

## Towards standardized nuclear reactors: seismic isolation and the cost impact of the earthquake load case

Kaivalya M. Lal<sup>a\*</sup>, Sai Sharath Parsi<sup>a</sup>, Benjamin D. Kosbab<sup>b</sup>, Eric D. Ingersoll<sup>c</sup>, Hasan Charkas<sup>d</sup>, and Andrew S. Whittaker<sup>a</sup>

<sup>a</sup> University at Buffalo, The State University of New York, 212 Ketter Hall, Amherst, NY 14260, USA

<sup>b</sup> Simpson, Gumpertz, and Heger, Atlanta, GA, 30339, USA

<sup>c</sup> LucidCatalyst, Cambridge, MA, 02138, USA

<sup>d</sup> Electric Power Research Institute, Charlotte, NC, 28262, USA

\* Corresponding author: klal@buffalo.edu

### Abstract

Nuclear energy has a key role to play in global decarbonization. Impediments to the widespread deployment of reactors are their projected high capital cost and levelized cost of energy, and time required to analyze, design, license, construct, and commission. The earthquake load case is a key cost driver for a new build nuclear plant, because near-surface soils and seismic hazard are different at each site, requiring site-specific analysis, design, engineering, qualification, licensing, and regulatory review, essentially making every design First-of-a-Kind (FoK). To enable deployment at the scale needed for deep decarbonization, the cost and time impact of the seismic load case must be significantly mitigated, and plants must be standardized. Seismic base isolation has been proven to considerably reduce the earthquake response of structures and equipment but has yet to be applied to a nuclear power plant in the United States, in part because the financial impacts, positive or negative, are not known. Because there are no recent non-proprietary data to characterize the influence of the seismic load case on capital cost, it is difficult to confidently quantify the financial benefits of seismic isolation.

Scheme-level designs of two fundamentally different advanced reactor buildings were developed to assemble cost data on the influence of the seismic load case. Both buildings were equipped with three bespoke pieces of safety-related equipment and analyzed for incremented levels of earthquake shaking to quantify the seismic penalty on equipment, in terms of vessel weights and horizontal accelerations. Using analysis results, a questionnaire was developed and transmitted to nuclear utilities, reactor developers, engineers, and equipment suppliers to collect cost data on engineering and fabrication costs for these unique pieces of safety-class equipment. Synthesis of the cost data showed that the seismic load case significantly affects the capital cost (sum of engineering and fabrication cost) of safety-class equipment, with engineering costs being comparable to fabrication costs. Standardization of safety-class equipment is made possible by seismic isolation, that is, equipment designed for minimal seismic robustness can resist earthquake shaking at a site of much higher seismic hazard. The average reduction in the capital cost of the safety-related equipment, enabled by seismic isolation, is a factor of two for FoK equipment and a factor of five for standardized equipment.

## 1. Introduction

Nuclear power has a significant role to play in decarbonizing the global economy, mitigating climate change, and meeting future demands for energy (Buongiorno *et al.* (2018), ETI (2020), IAEA (2020a; 2020b; 2020c), IEA (2019), Ingersoll and Gogan (2020), Partanen *et al.* (2019)). At the time of this writing, nuclear power contributes about one quarter of global low carbon electricity (EMBER, 2021). The major impediments to the widespread deployment of new nuclear power plants are the projected high capital cost and levelized cost of energy, and the time required to site, design, review, license, construct, and commission them.

Figure 1 presents the total capital cost (in 2017 USD) of recently completed and ongoing nuclear projects in eight countries. The plants in the United States and western Europe, enclosed by the red dashed rectangle, are all First-of-a-Kind (FoaK) projects, being built after decades of construction inactivity, requiring substantial capacity building in supply chains and labor forces. In clear contrast, the majority of the plants constructed elsewhere, enclosed by the green dashed rectangle, have benefited from continuous build (and improvement) programs, delivering units at much lower cost and on schedule. Note that in the multi-unit data points on the right side of the bar chart, the costs of the initial units are the top end of the bars and the last units are the bottom, so they not only represent a range, but a progression from higher cost to lower cost. New build reactors must be delivered in the United States for a capital expenditure (CapEx) of between \$2,000 and \$3,000/kWe to be financially viable; see column 3 of Table 1 of Ingersoll *et al.* (2020). This price range represents a near five-fold reduction from the 2020 CapEx cost of the Plant Vogtle expansion in the United States of approximately \$13,000/kWe, calculated as a cost of \$27B per Table 1.1 of Georgia Power (2020) for 2.1 GWe of power.

Figure 2 deconstructs the capital cost of nuclear projects in the United States, Western Europe, and elsewhere in the world. Evidence suggests that aside from the higher direct costs of materials, equipment, and labor in the United States and Western Europe, the long build times of FoaK projects lead to substantially greater time-based indirect costs (e.g., engineering, supervision, project management, rental site infrastructure), greater interest payments, and higher interest rates due to project risk (ETI, 2020). In addition, FoaK builds using incomplete construction documentation at project start have led to significant delays, further adding to project cost (EPRI, 2019).

To make meaningful contributions towards deep decarbonization, nuclear reactors must be cost competitive with both renewables (e.g., wind and solar) and fossil sources (e.g., coal and natural gas). The cost overruns and time delays for FoaK plants in the United States (and Western Europe) have stymied the deployment of standardized plants, which have the potential to be deployed with substantially lower capital cost, and with a much shorter construction duration.

The seismic load case is a key contributor to the capital cost of a new build reactor. The near-surface geology and seismic hazard are different at each power plant site, requiring site-specific geotechnical investigations, probabilistic seismic hazard analyses, seismic soil-structure-interaction analysis, design, engineering, equipment qualification, licensing and regulatory review: all thwarting standardization. To enable deployment of standardized reactors, the cost impact of the seismic load case, and the standardization it prevents, must be minimized. However, before the seismic cost impact can be minimized, it must first be quantified and understood.

Seismic isolation is a proven, mature technology that offers a means to minimize the need for site-specific engineering and review by substantially reducing the horizontal seismic response of structures, systems, and components (SSCs) in a nuclear power plant. The traditional 2D implementation of seismic isolation involves installation of horizontally flexible and vertically stiff isolators (or bearings) at the base of a building (i.e., base isolation). Vertical isolation of buildings and equipment is also viable (IAEA, 2020c). An alternate implementation, to be considered where 2D or 3D base isolation is impractical, is to isolate SSCs and safety-class equipment at their support inside the facility. The conventional implementation would enable standardized plants (i.e., reactor building, its SSCs, and equipment as a package) and the alternate implementation would enable standardized SSCs and safety-class equipment.

Although the benefits of seismically isolating nuclear reactors, in terms of reduced seismic demands and risk, are well established (e.g., Tajirian *et al.* (1989), Tajirian (1992), Tajirian and Patel (1993), Clark *et al.* (1995), Aiken *et al.* (2002), Huang *et al.* (2008; 2009; 2011a; 2011b), Kumar *et al.* (2015; 2017a; 2017b), Bolisetti *et al.* (2016), Yu *et al.* (2018)), seismic isolation has yet to be applied to a nuclear power plant in the United States. Two nuclear power plants, in Cruas, France and Koeberg, South Africa were seismically isolated in the early 1980s (Whittaker *et al.*, 2018) to enable the re-use of a design developed for a site of lower seismic hazard.

A decade ago, two key impediments to the implementation of seismic isolation in nuclear power plants were: 1) a lack of technical guidance and standards for analysis and design of seismically isolated nuclear

power plants, and its associated regulatory and financial risks, and 2) a lack of data on the financial costs and benefits of seismic isolation. The first impediment has been addressed. Tools and guidelines for base isolation of nuclear facilities have been developed through research projects funded by the U.S. Department of Energy (DOE) and the U.S. Nuclear Regulatory Commission (NRC). Consensus standards, ASCE/SEI 4-16 (ASCE, 2017) and ASCE/SEI 43-19 (ASCE, 2021), now include chapters specific to seismic isolation. Three technical reports on the analysis and design of seismically isolated nuclear power plants have been published by the NRC: 1) NUREG/CR-7253, Technical considerations for seismic isolation of nuclear facilities (Kammerer *et al.*, 2019), 2) NUREG/CR-7254, Seismic isolation of nuclear power plants using sliding bearings (Kumar *et al.*, 2019a), and 3) NUREG/CR-7255, Seismic isolation of nuclear power plants using elastomeric bearings (Kumar *et al.*, 2019b). Journal articles, conference papers, and technical reports support and complement the standards, with many identified in Whittaker *et al.* (2018). Studies on the seismic isolation of safety-class equipment in nuclear power plants are ongoing at the time of this writing and results will be included in future editions of ASCE 4 and ASCE 43.

This study addresses the second impediment, that is, identifying the financial benefits of seismically isolating nuclear power plants. Unlike other industries, including oil, gas, and petrochemical, there are no recent, non-proprietary data available to engineers that characterize the influence of the seismic load case on the total capital cost of either Generation III or Generation IV (advanced) reactors. Stevenson (1981; 2003) published the last generic dataset, using baseline construction costs from the 1970s, and prior to the Three Mile Island (TMI) accident, which triggered a more stringent regulatory environment. See Figure 3, which presents data digitized from Stevenson (1981; 2003). He estimated the increase in capital cost for a Generation II large light water reactor (1100 to 1300 MWe) as a function of earthquake shaking intensity, from 0.1 g to 0.6 g peak ground acceleration (PGA). Stevenson binned capital cost into seven categories, and then calculated the cost in each category for a) a baseline design (i.e., no seismic load case), b) design for 0.2 g PGA shaking, and c) design for 0.6 g PGA shaking. This analysis provided three data points in each category. Equations were prepared to compute the percent of capital cost associated with the seismic load case as a function of PGA between 0.1 g and 0.6 g. In 1981, the percentage of the total cost attributed to the seismic load case was about 3% at 0.2 g PGA and about 9% at 0.6 g. Stevenson updated these data points in 2003 to be 9% at 0.2 g PGA and 17% at 0.6 g, and assigned the increases to changes in unit cost and escalation of material and labor costs. However, Stevenson did not identify the cost penalty of being unable to reuse a reactor design and repeat procurement from a qualified supply chain, which would substantially boost the seismic penalty. Stevenson's percentage increases in capital cost due to the earthquake load case are substantially smaller than recent anecdotal estimates that are based on analysis of post-TMI construction.

In 2018, nuclear utilities, reactor developers, engineers, and equipment suppliers were polled by the authors for cost data. None polled could provide information that could clearly identify the cost impact of the seismic load case. Two reasons were given: 1) reactors of one type have not been built in recent years at multiple sites with varying seismic hazard, and 2) reactor designs have traditionally targeted a minimum level of earthquake shaking for design (e.g., PGA = 0.3 g) and a baseline configuration, which set aside the seismic load case, did not exist.

To assemble a cost dataset, scheme-level designs were prepared for two fundamentally different *advanced* reactors. Both reactor buildings were equipped with bespoke pieces of safety-class equipment. The

buildings were then analyzed for incremented levels of earthquake shaking to assess the impact of the seismic load case on the safety-class equipment, measured in terms of both vessel weight and horizontal accelerations, which influence equipment internal (e.g., fuel packages) and external (e.g., control rod drives) to the vessel. Analysis results were then used to support a questionnaire that was transmitted to nuclear utilities, reactor developers, consulting engineers, and equipment suppliers by the authors to quantify the impact of the seismic load case on the capital cost of bespoke safety-class equipment. Results are presented later in this paper.

## 2. Characterizing the impact of the seismic load case

Advanced reactor buildings were designed to accommodate a molten salt reactor (MSR) and a high temperature gas reactor (HTGR), with nominal power outputs of approximately 1000 MWe and 80 MWe, respectively. Both reactor buildings were populated with three bespoke pieces of safety-class equipment: a reactor vessel, a steam generator vessel, and a control rod drive mechanism (CRDM) housing mounted at the head of the reactor vessel. Numerical models of the reactor buildings, including the safety-class equipment were developed in SAP2000 (CSI, 2019). Lal *et al.* (2020) present the associated numerical modeling and response-history analyses, which are summarized next.

### 2.1. Reactor buildings and their safety-class equipment

The *generic* MSR was housed in a two compartment, reinforced concrete building with overall plan dimensions of 90 m (295.3 ft) by 60 m (196.9 ft). The height from the basemat to the top of the roof was 32 m (105 ft). The building frame consisted of perimeter walls and a center wall separating the two compartments. The first compartment had two stories and housed the reactor vessel; the second, one-story compartment housed four steam generator vessels. The reactor vessel (RV1) was supported at its head at the level of the suspended floor in the first compartment. The steam generator vessels (SG1) were supported horizontally and vertically at their base and laterally at their head. The CRDM housing (CRDM1) was welded to the reactor head.

Figure 4 presents an isometric view, and plan and cross section of the building model.

The *generic* HTGR was housed in a multi-story reinforced building with two citadels, one housing the reactor vessel and the other a steam generator vessel. The plan dimensions of the building were 23 m (75.5 ft) by 20 m (65.6 ft) and the height from the basemat to the top of the roof was 34 m (111.5 ft). The reactor vessel (RV2) was supported at its base, approximately 13 m (42.7 ft) above the basemat. The steam generator vessel (SG2) was supported approximately 5 m (16.4 ft) below its head, at the same level the reactor vessel was supported. The CRDM housing (CRDM2) was attached to the reactor head.

Figure 5 presents the isometric view and cross section of the HTGR building model.

*Generic* designs of the reactor buildings and their safety-class equipment were developed in consultation with the subject matter experts at TerraPower (MSR) and X-energy (HTGR). Table 1 lists the dimensions of, materials used for, and the assumed internal pressures of the reactor and steam generator vessels in the two reactor buildings. Parsi *et al.* (2021) provides information on the sizing of the equipment for operational and seismic loadings.

Table 1. Design details of safety-class equipment in reactor buildings

	Reactor vessel		Steam generator		CRDM housing	
	MSR	HTGR	MSR	HTGR	MSR	HTGR
Diameter	5 m (16.4 ft)	4.5 m (14.8 ft)	3 m (9.8 ft)	3.5 m (11.5 ft)	0.25 m (0.8 ft)	0.25 m (0.8 ft)
Height	6 m (19.7 ft)	14 m (45.9 ft)	14 m (45.9 ft)	15.5 m (50.9 ft)	2.5 m (8.2 ft)	2.5 m (8.2 ft)
Material	316 stainless steel	SA 508 low alloy steel	316 stainless steel	SA 516 low alloy steel	316 stainless steel	SA 508 low alloy steel
Internal pressure	0.5 MPa (5 bars)	7 MPa (70 bars)	1 MPa (10 bars)	7 MPa (70 bars)	-	-

## 2.2. Isolation systems

Single concave Friction Pendulum (FP) bearings were utilized to base isolate the reactor buildings. The single concave FP bearing consists of an articulated slider coated with PTFE-type composite, a sliding surface of polished stainless steel, and a housing plate.

Figure 6 shows a cross section and internal construction of a single concave FP bearing. More information on single concave FP bearings is presented in Constantinou *et al.* (2007) and Kumar *et al.* (2019a). The isolators had a sliding period of 3 seconds and the coefficient of sliding friction was assumed to be 0.06—a typical design value. One isolation system was developed for each building and neither was optimized for the analysis herein. The vertical stiffness of the bearings was set to a high value. The MSR (HTGR) building was isolated using 70 (20) bearings.

### 2.3. Reactor building site and response-history analyses

The reactor buildings were assumed to be sited at the Idaho National Laboratory (INL). The framing systems for the buildings were sized per Parsi *et al.* (2021) for gravity loadings and for earthquake shaking effects consistent with the RG 1.60 spectrum anchored to a peak ground acceleration (PGA) of 0.3 g.

Yu *et al.* (2018) established a design-basis earthquake (DBE) spectrum at INL for a return period of 10,000 years, with a geometric mean horizontal PGA of 0.3 g. To characterize the impact of the seismic load case on the weights and accelerations of the safety-class equipment, ground shaking effects were characterized by a) the shape of the INL spectrum, and b) PGAs with amplitudes of 0.1 g, 0.2 g, 0.3 g and 0.5 g. The thirty sets of two-horizontal-component ground motions generated by Yu *et al.* (2018) were used for response-history analyses of the reactor buildings at each intensity by amplitude scaling the motions. The ground motions were input at the base of the model. Soil-structure-interaction was neglected. Two variants of the reactor buildings were analyzed: fixed-base (conventional) and base-isolated. The effects of vertical earthquake shaking on the responses of the fixed-based and isolated buildings were not calculated but are expected to be similar for a given return period of earthquake shaking. (Horizontal shaking has a much greater effect on the stresses in the walls of the reactor and steam generator vessels, and lateral accelerations of the vessel internals and equipment, than vertical shaking.) The products of the response-history analyses, for each intensity of earthquake shaking, were 1) stresses in the walls of the vessels, 2) peak horizontal accelerations at point of attachment of equipment, and 3) peak horizontal accelerations in the vessels at a representative location (to represent accelerations of the internals), and 4) peak horizontal accelerations at the top of the CRDM housing.

To calculate vessel weight, minimum wall thickness was determined using the allowable design stress intensity per the ASME Boiler and Pressure Vessel Code (ASME, 2017). The earthquake-induced shear stresses in the vessel walls, calculated per ASCE/SEI Standard 4-16, were combined with those from operational loadings per the ASME code to determine the total stress intensity, which was then compared to the allowable value. The wall thickness was increased in increments of 1 mm, to be code compliant, when earthquake shaking produced combined stresses in excess of the allowable value. Parsi *et al.* (2021) presents additional information on sizing of the vessels. The framing systems for the buildings were not adjusted for PGA different from 0.3 g.

### 2.4. Results of response-history analyses

The design, detailing, and fabrication of safety-class equipment will be affected by the seismic load case. For the reactor and steam generator vessels, an increase in earthquake intensity will require 1) an increase in the thickness of the vessel beyond a threshold intensity of shaking, 2) an increase in anchorage and lateral bracing of the vessel, and 3) lateral bracing and strengthening of the internal equipment, and likely

adjustments to the internal dimensions of the vessel, and may require a change in construction method, for example, rolled plate to forged plate.

Table 2 characterizes the weight of reactor and steam generator vessels as a function of increasing earthquake shaking. The weight of the vessels designed for operational loadings only was set equal to 100 to normalize the results, and reported to the nearest 10. Table 3 presents the peak horizontal acceleration at the points of attachment of equipment. Table 4 presents the peak horizontal accelerations at a representative location in the vessels, and at the top of the CRDM housings. Data are provided in each table for the fixed-base and base-isolated reactor buildings.

The *seismic penalty* in the fixed-base reactor buildings is significantly higher than in the base-isolated buildings. In terms of increased vessel weights, the greatest penalty is for SG1 (100 units to 390 units for an increase in PGA from 0.0 g to 0.5 g) and the least for SG2 (100 units to 130 units for an increase in PGA from 0.0 g to 0.5 g). In contrast, only a 40% (SG1) and 10% (SG2) increase in vessel weight is required to resist earthquake shaking of up to 0.5 g PGA in the isolated buildings. From the accelerations reported in Table 4 for the fixed-base buildings, it is evident that the design and fabrication of vessel internals and CRDM housings will pose unique challenges and increase their cost. The corresponding peak horizontal accelerations in the isolated buildings are significantly smaller than those in the fixed-base buildings, with reductions by a factor of between 6 and 18 for a PGA of 0.3 g.

The reduced *seismic penalty* in the base-isolated buildings will enable use of standardized equipment across multiple sites. Consider the data in the open green rectangle in Table 2. For conventional (fixed-base) construction, 20% (RV1), 40% (SG1), 10% (RV2), and 10% (SG2) increases in vessel weight over those required for operational loadings are required for the 0.1 g PGA site. However, these percentage increases in vessel thickness would provide sufficient capacity to resist earthquake shaking with PGA = 0.5 g when the building is isolated. For these examples, seismic isolation would enable deployment of standardized equipment across many sites, leading to significant reductions in capital cost, as discussed next.

### 3. Cost study

#### 3.1. Questionnaire

Thirteen questions were developed to quantify the impact of the seismic load case on the capital cost of safety-class equipment. The questionnaire sought to quantify costs of analysis, design, seismic qualification, regulatory review, and fabrication of FoaK and standardized vessels. The *fixed-base* data of Tables 2, 3, and 4 were attached to the questionnaire. The *isolated* data in the tables were not included in the questionnaire because results could be interpolated from the fixed-base data. Thirty-two nuclear utilities, reactor developers, engineers, and equipment suppliers were provided with a table to enter the cost information, where all costs were normalized by question #4 (=100) to address differences in power rating. Eleven responses were received (see Acknowledgments). The questions were:

Table 2. Normalized weight of reactor vessels and steam generators

PGA (g)	RV1		SG1		RV2		SG2	
	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated
0.0	100	100	100	100	100	100	100	100

0.1	120	120	140	140	110	110	110	110
0.2	140	120	210	140	130	110	110	110
0.3	170	120	270	140	160	110	110	110
0.5	230	120	390	140	210	110	130	110

Table 3. Peak horizontal acceleration at points of attachment of equipment

	Peak horizontal ground acceleration							
	0.1 g		0.2 g		0.3 g		0.5 g	
	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated
RV1	0.16	0.10	0.32	0.12	0.48	0.15	0.80	0.18
CRDM1	0.16	0.10	0.32	0.12	0.48	0.15	0.80	0.18
SG1	0.16	0.10	0.32	0.12	0.48	0.15	0.80	0.18
RV2	0.23	0.09	0.47	0.11	0.67	0.13	1.17	0.15
CRDM2	3.19	0.33	6.38	0.44	9.43	0.51	16.0	0.59
SG2	0.23	0.09	0.47	0.11	0.67	0.13	1.17	0.15

Table 4. Peak horizontal acceleration at representative locations in reactor vessels and steam generators and at the top of the CRDM housings

	Peak horizontal ground acceleration							
	0.1 g		0.2 g		0.3 g		0.5 g	
	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated	Fixed-base	Isolated
RV1	0.54	0.20	1.08	0.27	1.62	0.30	2.70	0.37
CRDM1	0.69	0.18	1.39	0.22	2.08	0.24	3.47	0.27
SG1	0.53	0.18	1.05	0.23	1.58	0.26	2.63	0.30
RV2	3.19	0.33	6.38	0.44	9.43	0.51	16.0	0.59
CRDM2	5.82	0.59	11.6	0.85	16.8	0.97	29.1	1.13
SG2	1.33	0.22	2.67	0.29	3.44	0.35	6.67	0.36

1. Cost to analyze/design (i.e., engineering) for operational loadings (horizontal peak ground acceleration = 0.0 g) and calculate its seismic capacity. The costs associated with conceptual design and nuclear physics should not be included.
2. Cost to seismically qualify the first unit: by analysis for RV1, SG1, RV2 and SG2, and by testing for CRDM1 and CRDM2.
3. Cost to prepare for, and of regulatory review.
4. Cost to fabricate the first unit, including materials, tooling, etc.

5. Cost to fabricate the tenth unit identical to the first unit.
6. Cost to increase seismic capacity of the first unit for  $\text{PGA} = 0.1 \text{ g}$ . Include all costs of analysis, design, seismic qualification, and regulatory review (equal to or greater than the sum of costs 1, 2 and 3).
7. Cost to fabricate the first unit with seismic capacity associated with  $\text{PGA} = 0.1 \text{ g}$ . Use the weights and/or accelerations in the tables above to estimate the increased fabrication cost.
8. Cost to increase seismic capacity of the first unit for  $\text{PGA} = 0.2 \text{ g}$ . Include all costs of analysis, design, seismic qualification, and regulatory review.
9. Cost to fabricate the first unit with seismic capacity associated with  $\text{PGA} = 0.2 \text{ g}$ . Use the weights and/or accelerations in the tables above to estimate the increased fabrication cost.
10. Cost to increase seismic capacity of the first unit for  $\text{PGA} = 0.3 \text{ g}$ . Include all costs of analysis, design, seismic qualification, and regulatory review.
11. Cost to fabricate the first unit with seismic capacity associated with  $\text{PGA} = 0.3 \text{ g}$ . Use the weights and/or accelerations in the tables above to estimate the increased fabrication cost.
12. Cost to increase seismic capacity of the first unit for  $\text{PGA} = 0.5 \text{ g}$ . Include all costs of analysis, design, seismic qualification, and regulatory review.
13. Cost to fabricate the first unit with seismic capacity associated with  $\text{PGA} = 0.5 \text{ g}$ . Use the weights and/or accelerations in the tables above to estimate the increased fabrication cost.

The questionnaire did not address the indirect and financing costs associated with the time required to complete the pre-fabrication tasks and the lengthened construction schedule, both of which can be a significant fraction of capital cost per the left bar in Figure 2.

### 3.2. Cost data

Table 5 presents the averaged values for each piece of equipment and each question, rounded up or down to the nearest multiple of five. Using the data from Table 5, the capital cost of the safety-class equipment can be calculated as a function of increasing earthquake intensity. The capital cost can be separated into engineering cost (i.e., analysis, design, qualification, and regulatory review) and fabrication cost. The engineering costs associated with the first delivery of a piece of equipment, designed for operational loadings only, is the sum of costs 1, 2 and 3 in Table 5.

Figure 7 presents the breakdown of capital cost into engineering and fabrication costs, as a function of increasing shaking intensity.

The cost data presented here were developed for *generic* designs of two advanced reactors sited at the INL site. Assumptions were made for the analysis and design of the two reactors buildings and their equipment. Alternate assumptions and choices will lead to different outcomes in terms of vessel weights, accelerations of internals and equipment, and engineering and fabrication costs but the trends are both important and not

quantified elsewhere. As such, the data are considered to be indicative of, but not specific to, current or proposed designs of advanced nuclear reactors.

### 3.3. Cost penalty for fixed-base reactor buildings

The impact of the seismic load case for the fixed-base buildings can be characterized by comparing the FoaK capital cost of the equipment designed for operational loadings and incremented earthquake loadings. See Figure 7. For each piece of equipment and  $\text{PGA} = 0 \text{ g}$ , the fabrication cost (cost #4) is normalized to 100. As can be observed from the figure, the seismic penalty for the four vessels, in terms of capital cost, is significant, ranging from about 60% (115%) to 90% (160%) for a PGA of 0.3 g (0.5 g). Given the significant cost of these pieces of bespoke safety-class equipment, minimizing (or eliminating) the impact of the seismic load case should be a construction goal.

An important observation from

Figure 7 is that the engineering cost associated with the delivery of safety-class equipment is a significant fraction of the capital cost of a FoaK unit: ranging between 80% and 120% of FoaK fabrication cost for units designed for operational loadings only, and between 60% and 140% of FoaK fabrication cost for units designed for earthquake shaking. Eliminating engineering cost, which is possible with a standardized design, will substantially reduce total capital cost.

Table 5. Averaged cost data

Cost # <sup>1</sup>	Piece of equipment					
	RV1	CRDM1	SG1	RV2	CRDM2	SG2
1	30	45	35	40	45	35
2	25	50	25	30	45	25
3	30	25	25	25	20	20
4	100	100	100	100	100	100
5	70	60	75	65	60	70
6	115	145	115	115	150	100
7	115	110	120	120	145	110
8	125	155	135	130	165	115
9	130	125	155	145	205	130
10	140	180	150	155	195	135
11	165	150	200	200	280	150

12	160	235	180	220	375	165
13	235	225	300	285	445	230

1. For description of costs, see questionnaire in section 3.1

### 3.4. Seismic isolation and a pathway to standardized nuclear reactors

Nuclear power plants are designed for a number of natural hazards, including earthquake shaking, flooding, and extreme winds (and the associated missile impact). A standardized design of a reactor building and its safety-class equipment would have to address all of these natural hazards. Below, the focus is on pieces of unique equipment inside the reactor building and the seismic load case. By reducing earthquake demands, seismic isolation enables simpler building construction and equipment supports, reducing the impact of regulatory oversight and construction inspections, noting that the thickness of the building envelope might be dictated by considerations other than earthquake shaking. Neither the reductions in superstructure building cost nor the expense of additional substructure construction (e.g., foundation, pedestals, isolators), associated with the implementation of seismic isolation, are quantified herein.

The averaged values of fabrication costs 7 and 13 in Table 5 can be used to quantify the (positive) benefit of seismic isolation on FoaK fabrication cost for the four vessels at a PGA = 0.5 g site: RV1: 235 (fixed base) versus 115 (isolated); SG1: 300 (fixed base) versus 120 (isolated); RV2: 285 (fixed base) versus 120 (isolated); SG2: 230 (fixed base) versus 110 (isolated). For these pieces of equipment, the *reduction* in FoaK fabrication cost is between 50% and 60% if seismic isolation is employed.

The corresponding FoaK total capital costs for the four vessels can be compared using costs 6 and 7, and 12 and 13 (or the open red squares in Figure 7), which are – RV1: 395 (fixed base) versus 230 (isolated); SG1: 480 (fixed base) versus 235 (isolated); RV2: 505 (fixed base) versus 235 (isolated); and SG2: 395 (fixed base) versus 210 (isolated). The *reduction* in FoaK total capital cost is between 40% and 50% when seismic isolation is utilized.

As noted previously, a benefit of seismic isolation is that once vessel thickness and/or support fixtures are strengthened (if needed) to provide minimal seismic robustness, no further increase is needed for installation at a site of much higher seismic hazard, enabling standardization of equipment. The seismic isolation system would have to be optimized for the site of higher seismic hazard to limit the seismic demands in structures, systems, and components, including safety-class equipment, to pre-qualified values (e.g., those associated with PGA of 0.1 g in Tables 2, 3, and 4 for the equipment considered herein). Importantly, a standardized design would have to account for increased vertical shaking beyond the baseline unless a 3D isolation system (not considered here) was deployed. If seismic isolation is so implemented, no site-specific analysis, design, qualification, and regulatory review should be required for the isolated, standardized superstructure, systems, and components. Site-specific seismic studies and review would only be needed for the isolation system, the substructure, and safety-related components crossing the isolation interface.

The cost reductions enabled by seismic isolation and standardized equipment can be characterized by comparing the capital cost of FoaK conventional construction and standardized (or *N*th-of-a-Kind, NoaK) isolated construction. For a PGA = 0.5 g (0.3 g) site, the capital cost of FoaK conventional construction will be the sum of costs 12 and 13 (costs 10 and 11) in Table 5. The capital cost of standardized (NoaK)

equipment in a base-isolated reactor building will be a fraction of cost 7, noting that the engineering cost for such equipment should be close to zero. For the averaged cost data of Table 5, the standardized fabrication cost (cost 5) ranges between 60% and 75% of the FoaK fabrication cost (cost 4). The relative capital costs of the vessels and CRDM housings are presented in Table 6 below, assuming standardized fabrication cost to be 70% of FoaK fabrication cost. On average, the capital cost of vessels and CRDM housings is reduced by a factor of six (four) for PGA = 0.5 g (= 0.3 g) site. The open headed arrows in

Figure identify these reductions.

Table 6. Capital cost of equipment at PGA = 0.3 g and = 0.5 g sites

	FoaK conventional <sup>1</sup>		Standardized
	0.3 g	0.5 g	(NoaK) isolated <sup>2</sup>
RV1	305	395	81
CRDM1	330	460	77
SG1	350	480	84
RV2	355	505	84
CRDM2	475	820	102
SG2	285	395	77

1. Sum of costs 10 and 11 (12 and 13) for 0.3 g (0.5 g) in Table 5

2. 70% of cost 7 in Table 5

Utilizing seismic isolation in the construction of advanced reactors should lead to substantial savings in cost and time to build. Deployment of standardized structures, systems, and components could be achieved, eliminating much of the engineering effort and enabling a streamlined regulatory review and licensing process. By creating order books for many pieces of identical equipment, fabricators of equipment in the nuclear supply chain could then leverage advanced manufacturing technologies, further driving down costs.

#### 4. Summary and conclusions

One significant impediment to the construction of new nuclear power plants in the United States is the perceived high capital cost, based in significant part on the cost over-runs and time delays at projects either underway or recently cancelled in the southern United States. The seismic load case is a key contributor to the capital cost of a nuclear power plant and has thwarted the deployment of standardized (or NoaK) plants. The near-surface geology and the seismic hazard are different at each site, requiring seismic soil-structure-interaction analysis, design, engineering, equipment qualification, licensing, and regulatory review, and effectively rendering reactor construction to be FoaK.

The impact of the seismic load case must be significantly reduced to enable standardized designs of reactors. A significant reduction in capital cost, perhaps by a factor of four or more, will be needed for commercial

customers to consider the construction of nuclear reactors. Reductions in capital cost can only be realized if there is knowledge of how costs accrue for new build nuclear plants, but unlike other industries, no relevant, current, non-proprietary data is available at this time, at least in the United States. The last authoritative study on the impact of the seismic load case on the capital cost of nuclear power plants was prepared by Stevenson (1981, 2003) but based on data associated with the construction of Generation II plants in the late 1970s, in a pre-TMI regulatory environment.

To quantify the impact of the seismic load case, two *generic* advanced reactor buildings were designed and each was populated with three pieces of bespoke equipment: a reactor vessel, a steam generator, and a control rod drive mechanism housing. Two variants of each reactor building were developed: fixed base (conventional) and isolated. One isolation system was considered for each reactor building and neither system was optimized. The buildings were sited within the boundary of the Idaho National Laboratory and seismic response-history analysis was performed to generate datasets on vessel wall thicknesses and lateral accelerations, as a function of incremented levels of earthquake shaking.

Analysis results were used to support a cost-related questionnaire that was transmitted to 32 nuclear utilities, reactor developers, engineers, and equipment suppliers in the United States and abroad. Eleven responses were received. The questions sought to quantify the costs of analysis, design, seismic qualification, regulatory review, and fabrication of FoaK and standardized equipment as a function of incremented levels of earthquake shaking. Cost data was grouped into engineering (i.e., analysis, design, qualification, and regulatory review) and fabrication costs to characterize a) the impact of the seismic load case on the total capital cost (sum of engineering and fabrication costs) of safety-related equipment, b) reductions in capital cost made possible by seismic isolation, and c) savings associated with standardized construction. Indirect costs were not captured. The key findings of the study are:

- 1) The seismic load case significantly impacts the capital cost of safety-class equipment.
- 2) A seismic penalty will be paid for FoaK equipment, with respect to the capital cost of equipment designed for operational loadings only, regardless of whether seismic isolation is employed. The cost penalty will be much smaller if seismic isolation is employed. Importantly, the penalty for seismic isolation is small but constant across sites associated with a wide range of seismic hazard.
- 3) Engineering costs represent a significant fraction of the total capital cost of FoaK safety-class equipment.
- 4) A 40% to 50% reduction in FoaK total capital cost of equipment is enabled by seismic isolation.
- 5) Standardization of equipment across multiple sites is made possible by seismic isolation.
- 6) The possible reduction in the capital cost of standardized equipment, enabled by seismic isolation, from the benchmark cost associated with conventional construction, could be by a factor of five or greater.

The study demonstrated the opportunity for equipment standardization and cost reduction enabled by seismic isolation. One spectral shape but incremented levels of ground shaking were considered to demonstrate the scalability of the isolation solution to multiple sites. A reactor-specific study would likely also include a number of spectral shapes to increase the number of possible plant sites.

## Acknowledgements

The information, data, and work presented herein was funded in part by the Electric Power Research Institute under Agreement MA 10008959, in part by the U.S. Department of Energy, under CRADA

17TCF10, and in part by the Advanced Research Projects Agency-Energy (ARPA-E) under Award Number DE-AR0000978. The views and opinions of the authors expressed herein do not necessarily state or reflect those of United States Government or any agency thereof.

The authors thank the following organizations for providing data utilized in this study: China General Nuclear Power Corporation; Framatome; Kairos Power; Korea Electric Power Corporation; Kinetrics; MPR Associates; SC Solutions; Shanghai Nuclear Engineering Research and Design Institute; Southern Nuclear Operating Company; Structural Integrity Associates; and TerraPower.

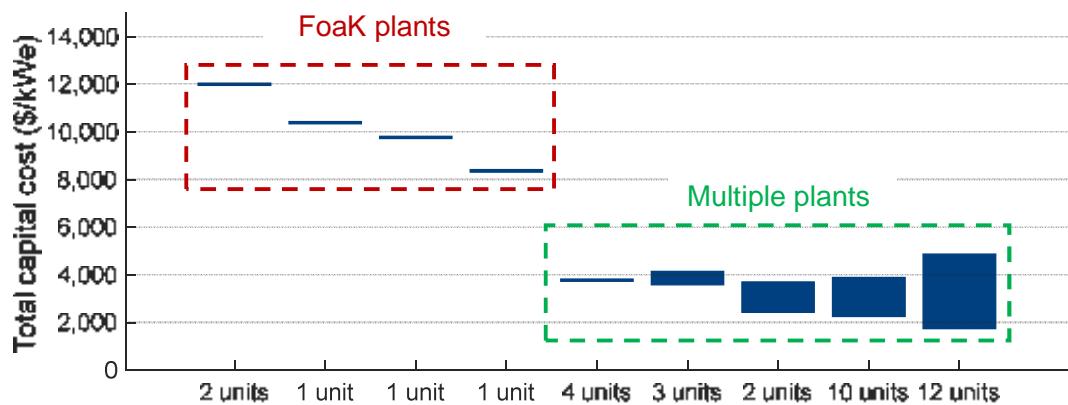
## 5. References

- Aiken, I. D., Clark, P. W., and Kelly, J. M. (2002). "Experimental testing of reduced-scale seismic isolation bearings for the advanced liquid metal reactor." *Proceedings: IAEA-TECDOC-1288, Verification of analysis methods for predicting the behaviour of seismically isolated nuclear structures*, International Atomic Energy Agency (IAEA), Vienna, Austria.
- American Society of Civil Engineers (ASCE). (2017). "Seismic analysis of safety-related nuclear structures and commentary." *ASCE/SEI 4-16*, Reston VA.
- American Society of Civil Engineers (ASCE). (2021). "Seismic design criteria for structures, systems, and components in nuclear facilities." *ASCE/SEI 43-19*, Reston VA.
- American Society of Mechanical Engineers (ASME). (2017). "ASME Boiler and Pressure Vessel Code." New York, NY.
- Bolisetti, C., Yu, C.-C., Coleman, J. L., Kosbab, B., and Whittaker, A. S. (2016). "Characterizing the benefits of seismic isolation for nuclear structures: a framework for risk-based decision making." *Technical Report INL/EXT-16-40122*, Idaho National Laboratory, Idaho Falls, ID.
- Buongiorno, J., Corradini, M., Parsons, J., and Petti, D. (2018). "The future of nuclear energy in a carbon constrained world - an interdisciplinary MIT study." *MIT Energy Initiative*, Massachusetts Institute of Technology, Cambridge, MA.
- Clark, P. W., Aiken, I. D., Kelly, J. M., Gluekler, E. L., and Tajirian, F. F. (1995). "Tests of reduced-scale seismic isolation bearings for the US advanced liquid metal reactor (ALMR) program." *Proceedings: Joint ASME/JSME Pressure Vessels and Piping Conference*, Honolulu, HI.
- Constantinou, M. C., Whittaker, A. S., Kalpakidis, Y., Fenz, D. M., and Warn, G. P. (2007). "Performance of seismic isolation hardware under service and seismic loading." *Technical Report MCEER-07-0012*, University at Buffalo, State University of New York, Buffalo, NY.
- Computers and Structures Incorporated (CSI). (2019). Computer Program SAP2000 (Version 20.2.0), Berkeley, CA.
- Electric Power Research Institute (EPRI). (2019). "Economic-based research and development roadmap for nuclear power plant construction." 3002015935, Palo Alto, CA.
- Energy Technologies Institute (ETI). (2020). "The ETI nuclear cost drivers project: Full technical report." Loughborough, UK.

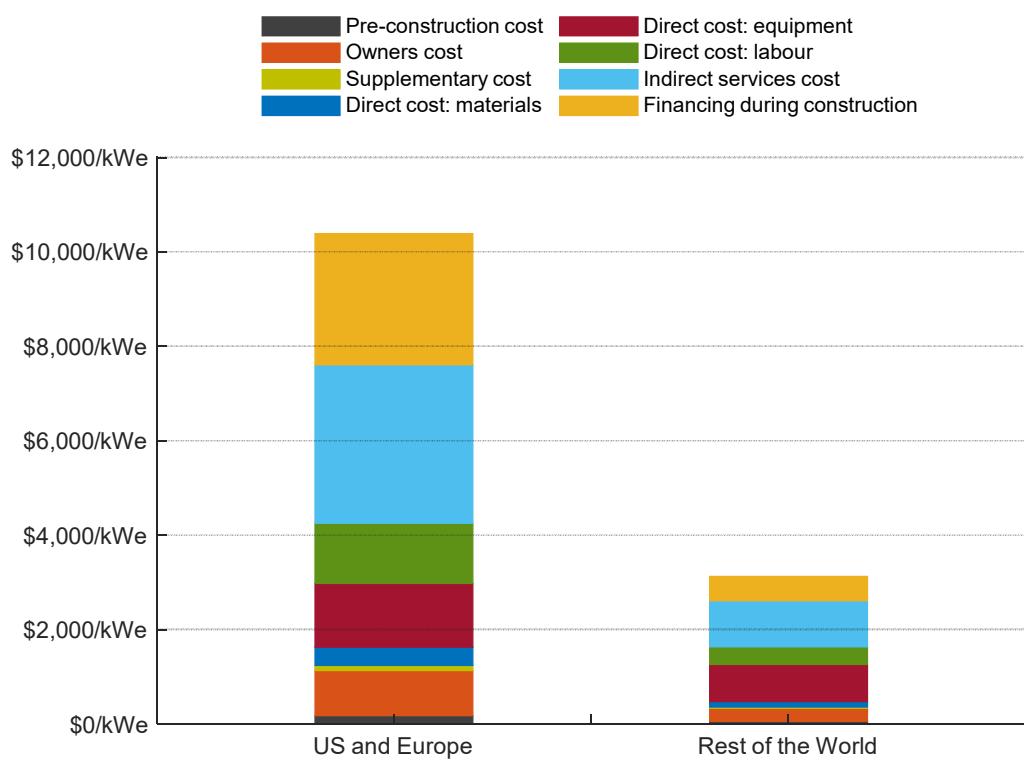
- Georgia Power (GP). (2020). "Vogtle units 3&4: Twenty-third semi-annual Vogtle construction monitoring report." Atlanta, GA.
- Huang, Y.-N., Whittaker, A. S., and Luco, N. (2008). "Performance assessment of conventional and base-isolated nuclear power plants for earthquake and blast loadings." *Technical Report MCEER-08-0019*, University at Buffalo, State University of New York, Buffalo, NY.
- Huang, Y.-N., Whittaker, A. S., Kennedy, R. P., and Mayes, R. L. (2009). "Assessment of base-isolated nuclear structures for design and beyond-design basis earthquake shaking." *Technical Report MCEER-09-0008*, University at Buffalo, State University of New York, Buffalo, NY.
- Huang, Y.-N., Whittaker, A. S., and Luco, N. (2011a). "A seismic risk assessment procedure for nuclear power plants: (II) Application." *Nuclear Engineering and Design*, 241, 4004-4011.
- Huang, Y.-N., Whittaker, A. S., and Luco, N. (2011b). "A seismic risk assessment procedure for nuclear power plants: (I) Methodology." *Nuclear Engineering and Design*, 241, 3996-4003.
- Ingersoll, E. D., and Gogan, K. (2020). "Missing link to a livable climate: How hydrogen-enabled synthetic fuels can help deliver the Paris goals." LucidCatalyst, Cambridge, MA.
- Ingersoll, E. D., Gogan, K., Herter, J., and Foss, A. (2020). "Cost and performance requirements for flexible advanced nuclear plants in future U.S. power markets." LucidCatalyst, Cambridge, MA.
- International Atomic Energy Agency (IAEA). (2020a). "Climate change and nuclear power 2020." *STI/PUB/1911*, Vienna, Austria.
- International Atomic Energy Agency (IAEA). (2020b). "Nuclear power and the clean energy transition." *IAEA Bulletin, Volume 61-3*, Vienna, Austria.
- International Atomic Energy Agency (IAEA). (2020c). "Seismic isolation systems for nuclear installations." *IAEA-TECDOC-1905*, Vienna, Austria.
- International Energy Agency (IEA). (2019). "Nuclear power in a clean energy system." Paris, France.
- Kammerer, A. M., Whittaker, A. S., and Constantinou, M. C. (2019). "Technical considerations for seismic isolation of nuclear facilities." *NUREG/CR-7253*, United States Nuclear Regulatory Commission, Washington, D.C. (ML19050A422).
- Kumar, M., Whittaker, A. S., and Constantinou, M. C. (2015). "Response of base-isolated nuclear structures to extreme earthquake shaking." *Nuclear Engineering and Design*, 295, 860-874.
- Kumar, M., Whittaker, A. S., and Constantinou, M. C. (2017a). "Extreme earthquake response of nuclear power plants isolated using sliding bearings." *Nuclear Engineering and Design*, 316, 9-25.
- Kumar, M., Whittaker, A. S., Kennedy, R. P., Johnson, J. J., and Kammerer, A. (2017b). "Seismic probabilistic risk assessment for seismically isolated safety-related nuclear facilities." *Nuclear Engineering and Design*, 313, 386-400.
- Kumar, M., Whittaker, A. S., and Constantinou, M. C. (2019a). "Seismic isolation of nuclear power plants using sliding bearings." *NUREG/CR-7254*, United States Nuclear Regulatory Commision, Washington, D.C. (ML19158A513).

- Kumar, M., Whittaker, A. S., and Constantinou, M. C. (2019b). "Seismic isolation of nuclear power plants using elastomeric bearings." *NUREG/CR-7255*, United States Nuclear Regulatory Commission, Washington, D.C. (ML19063A541).
- Lal, K. M., Parsi, S. S., and Whittaker, A. S. (2020). "Cost basis for utilizing seismic isolation for nuclear power plant design." *Report 03002018345*, Electric Power Research Institute, Charlotte, N.C.
- Parsi, S. S., Lal, K. M., and Whittaker, A. S. (2021). "Seismic isolation: A pathway to standardized advanced nuclear reactors." *Nuclear Engineering and Design (in production)*.
- Partanen, R., Qvist, S., Gogan, K., and Ingersoll, E. (2019). "Sustainable nuclear: An assessment of the sustainability of nuclear power for the EU taxonomy consultation 2019." Prepared by LucidCatalyst and Think Atom for EDF, United Kingdom.
- Sandbag Climate Campaign CIC (EMBER). (2021). "Global electricity review 2021." <https://ember-climate.org/project/global-electricity-review-2021/> (accessed 9th April, 2021).
- Stevenson, J. D. (1981). "Evaluation of the cost effects on nuclear power plant construction resulting from the increase in seismic design level." *NUREG/CR-1508*, United States Nuclear Regulatory Commission, Washington, D.C.
- Stevenson, J. D. (2003). "Historical development of the seismic requirements for construction of nuclear power plants in the U.S. and worldwide and their current impact on cost and safety." *Transactions: 17th International Conference on Structural Mechanics in Reactor Technology (SMiRT-17)*, Prague, Czech Republic.
- Tajirian, F. F., Kelly, J. M., and Gluekler, E. L. (1989). "Testing of seismic isolation bearings for the PRISM advanced liquid metal reactor under extreme loads." *Transactions: 10th International Conference on Structural Mechanics in Reactor Technology (SMiRT-10)*, Anaheim, CA.
- Tajirian, F. F. (1992). "Seismic analysis for the ALMR." *Proceedings: International Atomic Energy Agency (IAEA) Specialists' Meeting on Seismic Isolation Technology*, San Jose, CA.
- Tajirian, F. F., and Patel, M. R. (1993). "Response of seismic isolated facilities: a parametric study of the ALMR." *Transactions: 12th International Conference on Structural Mechanics in Reactor Technology (SMiRT-12)*, Stuttgart, Germany.
- Whittaker, A. S., Sollogoub, P., and Kim, M. K. (2018). "Seismic isolation of nuclear power plants: Past, present and future." *Nuclear Engineering and Design*, 338, 290-299.
- Yu, C.-C., Bolisetti, C., Coleman, J. L., Kosbab, B., and Whittaker, A. S. (2018). "Using seismic isolation to reduce risk and capital cost of safety-related nuclear structures." *Nuclear Engineering and Design*, 326, 268-284.

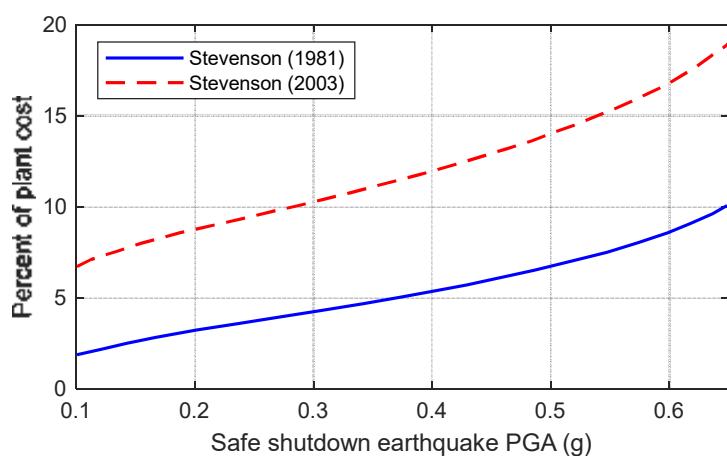
## Figures



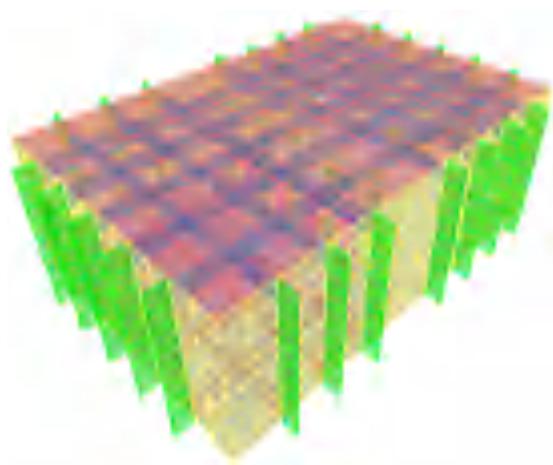
**Figure 1.** Total capital cost of recently completed and ongoing nuclear projects (adapted from ETI (2020))



**Figure 2. Capital cost breakdown (adapted from ETI (2020))**

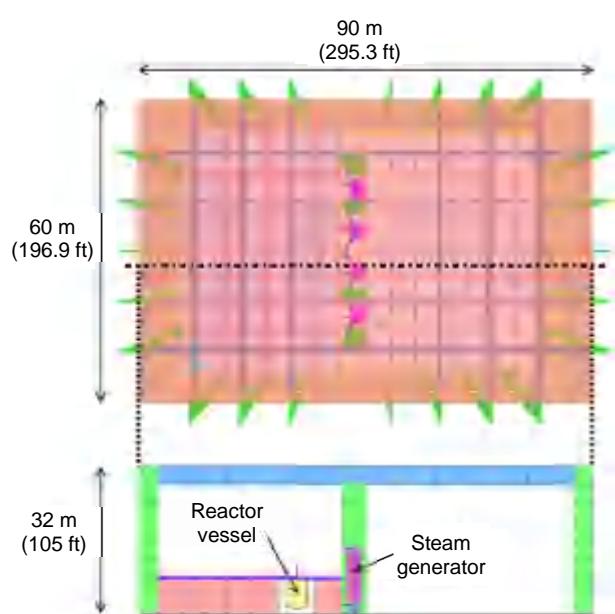


**Figure 3. Percentage increase in cost as a function increasing earthquake shaking (adapted from Stevenson (1981; 2003))**



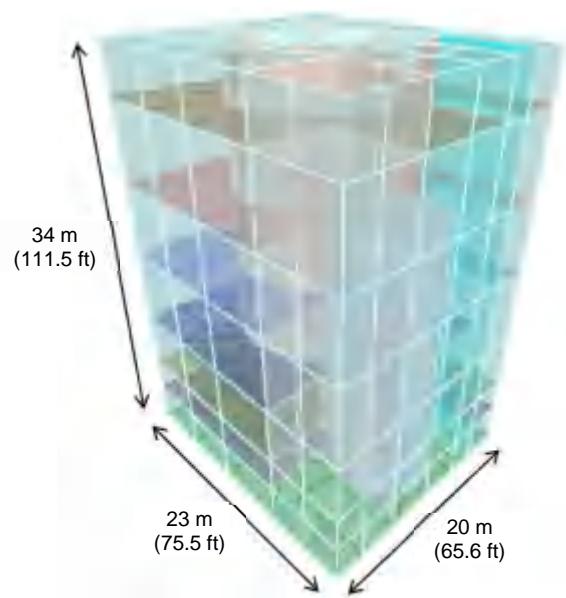
a) three-dimensional view

**Figure 4. Numerical model of MSR building**



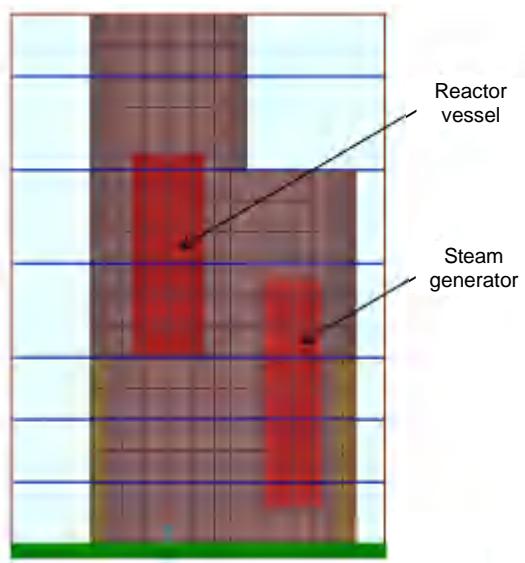
b) plan and cross section

**Figure 4. Numerical model of MSR building**



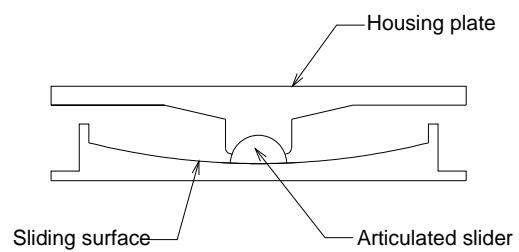
a) three-dimensional view

**Figure 5. Numerical model of HTGR building**



b) cross section

**Figure 5. Numerical model of HTGR building**



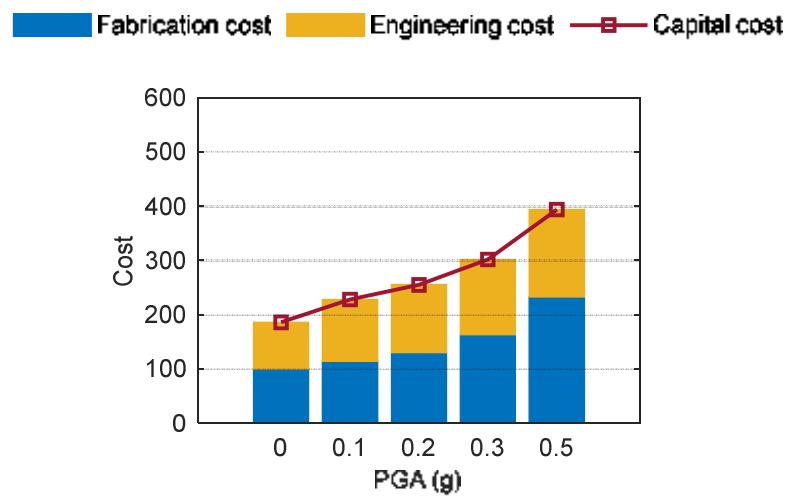
a) cross section

**Figure 6. Single concave Friction Pendulum bearing**

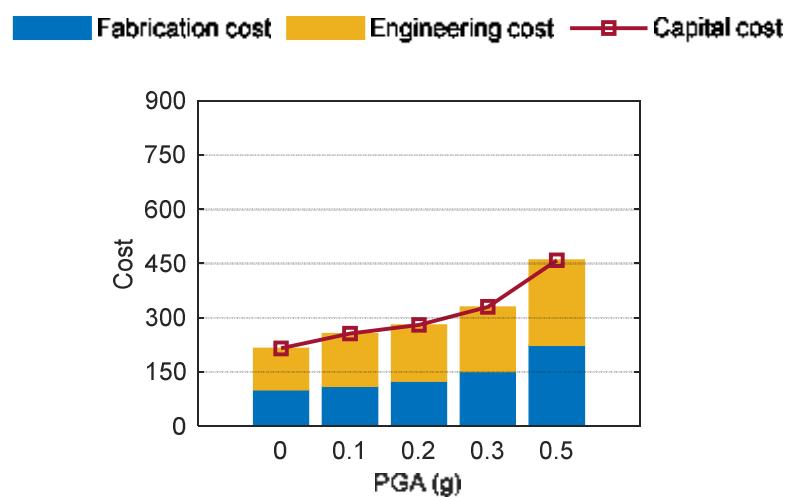


b) internal construction

**Figure 6. Single concave Friction Pendulum bearing**

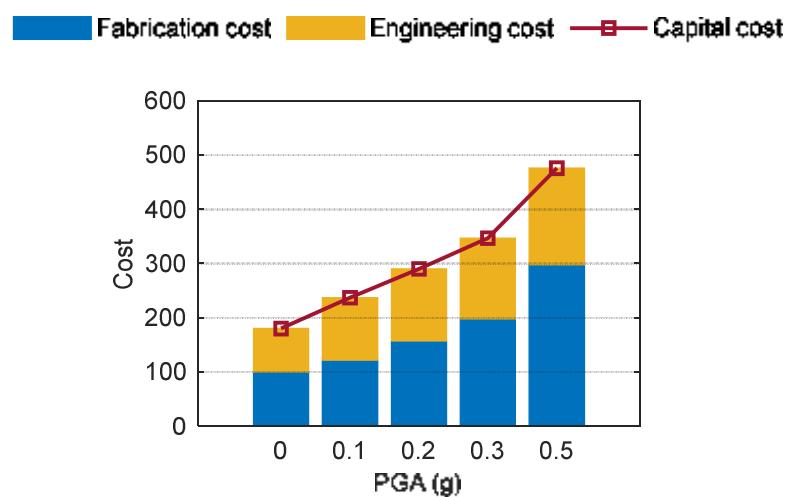


**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**



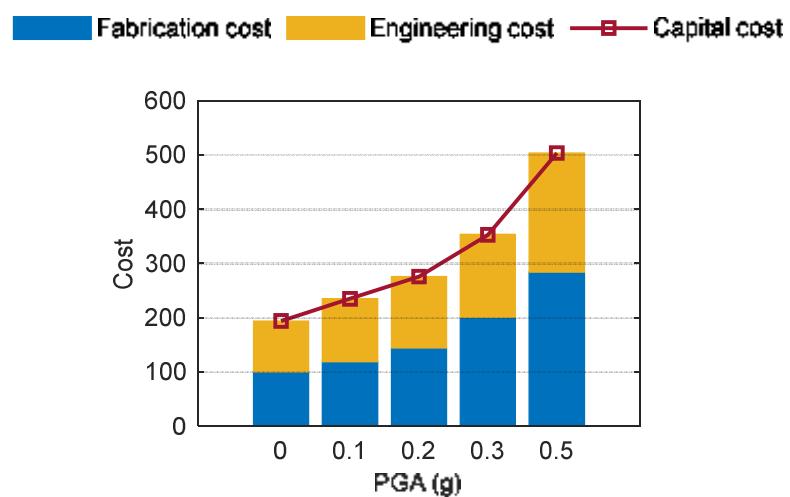
b) CRDM1

**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**



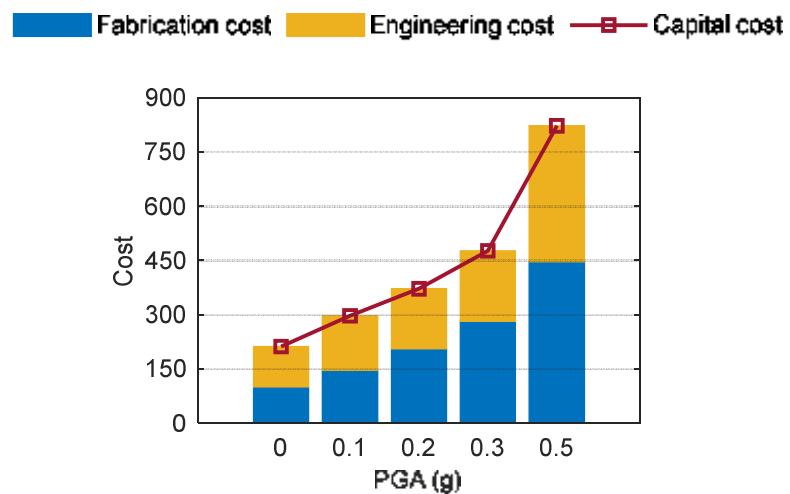
c) SG1

**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**



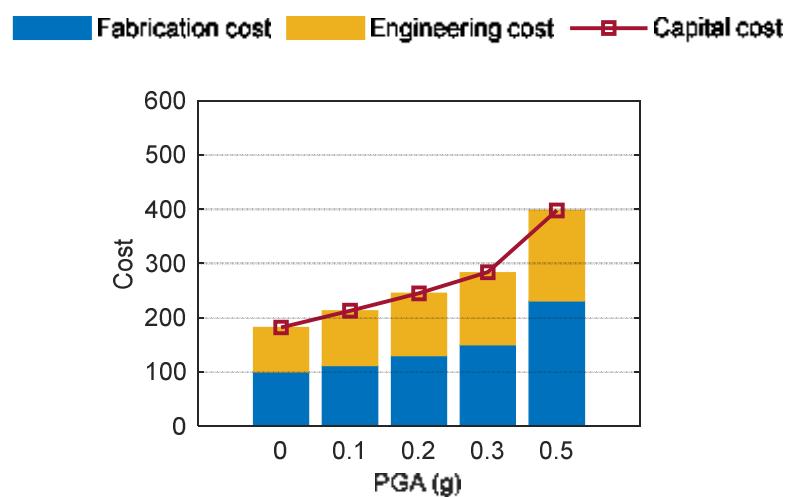
d) RV2

**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**

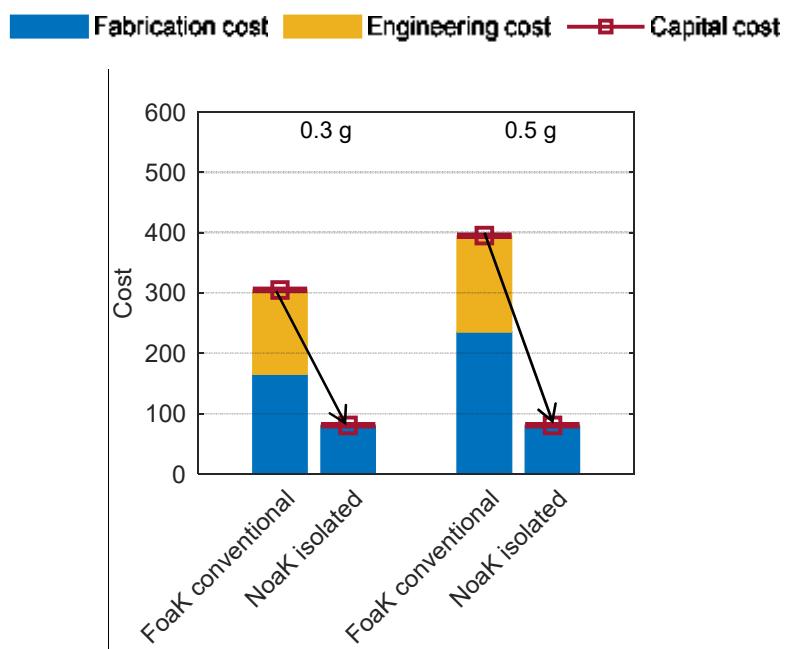


e) CRDM2

**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**

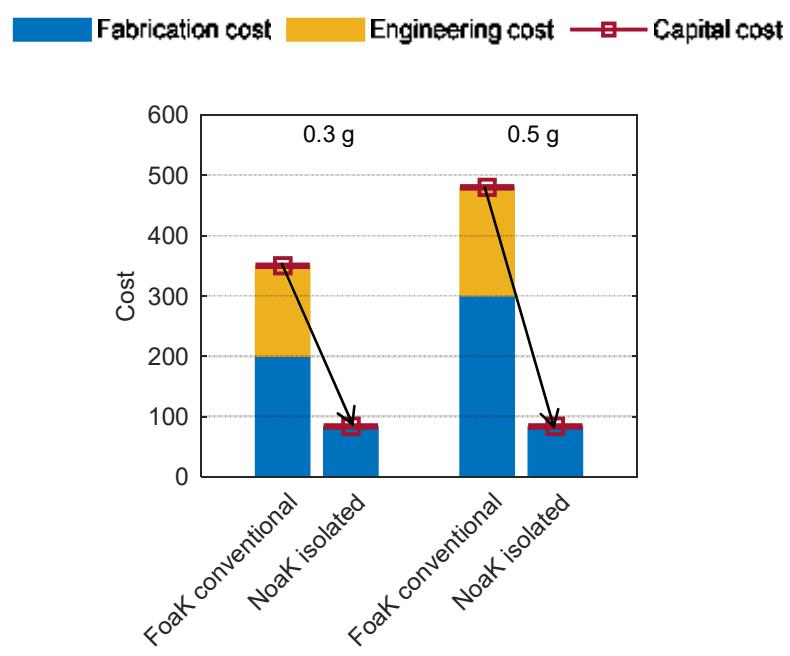


**Figure 7. Averaged engineering, fabrication, and capital cost for fixed-base buildings**



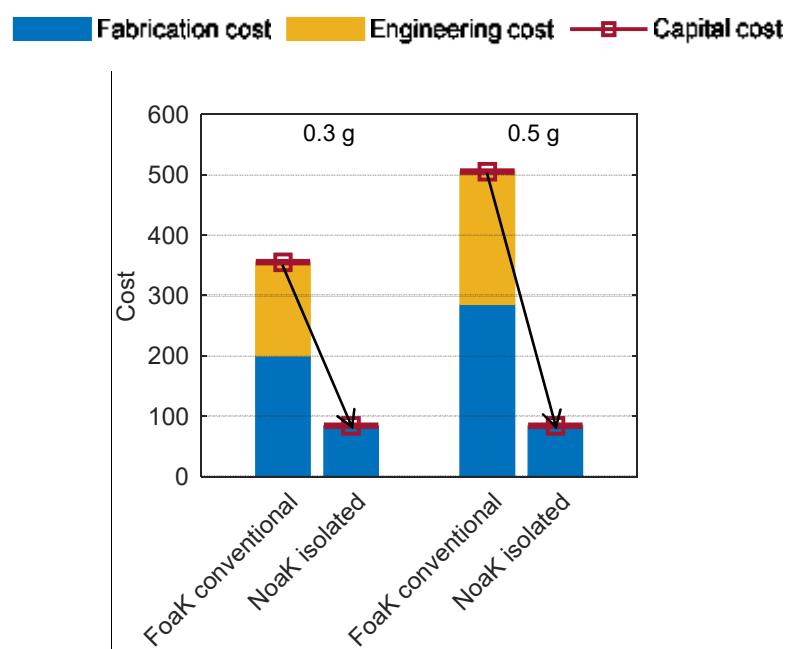
a) RV1

**Figure 8. A pathway to standardized safety-class equipment**



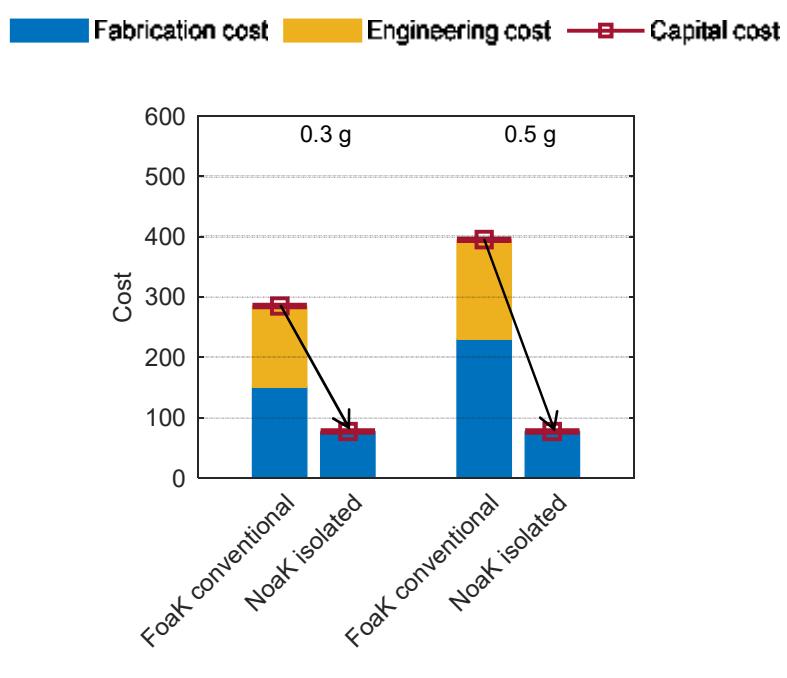
b) SG1

**Figure 8. A pathway to standardized safety-class equipment**



c) RV2

**Figure 8. A pathway to standardized safety-class equipment**



d) SG2

**Figure 8. A pathway to standardized safety-class equipment**