

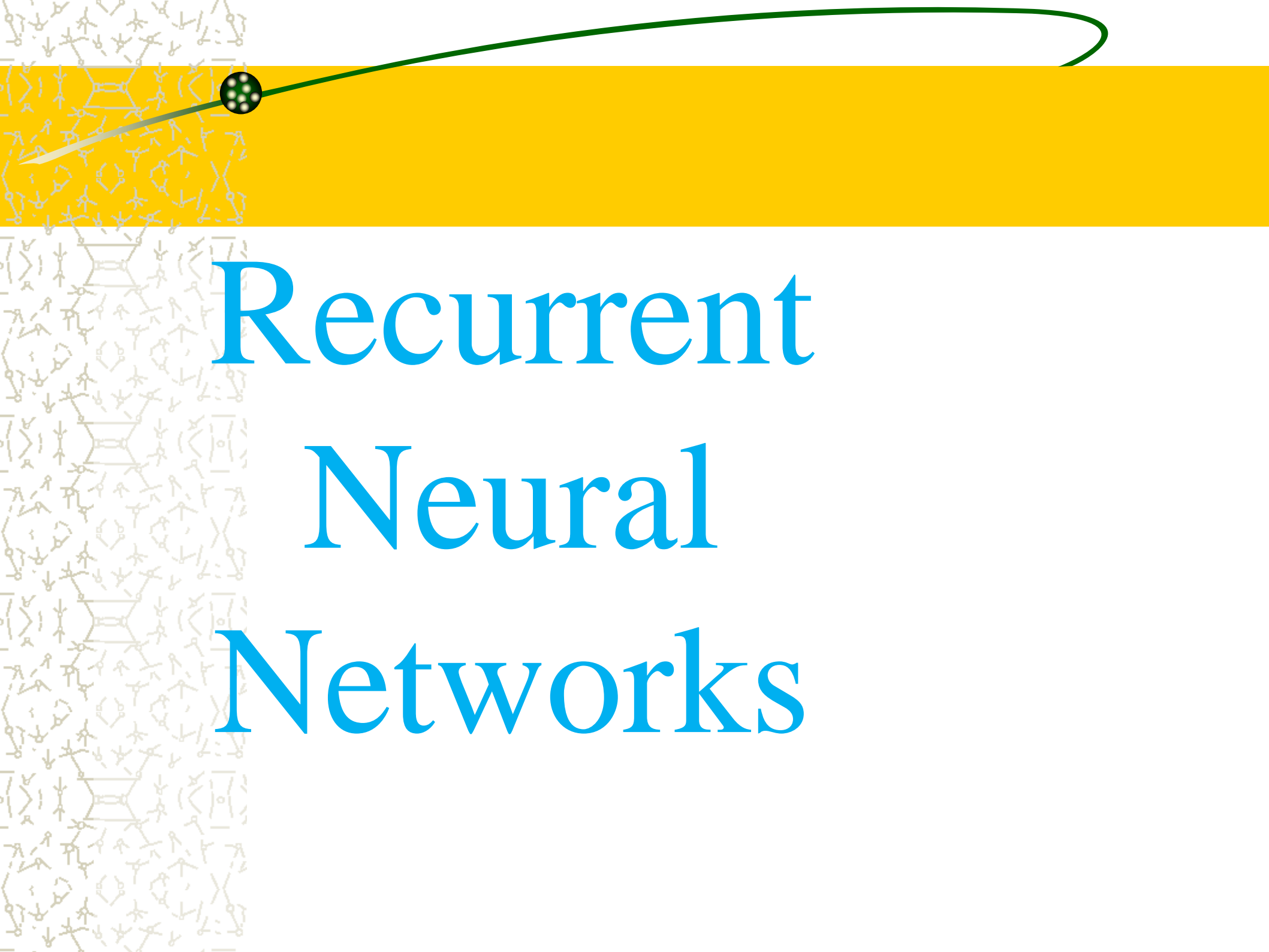
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2023

Neural Network & Deep Learning

Single-Layer Recurrent Network

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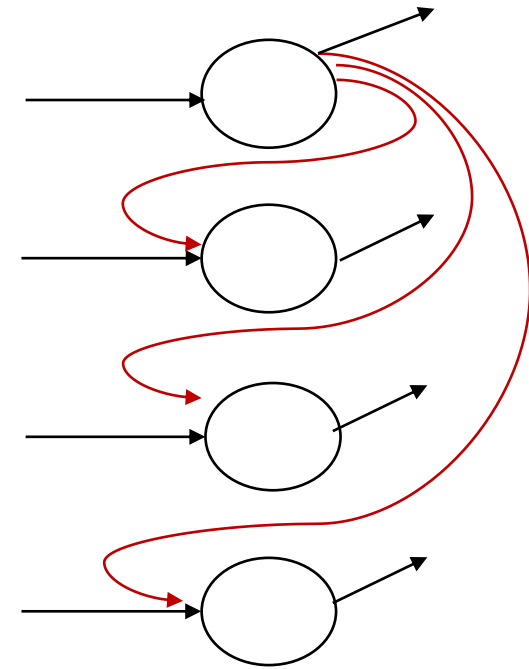
Recurrent Neural Networks



Recurrent Neural Nets (RNN)

RNNs are characterized by

- Connection graph of network has **cycles**
 - **Output** of a neuron can influence **inputs**
- There are **no natural** input and output nodes
- Initially, each neuron has a given **input** state
- Neurons **change** state, using some **update** rules
- Network evolves until some **stable** situations reach
- Resulting state is **output** of network





Pattern Recognition

RNNs can be used for pattern recognition

- Stable states represent patterns to be recognized
- Initial state is a noisy or mutilated version of one pattern
- Recognition process consists of network evolving from its initial state to a stable state

- Some patterns:



- Noisy pattern



Recognized pattern

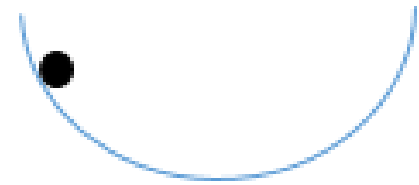




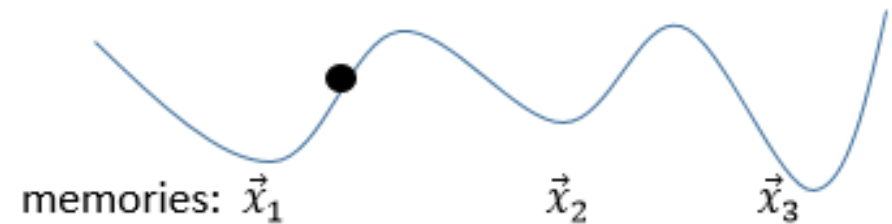
Physical Analogy

A Physical Analogy with Memory

- Bowl and ball: a system with a stable energy state
- Ball-bowl system settles in an energy minimum at equilibrium



- Resting state is a stable state because system remains there, so it remembers bottom of bowl
- Ball will come to rest at local memory closest to its initial position (with minimum energy)



- So, system can store a set of patterns (with minimum energy) and recalls that which is closest to its initial cue



Store and Retrieve in RNN

- In RNNs
 - System is completely described by
 - a state vector $\vec{y}(t) = \langle y_1(t), y_2(t), \dots, y_n(t) \rangle$
 - a scalar variable $E(t)$ as energy function
 - A set of stable states $\vec{y}_1, \vec{y}_2, \dots, \vec{y}_m$ associated with energy minima, E_1, E_2, \dots, E_m are defined as stored patterns or memories (storing process)
 - System evolves in time from any arbitrary starting state $\vec{y}(0)$ with initial energy $E(0)$ to one of stable state \vec{y}_i with energy E_i when $E_i \leq E(0)$ (recall process)



Store and Retrieve in RNN

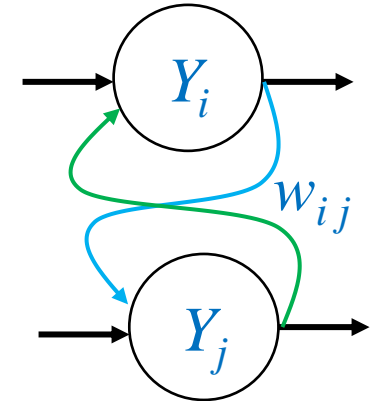
- In RNNs

- A state \vec{y}_k is called a **stable** state, if and only if, update rule does not lead to a different state: $\vec{y}_k(t+1) = \vec{y}_k(t)$
- In **bipolar** encoding, if \vec{y}_k is stable, $-\vec{y}_k$ is also **stable**
- Some **combinations** of **stable states** can also be stable
- Moreover, there can be more **complicated** stable states that are not related to the stored states
- Besides **desired** stable states, network can have additional undesired (**spurious**) stable states



Hebb rule in RNN

Hebb rule: $\begin{cases} \text{if } w_{ij} > 0, Y_i \text{ and } Y_j \text{ tend to take } y_i = y_j \\ \text{if } w_{ij} < 0, Y_i \text{ and } Y_j \text{ tend to take } y_i \neq y_j \end{cases}$



Internode energy: $e_{ij} = -w_{ij} y_i y_j$: for bipolar representation

$$\begin{cases} \text{if } w_{ij} > 0, e_{ij} = \begin{cases} -w_{ij} < 0, \text{ when } y_i = y_j & \Rightarrow \text{energy : minimum} \\ w_{ij} > 0, \text{ when } y_i \neq y_j & \Rightarrow \text{energy : maximum} \end{cases} \\ \text{if } w_{ij} < 0, e_{ij} = \begin{cases} w_{ij} < 0, \text{ when } y_i \neq y_j & \Rightarrow \text{energy : minimum} \\ -w_{ij} > 0, \text{ when } y_i = y_j & \Rightarrow \text{energy : maximum} \end{cases} \end{cases}$$

Energy in RNN

- Energy of whole network

$$E = \sum_i \sum_j e_{ij} = - \sum_i \sum_j w_{ij} y_i y_j \xrightarrow{w_{ij}=w_{ji}}$$

$$E = -\frac{1}{2} \sum_i \sum_j w_{ij} y_i y_j = -\frac{1}{2} \vec{y}^T W \vec{y}$$

Since W is symmetric: $E = -\sum_i \sum_{j < i} w_{ij} y_i y_j$

$$\text{At time } t: E(t) = -\sum_i \sum_{j < i} w_{ij} y_i(t) y_j(t)$$

- To show existence of **stable state**, suppose Y_k is chosen to be fired:

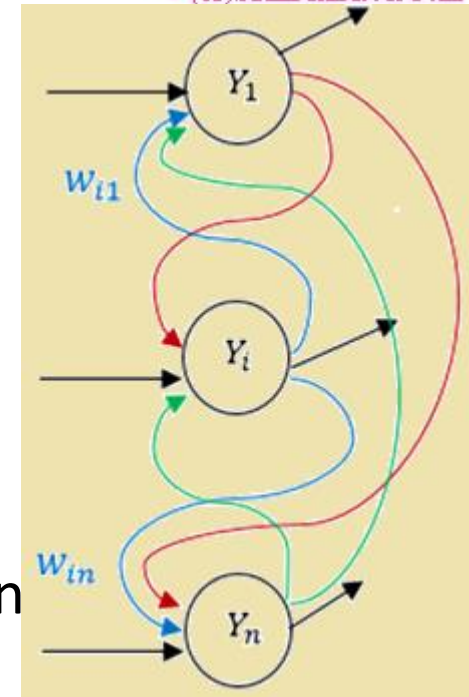
Energy before firing Y_k

$$E(t) = -\sum_i \sum_{\substack{j < i \\ j \neq k}} w_{ij} y_i(t) y_j(t) - \sum_i w_{ik} y_i(t) y_k(t) = S(t) - y_k(t) y_{in_k}(t)$$

Energy after firing Y_k

$$E(t+1) = S(t+1) - y_k(t+1) y_{in_k}(t+1) = S(t) - y_k(t+1) y_{in_k}(t)$$

$$\text{So, } \Delta E = E(t+1) - E(t) = -y_{in_k}(t) \{y_k(t+1) - y_k(t)\} = -y_{in_k}(t) \Delta y_k$$





Energy in RNN

- $\Delta E = -y_{in_k}(t) \Delta y_k$
- Since Y_k is chosen to be fired

$$\left\{ \begin{array}{l} \text{if } y_{in_k}(t) \geq 0 \Rightarrow y_k(t+1) = 1, \text{ if } y_k(t) = \begin{cases} -1 \\ 1 \end{cases} \Rightarrow \Delta y_k \geq 0 \Rightarrow \Delta E \leq 0 \\ \text{if } y_{in_k}(t) < 0 \Rightarrow y_k(t+1) = -1, \text{ if } y_k(t) = \begin{cases} -1 \\ 1 \end{cases} \Rightarrow \Delta y_k \leq 0 \Rightarrow \Delta E \leq 0 \end{array} \right.$$
- So, net energy **decreases** or stays the same for any node selected to fire
- For **lower** bound of E (when $y_i = y_j$ for all nodes),

$$E_{min} = -\sum_i \sum_{j < i} w_{ij}$$

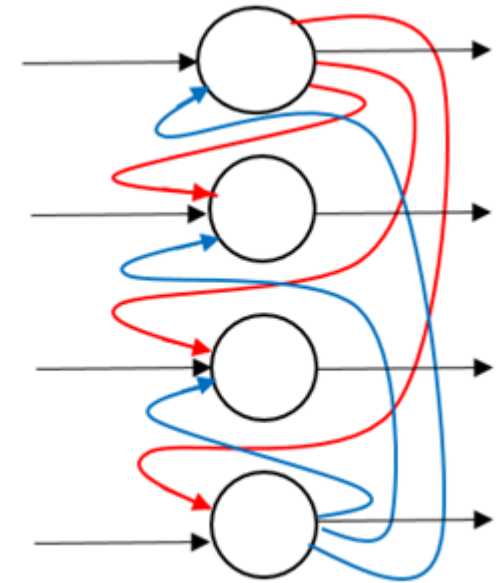


Hopfield Net



Discrete Hopfield Net

- Originally used as content-addressable memory
- A **continues-valued** version of it can be used for pattern association
- An **iterative** AAM net with bipolar encoding
- A fully interconnected **recurrent net** with **no connection** from a neuron to itself
- Each neuron **continues** to receive an external signal in addition to signals from other units



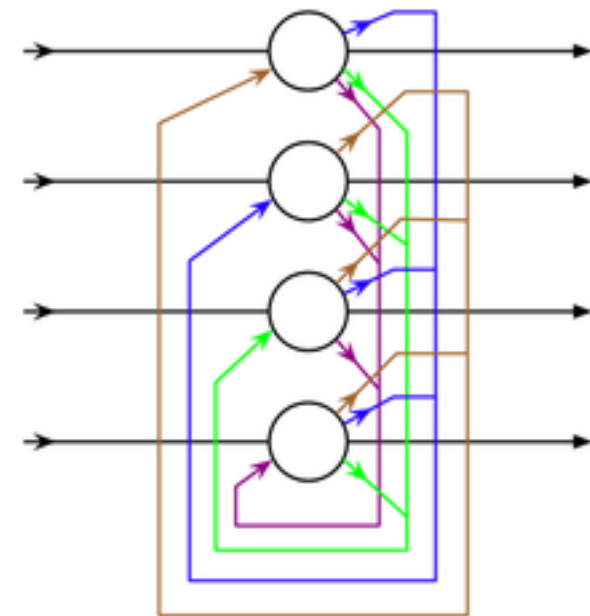


Discrete Hopfield Net

- If a Hopfield network has n neurons \rightarrow state of network at time t is $\vec{y}(t) \in \{-1, 1\}^n$ with components $y_i(t)$ that describe state of neuron Y_i at time t
- Since time is discrete ($t \in \mathcal{N}$), state of network at time $t + 1$ will depend on sign of total input at time t

$$y_i(t + 1) = f(y_{in_i}(t)) = \text{sgn}(y_{in_i}(t))$$

- Update strategies of net states:
 - Asynchronous update
 - Synchronous update





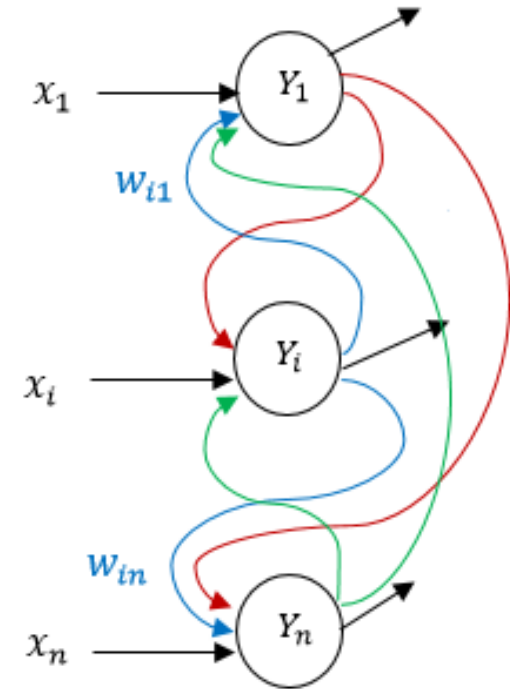
Weights of Hopfield Net

- Using **Hebb** rule for pattern association:

$$\vec{s}(p) = \langle s_1(p), \dots, s_i(p), \dots, s_n(p) \rangle,$$

$$(p = 1, \dots, P)$$

$$W = \{w_{ij}\}, \quad w_{ij} = \begin{cases} \sum_{p=1}^P s_i(p) s_j(p), & \text{if } i \neq j \\ 0 & \text{if } i = j \end{cases}$$



- The **weight matrix** is symmetric ($w_{ij} = w_{ji}$) and has a **zero** diagonal
- Each neuron uses sign activation function:

$$y_i = f(y_{in_i}) = \text{sgn}(y_{in_i}) = \begin{cases} 1, & \text{if } y_{in_i} > 0 \\ 0, & \text{if } y_{in_i} = 0 \\ -1, & \text{if } y_{in_i} < 0 \end{cases}$$



Hopfield Net State Update

- **Asynchronous** update rule

- Only **one** neuron (selected **randomly**) at a time is allowed to change its state
- Select neuron Y_i (**randomly**) for update

$$y_in_i(t) = x_i + \sum_{j=1}^n y_j(t) w_{ji} = x_i + \vec{w}_{.i}^T \vec{y}$$

$$y_i(t+1) = \text{sgn}(y_in_i(t)) = \text{sgn}(x_i + \vec{w}_{.i}^T \vec{y})$$

- For each neuron Y_j except Y_i

$$y_j(t+1) = y_j(t)$$

- **Synchronous** update rule

- All neurons are allowed to change their state **simultaneously**

$$\overrightarrow{y_in}(t) = \vec{x} + W \vec{y}(t)$$

$$\vec{y}(t+1) = \text{sgn}(\overrightarrow{y_in}(t))$$



Recall in Hopfield Net

Recalling with Hopfield net (asynchronous update) for input vector \vec{x}

1. Set initial activations of net to external input vector

$$y_i = x_i, (i = 1, \dots, n)$$

2. While activations of net are not converged

2.1. For each neuron Y_i (randomly selected)

2.1.1. Compute net input

$$y_in_i = x_i + \sum_{j=1}^n y_j w_{ji} = x_i + \vec{w}_{.i}^T \vec{y}$$

2.1.2. Determine output signal

$$y_i = f(y_in_i) = \text{sgn}(y_in_i)$$

2.1.3. Broadcast value of y_i to all other neurons

3. Stop

• Input vector \vec{x} :

- Is **known** if net converges to a **stable** state as a **stored** vector
- Is **unknown** if net converges to a **not stored** vector



Recall in Hopfield Net

Example: $\vec{s} = \{ \langle 1, 1, -1, -1 \rangle, \langle 1, -1, 1, -1 \rangle \}$

$$W = \begin{bmatrix} 1 & 1 & -1 & -1 \\ 1 & 1 & -1 & -1 \\ -1 & -1 & 1 & 1 \\ -1 & -1 & 1 & 1 \end{bmatrix} + \begin{bmatrix} 1 & -1 & 1 & -1 \\ -1 & 1 & -1 & 1 \\ 1 & -1 & 1 & -1 \\ -1 & 1 & -1 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 & -2 \\ 0 & 2 & -2 & 0 \\ 0 & -2 & 2 & 0 \\ -2 & 0 & 0 & 2 \end{bmatrix} \Rightarrow W = \begin{bmatrix} 0 & 0 & 0 & -2 \\ 0 & 0 & -2 & 0 \\ 0 & -2 & 0 & 0 \\ -2 & 0 & 0 & 0 \end{bmatrix}$$

An input vector with one missed component: $\vec{x} = \langle 1, 1, 0, -1 \rangle$

$$\Rightarrow \vec{y}(0) = \langle 1, 1, 0, -1 \rangle$$

Asynchronous update:

$$\text{Updating } Y_2: y_{in_2}(0) = 1 + [1 \ 1 \ 0 \ -1] \begin{bmatrix} 0 \\ 0 \\ -2 \\ 0 \end{bmatrix} = 1 \Rightarrow y_2(1) = 1$$

$$\text{Updating } Y_4: y_{in_4}(0) = -1 + [1 \ 1 \ 0 \ -1] \begin{bmatrix} -2 \\ 0 \\ 0 \\ 0 \end{bmatrix} = -3 \Rightarrow y_4(1) = -1$$



Recall in Hopfield Net

$$\text{Updating } Y_1: y_{in_1}(0) = 1 + [1 \ 1 \ 0 \ -1] \begin{bmatrix} 0 \\ 0 \\ 0 \\ -2 \end{bmatrix} = 3 \Rightarrow y_1(1) = 1$$

$$\text{Updating } Y_3: y_{in_3}(0) = 0 + [1 \ 1 \ 0 \ -1] \begin{bmatrix} 0 \\ -2 \\ 0 \\ 0 \end{bmatrix} = -2 \Rightarrow y_3(1) = -1$$

$$\Rightarrow \vec{y}(1) = \langle 1, 1, -1, -1 \rangle, \text{ Updating } Y_1, Y_2, Y_3, Y_4 \Rightarrow \vec{y}(2) = \langle 1, 1, -1, -1 \rangle$$

$$\vec{x} = \langle 1, 1, 0, -1 \rangle \Rightarrow \vec{y}(0) = \langle 1, 1, 0, -1 \rangle$$

Synchronous update:

$$\overrightarrow{y_{in}}(0) = \vec{x} + W \vec{y}(0) = \begin{bmatrix} 1 \\ 1 \\ 0 \\ -1 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & -2 \\ 0 & 0 & -2 & 0 \\ 0 & -2 & 0 & 0 \\ -2 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 0 \\ -1 \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \\ -2 \\ -3 \end{bmatrix}$$

$$\Rightarrow \vec{y}(1) = \text{sgn}(\overrightarrow{y_{in}}(0)) = [1 \ 1 \ -1 \ -1]^T$$



States in Hopfield Net

Ex.: $\vec{s} = \{< 1, 1, -1, -1 >\} \Rightarrow -\vec{s} = \{< -1, -1, 1, 1 >\}$

$$y_i(t+1) = \text{sgn}(x_i + \vec{w}_{.i}^T \vec{y})$$

$$W = \begin{bmatrix} 0 & 1 & -1 & -1 \\ 1 & 0 & -1 & -1 \\ -1 & -1 & 0 & 1 \\ -1 & -1 & 1 & 0 \end{bmatrix}$$

$y_1(t)$	$y_2(t)$	$y_3(t)$	$y_4(t)$	state	$y_1(t+1)$	$y_2(t+1)$	$y_3(t+1)$	$y_4(t+1)$	state
-1	-1	-1	-1	0	1	1	-1	-1	12
-1	-1	-1	1	1	-1	-1	1	1	3
-1	-1	1	-1	2	-1	-1	1	1	3
-1	-1	1	1	3	-1	-1	1	1	3
-1	1	-1	-1	4	1	1	-1	-1	12
-1	1	-1	1	5	1	1	-1	-1	12
-1	1	1	-1	6	1	1	-1	-1	12
-1	1	1	1	7	-1	-1	1	1	3
1	-1	-1	-1	8	1	1	-1	-1	12
1	-1	-1	1	9	-1	-1	1	1	3
1	-1	1	-1	10	-1	-1	1	1	3
1	-1	1	1	11	-1	-1	1	1	3
1	1	-1	-1	12	1	1	-1	-1	12
1	1	-1	1	13	1	1	-1	-1	12
1	1	1	-1	14	1	1	-1	-1	12
1	1	1	1	15	-1	-1	1	1	3



States in Hopfield Net

Ex.: $\vec{s}_1 = \{< 1, 1, -1, -1 >\} \Rightarrow -\vec{s}_1 = \{< -1, -1, 1, 1 >\}$

$\vec{s}_2 = \{< 1, 1, 1, -1 >\} \Rightarrow -\vec{s}_2 = \{< -1, -1, -1, 1 >\}$

$$W = \begin{bmatrix} 0 & 2 & 0 & -2 \\ 2 & 0 & 0 & -2 \\ 0 & 0 & 0 & 0 \\ -2 & -2 & 0 & 0 \end{bmatrix}$$

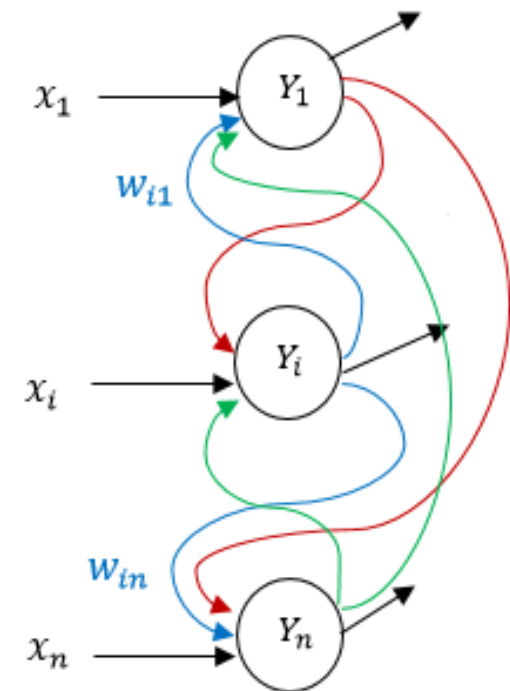
$y_1(t)$	$y_2(t)$	$y_3(t)$	$y_4(t)$	state	$y_1(t+1)$	$y_2(t+1)$	$y_3(t+1)$	$y_4(t+1)$	state
-1	-1	-1	-1	0	-1	-1	-1	1	1
-1	-1	-1	1	1	-1	-1	-1	1	1
-1	-1	1	-1	2	-1	-1	1	1	3
-1	-1	1	1	3	-1	-1	1	1	3
-1	1	-1	-1	4	1	1	-1	-1	12
-1	1	-1	1	5	-1	-1	-1	1	1
-1	1	1	-1	6	1	1	1	-1	14
-1	1	1	1	7	1	1	1	-1	14
1	-1	-1	-1	8	1	1	-1	-1	12
1	-1	-1	1	9	-1	-1	1	1	3
1	-1	1	-1	10	-1	-1	-1	1	1
1	-1	1	1	11	-1	-1	1	1	3
1	1	-1	-1	12	1	1	-1	-1	12
1	1	-1	1	13	1	1	-1	-1	12
1	1	1	-1	14	1	1	1	-1	14
1	1	1	1	15	1	1	1	-1	14



Energy in Hopfield Net

- In Hopfield net (**asynchronous** updating), an **energy** function is defined to prove that net will converge to a **stable** set of activation, provided diagonal weights are set to **zero**

$$E = -\frac{1}{2} \sum_i \sum_j w_{ij} y_i y_j = -\sum_i \sum_{j < i} w_{ij} y_i y_j$$





Energy in Hopfield Net

Example:

$$\vec{s} = \langle -1, -1, 1, 1 \rangle, \quad W = \begin{bmatrix} 0 & 1 & -1 & -1 \\ 1 & 0 & -1 & -1 \\ -1 & -1 & 0 & 1 \\ -1 & -1 & 1 & 0 \end{bmatrix}$$

$$\begin{aligned} E &= -w_{12} y_1 y_2 - w_{13} y_1 y_3 - w_{14} y_1 y_4 - w_{23} y_2 y_3 - w_{24} y_2 y_4 - w_{34} y_3 y_4 \\ &= -y_1 y_2 + y_1 y_3 + y_1 y_4 + y_2 y_3 + y_2 y_4 - y_3 y_4 \end{aligned}$$

$$\vec{y}(0) = \langle -1, -1, -1, 1 \rangle \Rightarrow E(0) = -1 + 1 - 1 + 1 - 1 + 1 = 0$$

$$\vec{y}(1) = \langle -1, -1, 1, 1 \rangle \Rightarrow E(1) = -1 - 1 - 1 - 1 - 1 - 1 = -6$$

$$\vec{y}(0) = \langle -1, -1, -1, -1 \rangle \Rightarrow E(0) = -1 + 1 + 1 + 1 + 1 - 1 = 2$$

$$\vec{y}(1) = \langle 0, 1, -1, -1 \rangle \Rightarrow E(1) = 0 + 0 + 0 - 1 - 1 - 1 = -3$$

$$\vec{y}(2) = \langle 1, 1, -1, -1 \rangle \Rightarrow E(2) = -1 - 1 - 1 - 1 - 1 - 1 = -6$$



Capacity of Hopfield Net

- Not guaranteed a Hopfield net with this weight matrix has vectors $\vec{s}(p) = \langle s_1(p), \dots, s_i(p), \dots, s_n(p) \rangle, (p = 1, \dots, P)$ as its stable states

$$W = \{w_{ij}\}, w_{ij} = \begin{cases} \sum_{p=1}^P s_i(p) s_j(p) & \text{if } i \neq j \\ 0 & \text{if } i = j \end{cases}$$

- Disturbance caused by other vectors is called crosstalk
- Closer vectors are, larger crosstalk is
- So, how many vectors can be stored in a Hopfield net before crosstalk gets overhand

Storage capacity of Hopfield net with n neurons:

- For binary patterns: $P \approx 0.15 n$
- For bipolar patterns: $P \approx \frac{n}{2 \ln n}$

$$n = 100 \Rightarrow \begin{cases} \text{binary: } P \approx 15 \\ \text{bipolar: } P \approx 11 \end{cases}$$