- an undirected graph is G=(V,E), with V the vertices, E the edges.  $V=\{V_1,V_2,...,V_n\}$  is a set of objects, E a set of connections between the objects s.t.  $\forall e \in E, e = \{u, v\}$  with  $u, v \in V$ .
- a directed graph differs in its edges E, where  $\forall e \in E, e = (u, v)$  with  $u, v \in V$ .
- a subgraph of G is  $H=(V_H,E_H)$  where  $V_n\subseteq V, E_H\subseteq E$ , and  $(\forall e=(u,v)\in E)(u,v\in V_H)$
- connected component: maximal connected subgraph (can't connect more nodes)
- a cycle in G=(V,E) is a sequence of nodes  $\{v_k\}\in V$  s.t.  $\{v_i,v_{i+1}\}\in E \land \{v_1,v_k\}\in E$
- a tree is an acyclic connected graph
- greedy algorithm:
  - local optimal decision to try solving a global problem
- decisions are irrevocable (helpful for easy time complexity proofs) - Minimum spanning tree (MST) problem: given an undirected connected graph G and nonnegative
- weights d(e) = d(u, v), find the subgraph T that connects all vertices and minimizes  $\mathrm{cost}(T) = \sum_{\{u,v\} \in T} d(u,v)$

- think about why 
$$T$$
 must be a tree. if there are cycles, you can trivially rm an edge to cut costs

- MST Cycle Property: let a cycle C be in G. the heaviest edge on the cycle C is not in G's MST.
- MST Cut Property: let  $S \subseteq V$ , s.t.  $1 \le |S| < |V|$ , i.e. S is not empty but not all nodes. Call a
- pair  $(S, V \setminus S)$  a cut of the graph. Every MST of G contains the lightest edge on the cut (where an "edge on the cut" is some  $e = \{u, v\}, u \in S, v \in V \setminus S$ ). - Prim's alg
- description
  - given graph G, choose arb node s, the start of T
    - repeating |V|-1 times,
    - add to T, the lowest edge (and nodes) from "in T" to "not in T"

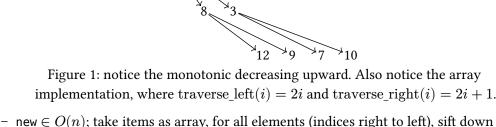
adds edges in the MST.

- proof

At any point in the algorithm,  $T=(V_T,E_T)$  is a subgraph and a tree. T grows by 1 vertex and 1 edge at each step, stopping at  $|V_G|-1$  steps, and so results in a spanning tree. Since

- The pair ("in T", "not in T") is a cut of G. By the Cut Property, the MST contains the lowest cost edge crossing this cut, which is by defn the next edge Prim's adds, i.e. Prim's

- these edges are in the MST from above, the spanning tree is the MST.  $\Box$ - impl
- (min) heap ADT
  - interface - fn new(items) -> Self;
    - - fn min() -> Item; - fn insert(item);
      - fn delete(item);
    - heap-ordered-tree (as an array) implementation



-  $\min \in O(1)$ ; it's at the top of the tree - insert  $\in O(\log n)$ ; insert as leaf preserving shape (aka dense array), sift up

- delete  $\in O(\log n)$ ; swap with leaf, delete, sift swapped down
- work of Prim's with a heap is  $O(m \log n)$ 
  - our MST-in-progress will be denoted T
  - 1. O(n): define distances to T for all nodes, init to  $\infty$ (because no nodes connected to our T that doesn't exist)
  - 2. 0(1): let u be an arbitrary node
  - 3. O(1): add u to T (let distance to T of u be  $-\infty$ )
- Reverse Delete
  - description - start with *G*
- proof
  - tree bc we removed all cycles by defn of algorithm - spanning bc |V| - 1 thing and non-split clause
  - description - start with  $T = (V, \{\})$ , i.e. all the nodes and no edges - repeating |V| - 1 times
- tree bc we didn't connect in a cycle... but why is output connected?

Kruskal's alg

- spanning bc |V| 1 thing and tree - minimum: at some step, let e be the next edge added. All rejected edges would have created cycles, and must be the max in the cycle they create by algo defn. This means, by
- interface

cycle property, it's MST.

- fn union(a, b); merges two sets array-based implementation index item lists size set

1

3

3

Figure 2: array-based union-find - new  $\in O(n)$ ; creates the three lists

- find  $\in O(1)$ ; size array lookup

- 3. update sizes array
- the # of items in the largest set????)

- new ∈ O(n)

proof

procedure

- tree-based implementation

 $-2k\log_2 2k \in O(k\log k)$  work

- let struct Node { parent: Option<&Node>, height: uint } - create an array of node addresses, so we can get from a node id to its node in O(1) create all singleton nodes - find ∈  $O(\log n)$ ; follow ptrs to the root, which is the set label - proof
- depth of tree is number of renamings - union  $\in O(1)$  (amortized?); move pointer, update height. is this really all we do?
- sorting edges  $\in O(m \log m) \in O(m \log n)$ - because  $m \le n^2$ , so  $\log m \le \log n^2 = 2 \log n$
- at most n-1 union ops in  $O(n \log n)$
- − sorting edges  $\in O(m \log n)$  from above

- total runtime  $\in O(m \log n + 2m \log n + n) \in O(m \log n)$ 

- 4. with (5), 0 (m) overall (not in loop calc):  $\forall v \in \mathsf{nbors}(u)$ , if the distance from v to T is closer than our saved distance from v to T, update this distance. With a heap, do del(v); insert(v, d(u, v)) or just decreaseKey(v, d(u, v)) 5. set parent of v to u if we did update (why?) 6. interesting time efficiency: set u to be the closest vertex to T7. loop O(n) times: while u exists, go to (3)
  - repeating |V| 1 times - remove edges by decreasing weight unless it'd split the graph
    - minimum: at some step, let e be the next edge removed. Since it's removed, it's in a cycle and must be the largest edge in it. By cycle property, all removed edges are not in the MST.
      - add edges in increasing order unless it creates a cycle
  - impl union-find abstract data type (ADT)

1

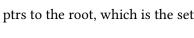
2

3

- fn new(items) -> Self; splits items into that many sets

- fn find(item) -> Label; finds the set the item belongs to
  - 4 4 5 1 6 1 7

- union  $\in O(\log n)$  amortized; 1. find smaller set  $(x \le y)$ 2. for each  $x_i$ , make set[elem] be y4. prepend smaller to larger list - (2) is computation. others O(1)- after k unions,  $\leq 2k$  items touched – for any item v,  $\mathsf{set[v]}$  is relabeled  $\leq \log_2 2k$  times (TODO WTF WHY) (2k is



– set at i is renamed at most  $\log_2 n$  times because each renaming doubles size

Figure 3: tree-based union-find.

- work of Kruskal's for array-based union-find is  $O(m \log n)$ 

- at most 2m find operations  $\in O(2m)$  (to check if edge would create cycle)

- work of Kruskal's for tree-based union-find is also  $O(m \log n)$ 
  - at most 2m find ops  $\in O(2m \log n)$ - at most n-1 union ops  $\in O(n)$
- total runtime  $\in O(m \log n + 2m + n \log n) \in O(m \log n)$