

# A genomic data resource for predicting antimicrobial resistance from laboratory-derived antimicrobial susceptibility phenotypes

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## Abstract

Antimicrobial resistance (AMR) is a major global health threat that affects millions of people each year. Funding agencies worldwide and the global research community have expended considerable capital and effort tracking the evolution and spread of AMR by isolating and sequencing bacterial strains and performing antimicrobial susceptibility testing (AST). For the last several years, we have been capturing these efforts by curating data from the literature and data resources and building a set of assembled bacterial genome sequences that are paired with laboratory-derived AST data. This collection currently contains AST data for over 67 000 genomes encompassing approximately 40 genera and over 100 species. In this paper, we describe the characteristics of this collection, highlighting areas where sampling is comparatively deep or shallow, and showing areas where attention is needed from the research community to improve sampling and tracking efforts. In

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addition to using the data to track the evolution and spread of AMR, it also serves as a useful starting point for building machine learning models for predicting AMR phenotypes. We demonstrate this by describing two machine learning models that are built from the entire dataset to show where the predictive power is comparatively high or low. This AMR metadata collection is freely available and maintained on the Bacterial and Viral Bioinformatics Center (BV-BRC) FTP site [ftp://ftp.bvbc.org/RELEASE\\_NOTES/PATRIC\\_genomes\\_AMR.txt](ftp://ftp.bvbc.org/RELEASE_NOTES/PATRIC_genomes_AMR.txt).

**Key words:** artificial intelligence; machine learning; antimicrobial resistance; epidemiology; GWAS

## Introduction

Antimicrobial resistance (AMR) occurs when a microorganism becomes resistant to a compound that is intended to kill or arrest its growth [1]. Resistance can spread rapidly through horizontal gene transfer mechanisms and the unintended selection of resistant strains [1–3]. This spread is typically fueled by the overuse or incorrect administration of antibiotics in both clinical and agricultural settings [1, 4–8], and the problem is worsened by a lack of uniform international policies governing antibiotic stewardship [8–11]. As a result, AMR causes considerable morbidity and mortality for patients worldwide, with correspondingly severe economic impacts [8, 12, 13]. Indeed, AMR is predicted to cause approximately 700 000 deaths worldwide each year, and costs 55 billion dollars annually in the USA due to health care related expenses and lost productivity [8, 12]. In the last few decades, the discovery and development of new antimicrobial compounds has not kept pace with the spread of resistance, so this global health concern that used to be managed by selecting from a variety of efficacious drugs has become more alarming as the arsenal of effective antibiotics has dwindled [1, 14, 15].

In the clinic and at the bench, AMR is typically assessed using antimicrobial susceptibility testing (AST) methods that detect the growth of an organism in the presence of a known concentration of an antibiotic [16, 17]. These are often measured as minimum inhibitory concentrations (MICs) or by measuring the size of a zone of inhibition in a disk diffusion or test-strip assay on a plate [16, 17]. Several diagnostic devices, including the BD Phoenix (Becton Dickinson) and VITEK 2 (bioMérieux) instruments, are commonly used to perform rapid AST testing in the clinic [16–18]. Establishing whether an organism is resistant or susceptible to an antibiotic is then performed by comparing the test results with clinical breakpoints, which are established by organizations such as the Clinical and Laboratory Standards Institute (CLSI) or the European Committee on Antimicrobial Susceptibility Testing (EUCAST) [19–21]. These AST data are frequently published in studies tracking AMR epidemiology and genetics [22–27].

Genome sequence data provide an alternative view of AMR, enabling researchers to assess the genetic mechanisms conferring AMR in each strain [28–30]. Many publicly available resources have been developed to help determine AMR phenotypes based on the presence of resistance-conferring SNPs and genes [31–39]. Coupling AST data with genome sequences also offers the potential to discover regions of the genome that are directly involved in resistance, have changed as a result of epistasis, or that correlate with the presence of AMR [28, 29]. This is typically done through genome wide association studies or with machine learning techniques [40–52]. The use of machine learning algorithms for predicting AMR phenotypes and identifying genomic regions associated with resistance has generated considerable interest in the literature over the last few years [53–55].

A major challenge in developing machine learning techniques for predicting phenotypes or identifying AMR-related genomic features is the difficulty in obtaining genome sequences paired with laboratory-derived AST data [41, 56–58]. Although it is customary for researchers to submit genomes to a public repository before publication, this is not a prerequisite for AST data [59, 60]. This makes it difficult to quickly collect datasets that are large enough for modeling. Once the data are obtained, other issues arise from ensuring that the dataset is balanced across phenotypes, phylogenetically and geographically diverse, and representative of the AMR mechanisms that exist in nature or the clinic [41, 42, 45, 61, 62]. In general, these data do not accumulate evenly. Sampling tends to reflect the prioritization of certain pathogens over others, along with the missions and needs of public health agencies and the populations that they serve [60, 63]. To help with this problem, several resources including NCBI, EMBL-EBI, the Relational Sequencing TB Data Platform, AR Isolate Bank and Pathogenwatch maintain sets of genomes that are paired with AST data for use in downstream analyses, including comparative genomics and modeling [64–69].

For several years, we have been collecting bacterial genomes and manually curating AST data from a variety of sources [70]. The purpose of this paper is to describe this AST data collection, use it to evaluate areas where sampling within the collection is comparatively shallow or deep, and demonstrate how it can be used for predicting AMR and guiding future research.

## Characteristics of the AST data collection

The data collection consists of a set of assembled and uniformly annotated bacterial genomes with laboratory-derived AST data for each isolate [71]. The data are housed and maintained within the Bacterial and Viral Bioinformatics Resource Center (BV-BRC), which is the umbrella project operating the bacterial Pathosystems Resource Integration Center (PATRIC) [70]. The AST data for each genome have been curated primarily from the literature, data resources including NCBI, direct submissions to the PATRIC resource, and projects that have been supported by the United States National Institute of Allergy and Infectious Diseases (NIAID) (Table 1) [64]. In addition, at least 218 studies publishing AST data with sequenced genomes have been curated from the literature for use in this collection (Table S1). As of November 2020, the collection contains 67 817 bacterial genomes with some form of AST data covering 38 bacterial genera. These data are most frequently represented as either MICs or susceptible, intermediate and resistant (SIR) calls based on a standard set of breakpoints. In some cases, phenotypes have been recorded as ‘reduced susceptibility’, ‘non-susceptible’, etc. by the original authors, and these calls have been kept in their original form. In addition to MICs and SIR calls, the collection also contains diameter sizes for zones of inhibition. In cases where the genomes have been deposited in GenBank, the original assemblies were integrated into PATRIC [72]. However, many

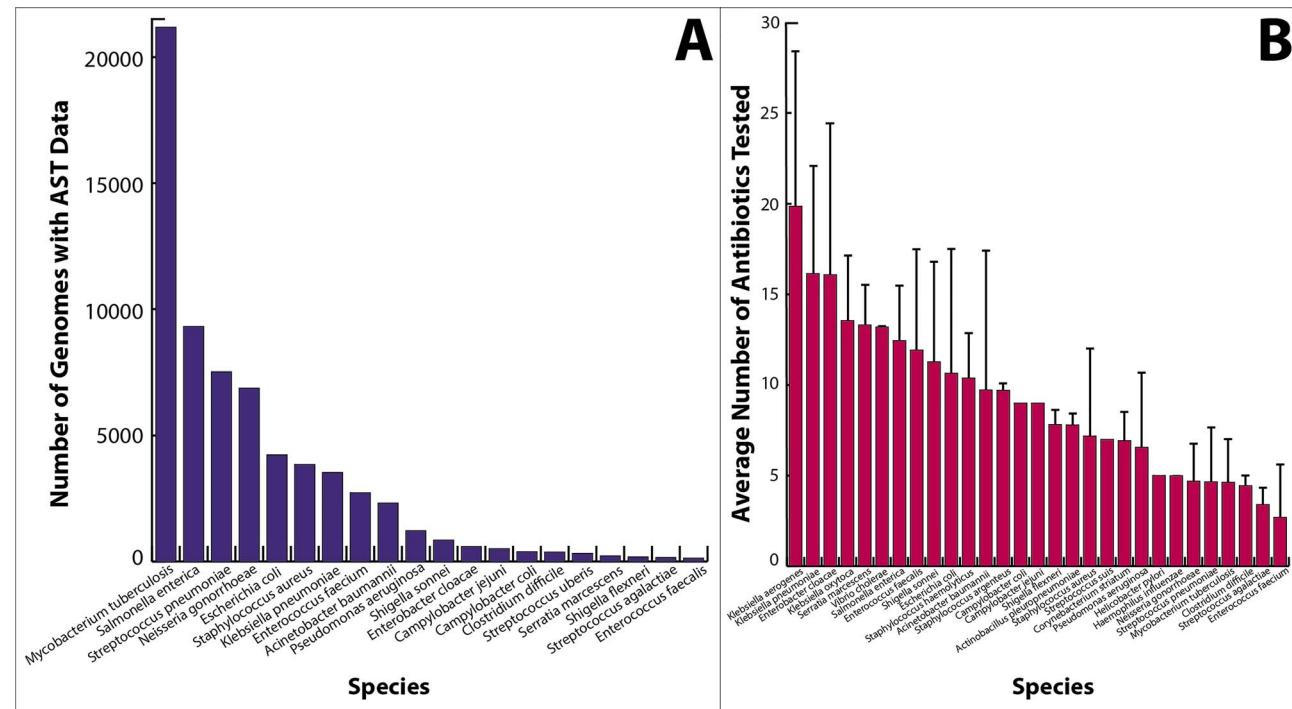


Figure 1. Histograms depicting (A) the number of genomes for the top organisms in the AST data collection, and (B) the average number of antibiotics tested per isolate. Whisker bars depict the standard deviation.

Table 1. Characteristics of the AST data collection

Genomes	67 817
Genera	38
Species*	88
Antibiotics	128
MICs	324 134
Phenotype calls (e.g. SIR)	356 206
Measurement methods	~20
Publication sources	~218

\*Count does not include unnamed species with a 'sp.' designation.

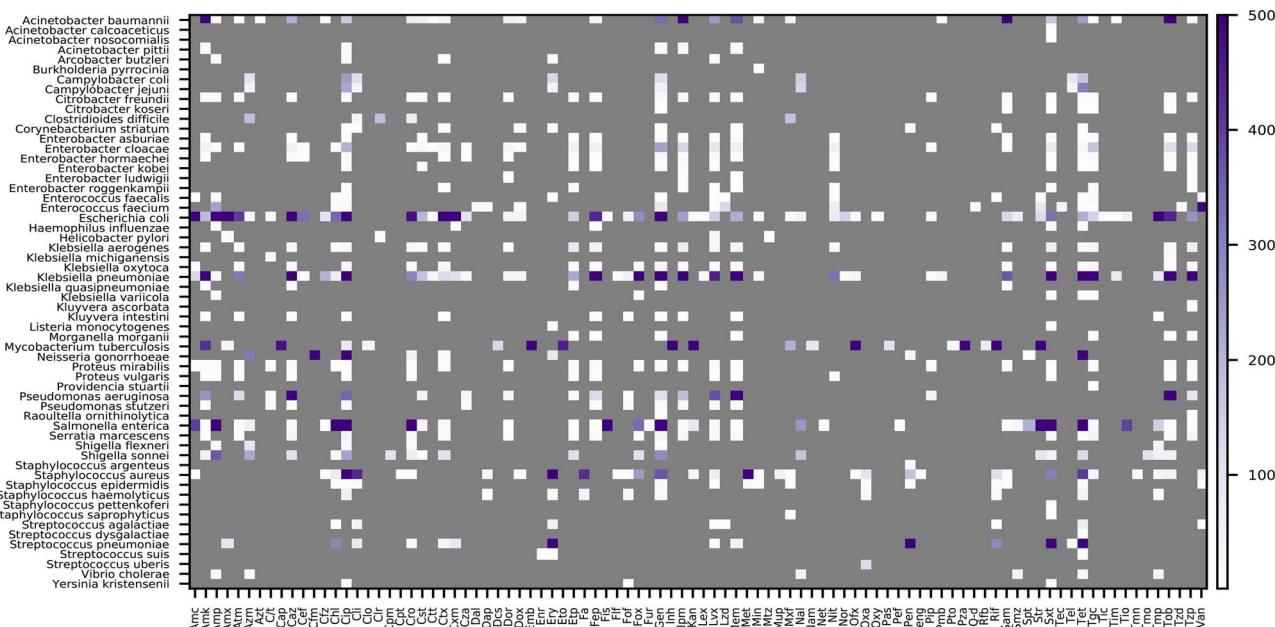
of the genomes corresponding to these isolates were deposited in either SRA or ENA as reads, and were subsequently assembled using the PATRIC assembly service prior to integration [40, 73, 74]. Approximately 36 000 genomes in this collection were assembled from reads.

The data collection is mostly comprised of pathogens, with *Mycobacterium tuberculosis*, *Salmonella enterica*, *Streptococcus pneumoniae* and *Neisseria gonorrhoeae* currently having the largest number of genomes with AST data (Figure 1A). The data for many of the highly represented species are the result of sequencing efforts led by large consortia, such as CRyPTIC (Comprehensive Resistance Prediction for Tuberculosis: an International Consortium) and TB ARC (Tuberculosis Antibiotic Resistance Catalog Project), and genomic surveillance programs that are led by large public health agencies such as NARMS (the National Antimicrobial Monitoring System), which consolidates monitoring and outbreak tracking efforts from the United States Food and Drug Administration, Centers for Disease Control and Prevention, US Department of Agriculture, and other Public Health agencies [75–78]. However, a variety of smaller studies have published data covering over 88 species.

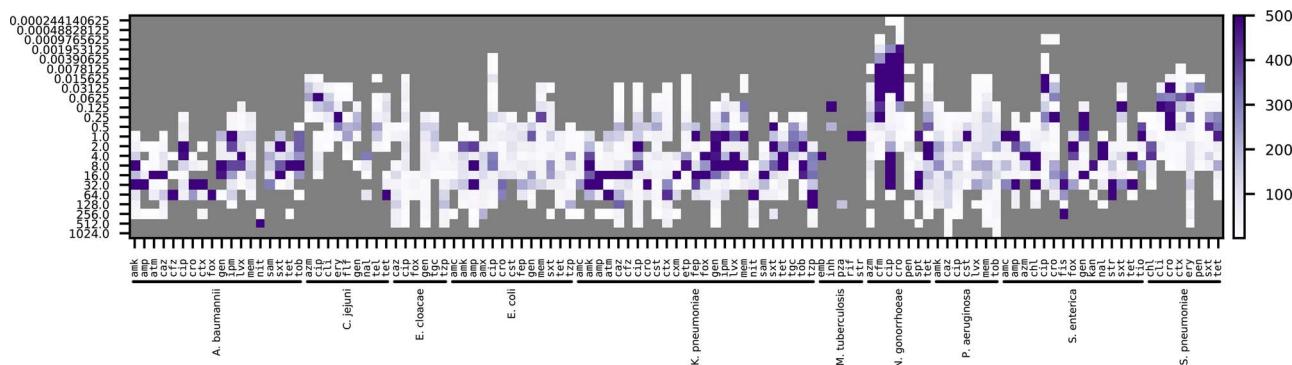
Across all organisms, data have been reported for approximately 128 antimicrobial compounds. Most of these compounds are well known antibiotics that are used extensively in the clinic. The compounds that have been tested on each isolate vary due to the organism's physiology, risks to patients, and the original study design and scope (Figure 1B). For example, many studies have focused heavily on extended spectrum beta-lactamase producing Gram-negative pathogens, methicillin and vancomycin resistant Gram-positives, and resistance to antimycobacterial drugs in *M. tuberculosis* (Figure 2). Data on experimental compounds, topical antibiotics and antibiotics that are used in veterinary settings are present in the collection, but are less common. SIR determinations are more common than the MICs, and although many species have a handful of organisms with MIC data, the most deeply sampled MIC datasets correspond with *A. baumannii*, *C. jejuni*, *E. cloacae*, *E. coli*, *K. pneumoniae*, *N. gonorrhoeae*, *P. aeruginosa*, *S. enterica* and *S. pneumoniae* (Figure 3).

### Data coverage and considerations

To our knowledge, this is one of the largest publicly available AST data collections that is paired with genome sequence data. Although it contains a large number of species, strains, genomes and antibiotic resistance phenotypes, there are sampling biases that exist due to the limited availability of data. For example, there are several important bacterial pathogens that are conspicuously underrepresented in the collection, including *E. coli*, which has only 4217 genomes with AST data. By comparison, there are just under 30 000 *E. coli* genomes in the PATRIC data resource. Considering that *E. coli* is one of the most intensely studied organisms and one of the most common Gram-negative bacterial pathogens in hospital systems worldwide, it is striking that such a small number of studies have published AST data and associated sequences for this pathogen [44, 79–84].



**Figure 2.** Counts of susceptible and resistant isolates with sequenced genomes for each species and antibiotic in the AST data collection. The category (susceptible or resistant) with fewer genomes is depicted. The color scale is capped at 500 genomes. Antibiotic abbreviations are defined in Table S2. Unnamed species with a 'sp.' designation are not shown.



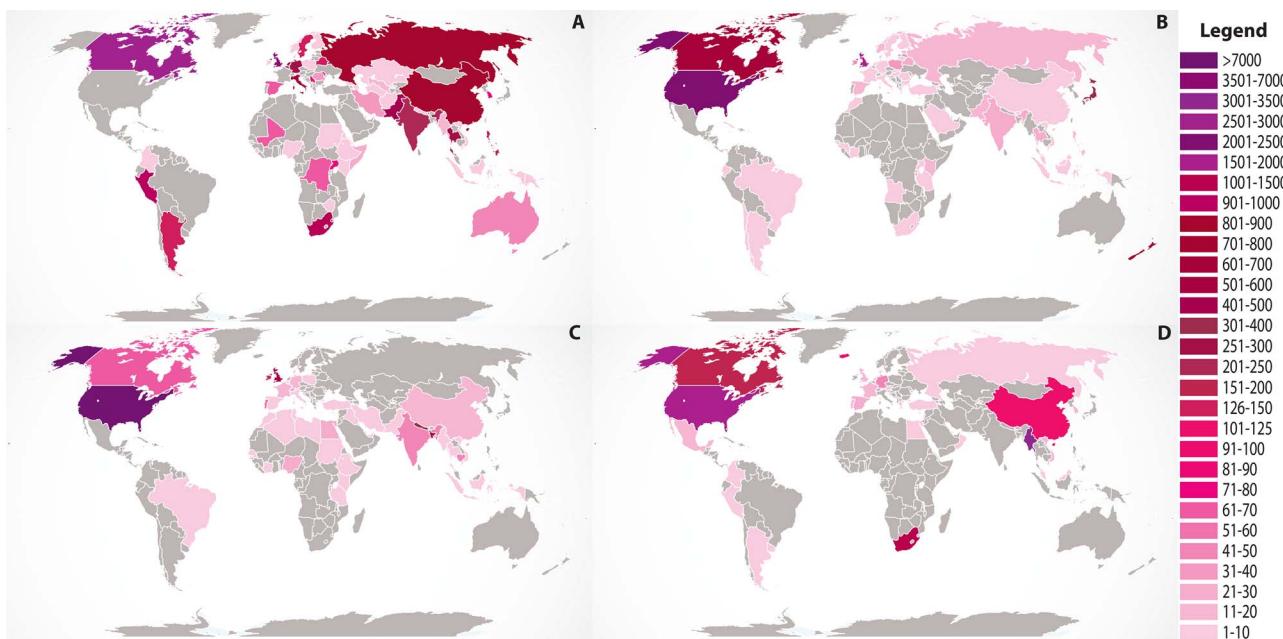
**Figure 3.** Counts of sequenced isolates with a given MIC for each species and antibiotic in the AST data collection. MICs are shown on the left. The color scale is capped at 500 genomes. Antibiotic abbreviations are defined in Table S2.

The related *Shigella* species are similarly underrepresented. In these cases, and that of other important surveillance organisms including *Campylobacter*, *Enterobacter* and *Enterococcus*, the efforts of genomic surveillance and source tracking projects are actively improving data coverage [85]. On the other hand, anaerobic organisms, including *Clostridium difficile*, are underrepresented due to the added difficulty in growing and testing these isolates. Many non-human pathogens commonly seen in veterinary and agricultural settings, and important environmental isolates, which may be acting as reservoirs of resistance, are also underrepresented. Despite these limitations, data continue to accumulate for more diverse organisms over time.

In addition to the variation in species sampling, the data collection also has biases that are related to the geographical sampling and the mission objectives of funding agencies. For instance, *M. tuberculosis* sampling skews heavily toward Asian, African and South American countries where tuberculosis is problematic and individual nations and the research community are focusing on surveillance (Figure 4). On the other hand, sampling of *N. gonorrhoeae* is heavily biased toward the USA,

Canada and the UK. In some cases, the deepest sampling is the result of sequencing during outbreaks, which can also skew the data phylogenetically. For example, most of the *S. enterica* serovar Paratyphi and Typhi data are from outbreaks from the Indian Subcontinent and Southeast Asia [86–91]. We expect some of these sampling biases to become less dramatic as more studies publish AST data, however; local outbreaks, endemic disease burdens and biased geographic sampling will continue to remain important considerations when using these data for downstream studies.

Because the sampling of genomes with AST data often reflects certain study goals, such as surveillance for resistance to certain classes of antibiotics, sequencing during outbreaks, and sequencing in the event of antimicrobial treatment failure, there can be differences in the underlying AMR gene content of the genomes in the AST data collection when compared with other publicly available genomes, or what might be expected in naturally occurring bacterial populations. As an illustration of this, Table S3 shows examples where there are large differences in the fraction of PATRIC genomes encoding various AMR genes



**Figure 4.** The country of isolation for genomes in the AST data collection. The top four species with the most genomes are shown: (A) *Mycobacterium tuberculosis*, (B) *Neisseria gonorrhoeae*, (C) *Salmonella enterica* and (D) *Streptococcus pneumoniae*. The legend depicts the number of genomes from each country. Global map courtesy of Free Vector Maps.com.

versus the subset of these genomes represented in the AST data collection. For example, there are currently 13% more *E. coli* genomes encoding TEM-type beta lactamases in the AST data collection versus all *E. coli* genomes in PATRIC, and there are 18% fewer genomes encoding OXA-23-type beta-lactamases in the *A. baumannii* genomes in the AST data collection versus all of *A. baumannii* genomes in PATRIC. These sampling differences should be taken into consideration when conducting analyses and evaluating the generalizability of models that are built from the data.

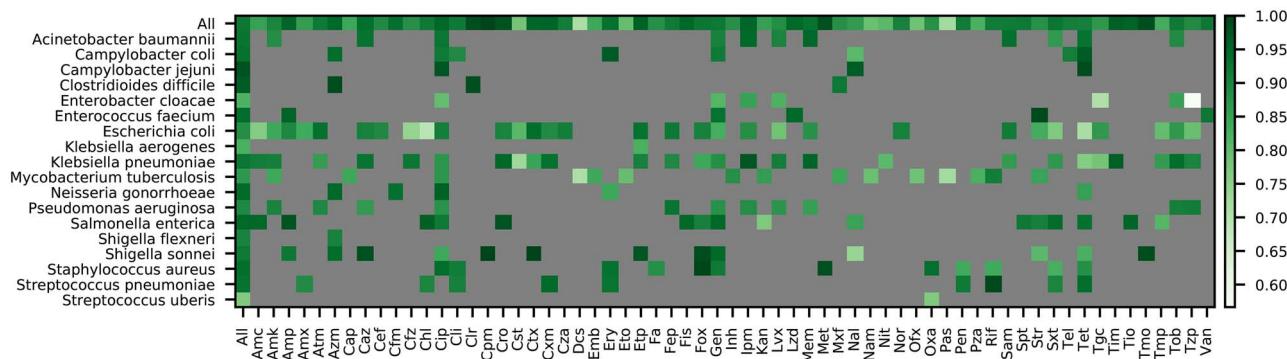
#### Using machine learning to predict AMR phenotypes and genomic regions

Advancements in artificial intelligence (AI) methods, and the development of software packages that support their use, have led to an explosion of interest in using these methods to advance our understanding of biology [92, 93]. One of the reasons for gathering and curating this AST data collection is to help advance our ability to predict AMR phenotypes and identify genomic regions that may be associated with resistance.

Many machine learning studies building predictive models from AST data have been published in recent years. The way that these models are constructed can differ depending on the research objectives, but models are typically built either as classifiers, which predict discrete phenotypes such as SIR calls, or as regressors, which predict a numeric value in a range, such as MICs. In either case, the features are often derived from the genome sequence data, and studies have used nucleotide and amino acid k-mers of various lengths, SNPs, gene content, phylogeny and combinations thereof to predict AMR phenotypes [41–46, 51, 52, 94, 95]. These features are given to the machine learning algorithm in the form of a matrix of frequencies or counts of each feature, and the machine learning identifies the features that best distinguish each category in order to make the prediction.

In previous work, we have built machine learning models using nucleotide k-mers of various sizes as features and several ensemble methods, including adaptive boosting (AdaBoost), random forest and extreme gradient boosting (XGBoost), to predict both SIR calls and MICs [41–43, 49]. The experimental design and computing requirements often dictate the choice of algorithm, parameters and k-mer lengths for these models. For example, we have used k-mer lengths ranging in size from 7 to 31 nucleotides. Shorter oligonucleotide k-mer lengths usually result in smaller matrix files, lower memory usage and faster compute times because there are fewer k-mer combinations. This can enable modeling on a larger number of genomes, but if the k-mer size is too small, the model accuracy begins to decay. Also, as the k-mer length decreases, they occur more frequently in the genome, making the interpretation of the important features more challenging. In our experience, k-mers that are 7–10 nucleotides in length are usually sufficient for good computing times and accuracies, and slightly longer k-mers of approximately 14–15 nucleotides usually provide the uniqueness needed for feature lookup.

Many of the machine learning algorithms allow the user to extract the top features that were used by the model to make the prediction. This offers the potential of using the algorithms as a research tool. When AMR models are based on sequence data, such as nucleotide k-mers or alignments, the top features often correspond with regions of the genome that are known to be involved in resistance [42, 43, 45, 46, 48, 51, 52, 61, 96]. Several previous studies building AMR prediction models have found top features in known AMR genes, resistance-conferring SNPs in housekeeping genes and integration sites for AMR conferring genetic elements, such as SCCmec [41–43, 45, 46, 48, 49, 51, 52, 61, 83, 96]. Other features, including SNPs in transporters and virulence factors that are correlated with resistance are also often found to contribute to the models [96]. In addition, these models frequently identify features in regions of the genome that are not known to be involved in resistance, such as SNPs in genes



**Figure 5.** F1 scores for an XGBoost model built to classify susceptible and resistant phenotypes. One model was built using nucleotide 7-mers as input features for all species with at least 75 susceptible and resistant genomes. The coloring depicts the F1 score for each antibiotic-species combination based on a 5-fold cross-validation. The 'All' category depicts the average F1 score for each species and antibiotic, respectively. Antibiotic abbreviations are defined in Table S2.

encoding metabolic functions [43, 46, 51, 52, 96]. In these cases, the direct relationship between the features found by the models and AMR phenotypes can be difficult to interpret. It is possible that some of these features may have a previously unrecognized role in AMR, or that they are compensatory epistatic changes that occurred as resistance became fixed in a given lineage [46, 51, 96]. In any case, the features found by a machine learning model can be thought of as a set of testable hypotheses, and they offer a useful starting point for downstream analyses.

#### The AST data collection as an exemplar data frame for modeling

To demonstrate the utility of the AST data collection for modeling, we built a classifier for predicting susceptible and resistant phenotypes across all of the species in the collection with at least 75 resistant and susceptible genomes (Figure 5). This represents a large number of genomes and compute resource consumption relative to models previously built by the group. To accommodate the large number of genomes, we used 7-mer oligonucleotides as features and trained the model using XGBoost, as described previously [41–43]. Overall, the F1 score for the entire model, averaged over each fold of a 5-fold cross-validation, is 0.925 [0.924–0.927, 95% confidence interval]. We also built a regression model to predict MICs using 7-mers and XGBoost using similar parameters (Figure 6). In this case, the average accuracy for the model, within  $\pm 1$  two-fold dilution step (which is the limit of resolution for most AST methods), is similarly high when there is sufficient sampling of genomes corresponding to a given MIC value for a species. In both cases, the models show that most of the AMR phenotypes in the collection with good sampling depth are predictable.

In general, the quality of these models often tracks with sampling, with the undersampled bins usually having lower accuracies. However, there are cases where the sampling is relatively deep, but the F1 scores and accuracies remain low. For example, in *K. pneumoniae* there are 860 and 528 genomes with AST data for tetracycline and tigecycline, but the F1 scores for these antibiotics are only 0.763 and 0.781, respectively. In these cases, more detailed examination of the data is required to understand the low F1 scores. For example, there could be issues with the underlying dataset relating to biases in sampling, phylogeny, genome quality, AST methods, etc. There could also be a genuine biological reason for the low accuracies such as phenotypes that are not hard-coded in the genome, including gene expression differences or persistence phenotypes. In either

scenario, the model serves as a useful starting point for both curation and research. It is also important to recognize that in the reverse case, where accuracies are high but sampling is low, more data are needed to ensure that the models remain generalizable. In these cases, it is often best to retrain a model as new data become available.

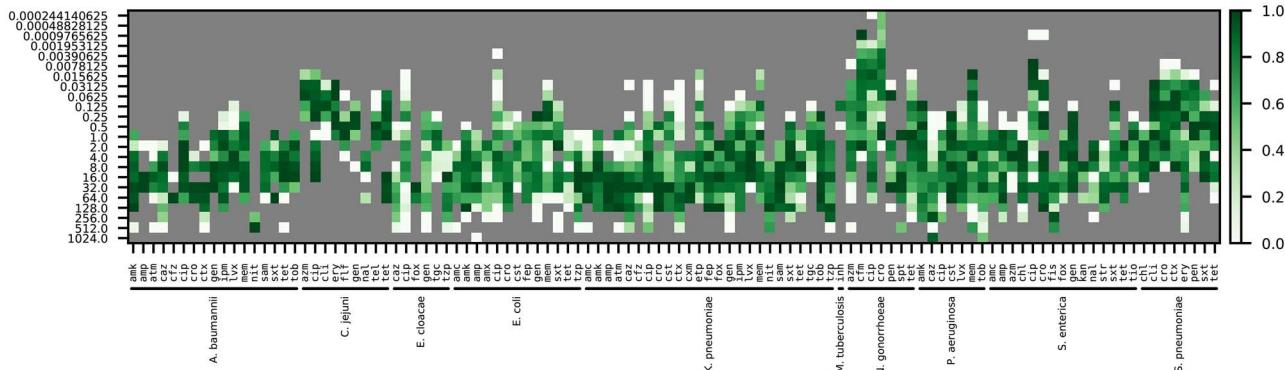
As data coverage improves and new modeling strategies are applied to the data, we will likely see incremental improvements in AMR prediction accuracies and their ability to generalize. In particular, several studies describing deep learning-based approaches have been published, and could offer a framework for improvement [45, 48, 82, 97]. This may eventually lead to diagnostic quality models that could be applied to whole genome or metagenomic sequence data.

Similar modeling approaches have also been used in source tracking projects to predict host organisms for *E. coli* and *S. enterica* strains [98–102], and may eventually be used on other phenotypes as data become available. An incisive use of machine learning models for feature extraction is also beginning to help disentangle our understanding of the epistatic changes and other previously unrecognized genomic changes that are correlated with AMR [46, 51, 96].

#### Concluding remarks

The objective in collecting and curating this AST dataset is to provide a resource to the research community that can be reused and shared. Well-curated structured datasets are required for building high-quality machine learning models and other tools. This is especially important in the biological sciences. The AST data provide a quantifiable phenotype for bacteria, so they offer one of the best opportunities for advancing AI in this space. Indeed, several machine learning studies have made use of this data collection, and they illustrate the many different ways that the data can be used for modeling [41–43, 45, 46, 49, 51, 52, 61, 96, 103, 104]. In addition to modeling, the data offer a means of identifying genomic regions involved in resistance and could aid in the development novel antibiotics and countermeasures to help prevent the spread and burden of AMR.

This data collection would not exist without the many contributions to science by the research community. We have curated the data as a means to advance the field, but consideration should be given to the authors of the original studies. Collecting these data from the literature, which includes the curation of data from supplemental spread sheets, tables and figures can be challenging and labor intensive. We strongly encourage data



**Figure 6.** Accuracies for an XGBoost model built to predict MICs. One model was built for all species with at least two different MICs, and 450 genomes for each antibiotic using nucleotide 7-mers as input features. The coloring depicts accuracy for predicting the MIC for each antibiotic and species based on a 5-fold cross-validation. Accuracies are reported within  $\pm 1$  two-fold dilution step, which is the limit of resolution for most automated MIC detection methods. Antibiotic abbreviations are defined in Table S2.

generators to submit AST data to NCBI using their structured antibiogram template. This ensures that the data are publicly available and long-lasting. It also reduces the potential errors that can result from secondary curation efforts.

As the field advances toward having millions of bacterial genomes, we should take a moment to look back upon the value of this massive data generation effort. In many cases, genomes are sequenced for the purposes of observing a natural phenomenon, such as looking for resistance genes or monitoring an outbreak. However, AI methods can be used to enable the design of targeted sequencing experiments aimed at solving specific biological problems and filling gaps in our current knowledgebase. As the cost of sequencing has gone down, projects that aim to sequence large strain collections with diverse phenotypes have become achievable and could lead to a wide range AI-enabled discoveries. For example, in addition to AMR, there are many other phenotypes that could be measured and collected, such as virulence and growth requirements, but sequenced genomes with these data remain surprisingly scarce [105, 106]. It is also possible that the aggregation of different types of metadata could lead to improvements in the accuracy or interpretability of AMR and other phenotype prediction models. In our opinion, more attention should be given to generating and storing metadata to improve the overall value and reusability of genome sequences. To this end, we hope that this AST data collection will serve as a reference point for designing targeted studies that will more rapidly improve our knowledge and ability to fight AMR.

## Key Points

- Antimicrobial resistance (AMR) is a major global health threat.
  - A necessary tool in studying and predicting AMR are genomes paired with phenotypes from laboratory-derived antimicrobial susceptibility tests (AST) for a given isolate.
  - In this paper, we describe a curated collection of over 67 000 publicly available genomes with AST data and demonstrate how it can be used to predict resistance phenotypes.

## Supplementary data

**Supplementary data** are available online at *Briefings in Bioinformatics*.

## Data availability

The AST data collection is currently maintained on the PATRIC FTP site ([ftp://ftp.patricbrc.org/RELEASE\\_NOTES/PATRIC\\_genomes\\_AMR.txt](ftp://ftp.patricbrc.org/RELEASE_NOTES/PATRIC_genomes_AMR.txt)), and is now also mirrored on the BV-BRC FTP site ([ftp://ftp.bvbrc.org/RELEASE\\_NOTES/PATRIC\\_genomes\\_AMR.txt](ftp://ftp.bvbrc.org/RELEASE_NOTES/PATRIC_genomes_AMR.txt)). Genomes and features can be downloaded by using the PATRIC and BV-BRC websites, FTP sites and the PATRIC command line interface tools (<https://docs.patricbrc.org/cliTutorial/#installing-the-cli-release>). The modeling code and the AST data file used to build the models can also be found at [https://github.com/BV-BRC/AMRMetadataReview\\_2021](https://github.com/BV-BRC/AMRMetadataReview_2021).

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