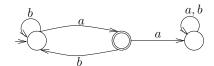
## Solutions to ATC Quiz 1

**Problem 1.** Consider the DFA over the alphabet  $\Sigma = \{a, b\}$  given below.



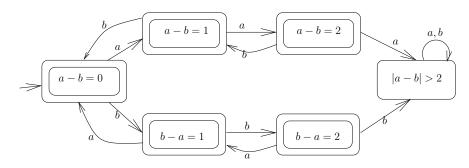
Describe the language accepted by the automaton.

Solution.

 $L(\mathcal{A}) = \{ w \in \Sigma^* \mid w \text{ ends in } a \text{ and } w \text{ has no substring } aa \}.$ 

**Problem 2.** Consider the language of all strings over the alphabet  $\{a,b\}$  which satisfy the property that in *every prefix* the difference between the number of a's and b's is at most 2. Thus, aabab is in the language, while abaaab is not. Give the state diagram of DFA for this language. Label your states meaningfully.

**Solution.** Below is the automaton  $\mathcal{A}$  that accepts the language of all strings whose every prefix has  $|a-b| \leq 2$ , i.e., the number of a's and b's differ by at most 2.

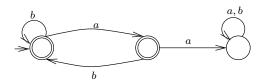


**Problem 3.** For a language  $L \subseteq A^*$ , the language of prefixes of L, denoted pref(L), is defined to be the set

$$\{u \in A^* \mid \exists v \in A^* \text{ such that } u \cdot v \in L\}$$

(a) Give a DFA that accepts the language pref(L(A)) for the DFA A of Q1.

**Solution.** Below is the automaton  $\mathcal{A}$  that accepts the language  $perf(L(\mathcal{A}))$  of Q1.



(b) Prove that the class of regular language is closed under the prefix operation.

**Solution.** Let L be any regular language and  $\mathcal{A} = (Q, \Sigma, \delta, s, F)$  be an automaton such that  $L = \mathcal{L}(\mathcal{A})$ . We need to show that there exists an automaton accepting the language pref(L). This will prove that the class of regular sets is closed under the pref operation.

Let  $\mathcal{B} = (Q, \Sigma, \delta, s, F_{\mathcal{B}})$  be the automaton constructed froom  $\mathcal{A}$ , where

$$F_{\mathcal{B}} = \{ q \in Q \mid \exists x \in \Sigma^* \text{ s.t. } \hat{\delta}(q, x) \in F \}.$$

Claim 1.  $\mathcal{L}(\mathcal{B}) = pref(L)$ 

We will use the following lemma to prove Claim 1.

**Lemma 1.** For any strings  $u, v \in \Sigma^*$ ,  $\widehat{\delta}(s, u \cdot v) = \widehat{\delta}(\widehat{\delta}(s, u), v)$ .

*Proof:* By induction on length of v. Let P(n) be the statement:

For all 
$$u.v \in \Sigma^*$$
 with  $|v| = n$ , we have  $\widehat{\delta}(s, u \cdot v) = \widehat{\delta}(\widehat{\delta}(s, u), v)$ .

Base case: For n=0, we have  $v=\epsilon$ , and  $\widehat{\delta}(s,u\cdot v)=\widehat{\delta}(s,u)=\widehat{\delta}(\widehat{\delta}(s,u),\epsilon)=\widehat{\delta}(\widehat{\delta}(s,u),v)$ .

Induction step: Suppose P(n) is true for some  $n \in \mathbb{N}$ . Consider  $u, v \in \Sigma^*$  such that |v| = n + 1. Let  $v = w \cdot a$ . Then

$$\begin{split} \widehat{\delta}(s, u \cdot v) &= \widehat{\delta}(s, u \cdot wa) \\ &= \delta(\widehat{\delta}(s, u \cdot w), a) \\ &= \delta(\widehat{\delta}(\widehat{\delta}(s, u), w), a) \text{ (by induction hypothesis)} \\ &= \widehat{\delta}(\widehat{\delta}(\widehat{\delta}(s, u), wa) \\ &= \widehat{\delta}(\widehat{\delta}(s, u), v). \end{split}$$

This completes the proof of the lemma.

Returning to the proof of Claim 1, we first show  $pref(L) \subseteq \mathcal{L}(\mathcal{B})$ .

Let u be any string in pref(L). Let v be such that  $u \cdot v \in L$ . Then

$$\begin{split} \widehat{\delta}(s,u\cdot v) &\in F \\ \Longrightarrow \widehat{\delta}(\widehat{\delta}(s,u),v) &\in F \text{ (by Lemma 1)} \\ \Longrightarrow \widehat{\delta}(q,v) &\in F, \text{ where } q = \widehat{\delta}(s,u) \\ \Longrightarrow q &\in F_{\mathcal{B}} \text{ (by construction of } \mathcal{B}) \\ \Longrightarrow u &\in \mathcal{L}(\mathcal{B}). \end{split}$$

Conversely we argue that  $\mathcal{L}(\mathcal{B}) \subseteq pref(L)$ . Let  $u \in \mathcal{L}(\mathcal{B})$ . Then  $\widehat{\delta}(s,u) \in F_{\mathcal{B}}$ . Let  $\widehat{\delta}(s,u) = q$ . Since  $q \in F_{\mathcal{B}}$ , there exists  $v \in \Sigma^*$  such that  $\widehat{\delta}(q,v) \in F$ . But by Lemma 1 this means that  $\widehat{\delta}(s,u \cdot v) \in F$ . Hence  $u \cdot v \in L$  and hence  $u \in pref(L)$ .

This completes the proof.