

## Lecture - 14

### Generative Functions :-

Def'n :- A Formal Power Series (FPS)  $R[[x]]$  consists of formal sums of the form  $\sum_{n=0}^{\infty} a_n x^n$  where  $a_n \in R \forall n$

Remarks :- We don't worry about the convergence since  $x$  is a formal indeterminate.

Example :- 1.  $\sum_{n=0}^{\infty} n! x^n$  makes sense.

2. We can replace  $\mathbb{R}$  by any field or even a commutative ring.

3. This FPS is a "completion" of  $R[x]$

Example :-  $a_n = 1 \forall n \Rightarrow A(x) = \sum_{n=0}^{\infty} a_n x^n = \frac{1}{1-x}$

Def'n :- Given a sequence  $(a_n)_{n \geq 0}$  the FPS,  $A(x) = \sum_{n=0}^{\infty} a_n x^n$  is called the ordinary generating function (ogf) of  $(a_n)$

Example :- Suppose  $(a_n)_{n \geq 1}$  satisfies  $a_n = 4a_{n-1} - 100$  and  $a_0 = 50$ .  
Want an explicit formula for  $a_n$ .

$$A(x) = \sum_{n=0}^{\infty} a_n x^n = 50x^0 + \sum_{n=1}^{\infty} (4a_{n-1} - 100) x^n$$

$$= 50 + 4 \sum_{n=1}^{\infty} a_{n-1} x^n - 100 \sum_{n=1}^{\infty} x^n = 50 + 4x A(x) - \frac{100x}{1-x}$$

$$(1-4x)A(x) = 50 - \frac{100x}{1-x}$$

$$A(x) = \frac{50}{1-4x} - \frac{100x}{(1-4x)(1-x)}$$

$$= \frac{100}{3(1-x)} + \frac{50}{3(1-4x)}$$

$$= \frac{100}{3} \sum_{n \geq 1} x^n + \frac{50}{3} \sum_{n \geq 0} 4^n x^n$$

$$a_n = \frac{100}{3} + 4^n \left( \frac{50}{3} \right)$$

Property :- Let  $A(x)$  and  $B(x)$  be ogf's for  $(a_n)$  and  $(b_n)$  respectively. Then the sequence for which ogf is  $A(x) + B(x)$  is  $(a_n + b_n)$ .

is  $A(x) \cdot B(x)$  is given by  $c_n = \sum_{k=0}^n a_k b_{n-k}$  [Discrete Convolution].

Example:-  $e^x = \sum_{n \geq 0} \frac{1}{n!} x^n$ ;  $e^{x+1} \neq e^x \cdot e$  [At the level of FPS]

$$e^{x+1} = \sum_{n \geq 0} \frac{1}{n!} (x+1)^n \leftarrow \text{Any co-eff is an infinite sum.}$$

Recall:- Partitions  $P(n) = \#$  of partitions of  $n$ .

Thm (Euler):- The ogf of  $(P_n)_{n \geq 0}$  is  $\sum_{n \geq 0} P_n q^n = \prod_{i=1}^{\infty} \frac{1}{1-q^i}$

Proof:- Expand the RHS  $(1+q+q^2+\dots)(1+q^2+q^4+\dots)\dots$ .  
Look at all the terms that contribute to the co-efficient of  $q^n$ . CHECK that this is a bijection b/w set of terms that contribute and  $\{\lambda \vdash n\}$



Exercise:- If  $(a_n)$  has ogf  $A(x)$ , then  $(a_0 + a_1 + \dots + a_n)$  is  $\frac{A(x)}{1-x}$ . Two modifications of Euler's thm.

1, Restrict the set of parts to  $S \subseteq \mathbb{N}$

$$\text{Then RHS} = \prod_{i \in S} \frac{1}{1-q^i}$$

2, Restrict the no. of times each part appears.

If each part is allowed to appear at least thrice,

$$\text{then RHS} = \prod_{i \geq 1} (1+q^i+q^{2i}+q^{3i})$$

Recall Euler's odd distinct theorem.

# of partitions of  $n$  into odd parts = # of partitions of  $n$  into distinct parts.

$$\begin{aligned} \text{Proof:- } \sum_{n \geq 0} P_{\text{odd}}(n) q^n &= \prod_{i \geq 1} \frac{1}{1-q^{2i-1}} \cdot \frac{1-q^{2i}}{1-q^{2i}} \\ &= \prod_{i \geq 1} \frac{1-q^{2i}}{1-q^i} = \prod_{i \geq 1} (1+q^i) \\ &= \sum_{n \geq 0} P_{\text{distinct}}(n) \cdot q^n \quad \square \end{aligned}$$

Example:- Let  $c_n$  be the no. of valid words in  $n$  pairs of parenthesis

Example:-  $n=3$ :-  $((()))$ ,  $(())()$ ,  $()()()$ ,  $()(())$ ,  $(())()$

$$\text{Let } C(x) = \sum c_n x^n$$

$n \geq 0$

Claim :- Every valid word  $w$  can be expressed in the form  
 $w = (w_1)w_2$   
First time a valid subword is formed.

where  $w_1, w_2$  are valid words with  $k, n-1-k$  pairs of parenthesis respectively,

then  $c_n = \sum_{k=0}^{n-1} c_k c_{n-1-k}$  for  $n \geq 1$  and  $c_0 = 1$

By product formula  $c(x)-1 = c(x) \cdot xc(x)$

$$[c(x)]^2 x - c(x) + 1 = 0$$

$$c(x) = \frac{1 - \sqrt{1-4x}}{2x}$$

Expand  $c_n = \frac{1}{n+1} \binom{2n}{n}$  [Catalan numbers]