

CS201: Data Structures and Discrete Mathematics I

Recursion

Recursive Definitions

- Recursive definition (or inductive definition): A definition in which the item being defined appears as part of the definition.
- Contain two parts:
 - A base, where some simple cases of the item being defined are given
 - An recursive step, where new cases of the item being defined are given in terms of previous cases.

Examples

- Fibonacci numbers

$$F(1) = 1, F(2) = 1$$

$$F(n) = F(n-2) + F(n-1) \quad \text{for } n > 2.$$

(1, 1, 2, 3, 5, 8, 13, 21...)

- **Recurrence relation**: A rule like $F(n)$, which define a sequence value in terms of one or more earlier values.
- Define $n!$ recursively

$$1! = 1$$

$$n! = n(n-1)! \quad \text{for } n > 1$$

Recursively defined sequences

- A sequence S represents a list of objects that are enumerated in some order.
 - E.g,
 1. $S(1) = 2$
 2. $S(n) = 2S(n-1)$ for $n \geq 2$
 - 2, 4, 8, 16, 32, ...
- Another sequence T
 1. $T(1) = 1$
 2. $T(n) = T(n-1) + 3$ for $n \geq 2$

Recursively defined sets

- Define a set of people who are ancestors of James:
 1. James parents are ancestors of James.
 2. Every parent of an ancestor is an ancestor of James
- An identifier in a programming language can be alphanumeric strings of any length but must begin with a letter.
 1. A single letter is an identifier.
 2. If B is an identifier, so is the concatenation of B and any letter or digit.

Recursively defined operations

- A recursive definition of multiplication of two positive integers m and n is
 1. $m(1) = m$
 2. $m(n) = m(n-1) + m$ for $n \geq 2$
- Let x be a string. Define the operation x^n (concatenation of x with itself n times) for $n \geq 1$
 1. $x^1 = x$
 2. $x^n = x^{n-1}x$ for $n \geq 1$

Recursive Programming:

Recursively defined algorithms

- Recursively computes the value of $S(n)$

$S(\text{integer } n)$

 If $n = 1$ then

 return 2

 else

 return $2 * S(n-1)$

 endif

end

Recursion programming

- Basic Idea

- When writing recursive programs, we need
 - Base cases: we must always have some base cases, which can be solved without recursion.
 - Making progress: For the cases that are to be solved recursively, the recursive call must always make progress toward a base case.

Iteration versus Recursion

- Most of the time, we can express a problem more elegantly using recursion
- e.g. summation of numbers from 1 to n

$$\begin{aligned}\text{sum}(n) &= n + (n-1) + (n-2) + \dots + 2 + 1 \\ &= \sum_{i=1}^n i\end{aligned}$$

```
→ sum(n)
    for (i=1, sum=0; i<=n; i++)
        sum=sum+i;
    return sum;
```

In Recursion

- Summation of numbers from 1 to n using *recursion*.

$$\text{sum}(n) = n + (n-1) + (n-2) + \dots + 2 + 1$$

$$= \begin{cases} 1 & \text{if } (n==1) \\ n + \text{sum}(n-1) & \text{if } (n>1) \end{cases}$$

```
→ sum(n)
    if (n==1) return 1;
    else return n+sum(n-1);
```

Another Example of Recursion

- Product of numbers from 1 to n using recursion

$$\text{fact}(n) = n * (n-1) * (n-2) * \dots * 2 * 1$$

$$= \begin{cases} 1 & \text{if } (n==1) \\ n * \text{fact}(n-1) & \text{if } (n>1) \end{cases}$$

```
-> fact(n)
    if (n==1) return 1;
    else return n*fact(n-1);
```

Visualizing Recursive Execution

- With nonrecursive programs, it is natural to visualize execution by imagining control stepping through the source code
 - This can be confusing for programs containing recursion
 - Instead, useful to imagine each call of a function **generating a copy** of the function, so that if the same function is called several times, several copies are present.

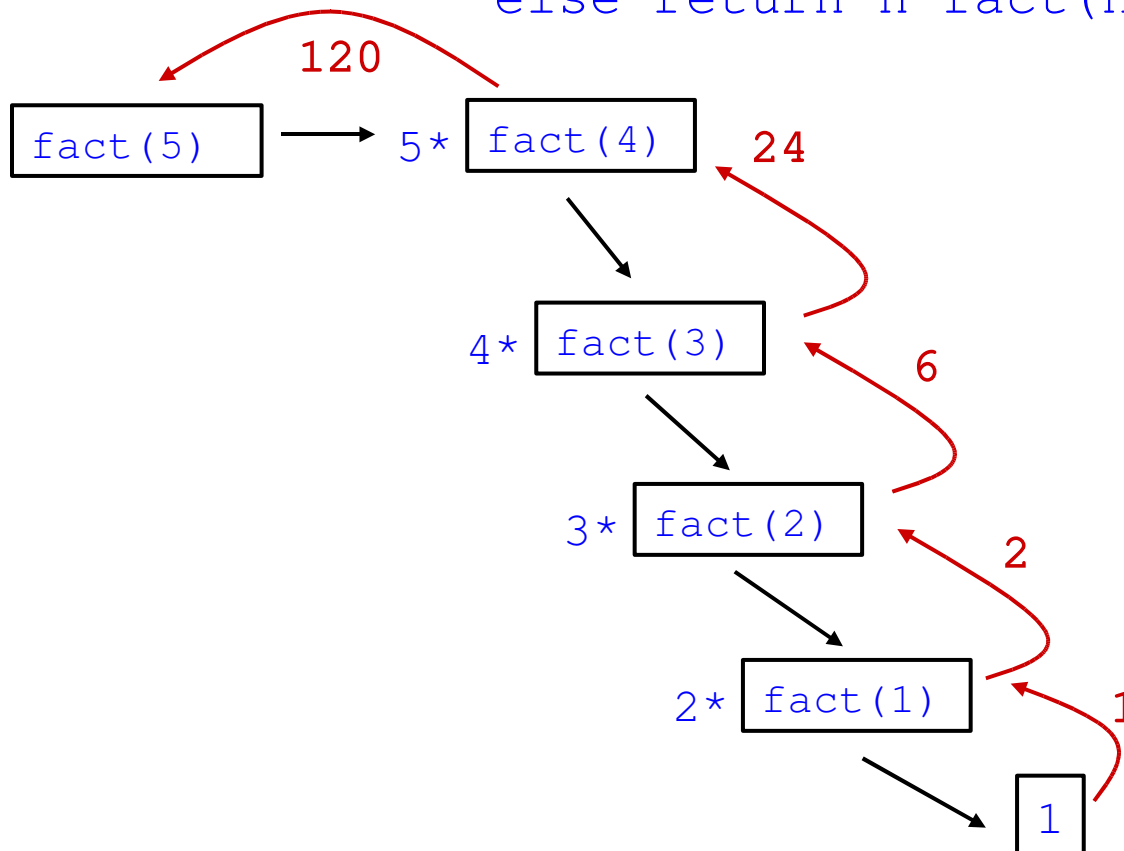
Scope

- When a function is called (stack takes role for the process)
 - caller is suspended
 - “state” of caller saved
 - new space allocated for variables of new function
 - ...
 - end of new function
 - release the space allocated
 - return to the point next to the caller with the previous “state” recovered
- With recursive call, same things happen

How Recursion Works

- Given

```
fact(n) = if (n==1) return 1;  
         else return n*fact(n-1);
```



Computing x^n

- This is a simple program.

```
float power (float x, int n)
{
    if (!n)                /* if (n==0) */
        return 1
    else
        return x * power(x, n-1)
}
```

What does this program do?

- This program is not easy to understand.

```
void f ()  
    { int ch;  
      if ((ch = getchar()) != '\n')  
      {  
          f();  
          putchar(ch);  
      }  
    }
```

Given the input string “Is it going to work?”

Recursion - how to

Ask the following

- How can you solve the problem using the solution of a “simpler” instance of the problem?
- Can you be sure to have a “simplest” input? (If so, include separate treatment of this case.)
- Can you be sure to reach the “simplest” input?

Another Example: Merge Sort

- We now use another complex example to show the working of a recursive program.
- Sorting is the process of rearranging data in either ascending or descending order.
 - $(2, 4, 1, 6, 5, 9, 2) \Rightarrow (1, 2, 2, 4, 5, 6, 9)$
- We need sorting because
 - The data in sorted order is required
 - It is the initialization step of many algorithms.

Merge Sort: one sorting algorithm

- A nice example of a recursive algorithm.
- It is a divide-and-conquer algorithm
- Divide-and-conquer is an important technique in Computer Science. It solves problem in three steps:
 - Divide Step: divide the large problem into two or more smaller problems.
 - Recursively solve the smaller problems
 - Conquer Step: based on the results of the smaller problems, produce the result of the large problem.

Merge Sort Idea

- **Divide Step:** Divide the array into two equal halves
- Recursively sort the two halves
- **Conquer Step:** Merge the two halves to form a sorted array

An example

7	2	6	3	8	4	5
---	---	---	---	---	---	---

Divide into
two equal
halves

7	2	6	3
---	---	---	---

8	4	5
---	---	---

Recursively sort
the halves

2	3	6	7
---	---	---	---

4	5	8
---	---	---

Merge them

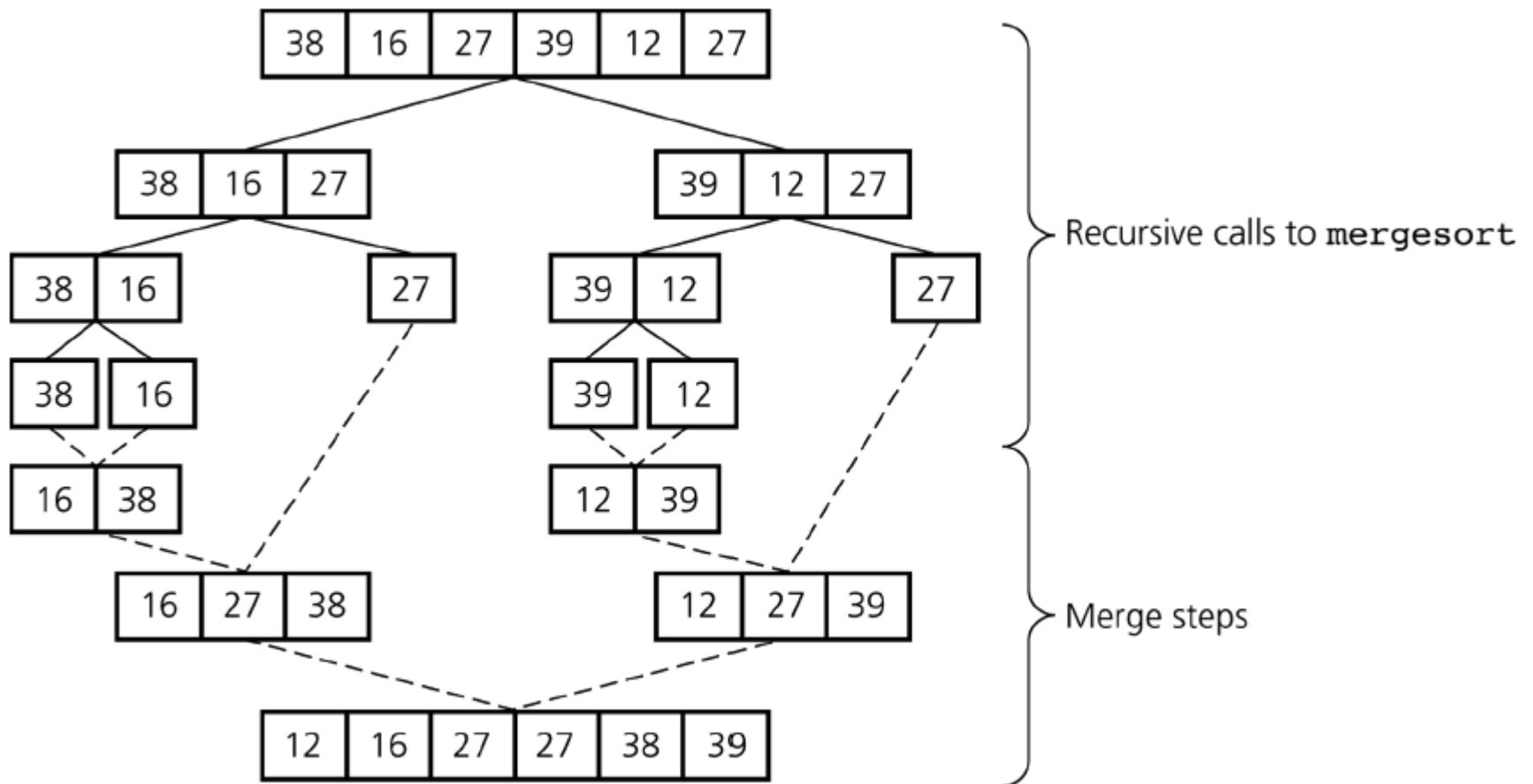
2	3	4	5	6	7	8
---	---	---	---	---	---	---

Merge Sort Algorithm

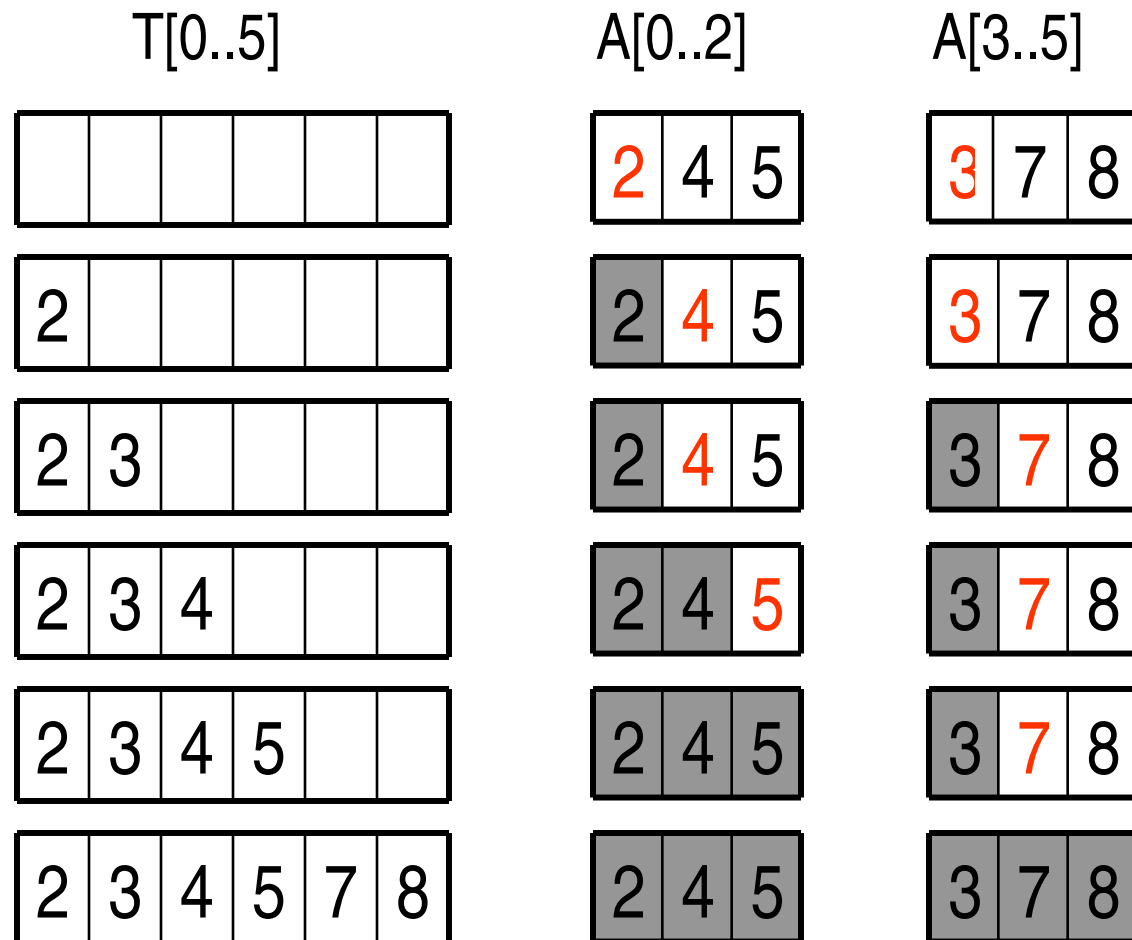
MergeSort(A[i..j])

```
if (i < j) {  
    mid = (i+j)/2  
    MergeSort(A[i..mid]);  
    MergeSort(A[mid+1..j]);  
    Merge(A[i..mid], A[mid+1..j]);  
}
```

Merge Sort of an array of six integers



How to merge two subarrays?



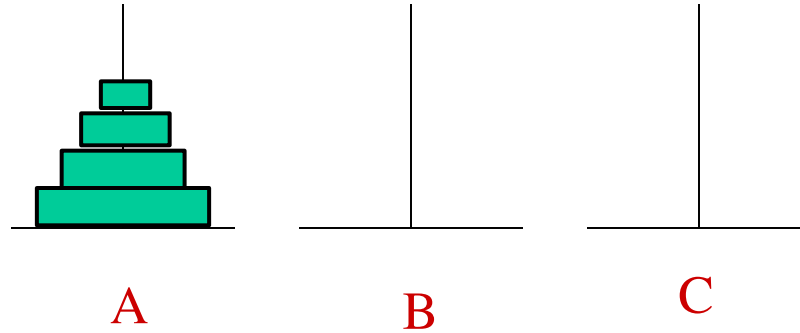
Merge Algorithm

Algorithm Merge($A[i..mid]$, $A[mid+1..j]$)

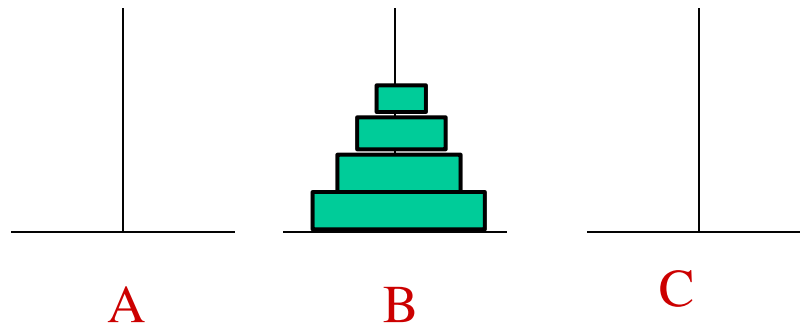
2. While both subarrays are not empty,
 - Between the first entries of both subarrays, copy the smaller item into the first available entry in the temporary array $T[]$.
3. When one subarray is empty,
 - finish off the nonempty subarray
4. Copy the result in $T[]$ back to $A[i..j]$

Tower of Hanoi

initial state



final state



Tower of Hanoi

```
void tower (int cnt, char A, char B, char C)
{
    if (cnt==1)
        move(A,B);
    else {
        tower(cnt-1,A,C,B);
        move(A,B);
        tower(cnt-1,C,B,A);
    };
}
```

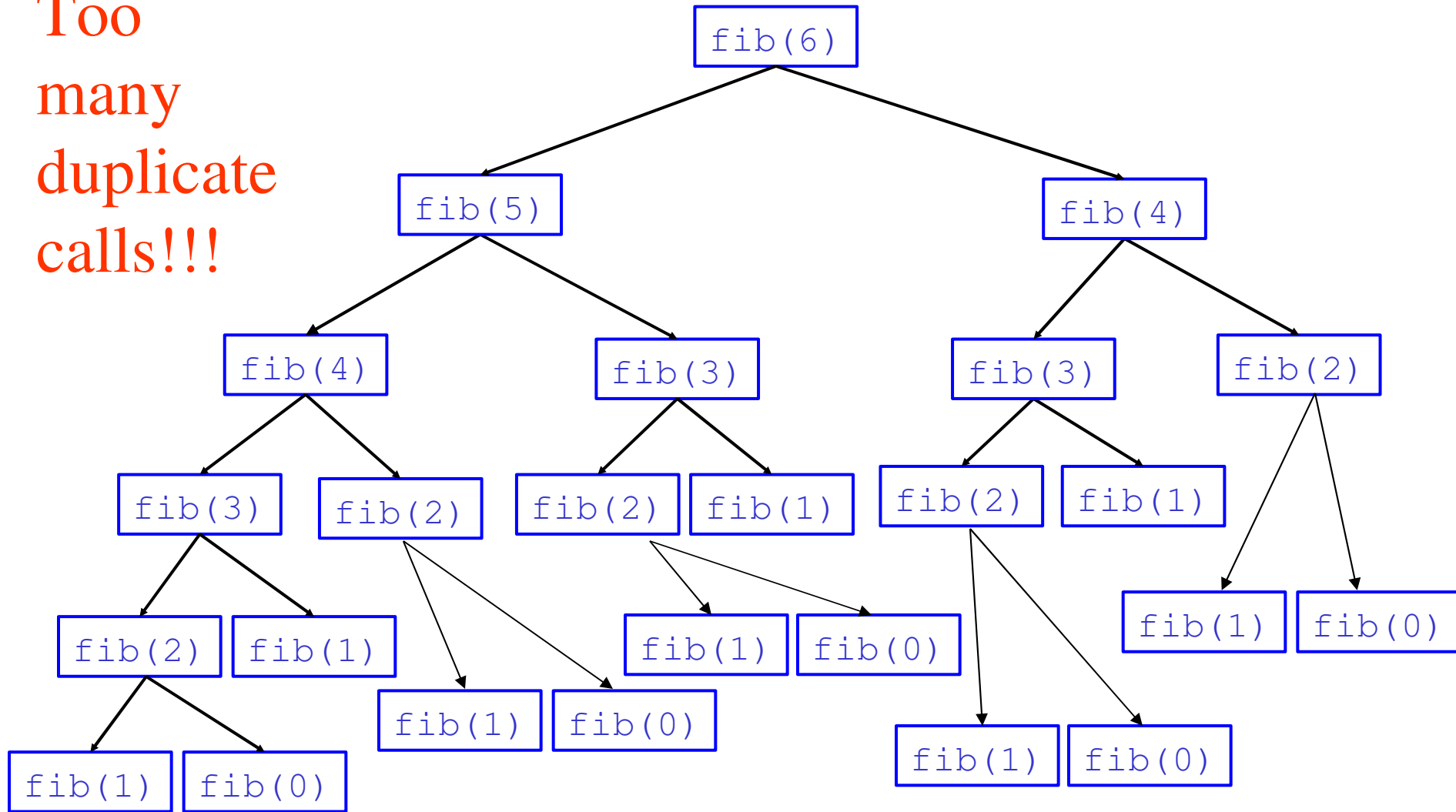
Fibonacci Numbers again

- Fibonacci numbers: 0,1,1,2,3,5,8,...
- First two are 0, and 1, rest are obtained by adding the previous two.
- Naïve method, using recursion:

```
int fib(int n)
{
    if (n < 2)
        return n;
    /* else */
    return fib(n-1)+fib(n-2);
}
```

Tracing Fibonacci Calls

Too
many
duplicate
calls!!!



`fib(int n)` is extremely inefficient

n	Number of additions	Number of calls
6	12	25
10	88	177
15	986	1973
20	10945	21891
25	121392	242785
30	1346268	2692537

Much better to write an iterative function

```
int fib(int n)
{
    int fibn=0, fibn1=0, fibn2=1;

    if (n < 2)
        return n
    else
    {
        for( int i = 2; i <= n; i++ ) {
            fibn = fibn1 + fibn2;
            fibn1 = fibn2;
            fibn2 = fibn;
        }
        return fibn;
    }
}
```

Recursion or Iteration

- Every recursive procedure can be converted into an iterative version (sometime not a trivial task)
- No general rules prescribing when to use recursion and when not to.
- Recursion code is usually easily readable, simpler and clearer.
- The main problem with recursion is the hidden bookkeeping cost. Recursion is usually less efficient than its iterative equivalent.

Summary

- Inductive proof is perhaps the most commonly used proof technique in CS.
 - Base case
 - Inductive case.
- Recursion definition
 - A base
 - A recursive step
- An recursive program is often simpler and clearer, but can be less efficient than its iterative version.