Protein Function Prediction Using Deep Neural Networks

Project Purpose

Motivation: Address the challenge of protein function annotation.

Objective:

- Develop multi-label classification model to enhance biological insights using custom-built neural networks.
- Determine optimal embedding and model combination for functional annotation.

Novelty:

- Develop our original NN models to explore embedding-annotation relationships.
- Integrate additional biological information for enhanced model accuracy.

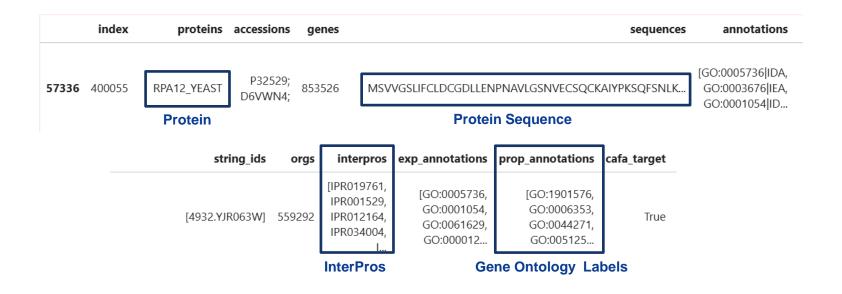
Protein Functions and Gene Ontologies (GO)

- 3 GO categories (Ashburner et al., 2000):
 - Molecular Function (MF): Protein's physical activities
 - Ex. Sequence-specific DNA binding
 - Biological Process (BP): Biological goals led by a protein's MF(s)
 - Ex. Cell death
 - Cellular Component (CC): Protein's location
 - Ex. Nucleus

^{*}Accurate prediction aids research in disease mechanism identification, drug discovery, and evolutionary studies.

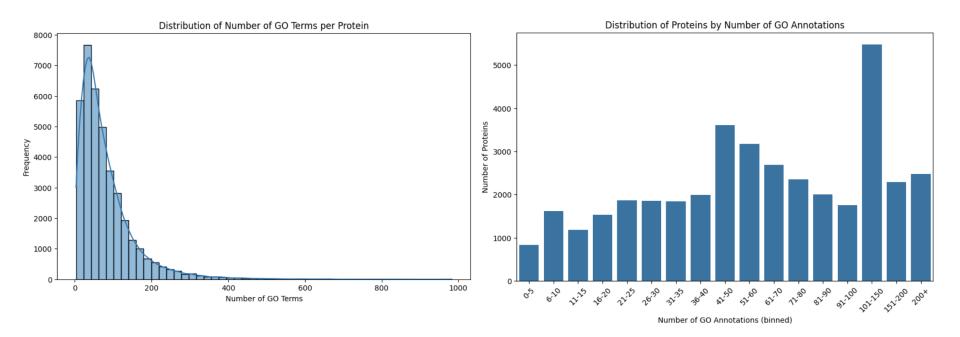
Data Overview

- Protein Sequences: Textual representation of amino acid sequences.
- InterPro Annotations: Categorize proteins into families, domains, and functional sites. A single protein can belong to multiple InterPro categories.
- GO Labels: Multi-label annotations linking proteins to GO terms.



Exploratory Data Analysis

- Multi-Label Structure: Proteins associated with several GO terms.
- Project Challenge: Handle multi-label structure accurately for reliable predictions.



Feature Engineering

Protein Embeddings:

Transformed protein sequences into numerical vectors for model input.

- Generated fixed-size embeddings using pretrained models (ESM2, ProtT5, TAPE, and ProtBERT) from Hugging Face.
- Preprocessed sequences by replacing rare amino acids with 'X' and adding spaces between residues and tokenized using pre trained model's tokenizer
- Mean pooled hidden states from the last layer to create fixed-size vector representations.
- InterPro Integration: Multi-hot encoded features capturing protein families, domains, and functional sites.

esm2	prott5	tape	protbert
[0.018639212,	[-0.044096634,	[0.4024663,	[0.04877469,
0.032441415,	-0.050428215,	-0.09638704,	-0.021751985,
-0.057677362,	-0.07790137,	0.41344175,	-0.015491902,
-0.06	0.10	-0.911272	-0.02

Model Architecture and Variants

Baseline Neural Network Architecture:

1. Input Layers: Accepts embeddings (e.g. ESM2, ProtT5, TAPE) or combined embeddings + InterPro.

2. Hidden Layers:

- Fully connected layers (1024 → 512), ReLU activation.
- Dropout layers (30%) to improve generalization.
- 3. Output Layer: Sigmoid activation for multi-label classification.

Model Variants:

- 1. Embedding-Only Models: Input: Protein sequence embeddings.
- 2. Embedding + InterPro (Concatenated): Input: Concatenated embeddings and multi-hot encoded InterPro annotations.
- **3. Separate Processing Models:** Independent processing for embeddings and InterPro annotations. Features merged before final layers, allowing specialized processing.

Custom Logical Loss Function

- Binary Cross-Entropy (BCE) Loss: Handles multi-label classification.
- Logical Loss Function: Enforces biological consistency in predictions by incorporating GO axioms as constraints.
 - A Implies B: If term A is predicted, term B must also be predicted. Loss: Penalizes when P(A) > P(B)
 - Disjointness: Mutually exclusive terms cannot co-occur. Loss:
 Penalizes if ∑P(disjoint terms) > 1
 - A and B Imply C: If A and B are predicted, C must also be predicted. Penalizes when min(P(A),P(B)) > P(C)

Benefits

- Ensures predictions are biologically valid.
- Improves interpretability and performance for complex multi-label tasks.

Evaluation Metrics & Results

Metrics Used:

- F1-Score, Precision, Recall: Assess balance between true positives and false positives/negatives.
- Hamming Loss: Fraction of incorrectly predicted labels.
- ROC AUC: How well the model ranks true positives higher than false positives across all possible thresholds.

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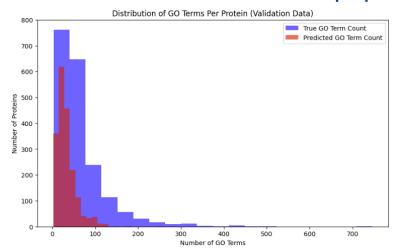
ing	Processing	ROC AUC	Hamming Loss	Subset Accuracy	Precision	Recall	F1-score
M2	Concatenated	0.974165	0.002054	0.000000	0.563993	0.364484	0.442804
M2	Embedding Only	0.981189	0.001925	0.000526	0.625882	0.349409	0.448459
M2	Separate Processing	0.965748	0.002060	0.000000	0.571113	0.321793	0.411645
ERT	Concatenated	0.964469	0.002053	0.001052	0.577853	0.309413	0.403025
ERT	Embedding Only	0.979214	0.001967	0.000526	0.622771	0.308872	0.412941
ERT	Separate Processing	0.961134	0.002042	0.000000	0.584215	0.305257	0.400992
TT5	Concatenated	0.968845	0.002086	0.000000	0.554528	0.347569	0.427309
TT5	Embedding Only	0.981339	0.001933	0.000000	0.634537	0.323173	0.428241
IT5	Separate Processing	0.968403	0.002028	0.000000	0.581215	0.338531	0.427855
APE	Concatenated	0.969968	0.001968	0.000526	0.673571	0.235151	0.348601
APE	Embedding Only	0.969953	0.001999	0.000000	0.644737	0.239242	0.348986
APE	Separate Processing	0.955930	0.002042	0.000000	0.593779	0.278996	0.379622
	M2 M2 M2 ERT ERT TT5	M2 Concatenated M2 Embedding Only M2 Separate Processing ERT Concatenated ERT Embedding Only ERT Separate Processing TT5 Concatenated TT5 Embedding Only TT5 Separate Processing APE Concatenated APE Concatenated Embedding Only	M2 Concatenated 0.974165 M2 Embedding Only 0.981189 M2 Separate Processing 0.965748 ERT Concatenated 0.964469 ERT Embedding Only 0.979214 ERT Separate Processing 0.961134 TT5 Concatenated 0.968845 TT5 Embedding Only 0.981339 TT5 Separate Processing 0.968403 APE Concatenated 0.969968 APE Embedding Only 0.969953	M2 Concatenated 0.974165 0.002054 M2 Embedding Only 0.981189 0.001925 M2 Separate Processing 0.965748 0.002060 ERT Concatenated 0.964469 0.002053 ERT Embedding Only 0.979214 0.001967 ERT Separate Processing 0.961134 0.002042 TT5 Concatenated 0.968845 0.002086 TT5 Embedding Only 0.981339 0.001933 TT5 Separate Processing 0.968403 0.002028 APE Concatenated 0.969968 0.001968 APE Embedding Only 0.969953 0.001999	M2 Concatenated 0.974165 0.002054 0.000000 M2 Embedding Only 0.981189 0.001925 0.000526 M2 Separate Processing 0.965748 0.002060 0.000000 ERT Concatenated 0.964469 0.002053 0.001052 ERT Embedding Only 0.979214 0.001967 0.000526 ERT Separate Processing 0.961134 0.002042 0.000000 TT5 Concatenated 0.968845 0.002086 0.000000 TT5 Embedding Only 0.981339 0.001933 0.000000 APE Concatenated 0.968403 0.002028 0.000000 APE Concatenated 0.969968 0.001968 0.000526 APE Embedding Only 0.969953 0.001999 0.000000	M2 Concatenated 0.974165 0.002054 0.000000 0.563993 M2 Embedding Only 0.981189 0.001925 0.000526 0.625882 M2 Separate Processing 0.965748 0.002060 0.000000 0.571113 ERT Concatenated 0.964469 0.002053 0.001052 0.577853 ERT Embedding Only 0.979214 0.001967 0.000526 0.622771 ERT Separate Processing 0.961134 0.002042 0.000000 0.584215 TT5 Concatenated 0.968845 0.002086 0.000000 0.634537 TT5 Separate Processing 0.968403 0.002028 0.000000 0.581215 APE Concatenated 0.969968 0.001968 0.000526 0.673571 APE Embedding Only 0.969953 0.001999 0.000000 0.644737	M2 Concatenated 0.974165 0.002054 0.000000 0.563993 0.364484 M2 Embedding Only 0.981189 0.001925 0.000526 0.625882 0.349409 M2 Separate Processing 0.965748 0.002060 0.000000 0.571113 0.321793 ERT Concatenated 0.964469 0.002053 0.001052 0.577853 0.309413 ERT Embedding Only 0.979214 0.001967 0.000526 0.622771 0.308872 ERT Separate Processing 0.961134 0.002042 0.000000 0.584215 0.305257 TT5 Concatenated 0.968845 0.002086 0.000000 0.554528 0.347569 TT5 Embedding Only 0.981339 0.001933 0.000000 0.581215 0.338531 APE Concatenated 0.969968 0.001968 0.000526 0.673571 0.235151 APE Embedding Only 0.969953 0.001999 0.000000 0.644737 0.239242

Model is conservative in predicting positives. i.e. predicts fewer GO terms to minimize incorrect predictions

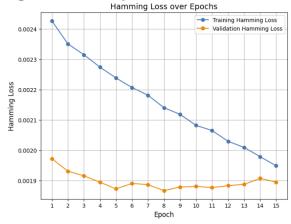
- Avoids false positives (helping Hamming Loss).
- Misses true positives, resulting in lower Recall and Precision.

Results on Validation data (Best performing model)

Distribution of True vs Predicted GO terms per protein



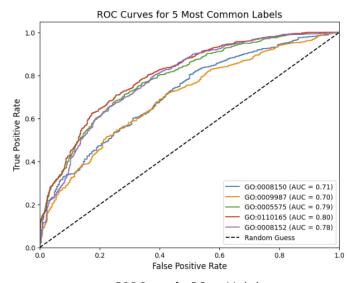
Hamming Loss over Epochs

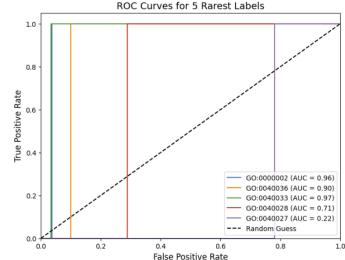


Model is conservative in predicting positives

- Avoids false positives (helping Hamming Loss).
- Misses true positives, resulting in lower Recall and Precision.

ROC Curves for 5 most common and rarest labels





Conclusion

- ESM2 with embedding only model performed the best based on F1 score
- Logical loss enforcing biological consistency improved predictions
- Achieved high ROC AUC and low Hamming Loss and good F1 score and precision.
- Model was conservative, recall remained lower than desired.
- Predicting exact GO term combinations is challenging.

Possible Improvements

- Use Protein-protein interactions (PPIs) as additional feature
- Use Per-Residue Embeddings
 - Generate embeddings for each amino acid instead of a fixed-size vector.
 - Sequence-based models (e.g., Transformers, RNN) can be used
 - Capture both local features and long-range dependencies in protein sequences.



Appendix Results: Biological Process (BP)

Embedding	Processing	ROC AUC	Hamming Loss	Subset Accuracy	Precision	Recall	F1-score
ESM2	Concatenated	0.977431	0.001944	0.001045	0.587627	0.299012	0.396345
ESM2	Embedding Only	0.981682	0.001896	0.000000	0.608950	0.312121	0.412706
ESM2	Separate Processing	0.968781	0.001982	0.001742	0.571605	0.283828	0.379311
PROTBERT	Concatenated	0.965645	0.002039	0.000697	0.539161	0.307429	0.391580
PROTBERT	Embedding Only	0.979728	0.001917	0.000697	0.637310	0.236405	0.344880
PROTBERT	Separate Processing	0.963647	0.002037	0.001394	0.542610	0.289818	0.377830
PROTT5	Concatenated	0.969801	0.001990	0.000348	0.561381	0.307999	0.397766
PROTT5	Embedding Only	0.981373	0.001929	0.000697	0.593436	0.305783	0.403601
PROTT5	Separate Processing	0.968860	0.002004	0.001045	0.551236	0.327874	0.411179
TAPE	Concatenated	0.973319	0.001931	0.000000	0.697833	0.167874	0.270641
TAPE	Embedding Only	0.970104	0.001951	0.000000	0.652370	0.184105	0.287169
TAPE	Separate Processing	0.962678	0.002021	0.001394	0.565209	0.229036	0.325978

Results: Cellular Component (CC)

Embedding	Processing	ROC AUC	Hamming Loss	Subset Accuracy	Precision	Recall	F1-score
ESM2	Concatenated	0.970639	0.001876	0.012146	0.583501	0.279332	0.377803
ESM2	Embedding Only	0.978053	0.001788	0.019231	0.646021	0.271800	0.382620
ESM2	Separate Processing	0.962261	0.001932	0.010796	0.550706	0.284571	0.375241
PROTBERT	Concatenated	0.953937	0.001905	0.011808	0.569883	0.266987	0.363620
PROTBERT	Embedding Only	0.976463	0.001810	0.017544	0.653400	0.238665	0.349624
PROTBERT	Separate Processing	0.956806	0.001885	0.012146	0.586596	0.255308	0.355772
PROTT5	Concatenated	0.962816	0.001955	0.007422	0.536917	0.296238	0.381814
PROTT5	Embedding Only	0.975998	0.001814	0.016532	0.629905	0.266805	0.374841
PROTT5	Separate Processing	0.959671	0.001892	0.013158	0.575033	0.274706	0.371796
TAPE	Concatenated	0.967131	0.001817	0.006073	0.694298	0.194601	0.303997
TAPE	Embedding Only	0.964226	0.001843	0.004723	0.676111	0.183929	0.289187
TAPE	Separate Processing	0.951920	0.001900	0.008097	0.596590	0.209765	0.310393