

Biometrics



Chapter 9

(Some images and slides are taken from Anil K. Jain)



Biometrics

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- ★ What is biometrics?
- ★ Why Biometrics?
- ★ Applications
- ★ Traits
- ★ Good Biometrics
 - Intra-class & Inter-class variability
- ★ Challenges
- ★ Conclusion



What is biometric?

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- ★ Morris(1875): Derived from the Greek words **Bios**:life and **Metron**:a measure
- ★ Pollack(1981):“What makes each person unique?”
Use of biometrics in the context of access control
- ★ Automated recognition of individuals based on their behavioral and biological characteristics
[ISO/IECJTC12382-37:2012]



Identity Issues

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- ★ We rely our authentication systems on credentials
(documents & secrets)
- ★ Fake documents?
 - Fake id card (student card, national id card, driver license, etc)
- ★ Identity Thefts



Fact

Back in 2006, a terrorist
may has up to 10 (fake)
passports

“An estimated 10,000 British passports were issued after fraudulent applications in the space of a year. Dhiren Barot, the most senior al-Qaida terrorist ever captured in Britain, had 7 passports in his true identity and 2 further passports in fraudulent identities.”

290,000 passports issued by UK
were lost/stolen in 2006

<http://press.homeoffice.gov.uk/press-releases/passport-warning?version=1>



Facts

Cards, Passwords, and PIN

The top 10 most common passwords were:

1. 123456
2. 123456789
3. qwerty
4. password
5. 111111
6. 12345678
7. abc123
8. 1234567
9. password1
10. 12345

— — —



Why biometrics?

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- ★ Discourages fraud
- ★ Detects multiple enrollments
- ★ Cannot be transferred
- ★ Cannot be forgotten/lost
- ★ Eliminate repudiation
- ★ Convenience ?



Applications

- ★ Forensics
- ★ Government
 - Fake Identity
 - Multiple enrollment
- ★ Commercial
 - Protect personal information
 - Eliminate Fraud





Biometrics in an early day

First Biometric System (1882)

Identify repeat offenders



H.T. F. Rhodes, Alphonse Bertillon: Father of Scientific Detection, Harrap, 1956

C. L. Brown

| | | | | | | | | | | |
|------------|----------|-------------|------|------------|------|-----------|--------------|--------------|---------|-----|
| Height | 171.6 | Head l'gth | 19.8 | L. Foot | 27.1 | Circ. Ach | Age | 29 | Born in | La. |
| Eng. H'ght | 5-10 3/4 | Head width | 16.3 | L. Mid. F. | 11.2 | Perich. Z | Apparent Age | | | |
| Out. A | 17.5 | Chest width | 14.4 | L. Lit. F. | 8.7 | Ch. Mel | Nativity | Shively, Ky. | | |
| Trunk | 44.9 | H. Ear | 6.8 | L. Fore A. | 46.6 | Pond | Occupation | Shoemaker | | |

Remarks Incident to Measurement

DESCRIPTIVE

| | | | | | | | |
|--------|----------|---------|------|------------|------|------------|---------|
| Teeth | Good | Bridge | None | Ear | None | Beard | Thinned |
| Height | 5-10 3/4 | Base | None | Bob | None | Hair | Black |
| Width | 17.5 | Length | 19.8 | Projection | None | Complexion | Dark |
| Pond | 44.9 | Breadth | 14.4 | Chin | None | Weight | 165 |
| | | | | | | Build | Medium |

BUREAU OF IDENTIFICATION
Department of Police,
Tulane Ave. and Saratoga St.
New Orleans, La.

Measured *July 1 1912*
By *Mo. G. Morris*

Taken from http://biometrics.cse.msu.edu/Presentations/AnilJain_Fed_Atlanta_2015.pdf



Biometrics in an early day

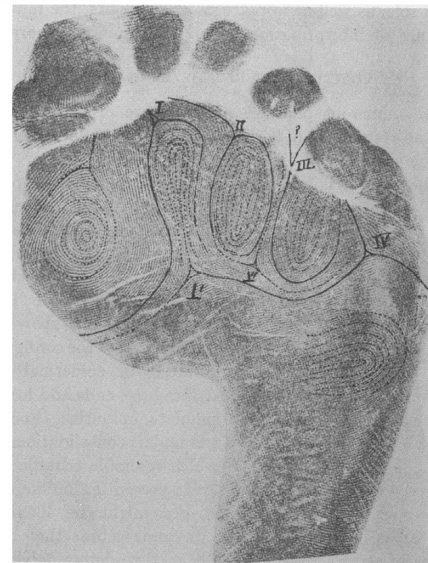
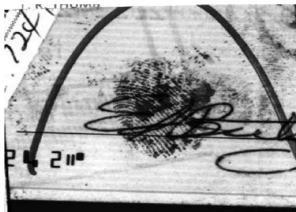
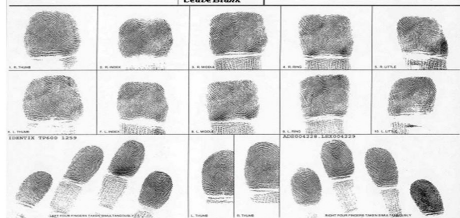
Friction Ridge Patterns

First Automatic Fingerprint identification system (AFIS): ~1980

Fingerprints in Law Enforcement

- **Repeat Offenders:** Compare rolled/slap tenprints
- **Crime Scene evidence:** Compare **latents** to tenprints

| | | | | |
|--------------------------------------|---------------|--|---------------|-------------|
| APPLICANT | Leave Blank | NAME (LAST FIRST MIDDLE INITIAL) (PRINT) | DATE | Leave Blank |
| Teacher, Theresa C. | | | | |
| RESIDENCE OF PERSON FOR FINGERPRINTS | Formerly: | NYC/IDEN | DATE OF BIRTH | Leave Blank |
| 318 School Street | Theresa Smith | NYC/IDEN | 10/18/79 | |
| Hamstead, NY 11222 | ALBANY, NY | | | |
| 5/04/02 | U.S. | F W 5'5" 155 G+ Bro | Ohio | |
| EDUCATION | Leave Blank | | | |
| (If applicable) | Leave Blank | | | |
| Smart Falls Central School Dist | Leave Blank | | | |
| Smart Falls, NY 13155 | Leave Blank | | | |
| Leave Blank | Leave Blank | | | |



Cummins and Midlo, Finger Prints, Palms and Soles, Dover, 1961

Taken from http://biometrics.cse.msu.edu/Presentations/AnilJain_Fed_Atlanta_2015.pdf



Modern Day

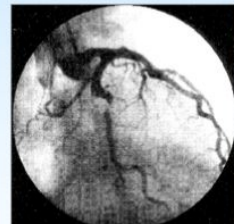
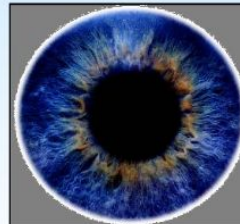
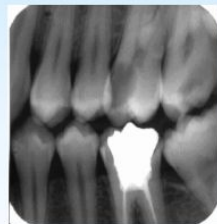
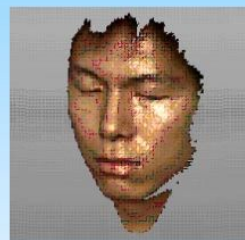
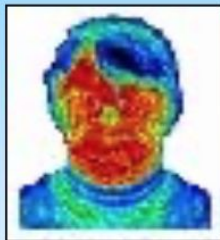
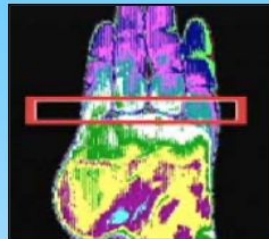


~ 70M visitors have been processed by US-VISIT;
1,100 criminals denied entry; watch list size ~4M



Traits

- ★ Should be:
- ★ unique
- ★ permanent

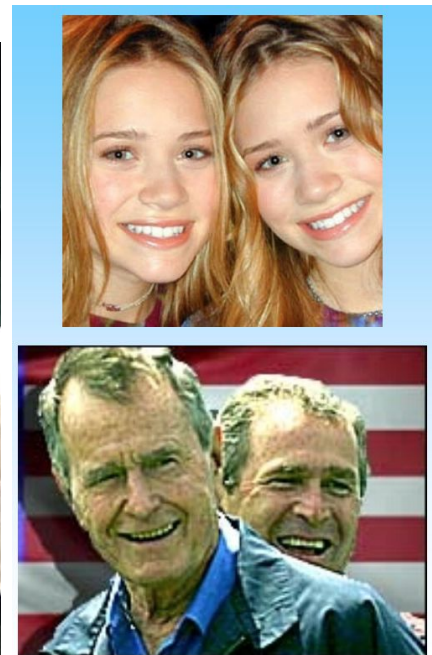


A biometric trait should satisfy: **universality, distinctiveness, permanence and collectability**



Good Biometrics

- ★ Large inter-class similarity
- ★ Twins have different fingerprints.

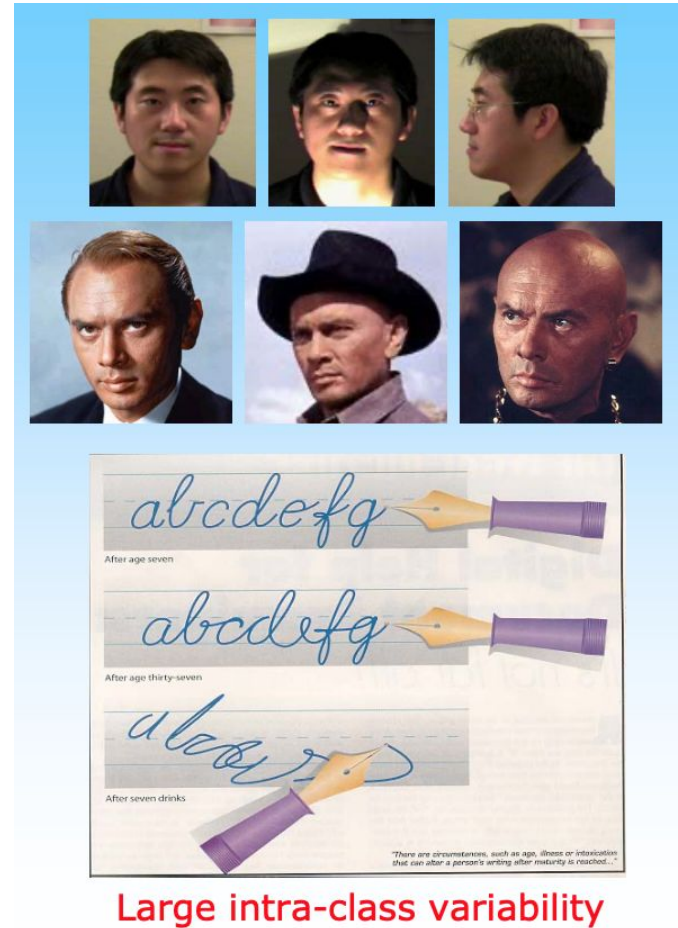


Large inter-class similarity



Good Biometrics (ctd.)

- ★ Small intra-class similarity



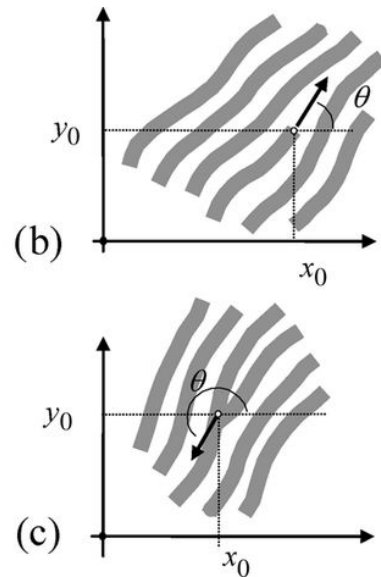


Quality of biometrics

- ★ Properties
 - Fingerprint minutiae
- ★ Image Quality/Alignment
- ★ Fake biometric

(a)

| | |
|--|-------------------|
| | Termination |
| | Bifurcation |
| | Lake |
| | Independent ridge |
| | Point or island |
| | Spur |
| | Crossover |



https://www.researchgate.net/profile/S_Pankanti/publication/3455239/figure/fig4/AS:669009137651715@1536515616365/Fingerprint-minutiae-a-The-common-fingerprint-minutiae-types-b-ridge-ending-x-y.ppm



Image Quality

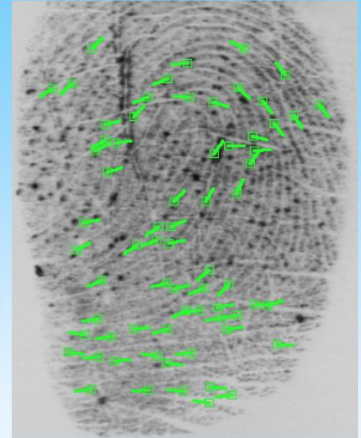
Image Quality



Quality Index = 0.96
False Minutiae = 0



Quality Index = 0.53
False Minutiae = 7



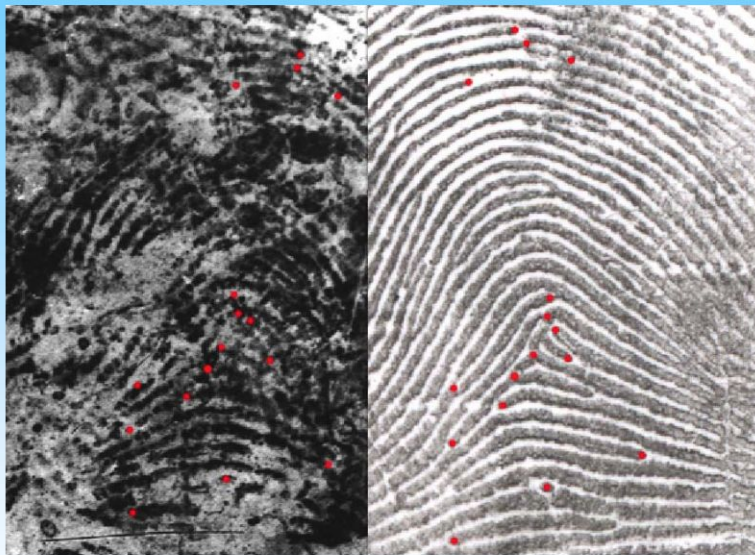
Quality Index = 0.04
False Minutiae = 27



Image Quality

— — —

False Match



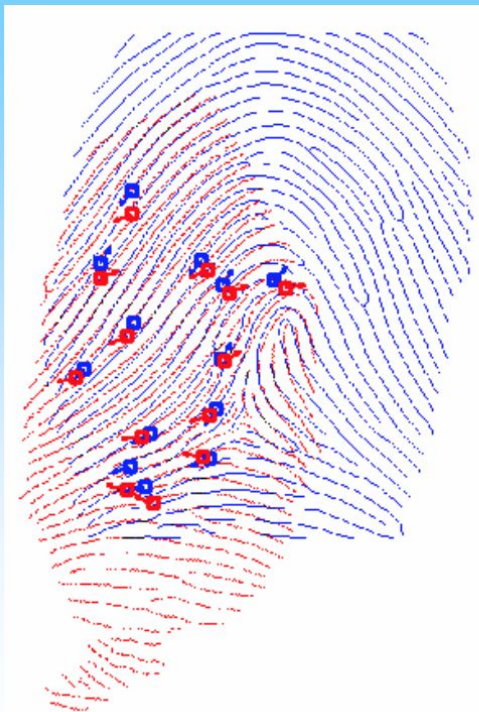
U.S. and Spanish authorities told reporters Mayfield's fingerprints matched those **found on a bag discovered near the bombing site**. Mayfield was later released after Spanish law enforcement officials said they matched fingerprints on the plastic bag to an Algerian man



Image Alignment

Human skin is an elastic surface.

Alignment



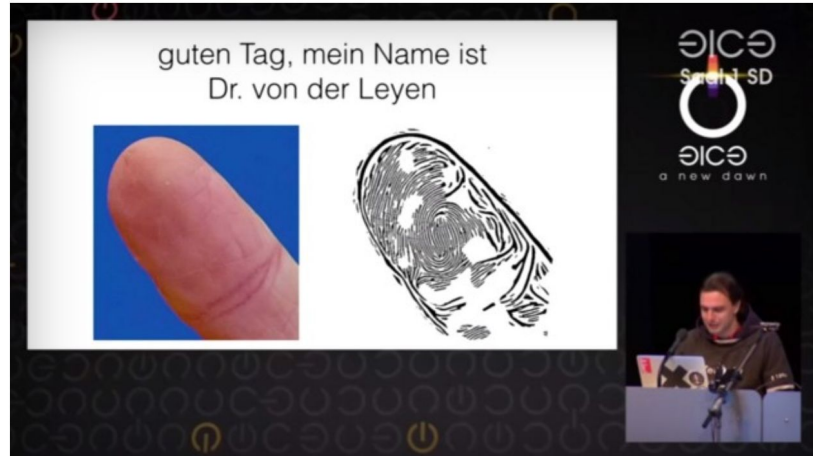
Non-linear surface distortion due to expression change



Fake biometrics

Hacker clones a politician's fingerprint using normal, long-distance public photos

By Sebastian Anthony on December 29, 2014 at 1:18 pm | 1 Comment



<https://www.extremetech.com/extreme/196503-hacker-clones-a-politicians-fingerprint-using-normal-long-distance-public-photos>

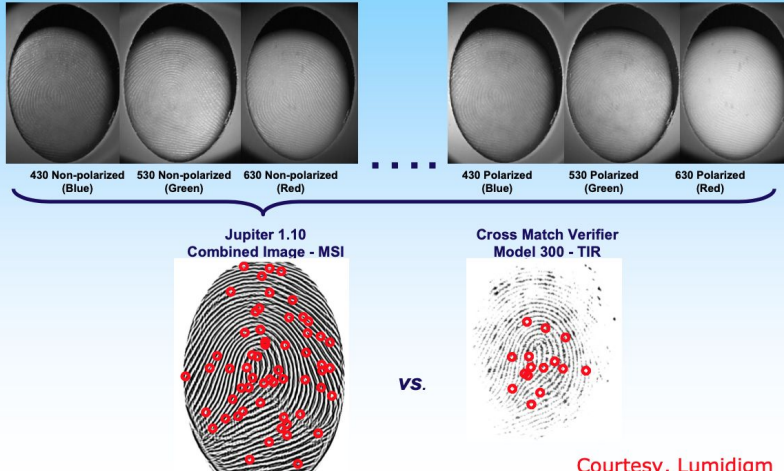


Against Fake biometrics

★ High Quality Sensor

Countering Spoof Attacks

Multiple wavelengths capture fingerprint features at different depths (**surface and subsurface**) of tissue

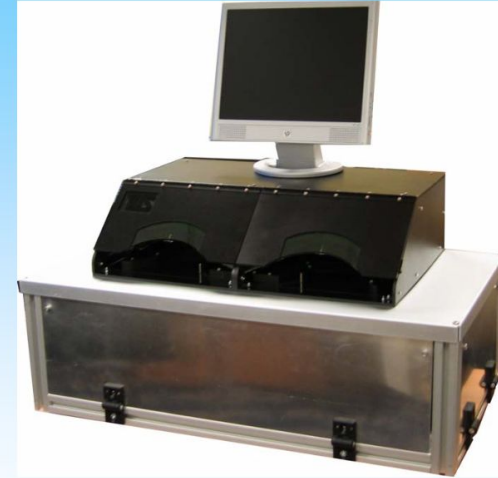


Courtesv. Lumidiam

Touchless Fingerprint Sensor



Surround Imager



Ten print capture device

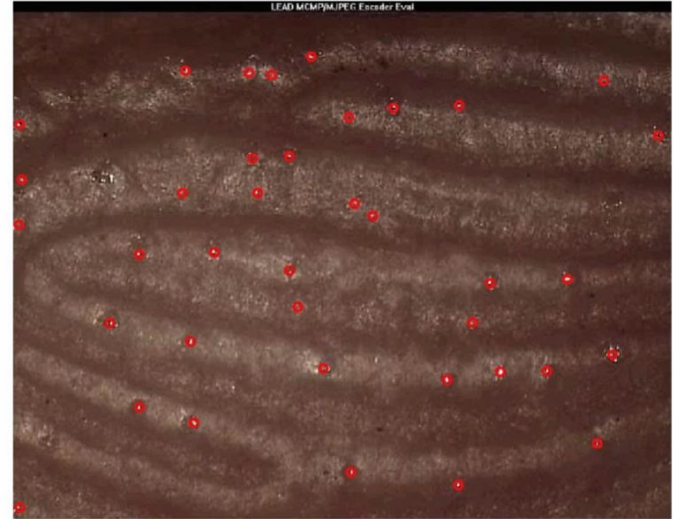
NIJ fast fingerprint capture technology initiative; US-VISIT will start capturing 10 fingers as opposed to current 2 fingers (**Courtesy TBS, NA**)



Against Fake biometrics

- ★ High resolution sensors can detect pores, dots.

High Resolution Sensors



Provide Level 3 features (pores, dots,..) in addition to commonly used minutiae



Template?

- ★ Template should be stored as minutiae.
- ★ An image can be used to construct Fake biometrics.

Template Protection

Myth: "A true biometric image cannot be created from master template.."

Template security is critical because it is not easy to revoke templates like passwords



A. Ross, J. Shah and A. K. Jain, "From Templates to Images: Reconstructing Fingerprints from Minutiae Points", *IEEE Trans. on PAMI*, Vol. 29, No. 4, pp. 544-560, April 2007



Facts

Fingerprint template in
national database
(of Thailand?).

- ★ Can you construct a fake biometric from these images?





If an image of your
fingerprint is stolen,
what should you do?

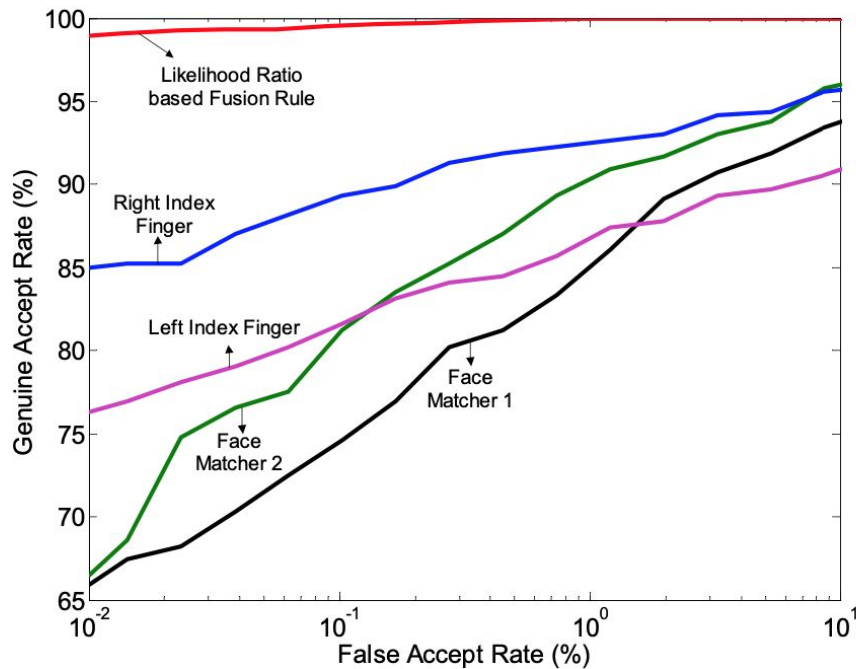


Error Rates

| | Test | Test Parameter | False Reject Rate | False Accept Rate |
|-------------|--------------|--|-------------------|-------------------|
| Fingerprint | FVC [2006] | Heterogeneous population incl. manual workers and elderly people | 2.2% | 2.2% |
| | FpVTE [2003] | US govt. operational data | 0.1% | 1% |
| Face | FRVT [2006] | Controlled illumination, high resolution | 0.8%-1.6% | 0.1% |
| Iris | ICE [2006] | Controlled illumination, broad quality range | 1.1%-1.4% | 0.1% |
| Voice | NIST [2004] | Text independent, multi-lingual | 5-10% | 2-5% |



Fusing Face and Fingerprints



K. Nandakumar, Y. Chen, S. Dass, A.K. Jain, IEEE Trans. PAMI, 2007 (to appear)

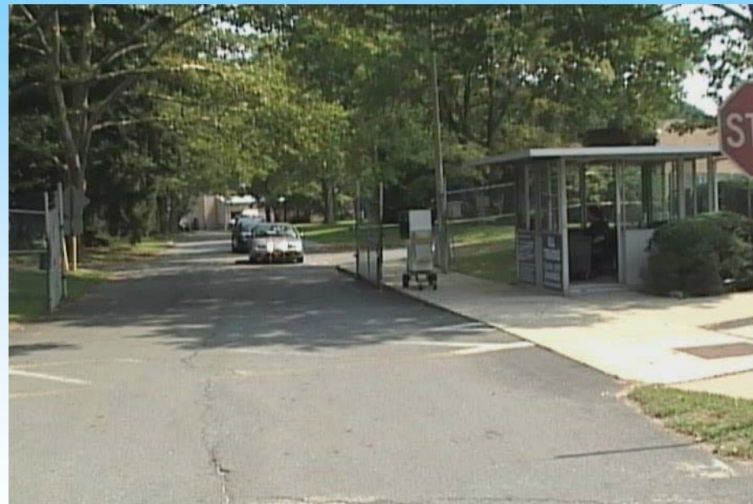


Challenges

- ★ New Traits
- ★ Sensors
- ★ Algorithms/Accuracy
- ★ Multibiometrics (fusion)?
- ★ Biometrics from distance (from space?)
- ★ System Security

Iris at a Distance

- Current systems require proximity to sensor

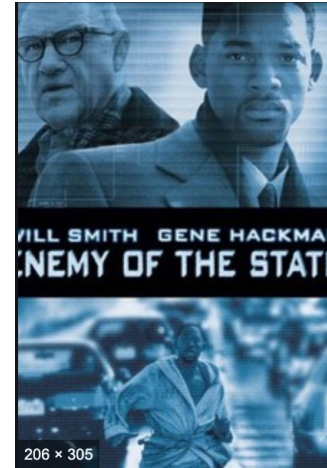
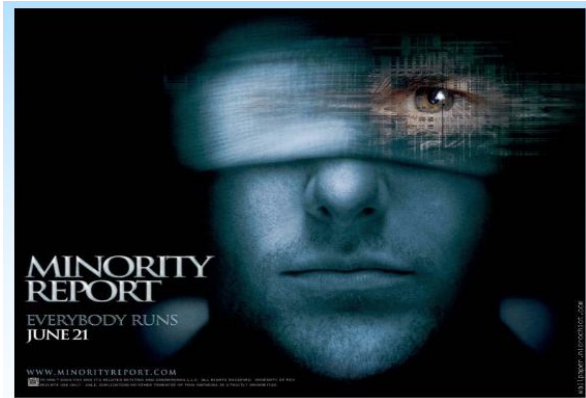


Courtesy: **Jim Matey, Sarnoff**



Privacy

- ★ Will biometric be used to track people?
- ★ Will biometric be used only for the intended purpose?
- ★ Will the databases be “linked”? (Function creep)





Conclusion

- ★ Reliable recognition is critical to several applications
- ★ No single biometric system is perfect
- ★ Trade off/Cost-benefit analysis
- ★ Will you use it for you system?



References

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- ★ Public presentations on biometrics by Anil K. Jain, Biometrics research group, Michigan State University (<http://biometrics.cse.msu.edu/index.html>)



End of Chapter 9