

Randomized 3-SAT:

→ Consider a formula ϕ

$$\phi = (x_1 \vee \overline{x}_2 \vee x_3) \wedge (\overline{x}_2 \vee x_3 \vee x_4) \wedge (\overline{x}_1 \vee \overline{x}_3 \vee x_2) \\ \wedge (x_3 \vee x_4 \vee \overline{x}_5)$$

In each clause, we have 3 literals.

∴ It is 3-SAT type of formula.

→ Applying same strategy as given for Randomized 2-SAT, we will get following:

* Assume Satisfying assignment A_i^*

→ Let x_i^* be the number of matches in A_i^* with satisfying assignment S_i^* .

→ What is the $\Pr\left(\frac{x_{i+1}^* = k+1}{x_i^* = k}\right)$?
and

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Ans: In A_i^* , let's say there is a clause $a \vee b \vee c$.

A_i^* is not satisfying assignment.

• At least $\frac{1}{3}$ of the truth values

i.e. either a or b or c will not be same with satisfying assignment.

i.e. either a disagrees with s

a, b both disagrees with s

or

a, b, c all disagrees with s

because if all a, b, c agrees with s then this clause of A must have been true as s is satisfying assignment.

At least 1 out of 3 will not match with s

\therefore Pr. that you select a literal out of 3 literals in a clause which doesn't match with satisfying assignment is atleast $\frac{1}{3}$.

$$3 \therefore P_8 \left(\frac{x_{i+1} = k+1}{x_i = k} \right) \geq \frac{1}{3}$$

Reason:

as we will get increment only when we flip that truth value which is not matching with s at step i.

Similarly $\Pr \left(\frac{X_{i+1} = K-1}{X_i = K} \right)$

$$\leq 1 - \Pr \left(\frac{X_{i+1} = K+1}{X_i = K} \right) \\ = 1 - \frac{1}{3}$$

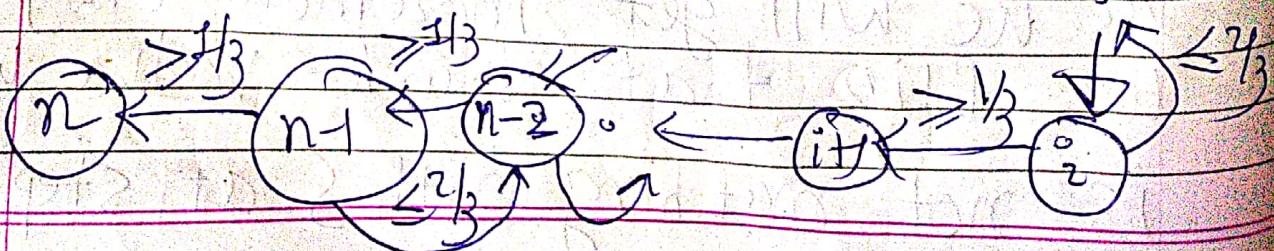
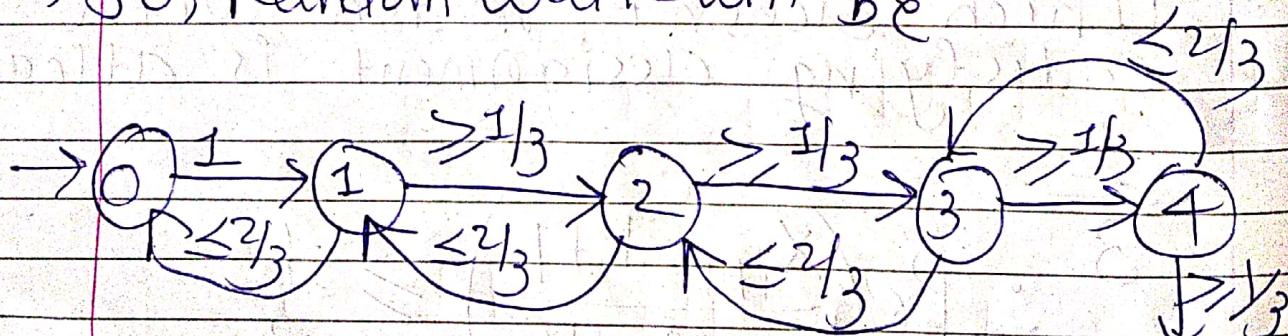
$$= \frac{2}{3}$$

$$\therefore \Pr \left(\frac{X_{i+1} = K-1}{X_i = K} \right) \leq \frac{2}{3}$$

and

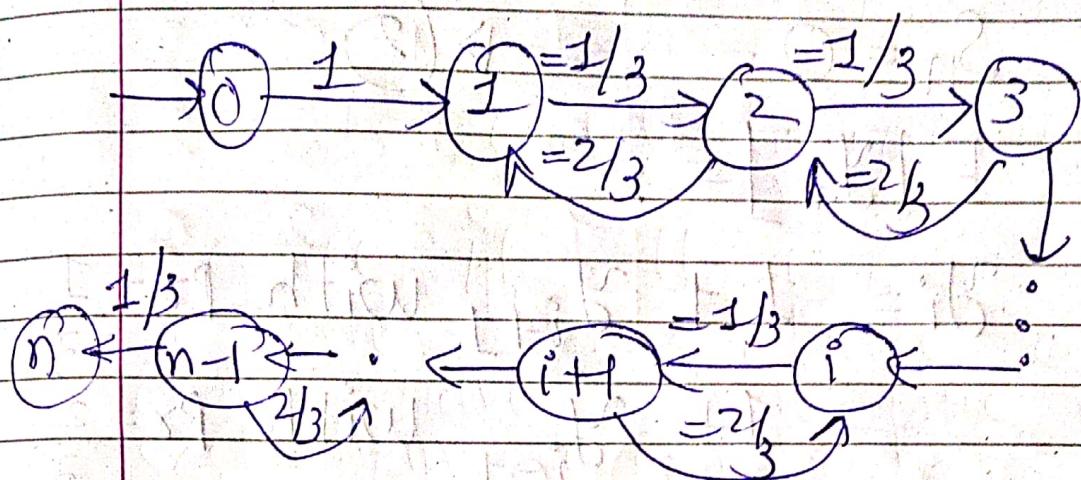
$$\Pr \left(\frac{X_{i+1} = K+1}{X_i = K} \right) \geq \frac{1}{3}$$

→ So, Random walk will be



→ Where \textcircled{i} indicates that there are i matches with satisfying assignment.

Simplified Walk:



As previous (Randomized 2-SAT)

Expected Number of steps for Walk 1

≤
Expected Number of steps for Walk 2

i.e. Simplified Walk.

→ So, Now We will ~~analyze~~ analyze Simplified Walk.

$$\Pr \left(\frac{y_{i+1} = k+1}{y_i = k} \right) = \frac{1}{3} \quad \left\{ \begin{array}{l} y_i \text{ s are} \\ \text{just new} \end{array} \right.$$

$$\Pr \left(\frac{y_{i+1} = k-1}{y_i = k} \right) = \frac{2}{3} \quad \left\{ \begin{array}{l} \text{Random} \\ \text{Variables for} \\ \text{Walk-2} \end{array} \right.$$

→ Let $S_i^o = E[y_i]$ i.e. Expected number of steps to reach state n from state i .

$$S_0 = 1 + S_1 \rightarrow (1)$$

$$S_n = 0 \rightarrow (2)$$

* $S_i = E[y_i] = ?$

$$y_i = 1 + y_{i+1} \text{ with } p = \frac{1}{3}$$

$$= 1 + y_{i-1} \text{ with } p = \frac{2}{3}$$

$$\therefore E[y_i] = \frac{1}{3}(1 + E[y_{i+1}]) + \frac{2}{3}(1 + E[y_{i-1}])$$

$$= \frac{1}{3} + \frac{1}{3}E[y_{i+1}] + \frac{2}{3} + \frac{2}{3}E[y_{i-1}]$$

$$= \frac{1}{3} + \frac{2}{3} + \frac{E[y_{i+1}]}{3} + \frac{2E[y_{i-1}]}{3}$$

$$\therefore S_i^o = \frac{1}{3} + S_{i+1} + \frac{2S_{i-1}}{3}$$

$$\therefore 3S_i^o = 3 + S_{i+1} + 2S_{i-1}$$

★ $S_{i+1} = 3S_i - 2S_{i-1} - 3$

→ Put $i=1$

$$S_2 = 3S_1 - 2S_0 - 3$$

$$= 3S_1 - 2(S_1 + 1) - 3 \quad (\because S_0 = S_1 + 1)$$

$$= S_1 - 5$$

$$\therefore S_1 - S_2 = 5 = 2^3 - 3 = 2^{2+1} - 3 \rightarrow (i)$$

→ Put $i=2$

$$S_3 = 3S_2 - 2S_1 - 3$$

$$= 3S_2 - 2(S_2 + 5) - 3$$

$$= S_2 - 13$$

$$\therefore S_2 - S_3 = 13 = 2^4 - 3 = 2^{3+1} - 3 \rightarrow (ii)$$

→ Put $i=3$

$$S_4 = 3S_3 - 2S_2 - 3$$

$$= 3S_3 - 2(S_3 + 13) - 3$$

$$= S_3 - 29$$

$$\therefore S_3 - S_4 = 29$$

$$= 2^5 - 3 = 2^{4+1} - 3 \rightarrow (iii)$$

$$\therefore S_{n+1} - S_n = 2^{n+1} - 3$$

A $S_0 - S_1 = 1$
 $S_1 - S_2 = 2^3 - 3$
 $S_2 - S_3 = 2^4 - 3$

$$S_0 - S_{i+1} = 2^{i+2} - 3$$

$$S_{n+1} - S_n = 2^{n+1} - 3$$

$$\therefore S_0 - S_n = 1 + (2^3 - 3) + (2^4 - 3) + \dots + (2^{n+1} - 3)$$

$$S_0 - 0 = 1 + \sum_{j=3}^{n+1} (2^j - 3)$$

$$= 1 + \sum_{j=3}^{n+1} 2^j - \sum_{j=3}^{n+1} 3$$

$$= 1 + \left(\sum_{j=0}^{n+1} 2^j - (2^0 + 2^1 + 2^2) \right)$$

$$= 3 \sum_{j=3}^{n+1} 1$$

$$= 1 + 1 \left(\frac{2^{n+2} - 1}{2 - 1} \right) - 7 - 3(n+1 - 3 + 1)$$

$$\begin{aligned} \therefore S_0 &= 1 + 2 - 1 - 7 - 3(n-1) \\ &= 2^{n+2} - 7 - 3n + 3 \\ &= 2^{n+2} - 3n - 4 \end{aligned}$$

$$\begin{aligned} \therefore S_0 &= 2^{n+2} - 3n - 4 \\ &= O(2^n) \end{aligned}$$

i.e. Expected # of steps to reach state n from state 0 is exponential to the # of variables.

→ Shows No benefit because it is $O(2^n)$ steps

Reasons:

- ① Prob. to move ahead is $\frac{1}{3}$ which is less than $\frac{2}{3}$ which is Prob. to go backward. i.e. It has tendency to go back.
- ② According to Well-known Cook's Theorem 3-SAT is NP-complete i.e. No Polytime algorithm is known yet.