

MA3202

Algebra II

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Contents

1	Rings	2
1.1	Basic definitions	2
1.2	Subrings	3
1.3	Ideals	4
1.4	Integral domains	5
1.5	Simple rings	6
1.6	Homomorphisms and isomorphisms	6
1.7	Quotient fields	9
1.8	Prime and maximal ideals	10
1.9	Divisibility	11
1.10	Factorisation domains	13
1.11	Principal ideal domains	14
1.12	Euclidean domains	15
1.13	Polynomial rings	16
2	Modules	17
2.1	Basic definitions	17
2.2	Submodules	17
2.3	Homomorphisms and isomorphisms	18
2.4	Cyclic modules	19
2.5	Direct products and sums	19

1 Rings

1.1 Basic definitions

Definition 1.1. A ring is a set R equipped with two binary operations, namely addition and multiplication, such that

1. $(R, +)$ is an abelian group.
 - (a) $a + b \in R$ for all $a, b \in R$.
 - (b) $(a + b) + c = a + (b + c)$ for all $a, b, c \in R$.
 - (c) $a + b = b + a$ for all $a, b \in R$.
 - (d) There exists $0 \in R$ such that $a + 0 = a$ for all $a \in R$.
 - (e) For each $a \in R$, there exists $-a \in R$ such that $a + (-a) = 0$.
2. (R, \cdot) is a semi-group.
 - (a) $a \cdot b \in R$ for all $a, b \in R$.
 - (b) $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ for all $a, b, c \in R$.
3. Multiplication distributes over addition.
 - (a) $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ for all $a, b, c \in R$.
 - (b) $(b + c) \cdot a = (b \cdot a) + (c \cdot a)$ for all $a, b, c \in R$.

Remark. The following properties follow immediately,

1. $0 \cdot a = 0$ for all $a \in R$.
2. $(-a) \cdot b = -(a \cdot b) = a \cdot (-b)$ for all $a, b \in R$.
3. $(na) \cdot b = n(a \cdot b) = a \cdot (nb)$ for all $a, b \in R$.

Example. The integers \mathbb{Z} form a ring, under the usual addition and multiplication.

Example. All fields, for instance the rational numbers \mathbb{Q} or the real numbers \mathbb{R} , are rings.

Example. The integers modulo n , namely $\mathbb{Z}/n\mathbb{Z}$, form a ring.

Example. If R is a ring, then the algebra of polynomials $R[X]$ with coefficients from R form a ring.

Example. If R is a ring, then the $n \times n$ matrices $M_n(R)$ with entries from R form a ring.

Definition 1.2. If R is a ring and (R, \cdot) is a monoid i.e. has an identity, then this identity is unique and called the unity of the ring R . Such a ring R is called a unit ring. Note that we typically demand that this identity be distinct from the zero element.

Example. The even integers $2\mathbb{Z}$ form a ring, but do not contain the identity.

Example. The trivial ring $\{0\}$ is typically not considered to be a unit ring, since 0 must serve as the additive identity as well as the multiplicative identity.

Definition 1.3. If R is a ring and (R, \cdot) is commutative, then R is called a commutative ring.

Definition 1.4. Let R be a unit ring. An element $a \in R$ is called a unit if there exists $b \in R$ such that $a \cdot b = 1 = b \cdot a$. This $b \in R$ is unique, and denoted by a^{-1} .

Example. The units in \mathbb{Z} are $\{1, -1\}$.

1.2 Subrings

Definition 1.5. Let R be a ring, and let $S \subseteq R$. We say S is a subring of R if the structure $(S, +, \cdot)$ is a ring, with addition and multiplication inherited from R .

Example. The rings $n\mathbb{Z}$ for $n \in \mathbb{N}$ are all subrings of \mathbb{Z} .

Example. Consider the rings $2\mathbb{Z} \subset \mathbb{Z}$. Here, \mathbb{Z} is a unit ring but $2\mathbb{Z}$ is not.

Example. Consider the rings $4\mathbb{Z}/12\mathbb{Z} \subset 2\mathbb{Z}/12\mathbb{Z}$. Here, $2\mathbb{Z}/12\mathbb{Z}$ is not a unit ring but $4\mathbb{Z}/12\mathbb{Z}$ is.

Lemma 1.1. Let S be a subring of R . Since $(R, +)$ is an abelian group, $(S, +)$ is a normal subgroup of $(R, +)$. Thus, we can make sense of the quotient group $(R/S, +)$.

Lemma 1.2. Let S be a subring of R . Then, the quotient $(R/S, +, \cdot)$ is a ring with multiplication $(a + S) \cdot (b + S) = ab + S$ if and only if $ab - xy \in S$ for all $a, b, x, y \in R$ such that the cosets $a + S = x + S$, $b + S = y + S$.

Example. Consider the ring \mathbb{Z} and the subring $n\mathbb{Z}$. Then, the quotient $\mathbb{Z}/n\mathbb{Z}$ is indeed a ring.

Example. Consider the ring \mathbb{Q} and the subring \mathbb{Z} . It can be shown that \mathbb{Q}/\mathbb{Z} is not a ring under the ‘natural’ multiplication.

1.3 Ideals

Definition 1.6. Let R be a ring and let I be a subset of R . We say that I is an ideal of R if $(I, +)$ is a subgroup of $(R, +)$, and $rx, xr \in I$ for all $r \in R, x \in I$.

Example. Consider the ring \mathbb{Z} , and the subring $n\mathbb{Z}$. This is an ideal of \mathbb{Z} , since $m(n\mathbb{Z}) \subseteq n\mathbb{Z}$. Indeed, every ideal of \mathbb{Z} is of the form $n\mathbb{Z}$. This will follow from Euclid's Division Lemma.

Example. The subsets $\{0\}$ and R of any ring R are trivial ideals.

Lemma 1.3. Let R be a ring, and I be an ideal of R . Then, the quotient R/I is a ring.

Proof. Note that whenever $a - x \in I, b - y \in I$, we demand that $ab - xy \in I$. This can be rewritten as $(a - x)b + x(b - y) \in I$, which is clearly true by the properties of the ideal I . \square

Definition 1.7. An ideal $I \subset R$ is called finitely generated if there exist $x_1, x_2, \dots, x_n \in I$ such that every element of I can be written as a finite linear combination

$$x = r_1x_1 + \dots + r_nx_n,$$

where $r_i \in R$. We denote $I = (x_1, x_2, \dots, x_n)$.

Definition 1.8. An ideal generated by a single element is called a principal ideal.

Example. Every ideal of \mathbb{Z} is a principal ideal.

Lemma 1.4. Let R be a unit ring, and $I \subseteq R$ be an ideal. Then, $I = R$ if and only if I contains the identity.

Definition 1.9. The sum of two ideals $I, J \subset R$ is defined

$$I + J = \{x + y : x \in I, y \in J\}.$$

Their product is defined

$$IJ = \left\{ \sum_{i=1}^n x_i y_i : x_i \in I, y_i \in J \right\}.$$

Lemma 1.5. *The sum and product of two ideals of a ring are also ideals of that ring.*

Lemma 1.6. *Let $I, J \subset R$ be ideals in the commutative ring R . Then, $IJ \subset I \cap J$.*

Example. Note that for $2\mathbb{Z}, 2\mathbb{Z} \in \mathbb{Z}$, $(2\mathbb{Z})(2\mathbb{Z}) = 4\mathbb{Z}$ but $2\mathbb{Z} \cap 2\mathbb{Z} = 2\mathbb{Z}$. A related example is $R = 2\mathbb{Z}$, $I = 4\mathbb{Z}$, $J = 6\mathbb{Z}$.

Lemma 1.7. *If $I, J \subset R$ are ideals in a commutative unit ring R , and $I + J = R$, then $IJ = I \cap J$.*

Proof. We already know that $IJ \subseteq I + J$. Since $I + J = R$, we can pick $x \in I, y \in J$ such that $x + y = 1$. Now pick $a \in I \cap J$, hence $a \cdot 1 = ax + ay \in I \cap J$; but this is also an element of IJ proving $I \cap J \subseteq IJ$. \square

1.4 Integral domains

Definition 1.10. Let R be a ring and $a, b \in R$, $a, b \neq 0$. If $ab = 0$, we call a a left zero divisor and b a right zero divisor.

Example. Consider $2, 3 \in \mathbb{Z}/6\mathbb{Z}$; then $2 \cdot 3 = 6 \equiv 0$.

Definition 1.11. A commutative ring R is called an integral domain if it has no zero divisors.

Example. When p is prime, the rings $\mathbb{Z}/p\mathbb{Z}$ are integral domains. Note that this set is a group under both $+$ and \cdot .

Lemma 1.8. *Every field is an integral domain.*

Theorem 1.9. *Every finite integral domain is a field.*

Proof. Let $R = \{x_1, \dots, x_n\}$ be a finite integral domain. We first show that R contains an identity 1. Pick $x \neq 0$, and note that xx_1, xx_2, \dots, xx_n must all be distinct: otherwise $xx_i = xx_j$ would force $x(x_i - x_j) = 0$. This forces $x = xx_k$ for some $x_k \neq 0$. Now, we claim that x_k is our identity. Indeed, given any $y \neq 0$, we write $y = xx_l$ for some $x_l \neq 0$, hence $yx_k = xx_lx_k = x_l(xx_k) = x_lx = y$.

Next, we show that every non-zero $x \in R$ has an inverse. Indeed, $1 = xx_k$ must be one of the xx_1, \dots, xx_n , hence $1 = xx_m$ for some non-zero x_m . This means that $x_m = x^{-1}$. \square

Definition 1.12. Let R be a ring. The characteristic of R is the smallest positive integer n such that $nx = 0$ for all $x \in R$. If no such number n exists, we say that the characteristic of R is zero. We denote the characteristic of R by $\text{ch}(R)$.

Example. We have $\text{ch}(\mathbb{Z}) = 0$, $\text{ch}(\mathbb{Z}/n\mathbb{Z}) = n$.

Lemma 1.10. Let R be a unit ring. Then, $\text{ch}(R)$ is the smallest positive integer n such that $n \cdot 1 = 0$; if no such n exists, then $\text{ch}(R)$ is zero.

Theorem 1.11. Let R be an integral domain. Then, $\text{ch}(R)$ is either zero or a prime.

Proof. Let R be an integral domain such that $\text{ch}(R) = n \neq 0$. If n is not a prime, write $n = n_1 n_2$ for $n_1, n_2 < n$. Then for any non-zero $x \in R$, write $0 = n(x^2) = (n_1 x)(n_2 x)$. This forces one of $n_1 x, n_2 x = 0$; say $n_1 x = 0$. Now for any $y \in R$, we have $x(n_1 y) = (n_1 x)y = 0$. Since $x \neq 0$, we have $n_1 y = 0$ for all $y \in R$, contradicting the minimality of n . \square

1.5 Simple rings

Definition 1.13. A simple ring is one which has no non-trivial ideals. We typically demand that multiplication in R is non-trivial.

Lemma 1.12. Every field is a simple ring.

Proof. If R is a field and $I \subset R$ is an ideal with non-zero $a \in I$, then $a^{-1} \in R$ hence $a^{-1}a = 1 \in I$. This immediately forces $I = R$. \square

Lemma 1.13. If R is a commutative, simple, unit ring, then R is a field.

Proof. Pick non-zero $a \in R$, and set $I = (a)$. Since R is simple, $I = R$, hence $1 \in I = (a)$. In other words, $1 = ab$ for some $b \in R$. \square

1.6 Homomorphisms and isomorphisms

Definition 1.14. Let R, S be rings, and let $\varphi: R \rightarrow S$. We say that φ is a ring homomorphism if

1. $\varphi(x + y) = \varphi(x) + \varphi(y)$ for all $x, y \in R$.
2. $\varphi(xy) = \varphi(x)\varphi(y)$ for all $x, y \in R$.
3. $\varphi(1_R) = 1_S$.

We only insist on 3 if both R and S are unit rings.

Remark. The following properties follow immediately.

1. $\varphi(0_R) = 0_S$.
2. $\varphi(-x) = -\varphi(x)$ for all $x \in R$.
3. $\varphi(nx) = n\varphi(x)$ for all $x \in R, n \in \mathbb{Z}$.
4. $\varphi(x - y) = \varphi(x) - \varphi(y)$ for all $x, y \in R$.

Example. The map $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}, k \mapsto k \bmod n$ is a homomorphism.

Definition 1.15. A bijective homomorphism between two rings is called an isomorphism. If an isomorphism exists between two rings, we say that they are isomorphic.

Example. The map $\varphi: \mathbb{Z} \rightarrow n\mathbb{Z}, k \mapsto nk$ is an isomorphism.

Example. The map $\varphi: \mathbb{C} \rightarrow \mathbb{C}, z \mapsto \bar{z}$ is an isomorphism.

Example. The rings \mathbb{Z} and \mathbb{Q} are not isomorphic. If there did exist an isomorphism $\varphi: \mathbb{Q} \rightarrow \mathbb{Z}$, then set $a = \varphi(1/2)$. We now demand $a + a = \varphi(1/2 + 1/2) = 1$; but there is no such integer satisfying this property.

Lemma 1.14. *The only isomorphism $\mathbb{Z} \rightarrow \mathbb{Z}$ is the identity map.*

Theorem 1.15. *The only isomorphism $\mathbb{Q} \rightarrow \mathbb{Q}$ is the identity map.*

Proof. Let $\varphi: \mathbb{Q} \rightarrow \mathbb{Q}$ be an isomorphism. We must have $\varphi(1) = 1$, which immediately gives $\varphi(n) = n$ for all $n \in \mathbb{Z}$. Now for any rational $p/q \in \mathbb{Q}$, note that $1 = \varphi(q \cdot 1/q) = q \cdot \varphi(1/q)$, forcing $\varphi(1/q) = 1/q$. Thus, $\varphi(p/q) = p/q$, completing the proof. \square

Theorem 1.16. *The only isomorphism $\mathbb{R} \rightarrow \mathbb{R}$ is the identity map.*

Proof. Let $\varphi: \mathbb{R} \rightarrow \mathbb{R}$ be an isomorphism. We must have $\varphi(q) = q$ for all $q \in \mathbb{Q}$.

First we show that φ is strictly increasing. Note that when $x > 0$, $\varphi(x) = \varphi(\sqrt{x})^2 > 0$. Thus when $x > y$, $\varphi(x - y) > 0$, hence $\varphi(x) > \varphi(y)$.

Now let $x \in \mathbb{R}$; if $\varphi(x) \neq x$, we must have one of $\varphi(x) > x$ or $\varphi(x) < x$. Assume the former, and find $q \in \mathbb{Q}$ such that $\varphi(x) > q > x$. Now, $q > x$ gives $q = \varphi(q) > \varphi(x)$, a contradiction. An analogous argument gives a contradiction when $\varphi(x) < x$, completing the proof. \square

Theorem 1.17. *The only homomorphism $\mathbb{R} \rightarrow \mathbb{R}$ is the identity map.*

Proof. If $\varphi: \mathbb{R} \rightarrow \mathbb{R}$ is a homomorphism, it is easy to check that $\varphi^{-1}(0)$ is an ideal. Since \mathbb{R} is simple, this must be $\{0\}$ or \mathbb{R} ; the latter can be ruled out since $\varphi(1) = 1$. In other words, $\varphi^{-1} = \{0\}$ so φ is injective. Following the previous proof, φ must be an isomorphism, hence the identity map. \square

Theorem 1.18. *The only isomorphisms $\mathbb{C} \rightarrow \mathbb{C}$ which send $\mathbb{R} \rightarrow \mathbb{R}$ are the maps $z \mapsto z$ and $z \mapsto \bar{z}$.*

Proof. The previous theorem guarantees that any such isomorphism $\varphi: \mathbb{C} \rightarrow \mathbb{C}$ is completely determined by $\varphi(i)$. Now, $-1 = \varphi(-1) = \varphi(i)^2$, forcing $\varphi(i) = \pm i$. \square

Lemma 1.19. *The kernel of a ring homomorphism $\varphi: R \rightarrow S$ is an ideal of R . Its image is a subring of S .*

Proof. If $x \in \ker \varphi$, then $\varphi(x) = 0$, hence for any $r \in R$ we have $\varphi(rx) = \varphi(r)\varphi(x) = 0$. Thus, $rx \in \ker \varphi$. Also, recall that $\varphi^{-1}(0)$ is an additive subgroup of R . \square

Theorem 1.20 (First isomorphism theorem). *Let $\varphi: R \rightarrow S$ be a surjective ring homomorphism. Then,*

$$R/\ker \varphi \cong \text{im } \varphi.$$

Proof. Denote $I = \ker \varphi$, so the elements of R/I are the cosets $x + I$ for $x \in R$. This gives us the natural map

$$\phi: R/I \rightarrow S, \quad x + I \mapsto \varphi(x).$$

It can be shown that this map is well defined: if $x + I = y + I$, then $x - y \in I$ so $\varphi(x - y) = 0$, or $\varphi(x) = \varphi(y)$. Now, $\phi((x + I) + (y + I)) = \varphi(x + y) = \varphi(x) + \varphi(y) = \phi(x + I) + \phi(y + I)$, and $\phi((x + I)(y + I)) = \varphi(xy) = \varphi(x)\varphi(y) = \phi(x + I)\phi(y + I)$. Additionally, if R and S are both unit rings, then $\phi(1_R + I) = \varphi(1_R) = 1_S$. Thus, ϕ is a homomorphism. It is obvious that ϕ is surjective; also observe that $\phi^{-1}(0) = 0 + I$, hence ϕ is also injective. This proves that ϕ is an isomorphism, as desired. \square

Theorem 1.21. *Let $I, J \subset R$ be ideals. Then,*

$$(I + J)/J \cong I/(I \cap J).$$

Proof. The map $\phi: I \rightarrow (I + J)/J$, $x \mapsto x + J$ can be shown to be a surjective homomorphism. Its kernel consists of the elements in I that get mapped to $0 + J$, so $\ker \phi = I \cap J$. Applying the first isomorphism theorem gives the desired result. \square

Lemma 1.22. *Let $I \subset R$ be an ideal, and let $\varphi: R \rightarrow S$ be a surjective ring homomorphism, then $\varphi(I)$ is an ideal in S .*

Theorem 1.23 (Correspondence theorem). *Let $I \subset R$ be an ideal. Then there exists a one-to-one correspondence between the ideals of R containing I with the ideals of R/I .*

Proof. Use the surjective ring homomorphism $\phi: R \rightarrow R/I$, $x \mapsto x + I$, which maps ideals in R to ideals in R/I . Furthermore, given ideals $J, J' \subset R$ such that $\varphi(J) = \varphi(J')$, note that $x \in J$ implies $\varphi(x) \in \varphi(J) = \varphi(J')$ so $x \in J'$; this shows that $J = J'$, hence our map is injective. Finally, given an ideal K in R/I , its pre-image under our map is the ideal $L = \{x \in R : x + I \in K\}$. \square

Theorem 1.24 (Chinese remainder theorem). *Let R be a commutative unit ring, and $I, J \subset R$ be ideals such that $I + J = R$. Then,*

$$R/IJ \cong R/I \times R/J.$$

Proof. Consider the map

$$\varphi: R \rightarrow R/I \times R/J, \quad x \mapsto (x + I, x + J).$$

It is clear that this is a ring homomorphism. Furthermore, φ is surjective: to see this, pick $a \in I$, $b \in J$ such that $a + b = 1$. Then

$$\varphi(ay + bx) = (a(y - x) + x + I, b(x - y) + y + J) = (x + I, y + J).$$

Now, note that $\varphi(x) = (I, J)$ forces $x \in I \cap J$; but the latter is just IJ by a previous lemma. Applying the first isomorphism theorem gives the desired result. \square

1.7 Quotient fields

We recall the standard construction of \mathbb{Q} from \mathbb{Z} , and generalize this to the construction of the field $Q(R)$ from an integral domain R . Consider the equivalence relation on the set $R \times R \setminus \{0\}$ defined by

$$(a, b) \sim (c, d) \iff ad = bc.$$

This partitions $R \times R \setminus \{0\}$ into equivalence classes; let $Q(R)$ be the collection of these equivalence classes. Now define addition and multiplication of elements from $Q(R)$ as

$$[a, b] + [c, d] = [ad + bc, bd], \quad [a, b] \cdot [c, d] = [ac, bd].$$

It can be verified that this is well defined. Furthermore, we have an additive identity $[0, a]$, a multiplicative identity $[a, a]$, and every non-zero element $[a, b]$ has a multiplicative inverse $[b, a]$. The remaining properties can be checked to show that $Q(R)$ is a field. We can now embed R in $Q(R)$ via the map

$$\iota: R \rightarrow Q(R), \quad x \mapsto [ax, a].$$

It can also be shown that $Q(R)$ is the smallest field containing R . Indeed if $j: R \rightarrow F$ is an embedding of R in the field F , we can embed $Q(R)$ in F using the map $[a, b] \mapsto j(a) \cdot j(b)^{-1}$.

Remark. We do not require R to have a multiplicative identity!

Definition 1.16. The field $Q(R)$ constructed as above is called the field of fractions, or quotient field of the integral domain R .

Lemma 1.25. *The field of fractions $Q(R)$ is the smallest field containing the integral domain R .*

Lemma 1.26. *Let R_1, R_2 be integral domains. If $R_1 \cong R_2$, then $Q(R_1) \cong Q(R_2)$.*

1.8 Prime and maximal ideals

Definition 1.17. An ideal $I \subseteq R$ is called a prime ideal if it is proper, and $xy \in I$ implies that at least one of $x, y \in I$ for all $x, y \in R$.

Lemma 1.27. *An ideal $I \subseteq R$ is prime if and only if $JK \subseteq I$ forces either $J \subseteq I$ or $K \subseteq I$ for all ideals $J, K \subseteq R$.*

Example. The prime ideals of \mathbb{Z} are $\{0\}$ and $p\mathbb{Z}$

Example. A commutative ring is an integral domain if and only if $\{0\}$ is a prime ideal.

Theorem 1.28. *Let R be a commutative ring, and I be a proper ideal. Then, I is a prime ideal if and only if R/I is an integral domain.*

Example. The quotients $\mathbb{Z}/p\mathbb{Z}$ are integral domains precisely for primes p .

Definition 1.18. An ideal $I \subseteq R$ is called maximal if it is proper, and for any ideal $J \subseteq R$ with $I \subseteq J \subseteq R$, either $J = I$ or $J = R$.

Example. The maximal ideals of \mathbb{Z} are $p\mathbb{Z}$.

Theorem 1.29. *Let R be a commutative unit ring, and I be a proper ideal. Then I is a maximal ideal if and only if R/I is a field.*

Example. Note that $4\mathbb{Z}$ is a maximal ideal in $2\mathbb{Z}$, but $2\mathbb{Z}/4\mathbb{Z}$ is not a field.

Lemma 1.30. *Let R be a commutative unit ring. Then every maximal ideal is prime.*

Example. Note that (X) is a prime ideal in $\mathbb{Z}[X]$, but not maximal.

Definition 1.19. A non-empty set S with a partial order \leq is called a partial ordered set, when we have

1. $x \leq x$ for all $x \in S$.
2. $x \leq y$ and $y \leq x$ forces $x = y$.
3. $x \leq y$ and $y \leq z$ forces $x \leq z$.

Definition 1.20. A subset T of S is called a chain or totally ordered set if any two elements are comparable. In other words, given $x, y \in T$, at least one of $x \leq y$ or $y \leq x$.

Lemma 1.31 (Zorn's Lemma). *If S is a partially ordered set such that every chain C has an upper bound in S , then for every element $x \in S$, there exists a maximal element $z \in S$ such that $x \leq z$.*

Theorem 1.32. *Let R be a commutative unit ring. Then R contains a maximal ideal.*

1.9 Divisibility

In this section, all rings are integral domains with a multiplicative identity.

Definition 1.21. Let $a, b \in R$, $a \neq 0$. We say that a divides b if there exists $c \in R$ such that $b = ac$. We denote this by $a \mid b$.

Example. In $\mathbb{Z}[i]$, $3 + i$ divides 10 because $10 = (3 + i)(3 - i)$.

Lemma 1.33. *If $a, b \in R$, $a \neq 0$, then $a \mid b$ if and only if $(a) \supseteq (b)$.*

Lemma 1.34. *Suppose that $a \mid b$ and $b \mid a$. Then, $b = ua$ for some unit $u \in R$.*

Definition 1.22. Two non-zero elements $a, b \in R$ are called associates of each other if $b = ua$ for some unit $u \in R$.

Remark. This defines an equivalence relation on $R - \{0\}$.

Definition 1.23. A non-zero non-unit element $a \in R$ is said to be irreducible if $a = bc$ forces either b, c to be a unit.

Remark. The only divisors of an irreducible element are its associates and units.

Definition 1.24. A non-zero non-unit element $p \in R$ is said to be prime if for $a, b \in R$, $p \mid ab$ forces either $p \mid a$, $p \mid b$.

Lemma 1.35. *All prime elements are irreducible.*

Example. Consider $x = 1 + \sqrt{-5} \in \mathbb{Z}[\sqrt{-5}]$; this is irreducible, but not prime.

Theorem 1.36. *Let $p \in R$ be non-zero. Then, p is a prime if and only if (p) is a prime ideal.*

Theorem 1.37. *Let $x \in R$ be non-zero. Then, x is irreducible if (x) is maximal.*

Example. Note that X is irreducible in $\mathbb{Z}[X]$, but (X) is not maximal.

Definition 1.25. Let $a, b \in R$ be non-zero. An element $d \in R$ is called a greatest common divisor (gcd) of a and b if

1. $d \mid a$ and $d \mid b$.
2. $d' \mid a$ and $d' \mid b$ forces $d' \mid d$.

Definition 1.26. Let $a, b \in R$ be non-zero. An element $l \in R$ is called a least common multiple (lcm) of a and b if

1. $a \mid l$ and $b \mid l$.
2. $a \mid l'$ and $b \mid l'$ forces $l \mid l'$.

Example. Consider the ring $\mathbb{Z}[\sqrt{-5}]$, with $a = 2(1 + \sqrt{-5})$, $b = 6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$. Then, a and b have no gcd or lcm.

Lemma 1.38. If the gcd of a and b does exist, then it is unique upto associates. The same applies for the lcm.

1.10 Factorisation domains

Definition 1.27. A unit integral domain R is called a factorisation domain if every non-zero, non-unit $x \in R$ can be expressed as a unit times a product of irreducible elements, i.e. $x = ux_1x_2 \dots x_n$ where u is a unit and each x_i is irreducible.

Example. The ring $\mathbb{Z}[\sqrt{-5}]$ is a factorisation domain.

Example. Consider the ring of entire complex functions, i.e.

$$R = \left\{ \sum_{n=1}^{\infty} a_n z^n : a_n \in \mathbb{C}, \text{ the series converges for all } z \in \mathbb{C} \right\}.$$

Then, R is indeed a unit integral domain, and its units are those functions which vanish nowhere. Furthermore, its irreducible elements are the associates of linear polynomials $z - a$. Now if R were to be a factorisation domain, then every element would be an associate of a polynomial function, and thus have finitely many zeroes. However, the entire function \sin has infinitely many zeroes.

Definition 1.28. A unit integral domain R is called a unique factorisation domain if R is a factorisation domain and the factorisation of every element non-zero $x \in R$ is unique upto associates.

Example. The ring of integers \mathbb{Z} is a unique factorisation domain.

Example. The ring $\mathbb{Z}[\sqrt{-5}]$ is not a unique factorisation domain.

Theorem 1.39. *A unit integral domain R is a unique factorisation domain if and only if R is a factorisation domain in which every irreducible element is a prime.*

Proof. First suppose that R is a unique factorisation domain. Let x be an irreducible element in R , and let $x \mid ab$. We claim that $x \mid a$ or $x \mid b$. Now, $x \mid ab$ means that there exists $y \in R$, $xy = ab$. We can factor a and b , and conclude that

$$xy = ab = (ua_1 \dots a_l)(vb_1 \dots b_m),$$

where u, v are units and a_i, b_j are all irreducible. Since R is a unique factorisation domain and the irreducible element x appears on the left, it must be an associate of one of the a_i, b_j . If $x = wa_i$ for some unit w , then $x \mid a_i$ hence $x \mid a$. Otherwise, $x \mid b_j$ hence $x \mid b$.

Next suppose that R is a factorisation domain where every irreducible element is prime. Suppose that non-zero $x \in R$ factorises into irreducible elements as

$$x = ux_1 \dots x_l = vy_1 \dots y_m.$$

Note that all x_i, y_j are primes. Suppose that $l \leq m$. Now $x_1 \mid x$ implies that $x_1 \mid vy_1 \dots y_m$, hence $x_1 \mid y_k$ for some y_k . Without loss of generality, let $x_1 \mid y_1$; the irreducibility of y_1 means that $x_1 = u_1 y_1$ for some unit u_1 . Thus,

$$uu_1 x_2 \dots x_l = vy_2 \dots y_m.$$

Continuing this process, we will reach $w = vy_{l+1} \dots y_m$ for some unit w , which is a contradiction if $l < m$. Thus, we are forced to have $l = m$, and all $x_i = u_i y_i$ for units u_i . \square

Lemma 1.40. *Let R be a unique factorisation domain. Then, any two non-zero elements in R have a gcd and an lcm.*

1.11 Principal ideal domains

Definition 1.29. A unit integral domain R is called a principal ideal domain if every ideal of R is principal.

Example. The ring of integers \mathbb{Z} is a principal ideal domain.

Theorem 1.41. *Let R be a principal ideal domain, and let $x \in R$ be non-zero. Then, x is irreducible if and only if (x) is maximal.*

Corollary 1.41.1. *Let R be a principal ideal domain. Then, every non-zero prime ideal is maximal.*

Example. Note that (0) is a prime ideal in \mathbb{Z} , but is not maximal.

Lemma 1.42. *Let R be a principal ideal domain. Then, every irreducible element is prime.*

Theorem 1.43. *Every principal ideal domain is a unique factorisation domain.*

Corollary 1.43.1. *Let R be a principal ideal domain. Then, any two non-zero elements in R have a gcd and an lcm.*

Example. The ring $\mathbb{Z}[X]$ is a unique factorisation domain, but not a principal ideal domain.

1.12 Euclidean domains

Definition 1.30. An integral domain R is called a Euclidean domain if there is a map $d: R - \{0\} \rightarrow \mathbb{Z}_{\geq 0}$ such that

1. $d(a) \leq d(ab)$ for all non-zero $a, b \in R$.
2. For all $a \in R$ and non-zero $b \in R$, there exist $q, r \in R$ such that $a = bq + r$ with either $r = 0$ or $d(r) < d(b)$.

The map d is called the algorithm map and the second property is called the division algorithm.

Example. The ring of integers \mathbb{Z} is a Euclidean domain, with $d(n) = |n|$.

Example. The ring of Gaussian integers $\mathbb{Z}[i]$ is a Euclidean domain, with $d(a + ib) = a^2 + b^2$.

Example. Every field is a Euclidean domain, with $d(x) = 1$.

Lemma 1.44. *Every ideal in a Euclidean domain is principal.*

Proof. Let R be a Euclidean domain, and let $I \subseteq R$ be an ideal. If $I = 0$, we trivially have $I = (0)$. Thus, let $I \neq 0$, and choose non-zero $a \in I$ such that $d(a)$ is minimal. We claim that $I = (a)$. Indeed, let $b \in I$, and exhibit $q, r \in R$ such that $b = aq + r$. This shows that $r = b - aq \in I$. Note that $d(r) < d(a)$ contradicts the minimality of $d(a)$, hence we must have $r = 0$, and $b = aq \in (a)$. Thus, $I = (a)$ as desired. \square

Lemma 1.45. *Every Euclidean domain is a unit ring.*

Proof. The previous lemma shows that if R is a Euclidean domain, then $R = (a)$ for some $a \in R$. Since $a \in R$, we must have $a = a_0 a$ for some $a_0 \in R$. We claim that a_0 is the identity in R . Indeed, for $x \in R = (a)$, we must have $x = ra$ for some $r \in R$, hence $x = ra_0 a = a_0(ra) = a_0 x$. \square

Theorem 1.46. *Every Euclidean domain is a principal ideal domain.*

Example. The ring $\mathbb{Z}[(1 + \sqrt{19})/2]$ is a principal ideal domain, but not a Euclidean domain.

Corollary 1.46.1. *Every Euclidean domain is a unique factorisation domain.*

Corollary 1.46.2. *Let R be a Euclidean domain. Then, any two non-zero elements in R have a gcd and an lcm.*

Lemma 1.47. *Let R be a Euclidean domain, and let $a, b \in R$. If a is a proper divisor of b , then $d(a) < d(b)$.*

1.13 Polynomial rings

Theorem 1.48 (Eisenstein's criterion). *Let R be a unique factorisation domain, and*

$$f(x) = \sum_{i=0}^n a_i x^i \in R[X].$$

Suppose that there is a prime $p \in R$ such that $a \mid a_i$ for $0 \leq i < n$, $p \nmid a_n$, and $p^2 \nmid a_0$. Then, $f(x)$ is irreducible.

Corollary 1.48.1. *Let p be a prime and let $n > 1$. Then, $x^n - p \in \mathbb{Z}[X]$ is irreducible.*

Lemma 1.49 (Gauss lemma). *Let R be a unique factorisation domain, and let F be the field of fractions of R . Let $f(x) \in R[X]$ be irreducible in $\mathbb{R}[X]$. Then, $f(x)$ is irreducible in $F[X]$.*

Theorem 1.50 (Gauss theorem). *Let R be a unique factorisation domain. Then, $R[X]$ is also a unique factorisation domain.*

2 Modules

2.1 Basic definitions

Definition 2.1. Let R be a ring. A left R -module is an abelian group $(M, +)$ together with a map $R \times M \rightarrow M$ given by $(a, x) \mapsto ax$ such that for all $a, b \in R$, $m, n \in M$, the following hold.

1. $a(m + n) = am + an$.
2. $(a + b)m = am + bm$.
3. $a(bm) = (ab)m$.
4. $1m = m$.

Remark. When R is commutative, we simply call this structure an R -module.

Example. Any abelian group is a \mathbb{Z} -module.

Example. Any vector space V over a field F is an F -module.

Example. Any ring R is an R -module over itself. Indeed, given an ideal $I \subseteq R$, we see that I is an R -module.

Example. Let M be an S -module. If $\varphi: R \rightarrow S$ is a ring homomorphism, we can treat M as an R -module, with scalar multiplication $(a, m) \mapsto \varphi(a)m$ for $a \in R$, $m \in M$.

In particular, S is an R -module, with $(r, s) \mapsto \varphi(r)s$ for $r \in R$, $s \in S$.

Using this idea, suppose that $I \subseteq R$ is an ideal, and $\pi: R \rightarrow R/I$ is the canonical homomorphism. Then R/I is an R -module, with $(r, s + I) \mapsto rs + I$ for $r, s \in R$.

2.2 Submodules

Definition 2.2. Let M be a module. A subset $N \subseteq M$ is called a submodule of M if N is a subgroup of $(M, +)$, and $rx \in N$ for all $r \in R$, $x \in N$.

Example. Any subspace of a vector space is a submodule.

Example. All polynomials of degree at most n is a submodule of the R module $R[x]$.

Example. The submodules of a commutative ring R are precisely the ideals of R .

Lemma 2.1. Let $M_1, M_2 \subseteq M$ be submodules. Then, $M_1 \cap M_2$ is a submodule of M .

Definition 2.3. Let $M_1, M_2 \subseteq M$ be submodules. The smallest submodule of M containing $M_1 \cup M_2$ is called the submodule generated by M_1, M_2 .

Lemma 2.2. The submodule generated by $M_1, M_2 \subseteq M$ is

$$M_1 + M_2 = \{x + y : x \in M_1, y \in M_2\}.$$

2.3 Homomorphisms and isomorphisms

Definition 2.4. Let R be a ring, and let M, N be R -modules. A map $\varphi: M \rightarrow N$ is called a homomorphism if for all $r \in R, m, m' \in M$, the following hold.

1. $\varphi(m + m') = \varphi(m) + \varphi(m')$.
2. $\varphi(rm) = r\varphi(m)$.

The set of all R -module homomorphisms $\varphi: M \rightarrow N$ is denoted $\text{Hom}_R(M, N)$.

Example. Any linear transformation between vector spaces over a field F is a homomorphism of F -modules.

Definition 2.5. A bijective homomorphism is called an isomorphism.

Definition 2.6. A homomorphism $\varphi: M \rightarrow M$ is called an endomorphism. The set of all R -module endomorphisms $\varphi: M \rightarrow M$ is denoted $\text{End}_R(M) = \text{Hom}_R(M, M)$.

Definition 2.7. A bijective endomorphism is called an automorphism.

Lemma 2.3. Let $\varphi: M \rightarrow N$ be a homomorphism of R -modules. Then, the following hold.

1. The set $\ker \varphi$ is a submodule of M .
2. The set $\text{im } \varphi$ is a submodule of N .
3. The map φ is a injective if and only if $\ker \varphi = \{0\}$.

Theorem 2.4. Let M be an R -module, and $N \subseteq M$ be a submodule. Then, the quotient group M/N is an R -module, with $r[m] \mapsto [rm]$ for $r \in R, m \in M$.

Theorem 2.5. If $\varphi \in \text{Hom}_R(M, M')$, then $M/\ker \varphi \cong \text{im } \varphi$.

2.4 Cyclic modules

Definition 2.8. An R -module M is called cyclic if $M = Rx$ for some $x \in M$.

Definition 2.9. The annihilator of an R -module M is defined as

$$\text{Ann}(M) = \{a \in R : aM = 0\}.$$

Definition 2.10. A cyclic module M is called free if $\text{Ann}(M) = 0$.

Lemma 2.6. If $M = Rx$ is free, then every element $z \in M$ can be uniquely expressed as $z = ax$ for $a \in R$.

Definition 2.11. An R -module M is called finitely generated if $M = M_1 + M_2 + \cdots + M_n$ where each M_i is cyclic. If each $M_i = Rx_i$, then $\{x_1, \dots, x_n\}$ is called a generating set of M .

Example. The module of polynomials over R of degree at most n is generated by $\{1, x, \dots, x^n\}$.

2.5 Direct products and sums

Definition 2.12. Let I be an indexing set. A family $(x_i, i \in I)$ is a function whose value at i is x_i . Let M_i be R -modules. Their direct product $\prod_{i \in I} M_i$ is the set of all families $(x_i, i \in I)$ with $x_i \in M_i$. Addition and scalar multiplication are defined simply as

$$(x_i) + (y_i) = (x_i + y_i), \quad r(x_i) = (rx_i),$$

Definition 2.13. The external direct sum of R -modules M_i , denoted $\bigoplus_{i \in I} M_i$, is the set of all families $(x_i, i \in I)$ where all but finitely many $x_i = 0$ for each index $i \in I$.

Definition 2.14. Let $M_1, M_2 \subseteq M$ be submodules. We say that M is the internal direct sum of M_1, M_2 if $M = M_1 + M_2$, $M_1 \cap M_2 = \{0\}$.

Lemma 2.7. If M is the internal direct sum of M_1, M_2 , then $M \cong M_1 \oplus M_2$.

Definition 2.15. If M is an R -module and $M \cong M_1 \oplus M_2$, then this is called a direct decomposition of M . An indecomposable module M cannot be written as the direct sum of non-zero modules.

Definition 2.16. An R -module M is called free if on a finite basis, it can be expressed as a direct sum

$$M = M_1 \oplus \cdots \oplus M_n$$

where each M_i is a free cyclic R -module. If $M_i = Rx_i$, then the collection $\{x_1, \dots, x_n\}$ is called a basis of the free module M .

Lemma 2.8. If M is a free module with basis $\{x_1, \dots, x_n\}$, then each $z \in M$ can be uniquely expressed as

$$z = a_1x_1 + \cdots + a_nx_n,$$

for $a_i \in R$.

Example. \mathbb{R}^n is a free \mathbb{R} -module, with many choices of basis.

Example. $\mathbb{Z}/n\mathbb{Z}$ is not a free \mathbb{Z} -module, since $n \in \text{Ann}(\mathbb{Z}/n\mathbb{Z})$.

Lemma 2.9. Let R be a commutative unit ring. Then any two bases of a free R -module have the same number of elements.

Definition 2.17. Let R be a commutative unit ring, and let F be a free R -module with a basis of n elements. Then, n is defined as the rank of F .

Lemma 2.10. Let R be a principal ideal domain, and let M be a free R -module of rank n . If $N \subseteq M$ is a submodule, then N is free with rank $\leq n$.

Theorem 2.11 (Structure theorem). Let M be a finitely generated module over a principal ideal domain R . Then, M can be expressed uniquely as

$$M \cong F \oplus R/(q_1) \oplus \cdots \oplus R/(q_k)$$

where F is a free R -module and q_1, \dots, q_k are non-zero elements of R with $q_1 \mid \cdots \mid q_k$. Moreover, F is unique up to isomorphism, and q_1, \dots, q_k are unique up to units.