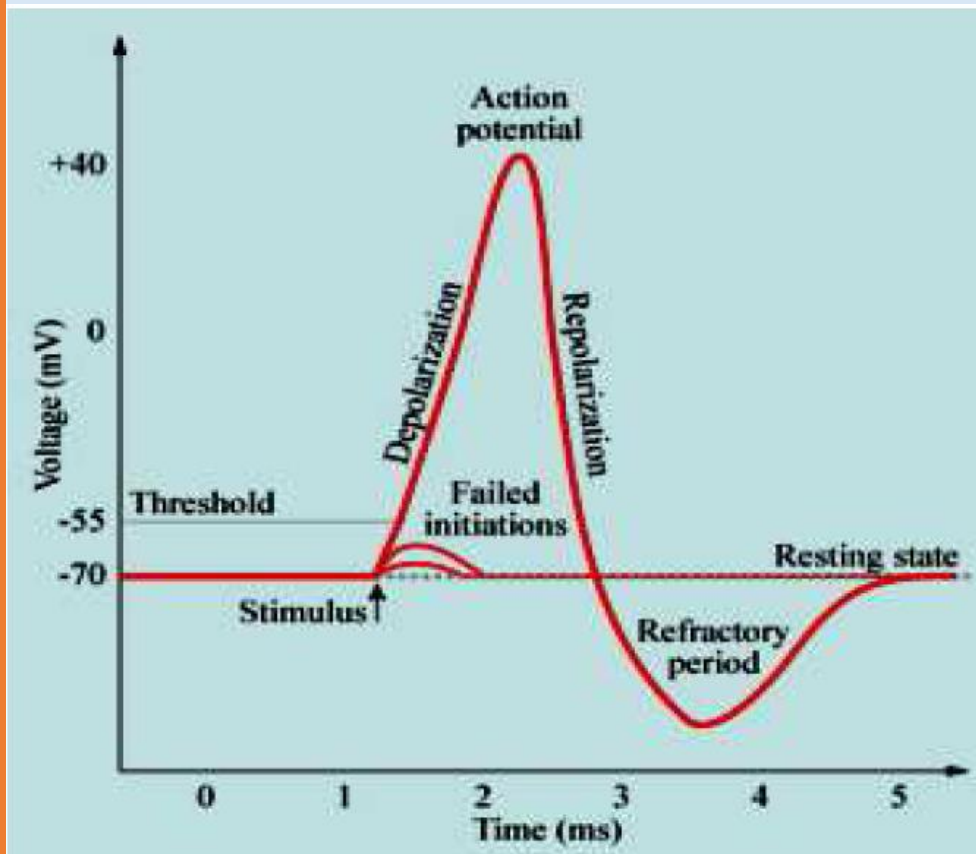


Brain: Amazingly Efficient Computer

- ~ 10^{11} neurons
- ~ 10^4 synapses per neuron
- ~ 10 spikes go through each synapse per second
- ~ 10^{16} operations per second
- ~ 25 Watts (Very efficient)
- ~ 1.4 Kg, 1.7 liters
- ~ 2500 cm^2 (Unfolded cortex)

Action of Biological Neuron

- Majority of neurons encode their outputs/activations as a series of brief **electrical pulses** (**spikes** or action potentials)



Firing of Neuron

- Behavior is **binary** (a neuron either **fires** or not)
- Neurons **don't** fire if their accumulated activity stay below **threshold**
- If activity is above threshold, a neuron **fires** (produces a **spike**)
- The firing **frequency** increases with accumulated activity until **max.** firing frequency reached
- The firing **frequency** is limited by refractory period of about **1-10 ms**

Man vs. Machine (Hardware)

Features	Human brain	Von Neumann computer
# elements	$10^{10} - 10^{12}$ simple neurons	$10^7 - 10^8$ transistors 10^4 complex processors
# connections/element	massive	little or no
Switching frequency	slow (10^3 Hz)	fast ($10^9 - 10^{10}$ Hz)
Energy/operation/sec.	10^{-16} Joule	10^{-6} Joule
Power consumption	10 Watt	100 - 500 Watt
Structure	dynamic	static
Reliability of elements	low	reasonable
Reliability of system	high	reasonable
Decision speed/power	high	reasonable

Man vs. Machine (Software)

Features	Human brain	Digital computer
Data representation	analog	digital
Memory localization	distributed	localized
Control	distributed	localized
Processing	parallel	sequential
Skill acquisition	adaptive-learnable	pre-programming
Fault/error tolerance	fault tolerant	intolerant to errors
Structure	trained	designed
Activation	nonlinear	linear

What are NNs?

- Artificial neurons are crude approximations of neurons found in brains
 - Physical devices
 - Mathematical constructs
- Artificial NNs (ANN) are networks of artificial neurons as crude approximations to parts of biological brains

Conceptual Definition of NNs

- An ANN
 - Is a **machine**, designed to **model** the **brain** how performs a particular task or function of interest
 - Is either implemented in **hardware** or simulated in **software**
 - **Mimics** the brain or nervous system, in senses:
 - **Structure** (simple processing units, massive interconnection, ...)
 - **Functionality** (learning, adaptability, fault tolerance, ...)

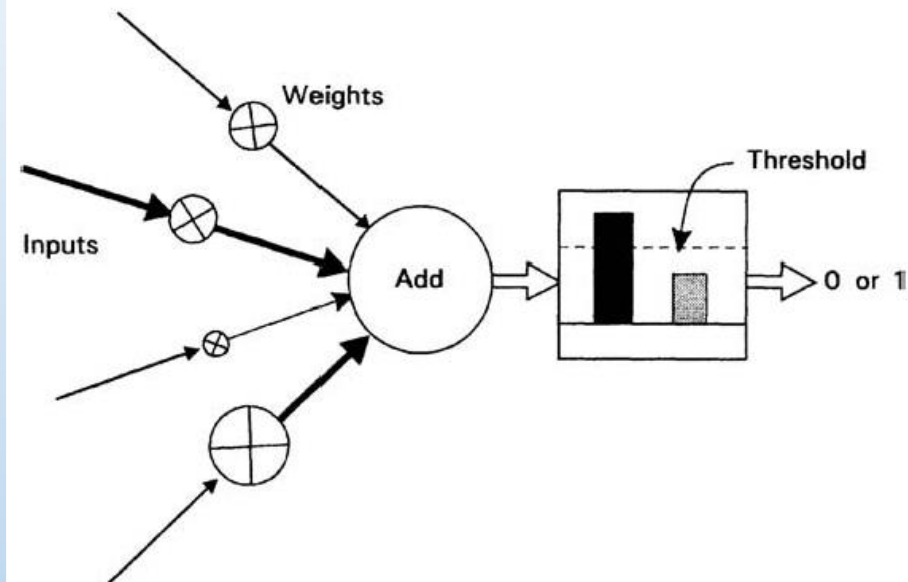
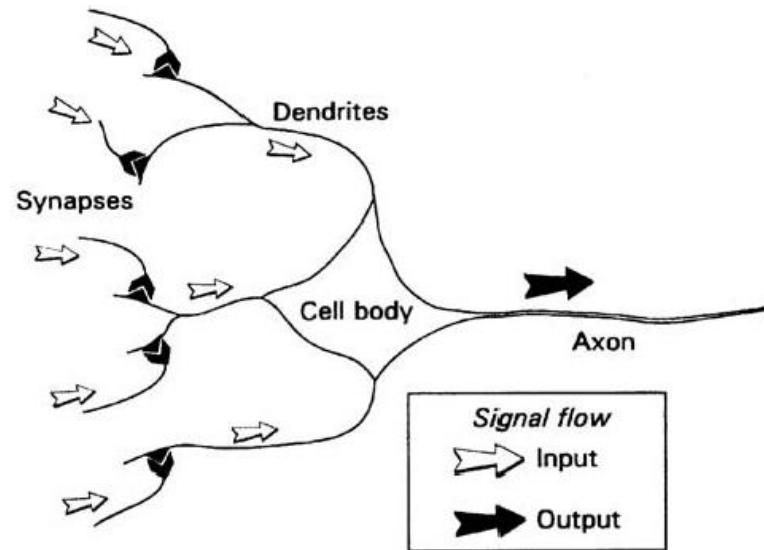
Pragmatic Definition of NNs

- An ANN
 - Is a massively connected **parallel** computational system
 - Made up of many **simple** processing units
 - Has propensity of storing experimental knowledge
 - Can perform tasks analogously to **biological** brains
- Resembles the **brain** in two respects:
 - **Knowledge** is acquired by the network from the environment through a **learning** process
 - **Inter-neuron** connection strengths are used to store the acquired **knowledge**

Why NNs?

- They are extremely **powerful** computational devices
- Massive **parallelism** makes them very efficient
- They can **learn** from training data and **generalize** to new situations
- They are particularly **fault** tolerant (as “graceful **degradation**” in biological systems)
- They are **robust** and **noise** tolerant
- They can do **anything** a symbolic/logic system can do, and more

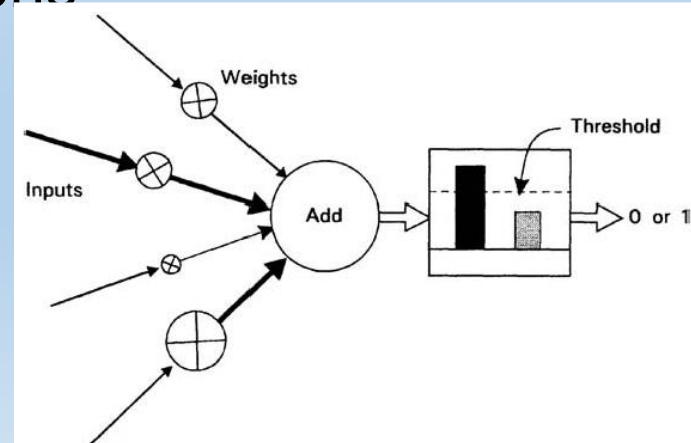
Mathematical Model of a Neuron



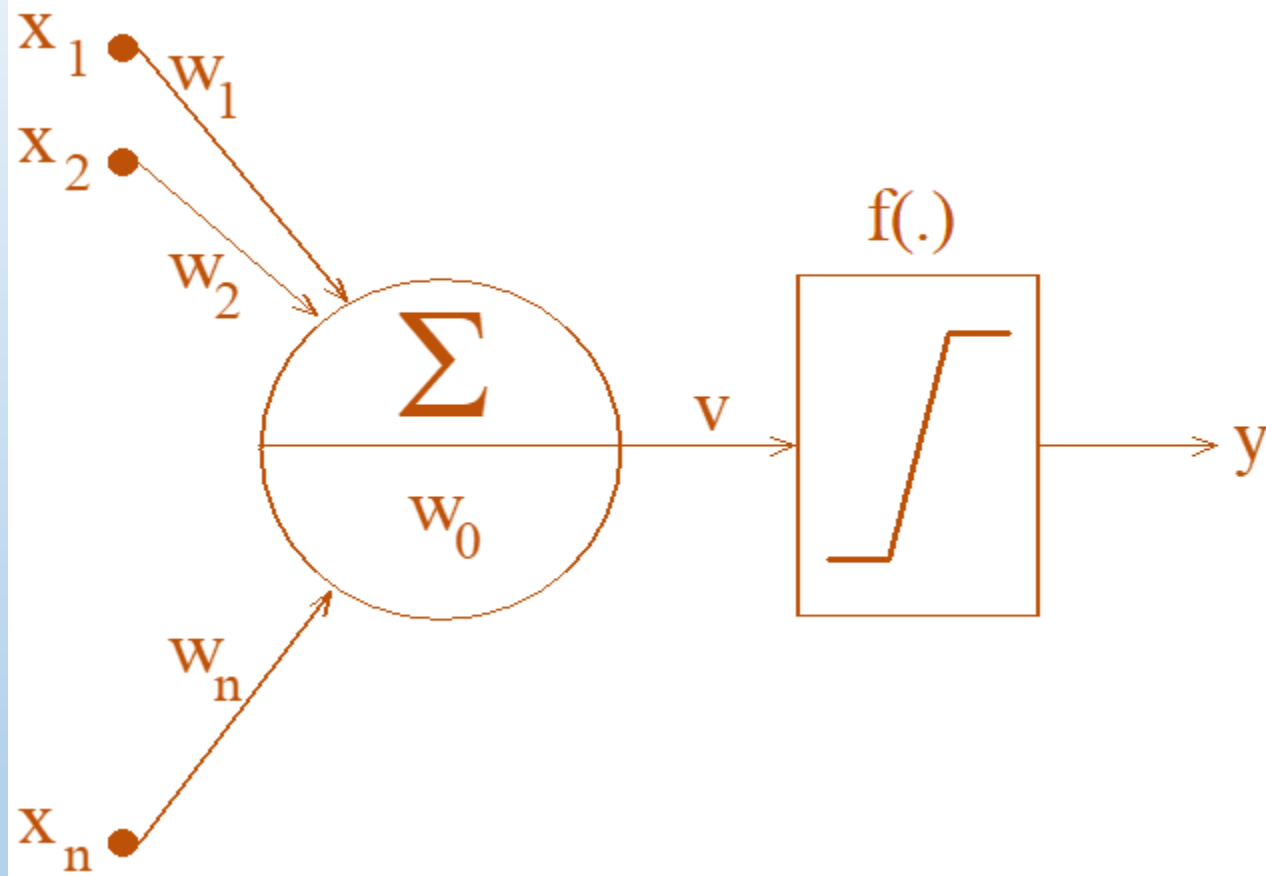
<i>Biological Neural Network</i>	<i>Artificial Neural Network</i>
Soma	Neuron
Dendrite	Input
Axon	Output
Synapse	Weight

Characteristic of Neuron

- A **neuron** can receive **many** inputs
- Inputs may be **modified** by **synaptic** weights at receiving dendrites
- A neuron **sums** its weighted inputs
- An **activation** function **limits** amplitude of output to $\{0, 1\}$
- A neuron can **transmit** an output signal
- **Output** can go to **many** other neurons



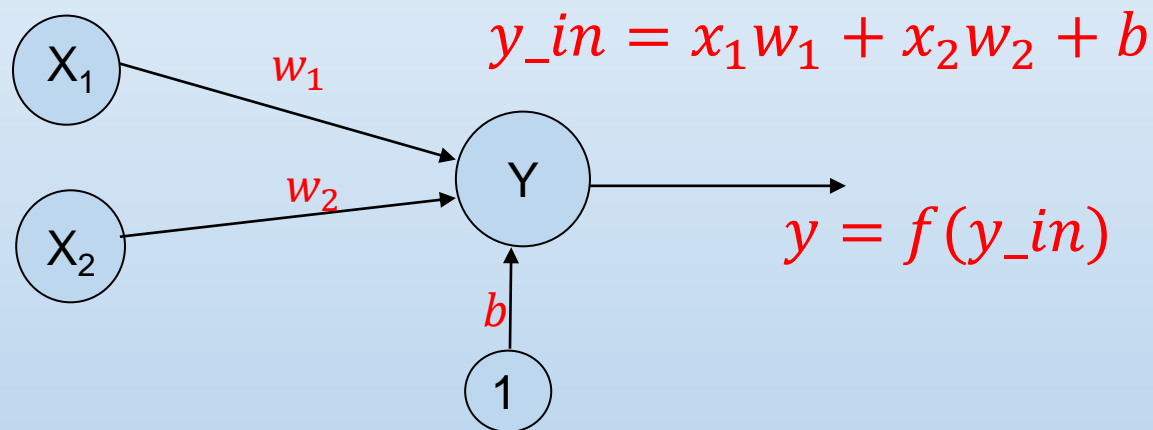
Artificial Neuron



Mathematically, $v = w_0 + \sum_{i=1}^n x_i w_i \Rightarrow y = f(v)$

$f(\cdot)$: threshold function

Artificial Neural Network



ANN Features

- Neurons act **nonlinearly**
- Information processing is **local**
- Memory is **distributed**
- The dendrite weights learn through **experience**
- The weights may be **inhibitory** or **excitatory**
- Neurons can **generalize** novel input stimuli
- Neurons are **fault** tolerant and can sustain **damage**

ANN Categories

- Direction of information (signal) flow
 - Feed-forward network (no feed-back connections)
 - Recurrent network (with at least one feed-back connection)
- Number of layers
 - Single layer network
 - Single hidden-layer network
 - Multilayer network (shallow/deep network)
- Connectivity
 - Fully-connected network
 - Partially-connected network

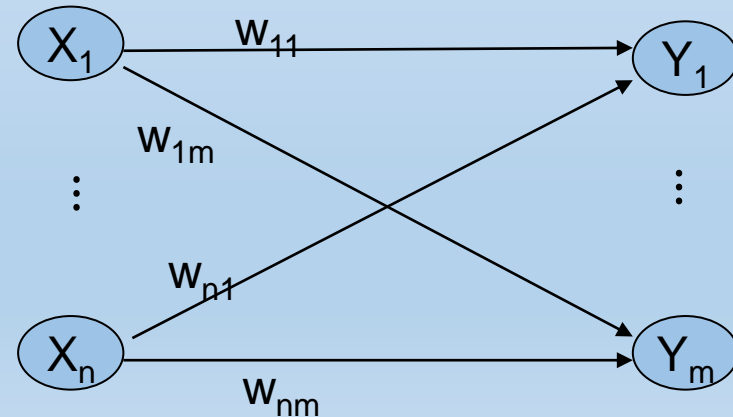
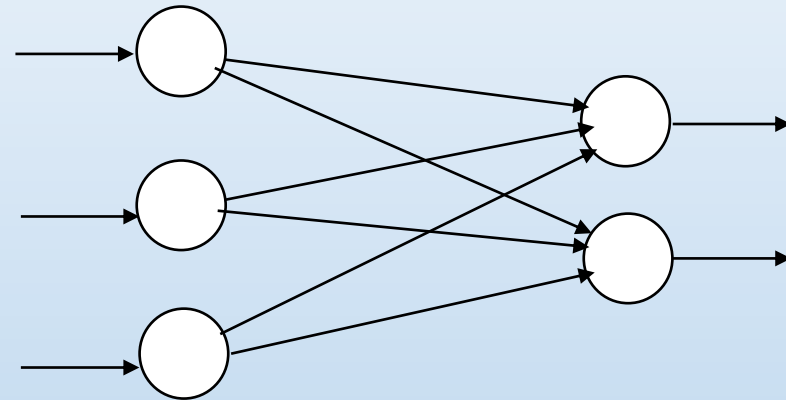
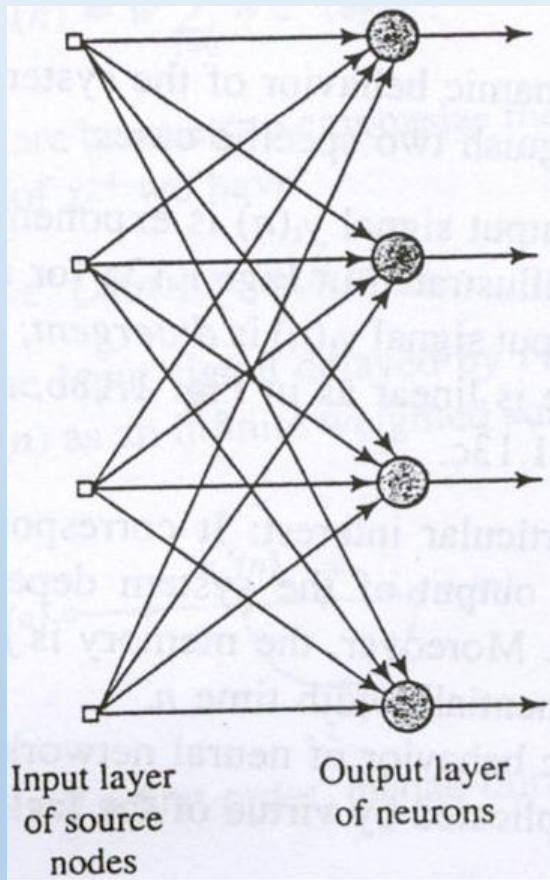
ANN Categories

- Activation function
 - Threshold (binary, bipolar)
 - Linear (identity)
 - Nonlinear (sigmoid, radial-basis)
- Learning methodology
 - Supervised learning
 - Unsupervised learning
 - Reinforced learning
- Training method
 - Static
 - Dynamic

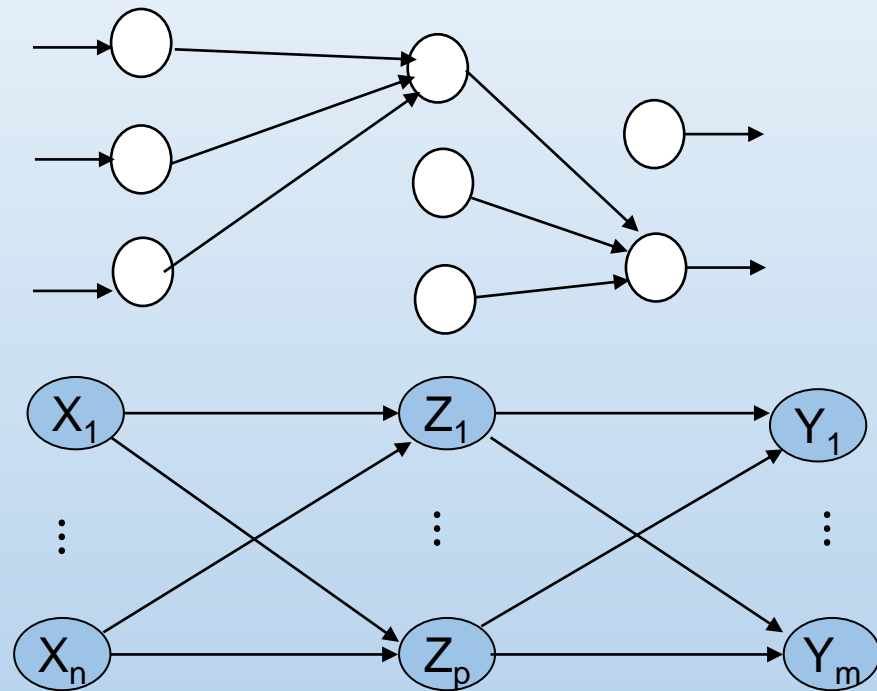
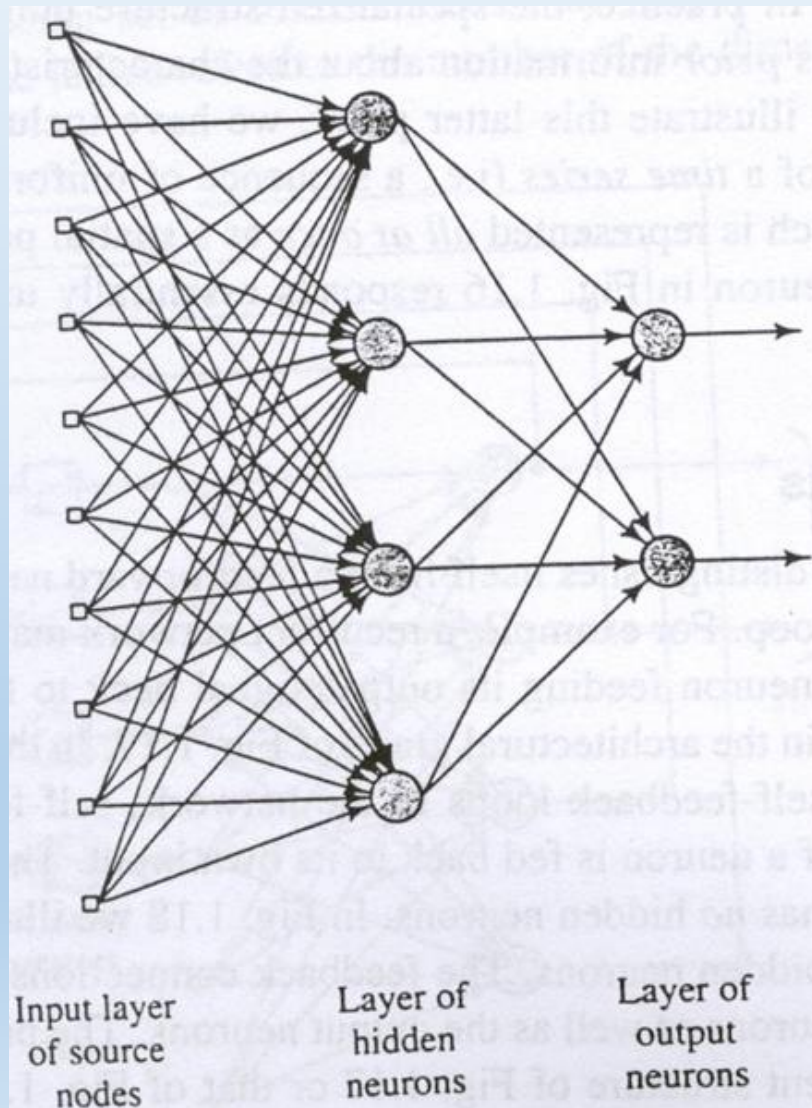
NN Design Decisions

- Architecture: pattern of connections between neurons
 - No. of layers, no. of neurons in each layer, no. of links
 - Connectivity
- Learning algorithm: method of determining the connection weights
 - Supervised, self-organizing, competitive
- Activation function: mapping of neurons' behavior
 - Threshold, linear, nonlinear

Single-layer Feed-forward NN

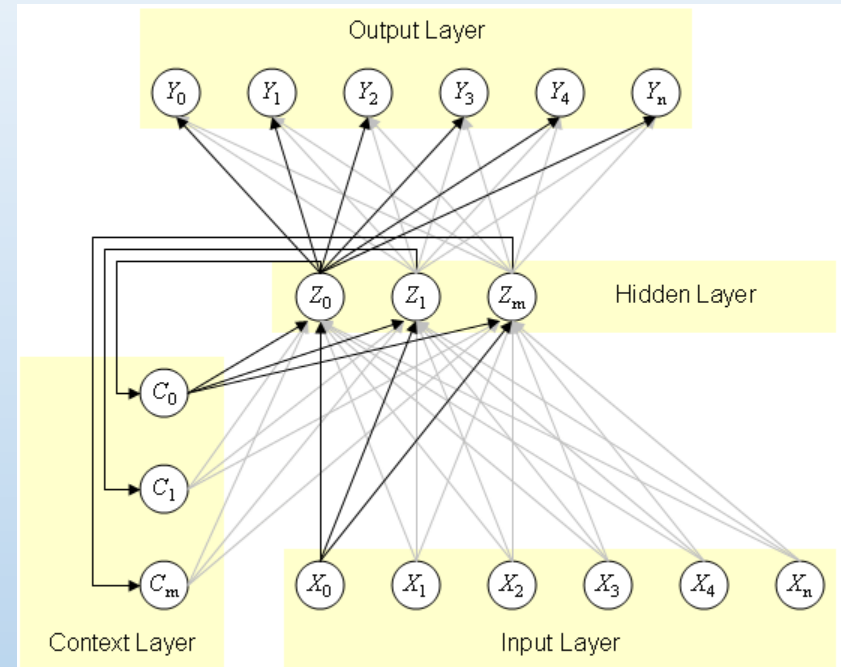
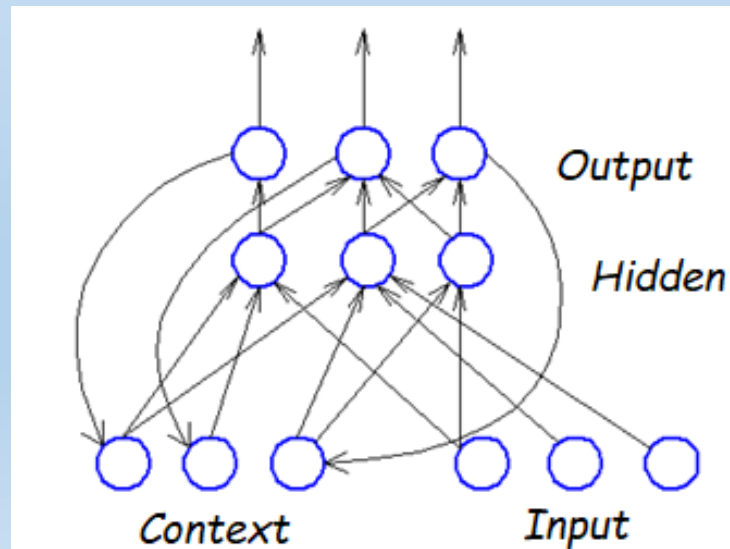
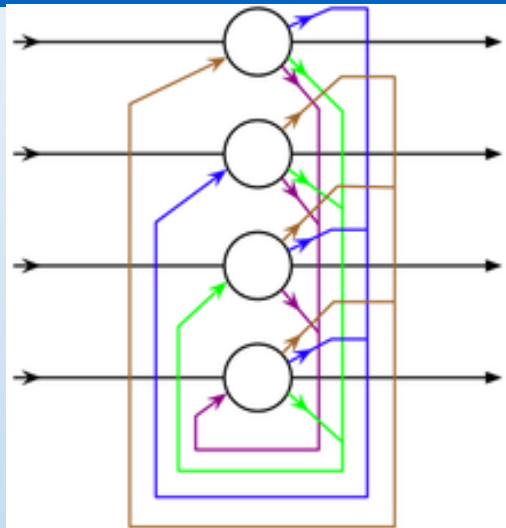


Multilayer Feed-forward NN



- More powerful
- Harder to train
- Open loop

Recurrent NN



- More powerful
- Harder to train
- Closed loop

Knowledge Representation in ANN

- What is **knowledge**?
 - Information or **models** used to interpret, predict and appropriately respond to outside world (environment)
- **Quality** of knowledge representation generally translates into **quality** of solution
 - Better **representation** means better **solution**
- Knowledge representation in NNs is not **well-understood**
 - There is little **theory** that relates a given weight to a particular piece of information
- Knowledge is encoded in **free parameters** of NN
 - **Weights** and **thresholds**

Knowledge Representation Rules

- Rule 1:
 - Similar **inputs** from similar **classes** should usually produce similar **representations** inside the network, and should, therefore, be **classified** as belonging to the same **category**
- Rule 2:
 - Inputs to be characterized as **separate** classes should be given widely **different** representations in the network
- Rule 3:
 - If a particular **feature** is important, then there should be a large number of **neurons** involved in representation of that item in network
- Rule 4:
 - **Prior knowledge** and invariances should be built into design of network, thus **simplifying** learning

Knowledge Representation Rules

- Advantages of building-in prior knowledge
 - Specialized structure
 - Benefits of specialized structure
 - Biologically plausible, less complication, fewer free parameters, faster training, fewer examples needed, better generalization
- How to build-in prior knowledge
 - No hard and fast rules
 - In general, use domain knowledge to reduce complexity of NN based on its performance characteristics
- Built-in Invariances
 - Invariance?
 - Fault tolerance
 - Immunity to transformations
 - Invariance by structure, training, feature space

NN Learning

- Learning:
 - To acquire and maintain **knowledge** of interest
- **Knowledge** of **environment** that will enable NN to achieve its **goals**
 - **Prior** information
 - **Current** information
- **Knowledge** can be built into **NNs** from input-output **examples** via **learning** using **training algorithms**
- The most powerful **property** of NNs: ability to **learn** and **generalize** from a set of training data

NN Learning

- NNs **adapt** the **weights** of connections between neurons so that final output **activations** are correct
- Three broad types of **learning**:
 - **Supervised Learning** (learning with a **teacher**)
 - **Reinforcement learning** (learning with environment **feedback**)
 - **Unsupervised learning** (learning with **no help**)
- Most of human/animal learning is unsupervised
- If **intelligence** was a **cherry ice-cream cake**,
 - **unsupervised** learning would be **cake**
 - **supervised** learning would be **icing** on cake
 - **reinforcement** learning would be **cherry** on cake

An Example

- Using NN for signature verification
- Prior knowledge
 - Architecture of NN
- Current knowledge
 - Input-output examples, being used for NN training
- Learning
 - Modification of free parameters (weights, thresholds, ...)
- Generalization
 - Using the trained NN for predicting output for unseen input

Advantages of ANNs

- Efficiency
 - Inherent massively parallel
- Robustness
 - Can deal with incomplete and/or noisy data
- Fault tolerance
 - Still works when part of net fails
- User friendly
 - Learning instead of programming

Drawbacks of ANNs

- Difficult to design
 - No clear design rules for arbitrary applications
- Hard or impossible to train
 - When training data are rare
- Difficult to assess internal operation (black box)
 - Difficult to find out what tasks are performed by different parts of the net
- Unpredictable
 - Difficult to estimate future network performance based on current behavior

Real-world NN applications

- Financial modeling (predicting stocks, currency exchange rates)
- Time series prediction (climate, weather, airline marketing)
- Computer games (intelligent agents, backgammon)
- Control systems (autonomous robots, microwave controllers)
- Pattern recognition (speech recognition, hand-writing recognition)
- Data analysis (data compression, data mining)
- Noise reduction (function approximation, ECG noise reduction)
- Bioinformatics (protein secondary structure, DNA sequencing)

Other Applications of NNs



History of NN

- 1943 McCulloch-Pitts neuron model
- 1949 Hebbian learning rule
- 1958 Single layer network, Perceptron
- 1960 Adaline
- 1969 Limitations of Perceptrons
- 1982 Kohonen nets, Associative memory, Hopfield net
- 1985 ART

History of NN

- 1986 Back-propagation learning algorithm for multi-layer Perceptron
- 1990s Radial basis function networks
- 2000 Ensembles of NNs, Cascaded Networks
- 2004 Deep Belief Networks
- 2010~ Convolutional Networks, Autoencoders, LSTMs

Some Useful Notations

x_i, y_j : outputs of units X_i and Y_j

w_{ij} : weight on connection between X_i and Y_j

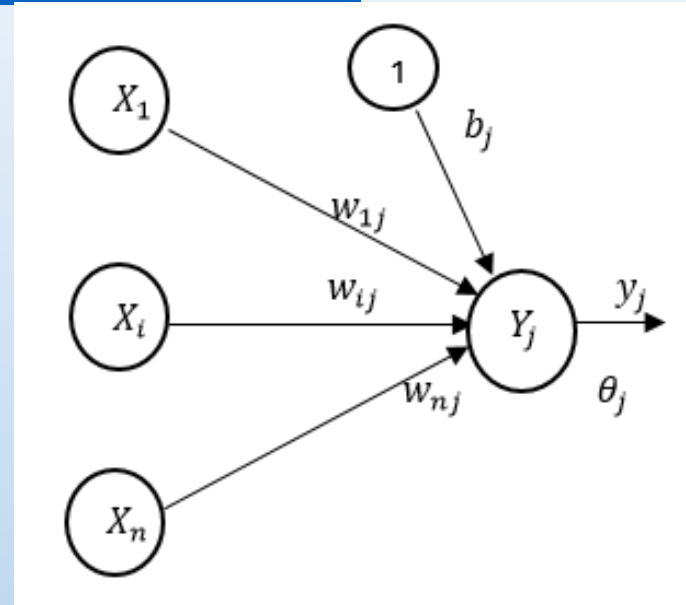
b_j, θ_j : bias and threshold of neuron Y_j

$$W = \{w_{ij}, i = 1, \dots, n \quad j = 1, \dots, m\} = W_{n \times m}$$

$$\vec{w}_{\cdot j} = \begin{bmatrix} w_{1j} \\ \cdot \\ \cdot \\ \cdot \\ w_{nj} \end{bmatrix} \quad \text{vector of weights to } Y_j$$

y_in_j = net input to neuron Y_j

$$y_in_j = b_j + \sum_{i=1}^n x_i w_{ij} = b_j + \vec{x} \cdot \vec{w}_{\cdot j} \Rightarrow y_j = f(y_in_j)$$



**If the brain were so simple
that
we could understand it
then we would be so simple
that
we couldn't understand it**

Lyall Watson