

3.20 A Substitution Cipher over a plaintext space of size  $n$  has  $|\mathcal{K}| = n!$  Stirling's formula gives the following estimate for  $n!$ :

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n.$$

- (a) Using Stirling's formula, derive an estimate of the unicity distance of the Substitution Cipher.
- (b) Let  $m \geq 1$  be an integer. The  $m$ -gram Substitution Cipher is the Substitution Cipher where the plaintext (and ciphertext) spaces consist of all  $26^m$   $m$ -grams. Estimate the unicity distance of the  $m$ -gram Substitution Cipher if  $R_L = 0.75$ .

**Solution:**

Let's assume:

**L > Unicity distance of the substitution cipher**

**C > Corresponding ciphertext**

When  $n!$  possible key the probability of guessing key is  $1/n!$

There are 2 cases for this. One when the length of Ciphertext (c) < L [ result: more possible plaintexts]

Other, length of the ciphertext (c) > L

For estimating unicity of substitution cipher,

When the length of the given ciphertext k , the possible plaintext are:

$$n(n-1)(n-2)(n-3).....(n-k+1)$$

when  $n$  is larger than  $k$  then value becomes  $n^k$ .

Now,

Suppose ciphertext= L

Possible plaintext  $n^L$  but if  $N$  is larger than L

$$n! \approx \sqrt{2\pi n} * (n/e)^n$$

adding log on both sides,

$$\log(n!) \approx \log(\sqrt{2\pi n} * (n/e)^n)$$

$$\log(n!) \approx 1/2\log(2\pi n) + n \log (n/e)$$

we know  $\log(x^y) = y \cdot \log(x)$  so,

$$\log(n!) \approx \frac{1}{2} \log(2\pi n) + n \log(n) - n$$

Solving for L,

$$L \approx (1/2 \log(2\pi n) + n \log(n) - n) / \log(n)$$

So,

$$L \approx (1/2 \log(2\pi n) + n \log(n) - n) / \log(n)$$

3.8 Suppose that  $y$  and  $y'$  are two ciphertext elements (i.e., binary  $n$ -tuples) in the *One-time Pad* that were obtained by encrypting plaintext elements  $x$  and  $x'$ , respectively, using the same key,  $K$ . Prove that  $x + x' \equiv y + y' \pmod{2}$ .

Here as we know,

Let  $n \geq 1$  be an integer, and take  $\mathcal{P} = \mathcal{C} = \mathcal{K} = (\mathbb{Z}_2)^n$ . For  $K \in (\mathbb{Z}_2)^n$ , define  $e_K(x)$  to be the vector sum modulo 2 of  $K$  and  $x$  (or, equivalently, the exclusive-or of the two associated bitstrings). So, if  $x = (x_1, \dots, x_n)$  and  $K = (K_1, \dots, K_n)$ , then

$$e_K(x) = (x_1 + K_1, \dots, x_n + K_n) \pmod{2}.$$

Decryption is identical to encryption. If  $y = (y_1, \dots, y_n)$ , then

$$d_K(y) = (y_1 + K_1, \dots, y_n + K_n) \pmod{2}.$$

Given.

Plaintext:  $x$  and  $x'$

cipher text after encrypting,

$$y = x + K \quad \text{----1}$$

$$y' = x' + K \quad \text{----2, where } + \text{ denotes X-OR operation}$$

From 1 and 2 equation we get,

$$y + y' = (x + K) + (x' + K)$$

$$y + y' = x + x' + 2K$$

$K$  denotes tuple, also

$2K \equiv 0 \pmod{2}$  as binary tuple addition with itself =0

We get,

$$y + y' \equiv x + x' \pmod{2}$$

i.e,

X-OR of (y and y' equivalent to x and x') mod 2

Also, if we (add plaintext of x and x' equivalent to adding the ciphertext of y and y' bitwise) modulo 2

Hence, proved.

3.4 Let  $\mathcal{P} = \{a, b\}$  and let  $\mathcal{K} = \{K_1, K_2, K_3, K_4, K_5\}$ . Let  $\mathcal{C} = \{1, 2, 3, 4, 5\}$ , and suppose the encryption functions are represented by the following encryption matrix:

	$a$	$b$
$K_1$	1	2
$K_2$	2	3
$K_3$	3	1
$K_4$	4	5
$K_5$	5	4

Now choose two positive real numbers  $\alpha$  and  $\beta$  such that  $\alpha + \beta = 1$ , and define  $\Pr[K_1] = \Pr[K_2] = \Pr[K_3] = \alpha/3$  and  $\Pr[K_4] = \Pr[K_5] = \beta/2$ .

Prove that this cryptosystem achieves perfect secrecy.

Here,

Suppose,

$$\alpha = 0.6$$

$$\beta = 0.4$$

$$\alpha + \beta = 1$$

$$0.6 + 0.4 = 1$$

Hence,

$$\Pr[K_1] = \Pr[K_2] = \Pr[K_3] = \alpha/3 = 0.6/3 = 1/5$$

$$\text{And, } \Pr[K_4] = \Pr[K_5] = \beta/2 = 0.4/2 = 1/5$$

$$\Pr[a] + \Pr[b] = 1$$

$$\Pr[a] = 1/5$$

$$\text{and } \Pr[b] = 4/5$$

$$\Pr[y] = \sum \Pr(k) * \Pr(dk(y))$$

Now,

$$\Pr[1] = (\Pr[a] * \Pr[K1]) + (\Pr[b] * \Pr[K3])$$

$$= (1/5 * 1/5) + (4/5 * 1/5) = 1/25 + 4/25 = 5/25 = 1/5$$

$$\Pr[2] = (\Pr[a] * \Pr[k2]) + (\Pr[b] * \Pr[K1])$$

$$= (1/5 * 1/5) + (4/5 * 1/5) = 1/25 + 4/25 = 5/25 = 1/5$$

$$\Pr[3] = (\Pr[a] * \Pr[k3]) + (\Pr[b] * \Pr[K2])$$

$$= (1/5 * 1/5) + (4/5 * 1/5) = 1/5$$

$$\Pr[4] = (\Pr[a] * \Pr[k4]) + (\Pr[b] * \Pr[K5])$$

$$= (1/5 * 1/5) + (4/5 * 1/5) = 1/5$$

$$\Pr[5] = (\Pr[a] * \Pr[k5]) + (\Pr[b] * \Pr[K4])$$

$$= (1/5 * 1/5) + (4/5 * 1/5) = 1/5$$

$$\text{Now, } \Pr[1] + \Pr[2] + \Pr[3] + \Pr[4] + \Pr[5] = 1$$

$$\text{As } 1/5 + 1/5 + 1/5 + 1/5 + 1/5 = 1$$

**Perfect secrecy achieved when**

**A posteriori probabilities = a priori probabilities**

$$\Pr[x | y] = \Pr[x] \text{ for } x \in P \text{ and } y \in C$$

$$\Pr[x | y] = (\Pr[x] * \Pr[y | x]) / \Pr[y]$$

$$\text{Where } \Pr[y | x] = \sum_{\{k: dk(y)=x\}} \Pr[K]$$

$$= 1/5 + 1/5 + 1/5 + 1/5 + 1/5 = 1$$

$$\Pr[x] = (1/5 * 1)/(1/5) = 1$$

3.15 Consider a cryptosystem in which  $\mathcal{P} = \{a, b, c\}$ ,  $\mathcal{K} = \{K_1, K_2, K_3\}$  and  $\mathcal{C} = \{1, 2, 3, 4\}$ . Suppose the encryption matrix is as follows:

	$a$	$b$	$c$
$K_1$	1	2	3
$K_2$	2	3	4
$K_3$	3	4	1

Given that keys are chosen equiprobably, and the plaintext probability distribution is  $\Pr[a] = 1/2$ ,  $\Pr[b] = 1/3$ ,  $\Pr[c] = 1/6$ , compute  $H(\mathbf{P})$ ,  $H(\mathbf{C})$ ,  $H(\mathbf{K})$ ,  $H(\mathbf{K}|\mathbf{C})$ , and  $H(\mathbf{P}|\mathbf{C})$ .

Here,

$$H(\mathbf{P}|\mathbf{C}) = H(\mathbf{P}, \mathbf{C}) - H(\mathbf{C})$$

Since,

$$\Pr[a] = 1/2,$$

$$\Pr[b] = 1/3,$$

$$\Pr[c] = 1/6.$$

Also,

$$H(\mathbf{P}) = 1/2 \log_2 2 + 1/3 \log_2 3 + 1/6 \log_2 6 = 2/3 + 1/2 \log_2 3 \approx \mathbf{1.459}$$

Now,

**Calculation of probability Distribution of C**

$$\Pr[y = 1] = 2/9,$$

$$\Pr[y = 2] = 5/18,$$

$$\Pr[y = 3] = 1/3,$$

$$\Pr[y = 4] = 1/6$$

**Hence, entropy of the ciphertext:**

$$H(\mathbf{C}) = -2/9 \log_2 2/9 - 5/18 \log_2 5/18 - 1/3 \log_2 1/3 - 1/6 \log_2 1/6 \approx \mathbf{1.955}.$$

**Since,**

$$\Pr[x = a, y] = 1/6, \text{ for } y = 1, 2, 3$$

$$\Pr[x = b, y] = 1/9, \text{ for } y = 2, 3, 4$$

$$\Pr[x = c, y] = 1/18, \text{ for } y = 1, 3, 4$$

**Remaining 3 probabilities are 0 so,**

$$\mathbf{H(P, C)} = 3 \times [1/6 \log_2 6 + 1/9 \log_2 9 + 1/18 \log_2 18] \approx \mathbf{3.044},$$

**Hence,**

$$\mathbf{H(P|C)} = H(P, C) - H(C) = 3.044 - 1.955 \approx \mathbf{1.089}.$$

