# MA3201

# Topology

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1	In	ntroduction	

# 1.1 Topological spaces

**Definition 1.1.** A topology on some set X is a family  $\tau$  of subsets of X, satisfying the following.

- 1.  $\emptyset, X \in \tau$ .
- 2. All unions of elements from  $\tau$  are in  $\tau$ .
- 3. All finite intersections of elements from  $\tau$  are in  $\tau$ .

The sets from  $\tau$  are declared to be open sets in the topological space  $(X,\tau)$ .

Example. Any set X admits the indiscrete topology  $\tau_{id} = \{\emptyset, X\}$ , as well as the discrete topology  $\tau_d = \mathcal{P}(X)$ . Both of these are trivial examples.

Example. Let X be a set. The cofinite topology on X is the collection of complements of finite sets, along with the empty set. Note that when X is finite, this is simply the discrete topology.

**Definition 1.2.** Let  $\tau, \tau'$  be two topologies on the set X. We say that  $\tau$  is finer than  $\tau'$  if  $\tau$  has more open sets than  $\tau'$ . In such a case, we also say that  $\tau'$  is coarser than  $\tau$ .

### 1.2 Topological bases

**Definition 1.3.** Let  $(X, \tau)$  be a topological space. We say that  $\beta \subseteq \tau$  is a base of the topology  $\tau$  such that every open set  $U \in \tau$  is expressible as a union of elements from  $\beta$ .

**Definition 1.4.** Let X be a set, and let  $\beta$  be a collection of subsets of X satisfying the following.

- 1. For every  $x \in X$ , there exists  $x \in B \in \beta$ .
- 2. For every  $x \in X$  such that  $x \in B_1 \cap B_2$ ,  $B_1, B_2 \in \beta$ , there exists  $B \in \beta$  such that  $x \in B \subseteq B_1 \cap B_2$ .

Then,  $\beta$  generates a topology on X, namely the collection of all unions of elements of  $\beta$ .

**Lemma 1.1.** Let  $\tau$  be a topology on X, and let  $\beta \subseteq \tau$  be a collection of open sets. Then,  $\beta$  is a basis of  $\tau$ , or generates  $\tau$ , if for every  $x \in U \in \tau$ , there exists  $B \in \beta$  such that  $x \in B \subseteq U$ .

*Example.* The collection of all open balls in  $\mathbb{R}^n$  form a basis of the usual topology.

**Lemma 1.2.** Let X be equipped with the topologies  $\tau$  and  $\tau'$ , and let  $\beta$  and  $\beta'$  be the respective bases of these topologies. Then,  $\tau$  is finer than  $\tau'$  if and only if given  $x \in B' \in \beta'$ , there exists  $x \in B \in \beta$  such that  $B \subseteq B'$ .

*Example.* The collections of open balls in  $\mathbb{R}^n$  generate the same topology as the collection of all open rectangles in  $\mathbb{R}^n$ .

*Example.* Consider the topologies on  $\mathbb{R}$  generated by the following bases.

- 1.  $\beta_1 = \{(a, b) : a, b \in \mathbb{R}, a < b\}.$
- 2.  $\beta_2 = \{ [a, b) : a, b \in \mathbb{R}, a < b \}.$
- 3.  $\beta_3 = \{(a,b) : a,b \in \mathbb{R}, a < b\} \cup \{(a,b) \setminus K\} \text{ where } K = \{1/n : n \in \mathbb{Z}\}.$

We call the topology generated by  $\beta_2$  the lower limit topology, denoted  $\mathbb{R}_{\ell}$ . The topology generated by  $\beta_3$  is denoted  $\mathbb{R}_K$ . Both of these are strictly finer than the standard topology.

**Definition 1.5.** A sub-basis for some topology on X is a collection  $\rho$  of subsets of X whose union is the whole of X. The topology generated by  $\rho$  is defined to be the topology generated by the collection of all finite intersections of elements of  $\rho$ .

#### 1.3 Product topology

**Definition 1.6.** Let  $(X_1, \tau_1)$ ,  $(X_2, \tau_2)$  be topological spaces. Then  $\tau_1 \times \tau_2$  generates the product topology on  $X_1 \times X_2$ .

*Example.* The product topology on  $\mathbb{R} \times \mathbb{R}$ , where  $\mathbb{R}$  is equipped with the standard topology, coincides with the standard topology on  $\mathbb{R}^2$ .

**Lemma 1.3.** If  $\beta_1, \beta_2$  are bases of the topologies  $\tau_1, \tau_2$ , then  $\beta_1 \times \beta_2$  and  $\tau_1 \times \tau_2$  generate the same product topology.

Proof. Given  $(x_1, x_2) \in U$  where  $U \subseteq X_1 \times X_2$  is open in the product topology, recall that U can be written as a union of the basic open sets  $U_{1i} \times U_{2i}$ , where  $U_{1i} \in \tau_1$  and  $U_{2i} \in \tau_2$ . Suppose that  $(x_1, x_2) \in U_1 \times U_2$ . Thus, we can choose  $B_1 \in \beta_1$ ,  $B_2 \in \beta_2$  such that  $x_1 \in B_1 \subseteq U_1$  and  $x_2 \in B_2 \subseteq U_2$ . Thus,  $(x_1, x_2) \in B_1 \times B_2 \subseteq U_1 \times U_2 \subseteq U$ .

**Definition 1.7.** The projection maps are defined as  $\pi_i: X_1 \times \cdots \times X_k \to X_i, (x_1, \dots, x_k) \mapsto x_i$ .

**Lemma 1.4.** The collection of elements of the form  $\pi_1^{-1}(U_1)$  or  $\pi_2^{-1}(U_2)$ , where  $U_1 \in \tau_1$  and  $U_2 \in \tau_2$ , forms a sub-basis of the product topology on  $X_1 \times X_2$ .

*Proof.* Note that  $\pi_1^{-1}(X_1) = X_1 \times X_2$ . Now it is easy to see that finite intersections of elements of the form  $U_1 \times X_2$  or  $X_1 \times U_2$  where  $U_1, U_2$  are open, are all of the form  $U_1 \times U_2$  which is precisely a basis of the product topology.

Corollary 1.4.1. We can restrict ourselves to the sub-basis of elements of the form  $\pi_1^{-1}(B_1)$  or  $\pi_2^{-1}(B_2)$ , where  $B_1 \in \beta_1$ ,  $B_2 \in \beta_2$  for some bases  $\beta_1$ ,  $\beta_2$  of  $\tau_1, \tau_2$ .

#### 1.4 Subspace topology

**Definition 1.8.** Let  $(X, \tau)$  be a topological space, and let  $Y \subset X$ . Then the collection  $U \cap Y$  for all  $U \in \tau$  comprises the subspace topology  $\tau_Y$  on Y induced by the topology  $\tau$  on X.

**Lemma 1.5.** If  $\beta$  is a basis for the topology on X, and  $Y \subset X$ , then the collection  $B \cap Y$  for all  $B \in \beta$  generates the subspace topology on Y.

**Lemma 1.6.** An open set of Y is open in X if Y is open in X.

*Proof.* Let  $U \subset Y$  be open in Y, then  $U = V \cap Y$  for some open set V in X. If additionally Y is open in X, this immediately shows that U is open in X.

**Theorem 1.7.** Let  $(X, \tau_X)$ ,  $(Y, \tau_Y)$  be topological spaces, and let  $A \subseteq X$ ,  $B \subseteq Y$ . Then, there are two ways of assigning a natural topology on  $A \times B$ .

- 1. Take the product topology on  $X \times Y$ , and consider the subspace topology induced by it on  $A \times B$ .
- 2. Take the subspace topologies on A induced by  $\tau_X$ , B induced by  $\tau_Y$ , and consider the product topology generated by them on  $A \times B$ .

These two methods generate the same topology on  $A \times B$ .

*Proof.* Open sets in 1 look like  $(U \times V) \cap (A \times B)$ , where  $U \in \tau_X$ ,  $V \in \tau_Y$ ). Open sets in 2 look like  $(U' \cap A) \times (V' \cap B)$ , where  $U' \in \tau_X$ ,  $V' \in \tau_Y$ , which can be rewritten as  $(U' \times V') \cap (A \times B)$ . It is easy to see that these describe precisely the same sets.

#### 1.5 Order topology

**Definition 1.9.** Let X be a set with a simple order <. Then the collection of sets of the form (a,b),  $[a_0,b)$ ,  $(a,b_0]$  where  $a_0$  is the minimal element of X,  $b_0$  is the maximal element of X, generate the order topology on X.

*Example.* The order topology on  $\mathbb{N}$  is precisely the discrete topology.

**Definition 1.10.** Let  $X_1, X_2$  be simply ordered sets. The dictionary order on  $X_1 \times X_2$  is defined as follows:  $(x_1, x_2) < (y_1, y_2)$  if  $x_1 < y_1$ , or if  $x_1 = y_1$  and  $x_2 < y_2$ .

Example. Consider  $X = \{1, 2\} \times \mathbb{N}$ , where both  $\{1, 2\}$  and  $\mathbb{N}$  are endowed with the discrete topology. Note that the product topology on X is the discrete topology.

Now consider the dictionary order on X. Here, (1,1) is the smallest element, so we can list the elements of X in ascending order. Note that every (1,m)<(2,n), for all  $m,n\in\mathbb{N}$ . Now, note that all singletons  $\{(1,m)\}$  are open in the order topology on X. The same is true for the singletons  $\{(1,n)\}$  for all n>1. However, the singleton  $\{(2,1)\}$  is not open in the order topology.

Example. Consider  $\mathbb{R}$  with the usual topology, and  $X = [0,1) \cup \{2\}$ . Then,  $\{2\}$  is open in the subspace topology on X, but it is not open in the order topology on X.

**Lemma 1.8.** The open rays of the form  $(a, +\infty)$  and  $(-\infty, a)$  in X form a sub-basis of the order topology on X.

*Proof.* Note that  $(a,b) = (-\infty,b) \cap (a,+\infty)$ ,  $[a_0,b) = (-\infty,b)$ , and  $(a,b_0] = (a,+\infty)$ .

**Definition 1.11.** Let X be a simply ordered set, and  $Y \subseteq X$ . Then, we say that Y is convex in X if given  $a, b \in Y$  such that a < b, the interval  $(a, b) = \{x \in X : a < x < b\} \subseteq Y$ .

**Theorem 1.9.** Let Y be convex in X. Then, the subspace topology and the order topology on Y induced from the order topology on X coincide.

#### 1.6 Closed sets

**Definition 1.12.** Let  $(X, \tau)$  be a topological space. A set  $F \subseteq X$  is said to be closed in X if  $F^c = X \setminus F \in \tau$ .

Example. The sets  $\emptyset$ , X are closed in every topological space  $(X, \tau)$ .

Example. In a set equipped with the discrete topology, every set is both open and closed.

**Lemma 1.10.** Arbitrary intersections, and finite unions of closed sets are closed.

**Theorem 1.11.** Let  $(X,\tau)$  be a topological space, and let  $Y \subset X$  be equipped with the subspace topology. Then, a set  $F \subseteq Y$  is closed in Y if and only if  $F = Y \cap G$ , where G is closed in X.

*Proof.* Let  $F \subset Y$ . Now, F is closed in Y,  $Y \setminus F = Y \cap F^c$  is open in Y,  $Y \cap F^c = Y \cap U$  where U is open in X,  $F = Y \cap (Y \cap F^c)^c = Y \cap (Y \cap U)^c = Y \cap U^c$  where  $U^c$  is closed. The steps are reversible.

**Lemma 1.12.** A closed set of Y is closed in X if Y is closed in X.

#### 1.7 Interiors and closures

**Definition 1.13.** Let  $A \subseteq X$  where  $(X, \tau)$  is a topological space.

- 1. The interior of A is defined as the union of all open sets contained in A. This is denoted by  $A^{\circ}$ .
- 2. The closure of A is defined as the intersection of all closed sets containing A. This is denoted by  $\overline{A}$ .

Remark. The interior of a set is open, and the closure of a set is closed.

**Lemma 1.13.** Let  $Y \subset X$  be topological spaces, and let  $A \subseteq Y$ . Also let  $\overline{A}_X$ ,  $\overline{A}_Y$  denote the closures of A in X, Y respectively. Then,  $\overline{A}_Y = \overline{A}_X \cap Y$ .

#### **Theorem 1.14.** Let $A \subset X$ . Then,

- 1.  $x \in \overline{A}$  if and only if every open set containing x has non-empty intersection with A.
- 2.  $x \in \overline{A}$  if and only if every basic open set containing x has non-empty intersection with A, given that the topology on X is generated by those basic open sets.

**Definition 1.14.** Let  $A \subseteq X$  where  $(X, \tau)$  is a topological space. We say that  $x \in X$  is a limit point of X if for every open set U containing x, the deleted neighbourhood  $U \setminus \{x\}$  has non-empty intersection with A. The set of limit points of A is denoted by A'.

*Example.* Let X be a set endowed with the discrete topology. Then, given any set  $A \subseteq X$ , we have  $A' = \emptyset$ .

Lemma 1.15. A closed set contains all its limit points.

*Proof.* Let  $F \subseteq X$  be closed in X, and let  $x \in F'$ . Then given any open set containing x, we have  $U \cap F \supseteq (U \setminus \{x\}) \cap F \neq \emptyset$ , hence  $x \in \overline{F} = F$ .

**Lemma 1.16.** Let  $A \subseteq X$  where  $(X, \tau)$  is a topological space. Then,  $\overline{A} = A \cup A'$ .

*Proof.* It is clear that  $\overline{A} \supseteq A \cup A'$ . Now pick  $x \in \overline{A}$ . If  $x \notin A$ , then we know that given any open neighbourhood U of x, we have non-empty  $U \cap A$ . Furthermore, this intersection can never contain x, hence  $x \in A'$ . This proves that  $\overline{A} \subseteq A \cup A'$ .

#### 1.8 Convergence of sequences

**Definition 1.15.** Let  $\{x_n\}_{n=1}^{\infty}$  be a sequence of points from  $(X,\tau)$ , and let  $x \in X$ . We say that this sequence converges to x, denoted  $x_n \to x$ , if every open neighbourhood of x contains the tail of this sequence. In other words, given  $U \in \tau$  such that  $x \in U$ , there must exist  $N \in \mathbb{N}$  such that  $x_n \in U$  for all  $n \geq N$ .

Example. Let  $X = \{a, b, c\}$ , and  $\tau = \{\emptyset, \{b\}, \{a, b\}, \{b, c\}, X\}$ . Then, the constant sequence of b's converges to all three points a, b, c.

Example. Let  $X = \mathbb{R}$ , and  $\tau$  be the collection of all intervals (-a, a) together with  $\emptyset, \mathbb{R}$ . Then, the constant sequence of 0's converges to every point in  $\mathbb{R}$ .

**Definition 1.16.** Let  $(X, \tau)$  be a topological space. We say that this topological space is Hausdorff if given any two distinct points  $x, y \in X$ , there exist open sets  $U, V \in \tau$  such that  $x \in U, y \in V$ , and  $U \cap V = \emptyset$ .

Example. The real numbers under the standard topology is Hausdorff.

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**Theorem 1.17.** Let  $(X, \tau)$  be a Hausdorff topological space, and let  $\{x_n\}_{n=1}^{\infty}$  be a sequence of points in X. Then, this sequence can converge to at most one point in X.

*Proof.* Suppose that  $\{x_n\}_{n=1}^{\infty}$  converges to distinct points  $x, y \in X$ . Then there exist disjoint open neighbourhoods U, V such that  $x \in U, y \in V$ . Convergence means that both U and V contain a tail of the sequence, which is a contradiction.

Lemma 1.18. The singleton sets in a Hausdorff space are closed.

*Proof.* Let  $x \in X$  where  $(X, \tau)$  is Hausdorff. Pick  $y \neq x$ , whence there exist  $U_y, V_y \in \tau$ , such that  $x \in U_y, y \in V_y$ , and  $U_y \cap V_y = \emptyset$ . In particular,  $\{x\} \cap V_y = \emptyset$ . We now have

$$X\setminus\{x\}=\bigcup_{y\neq x}V_y,$$

which is open.

**Theorem 1.19.** The topology induced by a metric is Hausdorff.

*Proof.* Given a metric space X and distinct points  $x, y \in X$ , we set r = |x - y|, U = B(x, r/3), V = B(y, r/3).

## 2 Continuous maps

**Definition 2.1.** Let  $f: X \to Y$  be a function between the topological spaces  $(X, \tau_X)$  and  $(Y, \tau_Y)$ . We say that f is continuous if for every  $U \in \tau_Y$ , we have  $f^{-1}(U) \in \tau_X$ . In other words, the pre-image of every open set in Y must be open in X.

**Lemma 2.1.** A function  $f: X \to Y$  is continuous if and only if given a base  $\beta$  of Y, we have  $f^{-1}(U) \in \tau_X$  for every  $U \in \beta$ .

*Example.* The identity function id:  $\mathbb{R}_{\ell} \to \mathbb{R}$  is continuous, while the identity function id:  $\mathbb{R} \to \mathbb{R}_{\ell}$  is not. This is because the topology on  $\mathbb{R}_{\ell}$  is strictly finer than that on  $\mathbb{R}$ .

**Lemma 2.2.** A function  $f: X \to Y$  is continuous if and only if for every closed set  $F \subseteq Y$ , we have  $f^{-1}(F)$  closed in X.

**Lemma 2.3.** A function  $f: X \to Y$  is continuous if and only if given any  $x \in X$  and an open set  $V \subseteq Y$  such that  $f(x) \in V$ , there exists an open set  $U \subseteq X$  such that  $x \in U$ ,  $f(U) \subseteq V$ .

**Theorem 2.4.** The composition of continuous functions is continuous.

#### 2.1 Restricting and enlarging the domain

**Lemma 2.5.** Let  $f: X \to Y$  be continuous, and let  $A \subset X$ . Then the restriction of f to A is continuous.

**Theorem 2.6.** Let  $f: X \to Y$ , and let X be the union of the collection of open sets  $\{A_{\lambda}\}_{{\lambda} \in \Lambda}$ . If the restrictions of f to each  $A_{\lambda}$  are continuous, then f is continuous.

*Proof.* Pick  $x \in X$ , hence  $x \in A_{\lambda}$  for some  $\lambda \in \Lambda$ . Now if  $f(x) \in V \subset Y$ , where V is open in Y, then the continuity of the restriction of f to  $A_{\lambda}$  gives us an open set  $U \subseteq A_{\lambda}$  such that  $f(U) \subseteq V$ . Finally since  $A_{\lambda}$  is open in X, so is U.

**Definition 2.2.** Let X be the union of the collection of open sets  $\{A_{\lambda}\}_{{\lambda}\in\Lambda}$ . We say that this collection is a locally finite cover of X if given  $x\in X$ , there exists a neighbourhood U of x such that  $U\cap A_{\lambda}$  is non-empty for only finitely many  $\lambda\in\Lambda$ .

**Theorem 2.7.** Let  $f: X \to Y$ , and let  $\{F_{\lambda}\}_{{\lambda} \in \Lambda}$  be a locally finite collection of closed sets covering X. If the restrictions of f to each  $F_{\lambda}$  are continuous, then f is continuous.

**Corollary 2.7.1** (Pasting lemma). Let  $X = A \cup B$ , with A, B closed in X. Let  $f : A \to Y$ ,  $g : B \to Y$  be continuous, with f(x) = g(x) on  $A \cap B$ . Then the function  $h : X \to Y$ , defined by  $x \mapsto f(x)$  on A and  $x \mapsto g(x)$  on B, is continuous.

**Definition 2.3.** A path is a continuous function  $\gamma \colon [0,1] \to X$ .

**Lemma 2.8.** Two paths  $\gamma_1, \gamma_2$  can be concatenated when  $\gamma_1(1) = \gamma_2(0)$ .

#### 2.2 Homeomorphisms

**Definition 2.4.** Let  $f: X \to Y$  be a function between the topological spaces  $(X, \tau_X)$  and  $(Y, \tau_Y)$ . We say that f is a homeomorphism if f is continuous, f is bijective, and  $f^{-1}$  is continuous. We also say that X and Y are homeomorphic when such a homeomorphism between them exists.

Example. The interval (-1,1) is homeomorphic to  $\mathbb{R}$ ; for instance, the map  $x \mapsto \tan(\pi x/2)$  on (-1,1) is a homeomorphism. A simpler construction is the map  $x \mapsto x/(1-x^2)$ .

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#### 2.3 Projection maps

**Theorem 2.9.** The projection maps  $\pi_i \colon X_1 \times \cdots \times X_k \to X_i$  are continuous, when the domain is equipped with the product topology. Furthermore, the product topology is the coarsest topology on the domain for which the projection maps are continuous.

**Lemma 2.10.** Let  $f: A \to X_1 \times \cdots \times X_k$ , where the co-domain is equipped with the product topology. Then, f is continuous if and only if the component functions  $f_i = \pi_i \circ f$  are continuous.

*Proof.* Note that if f is continuous, the compositions  $\pi_i \circ f$  are immediately continuous. Conversely suppose that each  $f_i$  is continuous, and write

$$f(t) = (f_1(t), \dots, f_k(t)).$$

The sets  $U_1 \times \cdots \times U_k$ , where each  $U_i \subseteq X_i$  is open, form a basis of the co-domain. Furthermore, their pre-images under f are  $f_1^{-1}(U_1) \cap \cdots \cap f_k^{-1}(U_k)$ , which are open in A. This shows that f is continuous.

**Definition 2.5.** Let J be an arbitrary index set. A J-tuple of elements in a set X is a function  $x: J \to X$ , formally denoted  $(x_{\alpha})_{\alpha \in J}$ . If  $\{X_{\alpha}\}_{\alpha \in J}$  is a family of sets, their Cartesian product is defined as

$$\prod_{\alpha \in J} X_{\alpha} = \{x \colon J \to \bigcup_{\alpha \in J} X_{\alpha} \colon x_{\alpha} \in X_{\alpha}\}.$$

*Remark.* The fact that we can choose an element from each set in an uncountable collection relies on the Axiom of Choice.

**Definition 2.6.** Let  $\{X_{\alpha}\}_{{\alpha}\in J}$  be a collection of topological spaces. The topology generated by  $\prod_{{\alpha}\in J} U_{\alpha}$ , where each  $U_{\alpha}\subseteq X_{\alpha}$  is open, is called the box topology on  $\prod_{{\alpha}\in J} X_{\alpha}$ .

**Definition 2.7.** Let  $\{X_{\alpha}\}_{{\alpha}\in J}$  be a collection of topological spaces. The topology generated by the sub-basis  $\pi_{\alpha}^{-1}(U_{\alpha})$ , where each  $U_{\alpha}\subseteq X_{\alpha}$  is open, is called the product topology on  $\prod_{{\alpha}\in J}X_{\alpha}$ .

Remark. The basic open sets are of the form  $\pi_{\alpha \in J}U_{\alpha}$ , where all but finitely many  $U_{\alpha} = X_{\alpha}$ . Thus, this is a coarser topology than the box topology.

**Lemma 2.11.** Let  $\prod_{\alpha \in J} X_{\alpha}$  be equipped with the box or product topology. Then,  $\overline{\prod A_{\alpha}} = \prod \overline{A_{\alpha}}$ , where each  $A_{\alpha} \in X_{\alpha}$ .

**Lemma 2.12.** Let  $f: A \to \prod_{\alpha \in J} X_{\alpha}$ , where the co-domain is equipped with the product topology. Then, f is continuous if and only if the component functions  $f_{\alpha} = \pi_{\alpha} \circ f$  are continuous.

Remark. This fails when  $\prod_{\alpha \in J}$  is equipped with the box topology. Consider  $f \colon \mathbb{R} \to \prod_{n=1}^{\infty} \mathbb{R}$ ,  $x \mapsto (x, x, \dots)$ . Then, the product  $\prod_{n=1}^{\infty} (-1/n, 1/n)$  is open in the box topology, but its pre-image under f is  $\bigcap_{n=1}^{\infty} (-1/n, 1/n) = \{0\}$ , which is not open in  $\mathbb{R}$ .

# 3 Metric spaces

**Definition 3.1.** A metric space (X, d) is a set equipped with a metric  $d: X \times X \to \mathbb{R}$ , such that

- 1. d(x,y) = 0 if and only if x = y.
- 2. d(x, y) = d(y, x).
- 3.  $d(x,z) \le d(x,y) + d(y,z)$ .

**Definition 3.2.** An open ball in a metric spaces is the set of points

$$B(x,r) = \{ y \in X : d(x,y) < r \}.$$

**Lemma 3.1.** The collection of open balls in a metric space generates its standard topology.

Example. Consider a set X, equipped with the metric

$$d \colon X \times X \to \mathbb{R}, \qquad (x,y) \mapsto \begin{cases} 0, & \text{if } x = y, \\ 1, & \text{if } x \neq y. \end{cases}$$

Then, this metric induces the discrete topology on X.

#### 3.1 Metrizable spaces

**Definition 3.3.** A topological space  $(X, \tau)$  is called metrizable if there exists a metric  $d: X \times X \to \mathbb{R}$  which induces the topology  $\tau$  on X.

**Definition 3.4.** Let  $A \subseteq X$ . The diameter of A is defined to be

$$diam(A) = \sup\{d(x, y) : x, y \in A\}.$$

If diam(A) is finite, we say that A is bounded.

Example. The metric

$$(x,y) \mapsto \frac{|x-y|}{1+|x-y|}$$

generates the standard topology on  $\mathbb{R}$ . Note that  $\mathbb{R}$  is unbounded in the standard metric, but bounded in this one.

**Definition 3.5.** Let (X, d) be a metric space. Then the standard bounded metric corresponding to d is defined as

$$\bar{d} \colon X \times X \to \mathbb{R}, \qquad (x, y) \mapsto \min\{d(x, y), 1\}.$$

**Lemma 3.2.** Both d and  $\bar{d}$  generate the same topology.

**Theorem 3.3.** The product topology on  $\mathbb{R}^{\omega} = \mathbb{R} \times \mathbb{R} \times \ldots$  is metrizable, using the metric

$$D(x,y) = \sup_{n} \left\{ \frac{1}{n} \bar{d}(x,y) \right\}.$$

**Lemma 3.4.** Let  $A \subseteq X$ , let  $x \in X$ , and let the sequence  $\{x_n\}_{n \in \mathbb{N}}$ ,  $x_n \in A$  converge with  $x_n \to x$ . Then,  $x \in \overline{A}$ .

*Remark.* The converse holds if X is metrizable.

Example. Consider  $X = \mathbb{R}^{\omega}$  equipped with the box topology. Choose  $A = \{(x_1, x_2, \dots) : x_i > 0\}$ . Then,  $0 = (0, 0, \dots) \in \overline{A}$ ; this is clear from the fact that any open set around 0 contains the basic open set  $\prod_i (a_i, b_i)$  with  $a_i < 0 < b_i$ . However, there is no sequence  $\{x_n\}_{n \in \mathbb{N}}$ ,  $x_n \in A$ , such that  $x_n \to 0$ . Note that if this were the case, then each  $x_n = (x_{n1}, x_{n2}, \dots)$ . Now,  $B = \prod_i (-x_{ii}, x_{ii})$  contains none of the points  $x_n$ , since the nth coordinate of B eliminates the point n.

Corollary 3.4.1.  $\mathbb{R}^{\omega}$  equipped with the box topology is not metrizable.

# 4 Compactness

**Definition 4.1.** Let X be a topological space. We say that X is compact if every open cover of X has a finite subcover.

**Lemma 4.1.** Let  $Y \subseteq X$ . Then, Y is compact if and only if every open cover of Y by open sets in X has a finite subcover.

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#### 4.1 Compact subspaces

Lemma 4.2. All compact sets in a metric space are bounded.

*Proof.* Let  $K \subseteq X$  be compact. Then, K admits an open cover of open balls B(0,n) from which we can extract a finite subcover; however, this can be reduced to just one open ball B(0,N) for some N. Thus  $K \subset B(0,N)$  is bounded.

**Lemma 4.3.** A closed subset of a compact space is compact.

*Proof.* Let K be compact, and  $F \subseteq K$  be closed. Consider an open cover  $\{U_{\alpha}\}_{{\alpha} \in J}$  of F. By adding  $K \setminus F$  to this collection, we have an open cover of K, from which we can extract a finite subcover  $U_{i_1}, U_{i_2}, \ldots, U_{i_k}, K \setminus F$ . By discarding the latter, we have found a finite subcover of F.

Lemma 4.4. In a Hausdorff space, every compact set is closed.

Proof. Let X be Hausdorff, and  $K \subseteq X$  be compact. Fix  $x_0 \in X \setminus K$ , and note that given any  $y \in K$ , there exist open neighbourhoods  $U_y, V_y$  such that  $x_0 \in U_y, y \in V_y, U_y \cap V_y = \emptyset$ . Thus, the collection of all such  $\{V_y\}_{y \in K}$  is an open cover of K, from which we can extract a finite subcover  $V_{y_1}, \ldots, V_{y_k}$ . Corresponding to this,  $x_0 \in U_{y_1} \cap \cdots \cap U_{y_k} \subseteq X \setminus K$ . Thus,  $x_0$  lies in the interior of  $X \setminus K$ . This shows that  $X \setminus K$  is open, hence K is closed.

**Theorem 4.5.** The image of a compact space under a continuous map is compact.

**Lemma 4.6.** Let  $f: X \to Y$  be a continuous bijection. If X is compact and Y is Hausdorff, then f is a homeomorphism.

*Proof.* We need only show that f is a closed map; now every closed set  $F \subseteq X$  is compact because X is compact, hence  $f(K) \subseteq Y$  is compact. Since Y is Hausdorff, the compact set f(K) is closed.

#### 4.2 Products of compact spaces

**Lemma 4.7** (Tube lemma). Let X, Y be topological spaces, and let Y be compact. Let  $x_0 \in X$ , and let  $\{x_0\} \times Y \subset N \subseteq X \times Y$  where N is open. Then, there exists an open set  $W \subseteq X$  such that  $\{x_0\} \times Y \subseteq W \times Y \subseteq N$ .

*Proof.* Note that  $\{x_0\} \times Y$  is compact, being homeomorphic to Y. Thus, it can be covered with basic open sets  $U_1 \times V_1, \ldots, U_k \times V_k$  such that each  $U_i \times V_i \subset N$ . Simply set  $W = U_1 \cap \cdots \cap U_k$ .  $\square$ 

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**Theorem 4.8.** Let X, Y be compact topological spaces. Then,  $X \times Y$  is compact.

Proof. Let  $\{U_{\alpha}\}_{{\alpha}\in J}$  be an open cover of  $X\times Y$ . Pick  $x\in X$ , whence  $\{x\}\times Y$  is compact and admits a finite subcover  $U_{xi_1},\ldots,U_{xi_k}$ . Denote their union by  $U_x$ ; the tube lemma guarantees an open set  $W_x\subseteq X$  such that  $\{x\}\times Y\subseteq W\times Y\subseteq U_x$ . Now, the collection  $\{W_x\}_{x\in X}$  is an open cover of X, hence admits a finite subcover  $W_{x_1},\ldots,W_{x_n}$ . This also means that  $W_{x_1}\times Y,\ldots,W_{x_n}\times Y$  is a finite cover of Y. However, each  $W_{x_i}\times Y\subseteq U_{x_i}$  can be covered by finitely many  $U_{\alpha}$ , which means that we have a finite subcover of  $X\times Y$ .

#### 4.3 Euclidean spaces

**Lemma 4.9.** Let X be a simply ordered set with the least upper bound property. Then, the intervals [a, b] are compact.

**Theorem 4.10** (Heine-Borel). Compact sets of  $\mathbb{R}^n$  are precisely those which are closed and bounded.

#### 4.4 Limit point compactness

**Definition 4.2.** Let X be a topological space. We say that X is limit point compact if every infinite subset of X has a limit point.

**Lemma 4.11.** A compact space is limit point compact.

*Proof.* Let X be compact, and let  $A \subseteq X$  have no limit points. Then,  $A = A \cup A' = \overline{A}$  is closed in X, hence compact. Now given any  $a \in A$ , we know that a is not a limit point of A, hence we can choose an open neighbourhood  $U_a$  such that  $U_a \cap A = \{a\}$ . The collection  $\{U_a\}_{a \in A}$  is now an open cover of A, and hence admits a finite subcover  $U_{a_1}, \ldots, U_{a_k}$ . Let U denote their union, whence  $A = A \cap U = \{a_1, \ldots, a_k\}$  is finite.

Example. Let  $X = \mathbb{N} \times \{0,1\}$ , where  $\mathbb{N}$  has the discrete topology, and  $\{0,1\}$  has the indiscrete topology. Then, every subset of X has a limit point; indeed, given any  $\{(n,b)\}$ , we have a limit point (n,1-b). However, X is clearly not compact, since the open cover of sets  $\{n\} \times \{0,1\}$  does not admit any finite subcover.

**Theorem 4.12.** Let X be a metrizable space. Then, X is limit point compact if and only if it is compact.

#### 5 Connectedness

**Definition 5.1.** Let X be a topological space, and let  $U, V \subseteq X$  be open, non-empty, disjoint, with  $U \cup V = X$ . We say that U, V form a separation of X.

**Definition 5.2.** A topological space X is said to be connected if it admits no separation.

**Lemma 5.1.** A topological space X is connected if and only if the only subsets that are both open and closed in it are  $\emptyset$ , X.

**Lemma 5.2.** Let X be a topological space, and let  $Y \subseteq X$  be a subspace. Then, a separation of Y is a pair of open sets  $A, B \subseteq X$  such that  $\overline{A} \cap B = \emptyset$ ,  $A \cap \overline{B} = \emptyset$ .

**Lemma 5.3.** Let C, D form a separation of X, and let  $Y \subseteq X$  be a connected subspace. Then, either  $Y \subseteq C$ ,  $Y \subseteq D$ .

**Lemma 5.4.** The union of a collection of connected spaces with a common point is connected.

*Proof.* Let  $\{X_{\alpha}\}_{{\alpha}\in J}$  be a collection of connected spaces, with the common point  $x_0$ , and let X be their union. Suppose that U, V is a separation of X; then each of the connected  $X_{\alpha}$  must be contained in one of U, V. However, since all  $X_{\alpha}$  share the common point  $x_0$ , they must all lie in the same half, say U, forcing  $V = \emptyset$ , a contradiction.

**Lemma 5.5.** Let  $A \subseteq X$  be connected, and let  $A \subseteq B \subseteq \overline{A}$ . Then, B is connected.

**Theorem 5.6.** The image of a connected space under a continuous maps is connected.

**Theorem 5.7.** A finite Cartesian product of connected spaces is connected.

Proof. Let X, Y be connected spaces. Fix  $(a, b) \in X \times Y$ . Now,  $X \times \{b\}$  is connected, being homeomorphic to X. Furthermore, each  $\{x\} \times Y$  is connected, for each  $x \in Y$ . Now, the set  $T_x = \{x\} \times Y \cup X \times \{b\}$  is connected, being the union of connected spaces with the common point (x, b). Finally, the union of all such  $T_x$  is connected, being the union of connected spaces with the common point (a, b). This union is just  $X \times Y$ , which is thus connected.