

## Divide & Conquer

Merge sort is based on an algorithmic design pattern called divide & conquer. The divide & conquer paradigm can be described in general terms as consisting of the following steps:

1. Divide: If the input size is smaller than a certain threshold, (say 1 or 2 elements), then solve the problem directly using a straight forward method & return the solution obtained. Otherwise divide the data into two or more disjoint subsets.
2. Recur: Recursively solve the subproblem associated with the subset
3. Conquer: Take the solutions to the ~~subproblem~~ subproblems & merge them into a solution to the original problem.

### Using Divide & conquer for sorting:

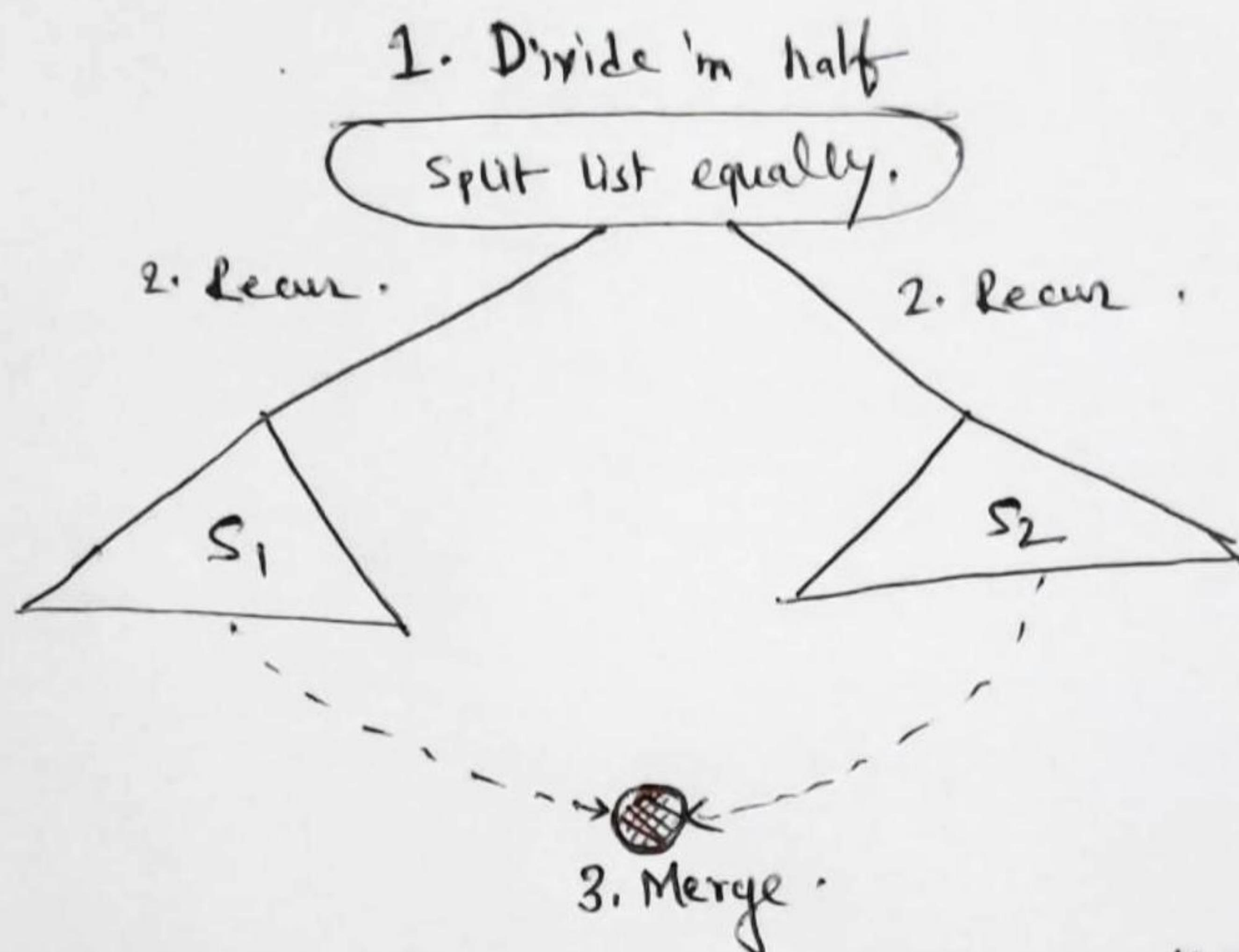
Say we have to sort a collection(s) of  $n$  objects.

1. Divide :- → if  $S$  has '0' or '1' element then return  $S$  immediately it is already sorted.  
→ else if  $S$  have at least '2' elements then remove all the elements from  $S$  & put them into two sequences  $S_1$  &  $S_2$  each containing about half of the elements of  $S$ , i.e.  $S_1$  contains the first  $n/2$  elements of  $S$  &  $S_2$  contains the remaining  $n/2$  elements.

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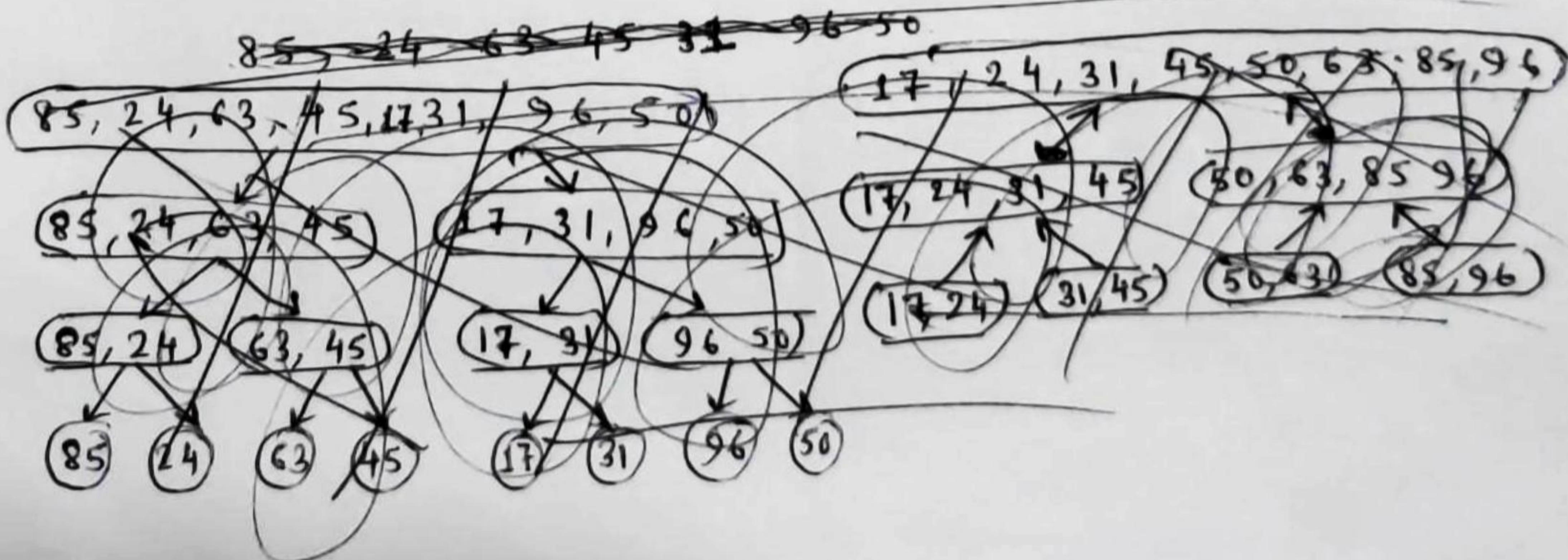
2. Recur: Recursively sort sequences  $S_1$  &  $S_2$ .

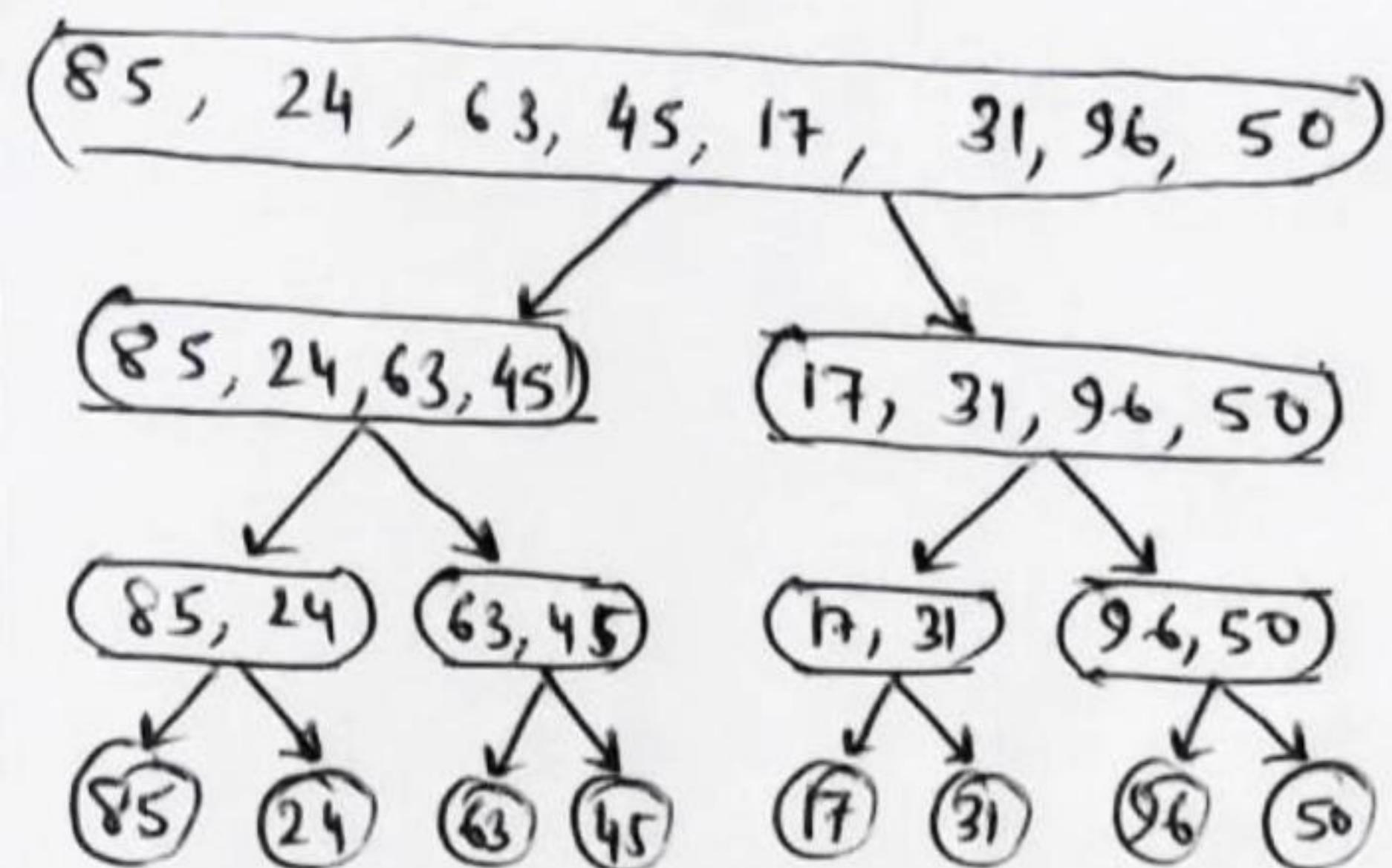
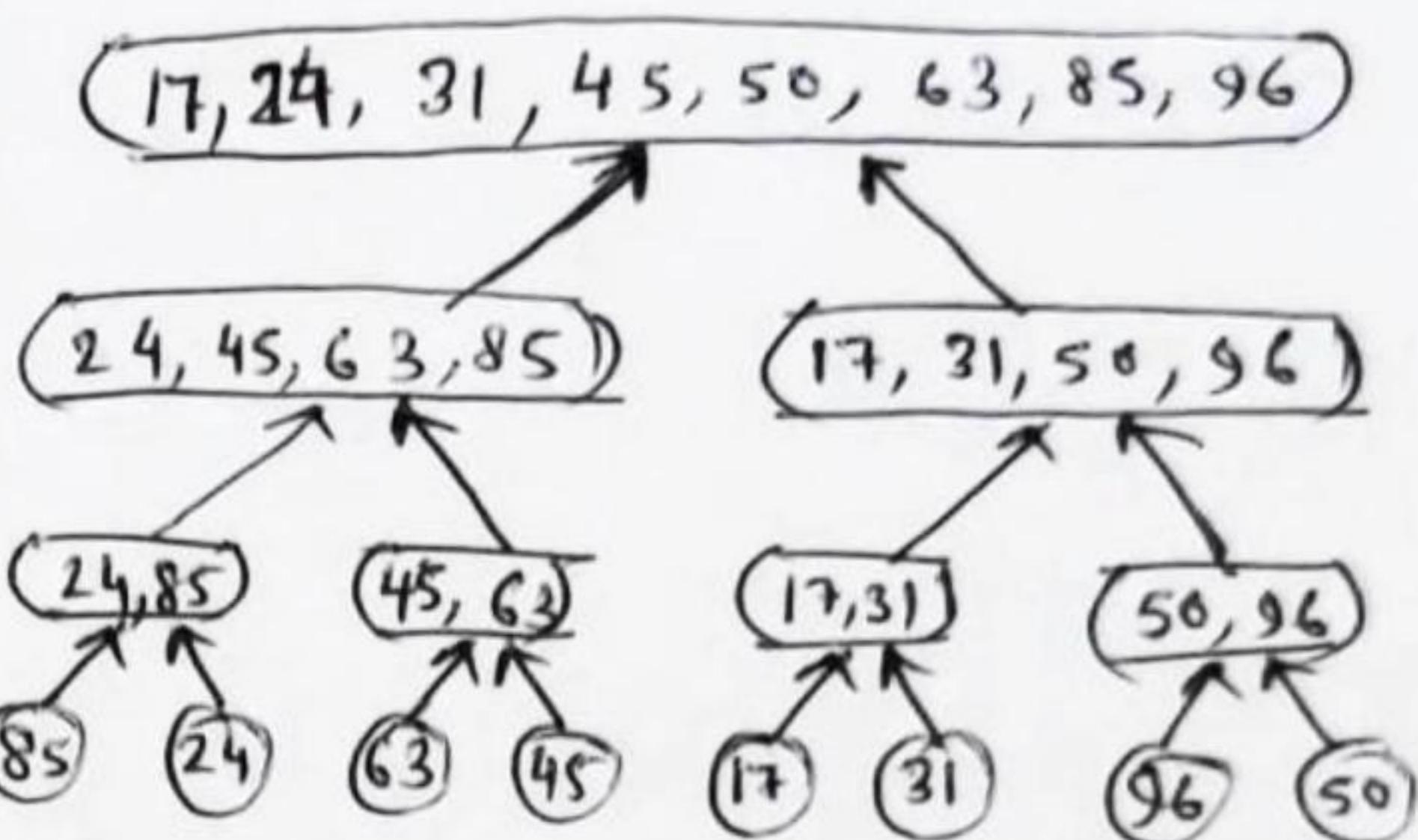
3. Conquer: Put elements back into  $S$  by merging two sorted sequences  $S_1$  &  $S_2$  into a sorted sequence.



A visual scheme for merge sort algorithm.

We can visualize an execution of the merge sort algorithm by means of a binary tree  $T$ , called merge-sort-tree. Each node of  $T$  represents a recursive invocation to the merge sort algorithm.



AB

Merge-Sort tree T for an execution of the merge-Sort algorithm on a sequence of eight elements:

- A: input sequences processed at each node of T.
- B: output sequences generated at each node of T.

Algorithm: merge ( $S_1, S_2, S$ ) .

Input: sequences  $S_1$  &  $S_2$  sorted in non-decreasing order & an empty sequence  $S$ .

Output: Sequence  $S$  containing the elements from  $S_1$  &  $S_2$  sorted in non-decreasing order with  $S_1$  &  $S_2$  becoming empty.

While (not ( $S_1$ . isEmpty() or  $S_2$ . isEmpty())) do

if  $S_1$ .first().element()  $\leq$   $S_2$ .first().element() then,

{ move the first element of  $S_1$  at the end of  $S$ }.

$S$ .insertLast ( $S_1$ .remove ( $S_1$ .first()))

else

{ move the first element of  $S_2$  at the end of  $S$ }.

$S$ .insertLast ( $S_2$ .remove ( $S_2$ .first()))

{ move the remaining elements of  $S_1$  to  $S$ }.

while (not  $S_1$ .isEmpty()) do

$S_2$ .insertLast ( $S_1$ .remove ( $S_1$ .first()))

{ move the remaining elements of  $S_2$  to  $S$ }.

while (not  $S_2$ .isEmpty()) do

$S_2$ .insertLast ( $S_2$ .remove ( $S_2$ .first())) .

## Analysis of merging operation

Let  $n_1$  &  $n_2$  be the number of elements of  $S_1$  &  $S_2$  respectively. Also let us assume that the sequences  $S_1$ ,  $S_2$  &  $S$  are implemented in such way, that the insertion & deletion from their first & last position will take  $O(1)$  time.

Algorithm merge has three while loops. & according to our assumption the operation inside the loop takes  $O(1)$  time each.

From the observation we can see that in each iteration one element is removed from  $S_1$  or  $S_2$ . Since no insertion is performed into  $S_1$  or  $S_2$ . Thus the overall iteration of three loops is  $(n_1 + n_2)$ .

Therefore running time of the merge Algorithm is  $O(n_1 + n_2)$ .

$S_1$  (24) (45) - (63) (85)  
 $S_2$  (11) (31) - (50) (96)

S .  
(a) .

$S_1$  (24) (45) - (63) (85)  
 $S_2$  (31) (50) - (96)

S (17)

(b)

$S_1$  (45) - (63) - (85)  
 $S_2$  (31) - (50) - (96)

S (11) - (14)

(c) .

$S_1$  (45) - (63) - (85)  
(50) (96)

S (17) (24) (31)

(d)

$S_1$  (63) - (85)

$S_2$  (50) - (96)

S (17) (24) (31) (45)

(e)

$S_1$  (63) - (85)

$S_2$  (96)

S (11) (14) (31) (45) - (50)

(f) .

$S_1$  (85)  
 $S_2$  (96)

S (17) (24) (31) (45) (50) (63)

(g)

$S_1$   
 $S_2$  (96)

S (17) (24) (31) (45) (50) (63) (85)

(h)

$S_1$   
 $S_2$

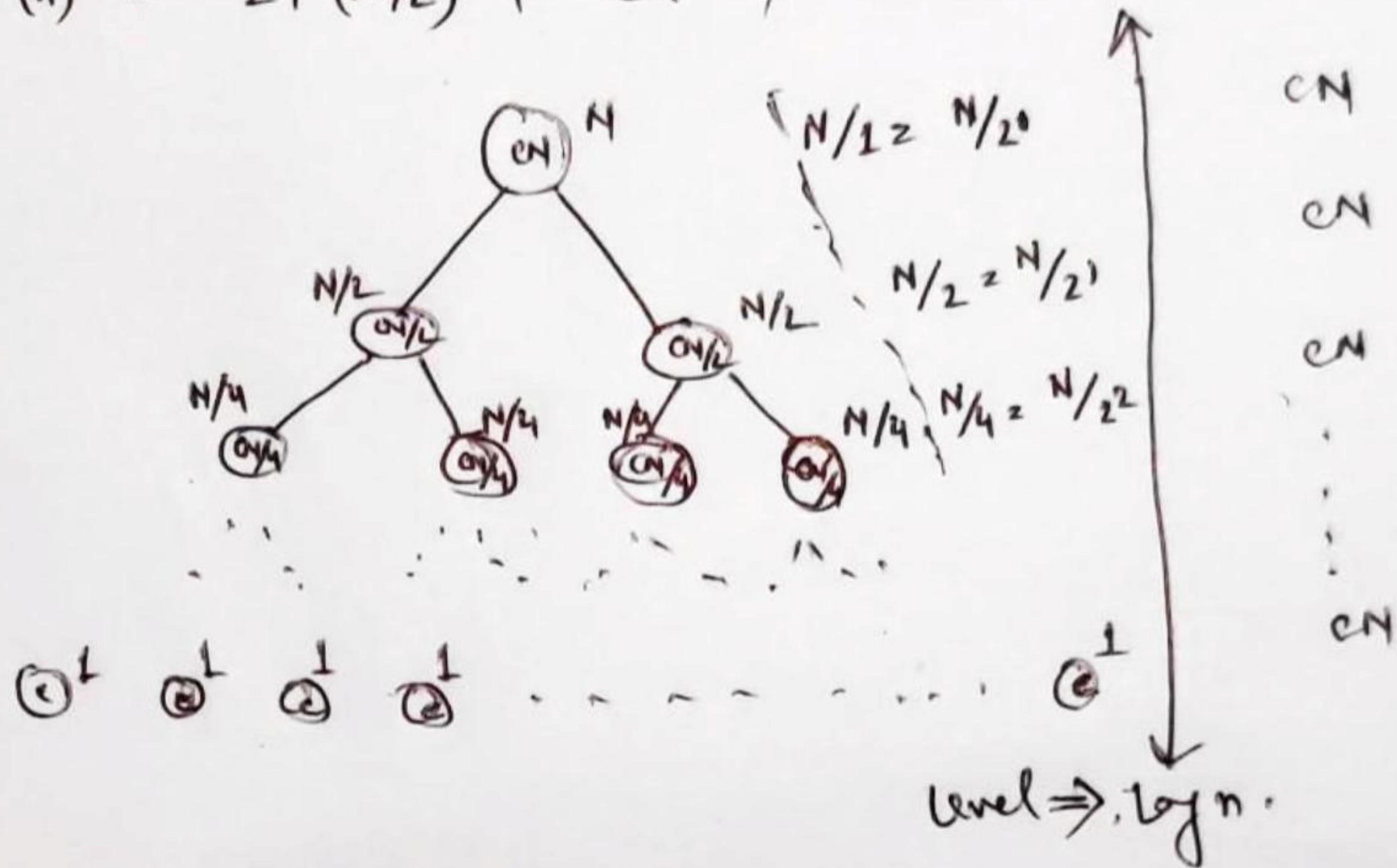
(17) (24) (31) (45) (50) (63) (85) (96)

(i)

## The Running time of Merge Sort

Recursion tree Method:

$$T(n) = 2T(n/2) + cn \quad / \quad T(1) = \Theta(1)$$



The size of a node at level  $l$  can be defined

(ii), -

$$l \sim N/2^{l-1}$$

at the bottom the size will be 1.

$$\text{thus, } - \frac{N}{2^{l-1}} = 1$$

$$\text{or, } N = 2^{l-1} =$$

$$l-1 = \log N.$$

$$l \approx \log N.$$

Thus

$$T(n) \geq cn + cn + \dots \text{ level } l.$$

$$= cnl = cn \log N.$$

$$T(n) = O(N \log N).$$

## Merge Sort & Recurrence Equations:

(6)

Since merge sort is recursive, we can characterize function  $t(n)$  by means of following equations, where function  $t(n)$  is recursively expressed in terms of itself.

$$t(n) = \begin{cases} b & \text{if } n=1 \\ t(n/2) + t(n/2) + cn & \text{otherwise.} \end{cases}$$

where  $b > 0$  &  $c > 0$  are constants.

In order to provide a closed form characterization of  $t(n)$  let us restrict our attention to the case where  $n$  is power of 2. we can simply define  $t(n)$  as:

$$t(n) = \begin{cases} b & \text{if } n=1 \\ 2t(n/2) + cn & \text{otherwise.} \end{cases}$$

But even so, we must try to characterize this recurrence equation in a closed form way. One way to do this is to iteratively apply this equation among  $n$  is relatively large.

for example after one more application of this equation we can write a new recurrence for  $t(n)$  as follows:

$$t(n) = 2(2t(n/2^2) + cn) + cn.$$

$$= 2^2 t(n/2^2) + 2cn.$$

if we apply it again

$$\begin{aligned} t(n) &= 2^2(2t(n/2^3) + cn) + 2cn \\ &= 2^3 t(n/2^3) + 3cn. \end{aligned}$$

after  $i$ th iteration

$$t(n) = 2^i t(n/2^i) + i cn.$$

The 'rule' that remains, then, is to determine when to stop this iterative process.

The answer is when  $t(n) \geq b \cdot \lg n$ .

This occurs when  $2^i = n$ .

or  $i = \lg n$ .

If we substitute it in the last equation then

$$t(n) = 2^{\lg n} t\left(\frac{n}{2^{\lg n}}\right) + (\lg n) cn.$$

$$= n t(1) + cn \lg n.$$

$$= nb + cn \lg n.$$

$$= bn + cn \lg n.$$

i.e. The complexity is  $O(n \lg n)$ .