



# 5Paisa Capital Limited

Application No. 5\*

## ACCOUNT OPENING FORM

CLIENT CODE 58035588

CDSL ID 12082500

CLIENT NAME SAFWAN SAID AHMAD BARDOLIA

### INDEX OF DOCUMENTS

#### SECTION - A - MANDATORY DOCUMENTS

##### AS PRESCRIBED BY SEBI AND EXCHANGES

###### Account Opening Form {Pg 1-3}

Part I - KYC form - Document captures the basic information about the constituent and an instruction / checklist.

Part II - Document captures the additional information about the constituent relevant to trading account and an instruction/check list.

Nomination Form for appointing a nominee to the client's account (In case of nomination)

###### Client Declaration Tariff Sheet {Pg 4}

Document detailing the rate/amount of brokerage and other charges levied on the client for trading and demat account on the stock exchange(s)/ depository

###### Rights & Obligations of Stock Brokers, Sub-Broker & Clients

{Included in client copy}

Document stating the Rights & Obligations of stock broker / trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading).

###### Rights & Obligations of Beneficial Owner

& Depository Participant {Included in client copy}

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.

###### Risk Disclosure Document (RDD) {Included in client copy}

Document detailing risks associated with dealing in the securities market

###### Guidance note {Included in client copy}

Document detailing do's and don'ts for trading on exchange, for the education of the investors.

###### Policies and Procedures {Included in client copy}

Documents describing significant policies and procedures of 5paisa Capital Limited (5paisa) related to Trading Account of the client.

5Paisa Capital Limited is involved in proprietary trading on NSE and BSE.

#### SECTION-B - VOLUNTARY DOCUMENTS

##### AS PROVIDED BY STOCK BROKER/ DEPOSITORY PARTICIPANT

###### Terms & Conditions cum Registration form for receiving SMS Alerts from Depository {Included in client copy}

Terms and Conditions-cum-registration / Modification form for receiving SMS Alerts from CDSL

###### For Distribution of Securities {Included in client copy}

Terms & Conditions for Distribution of Securities

###### Mutual Fund Trading Services {Included in client copy}

Terms and Conditions for availing Mutual Fund Service System (MFSS) facility and BSE StAR MUTUAL FUND offered by NSE and BSE respectively

###### Authorisation Letter {Pg 5}

- A. Running Account Authorisation
- B. Authorisation for Receipt of Contract Notes and Other documents in Digital Mode

###### Power of Attorney {Pg 6-9}

Voluntary and Limited purpose POA in favour of Broker for operational requirements as per client authorization/instruction. A POA in favour of 5paisa Capital Limited.

###### Debit Mandate Form NACH/ECS/Direct Debit {Pg 10}

###### Authorisation to opt/Maintain Regular Demat Account (Non BSDA) {Pg 14}

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND ENSURE ALL THE DETAILS PROVIDED ARE CORRECT.

### CHECKLIST OF DOCUMENTS

eSigned document:

☒ Application No. (Signed on both sides)

22.09.2020 15:04:27

☒ Bank Mandate (Signed)

☒ PAN Card Copy (Signed photocopy)

☒ Cheque Leaf (Cancelled original)

☒ Address Proof (Signed photocopy)

☐ Income Proof



Application No.

5\*

**KNOW YOUR CLIENT (KYC)**  
Please fill in ENGLISH and in BLOCK LETTERS**IDENTITY DETAILS**

Name of Applicant **MR SAFWAN SAID AHMAD BARDOLIA**

Maiden Name

Father/ Husband Name **MR SAID AHMAD MUSAJI BARDOLIA**

Mother Name **Mrs YASMEEN BARDOLIA**

Date of Birth **Oct 5 1999** Gender **M** Marital Status **UNMARRIED**

Nationality **INDIAN** PAN **ECFPB0054Q** Aadhar No

Status **INDIVIDUAL** Sub Status **Individual-Resident Negative Nomination**

Proof of identity **PAN CARD**



Please sign across photograph

**CONTACT DETAILS OF SOLE / FIRST APPLICANT****Permanent Address**

RAJIV GANDHI NAGAR NAVAPUR TAL NAVAPUR NAWAPUR NANDURBAR NAVAPUR

City **NAVAPUR** State **MAHARASHTRA**

Country **INDIA** Pin **425418**

Proof of Address provided **Validity/Expiry Date**

AADHAAR CARD

Mobile **Email ID**

91 9689697084 **SAFVANBARDOLIA@GMAIL.COM**

**Correspondence / Residence Address**

RAJIV GANDHI NAGAR NAVAPUR TAL NAVAPUR NAWAPUR NANDURBAR NAVAPUR

City **NAVAPUR** State **MAHARASHTRA**

Country **INDIA** Pin **425418**

Proof of Address provided **Validity/Expiry Date**

AADHAAR CARD

Residence **Office Tel. No.**

**DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place : **NAVAPUR**Date : **2020-09-22****SIGNATURE OF APPLICANT****FOR OFFICE USE ONLY**

5paisa Capital Ltd.

☒ (Originals Verified) Self Certified  
Document copies received☒ (Attested) True copies of documents  
received Main Intermediary

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

Client interviewed by **Akshata Amit Patil**

22.09.2020 15:04:27

Seal of the Intermediary should contain  
Staff Name: Akshata Amit Patil  
Designation: Financial Advisory Network  
Member  
Name of the Organization: 5Paisa Capital Ltd.  
Signature  
Date: 2020-09-22

Employee Code **a205311**IPV Done ☒ On 2020-09-22

Seal of the Intermediary should contain  
Staff Name: Akshata Amit Patil  
Designation: Financial Advisory Network  
Member  
Name of the Organization: 5Paisa Capital Ltd.  
Signature  
Date: 2020-09-22

Designation **Financial Advisory  
Network Member**



Application No.

5\*

**ACCOUNT OPENING FORM**

Please fill in ENGLISH and in BLOCK LETTERS

**CLIENT DETAILS**

Client Name

MR SAFWAN SAID AHMAD BARDOLIA

PAN

ECFPB0054Q

Occupation

PRIVATE SECTOR

Annual Income

0-1Lac

Trading Experience

1Year

Income Proof

I wish to trade in

NSE CASH	9/23
BSE CASH	7/23

NSE FO	4/23
BSE FO	9/23

NSE CD	8/23
MCX	9/23

MF	6/23
BSE	9/23
Currency	

**BANK DETAILS**

Bank A/C No.

35069954629

A/C Type

Savings

Bank Name

STATE BANK OF INDIA

Bank Branch

NAVAPUR

IFSC Code

SBIN0001162

MICR Code

425002808

**DEPOSITORY ACCOUNT DETAILS (For Trading & DP)**

Account Type : Resident Individual

Client Name

FIRST HOLDER

MR SAFWAN SAID AHMAD BARDOLIA

PAN

ECFPB0054Q

Occupation

PRIVATE SECTOR

SECOND HOLDER

THIRD HOLDER

I wish to open Depository Account with CDSL.

Signature

**PAST REGULATORY ACTIONS (Last 3 years)(To be hand filled)**

Particulars

Name of Authority

MM/YYYY

**OTHER TRADING AND DEMAT DETAILS**

Name of Broker / Authorized person

Client Code

DP ID

BO A/C Number

**DECLARATION**

Politically exposed person

NO

Related to politically exposed person

NO

- I/We wish to receive Electronic Contract Note (ECN) and Electronic Annual Report (EAR) on my email id [Client\_EmailID]
- I/We wish to avail the facility of internet trading / wireless technology
- I/We hereby authorize you to receive credits automatically into my/ our Demat Account maintained with 5paisa Capital Limited.
- I/We hereby authorize you to operate the Demat Account through Power of Attorney (PoA) and accordingly will be provided SMS alert facility on my registered mobile number
- I/We hereby authorize you to credit interest / dividend, directly to my bank account details provided above through ECS
- I/We do not wish to receive Delivery Instruction Slip (DIS) since the account will be operated through POA
- I/We hereby request you to send me the DP Account Statement quarterly by email
- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We confirm having received a copy of the following documents and further confirm having read and understood the contents of these documents:
  - Rights and Obligations of Stock Brokers, Sub-Brokers and Clients as prescribed by SEBI and Exchanges
  - Policies and Procedures applicable to clients.
  - Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories
  - Risk disclosure Document for Capital, Currency, Stock and Commodity Derivatives Segment
  - Guidance Note-Do's and Don'ts for Trading on the Exchange (s) for Investors
- I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
- I/We further understand that any change in the said documents including the "Policies and Procedures" shall be made available on the website of 5paisa and also intimated to me through circulars in internet trading platform/e-mails. I/We shall keep myself updated of the same.
- I/We agree that the broker shall not be held responsible for any breakdown of the system either due to the fault of the systems of the broker /the exchanges or otherwise.
- I/We agree that I/we will not be compensated by the broker for any loss opportunity viz. notional profits on buy/sell orders which could not be executed due to any reason whatsoever, including but not limited due to time lag in the execution of the order or the speed at which the system of the broker/ the exchanges is operating, any shutting down by the broker of my/our system for any reason or the broker disabling me/us from trading on its system for any reason whatsoever
- The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such account. I/We hereby declare that the details furnished are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby confirm that the Email ID and Mobile number provided by me for this account belong to me and should be used for purposes of all communications.
- To register for EASI, please visit [www.cdslindia.com](http://www.cdslindia.com). EASI allows a BO to view his ISIN balances, transactions and the value of the portfolio online.
- I/We confirm that details provided by me/us are true and correct. I/We have invested or desirous to invest in the Scheme(s) Mutual Fund under Direct Plan and agree to comply with the terms and conditions of the Scheme related documents.
- I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned RIA.

**SOLE / FIRST HOLDER****SECOND HOLDER****THIRD HOLDER**

Name

MR SAFWAN SAID AHMAD BARDOLIA

Signature

Version : 1

3

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

## TARIFF SHEET

Trading Account Processing Charges		Other Charges	
FREE	Non Refundable (Inclusive of GST)		Standard charges
No charges are payable for opening of demat accounts.		Pledge creation	Rs. 50
<b>Broking Charges</b>		Pledge closure	--
	Rate	DEMAT - Per certificate	Rs. 15
Cash	Rs. 20 per order	REMAT - Per certificate OR Per 100 Units/shares (Whichever is higher)	Rs. 15
Equity Futures		Conversion of MF units/ Destatmentisation Per SOA	Rs.15
Equity Options		Reconversion of MF units to SOA or Redemption/Restatmentisation Per SOA	Rs. 15
Currency & Commodity Derivatives		Postal Charges per request shall be charged separately	Rs. 40
Auction Charges	As per 5paisa Auction process criteria	Rejection/Failure per entry	--
*Subject to Regulatory Caps			
<b>Depository Charges</b>			
A/c Maintenance Charges	Rs.45/ traded month		
Credit Charges	NIL		
Debit Charges#	Rs. 12.50/- per transaction per scrip		
# Rs.50/- per transaction per scrip in case of margin transfer. These charges would be debited to the trading ledger on a weekly basis and a bill will be sent every month.			

- Document handling charges amounting to Rs 100/- shall be leviable for customers who intend to receive documents like contract notes and other related documents additionally in Physical mode.
- Inter-settlement / CUSA to pool / Collateral to pool and MTF to pool transfer would be charged at Rs 12.50 per transaction per scrip (excluding GST and applicable taxes). The charges would be debited to the trading ledger on a weekly basis.
- No Annual maintenance charges shall be payable for the demat account where no trades have been executed by the customer in his account under any segment opted by him.
- Demat Maintenance charges amounting to Rs 45/- shall be charged only for the month where the customer has placed atleast one trade in his account in any segment. The charges for the said month shall be debited immediately on execution of the first trade during the month.
- For customers availing our Call Center services which are equipped with modern technology, there will be a nominal charge of Rs 100/ call (exclusive of GST and other taxes, if applicable).
- Value of the transaction will be in accordance with rates provided by Depository.
- Statutory and other levies being charges related to the execution and/or settlement of transactions shall be separately chargeable as in force from time to time.
- Brokerage is also applicable in case of expiry of futures and exercise and assignment of option contracts @ Rs.50/- per trade.
- Payment gateway charges on actual basis per transaction as charged by the payment gateway vendor to 5paisa Capital Limited shall be payable by the customer.
- Charges levied by the regulatory authorities for availing of E-Sign facilities shall be paid by the customer on actual basis.
- Demat charges shall be applicable for MF transactions. Processing of Direct Mutual fund transactions will be charged at Rs. 20 exclusive of GST.
- Charges quoted above are for the services listed and any services availed other than the ones listed above will be charged separately.
- The above charges are exclusive of GST, Securities Transaction Tax, other charges and stamp duty, if applicable and the same shall be charged to the Client's account on actual basis.
- Customer is required to maintain a minimum balance of Rs 450/- in his/her ledger account.
- \*Charges/Service standards are subject to revision at sole discretion of 5paisa Capital Ltd after due intimation to the customer over E-mail / SMS / such other notification as may be. Management also reserves the discretion to partly/fully waive any charges and the right to freeze, discontinue or suspend any account, if required.

I/ We have understood and accepted the Schedule of Charges as stated here in above.

SOLE / FIRST HOLDER

SIGNATURE

eSigned document:

SAFVAN SAID AHMAD BARDOLIA

Official Name: Akshata Amit Patil

22.09.2020 15:04:27

Version : 1

SECOND HOLDER

Official Signature :

THIRD HOLDER

Apatil

## AUTHORIZATION LETTER

**Running Account Authorisation to Spaisa Capital Limited**

- a) I would like to keep securities purchased by me with you as collateral for giving me exposure limits in my trading account/margin obligation. I authorize you not to transfer shares/securities purchased by me to my demat beneficiary account and keep them with yourselves. I also authorise you to pledge these shares/securities with the Clearing Member/ Exchange/Custodian, at your discretion. In case any of the shares/securities are sold in subsequent settlements, you may take this as a standing instruction to adjust against my previous purchase positions in any of Exchanges.
- b) Whenever I require delivery of any shares/securities, I shall send you a request. I understand that such payout of securities will be made subject to your risk management policies.
- c) In case there is a debit balance in my account, you are authorized to sell at any point of time the shares/ securities held by me/ held on my behalf, at your sole discretion. Any profit or loss made on such transactions will be to my account as it would have occurred on normal purchase/sale made by me.
- d) I also agree to pay the balance amount, if any after deducting credit of sale of shares. I also request you not to issue cheques /make payments settlement wise unless specifically demanded by me, as payin and payout are very close and therefore exchange of cheque becomes unnecessary paper work.
- e) I/We understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you.
- f) I further authorise you to set off/ adjust any of my debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my account within the period of settlement of my account (Securities and Funds) as opted by me.
- g) The Securities lying in client beneficiary account on my/our behalf and/or credit balances lying in my/our ledger account of any segments of any Exchanges can be considered as margin for the any segments of any Exchanges.
- h) I/We understand that this authorization shall remain valid until revoked by me/us. I/we undertake to clear all my dues arising during the validity of this authorization else the member shall recover all my/our dues in my/our ledger till date. I also undertake to clear all my dues to you before serving revocation of this authorisation else the member will recover all my dues in my ledger till date and then effect the revocation.
- i) **I /We further wish to have settlement of my account (funds and securities):- (Tick any one option) : [●] Once in Quarter [ ] Once in Month**  
 I /We understand that settlement amount shall be subject to retention of requisite securities/ funds towards outstanding obligations and margins in my account calculated in the manner specified by SEBI/ Exchange and details mentioned in the "Statement of Account" at the time of settlement. I /We authorise you to send the statement of account on funds and securities as on the date of settlement to my internet trading account or e-mail id registered with you. I /We understand that I /We can obtain a copy of the same from any of your Branch offices.  
 I /We understand and authorize that you will not release the payout in case the balance after necessary retention is up to Rs.10000/- or any other sum as specified by Exchanges from time to time in any of the quarters, till my revocation in writing as I /We will be doing regularly trading with you. I/We also undertake to bring any dispute arising from Statement of Accounts or settlement so made to your notice within seven working days from the date of receipt of funds/securities or Statements as the case may be. So kindly maintain my/our account as a running account basis for funds and securities.

**AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE**

I/We hereby consent to receive from Spaisa Capital Limited the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement (s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my below mentioned email id (said email id). E-mail Id: SAFVANBARDOLIA@GMAIL.COM I/We further hereby agree that the Spaisa Capital Limited. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

- (i) The above is my/ our personal E-mail id. The same has been created by me/us and not by someone else. I/We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the abovementioned e-mail account. Spaisa Capital Limited shall not be liable to or responsible for any breach of secrecy. I/We am/are aware that the statements/ documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I/We will be solely responsible for the security of the information provided on my/ our aforesaid e-mail id.
- (ii) E-mails sent to any of the above mentioned e-mail accounts, which have not bounced back, shall be deemed to be duly delivered to me/Us. I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the Contract Note and other documents to the above mentioned email ID (s).
- (iii) Spaisa Capital Limited shall not take cognizance of out-of -office/ out of station auto replies and I/We shall be deemed to have received such electronic mails.
- (iv) Such Statements shall be deemed to have been delivered on the day when the e-mail is sent by Spaisa Capital Limited.
- (v) Spaisa Capital Limited shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
- (vi) Spaisa Capital Limited shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any Statement from Spaisa Capital Limited.
- (vii) I/We shall inform Spaisa Capital Limited by way of a letter in writing duly signed by me or inform through internet platform if there is any change in the Email given above.
- (viii) I/We undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with Spaisa Capital Limited. My/Our non-verification or not accessing the Statement on regular basis shall not be a reason for disputing the same at any time.

I/We shall immediately inform SPAISA CAPITAL LIMITED about change in email address, if any. I/We further agree that SPAISA CAPITAL LIMITED will not be responsible for non receipt of documents sent via electronic delivery due to change in/incorrect email address/correspondence address as mentioned or any other reason which inter alia include technical reasons or malfunction of my/our computer system/server/internet connection etc. Further I/We agree and undertake to indemnify SPAISA CAPITAL LIMITED and its group companies, that they will not be held liable/ responsible for any losses, claims damages, cost and liabilities etc. caused to me/us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc that may arise in future on account of my/ our above authorization request.

**Declaration**

I/We confirm having received a hard copy of the following documents and further confirm having read and understood the contents of these documents. SMS Alerts Facility by depository : I/ We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/ our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/ charges as may be levied by the depository from time to time. I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

Terms & Conditions For Distribution of Securities.

Terms and Conditions for availing Mutual Fund Service System (MFSS) facility and BSE Star MUTUALFUND offered by NSE and BSE respectively.

Terms and Condition for availing systematic investment in Mutual fund/Securities

The above declaration has been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note and do

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

**SOLE / FIRST HOLDER**

**SECOND HOLDER**

**THIRD HOLDER**

**Signature**











Application No. 5\*

**AUTHORIZATION TO OPT/MAINTAIN REGULAR DEMAT ACCOUNT  
(NON BSDA)**

Please fill in ENGLISH and in BLOCK LETTERS

To 5paisa Capital Limited,

Date:

DP ID:

Mumbai:

Dear Sir / Madam,

CDSP - DP ID

Client ID 5 8 0 3 5 5 8 8

Keeping in view my past and proposed transaction and holding, I would like to continue / avail normal demat account.

I have also noted that being a normal demat account (Non BSDA), normal AMC as per the company's demat tariff would be applicable. The tariff for normal demat account (NON BSDA) are already provided and agreed under tariff sheet in KYC Form and changed through intimation by the company from time to time.

**Terms and Conditions**

1. I/we hereby confirm and understand that by providing this authorization we specifically waive of the right to assess my demat account as BSDA account even if applicable by eligibility.
2. Salient Features of BSDA are kept on [www.5paisa.com](http://www.5paisa.com). Click here for salient features of BSDA account. I/we hereby confirm to have read and understood the salient features of BSDA account.
3. I/ we confirm and understand that my demat account would be charged and treated as normal demat account (NON BSDA) until opted otherwise by a written communication to 5Paisa.

**SOLE / FIRST HOLDER****SECOND HOLDER****THIRD HOLDER****Name**

MR SAFWAN SAID AHMAD BARDOLIA

**Signature**

Place: NAVAPUR

Version: 1

Signed document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

**Consent/ Authorization to avail Margin Trading with Spaisa Capital Limited**

Date: \_\_\_\_\_

To **Spaisa Capital Limited**,  
 1st Floor, IIFL House, Sun Infotech Park  
 Road No 16V, Plot No. B-23,  
 Thane Industrial Area, Wagle Estate,  
 Thane – 400 604

- I/we am / are interested in activating my/our account for avail margin trading funding with Spaisa Capital Limited. I/we hereby authorize you to activate my/our account for the above mentioned funding as per my consent.
- I/we agree and understand to the terms and conditions of Margin Trading Funding provided by Spaisa Capital Limited. I/we do hereby agree to be bind by such provisions as outlined in these documents and act in accordance with the same while availing the margin trading funding. I/we hereby undertake to refer to the updated policies and procedure as posted on Spaisa website and abide by the same.
- I/we confirm and agree to receive all the communication such as confirmation of orders/trades, margin calls, decisions/calls to liquidate the collateral/ positions / security on my registered email address or registered mobile number provided with Spaisa Capital Limited send electronically by Spaisa Capital Limited.
- I/we hereby agree to that the margin funding shall carry interest at 18 % per annum payable weekly.
- I/we understand that by availing the funding to trade under Margin Trading, i/we authorize Spaisa Capital Limited to treat all my/our trade in group I securities, which are not covered by 100 % margin (i.e Cash in ledger) as trades under Margin Trading Funding and accordingly i/we authorize Spaisa capital limited to report all such trades to the exchange(s) on next day as Margin Trading Trades.

I/we understand and acknowledge that Spaisa Capital Limited would consider entire clear ledger credit balance in normal trading ledger for adjustment against the margin trading funding trades (Ledger) and would levy the interest on the net debit balance in the MTF Ledger.

- **Commodities Segment**

I/We the undersigned have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/We hereby undertake to comply with the same. I/We hereby declare and undertake that I/we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through you or through any other member(s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I/We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges / SEBI.

Signature of the Client

eSigned document:

SAEWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

Version : 1

**SOLE / FIRST HOLDER**

Signature 

**SECOND HOLDER**



**THIRD HOLDER**



आयकर विभाग  
INCOME TAX DEPARTMENT



भारत सरकार  
GOVT. OF INDIA



स्थायी लेखा संख्या कार्ड  
Permanent Account Number Card  
ECFPB0054Q



10102018

नाम / Name  
SAFWAN SAID AHMAD BARDOLIA

पिता का नाम / Father's Name  
SAID AHMAD MUSAJI BARDOLIA

जन्म की तारीख /  
Date of Birth  
05/10/1999

*Safwan*  
हस्ताक्षर / Signature

**5PAISA CAPITAL LIMITED**  
**PAN VERIFIED**

Employee Code : a205311  
Employee Name : Akshata Amit Patil  
Signature : *Apatil* Date : 2020-09-22

**5PAISA CAPITAL LIMITED**

In person verification done by  
Employee Code : a205311  
Employee Name : Akshata Amit Patil  
Designation : Financial Advisory Network  
Signature of Employee : *Apatil*  
Date : 2020-09-22

**5PAISA CAPITAL LIMITED**  
**VERIFIED WITH ORIGINAL**

Employee Code : a205311  
Employee Name : Akshata Amit Patil  
Signature : *Apatil* Date : 2020-09-22

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27



 

भारतीय विशिष्ट ओळख प्राधिकरण  
भारत सरकार  
Unique Identification Authority of India  
Government of India

नोंदणी क्रमांक/Enrolment No.: 2189/32018/22545

To  
सफवान सईद अहमद बारडोलीया  
Safwan Said Ahmad Bardolia  
S/O Said Ahmad Musaji Bardolia  
Rajiv Gandhi Nagar, Navapur Tal.Navapur  
Nawapur  
Nandurbar Navapur  
Maharashtra - 425418  
9689697084

Generation Date: 24/02/2017

Signature valid  
Generation Date: 24/02/2018

आपला आधार क्रमांक / Your Aadhaar No. :  
**7190 9618 6284**  
माझे आधार, माझी ओळख

 

सफवान सईद अहमद बारडोलीया  
Safwan Said Ahmad Bardolia  
जन्म तारीख/ DOB: 05/10/1999  
पुरुष / MALE

**7190 9618 6284**  
माझे आधार, माझी ओळख

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

**5PAISA CAPITAL LIMITED**  
**VERIFIED WITH ORIGINAL**

**Employee Code** : a205311

**Employee Name** : Akshata Amit Patil

**Signature** : 

**Date** : 2020-09-22

नेहरूवापसी जाणपरी संक्षिप्त रूपे सामान्यतः प्रयोग किए जाने वाले संक्षेपाक्षर / Generally Used Abbreviations :

a/c = खाते / खाता / Account	DR = दामे / नामे / Debit	ret/rtn = रिटर्न / वापसी / Return
adj = समायोजन / समायोजन / Adjustment	DoB = प्रसवतारीख / जन्मदिन / Date of Birth	Rnd = पूर्ण / पूर्ण / Round of
Am = रकम / राशि / Amount	et = इलेक्ट्रॉनिक निधि अंतरण / इलेक्ट्रॉनिक निधि अंतरण / Electronic fund transfer	sb = बचत बैंक / बचत बैंक / Savings Bank
Ar = अवकाश / अवकाश / Arrear	Inop = अकार्यशील / निष्क्रिय / Inoperative	SC = वसुलीसाठी लिखित / वसुली के लिए लिखत / Short Credit
bal = बाकी / शेष / Balance	ins = विमा / बीमा / Insurance	SL/So/SORD = स्वारी अतरेष / स्वाधी अनुदेश / Standing Instruction
Capn = पूंजीकरण / पूंजीकरण / Capitalization	int/in = व्याज / भाज / Interest	S/D/W/H/o = पुत्रा-पुत्री-पति-पत्नी / लड़का-लड़की-पत्नी-पति / Son/Daughter/Wife/Husband of
chg/ch = धन / प्रभार / Charge	l.oa/la = कर्ज / ऋण / Loan	trd/tr = ट्रांसफर / ट्रांसफर / Transfer
chq = चेक / चेक / Cheque	min = किमान / न्यूनतम / Minimum	TT = तार-अंतरण / तार अंतरण / Telegraphic Transfer
clor = रोख / समाप्ति / Closure	os = बाकी / अवकाश / Outstanding	trn = व्यवहार / लेनदेन / Transaction
coll = वसुली / संग्रहण / Collection	P & T = पोस्ट व टेलीग्राम / पोस्ट व टेलीग्राम / Postage & Telegram	Wd = आहरण / आहरण / Withdrawal
comm = आहूत / आहूत / Commission	Pos = बिन्दुस्थान / बिन्दुस्थान / Point of sale	MOD bal = प्रकृण बल (ब.ब. + खर्च) खाता / कुल बल (ब.ब. + खर्च) खाता / Total Balance
COR/CORR = दुरुस्ती / शोधन / Correction	Pr = प्रिन्सिपल / प्रिन्सिपल / Principal	(SB + linked MOD a/c.)
CR = क्रेडिट / क्रेडिट / Credit	proc = प्रसिद्धि प्रसार / प्रसिद्धि प्रसार / Processing Charge	
cash = रोख / रोख / Cash	rd = आवर्तित नग / आवर्तित नग / Recurring Deposit	
dep = जमा / जमा / Deposit		
dft = मसुदा / मसुदा / Draft		
dish/dsh = नकार / अस्वीकार / Dishonour		

भारतीय स्टेट बैंक • भारतीय स्टेट बैंक • State Bank of India

CIF No : 88565069839  
Account No : 35069954629  
Customer Name: Mr. SAFWAN SHAHIDAHMAD BARDOLIYA

S/D/W/H/o: SHAHIDAHMAD  
Address: RAJIV GANDHI NAGAR

NAVAPUR  
Phone:  
Email:  
D.O.B. (If Minor): 05/10/1999  
Toll Free Helpline - 1800 112211 / 1800425

NAVAPUR  
MAIN ROAD

Phone: 250925  
Email: sbi.01162@sbi.co.in  
Branch Code: 1162  
Date of Issue: 16/07/2015  
16/07/2015 6619347  
IFSC: SBIN0001162



शाखा व्यवस्थापक  
शाखा प्रबंधक  
Branch Manager

5PAISA CAPITAL LIMITED  
VERIFIED WITH ORIGINAL

Employee Code : a205311

Employee Name : Akshata Amit Patil

Signature : *Amit Patil* Date : 2020-09-22

eSigned document:  
SAFWAN SAID AHMAD BARDOLIA  
22.09.2020 15:04:27

Usha K. S.

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

**5PAISA CAPITAL LIMITED**

**VERIFIED WITH ORIGINAL**

**Employee Code** : a205311

**Employee Name** : Akshata Amit Patil

**Signature** :  **Date** : 2020-09-22

**Specific authorization / consent to Spaisa Capital Limited**

This is with reference to my consent to open trading account and demat account with Spaisa Capital Limited. In this regard I/We hereby confirm to have understood and agreed to following:

- 1) I wish to trade in BSE CASH, MF, NSE CASH.
- 2) I/We wish to open Depository account with CDSL.
- 3) I/We have agreed with tariff sheet and terms and conditions contained therein.
- 4) I/We have agreed to Running Account Authorisation to Spaisa Capital Limited.
- 5) I/We have agreed to provide authorization for receipt of contract notes and other documents in digital mode.
- 6) I/We have agreed to provide Consent / authorization to avail Margin Trading with Spaisa Capital Limited.

eSigned document:

SAFWAN SAID AHMAD BARDOLIA

22.09.2020 15:04:27

**SOLE / FIRST HOLDER**

**SECOND HOLDER**

**THIRD HOLDER**

**Signature**

 20/20



