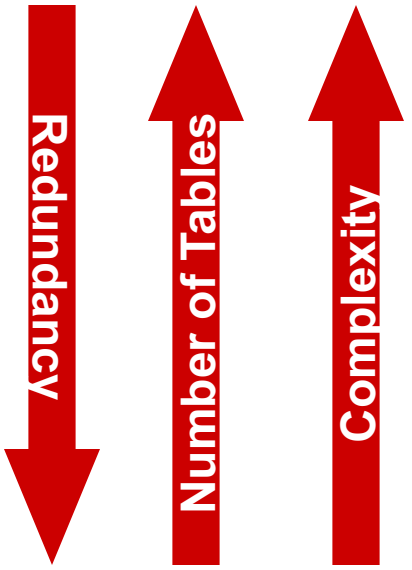
Database Normalization

Definition

- This is the process which allows you to remove redundant data within your database.
- This involves restructuring the tables to successively meeting higher forms of Normalization.
- A properly normalized database should have the following characteristics
  - Scalar values in each fields
  - Absence of redundancy.
  - Minimal use of null values.
  - Minimal loss of information.

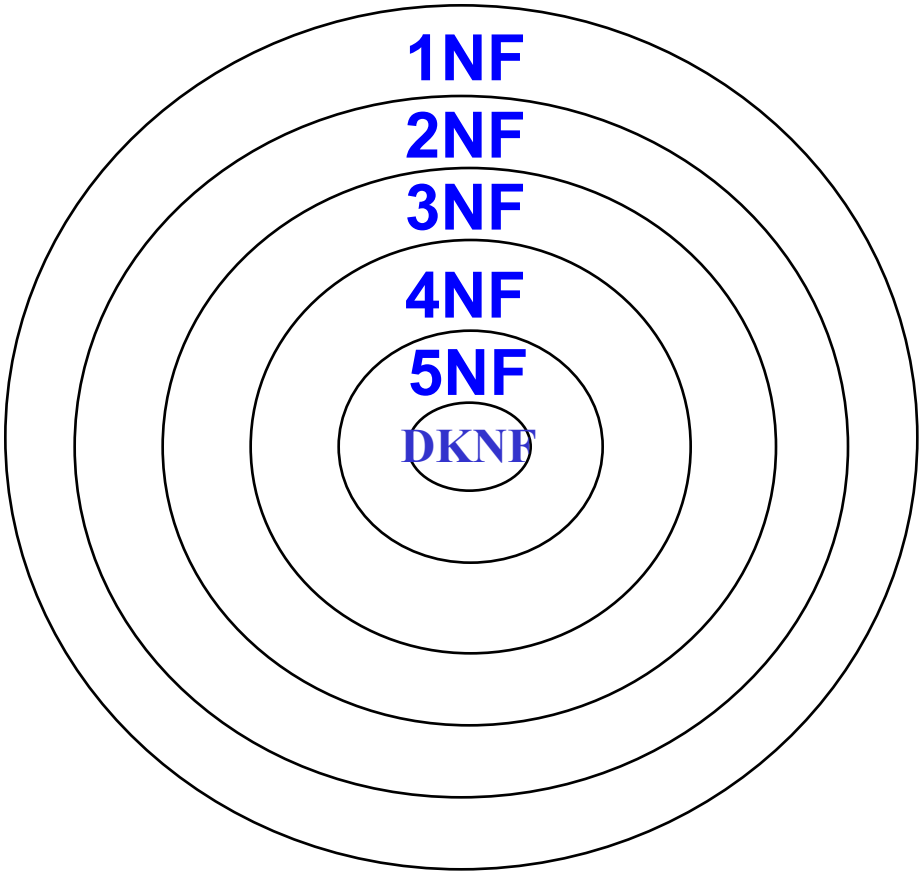
Levels of Normalization

- Levels of normalization based on the amount of redundancy in the database.
- Various levels of normalization are:
  - First Normal Form (1NF)
  - Second Normal Form (2NF)
  - Third Normal Form (3NF)
  - Boyce-Codd Normal Form (BCNF)
  - Fourth Normal Form (4NF)
  - Fifth Normal Form (5NF)
  - Domain Key Normal Form (DKNF)



Most databases should be 3NF or BCNF in order to avoid the database anomalies.

Levels of Normalization



Each higher level is a subset of the lower level

First Normal Form (1NF)

A table is considered to be in 1NF if all the fields contain only scalar values (as opposed to list of values).

Example (Not 1NF)

ISBN	Title	AuName	AuPhone	PubName	PubPhone	Price
0-321-32132-1	Balloon	Sleepy, Snoopy, Grumpy	321-321-1111, 232-234-1234, 665-235-6532	Small House	714-000-0000	$34.00
0-55-123456-9	Main Street	Jones, Smith	123-333-3333, 654-223-3455	Small House	714-000-0000	$22.95
0-123-45678-0	Ulysses	Joyce	666-666-6666	Alpha Press	999-999-9999	$34.00
1-22-233700-0	Visual Basic	Roman	444-444-4444	Big House	123-456-7890	$25.00

Author and AuPhone columns are not scalar

1NF - Decomposition

1. Place all items that appear in the repeating group in a new table
2. Designate a primary key for each new table produced.
3. Duplicate in the new table the primary key of the table from which the repeating group was extracted or vice versa.

Example (1NF)

ISBN	Title	PubName	PubPhone	Price
0-321-32132-1	Balloon	Small House	714-000-0000	$34.00
0-55-123456-9	Main Street	Small House	714-000-0000	$22.95
0-123-45678-0	Ulysses	Alpha Press	999-999-9999	$34.00
1-22-233700-0	Visual Basic	Big House	123-456-7890	$25.00

ISBN	AuName	AuPhone
0-321-32132-1	Sleepy	321-321-1111
0-321-32132-1	Snoopy	232-234-1234
0-321-32132-1	Grumpy	665-235-6532
0-55-123456-9	Jones	123-333-3333
0-55-123456-9	Smith	654-223-3455
0-123-45678-0	Joyce	666-666-6666
1-22-233700-0	Roman	444-444-4444

Functional Dependencies

1. If one set of attributes in a table determines another set of attributes in the table, then the second set of attributes is said to be functionally dependent on the first set of attributes.

Example 1

ISBN	Title	Price
0-321-32132-1	Balloon	$34.00
0-55-123456-9	Main Street	$22.95
0-123-45678-0	Ulysses	$34.00
1-22-233700-0	Visual Basic	$25.00

Table Scheme: {ISBN, Title, Price}

Functional Dependencies: {ISBN} → {Title}

{ISBN} → {Price}

Functional Dependencies

Example 2

PubID	PubName	PubPhone
1	Big House	999-999-9999
2	Small House	123-456-7890
3	Alpha Press	111-111-1111

Table Scheme: {PubID, PubName, PubPhone}

Functional Dependencies: {PubId} → {PubPhone}

{PubId} → {PubName}

{PubName, PubPhone} → {PubID}

Example 3

AuID	AuName	AuPhone
1	Sleepy	321-321-1111
2	Snoopy	232-234-1234
3	Grumpy	665-235-6532
4	Jones	123-333-3333
5	Smith	654-223-3455
6	Joyce	666-666-6666
7	Roman	444-444-4444

Table Scheme: {AuID, AuName, AuPhone}

Functional Dependencies: {AuId} → {AuPhone}

{AuId} → {AuName}

{AuName, AuPhone} → {AuID}

FD – Example

Database to track reviews of papers submitted to an academic conference. Prospective authors submit papers for review and possible acceptance in the published conference proceedings. Details of the entities

- Author information includes a unique author number, a name, a mailing address, and a unique (optional) email address.
- Paper information includes the primary author, the paper number, the title, the abstract, and review status (pending, accepted,rejected)
- Reviewer information includes the reviewer number, the name, the mailing address, and a unique (optional) email address
- A completed review includes the reviewer number, the date, the paper number, comments to the authors, comments to the program chairperson, and ratings (overall, originality, correctness, style, clarity)

FD – Example

Functional Dependencies

- AuthNo → AuthName, AuthEmail, AuthAddress
- AuthEmail → AuthNo
- PaperNo → Primary-AuthNo, Title, Abstract, Status
- RevNo → RevName, RevEmail, RevAddress
- RevEmail → RevNo
- RevNo, PaperNo → AuthComm, Prog-Comm, Date, Rating1, Rating2, Rating3, Rating4, Rating5

Second Normal Form (2NF)

For a table to be in 2NF, there are two requirements

- The database is in first normal form
- All **nonkey** attributes in the table must be functionally dependent on the entire primary key

Note: *Remember that we are dealing with non-key attributes*

Example 1 (Not 2NF)

Scheme → {Title, PubId, AuId, Price, AuAddress}

1. **Key → {Title, PubId, AuId}**
2. **{Title, PubId, AuID} → {Price}**
3. **{AuID} → {AuAddress}**
4. **AuAddress does not belong to a key**
5. **AuAddress functionally depends on AuId which is a subset of a key**

Second Normal Form (2NF)

Example 2 (Not 2NF)

Scheme → {City, Street, HouseNumber, HouseColor, CityPopulation}

1. key → {City, Street, HouseNumber}
2. {City, Street, HouseNumber} → {HouseColor}
3. {City} → {CityPopulation}
4. CityPopulation does not belong to any key.
5. CityPopulation is functionally dependent on the City which is a proper subset of the key

Example 3 (Not 2NF)

Scheme → {studio, movie, budget, studio_city}

1. Key → {studio, movie}
2. {studio, movie} → {budget}
3. {studio} → {studio_city}
4. studio_city is not a part of a key
5. studio_city functionally depends on studio which is a proper subset of the key

2NF - Decomposition

1. If a data item is fully functionally dependent on only a part of the primary key, move that data item and that part of the primary key to a new table.
2. If other data items are functionally dependent on the same part of the key, place them in the new table also
3. Make the partial primary key copied from the original table the primary key for the new table. Place all items that appear in the repeating group in a new table

Example 1 (Convert to 2NF)

Old Scheme → {Title, PubId, AuId, Price, AuAddress}

New Scheme → {Title, PubId, AuId, Price}

New Scheme → {AuId, AuAddress}

2NF - Decomposition

Example 2 (Convert to 2NF)

Old Scheme → {Studio, Movie, Budget, StudioCity}

New Scheme → {Movie, Studio, Budget}

New Scheme → {Studio, City}

Example 3 (Convert to 2NF)

Old Scheme → {City, Street, HouseNumber, HouseColor, CityPopulation}

New Scheme → {City, Street, HouseNumber, HouseColor}

New Scheme → {City, CityPopulation}

Third Normal Form (3NF)

This form dictates that all **non-key** attributes of a table must be functionally dependent on a candidate key i.e. there can be no interdependencies among non-key attributes.

For a table to be in 3NF, there are two requirements

- The table should be second normal form
- No attribute is transitively dependent on the primary key

Example (Not in 3NF)

Scheme → {Title, PubID, PageCount, Price }

1. **Key → {Title, PubId}**
2. **{Title, PubId} → {PageCount}**
3. **{PageCount} → {Price}**
4. **Both Price and PageCount depend on a key hence 2NF**
5. **Transitively {Title, PubID} → {Price} hence not in 3NF**

Third Normal Form (3NF)

Example 2 (Not in 3NF)

Scheme → {Studio, StudioCity, CityTemp}

1. Primary Key → {Studio}
2. {Studio} → {StudioCity}
3. {StudioCity} → {CityTemp}
4. {Studio} → {CityTemp}
5. Both StudioCity and CityTemp depend on the entire key hence 2NF
6. CityTemp transitively depends on Studio hence violates 3NF

Example 3 (Not in 3NF)

Scheme → {BuildingID, Contractor, Fee}

1. Primary Key → {BuildingID}
2. {BuildingID} → {Contractor}
3. {Contractor} → {Fee}
4. {BuildingID} → {Fee}
5. Fee transitively depends on the BuildingID
6. Both Contractor and Fee depend on the entire key hence 2NF

BuildingID	Contractor	Fee
100	Randolph	1200
150	Ingersoll	1100
200	Randolph	1200
250	Pitkin	1100
300	Randolph	1200

3NF - Decomposition

1. Move all items involved in transitive dependencies to a new entity.
2. Identify a primary key for the new entity.
3. Place the primary key for the new entity as a foreign key on the original entity.

Example 1 (Convert to 3NF)

Old Scheme → {Title, PubID, PageCount, Price }

New Scheme → {PubID, PageCount, Price}

New Scheme → {Title, PubID, PageCount}

3NF - Decomposition

Example 2 (Convert to 3NF)

Old Scheme → {Studio, StudioCity, CityTemp}

New Scheme → {Studio, StudioCity}

New Scheme → {StudioCity, CityTemp}

Example 3 (Convert to 3NF)

Old Scheme → {BuildingID, Contractor, Fee}

New Scheme → {BuildingID, Contractor}

New Scheme → {Contractor, Fee}

BuildingID	Contractor
100	Randolph
150	Ingersoll
200	Randolph
250	Pitkin
300	Randolph

Contractor	Fee
Randolph	1200
Ingersoll	1100
Pitkin	1100