

# Counting Strategies: Inclusion-Exclusion, Categories

	<b>Miles Jones</b>	<b>MTThF 8:30-9:50am</b>	<b>CSE 4140</b>

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# Length n binary strings

Select which method lets us count the number of length n binary strings.

- A. The product rule.
- B. The sum rule.
- C. Either rule works.
- D. Neither rule works.

Select first bit, then second, then third ...

$\{0...\} \cup \{1...\}$  gives recurrence  $N(n) = 2N(n-1)$ ,  $N(0)=1$

$$N(n) = 2^n$$

# Memory: storing length $n$ binary strings

How many binary strings of length  $n$  are there?

$2^n$

How many bits does it take to store a length  $n$  binary string?

$n$

# Memory: storing length $n$ binary strings

How many binary strings of length  $n$  are there?  $2^n$

How many bits does it take to store a length  $n$  binary string?  $n$

**General principle:** number of bits to store an object is

$$\lceil \log_2(\text{number of objects}) \rceil$$

Why the ceiling function?



# Memory: storing integers

Scenario: We want to store a non-negative integer that has at most  $n$  digits. How many bits of memory do we need to allocate?

- A.  $n$
- B.  $2^n$
- C.  $10^n$
- D.  $n \cdot \log_2 10$
- E.  $n \cdot \log_{10} 2$

# Ice cream!

At an ice cream parlor, you can choose to have your ice cream in a bowl, cake cone, or sugar cone. There are 20 different flavors available.

How many single-scoop creations are possible?

- A. 20
- B. 23
- C. 60
- D. 120
- E. None of the above.



# Ice cream!

At an ice cream parlor, you can choose to have your ice cream in a bowl, cake cone, or sugar cone. There are 20 different flavors available.

You can convert your single-scoop of ice cream to a sundae. Sundaes come with your choice of caramel or hot-fudge. Whipped cream and a cherry are options. How many desserts are possible?

- A.  $20 \cdot 3 \cdot 2 \cdot 2$
- B.  $20 \cdot 3 \cdot 2 \cdot 2 \cdot 2$
- C.  $20 \cdot 3 + 20 \cdot 3 \cdot 2 \cdot 2$
- D.  $20 \cdot 3 + 20 \cdot 3 \cdot 2 \cdot 2 \cdot 2$
- E. None of the above.

# A scheduling problem

In one request, four jobs arrive to a server: J1, J2, J3, J4.

The server starts each job right away, splitting resources among all active ones.

Different jobs take different amounts of time to finish.

**How many possible finishing orders are there?**

$$4^4$$

$$4 \cdot 4$$

$$4!$$



# A scheduling problem

In one request, four jobs arrive to a server: J1, J2, J3, J4.

The server starts each job right away, splitting resources among all active ones.

Different jobs take different amounts of time to finish.



**How many possible finishing orders are there?**

Which options are available will depend on first choice; but the **number** of options will be the same.

*Product rule analysis*

- 4 options for which job finishes first.
- Once pick that job, 3 options for which job finishes second.
- Once pick those two, 2 options for which job finishes third.
- Once pick first three jobs, only 1 remains.

$$(4)(3)(2)(1) = 4! = 24$$

# Permutations

## Permutation:

*Rosen p. 407*

rearrangement / ordering of  $n$  distinct objects so that each object appears exactly once

**Theorem 1:** The number of permutations of  $n$  objects is

$$n! = n(n-1)(n-2) \dots (3)(2)(1)$$

*Convention:*  $0! = 1$

$$1! = 1$$

# Traveling salesperson

Planning a trip to

New York

Chicago

Baltimore

Los Angeles

San Diego

Minneapolis

Seattle

} all possible permutations

Must **start in New York** and **end in Seattle**.

How many ways can the trip be arranged?

- A.  $7!$
- B.  $2^7$
- C. None of the above.

# Traveling salesperson

Planning a trip to

4!

New York

1 Chicago

Baltimore

~~Los Angeles~~

3 San Diego

4 Minneapolis

Seattle

Must **start in New York** and **end in Seattle**.

Must also **visit Los Angeles** immediately after **San Diego**.

*How many ways can the trip be arranged now?*

# Traveling salesperson

Planning a trip to

New York  
Chicago  
Baltimore  
Los Angeles  
San Diego  
Minneapolis  
Seattle

Treat LA & SD as a single stop.

$(1)(4!)(1) = 24$  arrangements.

Must **start in New York** and **end in Seattle**.

Must also **visit Los Angeles** immediately after **San Diego**.

*How many ways can the trip be arranged now?*

# Traveling salesperson

Planning a trip to

New York  
Chicago  
Baltimore  
Los Angeles  
San Diego  
Minneapolis  
Seattle

Must **start in New York** and **end in Seattle**.

Must also **visit Los Angeles and San Diego immediately after each other (in any order)**.

*How many ways can the trip be arranged now?*

# Traveling salesperson

Planning a trip to

New York  
Chicago  
Baltimore  
Los Angeles  
San Diego  
Minneapolis  
Seattle

Break into two disjoint cases:

Case 1: LA before SD      24 arrangements

Case 2: SD before LA      24 arrangements

Must **start in New York** and **end in Seattle**.

Must also **visit Los Angeles and San Diego immediately after each other (in any order)**.

*How many ways can the trip be arranged now?*

# Traveling salesperson

Planning a trip to

New York  
Chicago  
Baltimore  
Los Angeles  
San Diego  
Minneapolis  
Seattle

Realistically, choose order of visiting cities based on distance...  
we wouldn't go to Los Angeles, then Minneapolis, then San Diego,  
then New York, then Seattle, then Chicago, etc.

Must **start in New York** and **end in Seattle**.

Must also **visit Los Angeles and San Diego immediately after each other (in any order)**.

*How many ways can the trip be arranged now?*



# Traveling salesperson

Planning a trip to

New York  
Chicago  
Baltimore  
Los Angeles  
San Diego  
Minneapolis  
Seattle

Is there an order of visiting the cities that stops at each city exactly once and minimizes the distance traveled?

$$5! = 120$$

4,500

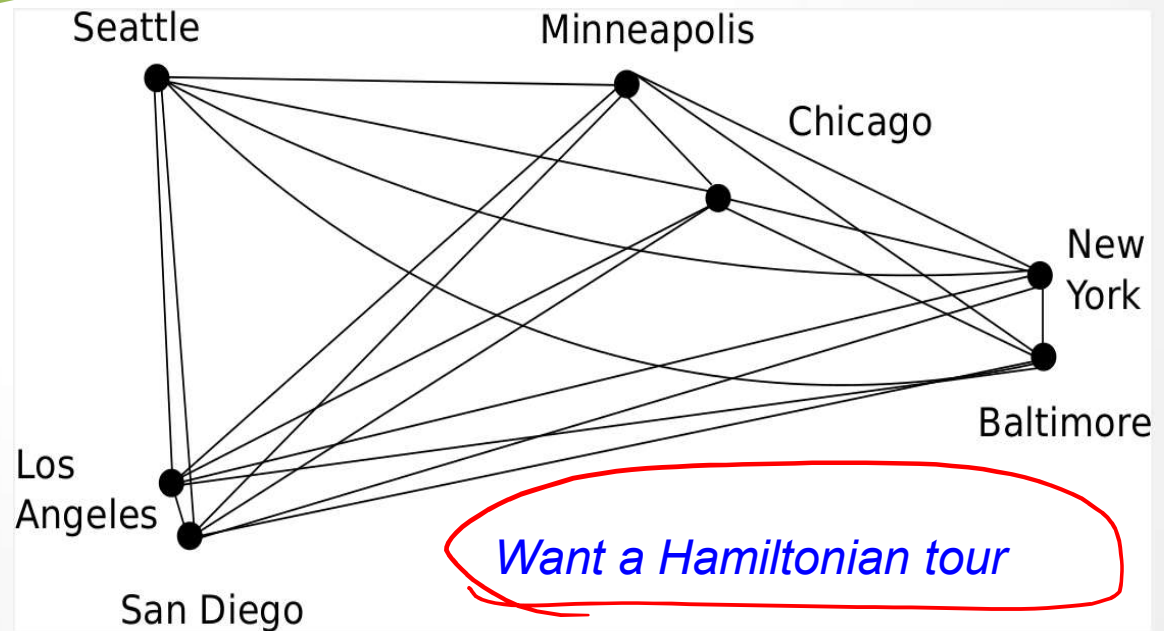
	NY	Chicago	Balt.	LA	SD	Minn.	Seattle
NY	0	800	200	2800	2800	1200	2900
Chicago	800	0	700	2000	2100	400	2000
Balt.	200	700	0	2600	2600	1100	2700
LA	2800	2000	2600	0	100	1900	1100
SD	2800	2100	2600	100	0	2000	1300
Minn.	1200	400	1100	1900	2000	0	1700
Seattle	2900	2000	2700	1100	1300	1700	0

# Traveling salesperson

Planning a trip to

New York  
Chicago  
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Los Angeles  
San Diego  
Minneapolis  
Seattle

Is there an order of visiting the cities that stops at each city exactly once and minimizes the distance traveled?

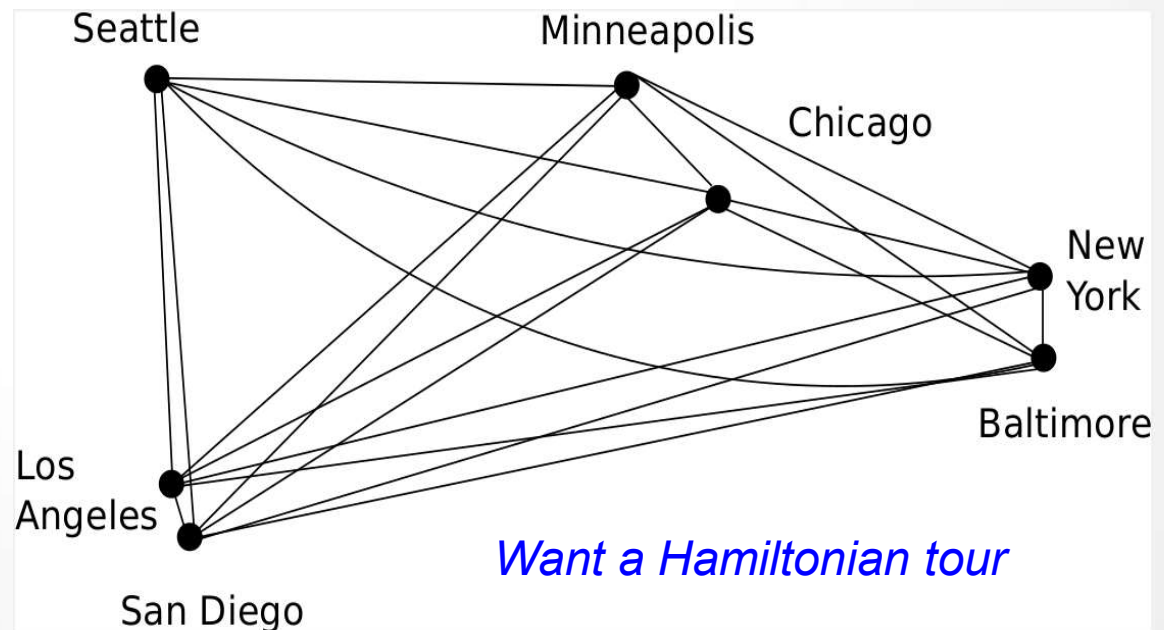


# Traveling salesperson

Developing an algorithm which, given a set of cities and distances between them, computes a shortest distance path between all of them is **NP-hard** (considered intractable, very hard).

Is there **any** algorithm for this question?

- A. No, it's not possible.
- B. Yes, it's just very slow.
- C. ?

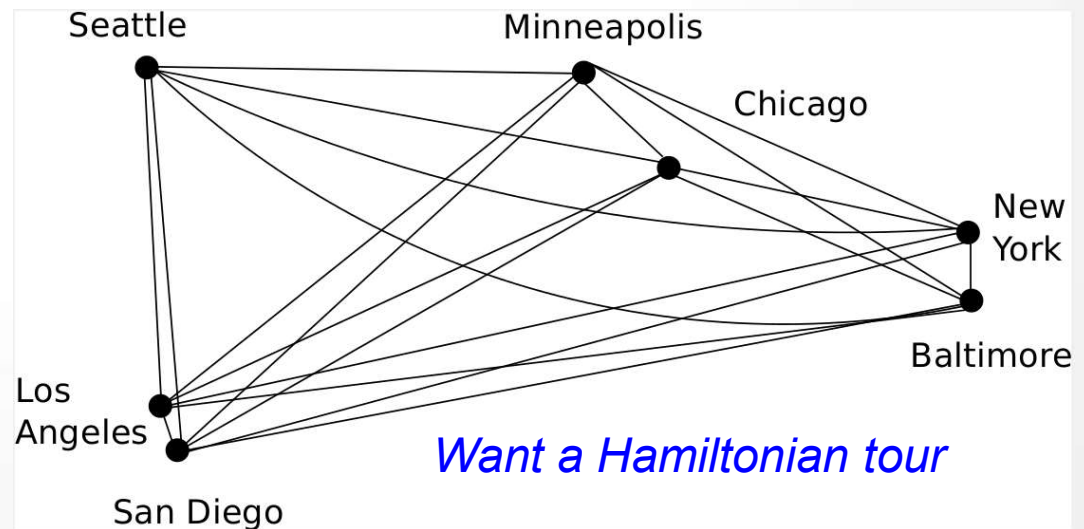


# Traveling salesperson

## Exhaustive search algorithm

List all possible orderings of the cities.  
For each ordering, compute the distance traveled.  
Choose the ordering with minimum distance.

How long does this take?



# Traveling salesperson

**Exhaustive search algorithm: given  $n$  cities and distances between them.**

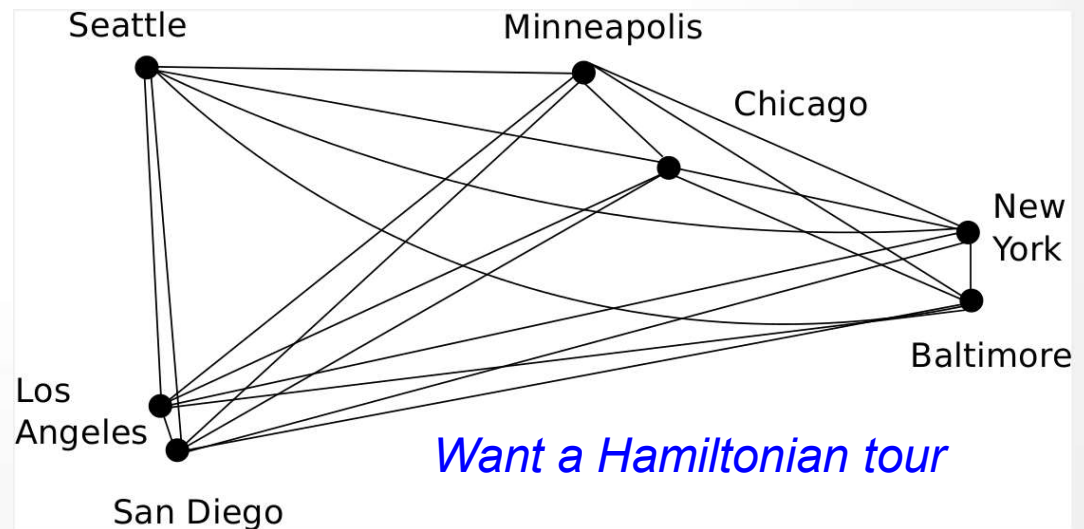
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$O(\text{number of orderings})$

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# Traveling salesperson

**Exhaustive search algorithm: given  $n$  cities and distances between them.**

List all possible orderings of the cities.

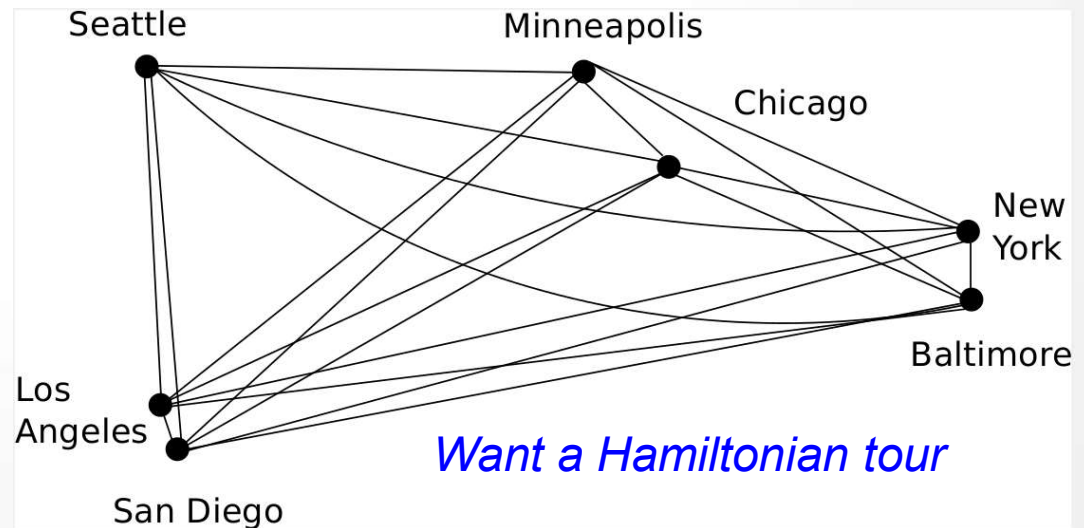
For each ordering, compute the distance traveled.

Choose the ordering with minimum distance.

$O(\text{number of orderings})$

**How long does this take?**

- A.  $O(n)$
- B.  $O(n^2)$
- C.  $O(n^n)$
- D.  $O(n!)$
- E. None of the above.



# Traveling salesperson

**Exhaustive search algorithm:** given  $n$  cities and distances between them.

List all possible orderings of the cities.

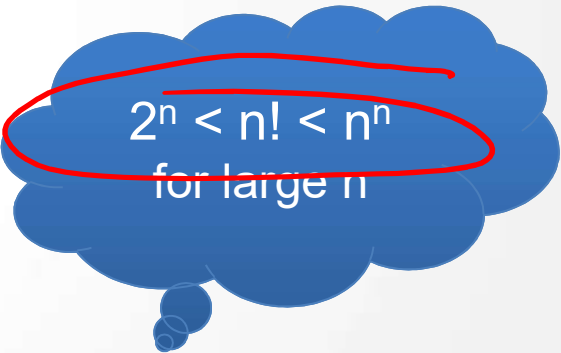
For each ordering, compute the distance traveled.

Choose the ordering with minimum distance.

$O(\text{number of orderings})$

How long does this take?

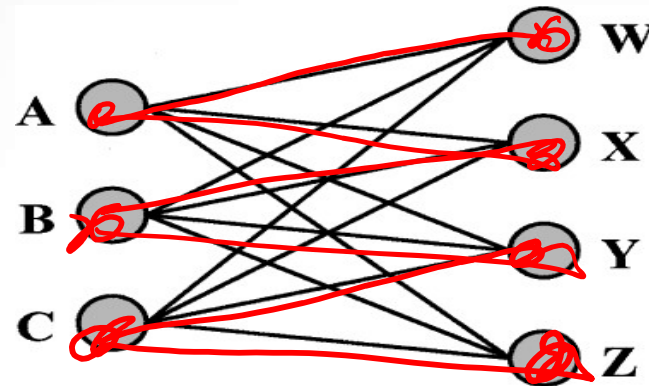
- A.  $O(n)$
- B.  $O(n^2)$
- C.  $O(n^n)$
- D.  $O(n!)$
- E. None of the above.


$$2^n < n! < n^n$$

for large  $n$

***Moral: counting gives upper bound on algorithm runtime.***

# Bipartite Graphs



*Rosen p. 658*

A **complete bipartite graph** is an undirected graph whose vertex set is partitioned into two sets  $V_1, V_2$  such that

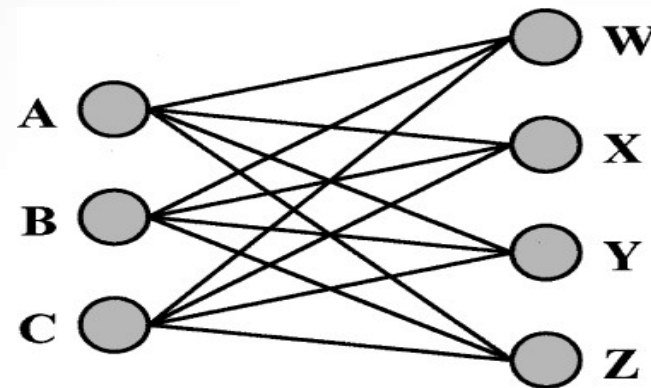
- there is an edge between each vertex in  $V_1$  and each vertex in  $V_2$
- there are no edges both of whose endpoints are in  $V_1$
- there are no edges both of whose endpoints are in  $V_2$

**Is this graph Hamiltonian?**

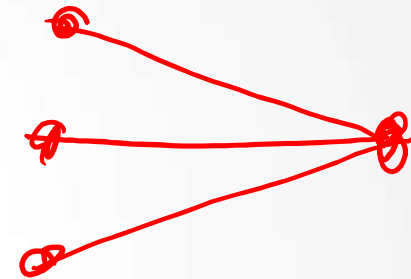
- A. Yes
- B. No



# Bipartite Graphs



*Rosen p. 658*



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- there is an edge between each vertex in  $V_1$  and each vertex in  $V_2$
- there are no edges both of whose endpoints are in  $V_1$
- there are no edges both of whose endpoints are in  $V_2$

**Is every complete bipartite graph Hamiltonian?**

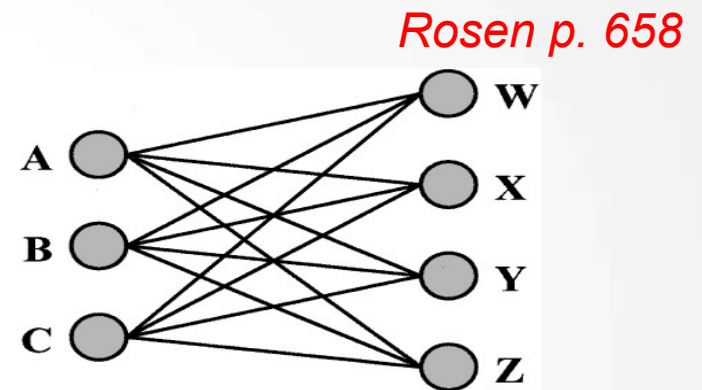
- A. Yes  
B. No

# Bipartite Graphs

4 · 3 · 3 · 2 · 2 · 1 · 1

3!

4!



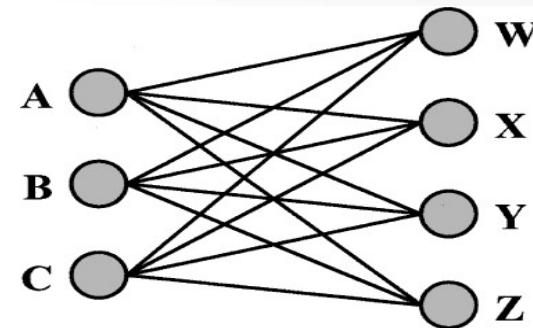
Claim: any **complete bipartite graph** with  $|V_1| = k$ ,  $|V_2| = k+1$  is Hamiltonian.

How many Hamiltonian tours can we find?

- A.  $k$
- B.  $k(k+1)$
- C.  $k!(k+1)!$
- D.  $(k+1)!$
- E. None of the above.

# Bipartite Graphs

*Rosen p. 658*



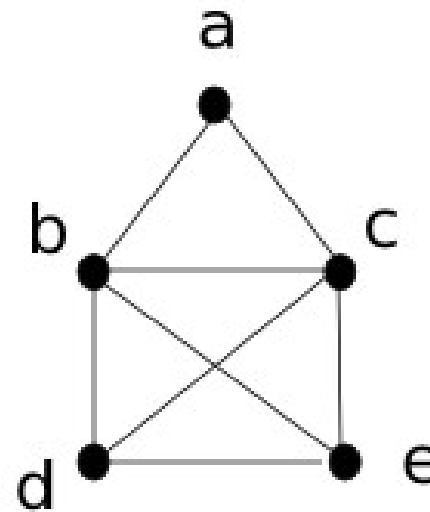
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***Product rule!***

# When product rule fails

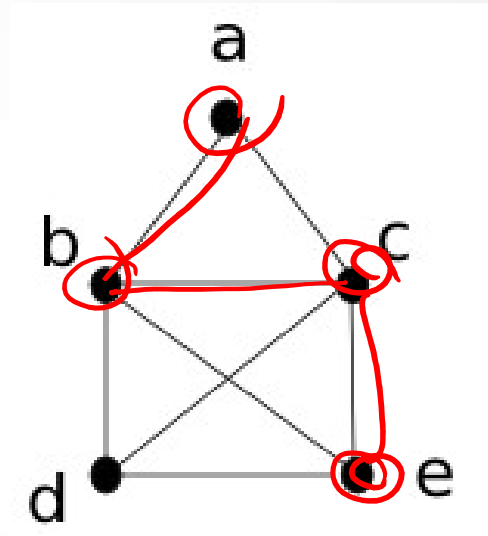
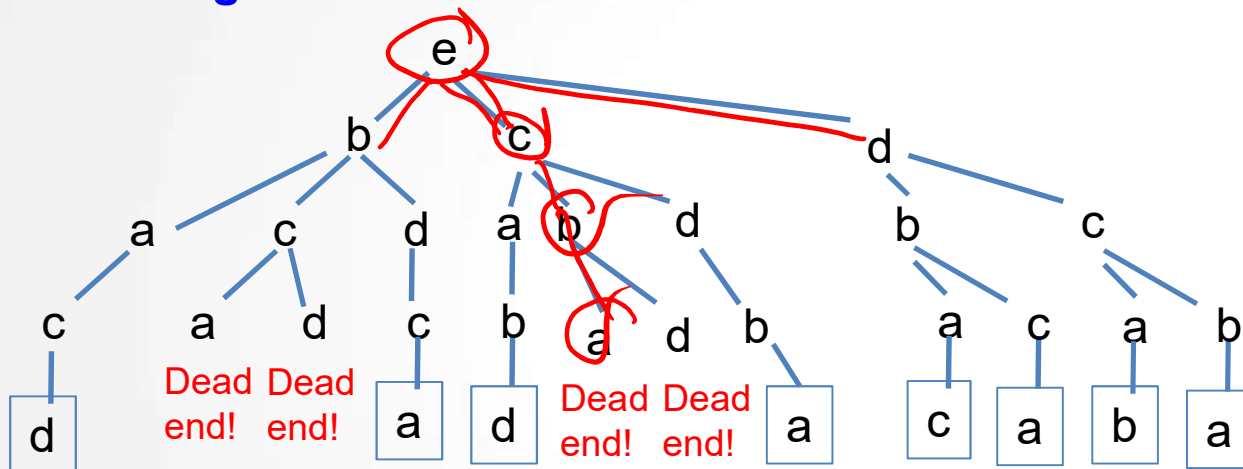


How many Hamiltonian tours can we find?

- A.  $5!$
- B.  $5!4!$
- C. ?

# When product rule fails

## Tree Diagrams



**Which Hamiltonian tours start at e?**

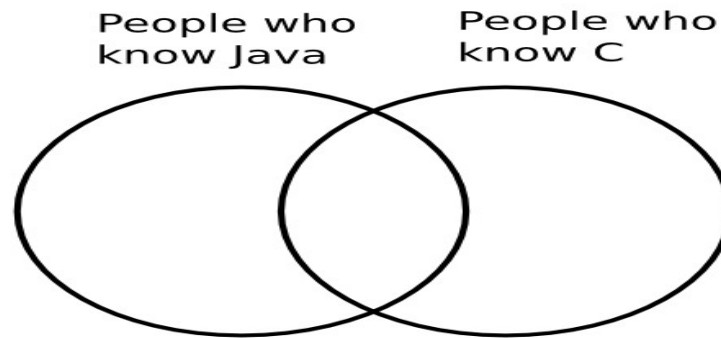
*List all possible next moves.*

*Then count leaves.*

*Rosen p.394-395*

# When sum rule fails

*Rosen p. 392-394*



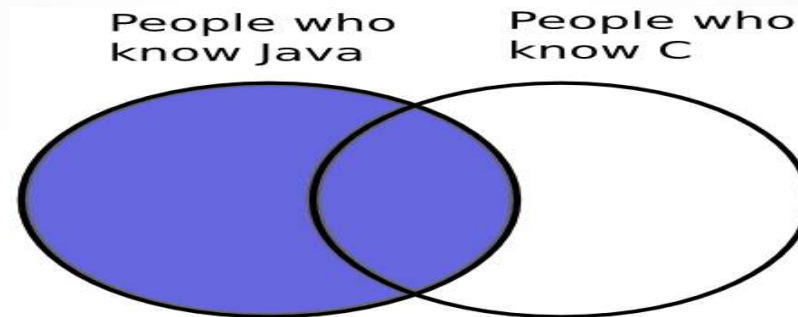
Let  $A = \{ \text{people who know Java} \}$  and  $B = \{ \text{people who know C} \}$

How many people know Java or C (or both)?

- A.  $|A| + |B|$
- B.  $|A| |B|$
- C.  $|A|^{|B|}$
- D.  $|B|^{|A|}$
- E. None of the above.

# When sum rule fails

*Rosen p. 392-394*

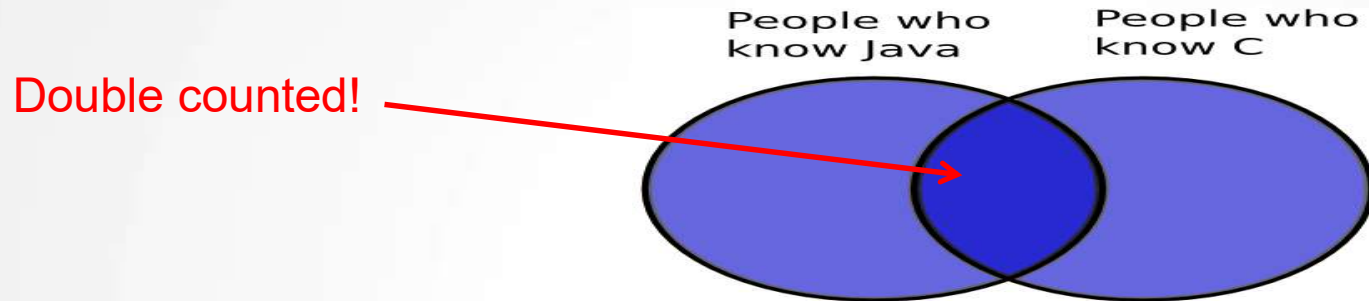


Let  $A = \{ \text{people who know Java} \}$  and  $B = \{ \text{people who know C} \}$

# people who know Java or C = # people who know Java

$$|A| + |B|$$

# When sum rule fails



*Rosen p. 392-394*

$$|A| + |B|$$

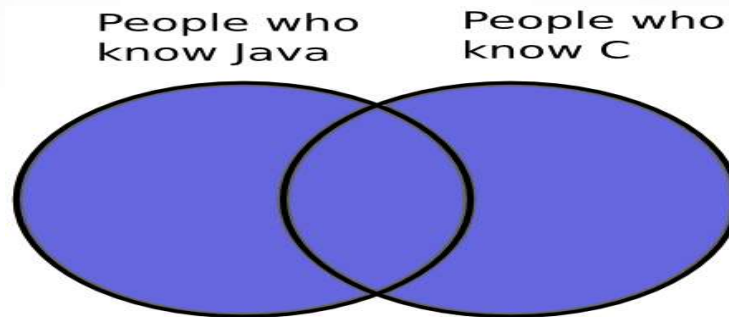
Let  $A = \{ \text{people who know Java} \}$  and  $B = \{ \text{people who know C} \}$

$\# \text{ people who know Java or C} = \# \text{ people who know Java}$   
 $+ \# \text{ people who know C}$



# When sum rule fails

*Rosen p. 392-394*

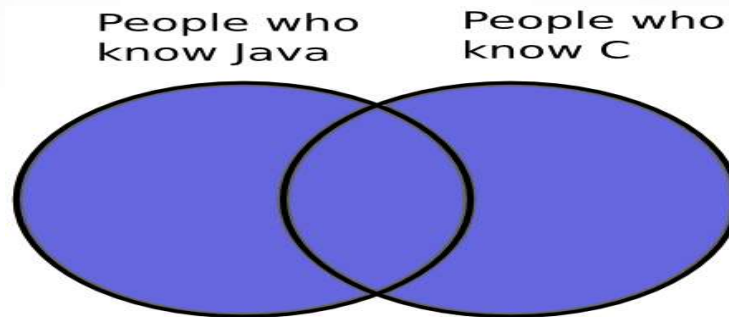


Let  $A = \{ \text{people who know Java} \}$  and  $B = \{ \text{people who know C} \}$

# people who know Java or C = # people who know Java  
+ # people who know C  
- # people who know both

# Inclusion-Exclusion principle

*Rosen p. 392-394*

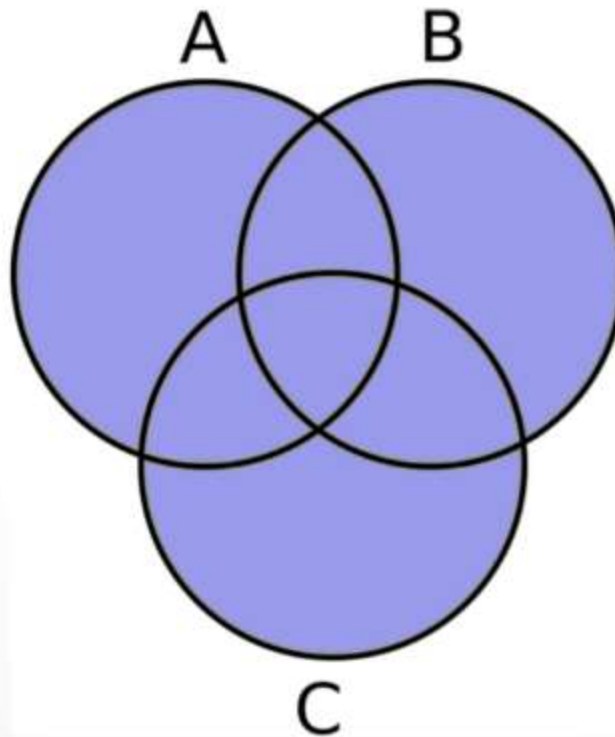


Let  $A = \{ \text{people who know Java} \}$  and  $B = \{ \text{people who know C} \}$

$$|A \cup B| = |A| + |B| - |A \cap B|$$

# Inclusion-Exclusion for three sets

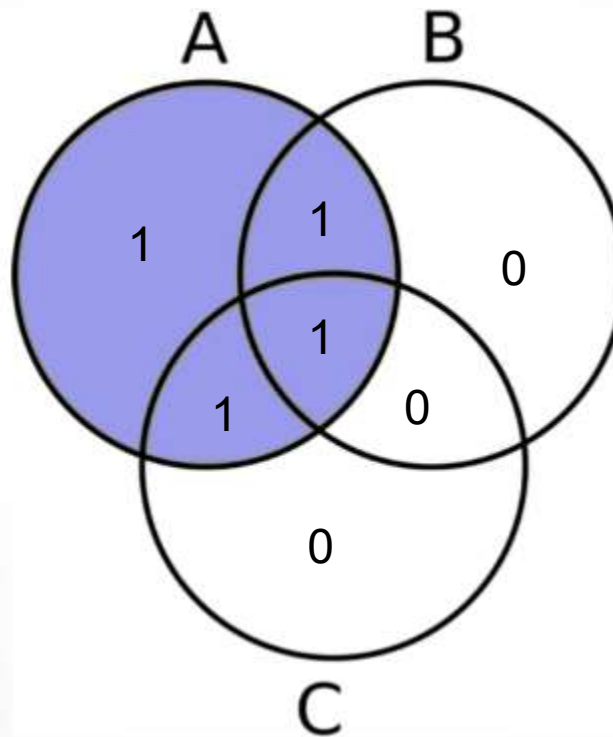
*Rosen p. 392-394*



$$|A \cup B \cup C| = ?$$

# Inclusion-Exclusion for three sets

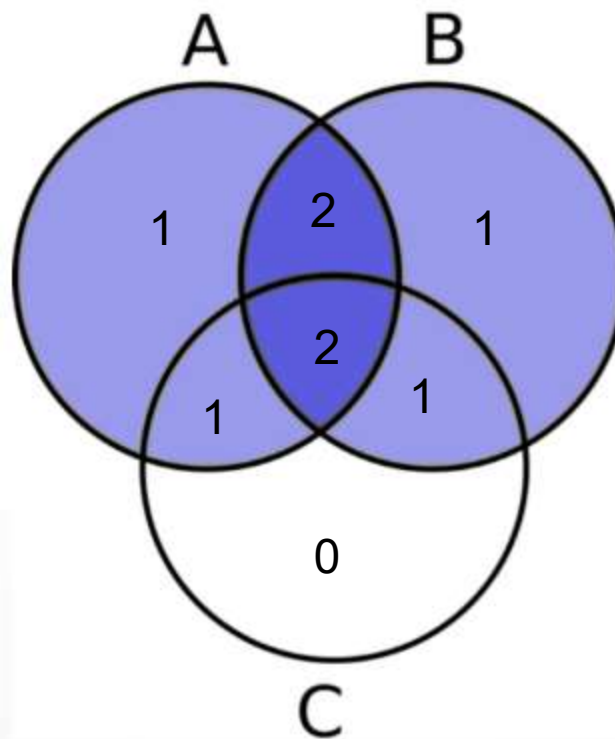
*Rosen p. 392-394*



$$|A \cup B \cup C| = |A| + \dots$$

# Inclusion-Exclusion for three sets

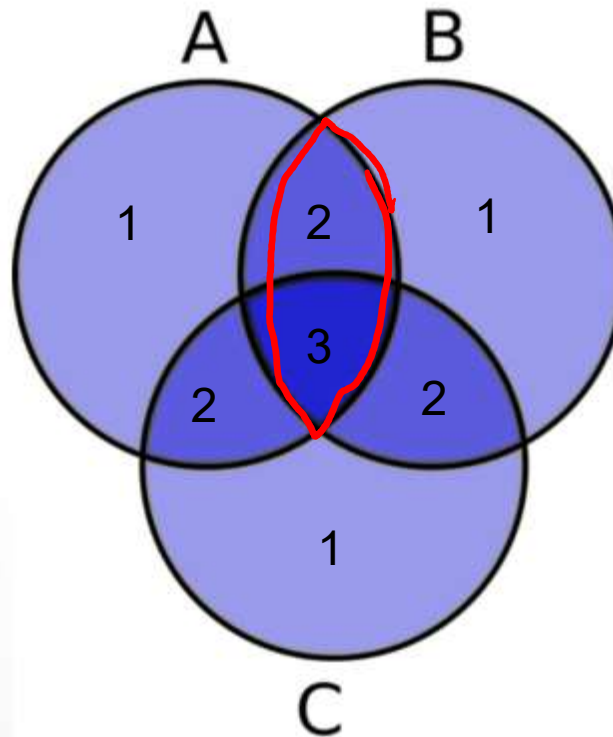
*Rosen p. 392-394*



$$|A \cup B \cup C| = |A| + |B| + \dots$$

# Inclusion-Exclusion for three sets

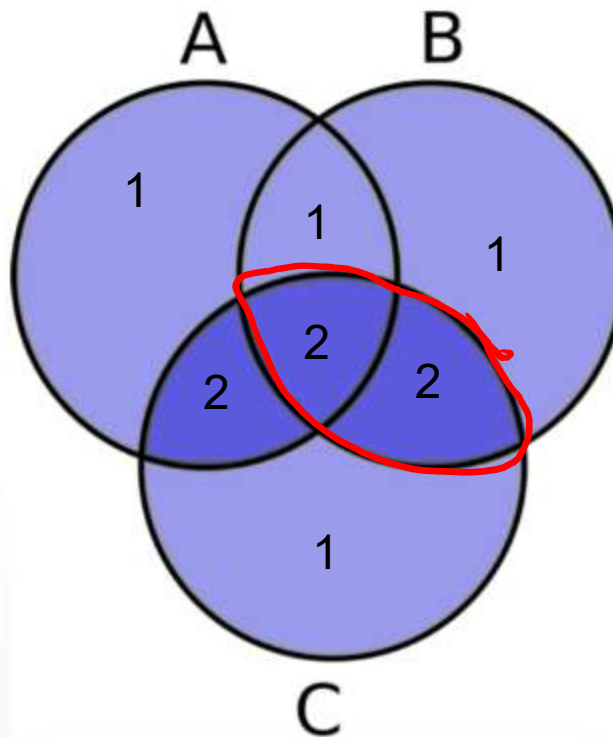
*Rosen p. 392-394*



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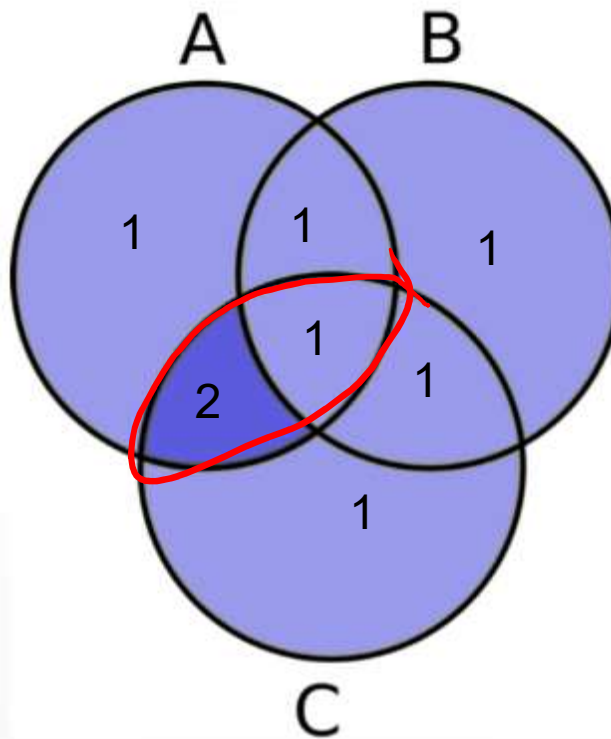
*Rosen p. 392-394*



$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| + \dots$$

# Inclusion-Exclusion for three sets

*Rosen p. 392-394*

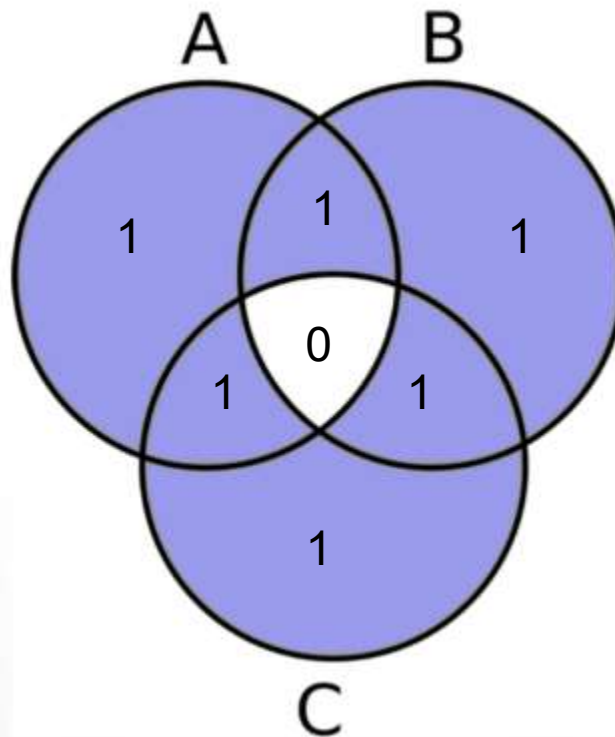


$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| + \dots$$



# Inclusion-Exclusion for three sets

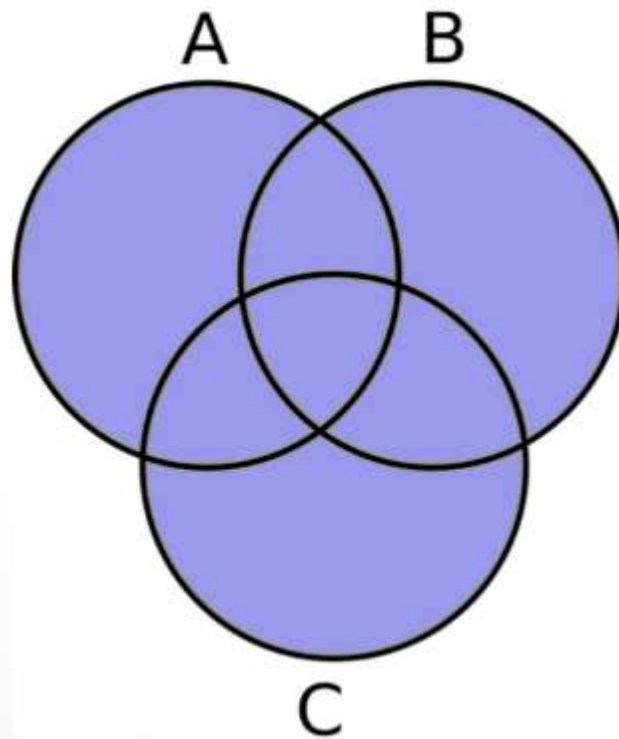
*Rosen p. 392-394*



$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| - |A \cap C| + \dots$$

# Inclusion-Exclusion for three sets

*Rosen p. 392-394*



$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| - |A \cap C| + |A \cap B \cap C|$$

# Inclusion-Exclusion principle

*Rosen p. 556*

If  $A_1, A_2, \dots, A_n$  are finite sets then

$$\begin{aligned} |A_1 \cup A_2 \cup \dots \cup A_n| = & \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| \\ & - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n| \end{aligned}$$

# Templates

How many four-letter strings have one vowel and three consonants?

There are 5 vowels: AEIOU  
and 21 consonants: BCDFGHJKLMNPQRSTVWXYZ.

- A.  $5 \cdot 21^3$
- B.  $26^4$
- C.  $5 + 52$
- D.  $4 \cdot 5 \cdot 21^3$

Handwritten notes illustrating the calculation:

5 vowels (0 1 0 0 0) E  
21 consonants (0 0 0 1 0 ...) F  
21 consonants C  
21 consonants c  
x 4 (0 1 0 0)  
C V C C

# Templates

How many four-letter strings have one vowel and three consonants?

There are 5 vowels: AEIOU  
and 21 consonants: BCDFGHJKLMNPQRSTVWXYZ.

*Template*

VCCC

CVCC

CCVC

CCCV

*# Matching*

$5 * 21 * 21 * 21$

$21 * 5 * 21 * 21$

$21 * 21 * 5 * 21$

$21 * 21 * 21 * 5$

**Total:**  $4 * 5 * 21^3$

# Counting with categories

*Rosen p. 394*

If  $A = X_1 \cup X_2 \cup \dots \cup X_n$  and all  $X_i, X_j$  disjoint and all  $X_i$  have same size, then

$$|X_i| = |A| / n$$

More generally:

There are  $n/d$  ways to do a task if it can be done using a procedure that can be carried out in  $n$  ways, and for every way  $w$ ,  $d$  of the  $n$  ways give the same result as  $w$  did.

# Counting with categories

*Rosen p. 394*

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# Counting with categories

*Rosen p. 394*

If  $A = X_1 \cup X_2 \cup \dots \cup X_n$  and all  $X_i, X_j$  disjoint and all  $X_i$  have same size, then

$$|X_i| = |A| / n$$

Or in other words,

If objects are partitioned into categories of equal size, and we want to think of different objects as being the same if they are in the same category, then

$$\underline{\# \text{ categories}} = (\underline{\# \text{ objects}}) / (\underline{\text{size of each category}})$$



# Ice cream!

An ice cream parlor has  $n$  different flavors available. How many ways are there to order a two-scoop ice cream cup (where you specify which scoop goes on bottom and which on top, and the two flavors must be different)?

- A.  $n^2$
- B.  $n!$
- C.  $n(n-1)$
- D.  $2n$
- E. None of the above.

# Ice cream!

An ice cream parlor has  $n$  different flavors available.

How can we use our earlier answer to decide the number of cups for two scoops side by side,

if we count two cups as the same if they have the same two flavors?

- A. Double the previous answer.
- B. Divide the previous answer by 2.
- C. Square the previous answer.
- D. Keep the previous answer.
- E. None of the above.



# Ice cream!

An ice cream parlor has  $n$  different flavors available.

How can we use our earlier answer to decide the number of cups for two scoops side by side,

if we count two cups as the same if they have the same two flavors?

**Objects:**

cones

**Categories:**

(flavor pairs)

**Size of each category:**

2

different if each

# categories = (# objects) / (size of each category)

$$\begin{array}{c} \text{(flavor pairs)} \\ \text{cups} \end{array} = \text{cones} / 2$$

# Ice cream!

An ice cream parlor has  $n$  different flavors available.

How can we use our earlier answer to decide the number of cups for two scoops side by side,

if we count two cups as the same if they have the same two flavors?

**Objects:** ~~cups~~ cones

**Categories:** flavor pairs (regardless of order)

**Size of each category:**

$$\# \text{ categories} = (\# \text{ objects}) / (\text{size of each category})$$

# Ice cream!

An ice cream parlor has  $n$  different flavors available.

How can we use our earlier answer to decide the number of cups for two scoops side by side,

if we count two cups as the same if they have the same two flavors?

**Objects:** ~~cups~~ <sup>Cone</sup>  $n(n-1)$

**Categories:** flavor pairs (regardless of order) <sup>Cups</sup>

**Size of each category:** 2

$$\# \text{ categories} = (n)(n-1)/2$$

Avoiding double-counting



# Object Symmetries

How many different colored triangles can we create by tying these three pipe cleaners end-to-end?

- A.  $3!$
- B.  $2^3$
- C.  $3^2$
- D. 1
- E. None of the above.



# Object Symmetries

How many different colored triangles can we create by tying these three pipe cleaners end-to-end?



3!



**Objects:** all different colored triangles

**Categories:** **physical** colored triangles (two triangles are the same if they can be rotated and/or flipped to look alike)

3 rotations  $\times$  2 reflections

**Size of each category:**

# categories = (# objects) / (size of each category)

$$1 = 3! / 3 \cdot 2$$

# Object Symmetries

How many different colored triangles can we create by tying these three pipe cleaners end-to-end?



**Objects:** all different colored triangles **3!**

**Categories:** **physical** colored triangles (two triangles are the same if they can be rotated and/or flipped to look alike)

**Size of each category:** **(3)(2)** three possible rotations, two possible flips

$$\# \text{ categories} = (\# \text{ objects}) / (\text{size of each category}) = 6/6 = 1$$



# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?



**Density is number of ones**

For example,  $n=6$   $k=4$

Which of these strings matches this example?

- A. 101101
- B. 1100011101
- C. 111011
- D. 1101
- E. None of the above.

# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?



**Density is number of ones**

For example,  $n=6$   $k=4$

Product rule: How many options for the first bit? the second? the third?

~~2 · 2 · 2 · . . .~~

# Fixed-density Binary Strings

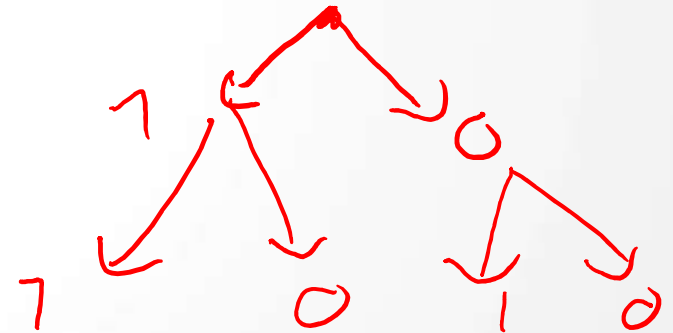
*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?

**Density is number of ones**

For example,  $n=6$   $k=4$

Tree diagram: *gets very big & is hard to generalize*



# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?



**Density is number of ones**

For example,  $n=6$   $k=4$

Another approach: **use a different representation** i.e. count with categories

**Objects:**

**Categories:**

**Size of each category:**

$$\# \text{ categories} = (\# \text{ objects}) / (\text{size of each category})$$

# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?

For example,  $n=6$   $k=4$

Another approach: **use a different representation** i.e. count with categories

**Objects:** all strings made up of  $0_1, 0_2, 1_1, 1_2, 1_3, 1_4$

**Categories:** strings that agree except subscripts

**Size of each category:**

**Subscripts so objects are distinct**



$$\# \text{ categories} = (\# \text{ objects}) / (\text{size of each category})$$

# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?

For example,  $n=6$   $k=4$

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**Objects:** all strings made up of  $0_1, 0_2, 1_1, 1_2, 1_3, 1_4$

**Categories:** strings that agree except subscripts

**Size of each category:**

$$\# \text{ categories} = (\# \text{ objects}) / (\text{size of each category})$$

6!

?

$$4! \cdot 2! \cdot \frac{n!}{(n-k)!k!}$$

# Fixed-density Binary Strings

How many subscripted strings i.e. rearrangements of the symbols

$0_1, 0_2, 1_1, 1_2, 1_3, 1_4$

result in

101101

when the subscripts are removed?

- A. 6!
- B. 4!
- C. 2!
- D. 4!2!
- E. None of the above

# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?

For example,  $n=6$   $k=4$

Another approach: **use a different representation** i.e. count with categories

**Objects:** all strings made up of  $0_1, 0_2, 1_1, 1_2, 1_3, 1_4$  **6!**

**Categories:** strings that agree except subscripts

**Size of each category:** **4!2!**

$$\begin{aligned}\# \text{ categories} &= (\# \text{ objects}) / (\text{size of each category}) \\ &= 6! / (4!2!)\end{aligned}$$



# Fixed-density Binary Strings

*Rosen p. 413*

How many length  $n$  binary strings contain  $k$  ones?

Another approach: **use a different representation** i.e. count with categories

**Objects:** all strings made up of  $0_1, 0_2, \dots, 0_{n-k}, 1_1, 1_2, \dots, 1_k$   **$n!$**

**Categories:** strings that agree except subscripts

**Size of each category:**  **$k!(n-k)!$**

$$\begin{aligned}\text{\# categories} &= (\text{\# objects}) / (\text{size of each category}) \\ &= n! / (k! (n-k) ! )\end{aligned}$$

# Terminology

Rosen p. 407-413

A **permutation** of  $r$  elements from a set of  $n$  *distinct* objects is an **ordered** arrangement of them. There are

$$P(n,r) = n(n-1)(n-2) \dots (n-r+1)$$

many of these.

A **combination** of  $r$  elements from a set of  $n$  *distinct* objects is an **unordered** selection of them. There are

$$C(n,r) = n! / (r! (n-r) ! )$$

many of these.

Binomial coefficient  
"n choose r"

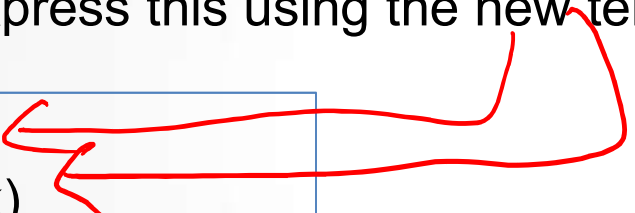
$$\binom{n}{r}$$

# Fixed-density Binary Strings

*Rosen p. 413*

**How many length  $n$  binary strings contain  $k$  ones?**

How to express this using the new terminology?

- A.  $C(n, k)$
  - B.  $C(n, n-k)$
  - C.  $P(n, k)$
  - D.  $P(n, n-k)$
  - E. None of the above
- 

# Fixed-density Binary Strings

*Rosen p. 413*

**How many length  $n$  binary strings contain  $k$  ones?**

How to express this using the new terminology?

- A.  $C(n,k)$        $\{1,2,3..n\}$  is set of positions in string, choose  $k$  positions for 1s
- B.  $C(n,n-k)$        $\{1,2,3..n\}$  is set of positions in string, choose  $n-k$  positions for 0s
- C.  $P(n,k)$
- D.  $P(n,n-k)$
- E. None of the above

# Ice cream! redux

An ice cream parlor has  $n$  different flavors available.

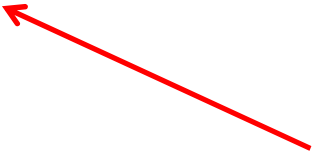
How many ice cream cones are there, if we count two cones as the same if they have the same two flavors (even if they're in opposite order)?

**Objects:** cones  $n(n-1)$

**Categories:** flavor pairs (regardless of order)

**Size of each category:** 2

$$\# \text{ categories} = (n)(n-1)/2$$



Order doesn't matter so selecting a subset of size 2 of the  $n$  possible flavors:

$$C(n,2) = n! / (2! (n-2)!) = n(n-1)/2$$

# What's in a name?

*Rosen p. 415*

**Binomial:** sum of two terms, say  $x$  and  $y$ .

*What do powers of binomials look like?*

$$\begin{aligned}(x+y)^4 &= (x+y)(x+y)(x+y)(x+y) \\ &= (x^2+2xy+y^2)(x^2+2xy+y^2) \\ &= x^4+4x^3y+6x^2y^2+4xy^3+y^4\end{aligned}$$

*In general , for  $(x+y)^n$*


- A. All terms in the expansion are (some coefficient times)  $x^k y^{n-k}$  for some  $k$ ,  $0 \leq k \leq n$ .
- B. All coefficients in the expansion are integers between 1 and  $n$ .
- C. There is symmetry in the coefficients in the expansion.
- D. The coefficients of  $x^n$  and  $y^n$  are both 1.
- E. All of the above.

# Binomial Theorem

*Rosen p. 416*

$$(x+y)^n = (x+y)(x+y)\dots(x+y)$$

$$= x^n + \underline{\hspace{1cm}}x^{n-1}y + \underline{\hspace{1cm}}x^{n-2}y^2 + \dots + \underline{\hspace{1cm}}x^k y^{n-k} + \dots + \underline{\hspace{1cm}}x^2 y^{n-2} + \underline{\hspace{1cm}}x y^{n-1} + y^n$$



Number of ways we can choose  $k$  of the  $n$  factors (to contribute to  $x$ )  
and hence also  $n-k$  of the factors (to contribute to  $y$ )

# Binomial Theorem

*Rosen p. 416*

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$$= x^n + \underline{\hspace{1cm}} x^{n-1}y + \underline{\hspace{1cm}} x^{n-2}y^2 + \dots + \underline{\hspace{1cm}} x^k y^{n-k} + \dots + \underline{\hspace{1cm}} x^2 y^{n-2} + \underline{\hspace{1cm}} x y^{n-1} + y^n$$


Number of ways we can choose  $k$  of the  $n$  factors (to contribute to  $x$ )  
and hence also  $n-k$  of the factors (to contribute to  $y$ )  $C(n,k)$

$$= x^n + C(n,1) x^{n-1}y + \dots + C(n,k) x^k y^{n-k} + \dots + C(n,k-1) x y^{n-1} + y^n$$



# Binomial Coefficient Identities

What's an **identity** ?

An equation that is always true.

To prove

$$\text{LHS} = \text{RHS}$$

- Use algebraic manipulations of formulas

OR

- Interpret each side as counting some collection of strings, and then prove a statements about those sets of strings

# Symmetry Identity

*Rosen p. 411*

**Theorem:** 
$$\binom{n}{k} = \binom{n}{n-k}$$

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*Rosen p. 411*

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**Proof 1:** Use formula  $\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \binom{n}{n-k}$

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**Theorem:**  $\binom{n}{k} = \binom{n}{n-k}$

**Proof 1:** Use formula  $\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \binom{n}{n-k}$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n$  with  $k$  ones

**RHS** counts number of binary strings of length  $n$  with  $n-k$  ones

# Symmetry Identity

*Rosen p. 411*

**Theorem:**  $\binom{n}{k} = \binom{n}{n-k}$

**Proof 1:** Use formula  $\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \binom{n}{n-k}$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n$  with  $k$  ones and  $n-k$  zeros

**RHS** counts number of binary strings of length  $n$  with  $n-k$  ones and  $k$  zeros

# Symmetry Identity

Rosen p. 411

**Theorem:**  $\binom{n}{k} = \binom{n}{n-k}$

**Proof 1:** Use formula  $\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \binom{n}{n-k}$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n$  with  $k$  ones and  $n-k$  zeros

**RHS** counts number of binary strings of length  $n$  with  $n-k$  ones and  $k$  zeros

*Can match up these two sets by pairing each string with another where 0s, 1s are flipped. This **bijection** means the two sets have the same size. So **LHS** = **RHS**.*

# Pascal's Identity

*Rosen p. 418*

**Theorem:** 
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

**Proof 1:** Use formula

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings ???

**RHS** counts number of binary strings ???

# Pascal's Identity

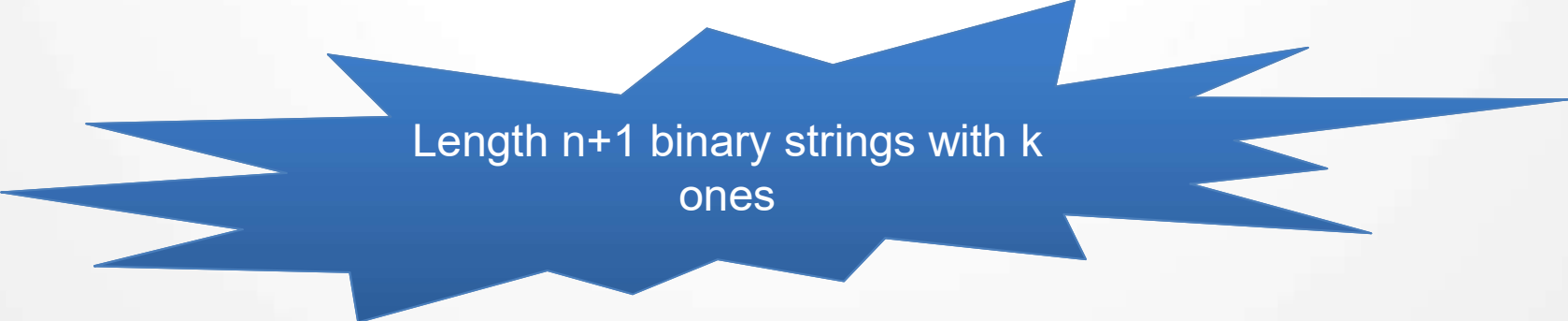
*Rosen p. 418*

**Theorem:** 
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n+1$  that have  $k$  ones.

**RHS** counts number of binary strings ???



Length  $n+1$  binary strings with  $k$  ones



# Pascal's Identity

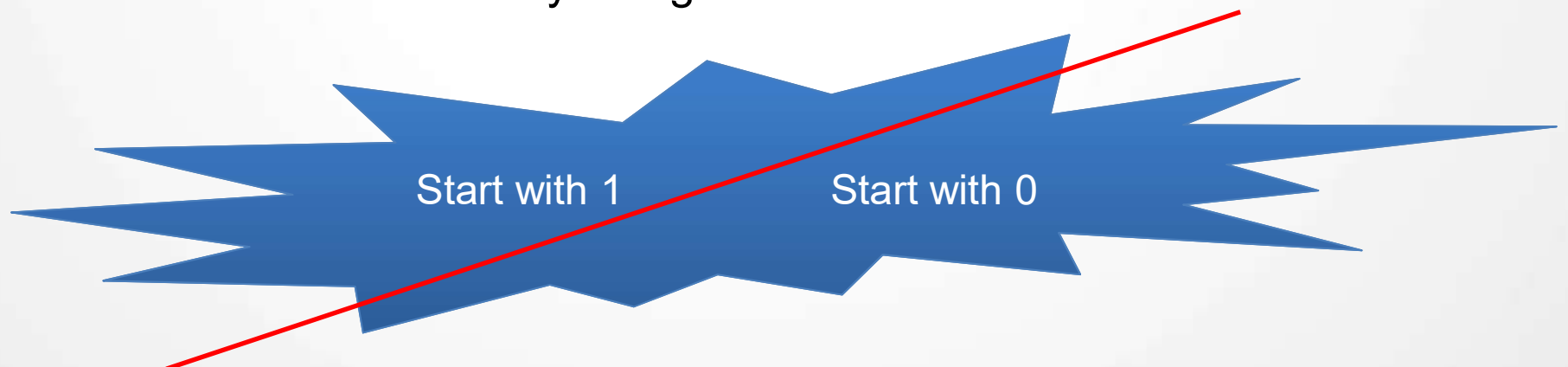
*Rosen p. 418*

**Theorem:** 
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n+1$  that have  $k$  ones.

**RHS** counts number of binary strings ???



# Pascal's Identity

*Rosen p. 418*

How many length  $n+1$  strings start with 1 and have  $k$  ones in total?

- A.  $C(n+1, k+1)$
- B.  $C(n, k)$
- C.  $C(n, k+1)$
- D.  $C(n, k-1)$
- E. None of the above.



Start with 1

Start with 0

# Pascal's Identity

*Rosen p. 418*

How many length  $n+1$  strings start with 0 and have  $k$  ones in total?

- A.  $C(n+1, k+1)$
- B.  $C(n, k)$
- C.  $C(n, k+1)$
- D.  $C(n, k-1)$
- E. None of the above.



Start with 1

Start with 0

# Pascal's Identity

*Rosen p. 418*

**Theorem:** 
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

**Proof 2:** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n+1$  that have  $k$  ones.

**RHS** counts number of binary strings of length  $n+1$  that have  $k$  ones, split into two.



Start with 1

Start with 0

# Sum Identity

*Rosen p. 417*

**Theorem:**  $\sum_{k=0}^n \binom{n}{k} = 2^n$

What set does the **LHS** count?

- A. Binary strings of length  $n$  that have  $k$  ones.
- B. Binary strings of length  $n$  that start with 1.
- C. Binary strings of length  $n$  that have any number of ones.
- D. None of the above.

# Sum Identity

*Rosen p. 417*

**Theorem:** 
$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

**Proof :** Combinatorial interpretation?

**LHS** counts number of binary strings of length  $n$  that have any number of 1s.

By sum rule, we can break up the set of binary strings of length  $n$  into disjoint sets based on how many 1s they have, then add their sizes.

**RHS** counts number of binary strings of length  $n$ .

This is the same set so **LHS = RHS**.