UBS Compliance Notice ("Notice B")

COMPLIANCE NOTICE FOR THE SUPPLIER'S STAFF

UBS AG, [UBS to confirm branch and location]

TN FOS YS LTD.

As an employee of [Supplier name to be inserted here] (1904), your services have been contracted to work for UBS AG and/or its branches and/or affiliates ("UBS"). UBS is regulated in multiple jurisdictions and, as such, is bound by applicable laws, rules and regulations. In order to ensure compliance with these laws, rules and regulations, UBS has put in place a number of policies and procedures with which all staff, including Supplier Staff, are required to comply ("UBS Compliance Policies").

UBS may require Staff to complete Affirmation Online, upon commencement of employment and annually thereafter during the course of work for UBS. Affirmation Online contains all of the information required for you to familiarise yourself with UBS Compliance Policies. Additionally, Affirmation Online requires you to confirm that you have read and understood UBS Compliance Policies and make any disclosures required under them.

You should pay particular attention to the following UBS Compliance Policies:

- Supplier Staff Personal Investment Rules.
- Compliance with laws and regulations (including Insider Dealing and Money Laundering).
- Handling confidential information.
- Managing conflicts of interest (whether arising in the course of your work for UBS or otherwise).

In connection with the Supplier Staff Dealing Rules, you may be required to disclose to the Supplier and/or UHS in advance and obtain approval of any share dealings that you propose to undertake.

You may also be required to attend relevant training sessions and/or complete computer based training.

This Notice must be signed by you before you can commence work for UBS.

Confirmation by Supplier Staff:

I undertake to comply with all the requirements set out in this Notice, including adhering to the UBS Compliance Policies and UBS Affirmation Online and providing all relevant disclosures required of me. I acknowledge that this todertaking is given in favour of UBS and I agree that in the event of any breach by me of any this undertaking, without limiting any right of the Supplier, UBS will have the right to enforce such undertaking directly against me.

Signature:	SSPUL	Dept: ESADML	
Name (capit	B) SAHIL SUNTL PATEL	Date of Birth: 29-11-2000	
Titles	NA	Start Date of Work for UBS: 14-01-20	25
Date:	03-01-2025		

UBS Framework Contract, -CONFIDENTIAL-

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