

## U18PCCS405 - FORMAL LANGUAGES & AUTOMATA THEORY

### UNIT I FUNDAMENTALS

Strings, Alphabet, Language, Operations, Finite state machine, definitions, finite automaton model, acceptance of strings, and languages, deterministic finite automaton and non-deterministic finite automaton, transition diagrams and Language recognizers.

## Theory of Automata

Theory of automata is a theoretical branch of computer science and mathematical. It is the study of abstract machines and the computation problems that can be solved using these machines. The abstract machine is called the automata. The main motivation behind developing the automata theory was to develop methods to describe and analyse the dynamic behaviour of discrete systems.

This automaton consists of states and transitions. The **State** is represented by **circles**, and the **Transitions** is represented by **arrows**.

Automata is the kind of machine which takes some string as input and this input goes through a finite number of states and may enter in the final state.

There are the basic terminologies that are important and frequently used in automata:

### Symbols:

Symbols are an entity or individual objects, which can be any letter, alphabet or any picture.

### Example:

1, a, b, #

### Alphabets:

Alphabets are a finite set of symbols. It is denoted by  $\Sigma$ .

### Examples:

$$\Sigma = \{a, b\}$$

$$\Sigma = \{A, B, C, D\}$$

$$\Sigma = \{0, 1, 2\}$$

$$\Sigma = \{0, 1, \dots, 5\}$$

$\Sigma = \{\#, \beta, \Delta\}$

### String:

It is a finite collection of symbols from the alphabet. The string is denoted by  $w$ .

#### Example 1:

If  $\Sigma = \{a, b\}$ , various string that can be generated from  $\Sigma$  are {ab, aa, aaa, bb, bbb, ba, aba.....}.

- o A string with zero occurrences of symbols is known as an empty string. It is represented by  $\epsilon$ .
- o The number of symbols in a string  $w$  is called the length of a string. It is denoted by  $|w|$ .

#### Example 2:

$w = 010$

Number of String  $|w| = 3$

### Language:

A language is a collection of appropriate string. A language which is formed over  $\Sigma$  can be **Finite** or **Infinite**.

#### Example: 1

$L1 = \{\text{Set of string of length 2}\}$

= {aa, bb, ba, bb}      **Finite Language**

#### Example: 2

$L2 = \{\text{Set of all strings starts with 'a'}\}$

= {a, aa, aaa, abb, abbb, ababb}      **Infinite Language**

### ✓ Power of an Alphabet:

- If  $\Sigma$  is an alphabet, we can express the set of all strings of certain length from that alphabet by using an exponential notation. It is denoted by  $\Sigma^k$  is the set of strings of length k, each of whose symbols is in  $\Sigma$ .

Example :

$\Sigma = \{0,1\}$  has 2 symbols

i)  $\Sigma^1 = \{0,1\}$  ( $\because 2^1 = 2$ )

ii)  $\Sigma^2 = \{00, 01, 10, 11\}$  ( $\because 2^2 = 4$ )

iii)  $\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$  ( $\because 2^3 = 8$ )

- The set of strings over an alphabet  $\Sigma$  is usually denoted by  $\Sigma^*$ .

For instance,  $\Sigma^* = \{0,1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11\}$

( $\therefore \Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \dots \dots$ ) - with  $\epsilon$  symbol.

- The set of strings over an alphabet  $\Sigma$  excluding  $\epsilon$  is usually denoted by  $\Sigma^+$ .

For instance,  $\Sigma^+ = \{0,1\}^+ = \{0, 1, 00, 01, 10, 11\}$

( $\therefore \Sigma^+ = \Sigma^* - \{\epsilon\}$  or  $\Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \dots \dots$ )

- without  $\epsilon$  symbol.

## Parts of the string:

### Parts of a string

#### Prefix of S

A string obtained by removing zero or more trailing symbols of string S.

#### Example

ban is a prefix of banana.

#### Suffix of S

A string formed by deleting zero or more of the leading symbols of S.

#### Example

nana is a suffix of banana.

#### Substring of S

A string obtained by deleting a prefix and a suffix from S.

#### Example

nan is a substring of banana.

Every prefix and every suffix of S is a substring of S, but not every substring of S is a prefix or a suffix of S.

For every string S, both S and  $\epsilon$  are prefixes, suffixes, and substrings of S.

### Proper prefix

Any nonempty string X that is a prefix of S such that  $S \neq X$ .

### Proper suffix

Any nonempty string X that is a suffix of S such that  $S \neq X$ .

### Proper substring

Any nonempty string X that is a substring of S such that  $S \neq X$ .

### Subsequence of S

Any string formed by deleting zero or more not necessarily contiguous symbols from S.

#### Example

baaa is a subsequence of banana.

## ✓ Operations on Languages

### ✓ Complementation

Let L be a language over an alphabet  $\Sigma$ . The complementation of L, denoted by  $\bar{L}$ , is  $\Sigma^* - L$ .

### ✓ Union

Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$ . The union of  $L_1$  and  $L_2$ , denoted by  $L_1 \cup L_2$ , is  $\{x \mid x \text{ is in } L_1 \text{ or } L_2\}$ .

### ✓ Intersection

Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$ . The intersection of  $L_1$  and  $L_2$ , denoted by  $L_1 \cap L_2$ , is  $\{x \mid x \text{ is in } L_1 \text{ and } L_2\}$ .

## ✓ Concatenation

Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$ . The concatenation of  $L_1$  and  $L_2$ , denoted by  $L_1 \cdot L_2$ , is  $\{w_1 \cdot w_2 \mid w_1 \text{ is in } L_1 \text{ and } w_2 \text{ is in } L_2\}$ .

## ✓ Reversal

Let L be a language over an alphabet  $\Sigma$ . The reversal of L, denoted by  $L^r$ , is  $\{w^r \mid w \text{ is in } L\}$ .

## ✓ Kleene's closure

Let L be a language over an alphabet  $\Sigma$ . The Kleene's closure of L, denoted by  $L^*$ , is  $\{x \mid \text{for an integer } n \geq 0 \text{ } x = x_1 x_2 \dots x_n \text{ and } x_1, x_2, \dots, x_n \text{ are in } L\}$ .

$$L^* = \bigcup_{i=0}^{\infty} L^i \quad (\text{e.g. } a^* = \{\epsilon, a, aa, aaa, \dots\})$$

## ✓ Positive Closure

Let L be a language over an alphabet  $\Sigma$ . The closure of L, denoted by  $L^+$ , is  $\{x \mid \text{for an integer } n \geq 1, x = x_1 x_2 \dots x_n \text{ and } x_1, x_2, \dots, x_n \text{ are in } L\}$

$$L^+ = \bigcup_{i=1}^{\infty} L^i \quad (\text{e.g. } a^+ = \{a, aa, aaa, \dots\})$$

## 2.2 Finite State Machine

The finite state system represents a mathematical model of a system with certain input. The model finally gives certain output. The input when given to the machine it is processed by various states, these states are called as **intermediate states**.

The very good example of finite state system is a control mechanism of elevator. This mechanism only remembers the current floor number pressed, it does not remember all the previously pressed numbers.

The finite state system is a very good design tool for the programs such as text editors and lexical analyzers (which is used in compilers). The lexical analyzer is a program which scans your program character by character and recognizes those words as tokens.

### 2.2.1 Definition

A **finite automata** is a collection of 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where,

$Q$  is a **finite set of states**, which is non empty.

$\Sigma$  is **input alphabet**, indicates input set.

$q_0$  is an **initial state** and  $q_0$  is in  $Q$  i.e.  $q_0 \in Q$ .

$F$  is a set of **final states**.

$\delta$  is a **transition function** or a mapping function. Using this function the next state can be determined.

### 2.2.2 Finite Automata Model

The finite automata can be represented as :

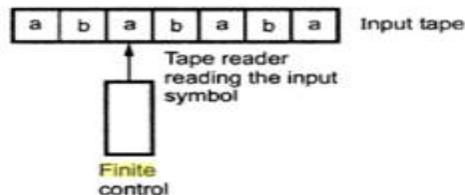


Fig. 2.1 Model for finite automata

The finite automata can be represented using

i) **Input tape** - It is a linear tape having some number of cells. Each input symbol is placed in each cell.

ii) **Finite control** - The finite control decides the next state on receiving particular input from input tape. The tape reader reads the cells one by one from left to right and at a time only one input symbol is read.

For example, suppose current state is  $q$ , and suppose reader is reading the symbol 'a' then it is **finite control** which decides what will be the next state at input 'a'. The transition from current state  $q$  with input  $w$  to next state  $q'$  producing  $w'$  will be represented as,

$$(q, w) \vdash (q', w')$$

If  $w$  is a string and  $M$  is a **finite automata**, then  $w$  is accepted by the FA.

iff  $(w, s) \models (q, \epsilon)$

with  $q$  as final state.

The set of strings accepted by a FA given by  $M$  then  $M$  is accepted by language  $L$ .  
The acceptance of  $M$  by some language  $L$  is denoted by  $L(M)$ .

A machine  $M$  accepts a language  $L$  iff,

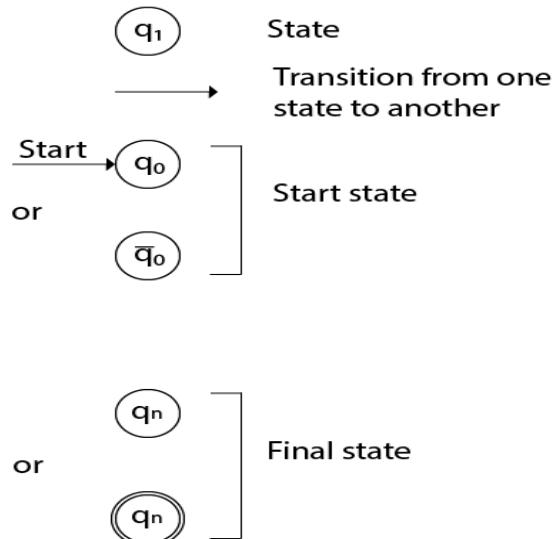
$$L = L(M).$$

### Transition Diagram:

A transition diagram or state transition diagram is a directed graph which can be constructed as follows:

- o There is a node for each state in  $Q$ , which is represented by the circle.
- o There is a directed edge from node  $q$  to node  $p$  labeled  $a$  if  $\delta(q, a) = p$ .
- o In the start state, there is an arrow with no source.
- o Accepting states or final states are indicating by a double circle.

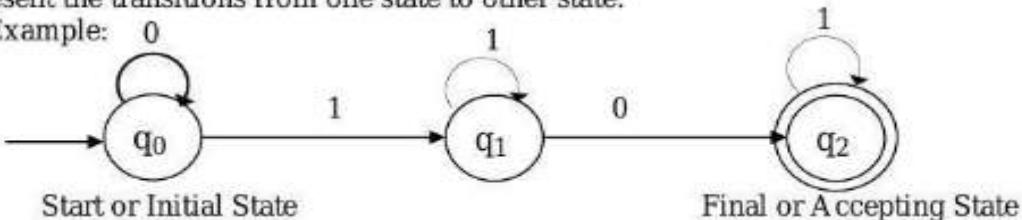
Some Notations that are used in the transition diagram:



### ✓ Transition Diagram (Transition graph)

It is a directed graph associated with the vertices of the graph corresponds to the states of the finite automata. (or) It is a 5-tuple graph used state and edges represent the transitions from one state to other state.

Example: C



#### ✓ Transition Table.

It is the tabular representation of the DFA. For a transition table the transition function is used.

Example:

States	Input	
	0	1
$\rightarrow\{q_0\}$	$\{q_1\}$	$\{q_0\}$
$\{q_1\}$	-	$\{q_2\}$
$*\{q_2\}$	-	-

#### ✓ Transition Function.

- The mapping function or transition function denoted by  $\delta$ .
  - Two parameters are passed to this transition function: (i) current state and (ii) input symbol.
  - The transition function returns a state which can be called as next state.  
$$\delta(\text{current state}, \text{current input symbol}) = \text{next state}$$

Example:

$$\delta(q_0, a) = q_1$$

## ✓ Computation of a Finite Automaton

- The automaton receives the input symbols one by one from left to right.
  - After reading each symbol, M1 moves from one state to another along the transition that has that symbol as its label.
  - When M1 reads the last symbol of the input it produces the output: accept if M1 is in an accept state, or reject if M1 is not in an accept state.

## ✓ Applications

- It plays an important role in compiler design.
- In switching theory and design and analysis of digital circuits automata theory is applied.
- Design and analysis of complex software and hardware systems.
- To prove the correctness of the program automata theory is used.
- To design finite state machines such as Moore and Mealy machines.
- It is base for the formal languages and these formal languages are useful of the programming languages.

## ✓ Types of Finite Automata

- Finite Automata without output
  - Deterministic Finite Automata (DFA)
  - Non-Deterministic Finite Automata (NFA or NDFA)
  - Non-Deterministic Finite Automata with  $\epsilon$  move ( $\epsilon$ -NFA or  $\epsilon$ -NDFA)
- Finite Automata with output
  - Moore Machine
  - Mealy Machine

## Deterministic Finite Automata (DFA)

Deterministic Finite Automaton is a FA in which there is **only one path for a specific input from current state to next state**. There is a unique transition on each input symbol.

### ✓ Formal Definition of Deterministic Finite Automata

A finite automaton is a 5-tuples; they are  $M = (Q, \Sigma, \delta, q_0, F)$   
where

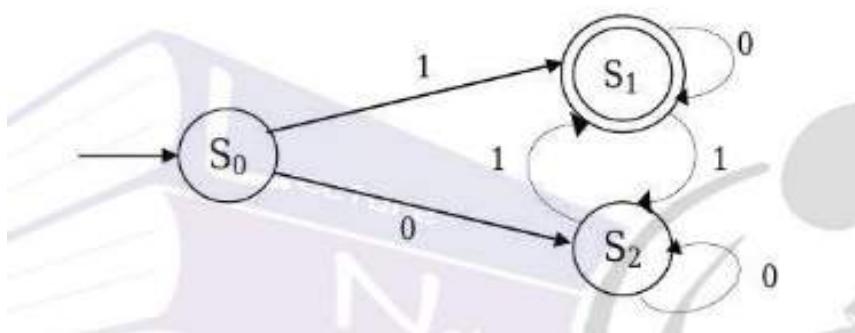
$Q$  is a finite set called the states

$\Sigma$  is a finite set called the alphabet

$\delta : Q \times \Sigma \rightarrow Q$  is the transition function

$q_0 \in Q$  is the start state also called initial state

$F \subseteq Q$  is the set of accept states, also called the final states



## Non-Deterministic Finite Automata (NDFA or NFA)

Non-Deterministic Finite Automaton is a FA in which there **many paths for a specific input from current state to next state**.

- ✓ Formal Definition of Non-Deterministic Finite Automata

A finite automaton is a 5-tuples; they are  $M = (Q, \Sigma, \delta, q_0, F)$   
where

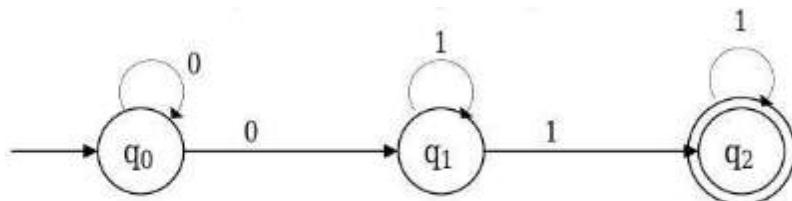
$Q$  is a finite set called the states

$\Sigma$  is a finite set called the alphabet

$\delta : Q \times \Sigma \rightarrow 2^Q$  is the transition function

$q_0 \in Q$  is the start state also called initial state

$F \subseteq Q$  is the set of accept states, also called the final states



## Finite Automaton with $\epsilon$ - moves

The finite automata is called NFA when there exists **many paths for a specific input or  $\epsilon$  from current state to next state**. The  $\epsilon$  is a character used to indicate null string.

- ✓ Formal Definition of Non-Deterministic Finite Automata

A finite automaton is a 5-tuples; they are  $M = (Q, \Sigma, \delta, q_0, F)$   
where

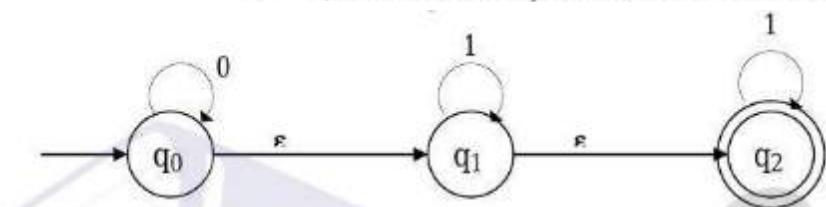
$Q$  is a finite set called the states

$\Sigma$  is a finite set called the alphabet

$\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q$  is the transition function

$q_0 \in Q$  is the start state also called initial state

$F \subseteq Q$  is the set of accept states, also called the final states



### Differentiate DFA and NFA

Sl. No	DFA	NFA
1.	DFA is Deterministic Finite Automata	NFA is Non-Deterministic Finite Automata
2.	For given state, on a given input we reach to deterministic and unique state.	For given state, on a given input we reach to more than one state.
3.	DFA is a subset of NFA	Need to convert NFA to DFA in the design of compiler.
4.	$\delta : Q \times \Sigma \rightarrow Q$ Example: $\delta(q_0, a) = \{q_1\}$	$\delta : Q \times \Sigma \rightarrow 2^Q$ Example: $\delta(q_0, a) = \{q_1, q_2\}$

Some important points about DFA and NFA:

#### DFA

DFA refers to deterministic finite automata. Deterministic refers to the uniqueness of the computation. In the DFA, the machine goes to one state only for a particular input character. DFA does not accept the null move.

#### NFA

NFA stands for non-deterministic finite automata. It is used to transmit any number of states for a particular input. It can accept the null move.

1. Every DFA is NFA, but NFA is not DFA.
2. There can be multiple final states in both NFA and DFA.
3. DFA is used in Lexical Analysis in Compiler.
4. NFA is more of a theoretical concept.

► Example 2.1 : Design a FA which accepts the only input 101 over the input set  $Z = \{0, 1\}$

Solution :

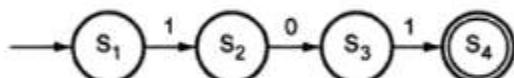


Fig. 2.5

Note that in the problem statement it is mentioned as only input 101 will be accepted. Hence in the solution we have simply shown the transitions, for input 101. There is no other path shown for other input.

► Example 2.2 : Design a FA which checks whether the given binary number is even.

**Solution :** The binary number is made up of 0's and 1's when any binary number ends with 0 it is always even and when a binary number ends with 1 it is always odd. For example,

0100 is an even number, it is equal to 4.

0011 is an odd number, it is equal to 3.

And so while designing FA we will assume one start state, one state ending in 0 and other state for ending with 1. Since we want to check whether given binary number is even or not, we will make the state for 0, the final state.

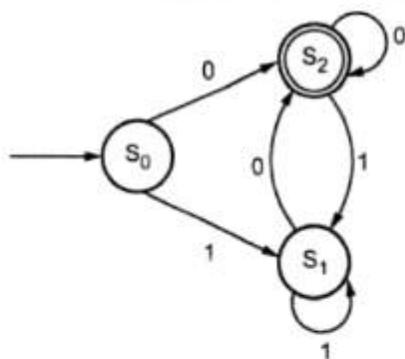


Fig. 2.6

The FA indicates clearly  $S_1$  is a state which handles all the 1's and  $S_2$  is a state which handles all the 0's. Let us take some input.

01000  $\Rightarrow$  0 $S_2$  1000

01 $S_1$  000

010 $S_2$  00

0100 $S_2$  0

01000 $S_2$

Now at the end of input we are in final or in accept state so it is a even number.  
Similarly let us take another input.

$$1011 \Rightarrow 1\underline{S_1}011$$

$$10\underline{S_2}11$$

$$101\underline{S_1}1$$

$$1011S_1$$

Now we are at the state  $S_1$  which is a non final state.

Another idea to represent FA with the help of transition table.

States \ Input	0	1
$\rightarrow S_0$	$S_2$	$S_1$
$S_1$	$S_2$	$S_1$
( $S_2$ )	$S_2$	$S_1$

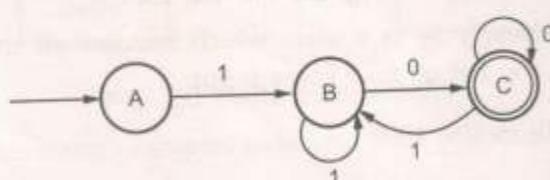
**Transition table**

Thus the table indicates in the first column all the current states. And under the column 0 and 1 the next states are shown.

The first row of transition table can be read as : When current state is  $S_0$ , on input 0 the next state will be  $S_2$  and on input 1 the next state will be  $S_1$ . The arrow marked to  $S_0$  indicates that it is a start state and circle marked to  $S_2$  indicates that it is a final state.

► **Example 2.3 :** Design FA which accepts only those strings which start with 1 and ends with 0.

**Solution :** The FA will have a start state A from which only the edge with input 1 will go to next state.



**Fig. 2.6 For Ex. 2.3**

In state B if we read 1 we will be in B state but if we read 0 at state B we will reach to state C which is a final state. In state C if we read either 0 or 1 we will go to state C or B respectively. Note that the special care is taken for 0, if the input ends with 0 it will be in final state.

Example 2.4 : Design FA which accepts odd number of 1's and any number of 0's.

**Solution :** In the problem statement, it is indicated that there will be a state which is meant for odd number of 1's and that will be the final state. There is no condition on number of 0's.

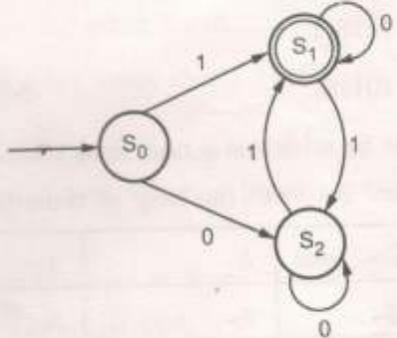


Fig. 2.7 For Ex. 2.4

At the start if we read input 1 then we will go to state  $S_1$  which is a final state as we have read odd number of 1's. There can be any number of zeros at any state and therefore the self loop is applied to state  $S_2$  as well as to state  $S_1$ . For example if the

input is 10101101, in this string there are any number of zeros but odd number of ones. The machine will derive this input as follows -

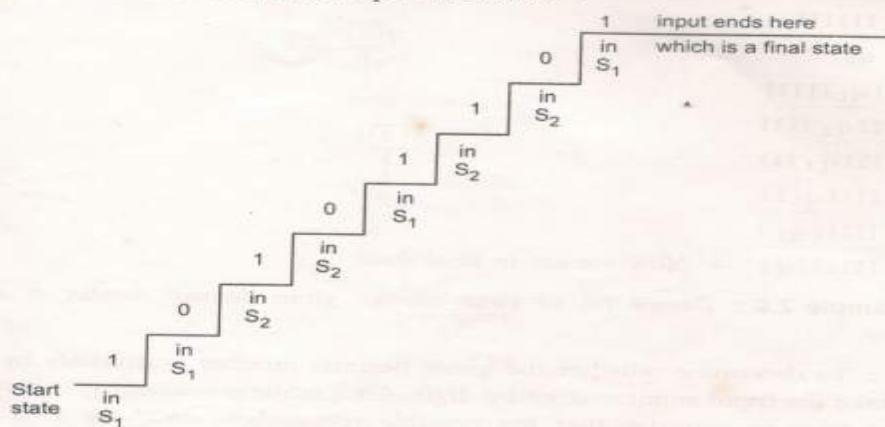


Fig. 2.8 Ladder diagram of processing the input

► Example 2.5 : Design FA which checks whether the given unary number is divisible by 3.

**Solution :**

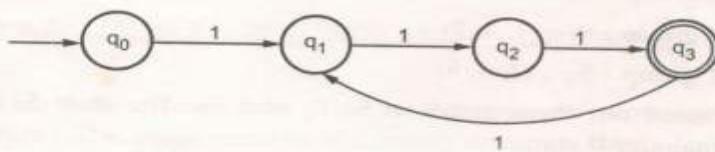


Fig. 2.9 For Ex. 2.5

The unary number is made up of ones. The number 3 can be written in unary form as 111, number 5 can be written as 11111 and so on. The unary number which is divisible by 3 can be 111 or 111111 or 111111111 and so on. The transition table is as follows

Input	1
→ q <sub>0</sub>	q <sub>1</sub>
q <sub>1</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>3</sub>
q <sub>3</sub>	q <sub>1</sub>

Consider a number 111111 which is equal to 6 i.e. divisible by 3. So after complete scan of this number we reach to final state q<sub>3</sub>.

Start 111111

State q<sub>0</sub>

1 q<sub>1</sub> 11111

11 q<sub>2</sub> 1111

111 q<sub>3</sub> 111

1111 q<sub>1</sub> 11

11111 q<sub>2</sub> 1

111111 q<sub>3</sub> → Now we are in final state.

► Example 2.6 : Design FA to check whether given decimal number is divisible by three.

**Solution :** To determine whether the given decimal number is divisible by three, we need to take the input number digit by digit. Also, while considering its divisibility by three, we have to consider that the possible remainders could be 1, 2 or 0. The remainder 0 means, it is divisible by 3. Hence from input set {0, 1, 2, ..., 9} (since decimal number is a input), we will get either remainder 0 or 1 or 2 while testing its divisibility by 3. So we need to group these digits according to their remainders. The groups are as given below -

remainder 0 group : S<sub>0</sub> : (0, 3, 6, 9)

remainder 1 group : S<sub>1</sub> : (1, 4, 7)

remainder 2 group : S<sub>2</sub> : (2, 5, 8)

We have named out these states as  $S_0$ ,  $S_1$  and  $S_2$ . The state  $S_0$  will be the final state as it is remainder 0 state.

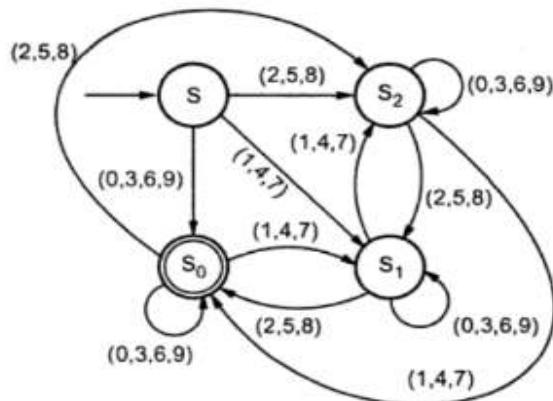


Fig. 2.11

Let us test the above FA, if the number is 36 then it will proceed by reading the number digit by digit.

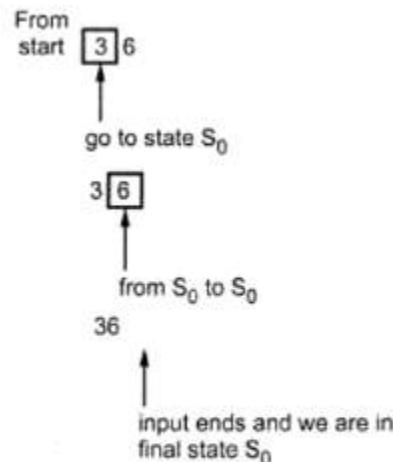


Fig. 2.12

Hence the number is divisible 3, isn't it ? Similarly if number is 121 which is not divisible by 3, it will be processed as

S 121

1  $S_1$  21

12  $S_0$  1

121  $S_1$  which is remainder 1 state.

► **Example 2.7 :** Design FA which checks whether a given binary number is divisible by three.

**Solution :** As we have discussed in previous example, the same logic is applicable to this problem even ! The input number is a binary number. Hence the input set will be  $\Sigma = \{0, 1\}$ . The start state is denoted by S, the remainder 0 is by  $S_0$ , remainder 1 by  $S_1$  and remainder 2 by  $S_2$ .

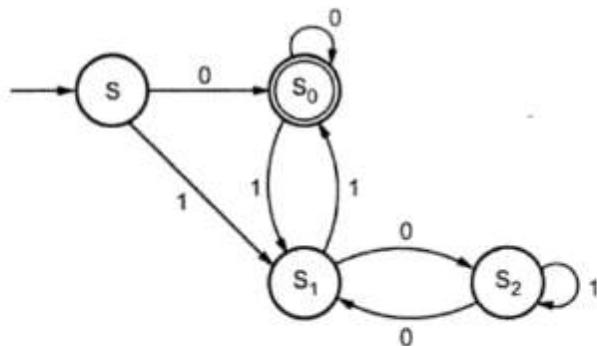


Fig. 2.13

In the above transition graph we have handled the case as if the input starts with 1 then it will be in  $q_3$  state which is a dead state and never lead to final state. Thus this machine strictly handles the strings starting with 0 and ending with 1.

► **Example 2.11 :** Design FA to accept  $L$ , where  $L = \{\text{Strings in which } a \text{ always appears tripled}\}$  over the set  $\Sigma = \{a, b\}$ .

**Solution :** For this particular language the valid strings are  $aabb$ ,  $baaaaaaa$ ,  $bbaaab$  and so on. The  $a$  always appears in a clump of 3. The TG (transition graph) will look like this -

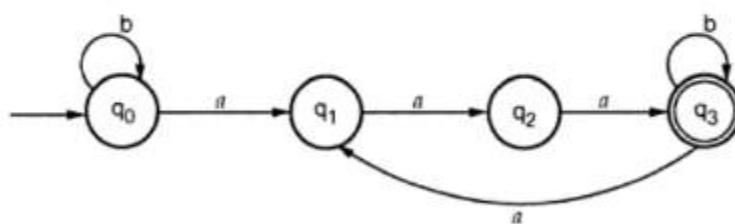


Fig. 2.18

Note that the sequence of triple  $a$  is maintained to reach to the final state.

Note the difference between previous example and this, here there is no condition as  $a$  should be in clump but total number of  $a$ 's in a string are divisible by 3. Hence  $b$ 's are allowed in between.

Example 2.12 : Design FA to accept  $L$  where all the strings in  $L$  are such that total number of  $a$ 's in them are divisible by 3.

**Solution :** As we have seen earlier, while testing divisibility by 3, we group the input as remainder 0, remainder 1 and remainder 2.

Hence,

$S_0$  : State of remainder 0.

$S_1$  : State of remainder 1.

$S_2$  : State of remainder 2.

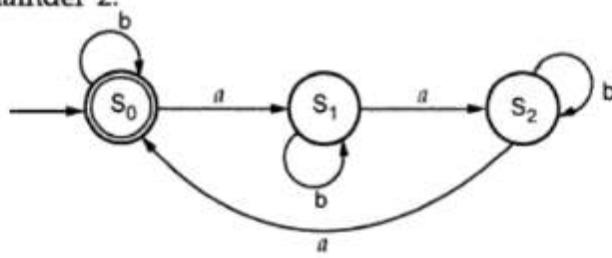


Fig. 2.19

Example 2.13 : Design a FA that reads strings made up of letters in the word CHARIOT and recognize those strings that contain the word 'CAT' as a substring.

**Solution :** To design this FA we will first consider the input set which will be  $\Sigma = \{C, H, A, R, I, O, T\}$ . From this input set we have to recognize the word 'CAT'. We can do that as follows -

**Step 1 :**

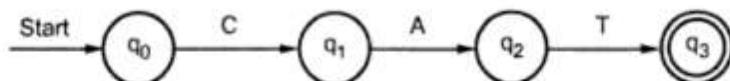
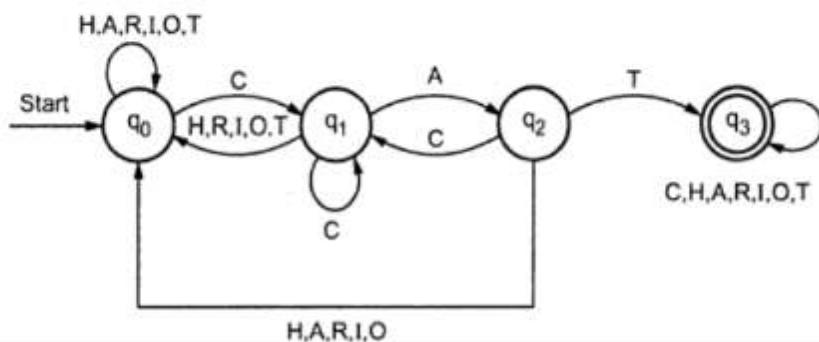


Fig. 2.20

**Step 2 :**



**Fig. 2.21 Required finite automata**

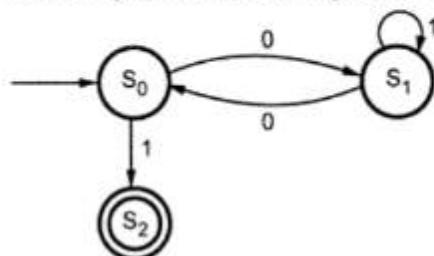
From Fig. 2.21 it is clear that on recognizing C initially from  $\Sigma = \{C, H, A, R, I, O, T\}$  we are moving to state  $q_1$ , other than C all the characters are remaining in state  $q_0$  only. From  $q_1$  the character A will be identified and from  $q_2$  character T will be identifier. The transition table can be drawn as follows :

State \ Input	C	H	A	R	I	O	T
$\rightarrow q_0$	$q_1$	$q_0$	$q_0$	$q_0$	$q_0$	$q_0$	$q_0$
$q_1$	$q_1$	$q_0$	$q_2$	$q_0$	$q_0$	$q_0$	$q_0$
$q_2$	$q_1$	$q_0$	$q_0$	$q_0$	$q_0$	$q_0$	$q_3$
( $q_3$ )	$q_3$						

The substring may appear anywhere in the input string for any number of times. For example, 'HACCATHA'. From this 'CAT' can be recognized and we should reach to  $q_3$  state finally.

► **Example 2.18 :** Design a DFA which accepts strings with even number of 0's followed by single 1 over  $\Sigma = \{0, 1\}$ .

**Solution :** The DFA can be shown by a transition diagram as



Here state  $S_1$  will accept all the odd number of 0's and  $S_0$  will accept all even number of 0's. It should then be followed by single 1. Hence  $S_2$  is a final state. Consider the input

```

    · 0   0   0   1   0   1
    0   S1  0   0   1   0   1
    0   0   S0  0   1   0   1
    0   0   0   S1  1   0   1
    0   0   0   1   S1  0   1
    0   0   0   1   0   S0  1
    0   0   0   1   0   1   S2
  
```

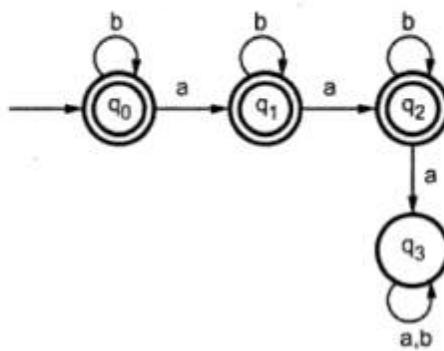
We now will reach to final state  $S_2$ , and the input ends here. Hence 000101 is a valid input string. The transition table for above drawn DFA can be represented as

States \ Input	0	1
S <sub>0</sub>	S <sub>1</sub>	S <sub>2</sub>
S <sub>1</sub>	S <sub>0</sub>	S <sub>1</sub>
(S <sub>2</sub> )	-	-

Transition table

► **Example 2.19 :** Design DFA which accepts all the strings not having more than two a's over  $\Sigma = \{a, b\}$ .

**Solution :** In this DFA maximum two a's are accepted. If we try to accept third a then it should not lead us to final state. Such a DFA can be as shown below.



The transition table can be as shown -

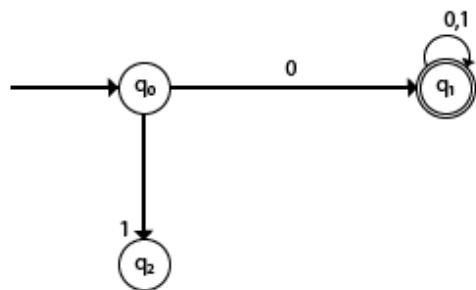
States \ Input	a	b
States		
$q_0$	$q_1$	$q_0$
$q_1$	$q_2$	$q_1$
$q_2$	$q_3$	$q_2$
$q_3$	$q_3$	$q_3$

**Transition table**

## Example 2:

DFA with  $\Sigma = \{0, 1\}$  accepts all strings starting with 0.

**Solution:**



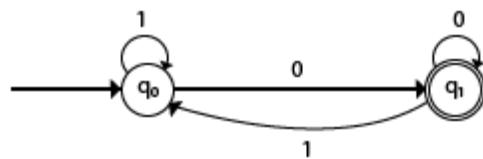
**Explanation:**

- In the above diagram, we can see that on given 0 as input to DFA in state  $q_0$  the DFA changes state to  $q_1$  and always go to final state  $q_1$  on starting input 0. It can accept 00, 01, 000, 001....etc. It can't accept any string which starts with 1, because it will never go to final state on a string starting with 1.

### Example 3:

DFA with  $\Sigma = \{0, 1\}$  accepts all strings ending with 0.

#### Solution:



#### Explanation:

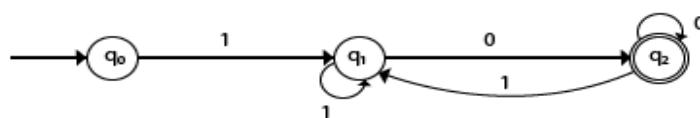
In the above diagram, we can see that on given 0 as input to DFA in state  $q_0$ , the DFA changes state to  $q_1$ . It can accept any string which ends with 0 like 00, 10, 110, 100....etc. It can't accept any string which ends with 1, because it will never go to the final state  $q_1$  on 1 input, so the string ending with 1, will not be accepted or will be rejected.

### Example 1:

Design a FA with  $\Sigma = \{0, 1\}$  accepts those strings which starts with 1 and ends with 0.

#### Solution:

The FA will have a start state  $q_0$  from which only the edge with input 1 will go to the next state.



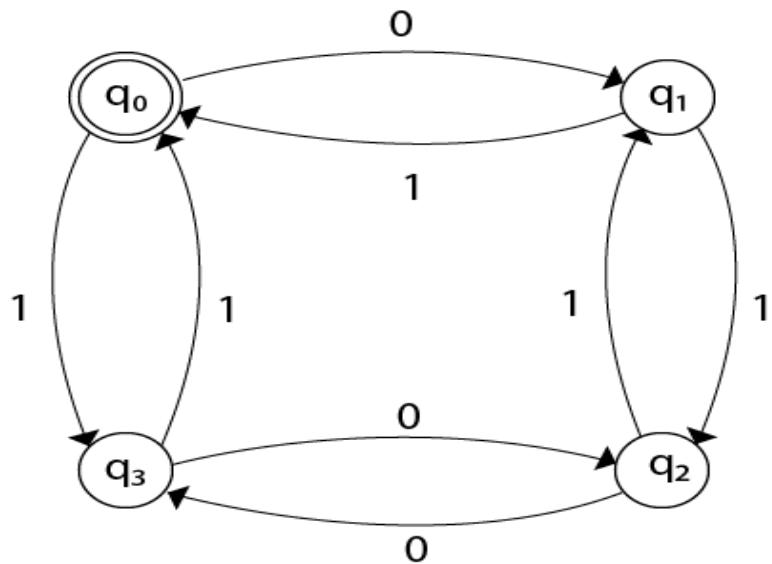
In state  $q_1$ , if we read 1, we will be in state  $q_1$ , but if we read 0 at state  $q_1$ , we will reach to state  $q_2$  which is the final state. In state  $q_2$ , if we read either 0 or 1, we will go to  $q_2$  state or  $q_1$  state respectively. Note that if the input ends with 0, it will be in the final state.

### Example 3:

Design FA with  $\Sigma = \{0, 1\}$  accepts even number of 0's and even number of 1's.

#### Solution:

This FA will consider four different stages for input 0 and input 1. The stages could be:



Here  $q_0$  is a start state and the final state also. Note carefully that a symmetry of 0's and 1's is maintained. We can associate meanings to each state as:

- $q_0$ : state of even number of 0's and even number of 1's.
- $q_1$ : state of odd number of 0's and even number of 1's.
- $q_2$ : state of odd number of 0's and odd number of 1's.
- $q_3$ : state of even number of 0's and odd number of 1's.

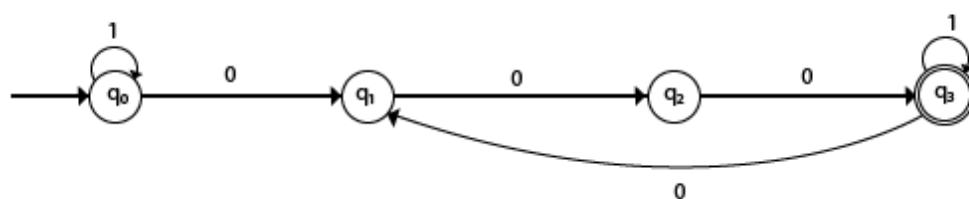


#### Example 4:

Design FA with  $\Sigma = \{0, 1\}$  accepts the set of all strings with three consecutive 0's.

#### Solution:

The strings that will be generated for this particular language are 000, 0001, 1000, 10001, .... in which 0 always appears in a clump of 3. The transition graph is as follows:

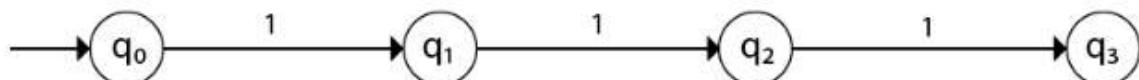


### Example 5:

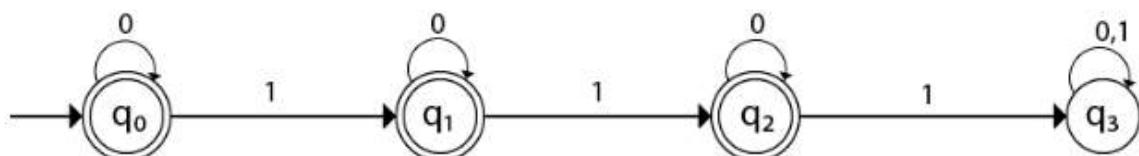
Design a DFA  $L(M) = \{w \mid w \in \{0, 1\}^*\}$  and  $w$  is a string that does not contain consecutive 1's.

**Solution:**

When three consecutive 1's occur the DFA will be:



Here two consecutive 1's or single 1 is acceptable, hence



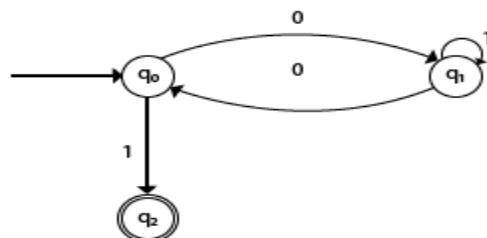
The stages  $q_0, q_1, q_2$  are the final states. The DFA will generate the strings that do not contain consecutive 1's like 10, 110, 101,..... etc.

### Example 6:

Design a FA with  $\Sigma = \{0, 1\}$  accepts the strings with an even number of 0's followed by single 1.

**Solution:**

The DFA can be shown by a transition diagram as:



Acceptance of strings, and languages:

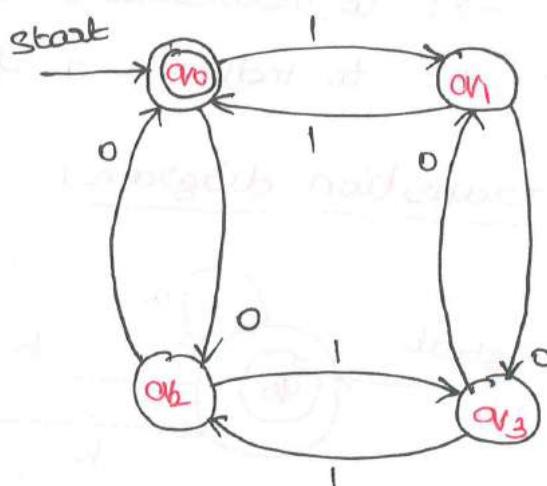
### Example:

consider the transition diagram. In our formal notation this FA is denoted  $M = (\Omega, \Sigma, \delta, q_0, F)$ , where  $\Omega = \{q_0, q_1, q_2, q_3\}$ ,  $\Sigma = \{0, 1\}$ ,  $F = \{q_0\}$ .

$\delta$  is  
transition table:

States	Inputs	
	0	1
$q_0$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

### Transition diagram



## transition functions

$$\delta(a_0, 0) = a_2$$

$$\delta(a_0, 1) = a_1$$

$$\delta(a_1, 0) = a_3$$

$$\delta(a_1, 1) = a_0$$

$$\delta(a_2, 0) = a_0$$

$$\delta(a_2, 1) = a_3$$

$$\delta(a_3, 0) = a_1$$

$$\delta(a_3, 1) = a_2$$

Suppose 110101 is input to M.

(i) To show the string 110101 is accepted or not by DFA.

Method 1: 110101

$$\delta(a_0, 1) = a_1$$

$$\delta(a_1, 1) = a_0$$

$$\delta(a_0, 0) = a_2$$

$$\delta(a_2, 1) = a_3$$

$$\delta(a_3, 0) = a_1$$

$$\delta(a_1, 1) = a_0$$

since the  $a_0$  is the final state, the given input string is accepted.

Method 2:

$$\begin{aligned} & \delta(a_0, 11010) \\ &= \delta(\delta(a_0, 1), 1010) \\ &= \delta(a_1, 1010) \\ &= \delta(\delta(a_1, 1), 010) \\ &= \delta(a_0, 010) \\ &= \delta(\delta(a_0, 0), 10) \\ &= \delta(a_2, 10) \\ &= \delta(\delta(a_2, 1), 0) = \delta(a_3, 0) \\ &= \delta(\delta(a_3, 0), 1) = \delta(a_1, 1) \\ &= a_0 \end{aligned}$$

Here  $a_0$  is the final state.

∴ It is accepted.

Method 3: 11010

$$① \quad \delta(a_0, 1) = a_1 \quad \text{and} \quad \delta(a_1, 1) = a_0$$

$$\delta(a_0, 11) = \delta(\delta(a_0, 1), 1) = \delta(a_1, 1) = a_0$$

$$② \quad \delta(a_0, 0) = a_2$$

$$\delta(a_2, 110) = \delta(\delta(a_0, 11), 0)$$

$$= \delta(a_0, 0)$$

$$\delta(a_0, 110) = a_2$$

$$\begin{aligned} \textcircled{3} \quad \delta(q_0, 1101) &= \delta(\delta(q_0, 110), 1) \\ &= \delta(q_2, 1) \\ &= q_3 \end{aligned}$$

$$\begin{aligned} \textcircled{4} \quad \delta(q_0, 11010) &= \delta(\delta(q_0, 1101), 0) \\ &= \delta(q_3, 0) \\ &= q_1 \end{aligned}$$

$$\begin{aligned} \textcircled{5} \quad \delta(q_0, 110101) &= \delta(\delta(q_0, 11010), 1) \\ &= \delta(q_1, 1) \\ &= q_0 \end{aligned}$$

the entire sequence of states is

$q_0^1 \quad q_1^1 \quad q_0^0 \quad q_2^1 \quad q_2^0 \quad q_1^1 \quad q_0^0$

∴ thus 110101 is in  $L(M)$ .

Language accepted by DFA:

> A string  $x$  is said to be accepted by a finite automaton  $M = (\Sigma, \delta, S, q_0, F)$  if  $\delta(q_0, x) = p$  for some  $p$  in  $F$ .

> The language accepted by  $M$ , designated  $L(M)$ , is the set  $\{x \mid \delta(q_0, x) \text{ is in } F\}$ .

> A language is a regular set if it is the set accepted by some finite automaton.

① To show the string 100 is accepted or not by DFA:

100 : input string

$$\delta(a_0, 1) = a_1$$

$$\delta(a_1, 0) = a_3$$

$$\delta(a_3, 0) = a_1$$

∴ since  $a_1$  is not a final state, the string 100 is not accepted.

② To show the string 1110 is accepted or not by DFA.

1110 : input string

$$\delta(a_0, 1) = a_1$$

$$\delta(a_1, 1) = a_0$$

$$\delta(a_0, 1) = a_1$$

$$\delta(a_1, 0) = a_3$$

since  $a_3$  is not a final state, the string 1110 is not accepted.

Example:

Consider the DFA,  $M = (S, \Sigma, \delta, s_0, F)$ , where  $\delta$  is given by,

→ \*

States	Input symbol	
	0	1
$s_0$	$s_0$	$s_1$
$s_1$	$s_0$	$s_2$
$s_2$	$s_2$	$s_1$

Show that the string 011010 is accepted or not.

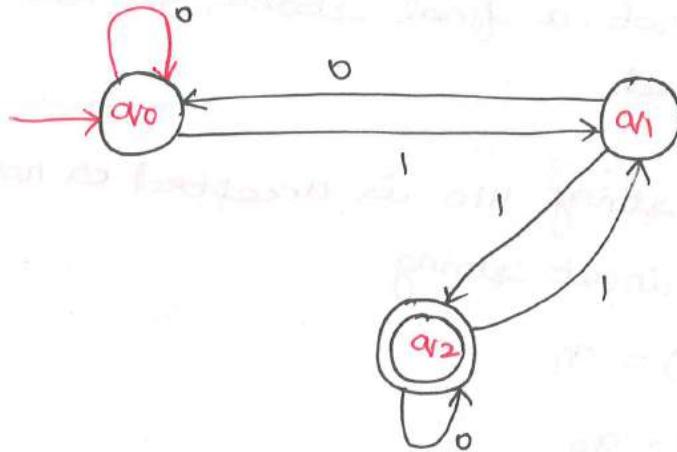
Let  $\Theta = \{\alpha_0, \alpha_1, \alpha_2\}$

$\Sigma = \{0, 1\}$

$S = \alpha_0$

$F = \alpha_2$

transition diagram:



the string accepted by this DFA =  $\{0110, 010110, 110,$   
 $11011, 0110\}$

### Transition function

$$\delta(q_0, 0) = q_0$$

$$\delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_0$$

$$\delta(q_1, 1) = q_2$$

$$\delta(q_2, 0) = q_2$$

$$\delta(q_2, 1) = q_1$$

To show that the string is accepted or not.

011010 : w/p → string

$$= \delta(q_0, 011010)$$

$$= \delta(\delta(q_0, 0), 11010)$$

$$= \delta(q_0, 11010)$$

$$= \delta(\delta(q_0, 1), 1010)$$

$$= \delta(q_1, 1010)$$

$$= \delta(\delta(q_1, 1), 010)$$

$$= \delta(q_2, 010)$$

$$= \delta(\delta(q_2, 0), 10)$$

$$= \delta(q_2, 10)$$

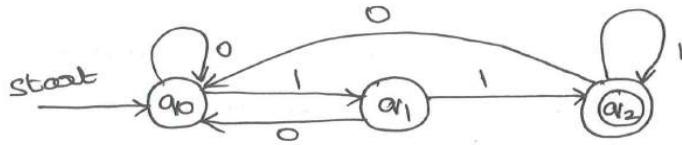
$$= \delta(\delta(q_2, 1), 0)$$

$$= \delta(q_1, 0)$$

$$= q_0 \notin F$$

the string is not accepted.

③ show that the language is accepted by DFA is given as



the DFA is given by  $M = (Q, \Sigma, \delta, S, F)$  here

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$S = q_0 \in Q$$

$$F = \{q_2\} \subseteq Q$$

Transition Table:

States		Input symbol	
		0	1
→	$q_0$	$q_0$	$q_1$
	$q_1$	$q_0$	$q_2$
*	$q_2$	$q_0$	$q_2$

∴ the language accepted by DFA M is  $L(M)$ .

$L(M) = \{0111, 0110111, 010111, 111, 011011\}$ . set of strings accepted by DFA is  $L(M)$ .

### Properties of Transition Function ( $\delta$ )

(i)  $\delta(q, \epsilon) = q$ .

this means that the state of the system can be changed only by an input symbol, remains in the original state.

$$\text{Ex: } \delta(q_0, \epsilon) = q_0$$

$$\delta(q_1, \epsilon) = q_1$$

No input supplied, it will be in the same state.

(ii) for all the strings ' $w$ ' and an input symbol ' $a$ '.

$$\delta(a, aw) = \delta(\delta(a, a), w)$$

e.g:  $\delta(a_0, aw) = \delta(\delta(a_0, a), w)$

$$\delta(a_0, 1101) = \delta(\delta(a_0, 1), 101)$$

(iii) the transition function  $\delta$  can be extended to  
 $\bar{\delta}$  to operate on strings.

$$\bar{\delta}(a, \epsilon) = a$$

$$\bar{\delta}(a, xa) = \delta(\bar{\delta}(a, x), a)$$

### NON-DETERMINISTIC FINITE AUTOMATA (NFA)

the finite automaton model to allow zero, one or more transitions from a state on the same input symbol.  
this new model is called a non-deterministic finite Automaton (NFA).

- \* Any set accepted by a NFA can also be accepted by a DFA.
- \* NFA is a useful concept in proving theorems.

\* Non-determinism plays a central role in both the theory of languages and the theory of computation.

the NFA is defined by 5 tuples  $(Q, \Sigma, \delta, S, F)$ , where

$Q$  - finite non-empty set of states

$\Sigma$  - a finite set of input symbols

$S$  - start states

$F$  - set of final states.

the transition function  $\delta$  is defined by

$\delta$ : transition function that takes state and an input symbol as arguments and returns one or more states as output.

$$\therefore \delta = Q \times \Sigma^* \rightarrow 2^Q = \hat{\delta}$$

Extended transition function ( $\bar{\delta}$ )

(i)  $\bar{\delta}(q, \epsilon) = q$

(ii)  $\bar{\delta}(q, xa) = \cup \delta(p, a)$

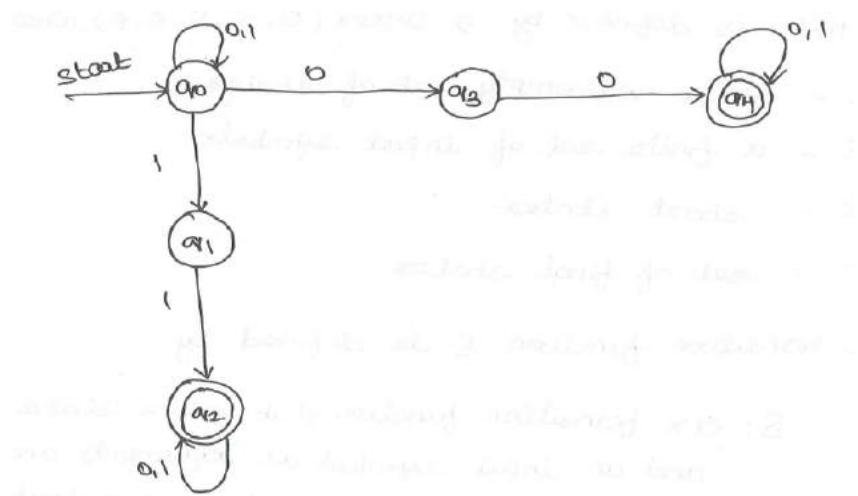
where  $p = \bar{\delta}(q, x)$

language acceptance by NFA:

the language accepted by NFA 'A' is  $L(A)$ .

$$L(A) = \{ w \mid \delta(q, x) = p, \text{ where } p \text{ is in } F \}$$

- ① Consider the given NFA to check  $w = 01001$  accepted or not.



the NFA,  $M$  is defined by  $N(Q, \Sigma, \delta, S, F)$

$$Q = \{q_0, q_1, q_2, q_3, q_4\}$$

$$\Sigma = \{0, 1\}$$

$$S = \{q_0\}$$

$$F = \{q_2, q_4\}$$

$\delta$  is defined by the transition table.

$\delta$  is defined by the transition table.

→

States	Input symbol	
	0	1
$q_0$	$\{q_0, q_3\}$	$\{q_0, q_1\}$
$q_1$	$\emptyset$	$\{q_2\}$
$q_2$	$\{q_2\}$	$\{q_2\}$
$q_3$	$\{q_4\}$	$\emptyset$
$q_4$	$\{q_4\}$	$\{q_4\}$

\*

To check whether the string  $w = 01001$  is accepted by NFA.

$w = 01001$  be input

$$\delta(q_0, 01001)$$

(i)  $\delta(q_0, 0) = \{q_0, q_3\}$  {from the transition table}

$$\begin{aligned}\text{(ii)} \quad \delta(q_0, 01) &= \delta(\delta(q_0, 0), 1) \\ &= \delta(\{q_0, q_3\}, 1) \\ &= \delta(q_{01}) \cup \delta(q_{31}) \\ &= \{q_0, q_1\} \cup \emptyset\end{aligned}$$

$$\delta(q_0, 01) = \{q_0, q_1\}$$

Similarly, we compute

$$\begin{aligned}\text{(iii)} \quad \delta(q_0, 010) &= \delta(\delta(q_0, 01), 0) \\ &= \delta(\{q_0, q_1\}, 0) \\ &= \delta(q_0, 0) \cup \delta(q_1, 0) \\ &= \{q_0, q_3\} \cup \emptyset\end{aligned}$$

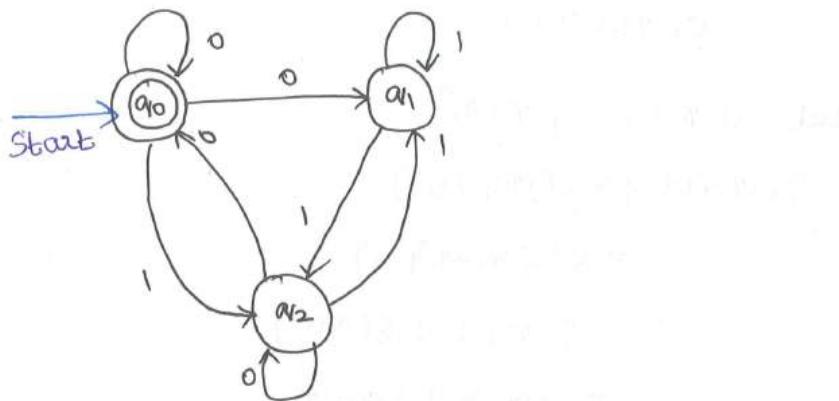
$$\delta(q_0, 010) = \{q_0, q_3\}$$

$$\begin{aligned}
 \text{(iv)} \quad \delta(a_0, 0100) &= \delta(\delta(a_0, 010), 0) \\
 &= \delta(\{a_0, a_3\}, 0) \\
 &= \delta(a_0, 0) \cup \delta(a_3, 0) \\
 &= \{a_0, a_3\} \cup \{a_4\} \\
 \therefore \delta(a_0, 0100) &= \{a_0, a_3, a_4\}
 \end{aligned}$$

$$\begin{aligned}
 \text{(v)} \quad \delta(a_0, 01001) &= \delta(\delta(a_0, 0100), 1) \\
 &= \delta(\{a_0, a_3, a_4\}, 1) \\
 &= \delta(a_0, 1) \cup \delta(a_3, 1) \cup \delta(a_4, 1) \\
 &= \{a_0, a_1\} \cup \emptyset \cup \{a_4\} \\
 \delta(a_0, 01001) &= \{a_0, a_1, a_4\}
 \end{aligned}$$

since  $a_4$  is a final state, the string is accepted.  
 (ie) the final state  $a_4$  is present in the solution.  
 $\therefore$  the string  $01001$  is accepted by NFA.

② For the NFA, shown check whether the input string  $0100$  is accepted (if) not.



the NFA,  $M$  is defined by  $(Q, \Sigma, \delta, S, F)$

where  $Q = \{q_0, q_1, q_2\}$

$$\Sigma = \{0, 1\}$$

$$S = \{q_0\} \subseteq Q$$

$$F = \{q_0\} \in Q$$

the transition table is given by

States	Input symbol	
	0	1
$q_0$	$\{q_0, q_1\}$	$\{q_2\}$
$q_1$	$\emptyset$	$\{q_1, q_2\}$
$q_2$	$\{q_0, q_2\}$	$\{q_1\}$

To check whether the string  $w = 0100$  is accepted by NFA we use

$$\delta(q_0, 0100)$$

(i) Let  $\delta(q_0, 0) = \{q_0, q_1\}$

(ii)  $\delta(q_0, 01) = \delta(\delta(q_0, 0), 1)$   
=  $\delta(\{q_0, q_1\}, 1)$   
=  $\delta(q_0, 1) \cup \delta(q_1, 1)$   
=  $\{q_2\} \cup \{q_1, q_2\}$

$$\therefore \delta(q_0, 01) = \{q_1, q_2\}$$

$$\begin{aligned}
 \text{(iii)} \quad \delta(a_0, 010) &= \delta(\delta(a_0, 01), 0) \\
 &= \delta(\{a_1, a_2\}, 0) \\
 &= \delta(a_1, 0) \cup \delta(a_2, 0) \\
 &= \emptyset \cup \{a_0, a_2\} \\
 \therefore \delta(a_0, 010) &= \{a_0, a_2\}
 \end{aligned}$$

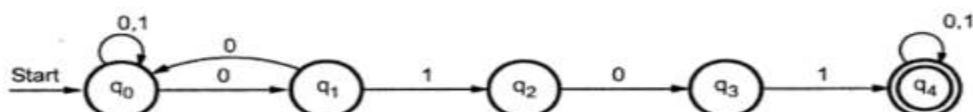
$$\begin{aligned}
 \text{(iv)} \quad \delta(a_0, 0100) &= \delta(\delta(a_0, 010), 0) \\
 &= \delta(\{a_0, a_2\}, 0) \\
 &= \delta(a_0, 0) \cup \delta(a_2, 0) \\
 &= \{a_0, a_1\} \cup \{a_0, a_2\} \\
 \therefore \delta(a_0, 0100) &= \{a_0, a_1, a_2\}
 \end{aligned}$$

the final state  $a_0$  is present in the solutions.  
thus the string is accepted by NFA.

#### Example 2.24 : Construct a NFA for the language

$$\begin{aligned}
 L_1 &= \{\text{consisting a substring } 0101\} \\
 L_2 &= \{a^n \cup b^n\}
 \end{aligned}$$

**Solution :** We will consider  $L_1$  first to design NFA. There can be any combination of 0 and 1 in the language but a substring 0101 must be present. We will get such a substring then it leads to a final state or accept state.



This is a NFA as for 0 input we have two different paths one going to  $q_0$  and other is going to  $q_1$ .

The string 00010101 is acceptable by above given NFA and it is as shown below.

$$\begin{aligned}
 00010101 &\quad 0q_0 \vdash 010101 \\
 &\quad 00q_0 \vdash 010101 \\
 &\quad 000q_1 \vdash 10101
 \end{aligned}$$

$0001q_2 \vdash 0101$

$00010q_3 \vdash 101$

$000101q_4 \vdash 01$

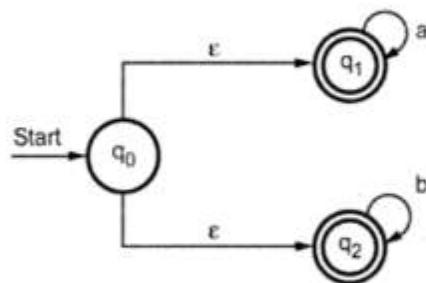
$0001010 q_4 \vdash 1$

$00010101q_4 \vdash$

$00010101$  is accepted as  $q_4$  is final state.

Now we will build a **NFA** for  $L_2$ . The language  $L_2$  is a language in which there be any number of a's or any number of b's. It accepts  $\{a, b, aa, bb, aaa, bbb, \dots\}$ .

Hence the **NFA** will be



The **NFA** shows two different states  $q_1$  and  $q_2$  for the input  $\epsilon$  from  $q_0$  state.

Here  $\epsilon$  (pronounced as epsilon) is basically a null move i.e. a move carrying no symbol from input set  $\Sigma$ . But a state change occurs from one state to other.

→ **Example 2.25 :** Design the NFA transition diagram for the transition table as given below -

	<b>0</b>	<b>1</b>
$q_0$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$q_1$	$\{q_3\}$	
$q_2$	$\{q_2, q_3\}$	$\{q_3\}$
$q_3$	$\{q_3\}$	$\{q_3\}$

Here the NFA is  $M = (\{q_0, q_1, q_2, q_3\}, \{0, 1\}, \delta, q_0, \{q_3\})$ .

**Solution :** The transition diagram can be drawn by using the mapping function as given in table.

$$\delta(q_0, 0) = \{q_0, q_1\}$$

$$\delta(q_0, 1) = \{q_0, q_2\}$$

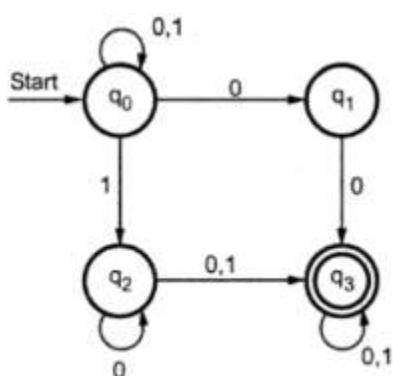
Then,  $\delta(q_1, 0) = \{q_3\}$

Then,  $\delta(q_2, 0) = \{q_2, q_3\}$

$$\delta(q_2, 1) = \{q_3\}$$

Then,  $\delta(q_3, 0) = \{q_3\}$

$$\delta(q_3, 1) = \{q_3\}$$

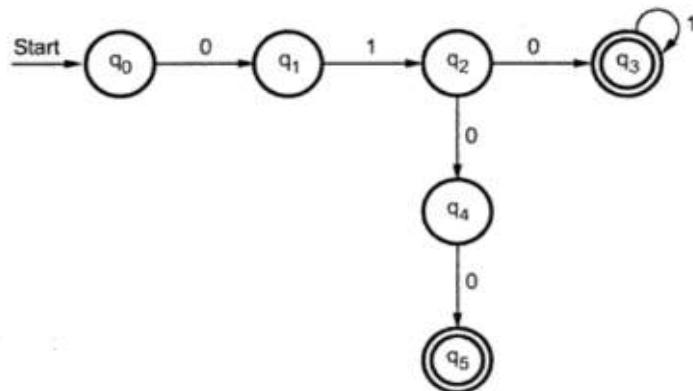


→ **Example 2.26 :** Construct NFA for the language

$$L = \{0101^n \cup 0100 \mid n \geq 0\}$$

Over  $\Sigma = \{0, 1\}$ .

**Solution :** Here in language L first three symbols are common i.e. 010. Hence the **NFA** can be drawn as



The states  $q_3$  and  $q_5$  are final states accepting  $0101^n$  and  $0100$  respectively. The **NFA** then can be denoted by,

$$M = (\{q_0, q_1, q_2, q_3, q_4, q_5\}, \delta, q_0, \{q_3, q_5\})$$

→ **Example 2.27 :** Construct a transition diagram for the NDFA

$$M = (\{q_1, q_2, q_3\}, \delta, q_1, \{q_3\}) \text{ where } \delta \text{ is given by,}$$

$$\delta(q_1, 0) = \{q_2, q_3\} \quad \delta(q_1, 1) = \{q_1\}$$

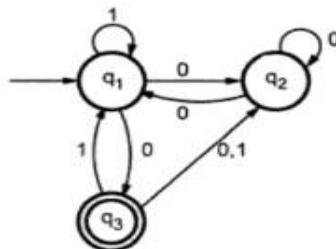
$$\delta(q_2, 0) = \{q_1, q_2\} \quad \delta(q_2, 1) = \emptyset$$

$$\delta(q_3, 0) = \{q_2\} \quad \delta(q_3, 1) = \{q_1, q_2\}$$

**Solution :** Firstly we will design a transition table using the given mapping function  $\delta$ .

States \ Input	0	1
States		
$\rightarrow q_1$	$\{q_2, q_3\}$	$q_1$
$q_2$	$\{q_1, q_2\}$	$\emptyset$
$q_3$	$q_2$	$\{q_1, q_2\}$

The NDFA will be

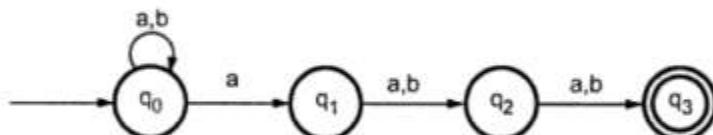


→ **Example 2.28 :** Construct a NFA for a language  $L$  which accepts all the strings in which the third symbol from right end is always a. Over  $\Sigma = \{a, b\}$ .

**Solution :** The strings in such a language are of the form



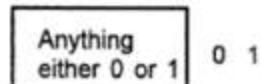
Thus we get third symbol from right end as 'a' always. The NFA then can be



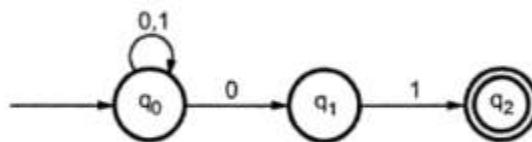
The above figure is a NFA because in state  $q_0$  with input 'a' we can go to either state  $q_0$  or state  $q_1$ .

→ **Example 2.29 :** Design NFA accepting all strings ending with 01. Over  $\Sigma = \{0, 1\}$

**Solution :**

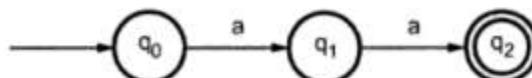


Hence, **NFA** would be



→ **Example 2.30 :** Design **NFA** to accept strings with a's and b's such that the string end with 'aa'.

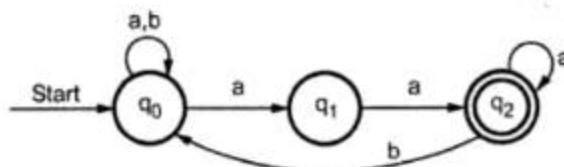
**Solution :** The simple FA which accepts a string with 'aa' is -



Now there can be a situation where in



Hence we can design a required **NFA** as



It can be denoted by,

$$M = (\{q_0, q_1, q_2\}, \delta, \{q_0\}, \{q_2\})$$

We can test some strings for above drawn **NFA**.

Consider

$$\delta(q_0, a a a) \vdash \delta(q_0, a a)$$

$$\vdash \delta(q_1, a)$$

$$\vdash \delta(q_2, \epsilon)$$

i.e. we reach to accept state.

$$\delta(q_0, a a a) \vdash \delta(q_0, a a)$$

$$\vdash \delta(q_0, a)$$

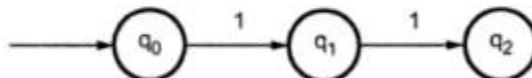
$$\vdash \delta(q_1, \epsilon)$$

i.e. we are not in final state.

Thus there are two possibilities by which we move with string 'aaa' in above given **NFA**.

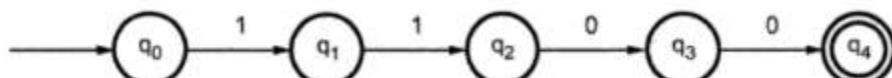
Example 2.31 : Construct a NFA in which double '1' is followed by double '0'. Over  $\Sigma = \{0, 1\}$ .

**Solution :** The FA with double 1 is as drawn below.



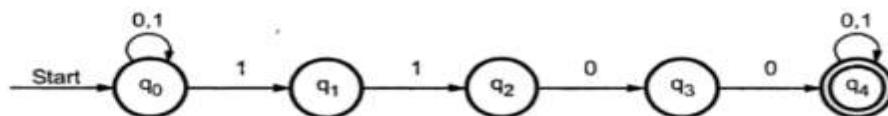
It should be immediately followed by double 0.

Then,



Now before double 1 there can be any string of 0 and 1. Similarly after double 0 there can be any string of 0 and 1.

Hence, the NFA becomes



The transition table for above transition diagram can be as given below -

States \ Input	1	0
States		
q <sub>0</sub>	{q <sub>0</sub> , q <sub>1</sub> }	q <sub>0</sub>
q <sub>1</sub>	q <sub>2</sub>	-
q <sub>2</sub>	-	q <sub>3</sub>
q <sub>3</sub>	-	q <sub>4</sub>
q <sub>4</sub>	q <sub>4</sub>	q <sub>4</sub>

Consider string 11100,

$$\delta(q_0, 11100) \vdash \delta(q_0, 1100)$$

$$\vdash \delta(q_0, 100)$$

$$\vdash \delta(q_1, 00)$$

Got stuck! As there is no path from  $q_1$  for input symbol 0. We can process string 11100 in another way.

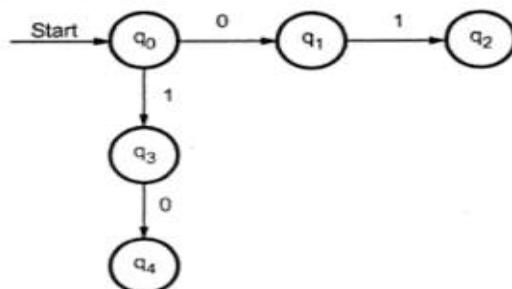
$$\begin{aligned}\delta(q_0, 11100) &\vdash \delta(q_0, 1100) \\ &\vdash \delta(q_1, 100) \\ &\vdash \delta(q_2, 00) \\ &\vdash \delta(q_3, 0) \\ &\vdash \delta(q_4, \epsilon)\end{aligned}$$

As  $q_4$  is accept state we get, the complete string scanned and reaching to final state.

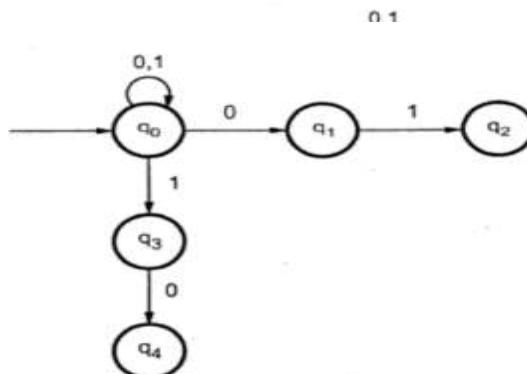
**Example 2.32 :** Design NFA which accepts the string containing either '01' or '10'. Over  $\Sigma = \{0, 1\}$ .

**Solution :** The NFA can be drawn in stages as follows.

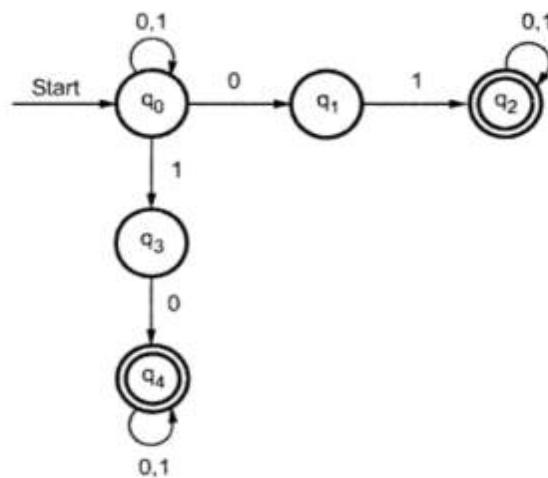
Consider a FA with '01' or '10'.



Now before '01' or '10' there can be any number of 0 and 1. Hence in next stage the FA can be



Now after '01' or '10' there can be any combination of 0 and 1. Hence the **NFA** in its final stage will be -

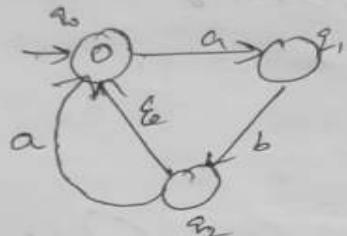
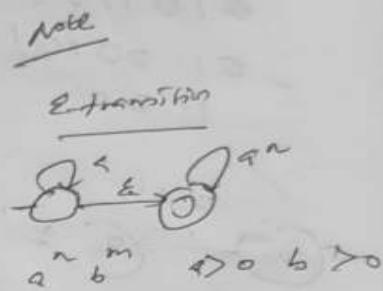
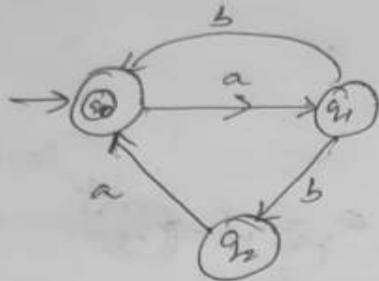


We can design a transition table as

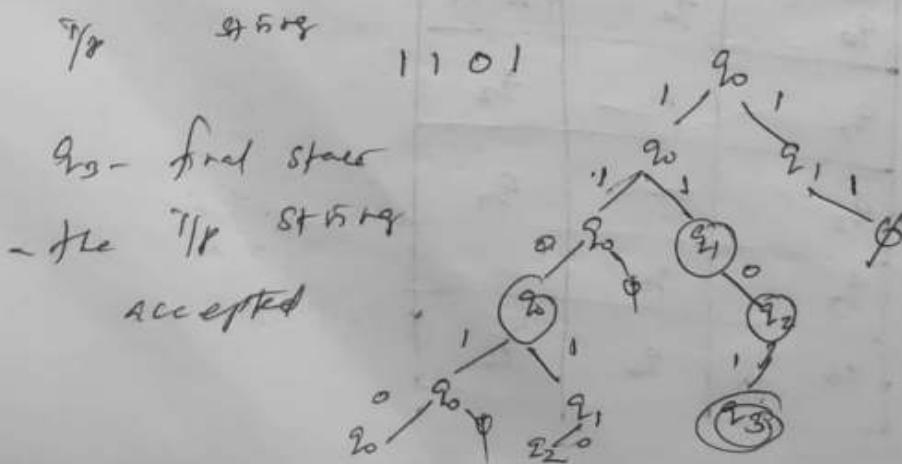
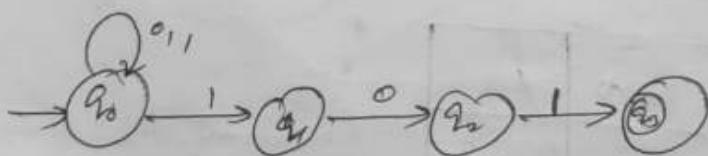
$\delta \{$	Input	0	1	$\} \text{ Input } \Sigma$
States				
$q_0$	$\{q_0, q_1\}$	$\{q_0, q_3\}$		
$q_1$	-		$q_2$	
$q_2$	$q_2$	$q_2$		
$q_3$	$q_4$	-		
$q_4$	$q_4$	$q_4$		

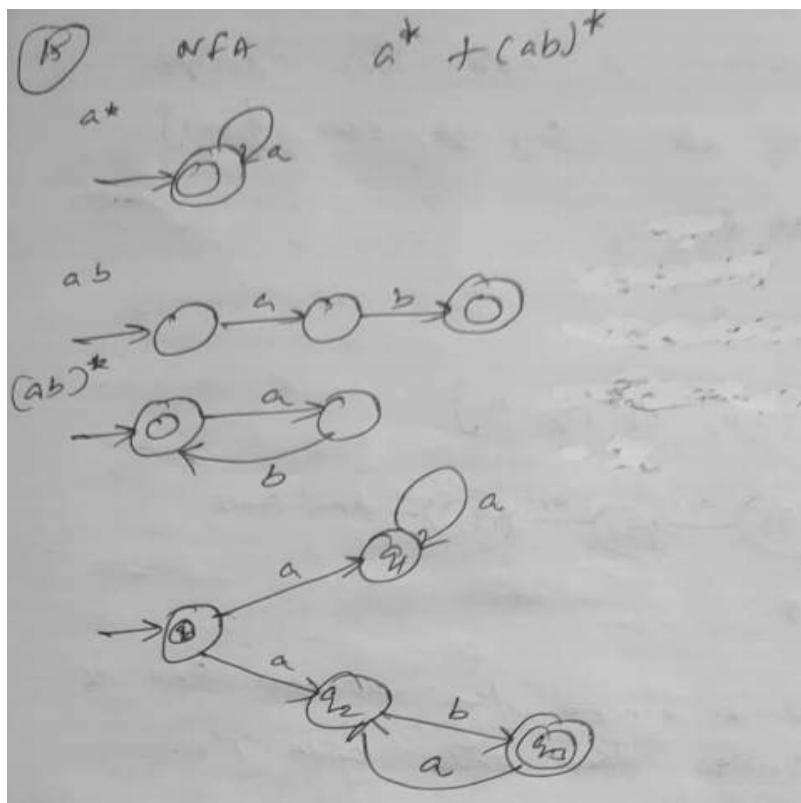
This section focuses on the fundamental aspects of automata theory. We have learnt basic concepts of DFA and **NFA**. Now let us discuss interconversion between them.

(13)  $L = (ab \cup aba)^*$      $\Sigma = \{a, b\}$

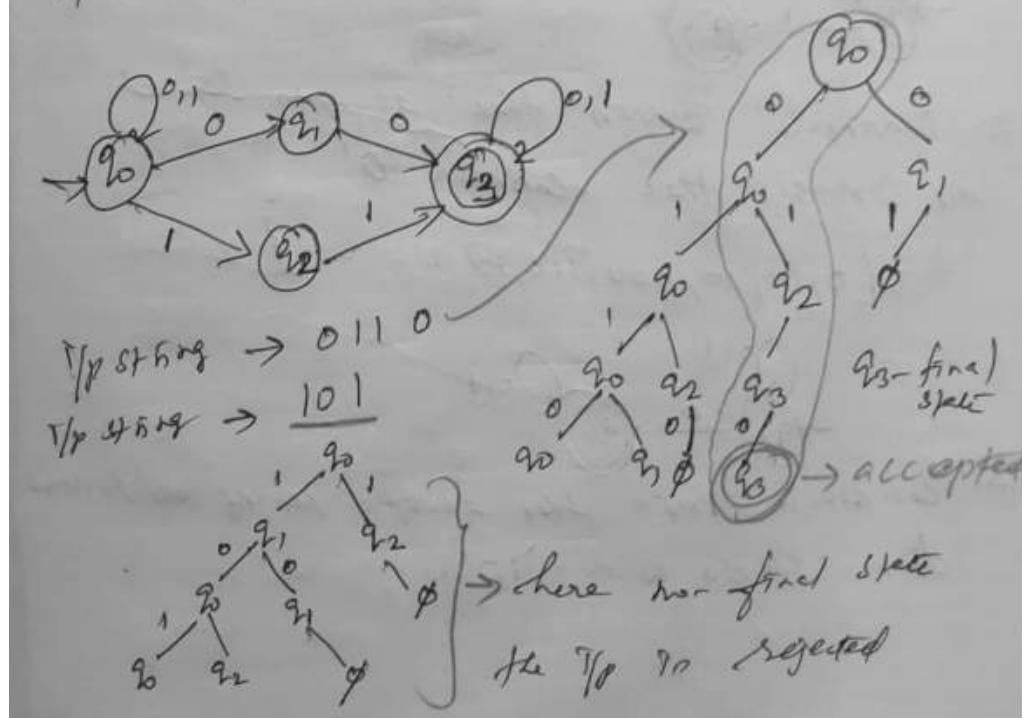


(14)  $L = \text{NFA that accepts all strings ending in '101'}$      $\Sigma = \{0, 1\}$





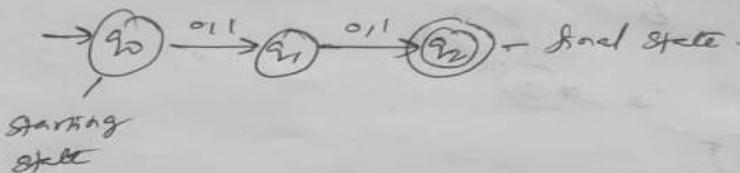
(b) NFA for any binary string  $\Sigma = \{0, 1\}$   
that contains 00 (or) 11 as substring



(17) Construct a NFA that accepts  
sets of all strings over  $\{0, 1\}$   
of length 2.

$$L = \{00, 01, 10, 11\}$$

$$L = \{00, 01, 10, 11\}$$



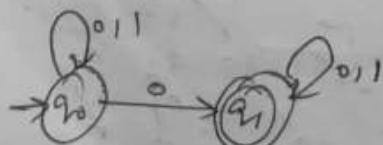
(18) Construct a NFA that accepts sets of  
all strings that ends with '1'.

$$L = \{1, 01, 11, 011, 101, \dots\}$$



(19) Construct a NFA that accepts set of  
all strings that contain '0'

$$L = \{0, 01, 10, 000, 110, 101, \dots\}$$



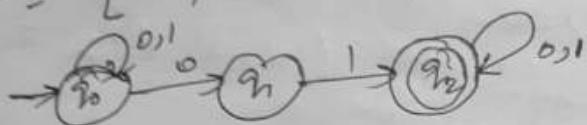
(20) Construct a NFA that accepts set of all strings  
that starts with '10'.

$$L = \{ 10, 100, 101, 1011, \dots \}$$



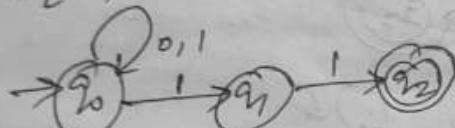
- (21) Construct a NFA that accepts sets of all strings that contain '01'

$$L = \{ 01, 101, 001, 011, 010, \dots \}$$



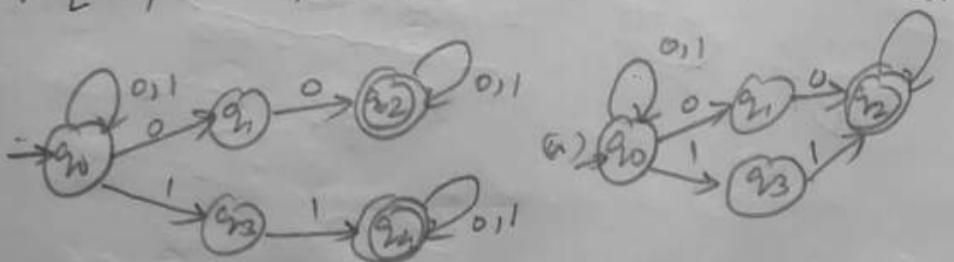
- (22) construct a NFA that accepts sets of all strings that ends with '11'

$$L = \{ 11, 011, 111, \dots \}$$



- (23) construct a NFA that accepts sets of all strings over  $\{0,1\}$  that have at least two consecutive 0's (or) 1's.

$$L = \{ 00, 11, 001, 000, 111, 110, \dots \}$$



## DFA vs NFA

NFA refers to Nondeterministic Finite Automaton. DFA refers to Deterministic Finite Automaton. DFA can be best described and understood as one machine. NFA is like multiple small machines that are performing computational activities at the same time. All DFAs are derived from NFAs. The main difference between **DFA and NFA**, the two classes handling the transition functions of finite automata/ finite automaton theory, impact their behaviour in many ways.

Basis of Difference	DFA	NFA
<b>Reaction to symbols</b>	For each symbolic representation of the alphabet, only a singular state transition can be attained in DFA.	No specifications are needed from the user with respect to how certain symbols impact the NFA.
<b>Empty string transition</b>	DFA is not capable of using an Empty String transition.	NFA can use an empty String transition.
<b>Structure</b>	DFA can be best described and understood as one machine.	NFA is like multiple small machines that are performing computational activities at the same time.
<b>Rejection of string</b>	DFA rejects the string in case it terminates in a state that is different from the accepting state.	NFA rejects the string in the event of all branches dying or refusing the string.
<b>Backtracking</b>	It is possible to use backtracking in DFA.	It is not possible to use backtracking at all times in the case of NFA.
<b>Ease of construction</b>	Given its complex nature, it is tougher to construct DFA.	NFA is more easily constructed in comparison to DFA.
<b>Supremacy</b>	All DFAs are derived from NFAs.	All NFAs are not DFAs.
<b>Transition functions</b>	The number related to the next state is one.	The number related to the next state/ states is either zero or one.
<b>Complexities of time</b>	The total time required for running any input string in DFA is less than what it is in NFA.	The total time required for running any input string in NFA is larger than that in comparison to DFA.
<b>Full form</b>	The full form of DFA is Deterministic Finite Automata.	The full form of NFA is Nondeterministic Finite Automata (NFA).

Basis of Difference	DFA	NFA
Space requirement	More space allocation needed.	Less space needed.
The setting of the next possible set	The next possible state is clearly set in DFA.	In NFA, every single pair of input symbols and states may contain many possible next states.

### TWO MARKS

1.

### **What is a formal language?**

Language is a set of valid strings from some alphabet. The set may be empty, finite or infinite.  $L(M)$  is the language defined by machine  $M$  and  $L(G)$  is the language defined by context free grammar. The two notations for specifying formal languages are:

- Grammar or regular expression (Generative approach)
- Automaton (Recognition approach)

2.

### **What is Automata Theory?**

- Automata theory is the study of abstract machines (or abstract 'mathematical' machines or systems) and the computational problems that can be solved using these machines. These abstract machines are called automata.
- Automata which means that something is doing something by itself. Automata theory is also closely related to formal language theory, as the automata are often classified by the class of formal languages they are able to recognize. An automaton can be a finite representation of a formal language that may be an infinite set.

3.

### **Why are switching circuits called as finite state systems?**

A switching circuit consists of a finite number of gates, each of which can be in any one of the two conditions 0 or 1. Although the voltages assume infinite set of values, the electronic circuitry is designed so that the voltages corresponding to 0 or 1 are stable and all others adjust to these values. Thus control unit of a computer is a finite state system.

4.

### **What are the Operations on strings?**

- Length of string
- Empty or null string
- Concatenation of string
- Reverse of a string
- Powers of an alphabet
- Substring
- Reversal
- Kleene Closure

5.

### **Define alphabets in automata?**

An alphabet is a finite, non-empty set no of symbols. It is denoted by  $\Sigma$ .

**Example:**

- ✓  $\Sigma = \{a, b\}$ , an alphabet of 2 symbols *a* and *b*.
- ✓  $\Sigma = \{0, 1, 2\}$  an alphabet of 3 symbols *0*, *1*, and *2*.

6.

**Define strings with examples?**

A String or Word is a finite sequence of symbols from  $\Sigma$ . The group of characters also referred as a String.

**Example:**

- ✓ *a*, *b*, and *c* are symbols and *abcb* is a string.
- ✓ If  $\Sigma = \{a,b\}$  then *abab*, *aabb*, *aaabb*, ..... are all strings over the alphabet  $\Sigma = \{a,b\}$ .
- ✓ *0*, *1*, *11*, *00*, and *01101* are strings over  $\{0, 1\}$ .

7.

**What is substring with example?**

Let  $x$  and  $y$  be strings over an alphabet  $\Sigma$ .

The string  $x$  is a substring of  $y$  if there exist strings  $w$  and  $z$  over  $\Sigma$  such that  $y = w x z$ .

- $\epsilon$  is a substring of every string.
- For every string  $x$ ,  $x$  is a substring of  $x$  itself.

**Example:**

- $\epsilon$ , comput and computation are substrings of computation.

8.

**Explain the following a. Length of string b. Empty or null string?**

**a. Length of string**

Let  $w$  is a string. Length of the string is  $|w| \Rightarrow$  number of symbols composing the string.

**Example:**

- 1) If  $w=abcd$  then  $|w|=4$
- 2) If  $x=01010110$  the  $|x|=8$
- 3) If  $y= 0101$  the  $|y|=4$
- 4) If  $|\epsilon| = 0$

**b. Empty or null string**

The string consisting of zero symbols. It is denoted by  $\epsilon$ .

**Example:**

$$\{\epsilon\}=0.$$

9.

### **What is meant by Powers of an alphabet?**

Let  $\Sigma$  be the alphabet

$\Sigma^*$  is the set of all strings over alphabet  $\Sigma$ .

$\Sigma^m$  denotes the set of all strings over alphabet  $\Sigma$  of length m.

#### **Example:**

$$\Sigma = \{0, 1\}$$

$\Sigma^0 = \{\epsilon\}$  empty string of length 0.

$\Sigma^1 = \{0, 1\}$  is the set of all strings of length one over  $\Sigma = \{0, 1\}$

$\Sigma^2 = \{00, 01, 10, 11\}$  is the set of all strings of length two over  $\Sigma = \{0, 1\}$ .

10.

### **Define Kleen Closure?**

Let  $\Sigma$  be the alphabet

"Kleen Closure"->  $\Sigma^*$  denotes the set of all strings ( $\Sigma$ ) over the alphabet

#### **Example:**

If  $\Sigma = \{a\}$  the  $\Sigma^* = \{\epsilon, a, aa, aaa, \dots\}$

If  $\Sigma = \{0, 1\}$  the  $\Sigma^* = \{\epsilon, 0, 1, 00, 10, 10, \dots\}$

$\Sigma^0 = \{\epsilon\}$

$\Sigma^1 = \{a\}$

$\Sigma^2 = \{aa\}$

Therefore  $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2, \dots$

11.

### **Define a language.**

An alphabet is a finite set of symbols. A language is a set of strings of symbols from someone alphabet.

e.g. If  $\Sigma = \{0, 1\}$ , then  $\Sigma^* = \{\epsilon, 0, 1, 01, 11, 10, 111, \dots\}$

12.

**List out the applications of automata theory?**

- String Processing
- Analysis of algorithms
- Complexity Theory
- Cryptography
- Compiler Design
- Interpreters
- Parser
- Circuit design
- Robotics
- Artificial Intelligence
- Knowledge Engineering
- Natural language Processing

13.

**What are Finite Automata? Give an example.**

- A finite automaton (FA) consists of a finite set of states and a set of transitions from state to state that occur on input symbols chosen from an alphabet  $\Sigma$ .
- Each input symbol there is exactly one transition out of each state (possibly back to the state itself).
- One State, usually  $q_0$ , is the initial state, in which the automaton starts.
- Some states are designated as final or accepting states.

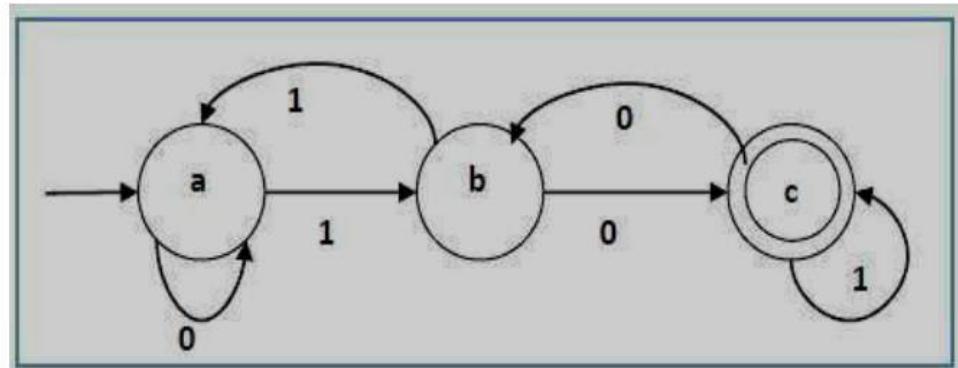
**Example:**

Let a deterministic finite automaton be

1.  $Q = \{a, b, c\}$ ,
2.  $\Sigma = \{0, 1\}$ ,
3.  $q_0 = \{a\}$ ,
4.  $F = \{c\}$ , and
5. Transition function  $\delta$  as shown by the following table

Present State	Next State for Input 0	Next State for Input 1
a	a	b
b	c	a
c	b	c

Its graphical representation would be as follows –



14.

#### How does an FA work?

- At the beginning,
  - ✓ an FA is in the start state (initial state)
  - ✓ its tape head points at the first cell
- For each move, FA
  - ✓ reads the symbol under its tape head
  - ✓ changes its state (according to the transition function) to the next state determined by the symbol read from the tape and its current state
  - ✓ move its tape head to the right one cell

15.

#### . Give the purpose of transition diagram?

A directed graph, called a transition diagram, is associated with an FA as follows:

- The vertices of the graph correspond to the states of the FA.
- If there is a transition from state  $q$  to state  $p$  on input  $a$ , then there is an arc labeled  $a$  from state  $q$  to state  $p$  in the transition diagram.
- The FA accepts a string  $x$  if the sequence of transitions corresponding to the symbols of  $x$  leads from the start state to an accepting state.

16.

#### What is Transition Table?

The transition table is the tabular representation of the transition function “delta ( $\delta$ )” with the rows denoting states and columns denoting input symbol.

17.

**What are the applications of Finite Automata (FA)?**

- Software for designing and checking the behavior of digital circuits.
- Lexical analyzer of a typical compiler.
- Software for scanning large bodies of text (e.g., web pages) for pattern finding.
- Software for verifying systems of all types that have a finite number of states (e.g., stock market transaction, communication/network protocol).

18.

**Write the DFA Specifications?**

Deterministic Finite Automata (DFA) is generally specified by a 5 tuples  $(Q, \Sigma, \delta, q_0, F)$ ,

where

1.  $Q$  is a finite set of states
2.  $\Sigma$  is a finite input alphabet
3.  $q_0$  in  $Q$  is the start state or initial state
4.  $F \subseteq Q$  is the set of final states
5.  $\delta$  is the transition function mapping  $\delta: Q \times \Sigma \rightarrow Q$ . (i.e.)  $\delta(q, a)$  is a state for each state  $q$  and input symbol  $a$ .

19.

**Explain working of DFA?**

1. **Initialisation**
  - Reader (read head) should be over the leftmost symbol.
  - Finite Control is in start state.
2. **Single Step**
  - Reader moves to the next symbol to the right.
  - Control enters a new state that (deterministically) depends on current state and current symbol. There may be no desired next state, Machine will stop.
  - The machine repeats this action.
3. **No current symbol**
  - All Symbols have been read.
  - If control is in final state, the input string is accepted.
  - Otherwise, the input string is not accepted.

20.

### **What are the Applications of DFA?**

- Compiler Design
- Design of Lexical Analyser
- Text Editor
- Pattern Matching
- File searching Program
- Text Processing

21.

### **Define NFA.**

Non-Deterministic Finite Automata (NFA) is generally specified by a 5 tuples  $(Q, \Sigma, \delta, q_0, F)$

Where,

1.  $Q$  is a finite set of states
2.  $\Sigma$  is a finite input alphabet
3.  $q_0$  in  $Q$  is the start state or initial state
4.  $F \subseteq Q$  is the set of final states
5.  $\delta$  is the transition function mapping  $\delta : Q \times \Sigma^* \rightarrow 2^Q$ .

On receiving same inputs it goes to many states

- the machine can move without consuming any symbols and sometimes there are possible moves and sometimes there are move then one possible moves.
- the state is only partially determined by the current state and i/p symbol.

22.

### **What are the difference between NFA and DFA?**

DFA	NFA
<ul style="list-style-type: none"><li>i. For each and every state and for each and every input symbol there exists at most one transition.</li><li>ii. The transition mapping for DFA is <math>Q \times \Sigma \rightarrow Q</math>.</li><li>iii. The language accepted by DFA is denoted as <math>L(M)</math>.</li><li>iv. Epsilon transition is not possible.</li></ul>	<ul style="list-style-type: none"><li>i. For each and every state and for each and every input symbol there exists more than one transition.</li><li>ii. The transition mapping for NFA is <math>Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q</math>.</li><li>iii. The language accepted by NFA is denoted by <math>L(M')</math>.</li><li>iv. Epsilon transition is possible.</li></ul>

23.

### **What are Transition Function and give their properties?**

Changing from one state to another state on applying the inputs

#### **Properties of Transition function:**

1.  $\delta(q, \varepsilon) = q$

This means the state of the system can be changed only by an input symbol else remains in original state.

2. For all strings  $w$  and input symbol  $a$   $\delta(q, aw) = \delta(\delta(q, a), w)$

Similarly  $\delta(q, wa) = \delta(\delta(q, w), a)$

3. The transition function  $\delta$  can be extended to  $\delta$  or  $\delta'$  that operates on states and strings.

Basis:  $\delta(q, \varepsilon) = q$

Induction:  $\delta(q, xa) = \delta(\delta(q, x), a)$

## UNIT-II

### FINITE AUTOMATA

NFA with  $\epsilon$ -transitions - Significance, acceptance of languages. Conversions and Equivalence : Equivalence between NFA with and without  $\epsilon$  transitions, NFA to DFA conversion, minimization of FSM, equivalence between two FSM's. Finite Automata with output-Moore and Melay machines.

#### 2.1 Introduction

In earlier chapter we have learnt the concept of finite automata. We have also discussed the two types of finite automata: DFA and **NFA**. These two types of automata are equivalent. That means we can convert any **NFA** to DFA. These automata are designed to accept some language. Basically there are two types of languages: **regular languages** and **non regular languages**. The finite automata is a mathematical model which always accepts regular languages.

In this chapter, we will discuss the equivalence between **NFA** and DFA. And then we will discuss the logical unit of representing regular language i.e. **Regular expressions**. We will also discuss the relationship between regular expression and finite state machines.

#### 2.2 NFA with $\epsilon$ - Transitions

The  $\epsilon$ -transitions in **NFA** are given in order to move from one state to another without having any symbol from input set  $\Sigma$ .

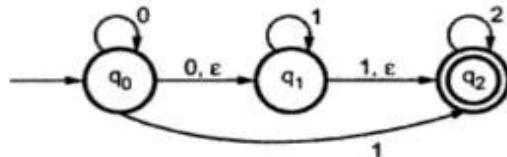


Fig. 2.1 NFA with  $\epsilon$  - transitions

Consider the **NFA with  $\epsilon$**  as :

In this **NFA with  $\epsilon$** ,  $q_0$  is a start state with input 0 one can be either in state  $q_0$  or in state  $q_1$ . If we get at the start a symbol 1 then with  $\epsilon$  - move we can change state from  $q_0$  to  $q_1$  and then with input we can be in state  $q_1$ . On the other hand, from start state  $q_0$ , with input 1 we can reach to state  $q_2$ . Thus it is not definite that on input 1 whether we will be in state  $q_1$  or  $q_2$ . Hence it is called nondeterministic finite automata (**NFA**) and since there are some  $\epsilon$  moves by which we can simply change the states from one state to other. Hence it is called **NFA with  $\epsilon$** .

### 2.2.1 Significance of NFA with $\epsilon$

As construction of DFA is very difficult for certain input set, we construct **NFA**. This **NFA** then can be converted to DFA.  $\epsilon$  is an empty string. The  $\epsilon$  - transitions are used simply to change one state to other. Sometimes to reach to final state we do not get proper state from start state. In such a case we simply want to reach to certain state which leads to final state. Such a transition to that specific state should be without any input symbol. Hence we require some  $\epsilon$  - moves by which a proper state can be obtained for reaching to final state. Thus  $\epsilon$  - moves play an important role in **NFA**.

### 2.2.2 Acceptance of Language

The language  $L$  accepted by **NFA with  $\epsilon$** , denoted by  $M = (Q, \Sigma, \delta, q_0, F)$  can be defined as :

Let,  $M = (Q, \Sigma, \delta, q_0, F)$  be a **NFA with  $\epsilon$** .

Where

$Q$  is a finite set of states.

$\Sigma$  is input set.

$\delta$  is a transition or a mapping function for transitions from  $Q \times \{\Sigma \cup \epsilon\}$  to  $2^Q$ .

$q_0$  is a start state.

$F$  is a set of final states such that  $F \subseteq Q$ .

The string  $w$  in  $L$  accepted by **NFA** can be represented as

$L(M) = \{w \mid w \in \Sigma^* \text{ and } \delta \text{ transition for } w \text{ from } q_0 \text{ reaches to } F\}$

► **Example 2.1 :** Construct **NFA with  $\epsilon$**  which accepts a language consisting the strings of any number of a's followed by any number of b's. Followed by any number of c's.

**Solution :** Here any number of a's or b's or c's means zero or more in number. That means there can be zero or more a's followed by zero or more b's followed by zero or more c's. Hence **NFA with  $\epsilon$**  can be -

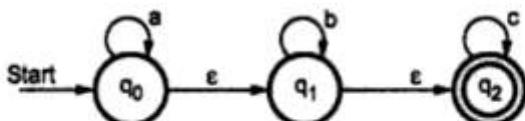


Fig. 2.2

Normally  $\epsilon$ 's are not shown in the input string. The transition table can be -

State \ Input	$\Sigma$			
	a	b	c	$\epsilon$
$q_0$	$q_0$	$\emptyset$	$\emptyset$	$q_1$
$q_1$	$\emptyset$	$q_1$	$\emptyset$	$q_2$
$q_2$	$\emptyset$	$\emptyset$	$q_2$	$\emptyset$

We can parse the string aabbcc as follows -

$$\begin{aligned}
 \delta(q_0, \text{aabbcc}) &\vdash \delta(q_0, \text{abbcc}) \\
 &\vdash \delta(q_0, \text{bbcc}) \\
 &\vdash \delta(q_0, \text{ebbcc}) \\
 &\vdash \delta(q_1, \text{bbcc}) \\
 &\vdash \delta(q_1, \text{bcc}) \\
 &\vdash \delta(q_1, \text{cc}) \\
 &\vdash \delta(q_1, \text{ecc}) \\
 &\vdash \delta(q_2, \text{cc}) \\
 &\vdash \delta(q_2, \text{c}) \\
 &\vdash \delta(q_2, \epsilon)
 \end{aligned}$$

Thus we reach to accept state, after scanning the complete input string.

#### Definition of $\epsilon$ - closure

The  $\epsilon$  - closure ( $p$ ) is a set of all states which are reachable from state  $p$  on  $\epsilon$ -transitions such that :

- $\epsilon$  - closure ( $p$ ) =  $p$  where  $p \in Q$ .
- If there exists  $\epsilon$  - closure ( $p$ ) = { $q$ } and  $\delta(q, \epsilon) = r$  then  
 $\epsilon$  - closure ( $p$ ) = { $q, r$ }

► Example 2.2 : Find  $\epsilon$  - closure for the following NFA with  $\epsilon$ .

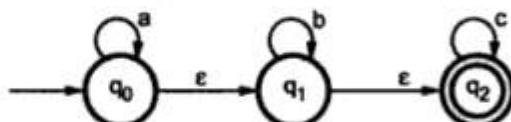


Fig. 2.3

**Solution :**

$\epsilon$  - closure ( $q_0$ ) =  $\{q_0, q_1, q_2\}$  means self state +  $\epsilon$  - reachable states.

$\epsilon$  - closure ( $q_1$ ) =  $\{q_1, q_2\}$  means  $q_1$  is a self state and  $q_2$  is a state obtained from  $q_1$  with  $\epsilon$  input.

$\epsilon$  - closure ( $q_2$ ) =  $\{q_2\}$

## 2.3 Conversions and Equivalence

The NFA with  $\epsilon$  can be converted to NFA without  $\epsilon$ . And this NFA without  $\epsilon$  can be converted to DFA. In this section we will discuss these conversions and will find them equivalent to each other.



Fig. 2.4 Moves to DFA

### 2.3.1 Conversion from NFA with $\epsilon$ to NFA without $\epsilon$

In this method we try to remove all the  $\epsilon$  transitions from given NFA. The method will be

1. Find out all the  $\epsilon$  transitions from each state from  $Q$ . That will be called as  $\epsilon$ -closure  $\{q_i\}$  where  $q_i \in Q$ .
2. Then  $\delta'$  transitions can be obtained. The  $\delta'$  transitions means an  $\epsilon$ -closure on  $\delta$  moves.
3. Step-2 is repeated for each input symbol and for each state of given NFA.
4. Using the resultant states the transition table for equivalent NFA without  $\epsilon$  can be built.

**Theorem :** If  $L$  is accepted by NFA with  $\epsilon$  transitions, then there exist  $L'$  which is accepted by NFA without  $\epsilon$  transitions.

**Theorem :** If  $L$  is accepted by NFA with  $\epsilon$  transitions, then there exist  $L'$  which is accepted by NFA without  $\epsilon$  transitions.

**Proof :**

Let,  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA with  $\epsilon$  transitions.

Construct  $M' = (Q, \Sigma, \delta', q_0, F')$  where

$$F' = \begin{cases} F \cup \{q_0\} & \text{if } \epsilon\text{-closure contains state off} \\ F & \text{otherwise} \end{cases}$$

$M'$  is a NFA without  $\epsilon$  moves. The  $\delta'$  function can be denoted by  $\delta''$  with some input. For example,  $\delta'(q, a) = \delta''(q, a)$  for some  $q$  in  $Q$  and  $a$  from  $\Sigma$ . We will apply the method of induction with input  $x$ . The  $x$  will not be  $\epsilon$  because

$$\delta'(q_0, \epsilon) = \{q_0\}$$

$\delta''(q_0, \epsilon) = \epsilon\text{-closure}(q_0)$ . Therefore we will assume length of string to be 1.

Basis :  $|x| = 1$ . Then  $x$  is a symbol  $a$ .

$$\delta'(q_0, a) = \delta''(q_0, a)$$

Induction :  $|x| > 1$  Let  $x = wa$

$$\delta'(q_0, wa) = \delta(\delta'(q_0, w), a)$$

By inductive hypothesis,

$$\delta'(q_0, w) = \delta''(q_0, w) = p$$

Now we will show that  $\delta'(p, a) = \delta(q_0, wa)$

$$\text{But } \delta'(p, a) = \cup_{q \in p} \delta'(q, a) = \cup_{q \in p} \delta''(q, a)$$

$$q \in p \quad q \in p$$

$$\text{As } p = \delta''(q_0, w)$$

$$\text{We have, } \cup_{q \in p} \delta''(q, a) = \delta''(q_0, wa)$$

Thus by definition  $\delta''$

$$\delta'(q_0, wa) = \delta''(q_0, wa)$$

#### Rule for conversion

$$\delta(q, a) = \epsilon\text{-closure}(\delta(\hat{\delta}(q, \epsilon), a))$$

$$\text{where } \hat{\delta}(q, \epsilon) = \epsilon\text{-closure}(q)$$

Before solving some examples based on conversion of NFA with  $\epsilon$  to NFA without  $\epsilon$  above rule should be remembered.

→ Example 2.3 : Convert the given NFA with  $\epsilon$  to NFA without  $\epsilon$ .

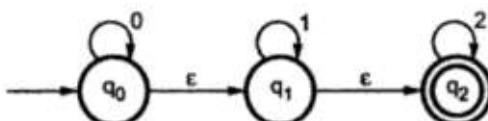


Fig. 2.5

**Solution :** We will first obtain  $\epsilon$ -closure of each state i.e. we will find out  $\epsilon$ -reachable states from current state.

Hence

$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

$$\varepsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\varepsilon\text{-closure}(q_2) = \{q_2\}$$

As  $\varepsilon$ -closure( $q_0$ ) means with null input (no input symbol) we can reach to  $q_0$ ,  $q_1$  or  $q_2$ . In a similar manner for  $q_1$  and  $q_2$   $\varepsilon$ -closures are obtained. Now we will obtain  $\delta$  transitions for each state on each input symbol.

$$\begin{aligned}\delta(q_0, 0) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_0, \varepsilon), 0)) \\&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_0), 0)) \\&= \varepsilon\text{-closure}(\delta(q_0, q_1, q_2), 0) \\&= \varepsilon\text{-closure}(\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0)) \\&= \varepsilon\text{-closure}(\{q_0\} \cup \emptyset \cup \emptyset) \\&= \varepsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} \\ \delta(q_0, 1) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_0, \varepsilon), 1)) \\&= \varepsilon\text{-closure}(\delta(q_0, q_1, q_2), 1) \\&= \varepsilon\text{-closure}(\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1)) \\&= \varepsilon\text{-closure}(\emptyset \cup \{q_1\} \cup \emptyset) \\&= \varepsilon\text{-closure}(q_1)\end{aligned}$$

$$\delta(q_0, 1) = \{q_1, q_2\}$$

$$\begin{aligned}\delta(q_1, 0) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_1, \varepsilon), 0)) \\&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_1), 0)) \\&= \varepsilon\text{-closure}(\delta(q_1, q_2), 0) \\&= \varepsilon\text{-closure}(\delta(q_1, 0) \cup \delta(q_2, 0)) \\&= \varepsilon\text{-closure}(\emptyset \cup \emptyset) \\&= \varepsilon\text{-closure}(\emptyset) \\&= \emptyset\end{aligned}$$

$$\begin{aligned}\delta(q_1, 1) &= \varepsilon\text{-closure}(\delta(\hat{\delta}(q_1, \varepsilon), 1)) \\&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_1), 1)) \\&= \varepsilon\text{-closure}(\delta(q_1, q_2), 1)\end{aligned}$$

$$\begin{aligned}
&= \text{\textit{\varepsilon - closure}}(\delta(q_1, 1) \cup \delta(q_2, 1)) \\
&= \text{\textit{\varepsilon - closure}}(q_1 \cup \phi) \\
&= \text{\textit{\varepsilon - closure}}(q_1) \\
&= \{q_1, q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_2, 0) &= \text{\textit{\varepsilon - closure}}(\delta(\hat{\delta}(q_2, \varepsilon), 0)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(\text{\textit{\varepsilon - closure}}(q_2), 0)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_2, 0)) \\
&= \text{\textit{\varepsilon - closure}}(\phi) \\
&= \phi
\end{aligned}$$

$$\begin{aligned}
\delta(q_2, 1) &= \text{\textit{\varepsilon - closure}}(\delta(\hat{\delta}(q_2, \varepsilon), 1)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(\text{\textit{\varepsilon - closure}}(q_2), 1)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_2, 1)) \\
&= \text{\textit{\varepsilon - closure}}(\phi)
\end{aligned}$$

$$\delta(q_2, 1) = \phi$$

$$\begin{aligned}
\delta(q_0, 2) &= \text{\textit{\varepsilon - closure}}(\delta(\hat{\delta}(q_0, \varepsilon), 2)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(\text{\textit{\varepsilon - closure}}(q_0), 2)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_0, q_1, q_2), 2) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_0, 2) \cup \delta(q_1, 2) \cup \delta(q_2, 2)) \\
&= \text{\textit{\varepsilon - closure}}(\phi \cup \phi \cup q_2) \\
&= \text{\textit{\varepsilon - closure}}(q_2) \\
&= \{q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_1, 2) &= \text{\textit{\varepsilon - closure}}(\delta(\hat{\delta}(q_1, \varepsilon), 2)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(\text{\textit{\varepsilon - closure}}(q_1), 2)) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_1, q_2), 2) \\
&= \text{\textit{\varepsilon - closure}}(\delta(q_1, 2) \cup \delta(q_2, 2))
\end{aligned}$$

$$\begin{aligned}
 &= \varepsilon\text{-closure}(\phi \cup q_2) \\
 &= \{q_2\} \\
 \delta(q_2, 2) &= \varepsilon\text{-closure}(\delta(\delta(q_2, \varepsilon), 2)) \\
 &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), 2)) \\
 &= \varepsilon\text{-closure}(\delta(q_2, 2)) \\
 &= \varepsilon\text{-closure}(q_2) \\
 &= \{q_2\}
 \end{aligned}$$

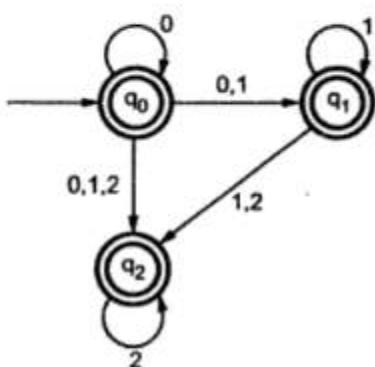
Now we will summarize all the computed  $\delta$  transitions -

$$\begin{array}{lll}
 \delta(q_0, 0) = \{q_0, q_1, q_2\}, \quad \delta(q_0, 1) = \{q_1, q_2\}, \quad \delta(q_0, 2) = \{q_2\} \\
 \delta(q_1, 0) = \phi, \quad \delta(q_1, 1) = \{q_1, q_2\}, \quad \delta(q_1, 2) = \{q_2\} \\
 \delta(q_2, 0) = \phi, \quad \delta(q_2, 1) = \phi, \quad \delta(q_2, 2) = \{q_2\}
 \end{array}$$

From this we can write the transition table as -

State \ Input	0	1	2
State			
(q <sub>0</sub> )	{q <sub>0</sub> , q <sub>1</sub> , q <sub>2</sub> }	{q <sub>1</sub> , q <sub>2</sub> }	{q <sub>2</sub> }
(q <sub>1</sub> )	φ	{q <sub>1</sub> , q <sub>2</sub> }	{q <sub>2</sub> }
(q <sub>2</sub> )	φ	φ	{q <sub>2</sub> }

The NFA will be -



Here q<sub>0</sub>, q<sub>1</sub> and q<sub>2</sub> is a final state because  $\varepsilon$ -closure(q<sub>0</sub>),  $\varepsilon$ -closure(q<sub>1</sub>) and  $\varepsilon$ -closure(q<sub>2</sub>) contains final state q<sub>2</sub>.

Fig. 2.6

Example 2.4 : Convert the following NFA with  $\epsilon$  to NFA without  $\epsilon$

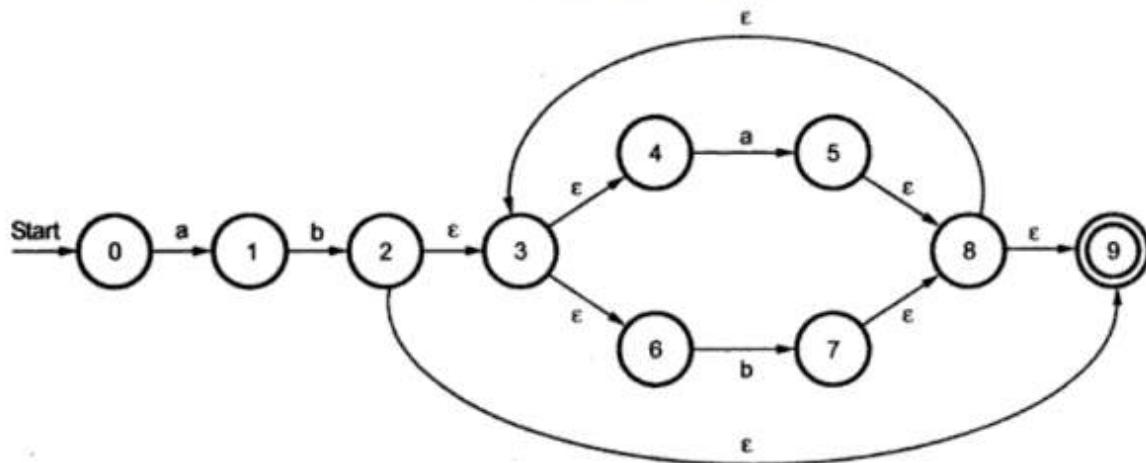


Fig. 2.7

**Solution :** We will first obtain  $\epsilon$  - closure of every state. The  $\epsilon$  - closure is basically an  $\epsilon$  - transition from one state to other. Hence

$$\epsilon\text{-closure (0)} = \{0\}$$

$$\epsilon\text{-closure (1)} = \{1\}$$

$$\epsilon\text{-closure (2)} = \{2, 3, 4, 6, 9\}$$

$$\epsilon\text{-closure (3)} = \{3, 4, 6\}$$

$$\epsilon\text{-closure (4)} = \{4\}$$

$$\epsilon\text{-closure (5)} = \{5, 8, 3, 4, 6, 9\}$$

$$= \{3, 4, 5, 6, 8, 9\} \text{ sorted it!}$$

$$\epsilon\text{-closure (6)} = \{6\}$$

$$\epsilon\text{-closure (7)} = \{7, 8, 3, 4, 6, 9\}$$

$$= \{3, 4, 6, 7, 8, 9\}$$

$$\epsilon\text{-closure (8)} = \{8, 3, 4, 6, 9\}$$

$$= \{3, 4, 6, 8, 9\}$$

$$\epsilon\text{-closure (9)} = \{9\}$$

Now we will obtain  $\delta'$  transitions for each state and for each input symbol.

$$\delta'(0, a) = \epsilon\text{-closure}(\delta(\delta(0, \epsilon), a))$$

$$\begin{aligned}
&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(0), a)) \\
&= \varepsilon\text{-closure}(\delta(0, a)) \\
&= \varepsilon\text{-closure}(1) \\
&= \{1\} \\
\delta(0, b) &= \varepsilon\text{-closure}(\delta(\delta(0, \varepsilon), b)) \\
&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(0), b)) \\
&= \varepsilon\text{-closure}(\delta(0, b)) \\
&= \varepsilon\text{-closure}(\phi) \\
&= \phi \\
\delta(1, a) &= \varepsilon\text{-closure}(\delta(\delta(1, \varepsilon), a)) \\
&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(1), a)) \\
&= \varepsilon\text{-closure}(\delta(1, a)) \\
&= \varepsilon\text{-closure}(\phi) \\
&= \phi \\
\delta(1, b) &= \varepsilon\text{-closure}(\delta(\delta(1, \varepsilon), b)) \\
&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(1), b)) \\
&= \varepsilon\text{-closure}(\delta(1, b)) \\
&= \varepsilon\text{-closure}(2) \\
&= \{2, 3, 4, 6, 9\} \\
\delta(2, a) &= \varepsilon\text{-closure}(\delta(\delta(2, \varepsilon), a)) \\
&= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(2), a)) \\
&= \varepsilon\text{-closure}(\delta(2, 3, 4, 6, 9), a) \\
&= \varepsilon\text{-closure}(\delta(2, a) \cup \delta(3, a) \cup \delta(4, a) \cup \delta(6, a) \cup \delta(9, a)) \\
&= \varepsilon\text{-closure}(\phi \cup \phi \cup 5 \cup \phi \cup \phi) \\
&= \varepsilon\text{-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(2, b) &= \text{\varepsilon-closure}(\delta(\delta(2, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(2), b)) \\
&= \text{\varepsilon-closure}(\delta(2, 3, 4, 6, 9), b) \\
&= \text{\varepsilon-closure}(\delta(2, b) \cup \delta(3, b) \cup \delta(4, b) \cup \delta(6, b) \cup \delta(9, b)) \\
&= \text{\varepsilon-closure}(\emptyset \cup \emptyset \cup \emptyset \cup 7 \cup \emptyset) \\
&= \text{\varepsilon-closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(3, a) &= \text{\varepsilon-closure}(\delta(\delta(3, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(3), a)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 6), a) \\
&= \text{\varepsilon-closure}(\delta(3, a) \cup \delta(4, a) \cup \delta(6, a)) \\
&= \text{\varepsilon-closure}(\emptyset \cup 5 \cup \emptyset) \\
&= \text{\varepsilon-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(3, b) &= \text{\varepsilon-closure}(\delta(\delta(3, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(3), b)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 6), b) \\
&= \text{\varepsilon-closure}(\delta(3, b) \cup \delta(4, b) \cup \delta(6, b)) \\
&= \text{\varepsilon-closure}(\emptyset \cup \emptyset \cup 7) \\
&= \text{\varepsilon-closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(4, a) &= \text{\varepsilon-closure}(\delta(\delta(4, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(4), a)) \\
&= \text{\varepsilon-closure}(\delta(4, a)) \\
&= \text{\varepsilon-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(2, b) &= \text{\varepsilon-closure}(\delta(\delta(2, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(2), b)) \\
&= \text{\varepsilon-closure}(\delta(2, 3, 4, 6, 9), b) \\
&= \text{\varepsilon-closure}(\delta(2, b) \cup \delta(3, b) \cup \delta(4, b) \cup \delta(6, b) \cup \delta(9, b)) \\
&= \text{\varepsilon-closure}(\phi \cup \phi \cup \phi \cup 7 \cup \phi) \\
&= \text{\varepsilon-closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(3, a) &= \text{\varepsilon-closure}(\delta(\delta(3, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(3), a)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 6), a) \\
&= \text{\varepsilon-closure}(\delta(3, a) \cup \delta(4, a) \cup \delta(6, a)) \\
&= \text{\varepsilon-closure}(\phi \cup 5 \cup \phi) \\
&= \text{\varepsilon-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(3, b) &= \text{\varepsilon-closure}(\delta(\delta(3, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(3), b)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 6), b) \\
&= \text{\varepsilon-closure}(\delta(3, b) \cup \delta(4, b) \cup \delta(6, b)) \\
&= \text{\varepsilon-closure}(\phi \cup \phi \cup 7) \\
&= \text{\varepsilon-closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(4, a) &= \text{\varepsilon-closure}(\delta(\delta(4, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(4), a)) \\
&= \text{\varepsilon-closure}(\delta(4, a)) \\
&= \text{\varepsilon-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta'(4, b) &= \text{\varepsilon-closure}(\delta(\hat{\delta}(4, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(4), b)) \\
&= \text{\varepsilon-closure}(\delta(4, b)) \\
&= \text{\varepsilon-closure}(\emptyset) \\
&= \emptyset
\end{aligned}$$

$$\begin{aligned}
\delta(5, a) &= \text{\varepsilon-closure}(\delta(\hat{\delta}(5, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(5), a)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 5, 6, 8, 9), a) \\
&= \text{\varepsilon-closure}(\delta(3, a) \cup \delta(4, a) \cup \delta(5, a) \\
&\quad \cup \delta(6, a) \cup \delta(8, a) \cup \delta(9, a)) \\
&= \text{\varepsilon-closure}(\emptyset \cup 5 \cup \emptyset \cup \emptyset \cup \emptyset \cup \emptyset \cup \emptyset) \\
&= \text{\varepsilon-closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(5, b) &= \text{\varepsilon-closure}(\delta(\hat{\delta}(5, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(5), b)) \\
&= \text{\varepsilon-closure}(\delta(3, 4, 5, 6, 8, 9), b) \\
&= \text{\varepsilon-closure}(\delta(3, b) \cup \delta(4, b) \cup \delta(5, b) \\
&\quad \cup \delta(6, b) \cup \delta(8, b) \cup \delta(9, b)) \\
&= \text{\varepsilon-closure}(\emptyset \cup \emptyset \cup \emptyset \cup 7 \cup \emptyset \cup \emptyset) \\
&= \text{\varepsilon-closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(6, a) &= \text{\varepsilon-closure}(\delta(\hat{\delta}(6, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(6), a)) \\
&= \text{\varepsilon-closure}(\delta(6, a)) \\
&= \text{\varepsilon-closure}(\emptyset) \\
&= \emptyset
\end{aligned}$$

$$\begin{aligned}
\delta(6, b) &= \text{\varepsilon - closure}(\delta(\delta(6, \varepsilon), b)) \\
&= \text{\varepsilon - closure}(\delta(\text{\varepsilon - closure}(6), b)) \\
&= \text{\varepsilon - closure}(\delta(6, b)) \\
&= \text{\varepsilon - closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(7, a) &= \text{\varepsilon - closure}(\delta(\delta(7, \varepsilon), a)) \\
&= \text{\varepsilon - closure}(\delta(\text{\varepsilon - closure}(7), a)) \\
&= \text{\varepsilon - closure}(\delta(3, 4, 6, 7, 8, 9), a) \\
&= \text{\varepsilon - closure}(\delta(3, a) \cup \delta(4, a) \cup \delta(6, a) \cup \delta(7, a) \\
&\quad \cup \delta(8, a) \cup \delta(9, a)) \\
&= \text{\varepsilon - closure}(\phi \cup 5 \cup \phi \cup \phi \cup \phi \cup \phi) \\
&= \text{\varepsilon - closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(7, b) &= \text{\varepsilon - closure}(\delta(\delta(7, \varepsilon), b)) \\
&= \text{\varepsilon - closure}(\delta(\text{\varepsilon - closure}(7), b)) \\
&= \text{\varepsilon - closure}(\delta(3, 4, 6, 7, 8, 9), b) \\
&= \text{\varepsilon - closure}(\delta(3, b) \cup \delta(4, b) \cup \delta(6, b) \cup \delta(7, b) \\
&\quad \cup \delta(8, b) \cup \delta(9, b)) \\
&= \text{\varepsilon - closure}(\phi \cup \phi \cup 7 \cup \phi \cup \phi \cup \phi) \\
&= \text{\varepsilon - closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\}
\end{aligned}$$

$$\begin{aligned}
\delta(8, a) &= \text{\varepsilon - closure}(\delta(\delta(8, \varepsilon), a)) \\
&= \text{\varepsilon - closure}(\delta(\text{\varepsilon - closure}(8), a)) \\
&= \text{\varepsilon - closure}(\delta(3, 4, 6, 8, 9), a) \\
&= \text{\varepsilon - closure}(\delta(3, a) \cup \delta(4, a) \cup \delta(6, a) \cup \delta(8, a) \cup \delta(9, a)) \\
&= \text{\varepsilon - closure}(\phi \cup 5 \cup \phi \cup \phi \cup \phi)
\end{aligned}$$

$$\begin{aligned}
&= \varepsilon - \text{closure}(5) \\
&= \{3, 4, 5, 6, 8, 9\} \\
\delta(8, b) &= \varepsilon - \text{closure}\left(\delta\left(\delta(8, \varepsilon), b\right)\right) \\
&= \varepsilon - \text{closure}\left(\delta(\varepsilon - \text{closure}(8), b)\right) \\
&= \varepsilon - \text{closure}(\delta(3, 4, 6, 8, 9), b) \\
&= \varepsilon - \text{closure}\left(\delta(3, b) \cup \delta(4, b) \cup \delta(6, b) \cup \delta(8, b) \cup \delta(9, b)\right) \\
&= \varepsilon - \text{closure}(\phi \cup \phi \cup 7 \cup \phi \cup \phi) \\
&= \varepsilon - \text{closure}(7) \\
&= \{3, 4, 6, 7, 8, 9\} \\
\delta(9, a) &= \varepsilon - \text{closure}\left(\delta\left(\delta(9, \varepsilon), a\right)\right) \\
&= \varepsilon - \text{closure}\left(\delta(\varepsilon - \text{closure}(9), a)\right) \\
&= \varepsilon - \text{closure}(\delta(9, a)) \\
&= \varepsilon - \text{closure}(\phi) \\
&= \phi \\
\delta(9, b) &= \varepsilon - \text{closure}\left(\delta\left(\delta(9, \varepsilon), b\right)\right) \\
&= \varepsilon - \text{closure}\left(\delta(\varepsilon - \text{closure}(9), b)\right) \\
&= \varepsilon - \text{closure}(\delta(9, b)) \\
&= \varepsilon - \text{closure}(\phi) \\
&= \phi
\end{aligned}$$

Now we will build the transition table using above calculated  $\delta$  transitions.

State \ Input	a	b
State		
{0}	{1}	$\phi$
{1}	$\phi$	{2, 3, 4, 6, 9}
(2)	{3, 4, 5, 6, 8, 9}	{3, 4, 6, 7, 8, 9}
{3}	{3, 4, 5, 6, 8, 9}	{3, 4, 6, 7, 8, 9}

\

{4}	{3, 4, 5, 6, 8, 9}	$\phi$
(5)	{3, 4, 5, 6, 8, 9}	{3, 4, 6, 7, 8, 9}
(6)	$\phi$	{3, 4, 6, 7, 8, 9}
(7)	{3, 4, 5, 6, 8, 9}	{3, 4, 6, 7, 8, 9}
(8)	{3, 4, 5, 6, 8, 9}	{3, 4, 6, 7, 8, 9}
(9)	$\phi$	$\phi$

State {2} is a final state since  $\epsilon$  - closure (2) contains 9 which is actually a final state in given NFA. Similarly state 5, 7, 8 and 9 are final states.

► Example 2.5 : Convert the following NFA with  $\epsilon$  to NFA without  $\epsilon$ .

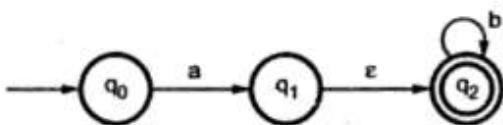


Fig. 2.8

**Solution :** We will first obtain  $\epsilon$  - closures of  $q_0$ ,  $q_1$  and  $q_2$  as follows.

$$\epsilon\text{-closure}(q_0) = \{q_0\}$$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

Now the  $\delta$  transitions on each input symbol is obtained as

$$\begin{aligned}
 \delta(q_0, a) &= \epsilon\text{-closure}(\delta(\delta(q_0, \epsilon), a)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), a)) \\
 &= \epsilon\text{-closure}(\delta(q_0, a)) \\
 &= \epsilon\text{-closure}(q_1) \\
 &= \{q_1, q_2\}
 \end{aligned}$$

$$\begin{aligned}
 \delta(q_0, b) &= \epsilon\text{-closure}(\delta(\delta(q_0, \epsilon), b)) \\
 &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), b)) \\
 &= \epsilon\text{-closure}(\delta(q_0, b)) \\
 &= \phi
 \end{aligned}$$

$$\begin{aligned}
\delta(q_1, a) &= \text{\varepsilon-closure}(\delta(\delta(q_1, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(q_1), a)) \\
&= \text{\varepsilon-closure}(\delta(q_1, q_2), a) \\
&= \text{\varepsilon-closure}(\delta(q_1, a) \cup \delta(q_2, a)) \\
&= \text{\varepsilon-closure}(\phi \cup \phi) \\
&= \phi
\end{aligned}$$

$$\begin{aligned}
\delta(q_1, b) &= \text{\varepsilon-closure}(\delta(\delta(q_1, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(q_1), b)) \\
&= \text{\varepsilon-closure}(\delta(q_1, q_2), b) \\
&= \text{\varepsilon-closure}(\delta(q_1, b) \cup \delta(q_2, b)) \\
&= \text{\varepsilon-closure}(\phi \cup q_2) \\
&= \text{\varepsilon-closure}(q_2) \\
&= \{q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_2, a) &= \text{\varepsilon-closure}(\delta(\delta(q_2, \varepsilon), a)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(q_2), a)) \\
&= \text{\varepsilon-closure}(\delta(q_2, a)) \\
&= \text{\varepsilon-closure}(\phi) \\
&= \phi
\end{aligned}$$

$$\begin{aligned}
\delta(q_2, b) &= \text{\varepsilon-closure}(\delta(\delta(q_2, \varepsilon), b)) \\
&= \text{\varepsilon-closure}(\delta(\text{\varepsilon-closure}(q_2), b)) \\
&= \text{\varepsilon-closure}(\delta(q_2, b)) \\
&= \text{\varepsilon-closure}(q_2) \\
&= \{q_2\}
\end{aligned}$$

The transition table can be -

State \ Input	a	b
State		
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\emptyset$	$\{q_2\}$
$q_2$	$\emptyset$	$\{q_2\}$

States  $q_1$  and  $q_2$  becomes the final as  $\epsilon$ - closures of  $q_1$  and  $q_2$  contains the final state  $q_2$ . The NFA can be shown by following transition diagram -

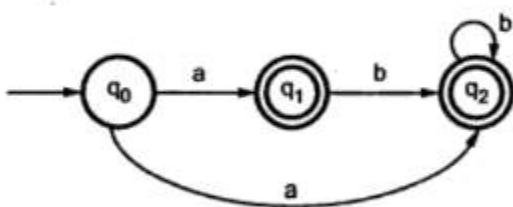


Fig. 2.9

→ Example 2.6 : Convert the given NFA with  $\epsilon$  to ordinary NFA.

Solution :

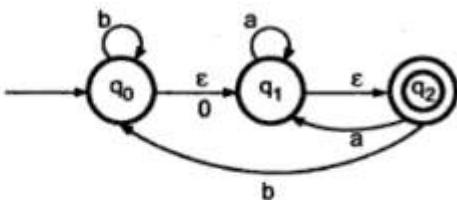


Fig. 2.10

We will first obtain  $\epsilon$ -closure of each state.

$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

Now  $\delta$  transitions for each state on each input.

$$\begin{aligned}\delta(q_0, a) &= \epsilon\text{-closure}(\delta(\delta(q_0, \epsilon), a)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), a))\end{aligned}$$

$$\begin{aligned}
&= \varepsilon - \text{closure}(\delta((q_0, q_1, q_2), a)) \\
&= \varepsilon - \text{closure}(\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a)) \\
&= \varepsilon - \text{closure}(\phi \cup q_1 \cup q_1) \\
&= \varepsilon - \text{closure}(q_1) \\
&= \{q_1, q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_0, b) &= \varepsilon - \text{closure}(\delta(\delta(q_0, \varepsilon), b)) \\
&= \varepsilon - \text{closure}(\delta(\varepsilon - \text{closure}(q_0), b)) \\
&= \varepsilon - \text{closure}(\delta((q_0, q_1, q_2), b)) \\
&= \varepsilon - \text{closure}(\delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b)) \\
&= \varepsilon - \text{closure}(q_0 \cup \phi \cup q_0) \\
&= \varepsilon - \text{closure}(q_0) \\
&= \{q_0, q_1, q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_1, a) &= \varepsilon - \text{closure}(\delta(\delta(q_1, \varepsilon), a)) \\
&= \varepsilon - \text{closure}(\delta(\varepsilon - \text{closure}(q_1), a)) \\
&= \varepsilon - \text{closure}(\delta(\{q_1, q_2\}, a)) \\
&= \varepsilon - \text{closure}(\delta(q_1, a) \cup \delta(q_2, a)) \\
&= \varepsilon - \text{closure}(q_1 \cup q_1) \\
&= \varepsilon - \text{closure}(q_1) \\
&= \{q_1, q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_1, b) &= \varepsilon - \text{closure}(\delta(\delta(q_1, \varepsilon), b)) \\
&= \varepsilon - \text{closure}(\delta(\varepsilon - \text{closure}(q_1), b)) \\
&= \varepsilon - \text{closure}(\delta((q_1, q_2), b)) \\
&= \varepsilon - \text{closure}(\delta(q_1, b) \cup \delta(q_2, b)) \\
&= \varepsilon - \text{closure}(\phi \cup q_0) \\
&= \{q_0, q_1, q_2\}
\end{aligned}$$

$$\begin{aligned}
\delta(q_2, a) &= \varepsilon - \text{closure}(\delta(\delta(q_2, \varepsilon), a)) \\
&= \varepsilon - \text{closure}(\delta(\varepsilon - \text{closure}(q_2), a))
\end{aligned}$$

$$\begin{aligned}
&= \varepsilon - \text{closure}(\delta(q_2, a)) \\
&= \varepsilon - \text{closure}(q_1) \\
&= \{q_1, q_2\} \\
\delta(q_2, b) &= \varepsilon - \text{closure}(\delta(\hat{\delta}(q_2, \varepsilon), b)) \\
&= \varepsilon - \text{closure}(\delta(\varepsilon - \text{closure}(q_2), b)) \\
&= \varepsilon - \text{closure}(\delta(q_2, b)) \\
&= \varepsilon - \text{closure}(q_0) \\
&= \{q_0, q_1, q_2\}
\end{aligned}$$

The transition table can be -

	a	b
$\rightarrow$	$q_0$	$\{q_1, q_2\}$
	$q_1$	$\{q_1, q_2\}$
	$\circled{q_2}$	$\{q_1, q_2\}$

## 2.4 NFA to DFA Conversion

As we have discussed, the finite automata can either be DFA or NFA. You might be thinking now, who is better NFA or DFA. Which has more power? Here is a theorem which tell you that any NFA can be converted to its equivalent DFA. That is any language L acceptable by NFA can be acceptable by its equivalent DFA. The basic idea in this theorem is that, DFA keeps track of all the states, that NFA could be in reading the same input as the DFA has read.

Let us see the theorem.

**Theorem :** Let L be a set accepted by non-deterministic finite automation. Then there exists a deterministic finite automation that accepts L.

**Proof :** Let

$M = (Q, \Sigma, \delta, q_0, F)$  be an NFA for language L. Then define DFA  $M'$  such that

$$M' = (Q', \Sigma, \delta', q'_0, F')$$

The states of  $M'$  are all the subset of  $M$ . The  $Q' = 2^Q$ .

$F'$  be the set of all the final states in  $M$ .

The elements in  $Q'$  will be denoted by  $[q_1, q_2, q_3, \dots, q_i]$  and the elements in  $Q$  are denoted by  $\{q_0, q_1, q_2, \dots\}$ . The  $[q_1, q_2, \dots, q_i]$  will be assumed as one state in  $Q'$  if in the NFA  $q_0$  is a initial state it is denoted in DFA as  $q'_0 = [q_0]$ . We define,

$$\delta'([q_1, q_2, q_3, \dots, q_i], a) = [p_1, p_2, p_3, \dots, p_j]$$

if and only if,

$$\delta(\{q_1, q_2, q_3, \dots, q_i\}, a) = \{p_1, p_2, p_3, \dots, p_j\}$$

This means that whenever in NFA, at the current states  $\{q_1, q_2, q_3, \dots, q_i\}$  if we get input  $a$  and it goes to the next states  $\{p_1, p_2, \dots, p_j\}$  then while constructing DFA for it the current state is assumed to be  $[q_1, q_2, q_3, \dots, q_i]$ . At this state, the input is  $a$  and the next is assumed to be  $[p_1, p_2, \dots, p_j]$ . On applying  $\delta$  function on each of the states  $q_1, q_2, q_3, \dots, q_i$  the new states may be any of the states from  $\{p_1, p_2, \dots, p_j\}$ . The theorem can be proved with the induction method by assuming length of input string  $x$

$$\delta'(q'_0, x) = [q_1, q_2, \dots, q_i]$$

if and only if,

$$\delta(q_0, x) = \{q_1, q_2, q_3, \dots, q_i\}$$

**Basis :** If length of input string is 0

i.e.  $|x| = 0$ , that means  $x$  is  $\epsilon$  then  $q'_0 = [q_0]$

**Induction :** If we assume that the hypothesis is true for the input string of length  $m$  or less than  $m$ . Then if  $x a$  is a string of length  $m+1$ . Then the function  $\delta'$  could be written as

$$\delta'(q'_0, x a) = \delta'(\delta(q_0, x), a)$$

By the induction hypothesis,

$$\delta(q_0, x) = [p_1, p_2, \dots, p_j]$$

if and only if,

$$\delta(q_0, x) = \{p_1, p_2, p_3, \dots, p_j\}$$

By definition of  $\delta'$

$$\delta'([p_1, p_2, \dots, p_j], a) = [r_1, r_2, \dots, r_k]$$

if and only if,

$$\delta(\{p_1, p_2, \dots, p_j\}, a) = \{r_1, r_2, \dots, r_k\}$$

Thus

$$\delta'(q'_0, x a) = [r_1, r_2, \dots, r_k]$$

if and only if

$$\delta(q_0, x a) = \{r_1, r_2, \dots, r_k\}$$

is shown by inductive hypothesis.

Thus  $L(M) = L(M')$

With the help of this theorem, let us try to solve few examples.

### Conversion from NFA to DFA

We will discuss the method of converting NFA to its equivalent DFA.

Let,  $M = (Q, \Sigma, \delta, q_0, F)$  is a NFA which accepts the language  $L(M)$ . There should be equivalent DFA denoted by  $M' = (Q', \Sigma', \delta', q'_0, F')$  such that  $L(M) = L(M')$ .

The conversion method will follow following steps -

- 1) The start state of NFA M will be the start for DFA  $M'$ . Hence add  $q_0$  of NFA (start state) to  $Q'$ . Then find the transitions from this start state.
- 2) For each state  $[q_1, q_2, q_3, \dots, q_i]$  in  $Q'$  the transitions for each input symbol  $\Sigma$  can be obtained as,
  - i)  $\delta'([q_1, q_2, \dots, q_i], a) = \delta(q_1, a) \cup \delta(q_2, a) \cup \dots \cup \delta(q_i, a)$   
 $= [q_1, q_2, \dots, q_k]$  may be some state.
  - ii) Add the state  $[q_1, q_2, \dots, q_k]$  to DFA if it is not already added in  $Q'$ .
  - iii) Then find the transitions for every input symbol from  $\Sigma$  for state  $[q_1, q_2, \dots, q_k]$ . If we get some state  $[q_1, q_2, \dots, q_n]$  which is not in  $Q'$  of DFA then add this state to  $Q'$ .
  - iv) If there is no new state generating then stop the process after finding all the transitions.
- 3) For the state  $[q_1, q_2, \dots, q_n] \in Q'$  of DFA if any one state  $q_i$  is a final state of NFA then  $[q_1, q_2, \dots, q_n]$  becomes a final state. Thus the set of all the final states  $\in F'$  of DFA.

→ Example 2.7 : Let  $M = (\{q_0, q_1\}, \{0,1\}, \delta, q_0, \{q_1\})$

be NFA where  $\delta(q_0, 0) = \{q_0, q_1\}, \delta(q_0, 1) = \{q_1\}$

$\delta(q_1, 0) = \emptyset, \delta(q_1, 1) = \{q_0, q_1\}$

Construct its equivalent DFA.

**Solution :** Let the DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$

Now, the  $\delta'$  function will be computed as follows -

$$\text{As } \delta(q_0, 0) = \{q_0, q_1\} \quad \delta([q_0], 0) = [q_0, q_1]$$

As in **NFA** the initial state is  $q_0$ , the DFA will also contain the initial state  $[q_0]$ .

Let us draw the transition table for  $\delta$  function for a given **NFA**.

		Input	0	1	
		State			
$\delta(q_0, 0)$	$\Rightarrow$	$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$	$\Leftarrow \delta(q_0, 1)$
$\delta(q_1, 0)$	$\Rightarrow$	( $q_1$ )	$\emptyset$	$\{q_0, q_1\}$	$\Leftarrow \delta(q_1, 1)$

#### **δ Function for NFA**

From the transition table we can compute that there are  $[q_0]$ ,  $[q_1]$ ,  $[q_0, q_1]$  states for its equivalent DFA. We need to compute the transition from state  $[q_0, q_1]$ .

$$\begin{aligned}\delta(\{q_0, q_1\}, 0) &= \delta(q_0, 0) \cup \delta(q_1, 0) \\ &= \{q_0, q_1\} \cup \emptyset \\ &= \{q_0, q_1\}\end{aligned}$$

$$\text{So, } \delta([q_0, q_1], 0) = [q_0, q_1]$$

Similarly,

$$\begin{aligned}\delta(\{q_0, q_1\}, 1) &= \delta(q_0, 1) \cup \delta(q_1, 1) \\ &= \{q_1\} \cup \{q_0, q_1\} \\ &= \{q_0, q_1\}\end{aligned}$$

$$\text{So, } \delta([q_0, q_1], 1) = [q_0, q_1]$$

As in the given **NFA**  $q_1$  is a final state, then in DFA wherever  $q_1$  exists that state becomes a final state. Hence in the DFA final states are  $[q_1]$  and  $[q_0, q_1]$ . Therefore set of final states  $F = \{[q_1], [q_0, q_1]\}$ .

The equivalent DFA is -

State \ Input	0	1
State		
$\rightarrow q_0$	$[q_0, q_1]$	$[q_1]$
$(q_1)$	$\phi$	$[q_0, q_1]$
$[q_0, q_1]$	$[q_0, q_1]$	$[q_0, q_1]$

Transition table for equivalent DFA

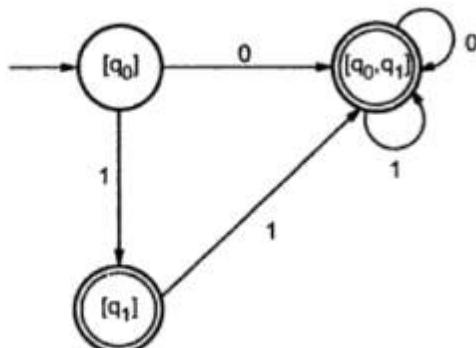


Fig. 2.11

Even we can change the names of the states of DFA.

$$A = [q_0]$$

$$B = [q_1]$$

$$C = [q_0, q_1]$$

With these new names the DFA will be as follows -

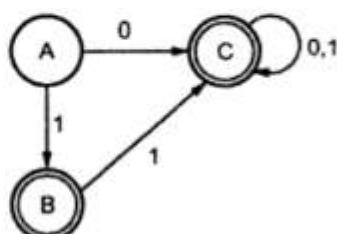


Fig. 2.12 An equivalent DFA

Example 2.8 : Convert the given NFA to DFA.

State \ Input	0	1
State		
$\rightarrow q_0$	$\{q_0, q_1\}$	$q_0$
$q_1$	$q_2$	$q_1$
$q_2$	$q_3$	$q_3$
$q_3$	$\emptyset$	$q_2$

Solution : As we know, first we will compute  $\delta'$  function.

$$\delta(\{q_0\}, 0) = \{q_0, q_1\}$$

$$\text{Hence } \delta'([q_0], 0) = [q_0, q_1]$$

Similarly,

$$\delta(\{q_0\}, 1) = \{q_0\}$$

$$\text{Hence } \delta'([q_0], 1) = [q_0]$$

Thus we have got a new state  $[q_0, q_1]$ .

Let us check how it behaves on input 0 and 1.

So,

$$\begin{aligned}\delta'([q_0, q_1], 0) &= \delta([q_0], 0) \cup \delta([q_1], 0) \\ &= \{q_0, q_1\} \cup \{q_2\} \\ &= \{q_0, q_1, q_2\}\end{aligned}$$

Hence a new state is generated i.e.  $[q_0, q_1, q_2]$

Similarly

$$\begin{aligned}\delta'([q_0, q_1], 1) &= \delta([q_0], 1) \cup \delta([q_1], 1) \\ &= \{q_0\} \cup \{q_1\} \\ &= \{q_0, q_1\}\end{aligned}$$

No new state is generated here.

Again  $\delta'$  function will be computed for  $[q_0, q_1, q_2]$ , the new state being generated.

State	0	1
$[q_0]$	$[q_0, q_1]$	$[q_0]$
$[q_0, q_1]$	$[q_0, q_1, q_2]$	$[q_0, q_1]$
$[q_0, q_1, q_2]$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_3]$

As, you have observed in the above table for a new state  $[q_0, q_1, q_2]$  the input 0 will give a new state  $[q_0, q_1, q_2, q_3]$  and input 1 will give a new state  $[q_0, q_1, q_3]$ , because

$$\begin{aligned}
 \delta([q_0, q_1, q_2], 0) &= \delta([q_0], 0) \cup \delta([q_1], 0) \cup \delta([q_2], 0) \\
 &= \{q_0, q_1\} \cup \{q_2\} \cup \{q_3\} \\
 &= \{q_0, q_1, q_2, q_3\} \\
 &= [q_0, q_1, q_2, q_3]
 \end{aligned}$$

Same procedure for input 1. Thus the final DFA is as given below.

State	0	1
$\rightarrow [q_0]$	$[q_0, q_1]$	$[q_0]$
$(q_1)$	$[q_2]$	$[q_1]$
$[q_2]$	$[q_3]$	$[q_3]$
$[q_3]$	$\emptyset$	$[q_2]$
$[q_0, q_1]$	$[q_0, q_1, q_2]$	$[q_0, q_1]$
$(q_0, q_1, q_2)$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_3]$
$(q_0, q_1, q_3)$	$[q_0, q_1, q_2]$	$[q_0, q_1, q_2]$
$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_2, q_3]$

DFA for example 2.8

Example 2.9 :Construct DFA equivalent to the given NFA.

State \ Input	0	1
State		
$\rightarrow p$	{p, q}	p
q	r	r
r	s	-
s	s	s

Solution : The NFA M =  $\{p, q, r, s\}, \{0, 1\}, \delta(p), \{s\}$

The equivalent DFA will be constructed.

State \ Input	0	1
State		
$\rightarrow [p]$	[p, q]	[p]
[q]	[r]	[r]
[r]	[s]	-
[s]	[s]	[s]
[p, q]	[p, q, r]	[p, r]

Continuing with the generated new states.

State \ Input	0	1
State		
$\rightarrow [p]$	[p, q]	[p]
[q]	[r]	[r]
[r]	[s]	-
([s])	[s]	[s]
[p, q]	[p, q, r]	[p, r]
[p, q, r]	[p, q, r, s]	[p, r]
[p, r]	[p, q, s]	[p]
([p, q, r, s])	[p, q, r, s]	[p, r, s]
([p, q, s])	[p, q, r, s]	[p, r, s]
([p, r, s])	[p, q, s]	[p, s]
([p, s])	[p, q, s]	[p, s]

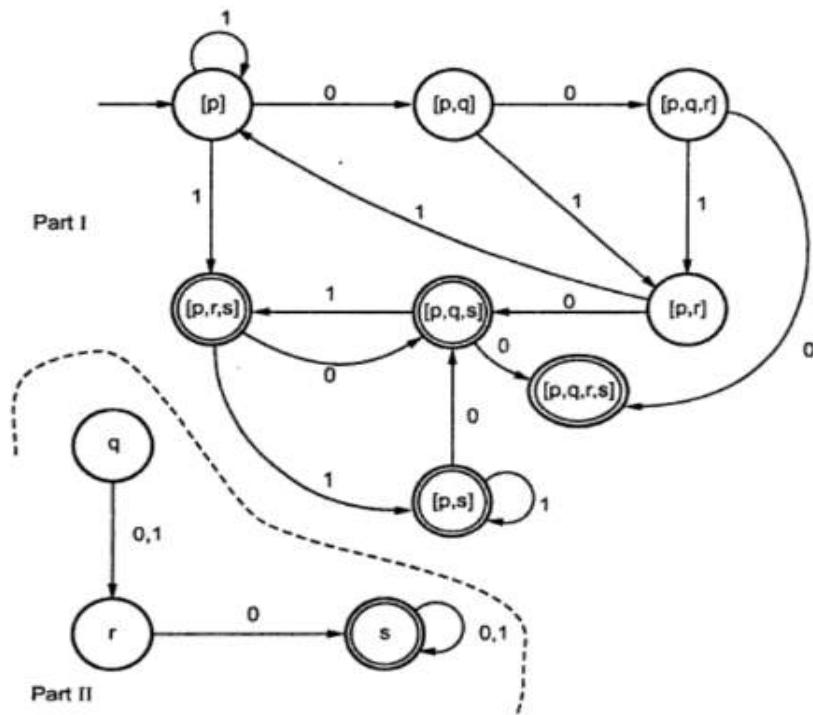


Fig. 2.14

► Example 2.11 : Convert the following **NFA** into **DFA**.

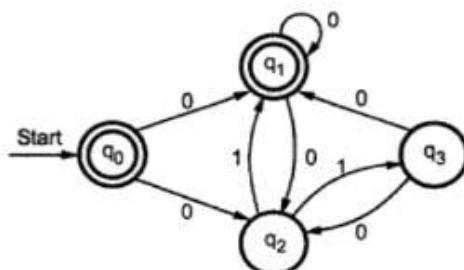


Fig. 2.17

**Solution :** We will first design a transition table from given transition diagram.

State \ Input	0	1
State		
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\emptyset$
$q_2$	$\emptyset$	$\{q_1, q_3\}$
$q_3$	$\{q_1, q_2\}$	$\emptyset$

$$\text{Now, } \delta(q_0, 0) = \{q_1, q_2\}$$

We can write this as

$$\delta([q_0], 0) = [q_1, q_2]$$

Here  $[q_1, q_2]$  becomes one newly generated state when 0 input is received in state  $[q_0]$

Similarly

$$\delta([q_0], 1) = \emptyset \text{ No state getting generated.}$$

$$\delta(q_1, 0) = \{q_1, q_2\} \text{ we can write it as}$$

$$\delta([q_1], 0) = [q_1, q_2]$$

$$\delta([q_1], 1) = \emptyset$$

$$\delta([q_2], 0) = \emptyset$$

$$\delta(q_2, 1) = \{q_1, q_3\}$$

$$\text{i.e. } \delta([q_2], 1) = [q_1, q_3] \text{ again a new state } [q_1, q_3] \text{ gets generated.}$$

Similarly,

$$\delta([q_3], 0) = [q_1, q_2]$$

$$\delta([q_3], 1) = \emptyset$$

Now we will obtain  $\delta$  transitions on newly generated states  $[q_1, q_2]$  and  $[q_1, q_3]$ .

$$\begin{aligned}\delta([q_1, q_2], 0) &= \delta([q_1], 0) \cup \delta([q_2], 0) \\ &= \{q_1, q_2\} \cup \emptyset \\ &= \{q_1, q_2\}\end{aligned}$$

$$\therefore \delta([q_1, q_2], 0) = [q_1, q_2]$$

Similarly,

$$\begin{aligned}\delta([q_1, q_2], 1) &= \delta([q_1], 1) \cup \delta([q_2], 1) \\ &= \emptyset \cup \{q_1, q_3\} \\ &= \{q_1, q_3\}\end{aligned}$$

$$\therefore \delta([q_1, q_2], 1) = [q_1, q_3]$$

$$\begin{aligned}\text{Now, } \delta([q_1, q_3], 0) &= \delta([q_1], 0) \cup \delta([q_3], 0) \\ &= \{q_1, q_2\} \cup \{q_1, q_2\} \\ &= \{q_1, q_2\}\end{aligned}$$

$$\therefore \delta([q_1, q_3], 0) = [q_1, q_2]$$

Similarly,

$$\begin{aligned}\delta([q_1, q_3], 1) &= \delta([q_1], 1) \cup \delta([q_3], 1) \\&= \phi \cup \phi \\&= \phi \\∴ \delta([q_1, q_3], 1) &= \phi\end{aligned}$$

As now no new states are getting generated.

The transition table for DFA using above  $\delta$  transitions is

State \ Input	0	1
State		
$[q_0]$	$[q_1, q_2]$	$\phi$
$[q_1]$	$[q_1, q_2]$	$\phi$
$[q_2]$	$\phi$	$[q_1, q_3]$
$[q_3]$	$[q_1, q_2]$	$\phi$
$[q_1, q_2]$	$[q_1, q_2]$	$[q_1, q_3]$
$[q_1, q_3]$	$[q_1, q_2]$	$\phi$

The transition diagram can be -

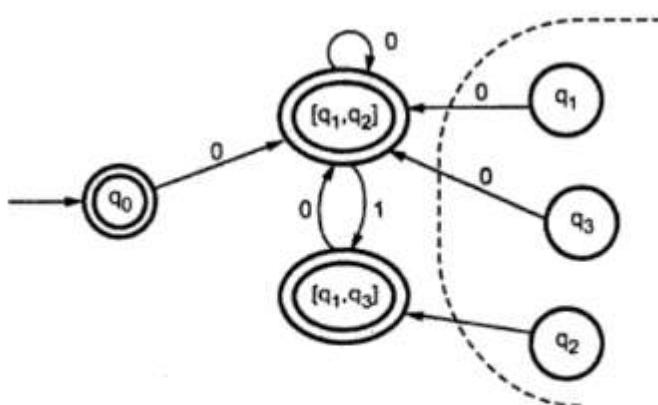


Fig. 2.18

As we can see the marked part of DFA consisting of  $q_1, q_2, q_3$  is of no use since there is no path from start state to these states. Hence we will never reach to these states. So we can eliminate these states. Hence new DFA becomes.

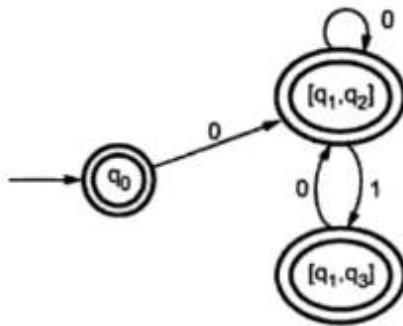


Fig. 2.19

As states  $q_0$  and  $q_1$  are final states original NFA states containing  $q_1$  i.e.  $[q_1, q_3]$  and  $[q_1, q_2]$  are also final states.

► Example 2.12 : Convert the following NFA into its equivalent DFA. Also recognize the language generated by this DFA.

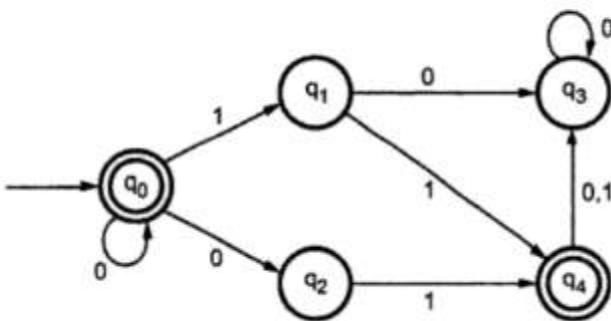


Fig. 2.20

**Solution :** We will first design the transition table from given transition diagram.

State \ Input	0	1
State		
$q_0$	$\{q_0, q_2\}$	$q_1$
$q_1$	$q_3$	$q_4$
$q_2$	$\emptyset$	$q_4$
$q_3$	$q_3$	$\emptyset$
$q_4$	$q_3$	$q_3$

$$\begin{aligned}
\delta([q_0], 0) &= [q_0, q_2] \text{ new state generated} \\
\delta([q_0], 1) &= [q_1] \\
\delta([q_1], 0) &= [q_3] \\
\delta([q_1], 1) &= [q_4] \\
\delta([q_2], 0) &= \emptyset \\
\delta([q_2], 1) &= [q_4] \\
\delta([q_3], 0) &= [q_3] \\
\delta([q_3], 1) &= \emptyset \\
\delta([q_4], 0) &= [q_3] \\
\delta([q_4], 1) &= [q_3]
\end{aligned}$$

Now we will obtain input transitions on  $[q_0, q_2]$ .

$$\begin{aligned}
\delta([q_0, q_2], 0) &= \delta([q_0], 0) \cup \delta([q_2], 0) \\
&= \{q_0, q_2\} \cup \emptyset \\
&= \{q_0, q_2\}
\end{aligned}$$

$$\text{i.e. } \delta([q_0, q_2], 0) = [q_0, q_2]$$

Similarly,

$$\begin{aligned}
\delta([q_0, q_2], 1) &= \delta([q_0], 1) \cup \delta([q_2], 1) \\
&= [q_1, q_4] \text{ new state generated.}
\end{aligned}$$

We will obtain  $\delta$  transitions for new state  $[q_1, q_4]$ .

$$\begin{aligned}
\delta([q_1, q_4], 0) &= \delta([q_1], 0) \cup \delta([q_4], 0) \\
&= \{q_3\} \cup \{q_3\} \\
&= \{q_3\}
\end{aligned}$$

$$\therefore \delta([q_1, q_4], 0) = [q_3]$$

$$\text{Now } \delta([q_1, q_4], 1) = \delta([q_1], 1) \cup \delta([q_4], 1)$$

$$\begin{aligned}
&= \{q_4\} \cup \{q_3\} \\
&= \{q_4, q_3\}
\end{aligned}$$

$$\therefore \delta([q_1, q_4], 1) = [q_4, q_3] \text{ new state generated.}$$

We will obtain  $\delta$  transitions for new state  $[q_4, q_3]$

$$\begin{aligned}
 \delta([q_4, q_3], 0) &= \delta([q_4], 0) \cup \delta([q_3], 0) \\
 &= \{q_3\} \cup \{q_3\} \\
 &= \{q_3\} \\
 \therefore \delta([q_4, q_3], 0) &= [q_3]
 \end{aligned}$$

Similarly,

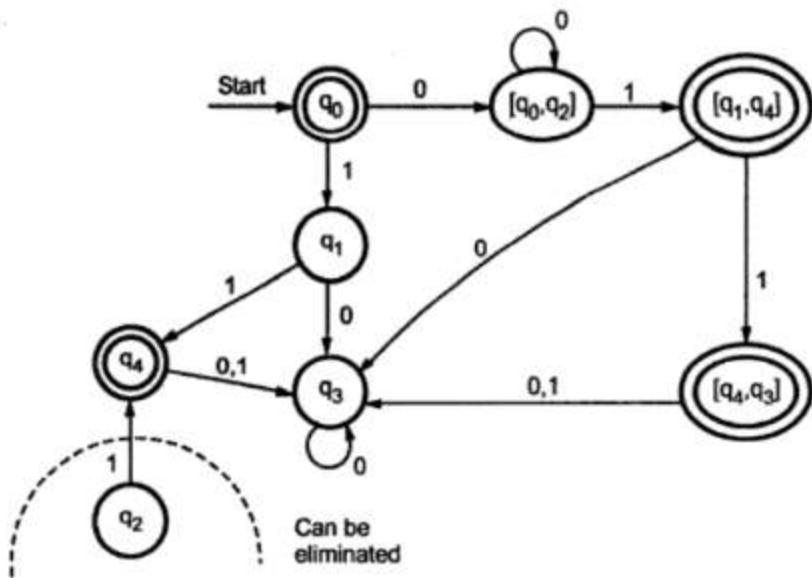
$$\begin{aligned}
 \delta([q_4, q_3], 1) &= \delta([q_4], 1) \cup \delta([q_3], 1) \\
 &= \{q_3\} \cup \emptyset \\
 &= \{q_3\}. \\
 \therefore \delta([q_4, q_3], 1) &= [q_3]
 \end{aligned}$$

Now since no new state is being generated.

We will now design the transition diagrams from the above  $\delta$  transitions.

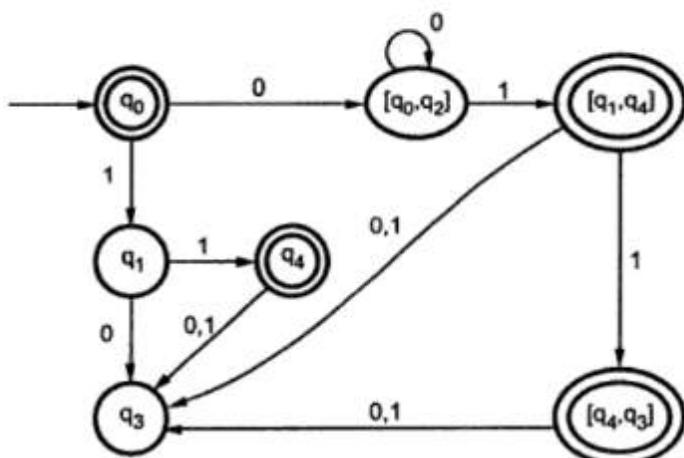
State	Input	
	0	1
$[q_0]$	$[q_0, q_2]$	$[q_1]$
$[q_1]$	$[q_3]$	$[q_4]$
$[q_2]$	$\emptyset$	$[q_4]$
$[q_3]$	$[q_3]$	$\emptyset$
$[q_4]$	$[q_3]$	$[q_3]$
$[q_0, q_2]$	$[q_0, q_2]$	$[q_1, q_4]$
$[q_1, q_4]$	$[q_3]$	$[q_4, q_3]$
$[q_4, q_3]$	$[q_3]$	$[q_3]$

The states  $q_0$  and  $q_4$  are basically final states. Hence newly generated states containing  $q_4$  are final states. Therefore  $[q_1, q_4]$  and  $[q_4, q_3]$  are final states. Now the transition diagram for DFA are -



**Fig. 2.21**

The DFA will then be -



**Fig. 2.22**

This is a language accepting '11' or  $0^n 11$  where  $n \geq 0$ . That means it is a language of any number of zeros followed by 11 or 1.

⇒ **Example 2.13 :** For the following state transition table draw the state transition diagram. Find its equivalent machine. For the string abbaaab test whether both give same result or not.  $q_0$  is initial state and  $q_3$  is a final state.

$q/\Sigma$	0	1
$q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_1, q_3$
$q_2$	$\phi$	$\phi$
$q_3$	$q_0, q_3$	$q_3$

**Solution :** The transition diagram for the given transition table can be -

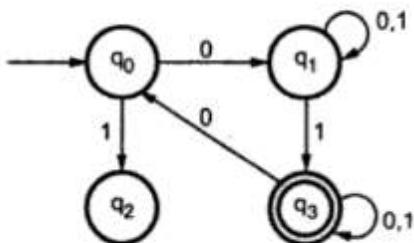


Fig. 2.23

This is clearly a non deterministic Finite Automata.

Now we will convert it to an equivalent DFA.

$$\delta(q_0, 0) = \{q_1\}$$

$$\therefore \delta([q_0], 0) = [q_1]$$

$$\text{Similarly, } \delta([q_0], 1) = [q_2]$$

$$\delta([q_1], 0) = \{q_1\}$$

$$= [q_1]$$

$$\delta([q_1], 1) = \{q_1, q_3\}$$

$$= [q_1, q_3] \leftarrow \text{new state generated.}$$

$$\delta([q_2], 0) = \emptyset$$

$$\delta([q_2], 1) = \emptyset$$

$$\delta([q_3], 0) = [q_0, q_3] \leftarrow \text{new state generated.}$$

$$\delta([q_3], 1) = [q_3]$$

Now we will obtain  $\delta$  transitions on the new states generated.

$$\begin{aligned}
 \delta([q_1, q_3], 0) &= \delta([q_1], 0) \cup \delta([q_3], 0) \\
 &= \{q_1\} \cup \{q_0, q_3\} \\
 &= \{q_0, q_1, q_3\} \\
 &= [q_0, q_1, q_3] \leftarrow \text{new state generated.} \\
 \delta([q_1, q_3], 1) &= \delta([q_1], 1) \cup \delta([q_3], 1) \\
 &= \{q_1, q_3\} \cup \{q_3\} \\
 &= \{q_1, q_3\} \\
 &= [q_1, q_3] \\
 \delta([q_0, q_3], 0) &= \delta([q_0], 0) \cup \delta([q_3], 0) \\
 &= \{q_1\} \cup \{q_0, q_3\} \\
 &= \{q_0, q_1, q_3\} \\
 &= [q_0, q_1, q_3] \\
 \delta([q_0, q_3], 1) &= \delta([q_0], 1) \cup \delta([q_3], 1) \\
 &= \{q_2\} \cup \{q_3\} \leftarrow \text{new state generated.} \\
 &= [q_2, q_3]
 \end{aligned}$$

Again we get two more new states  $[q_0, q_1, q_3]$  and  $[q_2, q_3]$ . Hence we will obtain  $\delta$  transitions on them

$$\begin{aligned}
 \delta([q_0, q_1, q_3], 0) &= \delta([q_0], 0) \cup \delta([q_1], 0) \cup \delta([q_3], 0) \\
 &= \{q_1\} \cup \{q_1\} \cup \{q_0, q_3\} \\
 &= \{q_0, q_1, q_3\} \\
 &= [q_0, q_1, q_3] \\
 \delta([q_0, q_1, q_3], 1) &= \delta([q_0], 1) \cup \delta([q_1], 1) \cup \delta([q_3], 1) \\
 &= \{q_2\} \cup \{q_1\} \cup \{q_3\} \\
 &= [q_1, q_2, q_3] \leftarrow \text{new state generated} \\
 \delta([q_2, q_3], 0) &= \delta([q_2], 0) \cup \delta([q_3], 0) \\
 &= \emptyset \cup \{q_0, q_3\} \\
 &= [q_0, q_3] \\
 \delta([q_2, q_3], 1) &= \delta([q_2], 1) \cup \delta([q_3], 1)
 \end{aligned}$$

$$\begin{aligned}
 &= \phi \cup \{q_3\} \\
 &= \{q_3\} \\
 &= [q_3]
 \end{aligned}$$

The  $\delta$  transition on new state  $[q_1, q_2, q_3]$  is -

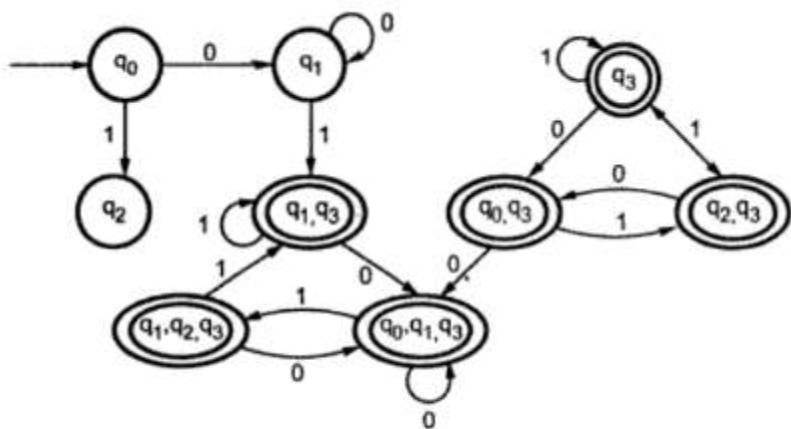
$$\begin{aligned}
 \delta([q_1, q_2, q_3], 0) &= \delta([q_1], 0) \cup \delta([q_2], 0) \cup \delta([q_3], 0) \\
 &= \{q_1\} \cup \phi \cup \{q_0, q_3\} \\
 &= \{q_0, q_1, q_3\} \\
 &= [q_0, q_1, q_3]
 \end{aligned}$$

$$\begin{aligned}
 \delta([q_1, q_2, q_3], 1) &= \delta([q_1], 1) \cup \delta([q_2], 1) \cup \delta([q_3], 1) \\
 &= \{q_1, q_3\} \cup \phi \cup \{q_3\} \\
 &= \{q_1, q_3\} \\
 &= [q_1, q_3]
 \end{aligned}$$

From the above computed  $\delta$  transitions we can build the transition table as -

$q / \Sigma$	0	1
$[q_0]$	$[q_1]$	$[q_2]$
$[q_1]$	$[q_1]$	$[q_0, q_3]$
$[q_2]$	$\phi$	$\phi$
$[q_3]$	$[q_0, q_3]$	$[q_3]$
$[q_0, q_3]$	$[q_0, q_1, q_3]$	$[q_1, q_3]$
$[q_0, q_3]$	$[q_0, q_1, q_3]$	$[q_2, q_3]$
$[q_2, q_3]$	$[q_0, q_3]$	$[q_3]$
$[q_0, q_1, q_3]$	$[q_0, q_1, q_3]$	$[q_1, q_2, q_3]$
$[q_1, q_2, q_3]$	$[q_0, q_1, q_3]$	$[q_1, q_3]$

The DFA can be represented using following transition diagram.



**Fig. 2.24**

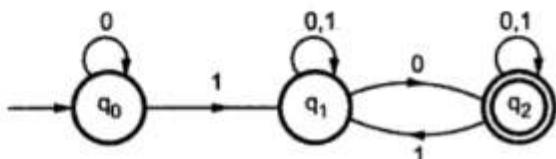
To simulate string abbaaab we use above DFA. Assume input 0 = a and input 1 = b.  
Then

$$\begin{aligned}
 \delta(q_0, \text{abbaaab}) &\vdash a \ q_1 \text{ bbaaab} \\
 &\vdash ab(q_1, q_3) \text{ bbaaab} \\
 &\vdash abb(q_1, q_3) \text{ baaab} \\
 &\vdash abbb(q_1, q_3) \text{ aaab} \\
 &\vdash abbba(q_0, q_1, q_3) \text{ aab} \\
 &\vdash abbbba(q_0, q_1, q_3) \text{ ab} \\
 &\vdash abbbaaa(q_0, q_1, q_3) \text{ b} \\
 &\vdash abbbaaab(q_1, q_2, q_3) \\
 \end{aligned}$$

is in final state.

Thus the given input can be accepted by a DFA.

► **Example 2.14 :** Convert the given NFA to DFA.



**Fig. 2.25**

**Solution : Conversion of NFA to DFA -**

For the given transition diagram in Fig. 2.25 we will first construct the transition table.

State / $\Sigma$	0	1
$q_0$	$q_0$	$q_1$
$q_1$	$\{q_1, q_2\}$	$q_1$
$q_2$	$\{q_2\}$	$\{q_1, q_2\}$

Now we will obtain  $\delta$  transitions for each state.

$$\begin{aligned}\delta([q_0], 0) &= \{q_0\} \\ &= [q_0]\end{aligned}$$

$$\begin{aligned}\delta([q_0], 1) &= \{q_1\} \\ &= [q_1]\end{aligned}$$

$$\begin{aligned}\delta([q_1], 0) &= \{q_1, q_2\} \\ &= [q_1, q_2] \rightarrow \text{new state generated.}\end{aligned}$$

$$\delta([q_1], 1) = \{q_1\} = [q_1]$$

$$\begin{aligned}\delta([q_2], 0) &= \{q_2\} \\ &= [q_2]\end{aligned}$$

$$\begin{aligned}\delta([q_2], 1) &= \{q_1, q_2\} \\ &= [q_1, q_2]\end{aligned}$$

Now we will obtain  $\delta$  transitions on  $[q_1, q_2]$

$$\begin{aligned}\delta([q_1, q_2], 0) &= \delta([q_1], 0) \cup \delta([q_2], 0) \\ &= \{q_1, q_2\} \cup \{q_2\} \\ &= \{q_1, q_2\} \\ &= [q_1, q_2]\end{aligned}$$

$$\begin{aligned}\delta([q_1, q_2], 1) &= \delta([q_1], 1) \cup \delta([q_2], 1) \\ &= \{q_1\} \cup \{q_1, q_2\} \\ &= [q_1, q_2]\end{aligned}$$

The state  $[q_1, q_2]$  is final state as well because it contains a final state  $q_2$ . The transition table for the constructed DFA will be -

$\rightarrow$	State / $\Sigma$	0	1
	$[q_0]$	$[q_0]$	$[q_1]$
	$[q_1]$	$[q_1, q_2]$	$[q_1]$
	$(q_2)$	$[q_2]$	$[q_1, q_2]$
	$[q_1, q_2]$	$[q_1, q_2]$	$[q_1, q_2]$

The transition diagram will be -

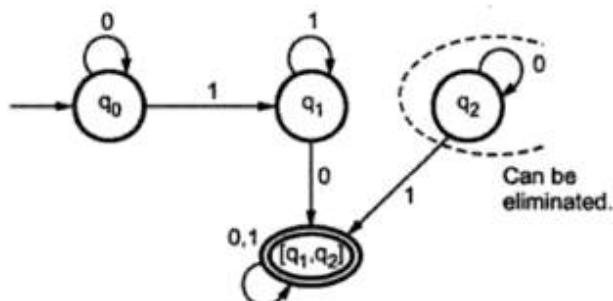


Fig. 2.26

Consider a string 0010 we will get -

#### Using NFA

```

 $q_0 \ 0010 \vdash 0q_00110$ 
 $\vdash 00q_0110$ 
 $\vdash 001q_110$ 
 $\vdash 0011q_10$ 
 $\vdash 00110q_2 \text{ or } \vdash 00110q_1$ 
    Accept      non-accept.
  
```

#### Using DFA

```

 $q_000110 \vdash 0q_00110$ 
 $\vdash 00q_0110$ 
 $\vdash 001q_110$ 
 $\vdash 0011q_10$ 
  
```

$\vdash 00110 [q_1, q_2]$

Accept state.

Thus both the NFA and DFA accept the same string 00110.

#### 2.4.1 Conversion of NFA with $\epsilon$ to DFA

We have already seen the method of converting NFA with  $\epsilon$  to NFA without  $\epsilon$ . Now we will learn how to convert NFA with  $\epsilon$  to its equivalent DFA. In this method we first convert NFA with  $\epsilon$  to NFA without  $\epsilon$ . Then the NFA without  $\epsilon$  can be converted to its equivalent DFA.

**Method for converting NFA with  $\epsilon$  to DFA**

**Step 1 :** Consider  $M = (Q, \Sigma, \delta, q_0, F)$  is a NFA with  $\epsilon$ . We have to convert this NFA with  $\epsilon$  to equivalent DFA denoted by

$$M_D = (Q_D, \Sigma, \delta_D, q_0, F_D)$$

Then obtain,

$\epsilon$ -closure( $q_0$ ) =  $\{p_1, p_2, p_3, \dots, p_n\}$  then  $[p_1, p_2, p_3, \dots, p_n]$  becomes a start state of DFA.

Now  $[p_1, p_2, p_3, \dots, p_n] \in Q_D$

**Step 2 :** We will obtain  $\delta$  transitions on  $[p_1, p_2, p_3, \dots, p_n]$  for each input.

$$\delta_D([p_1, p_2, \dots, p_n], a) = \epsilon\text{-closure}(\delta(p_1, a) \cup \delta(p_2, a) \cup \dots \cup \delta(p_n, a))$$

$$= \bigcup_{i=1}^n \epsilon\text{-closure } \delta(p_i, a)$$

where  $a$  is input  $\in \Sigma$ .

**Step 3 :** The states obtained  $[p_1, p_2, p_3, \dots, p_n] \in Q_D$ . The states containing final state in  $p_i$  is a final state in DFA.

Now let us see some examples of conversion based on this procedure.

► Example 2.15 : Convert the following NFA with  $\epsilon$  to equivalent DFA.

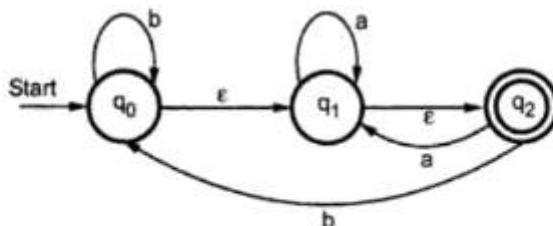


Fig. 2.27

**Solution :** To convert this **NFA** we will first find  $\epsilon$ -closures.

$$\epsilon\text{-closures } \{q_0\} = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closures } \{q_1\} = \{q_1, q_2\}$$

$$\epsilon\text{-closures } \{q_2\} = \{q_2\}$$

Let us start from  $\epsilon$ -closure of start state

$$\epsilon\text{-closure } \{q_0\} = \{q_0, q_1, q_2\} \text{ we will call this state as A.}$$

Now let us find transitions on A **with** every input symbol.

$$\begin{aligned}\delta'(A, a) &= \epsilon\text{-closure } (\delta(A, a)) \\ &= \epsilon\text{-closure } (\delta(q_0, q_1, q_2), a) \\ &= \epsilon\text{-closure } \{\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a)\} \\ &= \epsilon\text{-closure } \{q_1\} \\ &= \{q_1, q_2\}. \text{ Let us call it as state B.}\end{aligned}$$

$$\begin{aligned}\delta'(A, b) &= \epsilon\text{-closure } (\delta(A, b)) \\ &= \epsilon\text{-closure } (\delta(q_0, q_1, q_2), b) \\ &= \epsilon\text{-closure } \{\delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b)\} \\ &= \epsilon\text{-closure } \{q_0\} \\ &= \{q_0, q_1, q_2\} \text{ i.e. A.}\end{aligned}$$

Hence we can state that

$$\delta'(A, a) = B$$

$$\delta'(A, b) = A$$

Now let us find transitions for state  $B = \{q_1, q_2\}$

$$\begin{aligned}\delta'(B, a) &= \epsilon\text{-closure } (\delta(q_1, q_2), a) \\ &= \epsilon\text{-closure } \{q_1\} \\ &= \{q_1, q_2\} \text{ i.e. B} \\ \delta'(B, b) &= \epsilon\text{-closure } (\delta(q_1, q_2), b) \\ &= \epsilon\text{-closure } \{\delta(q_1, b) \cup \delta(q_2, b)\} \\ &= \epsilon\text{-closure } \{q_0\} \\ &= \{q_0, q_1, q_2\} \text{ i.e. A.}\end{aligned}$$

Hence the generated DFA is

	a	b
	B	A
A		
B	B	A

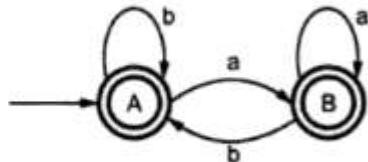


Fig. 2.28

→ Example 2.16 : Convert the given NFA into its equivalent DFA -

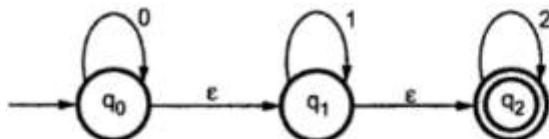


Fig. 2.29

Solution : Let us obtain  $\epsilon$ -closure of each state.

$$\epsilon\text{-closure } (q_0) = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closure } (q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure } (q_2) = \{q_2\}$$

Now we will obtain  $\delta'$  transition. Let  $\epsilon$ -closure  $(q_0) = \{q_0, q_1, q_2\}$  call it as state A.

$$\begin{aligned} \delta'(A, 0) &= \epsilon\text{-closure } \{\delta((q_0, q_1, q_2), 0)\} \\ &= \epsilon\text{-closure } \{\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0)\} \\ &= \epsilon\text{-closure } \{q_0\} \\ &= \{q_0, q_1, q_2\} \quad \text{i.e. state A} \end{aligned}$$

$$\begin{aligned} \delta'(A, 1) &= \epsilon\text{-closure } \{\delta((q_0, q_1, q_2), 1)\} \\ &= \epsilon\text{-closure } \{\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1)\} \\ &= \epsilon\text{-closure } \{q_1\} \\ &= \{q_1, q_2\} \quad \text{Call it as state B} \end{aligned}$$

$$\delta'(A, 2) = \epsilon\text{-closure } \{\delta((q_0, q_1, q_2), 2)\}$$

$$\begin{aligned}
 &= \text{e-closure } \{\delta(q_0, 2) \cup \delta(q_1, 2) \cup \delta(q_2, 2)\} \\
 &= \text{e-closure } \{q_2\} \\
 &= \{q_2\} \quad \text{Call it as state C.}
 \end{aligned}$$

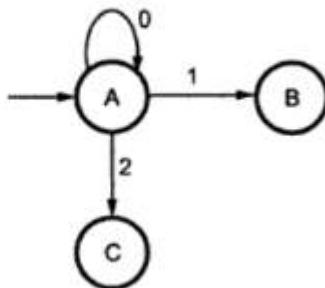
Thus we have obtained

$$\delta'(A, 0) = A$$

$$\delta'(A, 1) = B$$

$$\delta'(A, 2) = C$$

i.e.



Now we will find transitions on states B and C for each input.

Hence

$$\begin{aligned}
 \delta'(B, 0) &= \text{e-closure } \{\delta(q_1, q_2), 0\} \\
 &= \text{e-closure } \{\delta(q_1, 0) \cup \delta(q_2, 0)\} \\
 &= \text{e-closure } \{\emptyset\} \\
 &= \emptyset
 \end{aligned}$$

$$\begin{aligned}
 \delta'(B, 1) &= \text{e-closure } \{\delta(q_1, q_2), 1\} \\
 &= \text{e-closure } \{\delta(q_1, 1) \cup \delta(q_2, 1)\} \\
 &= \text{e-closure } \{q_1\} \\
 &= \{q_1, q_2\} \text{ i.e state B itself.}
 \end{aligned}$$

$$\begin{aligned}
 \delta'(B, 2) &= \text{e-closure } \{\delta(q_1, q_2), 2\} \\
 &= \text{e-closure } \{\delta(q_1, 2) \cup \delta(q_2, 2)\} \\
 &= \text{e-closure } \{q_2\} \\
 &= \{q_2\} \text{ i.e state C.}
 \end{aligned}$$

Hence

$$\delta'(B, 0) = \phi$$

$$\delta'(B, 1) = B$$

$$\delta'(B, 2) = C$$

The partial transition diagram will be

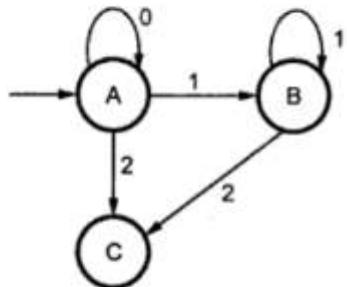


Fig. 2.31

Now we will obtain transitions for C :

$$\begin{aligned}\delta'(C, 0) &= \text{e-closure } \{\delta(q_2, 0)\} \\ &= \text{e-closure } \{\phi\} \\ &= \phi\end{aligned}$$

$$\begin{aligned}\delta'(C, 1) &= \text{e-closure } \{\delta(q_2, 1)\} \\ &= \text{e-closure } \{\phi\} \\ &= \phi\end{aligned}$$

$$\begin{aligned}\delta'(C, 2) &= \text{e-closure } \{\delta(q_2, 2)\} \\ &= q_2\end{aligned}$$

Hence the DFA is

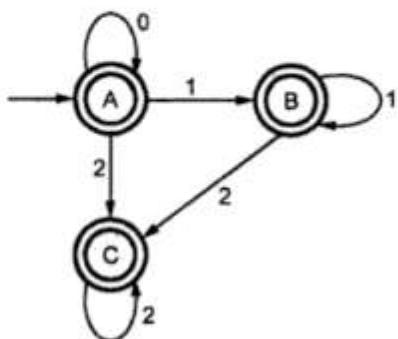


Fig. 2.32

As  $A = \{q_0, q_1, q_2\}$  in which final state  $q_2$  lies hence  $A$  is final state in  $B = \{q_1, q_2\}$  the state  $q_2$  lies hence  $B$  is also final state in  $C = \{q_2\}$ , the state  $q_2$  lies hence  $C$  is also a final state.

Example 2.17 : Convert the given NFA with  $\epsilon$  to its equivalent DFA.

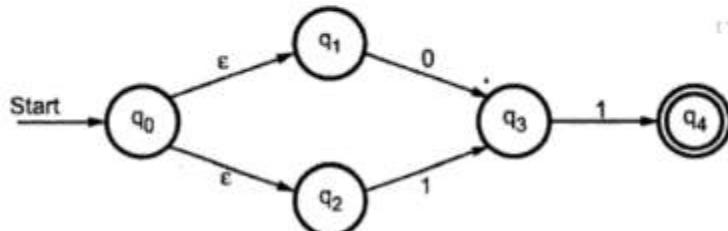


Fig. 2.33

**Solution :**

$$\epsilon\text{-closure } \{q_0\} = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closure } \{q_1\} = \{q_1\}$$

$$\epsilon\text{-closure } \{q_2\} = \{q_2\}$$

$$\epsilon\text{-closure } \{q_3\} = \{q_3\}$$

$$\epsilon\text{-closure } \{q_4\} = \{q_4\}$$

Now, Let  $\epsilon\text{-closure } \{q_0\} = \{q_0, q_1, q_2\}$  be state  $A$ .

$$\text{Hence } \delta'(A, 0) = \epsilon\text{-closure } \{\delta(\{q_0, q_1, q_2\}, 0)\}$$

$$= \epsilon\text{-closure } \{\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0)\}$$

$$= \epsilon\text{-closure } \{q_3\}$$

$$= \{q_3\} \text{ call it as state } B.$$

$$\delta'(A, 1) = \epsilon\text{-closure } \{\delta(\{q_0, q_1, q_2\}, 1)\}$$

$$= \epsilon\text{-closure } \{\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1)\}$$

$$= \epsilon\text{-closure } \{q_3\}$$

$$= q_3 = B.$$

The partial DFA will be

Now,

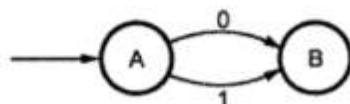


Fig. 2.34

$$\begin{aligned}
 \delta'(B, 0) &= \text{e-closure } \{\delta(q_3, 0)\} \\
 &= \emptyset \\
 \delta'(B, 1) &= \text{e-closure } \{\delta(q_3, 1)\} \\
 &= \text{e-closure } \{q_4\} \\
 &= \{q_4\} \text{ i.e. state C} \\
 \delta'(C, 0) &= \text{e-closure } \{\delta(q_4, 0)\} \\
 &= \emptyset \\
 \delta'(C, 1) &= \text{e-closure } \{\delta(q_4, 1)\} \\
 &= \emptyset
 \end{aligned}$$

The DFA will be,

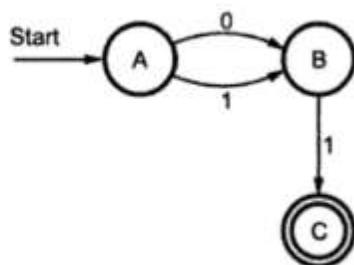


Fig. 2.35

→ Example 2.18 : Consider following NFA with e.

State \ Input	a	b	c	e
State				
p	{p}	{q}	{r}	$\emptyset$
q	{q}	{r}	$\emptyset$	{p}
r	{r}	$\emptyset$	{p}	{q}

Convert it to its equivalent DFA.

Solution : We will first compute e - closure for start state p.

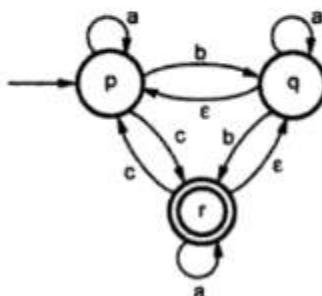


Fig. 2.36

$\epsilon$  - closure (p) = {p} call it as state A.

Now we will obtain  $\delta$  transitions on state A.

**State A**

$$\begin{aligned}\delta(A, a) &= \epsilon\text{-closure}(\delta(A, a)) \\ &= \epsilon\text{-closure}(\delta(p, a)) \\ &= \epsilon\text{-closure}(p) \\ &= \{p\} \text{ i.e. state A only.} \\ \delta(A, b) &= \epsilon\text{-closure}(\delta(A, b)) \\ &= \epsilon\text{-closure}(\delta(p, b)) \\ &= \epsilon\text{-closure}(q) \\ &= \{q, p\} \text{ i.e. } \{p, q\} \text{ Let us call it state B.} \\ \delta(A, b) &= B \\ \delta(A, c) &= \epsilon\text{-closure}(\delta(A, c)) \\ &= \epsilon\text{-closure}(\delta(p, c)) \\ &= \epsilon\text{-closure}(r) = \{q, r\}. \text{ Call it as state C.}\end{aligned}$$

**State B = {p, q}**

$$\begin{aligned}\delta(B, a) &= \epsilon\text{-closure}(\delta(B, a)) \\ &= \epsilon\text{-closure}(\delta(p, q), a) \\ &= \epsilon\text{-closure}(\delta(p, a) \cup \delta(q, a)) \\ &= \epsilon\text{-closure}(p \cup q) \\ &= \epsilon\text{-closure}(p, q) \\ &= \epsilon\text{-closure}(p) \cup \epsilon\text{-closure}(q) \\ &= \{p\} \cup \{q\} = \{p, q\} \text{ i.e. state B only.}\end{aligned}$$

$$\delta(B, a) = B.$$

$$\begin{aligned}\delta(B, b) &= \epsilon\text{-closure}(\delta(B, b)) \\ &= \epsilon\text{-closure}(\delta(p, q), b) \\ &= \epsilon\text{-closure}(\delta(p, b) \cup \delta(q, b)) \\ &= \epsilon\text{-closure}(q \cup r) \\ &= \epsilon\text{-closure}(q, r) \\ &= \epsilon\text{-closure}(q) \cup \epsilon\text{-closure}(r) \\ &= \{p, q\} \cup \{q, r\} = \{p, q, r\} \text{ i.e. state D.}\end{aligned}$$

$$\therefore \delta(B, b) = D.$$

$$\begin{aligned}
\delta(B, c) &= \epsilon\text{-closure}(\delta(B, c)) \\
&= \epsilon\text{-closure}(\delta(p, q), c) \\
&= \epsilon\text{-closure}(\delta(p, c) \cup \delta(q, c)) \\
&= \epsilon\text{-closure}(r \cup \phi) \\
&= \epsilon\text{-closure}(r) \\
&= \{q, r\}
\end{aligned}$$

$$\delta(B, c) = C$$

**State C = {q, r}**

$$\begin{aligned}
\delta(C, a) &= \epsilon\text{-closure}(\delta(C, a)) \\
&= \epsilon\text{-closure}(\delta(q, r), a) \\
&= \epsilon\text{-closure}(\delta(q, a) \cup \delta(r, a)) \\
&= \epsilon\text{-closure}(q \cup r) \\
&= \epsilon\text{-closure}(q) \cup \epsilon\text{-closure}(r) \\
&= \{p, q\} \cup \{q, r\} \\
&= \{p, q, r\} \text{ i.e. state D.}
\end{aligned}$$

$$\therefore \delta(C, a) = D$$

$$\begin{aligned}
\delta(C, b) &= \epsilon\text{-closure}(\delta(C, b)) \\
&= \epsilon\text{-closure}(\delta(q, r), b) \\
&= \epsilon\text{-closure}(\delta(q, b) \cup \delta(r, b)) \\
&= \epsilon\text{-closure}(r \cup \phi) \\
&= \epsilon\text{-closure}(r) \\
&= \{q, r\} \text{ i.e. state C.}
\end{aligned}$$

$$\therefore \delta(C, b) = C$$

$$\begin{aligned}
\delta(C, c) &= \epsilon\text{-closure}(\delta(C, c)) \\
&= \epsilon\text{-closure}(\delta(q, r), c) \\
&= \epsilon\text{-closure}(\delta(q, c) \cup \delta(r, c)) \\
&= \epsilon\text{-closure}(\phi \cup p)
\end{aligned}$$

$$\begin{aligned}
 &= \varepsilon\text{-closure}(p) \\
 &= \{p\} \text{ i.e. state A.}
 \end{aligned}$$

$$\therefore \delta(C, c) = A$$

**State D = {p, q, r}**

$$\begin{aligned}
 \delta(D, a) &= \varepsilon\text{-closure}(\delta(D, a)) \\
 &= \varepsilon\text{-closure}(\delta(p, q, r), a) \\
 &= \varepsilon\text{-closure}(\delta(p, a) \cup \delta(q, a) \cup \delta(r, a)) \\
 &= \varepsilon\text{-closure}(p \cup q \cup r) \\
 &= \varepsilon\text{-closure}(p) \cup \varepsilon\text{-closure}(q) \cup \varepsilon\text{-closure}(r) \\
 &= \{p, q, r\} \text{ i.e. state D.}
 \end{aligned}$$

$$\therefore \delta(D, a) = D$$

$$\begin{aligned}
 \delta(D, b) &= \varepsilon\text{-closure}(\delta(D, b)) \\
 &= \varepsilon\text{-closure}(\delta(p, q, r), b) \\
 &= \varepsilon\text{-closure}(\delta(p, b) \cup \delta(q, b) \cup \delta(r, b)) \\
 &= \varepsilon\text{-closure}(q \cup r \cup \emptyset) \\
 &= \varepsilon\text{-closure}(q, r) \\
 &= \varepsilon\text{-closure}(q) \cup \varepsilon\text{-closure}(r) \\
 &= \{p, q, r\} \text{ i.e. state D.}
 \end{aligned}$$

$$\therefore \delta(D, b) = D$$

$$\begin{aligned}
 \delta(D, c) &= \varepsilon\text{-closure}(\delta(D, c)) \\
 &= \varepsilon\text{-closure}(\delta(p, q, r), c) \\
 &= \varepsilon\text{-closure}(\delta(p, c) \cup \delta(q, c) \cup \delta(r, c)) \\
 &= \varepsilon\text{-closure}(r \cup \emptyset \cup p) \\
 &= \varepsilon\text{-closure}(r) \cup \varepsilon\text{-closure}(p) \\
 &= \{q, r\} \cup \{p\} \\
 &= \{p, q, r\} \text{ i.e. state D.}
 \end{aligned}$$

$$\therefore \delta(D, c) = D$$

The transition table from above calculations can be obtained as

State \ Input	a	b	c
State			
A	A	B	C
B	B	D	C
C	D	C	A
D	D	D	D

As state A = {p} it is a start state and states C and D contain final state r, hence these are final states. The transition diagram for the DFA is

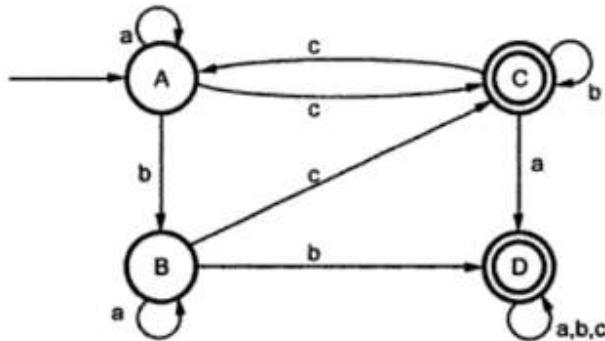


Fig. 2.37

► Example 2.19 : Construct DFA for the NFA - ε Fig. 2.38 shown below.

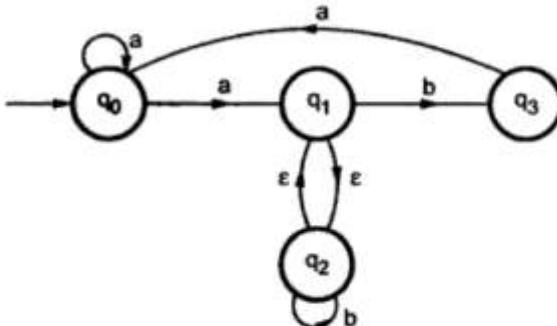


Fig. 2.38

Solution : We will first write  $\epsilon$  - closure of start state  $q_0$ .

$\epsilon$  - closure ( $q_0$ ) =  $\{q_0\}$  call it as state A.

Now obtain  $\delta$  transitions for input a and b for state A.

$$\begin{aligned}
 \delta(A, a) &= \epsilon - \text{closure}(\delta(A, a)) \\
 &= \epsilon - \text{closure}(\delta(q_0, a)) \\
 &= \epsilon - \text{closure}(q_0, q_1) \\
 &= \epsilon - \text{closure}(q_0) \cup \epsilon - \text{closure}(q_1) \\
 &= \{q_0\} \cup \{q_1, q_2\} \\
 &= \{q_0, q_1, q_2\} \rightarrow \text{call it as state B.}
 \end{aligned}$$

$$\begin{aligned}
 \delta(A, b) &= \epsilon - \text{closure}(\delta(A, b)) \\
 &= \epsilon - \text{closure}(\delta(q_0, b)) \\
 &= \epsilon - \text{closure}(\emptyset) \\
 &= \emptyset
 \end{aligned}$$

Now consider state B =  $\{q_0, q_1, q_2\}$

$$\begin{aligned}
 \delta(B, a) &= \epsilon - \text{closure}\{\delta(B, a)\} \\
 &= \epsilon - \text{closure}\{\delta\{q_0, q_1, q_2\}, a\} \\
 &= \epsilon - \text{closure}\{\delta\{q_0, a\} \cup \delta\{q_1, a\} \cup \delta\{q_2, a\}\} \\
 &= \epsilon - \text{closure}\{\{q_0, q_1\} \cup \emptyset \cup \emptyset\} \\
 &= \{q_0, q_1, q_2\} \text{ i.e. state B.} \\
 &= B \\
 \delta(B, b) &= \epsilon - \text{closure}\{\delta(B, b)\} \\
 &= \epsilon - \text{closure}\{\delta(\{q_0, q_1, q_2\}, b)\} \\
 &= \epsilon - \text{closure}\{\delta\{q_0, b\} \cup \delta\{q_1, b\} \cup \delta\{q_2, b\}\} \\
 &= \epsilon - \text{closure}\{\emptyset \cup \{q_3\} \cup \{q_2\}\} \\
 &= \epsilon - \text{closure}\{\{q_2, q_3\}\} \\
 &= \epsilon - \text{closure}\{q_2\} \cup \epsilon - \text{closure}\{q_3\} \\
 &= \{q_2\} \cup \{q_3\} \\
 &= \{q_2, q_3\} \rightarrow \text{call it as state C.}
 \end{aligned}$$

The  $\delta$  transitions on C are obtained as -

$$\delta(C, a) = \epsilon - \text{closure}\{\delta(C, a)\}$$

$$\begin{aligned}
&= \epsilon - \text{closure} \{ \delta(q_2, q_3), a \} \\
&= \epsilon - \text{closure} \{ \delta(q_2, a) \cup \delta(q_3, a) \} \\
&= \epsilon - \text{closure} \{ \emptyset \cup \{q_0\} \} \\
&= \epsilon - \text{closure} \{q_0\} \\
&= \{q_0\} \text{ i.e. A}
\end{aligned}$$

$$\begin{aligned}
\delta(C, b) &= \epsilon - \text{closure} \{ \delta(C, b) \} \\
&= \epsilon - \text{closure} \{ \delta(q_2, q_3), b \} \\
&= \epsilon - \text{closure} \{ \delta(q_2, b) \cup \delta(q_3, b) \} \\
&= \epsilon - \text{closure} \{q_2 \cup \emptyset\} \\
&= \epsilon - \text{closure} \{q_2\} \\
&= \{q_1, q_2\} \rightarrow \text{call it as state D.}
\end{aligned}$$

$$\begin{aligned}
\delta(D, a) &= \epsilon - \text{closure} \{ \delta(D, a) \} \\
&= \epsilon - \text{closure} \{ \delta(q_1, q_2), a \} \\
&= \epsilon - \text{closure} \{ \delta(q_1, a) \cup \delta(q_2, a) \} \\
&= \epsilon - \text{closure} \{ \emptyset \cup \emptyset \} \\
&= \emptyset
\end{aligned}$$

$$\begin{aligned}
\delta(D, b) &= \epsilon - \text{closure} \{ \delta(q_1, q_2), b \} \\
&= \epsilon - \text{closure} \{ \delta(q_1, b) \cup \delta(q_2, b) \} \\
&= \epsilon - \text{closure} \{q_3 \cup q_2\} \\
&= \epsilon - \text{closure} \{q_2, q_3\} \\
&= \epsilon - \text{closure} \{q_2\} \cup \epsilon - \text{closure} \{q_3\} \\
&= \{q_2, q_3\} \text{ i.e. C.} \\
&= C
\end{aligned}$$

Now no new state is generated. Hence we will write transition table for above computations.

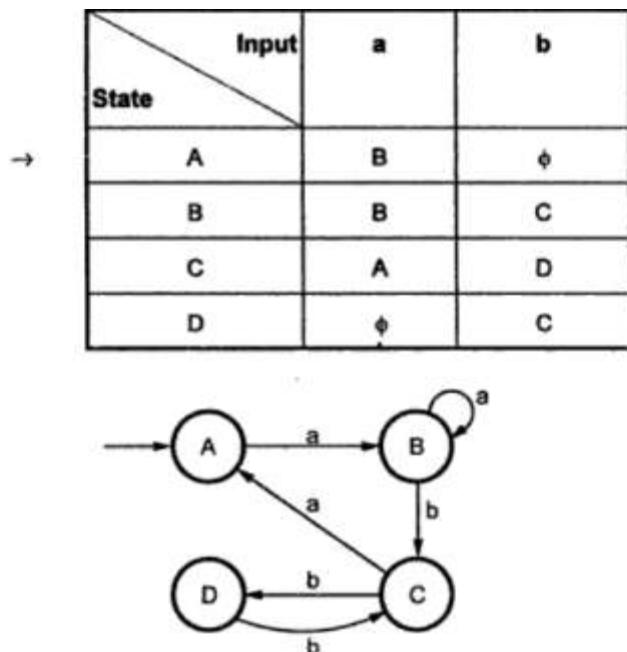
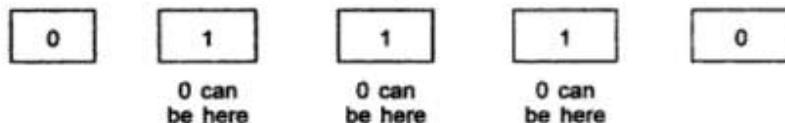


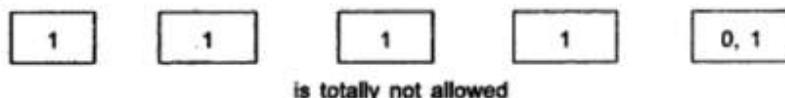
Fig. 2.39

→ **Example 2.20 :** Develop a deterministic Finite Automata accepting the language given over the alphabet {0, 1}.  $L = \{ \text{The set of all strings such that every block of five consecutive contains at least two } 0\text{'s} \}$ .

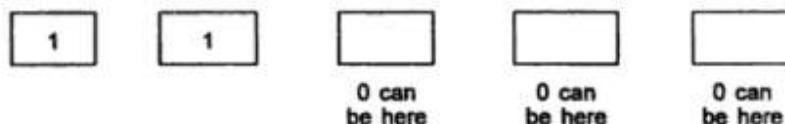
**Ans. :** We consider the five consecutive symbols as



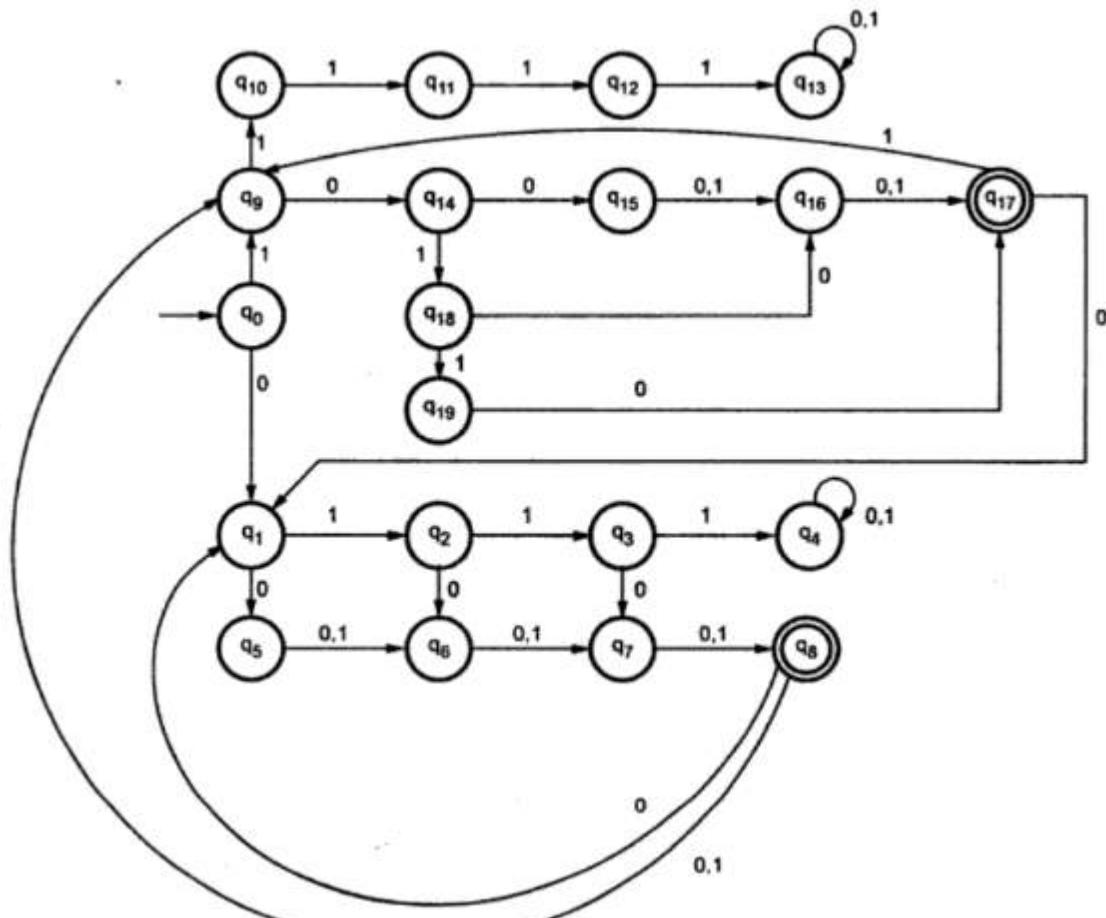
Similarly,



But,



Thus by considering various combinations of 0's and 1's over a block of 5 symbols we can draw DFA as follows -



Thus we avoid 4 or 5 1's in the strings. Not having 4 or 5 1's means allowing at least two 0's in the block of 5 symbols. With this simple logic the DFA can be constructed.

### 3.5 Minimization of FSM

The minimization of FSM means reducing the number of states from given FA. Thus we get the FSM with redundant states after minimizing the FSM.

While minimizing FSM we first find out which two states are equivalent we can represent those two states by one representative state.

The two states  $q_1$  and  $q_2$  are equivalent if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both of them are nonfinal states for all  $x \in \Sigma^*$  ( $\Sigma^*$  indicate any string of any length) we can minimize the given FSM by finding equivalent states.

#### Method for Construction of Minimum State Automata :

**Step 1 :** We will create a set  $\Pi_0$  as  $\Pi_0 = \{ Q_1^0, Q_2^0 \}$  where  $Q_1^0$  is set of all final states and  $Q_2^0 = Q - Q_1^0$  where  $Q$  is a set of all the states in DFA.

**Step 2 :** Now we will construct  $\Pi_{K+1}$  from  $\Pi_K$ . Let  $Q_i^K$  be any subset in  $\Pi_K$ . If  $q_1$  and  $q_2$  are in  $Q_i^K$  they are  $(K + 1)$  equivalent provided  $\delta(q_1, a)$  and  $\delta(q_2, a)$  are  $K$  equivalent. Find out whether  $\delta(q_1, a)$  and  $\delta(q_2, a)$  are residing in the same equivalence class  $\Pi_K$ . Then it is said that  $q_1$  and  $q_2$  are  $(K + 1)$  equivalent. Thus  $Q_i^K$  is further divided into  $(K + 1)$  equivalence classes.

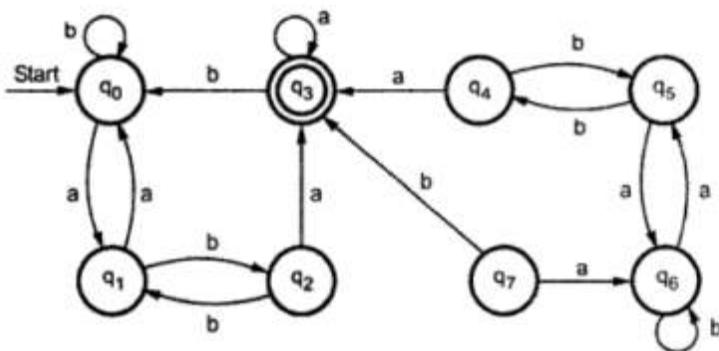
Repeat step 2 for every  $Q_i^K$  in  $\Pi_K$  and obtain all the elements of  $\Pi_{K+1}$ .

**Step 3 :** Construct  $\Pi_n$  for  $n = 1, 2, \dots$  until  $\Pi_n = \Pi_{n+1}$ .

**Step 4 :** Then replace all the equivalent states in one equivalence class by representative state. This helps in minimizing the given DFA.

Let us understand this method with the help of some examples.

→ **Example 3.7 :** Construct the minimum state automaton for the following transition diagram.



**Solution :** We will first construct a transition table for the given DFA.

We will start constructing equivalence classes.

State \ Input	a	b
$q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$
$q_2$	$q_3$	$q_1$
( $q_3$ )	$q_3$	$q_0$
$q_4$	$q_3$	$q_5$
$q_5$	$q_6$	$q_4$
$q_6$	$q_5$	$q_6$
$q_7$	$q_6$	$q_3$

There is only one final state i.e.  $q_3$ . Hence we can partition  $Q$  as  $Q_1^0$  and  $Q_2^0$ .

$$Q_1^0 = F = \{q_3\} \text{ As } \{q_3\} \text{ cannot be partitioned further.}$$

$$\begin{aligned} Q_2^0 &= Q - Q_1^0 \\ &= \{q_0, q_1, q_2, q_4, q_5, q_6, q_7\} \end{aligned}$$

Now, we will compare  $q_0$  with  $q_2$ .

	a	b
$q_0$	$q_1$	$q_0$
$q_2$	$q_3$	$q_1$

Under a - column **of**  $q_0$  and  $q_2$  we get  $q_1$  and  $q_3$  respectively. But  $q_1$  and  $q_3$  lie in different states. Hence they are not 1 - equivalent.

Similarly consider  $q_0$  and  $q_4$ .

	a	b
$q_0$	$q_1$	$q_0$
$q_4$	$q_3$	$q_5$

Under a - column **of**  $q_0$  and  $q_4$  we get  $q_1$  and  $q_3$  which lie in different sets. Hence  $q_0$  is not 1 - equivalent to  $q_4$ . Similarly  $q_0$  is not 1 - equivalent to  $q_7$  (observe b-column **of**  $q_0$  and  $q_7$ ). But  $q_0$  is 1 - equivalent to  $q_1, q_5$  and  $q_6$ .

$$Q'_1 = \{q_3\}$$

$$Q'_2 = \{q_0, q_1, q_5, q_6\}$$

$q_2$  is 1 - equivalent to  $q_4$ . Hence

$$Q'_3 = \{q_2, q_4\}$$

Since under a-column we get  $q_3$  only for  $q_2$  and  $q_4$ . And under b - column **of**  $q_2$  and  $q_4$  we get  $q_1$  and  $q_5$ . But  $q_1$  and  $q_5$  lie in one set. Thus  $q_2$  and  $q_4$  are 1 - equivalent.

The only element left over in  $Q_2^0$  is  $q_7$ .

$$\therefore Q'_4 = \{q_7\} \text{ The equivalence class is -}$$

$$\Pi_1 = \{\{q_3\}, \{q_0, q_1, q_5, q_6\}, \{q_2, q_4\}, \{q_7\}\}$$

$$Q_1^2 = \{q_3\}$$

Now  $q_0$  is 2 - equivalent to  $q_6$  because

	a	b
$q_0$	$q_1$	$q_0$
$q_6$	$q_5$	$q_6$

Both lie in same set.

Fig. 3.5 (a)

But  $q_0$  is not 2 - equivalent to  $q_1$  and  $q_5$ .

	a	b
$q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$

	a	b
$q_0$	$q_1$	$q_0$
$q_5$	$q_6$	$q_4$

Do not lie in same set.

Fig. 3.5 (b)

Hence  $Q_2^2 = \{q_0, q_6\}$

But  $q_1$  is 2 - equivalent to  $q_5$ .

	a	b
$q_1$	$q_0$	$q_2$
$q_5$	$q_6$	$q_4$

Lie in one set. Lie in one set.

Fig. 3.5 (c)

Hence  $Q_3^2 = \{q_1, q_5\}$

Similarly  $q_2$  is 2 - equivalent to  $q_4$ .

$$Q_4^2 = \{q_2, q_4\}$$

$$Q_5^2 = \{q_7\}$$

Thus,

$$\Pi_2 = \{\{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\}\}$$

$$Q_1^3 = \{q_3\}$$

As  $q_0$  is 3 - equivalent to  $q_6$ ,

$$Q_2^3 = \{q_0, q_6\}$$

As  $q_1$  is 3 - equivalent to  $q_5$ ,

$$Q_3^3 = \{q_1, q_5\}$$

As  $q_2$  is 3 - equivalent to  $q_4$ ,

$$Q_4^3 = \{q_2, q_4\}$$

and

$$Q_5^3 = \{q_7\}$$

∴

$$\Pi_3 = \{\{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\}\}$$

As  $\Pi_3 = \Pi_2$  we have got equivalence class from  $\Pi_2$ . Hence we can write a transition table as

State	Input	a	b
	a	b	
$[q_0, q_6]$	$[q_1, q_5]$	$[q_0, q_6]$	
$[q_1, q_5]$	$[q_0, q_6]$	$[q_2, q_4]$	
$[q_2, q_4]$	$[q_3]$	$[q_1, q_5]$	
$[q_3]$	$[q_3]$	$[q_0, q_6]$	
$[q_7]$	$[q_0, q_6]$	$[q_3]$	

The transition diagram with minimized states is -

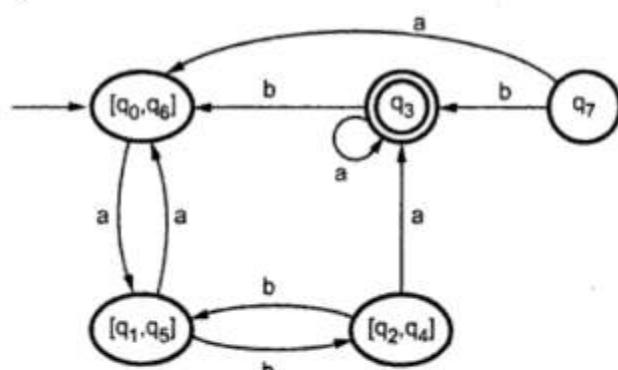


Fig. 3.6

➤ Example 3.8 : Construct a minimum state DFA for the following given automata -

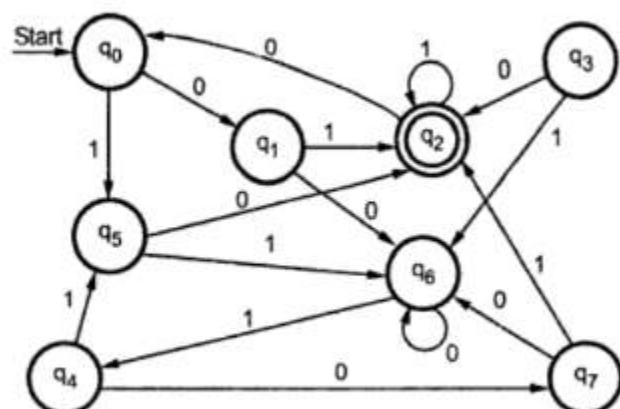


Fig. 3.7

**Solution :** We will first construct a transition table from given FA.

State	Input	0	1
	→		
$q_0$		$q_1$	$q_5$
$q_1$		$q_6$	$q_2$
( $q_2$ )		$q_0$	$q_2$
$q_3$		$q_2$	$q_6$
$q_4$		$q_7$	$q_5$
$q_5$		$q_2$	$q_6$
$q_6$		$q_6$	$q_4$
$q_7$		$q_6$	$q_2$

Now we will first obtain  $Q_1^0$ .

$$Q_1^0 = F = \{q_2\} \rightarrow \text{Final state}$$

$$Q_2^0 = Q - Q_1^0$$

$$= \{q_0, q_1, q_3, q_4, q_5, q_6, q_7\}$$

$$\text{Hence } \Pi_0 = \{\{q_2\}, \{q_0, q_1, q_3, q_4, q_5, q_6, q_7\}\}$$

We cannot partition  $\{q_2\}$  further. Hence  $Q'_1 = \{q_2\}$ .

Now consider another set from  $\Pi_0$  i.e.  $\{q_0, q_1, q_3, q_4, q_5, q_6, q_7\}$ . We will now compare input entries of  $q_0$  for all remaining states in this set. Consider

Input State	0	1
$q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$

Here  $q_5 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
Hence they are not 1-equivalent.

Fig. 3.8 (a)

Input State \	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>
q <sub>3</sub>	q <sub>2</sub>	q <sub>6</sub>

Here  $q_1 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
Hence they are not 1-equivalent.

Fig. 3.8 (b)

Input State \	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>
q <sub>5</sub>	q <sub>2</sub>	q <sub>6</sub>

Here  $q_1 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
Hence they are not 1-equivalent.

Fig. 3.8 (c)

Input State \	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>
q <sub>7</sub>	q <sub>6</sub>	q <sub>2</sub>

Here  $q_5 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
Hence they are not 1-equivalent.

Fig. 3.8 (d)

Thus  $q_0$  is not  $\rho$  - equivalent to  $q_3$ ,  $q_5$  and  $q_7$ . Now we will obtain the set of equivalent sets. Consider  $q_0$  with  $q_4$ ,  $q_6$ .

Input State \	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>
q <sub>4</sub>	q <sub>7</sub>	q <sub>5</sub>

Both  $\in Q_2^0$

Input State \	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>
q <sub>6</sub>	q <sub>6</sub>	q <sub>4</sub>

Same states

Both  $\in Q_2^0$

Fig. 3.8 (e)

Hence  $\{q_0, q_4, q_6\}$  is one subset in  $\Pi_1$ .

Therefore  $Q'_2 = \{q_0, q_4, q_6\}$ .

We will consider a subset  $\{q_1, q_3, q_5, q_7\}$ . Now we will find the 1 - equivalent subset from this subset. Hence we need to compare  $q_1$  with  $q_3, q_5$  and  $q_7$  respectively.

State \ Input	0	1
q <sub>1</sub>	q <sub>6</sub>	q <sub>2</sub>
q <sub>3</sub>	q <sub>2</sub>	q <sub>6</sub>

$q_6 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
 $\therefore$  Both are not 1-equivalent.

Fig. 3.8 (f)

State \ Input	0	1
q <sub>1</sub>	q <sub>6</sub>	q <sub>2</sub>
q <sub>5</sub>	q <sub>2</sub>	q <sub>6</sub>

$q_6 \in Q_2^0$  and  $q_2 \in Q_1^0$ .  
 $\therefore$  Both are not 1-equivalent.

Fig. 3.8 (g)

State \ Input	0	1
q <sub>1</sub>	q <sub>6</sub>	q <sub>2</sub>
q <sub>7</sub>	q <sub>6</sub>	q <sub>2</sub>

$q_6 \in Q_2^0$   
 Both are 1-equivalent.

$q_2 \in Q_1^0$   
 Both are 1-equivalent.

Fig. 3.8 (h)

i.e.  $q_1$  is 1 - equivalent to  $q_7$ . Hence  $\{q_1, q_7\}$  will be one subset. We cannot partition this subset further. Hence  $Q'_3 = \{q_1, q_7\}$ .

Now we will compare  $q_3$  with  $q_5$ .

Input State \	0	1
q <sub>3</sub>	q <sub>2</sub>	q <sub>6</sub>
q <sub>5</sub>	q <sub>2</sub>	q <sub>6</sub>

Clearly both the states are 1-equivalent.

Fig. 3.8 (i)

$$\therefore Q'_4 = \{q_3, q_5\}$$

Now,

$$\Pi_2 = \{\{q_2\}, \{q_0, q_4, q_6\}, \{q_1, q_7\}, \{q_3, q_5\}\}$$

From  $\Pi_2$  we can consider a subset  $\{q_0, q_4, q_6\}$ . We will again compare  $q_0$  with  $q_4$  and  $q_6$ .

<table border="1"> <thead> <tr> <th>Input State \</th> <th>0</th> <th>1</th> </tr> </thead> <tbody> <tr> <th>q<sub>0</sub></th> <td>q<sub>1</sub></td> <td>q<sub>5</sub></td> </tr> <tr> <th>q<sub>4</sub></th> <td>q<sub>7</sub></td> <td>q<sub>5</sub></td> </tr> </tbody> </table> <p>Belongs to <math>Q'_3</math> Hence are 2-equivalent.</p>	Input State \	0	1	q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>	q <sub>4</sub>	q <sub>7</sub>	q <sub>5</sub>	<table border="1"> <thead> <tr> <th>Input State \</th> <th>0</th> <th>1</th> </tr> </thead> <tbody> <tr> <th>q<sub>0</sub></th> <td>q<sub>1</sub></td> <td>q<sub>5</sub></td> </tr> <tr> <th>q<sub>6</sub></th> <td>q<sub>6</sub></td> <td>q<sub>4</sub></td> </tr> </tbody> </table> <p>Both are same</p> <p>Not 2-equivalent.</p>	Input State \	0	1	q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>	q <sub>6</sub>	q <sub>6</sub>	q <sub>4</sub>
Input State \	0	1																	
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>																	
q <sub>4</sub>	q <sub>7</sub>	q <sub>5</sub>																	
Input State \	0	1																	
q <sub>0</sub>	q <sub>1</sub>	q <sub>5</sub>																	
q <sub>6</sub>	q <sub>6</sub>	q <sub>4</sub>																	

Fig. 3.8 (j)

Thus  $q_0$  and  $q_4$  are 2 - equivalent and  $q_0, q_6$  are not 2 - equivalent.

Similarly  $q_3$  and  $q_5$  are 2 - equivalent.

Also  $q_1$  and  $q_7$  are 2 - equivalent.

Thus  $\Pi_2 = \{[q_2], [q_0, q_4], [q_6], [q_1, q_7], [q_3, q_5]\}$

Now,  $q_0$  and  $q_4$  are 3 - equivalent.

$q_1$  and  $q_7$  are 3 - equivalent.

$q_3$  and  $q_5$  are 3 - equivalent.

As  $\Pi_2 = \Pi_3$ . The  $\Pi_2$  gives equivalence classes. Therefore we can construct a finite automata with minimized state as

$$M' = (Q', \{0, 1\}, \delta', q'_0, F')$$

where  $Q'$  is a set of states in FA.

$$\text{i.e. } = \{[q_2], [q_0, q_4], [q_6], [q_1, q_7], [q_3, q_5]\}$$

$q'_0$  is initial state i.e.  $[q_0, q_4]$

$F'$  is a set of final states i.e.  $[q_2]$

The  $\delta'$  can be shown by following transition table.

State \ Input	0	1
State		
$[q_0, q_4]$	$[q_1, q_7]$	$[q_3, q_5]$
$[q_1, q_7]$	$[q_6]$	$[q_2]$
$[q_2]$	$[q_0, q_4]$	$[q_2]$
$[q_3, q_5]$	$[q_2]$	$[q_6]$
$[q_6]$	$[q_6]$	$[q_0, q_4]$

Here  $q_0$  and  $q_4$ ,  $q_1$  and  $q_7$ ,  $q_3$  and  $q_5$  are treated as one state. Now we can draw the transition diagram for minimized machine as -

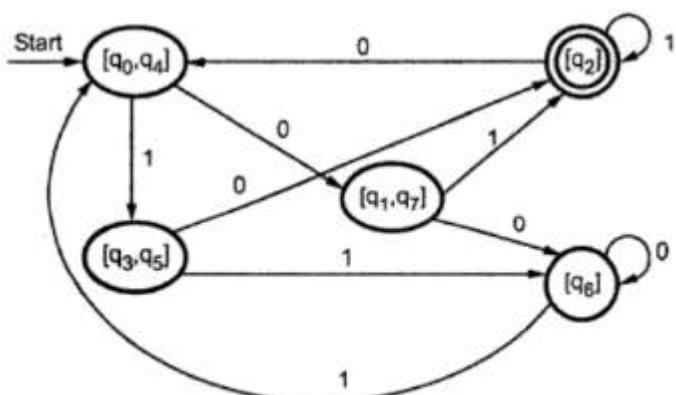


Fig. 3.9

Example 3.9 : Construct a minimum state automaton for following DFA.

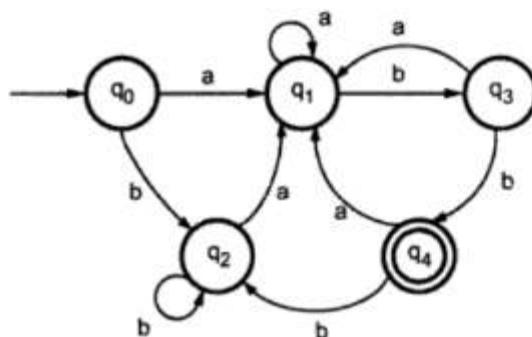


Fig. 3.10

**Solution :** It will be easier if we construct a transition table for given finite automata.

State \ Input	a	b
State		
q0	q1	q2
q1	q1	q3
q2	q1	q2
q3	q1	q4
q4	q1	q2

Now we will first obtain  $Q_1^0$ .

$$\therefore Q_1^0 = F = \{q_4\} \rightarrow \text{Final state}$$

$$Q_2^0 = Q - Q_1^0.$$

$$= \{q_0, q_1, q_2, q_3\}$$

$$\text{Hence } \Pi_0 = \{\{q_4\}, \{q_0, q_1, q_2, q_3\}\}$$

As we cannot partition  $Q_1^0 = \{q_4\}$  further we say  $Q'_1 = \{q_4\}$ .

Now consider subset  $\{q_0, q_1, q_2, q_3\}$ . We will compare  $q_0$  with  $q_1, q_2$  and  $q_3$ .

Input \ State	a	b
State		
q0	q1	q2
q1	q1	q3

Both are  
1-equivalent.

(a)

Input \ State	a	b
State		
q0	q1	q2
q2	q1	q2

Clearly  $q_0$  and  
 $q_2$  are equivalent.

(b)

Input State \	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>3</sub>	q <sub>1</sub>	q <sub>4</sub>

q<sub>2</sub> ∈ Q'<sub>2</sub> and  
 q<sub>4</sub> ∈ Q'<sub>1</sub>. Hence both  
 are not 1-equivalent.

(c)

Fig. 3.11

$$Q'_2 = \{q_0, q_1, q_2\}$$

$$Q'_3 = \{q_3\}$$

$$\text{Hence, } \Pi_1 = \{\{q_4\}, \{q_0, q_1, q_2\}, \{q_3\}\}$$

Now we will compare q<sub>0</sub> with q<sub>1</sub> and q<sub>2</sub> respectively.

Input State \	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>3</sub>

As q<sub>2</sub> ∈ Q'<sub>2</sub>  
 and q<sub>3</sub> ∈ Q'<sub>3</sub>  
 Both are not 2-equivalent

Fig. 3.11 (d)

Input State \	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>1</sub>	q <sub>2</sub>

Clearly both the states are equivalent.

Fig. 3.11 (e)

$$\text{Hence we get, } \Pi_2 = \{\{q_4\}, \{q_0, q_2\}, \{q_1\}, \{q_3\}\}$$

We can now state {q<sub>0</sub>, q<sub>2</sub>} are 3 - equivalent. And we cannot partition {q<sub>1</sub>} and {q<sub>3</sub>} further. Hence

$$\Pi_3 = \{\{q_4\}, \{q_0, q_2\}, \{q_1\}, \{q_3\}\}$$

As  $\Pi_3 = \Pi_2$  and  $\Pi_2$  gives equivalence classes we can construct minimum state finite automata as -

State	Input	a	b
$[q_0, q_2]$	$q_1$	$[q_0, q_2]$	
$q_1$	$[q_1]$	$[q_3]$	
$q_3$	$[q_1]$	$[q_4]$	
$q_4$	$[q_1]$	$[q_0, q_2]$	

The transition diagram can be -

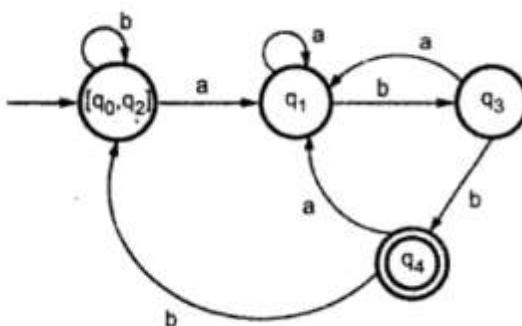


Fig. 3.12

### Minimization of DFA (Alternate Method)

This method helps in finding equivalent states in one stroke. Hence it is an efficient method of minimization of given DFA. Let us understand this method with the help of some example.

➤ Example 3.10 : Minimize the DFA as given below.

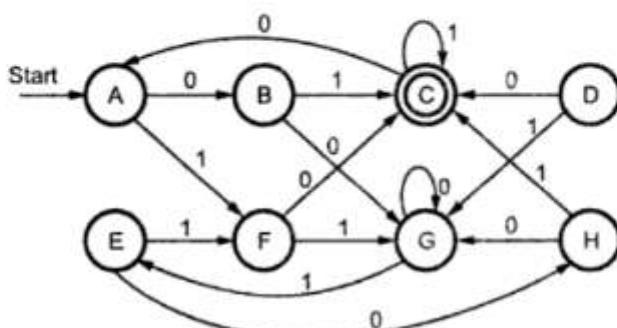


Fig. 3.13

**Solution :** We will build the transitions table for given DFA as follows.

	0	1
A	B	F
B	G	C
C	A	C
D	C	G
E	H	F
F	C	G
G	G	E
H	G	C

We will now construct a table for each pair of states.

B						
C						
D						
E						
F						
G						
H						
	A	B	C	D	E	F

We will mark X for all the final and nonfinal states. Hence

B						
C	X	X				
D			X			
E			X			
F		X				
G		X				
H		X				
A	B	C	D	E	F	G

Thus we have marked X for (A, C), (B, C), (C, D), (C, E), (C, F), (C, G), (C, H).

Now we will consider every pair form above table. Consider pair (G, H).

$$\delta(G, 0) = G, \quad \delta(G, 1) = E$$

$$\delta(H, 0) = G, \quad \delta(H, 1) = C$$

As for  $\delta(G, 1) = E$  and  $\delta(H, 1) = C$ . We can see X in (C, E) pair. Hence pair (G, H) is not equivalent.

Hence we will mark X in pair (G, H). Thus we will find the equivalent pairs.

Consider pair (B, H).

$$\delta(B, 0) = G, \quad \delta(B, 1) = C$$

$$\delta(H, 0) = G, \quad \delta(H, 1) = C$$

Thus the pair (B, H) is equivalent. Thus we obtain,

B	X						
C	X	X					
D	X	X	X				
E		X	X	X			
F	X	X	X		X		
G	X	X	X	X	X	X	
H	X		X	X	X	X	X
A	B	C	D	E	F	G	

Thus we get equivalent pairs as (A, E), (B, H), (D, F). Hence the minimized DFA will be,

→

	0	1
A	B	D
B	G	C
C	A	C
D	C	G
G	G	A

→ **Example 3.11 :** Minimize the finite automata in Fig. 3.14 below and show both the given and the reduced one are equivalent.

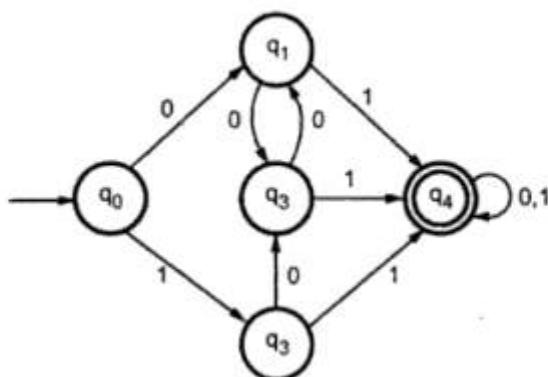


Fig. 3.14

**Solution :** We will first construct the transition table for given DFA -

State	Input	
	0	1
$q_0$	$q_1$	$q_3$
$q_1$	$q_2$	$q_4$
$q_2$	$q_1$	$q_4$
$q_3$	$q_2$	$q_4$
$q_4$	$q_4$	$q_4$

Now we will partition the states  $Q$  in  $Q_1^0$  and  $Q_2^0$ . The state  $q_4$  is a final state. Hence

$$Q_1^0 = F = \{q_4\}$$

$$Q_2^0 = Q - Q_1^0 = \{q_0, q_1, q_2, q_3\}$$

$$\Pi_0 = \{\{q_4\}, \{q_0, q_1, q_2, q_3\}\}$$

Now we will compare  $q_0$  with  $q_1$ . We find that under 0 column we get  $q_1$  and  $q_2$ . And  $q_1$  and  $q_2$  lie in the same set i.e.  $Q_2^0$ . But under 1-column of  $q_0$  and  $q_1$  we get  $q_3, q_4$  respectively. But  $q_3 \in Q_2^0$  and  $q_4 \in Q_1^0$ . Hence they are not 1-equivalent. Similarly compare  $q_0$  and  $q_2$ . We will find that under 0 column of  $q_0$  and  $q_2$  we get  $q_1$  only. But under 1-column of  $q_0$  and  $q_2$  we get  $q_3$  and  $q_4$ . The  $q_3 \in Q_2^0$  and  $q_4 \in Q_1^0$ . Hence  $q_0$  and  $q_2$  are not 1-equivalent. Similarly  $q_0$  is not 1-equivalent to  $q_3$  because of 1-column entries.

$$Q'_1 = \{q_4\}$$

$$Q'_2 = \{q_0\}$$

$$Q'_3 = \{q_1, q_2, q_3\}$$

Hence,

$$\Pi_1 = \{\{q_4\}, \{q_0\}, \{q_1, q_2, q_3\}\}$$

Now in set  $\{q_1, q_2, q_3\}$  we compare  $q_1$  with  $q_2$  under 0-column we get  $q_2$  and  $q_1$  which are in the same set, and under 1-column we get  $q_4$ . And for state  $q_1$  and  $q_3$  we get same entries under 0 - column and under 1-column. Hence we can group them  $\{q_2, q_3\}$ .

The equivalence class becomes

$$\Pi_2 = \{\{q_4\}, \{q_0\}, \{q_1\}, \{q_2, q_3\}\}$$

Now further we cannot partition any of the set. We get

$$\Pi_3 = \{\{q_0\}, \{q_2\}, \{q_4\}, \{q_1, q_3\}\}$$

Hence minimized DFA is -

State \ Input	0	1
State		
$q_0$	$q_1$	$q_1$
$q_1$	$q_2$	$q_4$
$q_2$	$q_1$	$q_4$
$q_4$	$q_4$	$q_4$

The transition diagram will be -

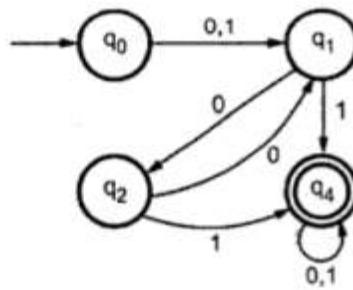


Fig. 3.15

### 3.4 Equivalence between Two FSMs

The two finite automata are said to be equivalent if both the automata accept the same set of strings, over an input set  $\Sigma$ . When two FAs are equivalent then there is some string  $x$  over  $\Sigma$ , on acceptance of that string if one FA reaches to final state other FA also reaches to final state. We can compare whether two FAs are equivalent or not using following method.

#### Method for Comparing two FAs

Let  $M$  and  $M'$  be two FAs and  $\Sigma$  is a set of input strings.

1. We will construct a transition table have pairwise entries  $(q, q')$  where  $q \in M$  and  $q' \in M'$  for each input symbol.
2. If we get in a pair as one final state and other nonfinal state then we terminate construction of transition table declaring that two FAs are not equivalent.
3. The construction of transition table gets terminated when there is no new pair appearing in the transition table.

Let us take one example to understand the technique of comparing two FAs.

Example 3.5 : Consider the DFAs given below. Are they equivalent ?

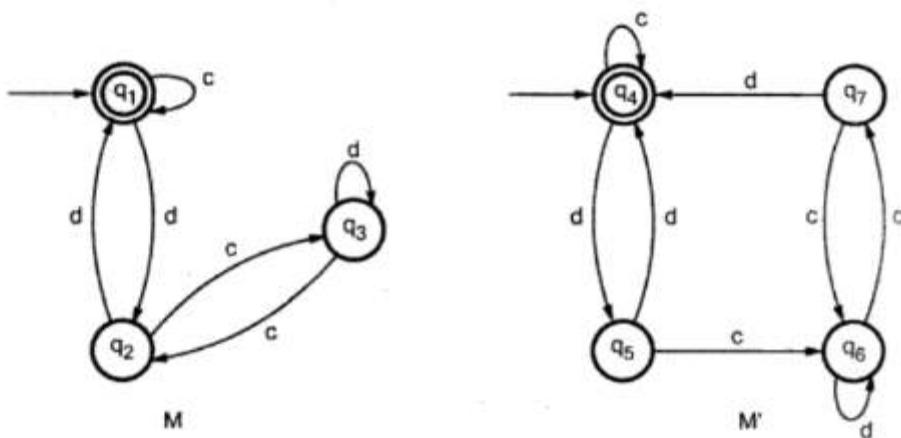


Fig. 3.2

**Solution :** We will first build the transition table for each input c and d. From first machine M on receiving input c in state  $q_1$  we reach to state  $q_1$  only. From second machine  $M'$ , for state  $q_4$  on receiving c we reach to state  $q_4$ . Thus for state  $(q_1, q_4)$ , for input c we get next state as  $(q_1, q_4)$ . Similarly for input d in state  $(q_1, q_4)$  we get next state as  $(q_2, q_5)$ .

Both  $q_1$  and  $q_4$  are final states obtained in pair  $(q_1, q_4)$ . Both  $q_2$  and  $q_5$  are nonfinal states obtained in pair  $(q_2, q_5)$  we will obtain transition for  $(q_2, q_5)$  for input c and d. The complete table is as given below.

	c	d
$(q_1, q_4)$	$(q_1, q_4)$	$(q_2, q_5)$
$(q_2, q_5)$	$(q_3, q_6)$	$(q_1, q_4)$
$(q_3, q_6)$	$(q_2, q_7)$	$(q_3, q_6)$
$(q_2, q_7)$	$(q_3, q_6)$	$(q_1, q_4)$

From the above table note that we do not get one final state and other nonfinal state in a pair. Hence we declare that two DFAs are equivalent.

Example 3.6 : Following are two FAs check whether they are equivalent or not.

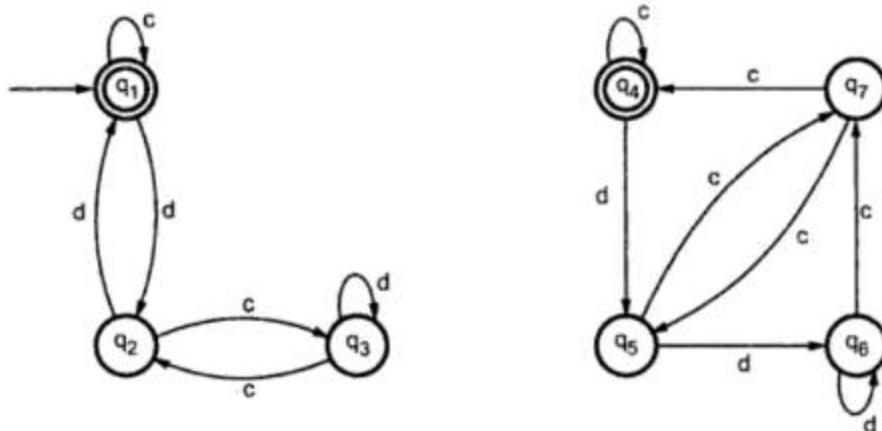


Fig. 3.3

**Solution :** We will design the transition table for each input symbol c and d as follows.

	c	d
(q <sub>1</sub> , q <sub>4</sub> )	(q <sub>1</sub> , q <sub>4</sub> )	(q <sub>2</sub> , q <sub>5</sub> )
(q <sub>2</sub> , q <sub>5</sub> )	(q <sub>3</sub> , q <sub>7</sub> )	(q <sub>1</sub> , q <sub>6</sub> )

We will terminate the construction of transition table because we get a pair (q<sub>1</sub>, q<sub>6</sub>) in which q<sub>1</sub> is a final state and q<sub>6</sub> is a nonfinal state. As per equivalence rule final and nonfinal state cannot form a pair. Hence the given FAs are not equivalent.

## 2.8 Finite Automata with Output

The finite automata is a collection of  $(Q, \Sigma, \delta, q_0, F)$  where  $Q$  is a set of states including  $q_0$  as a start state. In FA after reading the input string if we get final state then the string is said to be "acceptable". If we do not get final state then it is said that string is "rejected". That means there is no need of output for the finite Automata. The 'accept' or 'reject' acts like 'yes' or 'no' output for the machine. But if there is a need for specifying the output other than yes or no, then in such a case we require finite automata along with output. There are two types of FA with output and those are :

- 1) Moore machine
- 2) Mealy machine

### 1) Moore machine

Moore machine is a finite state machine in which the next state is decided by current state and current input symbol. The output symbol at a given time depends only on the present state of the machine. The formal definition of Moore machine is,

"Moore machine is a six tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$  where

$Q$  is finite set of states.

$\Sigma$  is finite set of input symbols.

$\Delta$  is an output alphabet.

$\delta$  is an transition function such that  $Q \times \Sigma \rightarrow Q$ . This is also known as state function.

$\lambda$  is output function  $Q \rightarrow \Delta$ . This function is also known as machine function.

$q_0$  is the initial state of machine.

**For example :**

Consider the Moore machine given below -

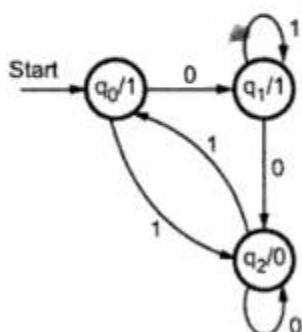


Fig. 2.45

The transition table will be -

Current state	Next state ( $\delta$ )		Output ( $\lambda$ )
	0	1	
$q_0$	$q_1$	$q_2$	1
$q_1$	$q_2$	$q_1$	1
$q_2$	$q_2$	$q_0$	0

In Moore machine **output** is associated **with** every state. In the above given Moore machine when machine is in  $q_0$  state the **output** will be 1. For the Moore machine if the length of input string is n then **output** string has length n+1.

For the string 0110 then the **output** will be 11110.

## 2) Mealy machine

Mealy machine is a machine in which **output** symbol depends upon the present input symbol and present state of the machine. The Mealy machine can be defined as -

Mealy machine is a six tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$  where

$Q$  is **finite** set of states.

$\Sigma$  is **finite** set of input symbols.

$\Delta$  is an **output** alphabet.

$\delta$  is state transition function such that  $Q \times \Sigma \rightarrow Q$ .

$\lambda$  is machine function such that  $Q \times \Sigma \rightarrow \Delta$ .

$q_0$  is initial state of machine.

For example :

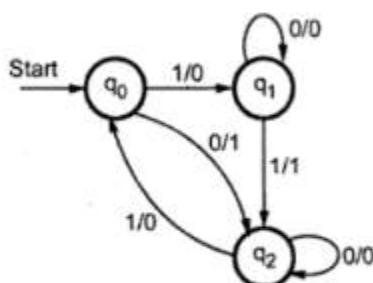


Fig. 2.46

For the input string 1001 the **output** will be 0001. In mealy machine the length of input string is equal to length of **output** string.

Example 2.22 : Design a Moore machine to generate 1's complement of given binary number.

**Solution :** To generate 1's complement of given binary number the simple logic which we will apply is that if input is 0 then output will be 1 and if input is 1 then output will be 0. That means there are three state one-start state, second state is for taking 0's as input and produces output as 1. Then third state is for taking 1's as input and producing output as 0.

Hence the Moore machine will be,

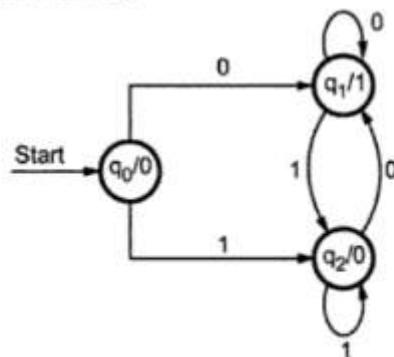


Fig. 2.47

For instance, take one binary number 1011 then

<b>Input :</b>		1	0	1	1
<b>State :</b>	$q_0$	$q_2$	$q_1$	$q_2$	$q_2$
<b>Output :</b>	0	0	1	0	0

Thus we get 00100 as 1's complement of 1011, we can neglect the initial 0 and the output which we get is 0100 which is 1's complement of 1011. The transition table can be drawn as below -

Current state	Next state		Output
	0	1	
$q_0$	$q_1$	$q_2$	0
$q_1$	$q_1$	$q_2$	1
$q_2$	$q_1$	$q_2$	0

Thus Moore machine  $M = (Q, \Sigma, \Delta, \delta, \lambda, q_0)$ ; where  $Q = \{q_0, q_1, q_2\}$ ,  $\Sigma = \{0, 1\}$ ,  $\Delta = \{0, 1\}$ .

The transition table shows the  $\delta$  and  $\lambda$  functions.

Example 2.23 : Design a Moore and Mealy machine for a binary input sequence such that if it has a substring 101 the machine outputs A if input has substring 110 it outputs B otherwise it outputs C.

**Solution :** For designing such a machine we need to take care of two conditions and those are checking 101 and checking 110. If we get 101 the output will be A. If we recognize 110 the output will be B. For other strings the output will be C. We can make a partial design of it as follows.

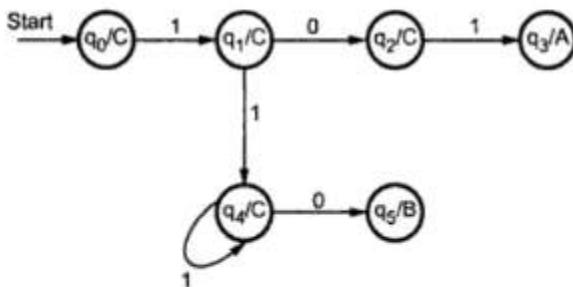


Fig. 2.48

Now we will insert the possibilities of 1's and 0's for each state. Then the Moore machine becomes

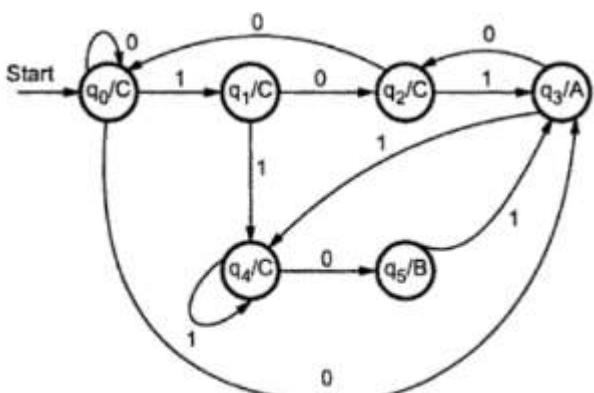


Fig. 2.49

Now the Mealy machine can be

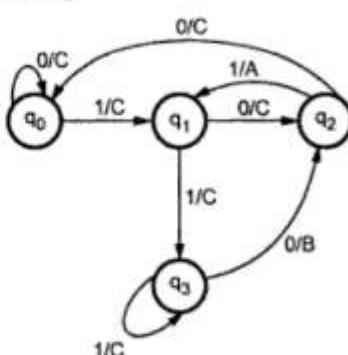


Fig. 2.50

► Example 2.24 : Design a Mealy machine to find 2's complement of a given binary number.

**Solution:** For designing 2's complement of a binary number we assume that input is read from LSB to MSB. We will keep the binary number as it is until we read first 1. Keep that 1 as it is then change remaining 1's by 0's and 0's by 1's.

For example :

Let the binary number be

1011

←

read from LSB

Keep the first 1 from LSB as it is and toggle the remaining bits we will get

0101

Thus 2's complement of 1011 is 0101. The required Mealy machine will be -

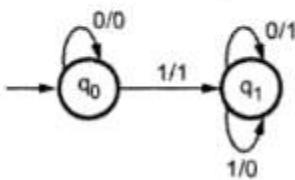


Fig. 2.51

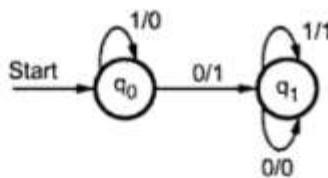
► Example 2.25 : Design a Moore machine which will increment the given binary number by 1.

**Solution :** We will read the binary number from LSB one bit at a time. We will replace each 1 by 0 until we get first 0. Once we get first 0 we will replace it by 1 and then keep remaining bits as it is.

For example :

1	0	1	1	← Read from LSB bit by bit
1	0	1	0	
			↑	
1	0	0	0	
		↑		
1	1	0	0	
	↑			
1	1	0	0	
	↑			

Using this logic we can built a Mealy machine as follows -



**Fig. 2.52**

→ **Example 2.26 :** Give Moore and Mealy machine for  $\Sigma = \{0, 1, 2\}$ , print the residue modulo 5 of input treated as a ternary number.

**Solution :** The ternary number is made up of 0, 1 and 2. The interpretation of ternary number  $n$  can be -

- i) If we write 0 after  $n$  then number becomes  $3n$ .
- ii) If we write 1 after  $n$  then number becomes  $3n+1$ .
- iii) If we write 2 after  $n$  then number becomes  $3n+2$ .

For example :

If  $n = 4$  then its value is  $4 \times 3^0 = 4$ .

If we write 0 after 4 i.e.

$$40 = 4 \times 3^1 + 0 \times 3^0 = 12 \quad \text{i.e. } (3 \times 4)$$

If we write 1 after 4 then

$$41 = 4 \times 3^1 + 1 \times 3^0 = 13 \quad \text{i.e. } 3n+1$$

If we write 2 after 4 we get

$$42 = 4 \times 3^1 + 2 \times 3^0 = 14 \quad \text{i.e. } 3n+2$$

For residue modulo 5 we will get remainder 0, remainder 1, remainder 2, remainder 3 and remainder 4 values. Then we assume various states for these remainders as -

$q_0$  - remainder 0 state

$q_1$  - remainder 1 state

$q_2$  - remainder 2 state

$q_3$  - remainder 3 state

$q_4$  - remainder 4 state

Now consider  $n = 4$ ,

For 4.0 we get decimal value 12 that means  $12 \% 5 = 2$  it gives remainder 2.

For 4.1 we get decimal value 13 that means  $13 \% 5 = 3$  it gives remainder 3.

Similarly 4.2 gives remainder 4.

$n = 4$  itself is remainder 4. Hence we can design,

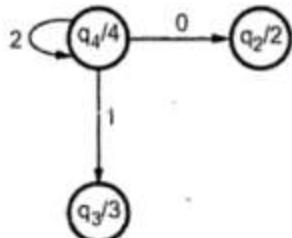


Fig. 2.53

Considering all possible cases we can design Moore machine as,

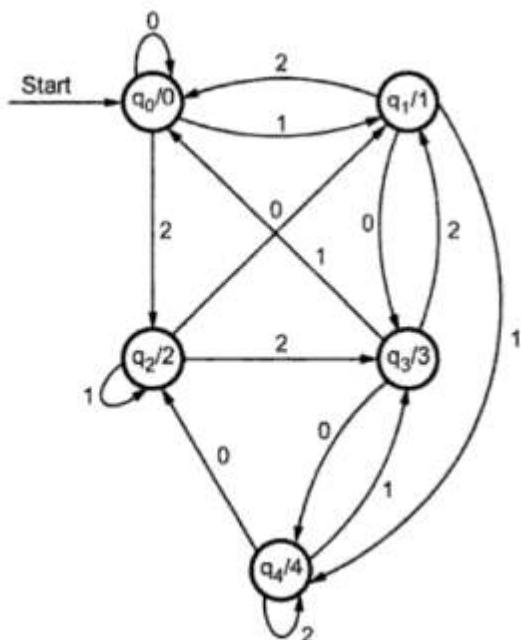
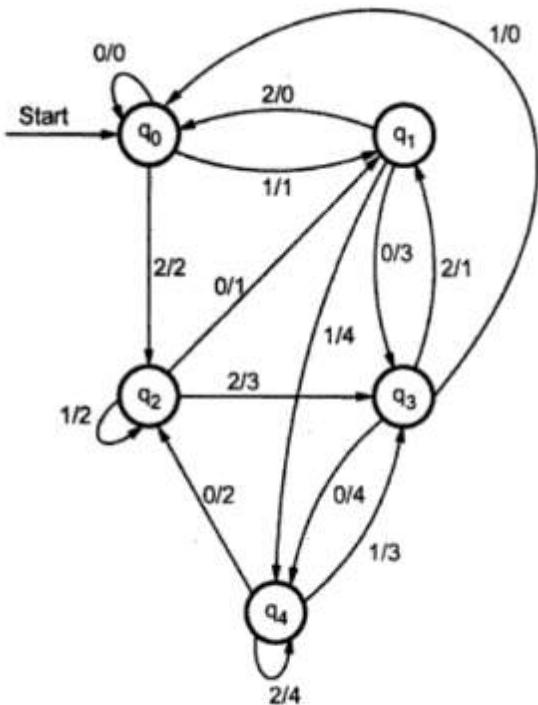


Fig. 2.54

Similarly the Mealy machine can be -

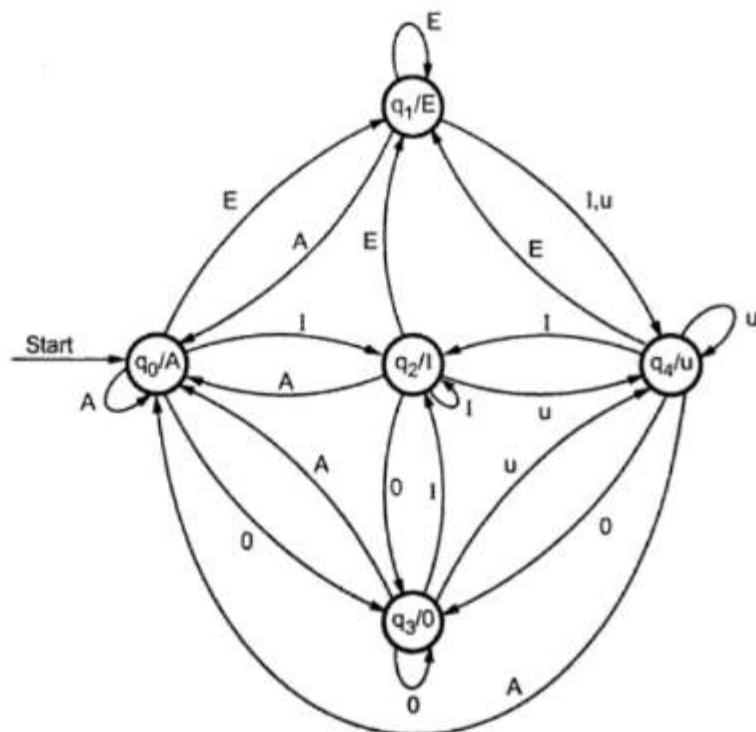


**Fig. 2.55**

→ **Example 2.27 :** Design a Moore machine that will read sequences made up of letters A, E, I, O, U and will give as output the same sequences except that in case where an I directly follows an E, it will be changed to u. Design the Mealy machine for the same.

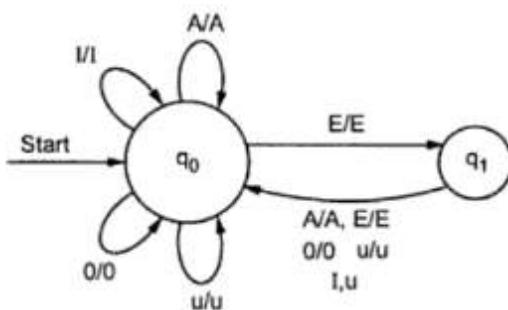
**Solution :** We will assume a separate state for each alphabet. The output of that state will be corresponding letter.

For instance : For alphabet A the state will be  $q_0$  and output of  $q_0$  will be A. For alphabet E the state will be  $q_1$ , and output of  $q_1$  will be E. Continuing in this fashion we will have  $q_0$ ,  $q_1$ ,  $q_2$ ,  $q_3$  and  $q_4$  states. The start state will be  $q_0$  we will take care of one thing and that is when I comes immediately after E it will lead to the state  $q_4$  because output of state  $q_4$  is u. With this logic the corresponding Moore machine will be -



**Fig. 2.56**

Now we will draw the Mealy machine for the same problem.



**Fig. 2.57**

Here the Mealy machine has the **output** along the edge itself we have got only two state. The transition changes from  $q_0$  to  $q_1$  when we get E. The I which is immediately following E will have the **output** u. Consider the string AIEOAEI will be given an **output**.

Example 2.28 : Construct a Moore machine to determine residue mod 3 for binary number.

**Solution :** This Moore machine is also called remainder 3 tester. In this machine we will get remainder 0, remainder 1 and remainder 2. To interpret the given binary number in its decimal value we consider  $n$  as a number if 0 is written after  $n$  then its value becomes  $2n$ . If 1 is written after  $n$  then its value becomes  $2n + 1$ . For instance, if  $n = 0$  then its decimal value is 0 then,

$$01 = 2n+1 = 1 \times 0 + 1 = 1$$

$$011 = 2n+1 = (1 \times 2) + 1 = 3$$

Consider  $n = 1$  its decimal value is 1. After 1 if 0 comes then its value will be

$$10 = 2n = 2 \times 1 = 2$$

If 1 comes after 10 then its value becomes,

$$101 = 2n+1 = (2 \times 2) + 1 = 5$$

With this logic we can construct a Moore machine with 3 states.  $q_0$  is the start state and is considered as remainder 0 state.  $q_1$  is considered to be remainder 1 state and  $q_2$  is considered as remainder 2 state.

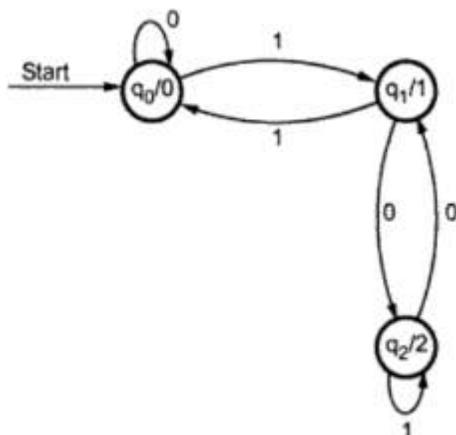


Fig. 2.58

## 2.9 Equivalence of Moore and Mealy Machines

The meaning of word equivalence is the two machines which accept the same language. Hence equivalence of Moore and Mealy machine means both the machines generate the same output string for same input string.

We cannot convert Moore machine to its equivalent Mealy machine directly because length of Moore machine is one longer than Mealy machine for the given input. We will make use of following method to convert Moore machine to Mealy machine.

### Method for conversion of Moore machine to Mealy machine

Let  $M = (Q, \Sigma, \delta, \lambda, q_0)$  be a Moore machine. The equivalent Mealy machine can be represented by  $M' = (Q, \Sigma, \delta, \lambda', q_0)$ . The output function  $\lambda'$  can be obtained as -

$$\lambda'(q, a) = \lambda(\delta(q, a))$$

Let us understand this procedure with the help of some examples.

**Example 2.29 :** The Moore machine to determine residue mod 3 for binary number is given below. Convert it to Mealy equivalent machine.

$Q \setminus \Sigma$	0	1	Output ( $\lambda$ )
$q_0$	$q_0$	$q_1$	0
$q_1$	$q_2$	$q_0$	1
$q_2$	$q_1$	$q_2$	2

**Solution :** The transition diagram for the given problem can be drawn as -

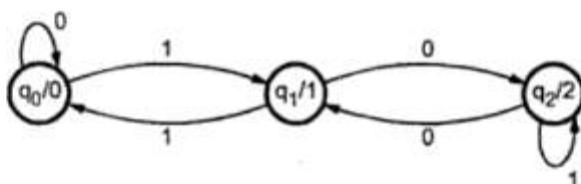


Fig. 2.59

The output function  $\lambda'$  can be obtained using following rule,

$$\lambda'(q, a) = \lambda(\delta(q, a))$$

Hence we will obtain output for every transition corresponding to input symbol.

$$\lambda'(q_0, 0) = \lambda(\delta(q_0, 0))$$

$$= \lambda(q_0) \quad \text{i.e. output of } q_0$$

$$\boxed{\lambda'(q_0, 0) = 0}$$

$$\lambda'(q_0, 1) = \lambda(\delta(q_0, 1))$$

$$= \lambda(q_1) \quad \text{i.e. output of } q_1$$

$$\boxed{\lambda'(q_0, 1) = 1}$$

$$\lambda'(q_1, 0) = \lambda(\delta(q_1, 0))$$

$$= \lambda(q_2)$$

$\lambda'(q_1, 0) = 2$

$$\lambda'(q_1, 1) = \lambda(\delta(q_1, 1))$$

$$= \lambda(q_0)$$

$\lambda'(q_1, 1) = 0$

$$\lambda'(q_2, 0) = \lambda(\delta(q_2, 0))$$

$$= \lambda(q_1)$$

$\lambda'(q_2, 0) = 1$

$$\lambda'(q_2, 1) = \lambda(\delta(q_2, 1))$$

$$= \lambda(q_2)$$

$\lambda'(q_2, 1) = 2$

Hence the transition table can be drawn as follows –

Hence the transition table can be drawn as follows –

Q	$\Sigma$	Input 0		Input 1	
		State	O/P	State	O/P
q <sub>0</sub>		q <sub>0</sub>	0	q <sub>1</sub>	1
q <sub>1</sub>		q <sub>2</sub>	2	q <sub>0</sub>	0
q <sub>2</sub>		q <sub>1</sub>	1	q <sub>2</sub>	2

The transition diagram of Mealy machine is,

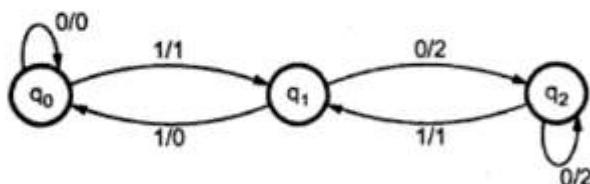


Fig. 2.60

The input string 10011 then the output for Mealy machine will be

Next state       $q_1 \rightarrow q_2 \rightarrow q_2 \rightarrow q_1 \rightarrow q_0$

Output      1      2      2      1      0

The **output** sequence for Moore machine will be

Input                  1      0      0      1      1

Next state         $q_0 \rightarrow q_1 \rightarrow q_2 \rightarrow q_2 \rightarrow q_1 \rightarrow q_0$

**Output**            0      1      2      2      1      0

The length of **output** sequence is  $n+1$  in Moore machine and is  $n$  in Mealy machine which is desired.

► **Example 2.30 :** Convert the following Moore machine into equivalent Mealy machine  
 $M = (\{q_0, q_1\}, \{a, b\}, \{0, 1\} \delta, \lambda, q_0)$

**Solution :**

$\delta$	a	b	Output ( $\lambda$ )
$q_0$	$q_0$	$q_1$	0
$q_1$	$q_0$	$q_1$	1

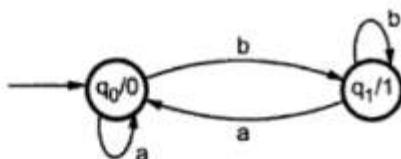


Fig. 2.61

The equivalent Mealy machine can be obtained as follows -

$$\begin{aligned}\lambda'(q_0, a) &= \lambda(\delta(q_0, a)) \\ &= \lambda(q_0) \\ &= 0\end{aligned}$$

$$\begin{aligned}\lambda'(q_0, b) &= \lambda(\delta(q_0, b)) \\ &= \lambda(q_1) \\ &= 1\end{aligned}$$

$$\begin{aligned}\lambda'(q_1, a) &= \lambda(\delta(q_1, a)) \\ &= \lambda(q_0) \\ &= 0\end{aligned}$$

$$\begin{aligned}\lambda'(q_1, b) &= \lambda(\delta(q_1, b)) \\ &= \lambda(q_1) \\ &= 1\end{aligned}$$

Hence the transition table can be drawn as follows -

Q	$\Sigma$	Input a		Input b	
		State	O/P	State	O/P
$q_0$		$q_0$	0	$q_1$	1
$q_1$		$q_0$	0	$q_1$	1

The equivalent Mealy machine will be,

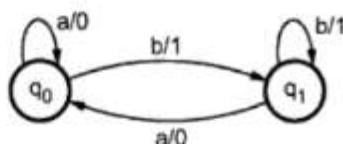


Fig. 2.62

Consider the **output** sequence for Moore machine for input sequence,

a b b a

Then

$$\begin{array}{ccccccc}
 q_0 & \xrightarrow{a} & q_0 & \xrightarrow{b} & q_1 & \xrightarrow{b} & q_1 & \xrightarrow{a} & q_0 \\
 0 & & 0 & & 1 & & 1 & & 0
 \end{array}$$

Similarly **output** sequence for Mealy machine will be

$$\begin{array}{ccccccc}
 q_0 & \xrightarrow{a} & q_1 & \xrightarrow{b} & q_1 & \xrightarrow{b} & q_1 & \longrightarrow & q_0 \\
 0 & & 1 & & 1 & & 1 & & 0
 \end{array}$$

We can note that length of Moore machine is  $n+1$  and that of Mealy machine is  $n$ .

#### Method for conversion of Mealy machine to Moore machine

In Moore machine the **output** is associated **with** every state and in Mealy machine the **output** is given along the edge **with** input symbol. To convert Moore machine to Mealy machine state **output** symbols are distributed to input symbol paths. But while converting Mealy machine to Moore machine we will create a separate state for every new **output** symbol and according incoming and outgoing edges are distributed.

Let  $M = (Q, \Sigma, \Delta, \delta, \lambda, q_0)$  be a Mealy machine then there exists a Moore machine  $M'$  equivalent to  $M$ .

The  $M'$  can be given as  $M' = (Q \times \Delta, \Sigma, \Delta, \delta', \lambda', [q_0, b_0])$  where  $b_0$  is an **output** symbol selected from  $\Delta$ . We will calculate  $\delta'$  and  $\lambda'$  as follows -

$$\begin{aligned}
 \delta'([q, b], a) &= [\delta(q, a), \lambda(q, a)] \\
 l([q, b]) &= b
 \end{aligned}$$

$\delta'$  defines the move made by  $M'$  on input a.

$M'$  on input a.

$\lambda'$  defines the output made for the corresponding state q.

Thus we have to calculate  $\delta'$  and  $\lambda'$  for  $q_0, q_1, q_2, \dots, q_n$  on input  $a_0, a_1, \dots, a_n$  and should emit the outputs  $b_1, b_2, b_3, \dots, b_n$ .

Let us understand this method of conversion with the help of some examples.

► Example 2.31 : Convert the following Mealy machine into equivalent Moore machine.

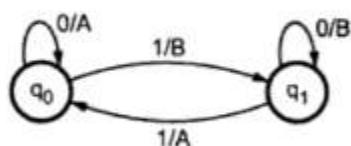


Fig. 2.63

**Solution :** The states for Moore machine will be  $[q_0, A], [q_0, B], [q_1, A], [q_1, B]$ . Then we will calculate  $\delta'$  and  $\lambda'$  as follows -

$$\begin{aligned}\delta'([q_0, A], 0) &= [\delta(q_0, 0), \lambda(q_0, 0)] \\ &= [q_0, A]\end{aligned}$$

$$\lambda'([q_0, A]) = A$$

$$\begin{aligned}\delta'([q_1, A], 0) &= [\delta(q_1, 0), \lambda(q_1, 0)] \\ &= [q_1, B]\end{aligned}$$

$$\lambda'([q_1, A]) = A$$

$$\begin{aligned}\delta'([q_1, A], 1) &= [\delta(q_1, 1), \lambda(q_1, 1)] \\ &= [q_0, A]\end{aligned}$$

$$\lambda'([q_1, A]) = A$$

Hence,

	0	1	Output
$[q_0, A]$	$[q_0, A]$	$[q_1, B]$	A
$[q_0, B]$	$[q_0, A]$	$[q_1, B]$	B
$[q_1, A]$	$[q_1, B]$	$[q_0, A]$	A

$$\begin{aligned}\delta'([q_1, B], 0) &= [\delta(q_1, 0), \lambda(q_1, 0)] \\ &= [q_1, B]\end{aligned}$$

$$\lambda'([q_1, B]) = B$$

$$\begin{aligned}\delta'((q_1, B), 1) &= [\delta(q_1, 1), \lambda(q_1, 1)] \\ &= [q_0, B]\end{aligned}$$

$$\lambda'([q_1, B]) = B$$

Finally the transition table will be ,

$$\begin{aligned}\delta'((q_0, A), 1) &= [\delta(q_0, 1), \lambda(q_0, 1)] \\ &= [q_1, B] \\ \lambda'([q_0, A]) &= A\end{aligned}$$

Hence we can show a partial transition table as,

	0	1	Output
[q <sub>0</sub> , A]	[q <sub>0</sub> , A]	[q <sub>1</sub> , B]	A

Continuing in this fashion we can calculate  $\delta'$  and  $\lambda'$  as follows -

$$\delta'([q_0, B], 0) = [\delta(q_0, 0), \lambda(q_0, 0)]$$

$$= [q_0, A]$$

$$\lambda'([q_0, B]) = B$$

$$\begin{aligned}\delta'((q_1, B), 1) &= [\delta(q_1, 1), \lambda(q_1, 1)] \\ &= [q_1, B]\end{aligned}$$

$$\lambda'([q_1, B]) = B$$

Hence

	0	1	Output
[q <sub>0</sub> , A]	[q <sub>0</sub> , A]	[q <sub>1</sub> , B]	A
[q <sub>0</sub> , B]	[q <sub>0</sub> , A]	[q <sub>1</sub> , B]	B

State	I/P	0	1	Output
$[q_0, A]$		$[q_0, A]$	$[q_1, B]$	A
$[q_0, B]$		$[q_0, A]$	$[q_1, B]$	B
$[q_1, A]$		$[q_1, B]$	$[q_0, A]$	A
$[q_1, B]$		$[q_1, B]$	$[q_0, A]$	B

The transition diagram will be,

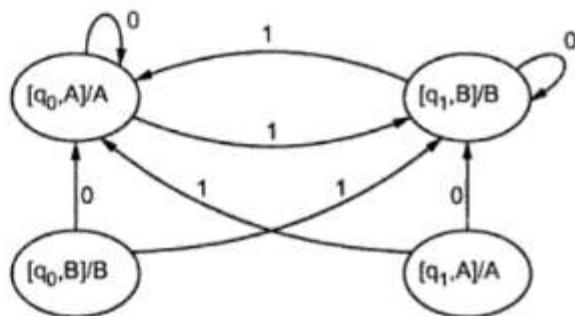


Fig. 2.64

→ Example 2.32 : Convert the following Mealy machine into equivalent Moore machine.

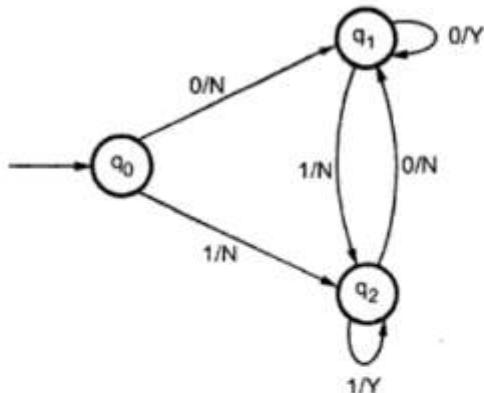


Fig. 2.65

Solution : We will write the transition table for given transition graph -

	Input 0	Output	Input 1	Output
$q_0$	$q_1$	N	$q_2$	N
$q_1$	$q_1$	Y	$q_2$	N
$q_2$	$q_1$	N	$q_2$	Y

Now we will find out the states and corresponding outputs for Moore machine.  
The states for Moore machine will be -

$$[q_0, N], [q_0, Y], [q_1, N], [q_1, Y], [q_2, N], [q_2, Y]$$

Now let us calculate  $\delta'$  and  $\lambda'$  for all the above given states -

$$\delta'([q_0, N], 0) = [\delta(q_0, 0), \lambda(q_0, 0)]$$

$$= [q_1, N]$$

$$\lambda'([q_0, N]) = N$$

Similarly,

$$\delta'([q_0, N], 1) = [\delta(q_0, 1), \lambda(q_0, 1)]$$

$$= [q_2, N]$$

$$\lambda'([q_0, N]) = N$$

The partial transition table is -

State	I/P	0	1	Output
	[q <sub>0</sub> , N]	[q <sub>1</sub> , N]	[q <sub>2</sub> , N]	N

Now, for remaining states the corresponding transitions and outputs can be obtained as follows -

$$\begin{aligned}\delta'([q_0, Y], 0) &= [\delta(q_0, 0), \lambda(q_0, 0)] \\ &= [q_1, N]\end{aligned}$$

$$\lambda'([q_0, Y]) = Y$$

$$\begin{aligned}\delta'([q_0, Y], 1) &= [\delta(q_0, 1), \lambda(q_0, 1)] \\ &= [q_2, N]\end{aligned}$$

$$\lambda'([q_0, Y]) = Y$$

$$\begin{aligned}\delta'([q_1, N], 0) &= [\delta(q_1, 0), \lambda(q_1, 0)] \\ &= [q_1, Y]\end{aligned}$$

$$\lambda'([q_1, N]) = N$$

$$\begin{aligned}\delta'([q_1, N], 1) &= [\delta(q_1, 1), \lambda(q_1, 1)] \\ &= [q_2, N]\end{aligned}$$

$$\lambda'([q_1, N]) = N$$

$$\delta'([q_1, Y], 0) = [\delta(q_1, 0), \lambda(q_1, 0)]$$

$$= [q_1, Y]$$

$$\lambda'([q_1, Y]) = Y$$

$$\delta'([q_2, N], 0) = [\delta(q_2, 0), \lambda(q_2, 0)]$$

$$= [q_1, N]$$

$$\lambda'([q_2, N]) = N$$

$$\delta'([q_2, N], 1) = [\delta(q_2, 1), \lambda(q_2, 1)]$$

$$= [q_2, Y]$$

$$\lambda'([q_2, N]) = N$$

$$\delta'([q_1, Y], 1) = [\delta(q_1, 1), \lambda(q_1, 1)]$$

$$= [q_2, N]$$

$$\lambda'([q_1, Y]) = Y$$

$$\delta'([q_2, Y], 0) = [\delta(q_2, 0), \lambda(q_2, 0)]$$

$$= [q_1, N]$$

$$\lambda'([q_2, Y]) = Y$$

$$\delta'([q_2, Y], 1) = [\delta(q_2, 1), \lambda(q_2, 1)]$$

$$= [q_2, Y]$$

$$\lambda'([q_2, Y]) = Y$$

The transition table can be draw as -

<del><math>\Sigma</math></del> State	0	1	Output
$[q_0, N]$	$[q_1, N]$	$[q_2, N]$	N
$[q_0, Y]$	$[q_1, N]$	$[q_2, N]$	Y
$[q_1, N]$	$[q_1, Y]$	$[q_2, N]$	N
$[q_1, Y]$	$[q_1, Y]$	$[q_2, N]$	Y
$[q_2, N]$	$[q_1, N]$	$[q_2, Y]$	N
$[q_2, Y]$	$[q_1, N]$	$[q_2, Y]$	Y

Unit - 3

Regular Set :- Any set that represent the value of the Regular expression is called as Regular Set

Regular Expression

$$M = (S, T, F, S_0, F)$$

where  $S \Rightarrow$  Finite Set of State  
 $S \Rightarrow$  Finite Set of Symbol / Alphabet

$T \Rightarrow$  Input Function  $S^* \rightarrow S$

$F$  - Mapping Function  $S^* \rightarrow S$

$S_0 \Rightarrow$  Starting Symbol

$S_F \Rightarrow$  Final Symbol

Property of Regular Set :-

Property of Regular Set is Regular

Property 1 : The union of two Regular Set is Regular

Proof

Let us take two Regular Expression

$R_E = a(aa)^*$  &  $R_E = (aa)^*$  (String of

So  $L_1 = \{a, aaa, aaaa, \dots\}$  (odd length excluding NULL)

$L_2 = \{\epsilon, aa, aaaa, \dots\}$  (even length including NULL)

$L_1 \cup L_2 = \{\epsilon, a, aa, aaa, aaaa, \dots\}$  (string of all possible length including NULL)

$$R_E(L_1 \cup L_2) = a^*$$

Hence its proved.

②

**Property 4:** The difference of two Regular Set is Regular

**Proof:-**

Let us take two Reg Expression

$$RE_1 = a(a^*) \quad RE_2 = (aa)^*$$

So,  $L_1 = \{a, aa, aaa, aaaa, \dots\}$  {all possible length excluding NULL}

$L_2 = \{\epsilon, aa, aaaa, aaaaaa, \dots\}$  {all possible even length including NULL}

$L_1 - L_2 = \{a, aaa, aaaaa, aaaaaa, \dots\}$  {string of all odd length excluding NULL}

$$RE(L_1 - L_2) = a(aa)^*$$

Hence, proved

**Property 5:** The Reversal of a Regular Set is Regular.

**Proof:-** we have to prove  $L^R$  is also regular if  $L$  regular.

a regular set

$$L = \{01, 10, 11, 101\}$$

$$RE(L) = 01 + 10 + 11 + 101$$

$$L^R = \{10, 01, 11, 011\}$$

$$RE(L^R) = 01 + 10 + 11 + 101$$

Hence its proved

Property 6: The closure of a Regular Set is Regular.

Proof:-

If  $L = \{a, aaa, aaaa, \dots\}$  (String of odd length excluding NULL)

$$i.e. RE(L) = a(aa)^*$$

$L^* = \{a, aa, aaa, aaaa, aaaaa, \dots\}$  (String of all length excluding NULL)

$$RE(L^*) = a(a^*)^*$$

Hence Proved

Property 7: The concatenation of two Regular set is Regular

Proof

$$Let RE_1 = (0+1)^* 0 \quad \& \quad RE_2 = 01(0+1)^*$$

Here  $L_1 = \{0, 00, 10, 000, 010, \dots\}$  (Set of strings ending in 0)

$L_2 = \{01, 010, 011, \dots\}$  (Set of strings beginning with 01)

$L_1 L_2 = \{001, 0010, 0011, 0001, 00010, \dots\}$

$$RE = (0+1)^* 001 (0+1)^*$$

Hence proved.

Eg Construct the R.F for the language accepting all the strings which 'a' is over the set  $\Sigma = \{a\}$

Soln  $L = \{\epsilon, a, aa, aaa, \dots\}$

$$Q = a^*$$

Eg 2 Design the R.F for the language accepting all combination of "a's" except null string over  $\Sigma = \{a\}$

Soln  $L = \{a, aa, aaa, \dots\}$

$$Q = a^+$$

Eg 3 Design the R.F for language containing all the strings containing any number of a's and b's

Soln  $Q, L = \{\epsilon, a, aa, ab, b, \dots\}$

$$= (a+b)^*$$

Eg 4: Construct the R.F for the lang accepting strings ending with 0 or 1

all the strings which are over the set  $\Sigma = \{0, 1\}$

$L = \{01, 001, 011, \dots\}$

$$= (0+1)^* 00$$

## Regular Expression:-

Let  $\Sigma$  be an alphabet which is used to denote the input set. The Regular expression over  $\Sigma$  can be defined as follows:

$\emptyset \Rightarrow$  Reg. Exp which denotes the empty set  
 $\epsilon \Rightarrow$  Reg. Exp & denote the set  $\{\epsilon\}$  and its null String

For each  $a$  in  $\Sigma$  is a regular exp and denote by set  $\{a\}$

If  $r$  &  $s$  are Reg. Exp denoting the lang  $L_1$  and  $L_2$  respectively

union  $r+s$  equivalent  $L_1 \cup L_2$  i.e union

Concatenation

$(r,s)$  equivalent to  $L_1 L_2$

Closure

$r^*$  equivalent to  $L^*$

Kleen closure

The  $r^*$  is known as Kleen closure which indicate occurrence of  $r$  for number of times

$L^* = \{ \epsilon \} \cup L \cup L^2 \cup \dots$

Eg 5, If  $L = \{ \text{The Language starting and ending with a } \}$  having any combination of b's between that what is?  
 $L = \{ abab, abba, abbba \dots \}$   
 $\therefore \gamma = ab^* a$

Eg 6 Describe the Simple English the Language represented by the following Regular Expression

$$\gamma = (a+b)^*$$

Soln

$$L_r = \{ a, aba, abab, aab \dots \}$$

Eg 6 Write Q-F to denote the Language L over  $\Sigma$ , where  $\Sigma = \{ a, b, c \}$  in which every string will be such that any number of a's followed by any number of b's is followed by any number of c's

$$L = \{ abc, aabcc, aaabbcc \dots \}$$

$$= a^* b^* c^*$$

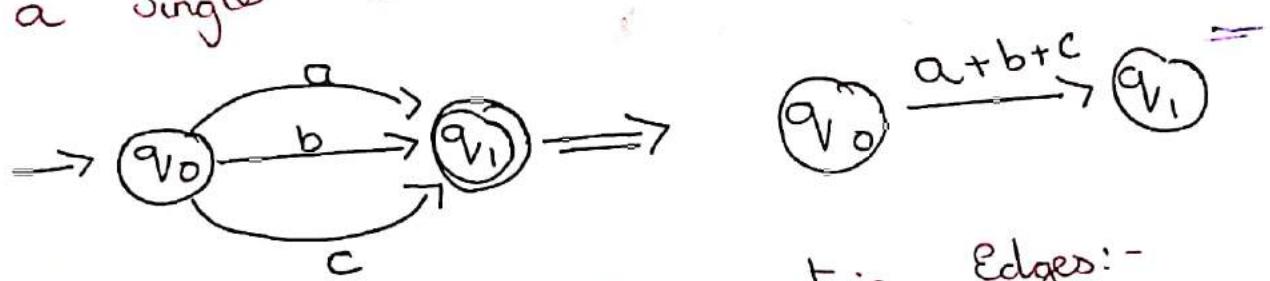
# Finite Automata to Regular Expression

Geon Constraints:

While converting from FA (DFA / NFA) to Regular Expression for Final we always write Regular Expression for Final

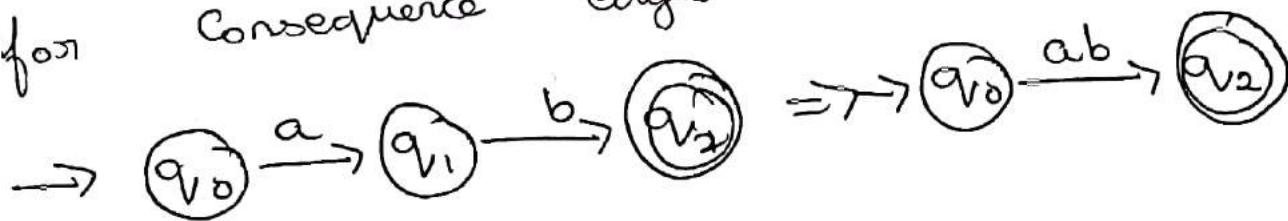
State.

- A Stage having more than one edge into a Single Direction



than one edge into

- A Stage having consecutive edges by using multiplication RE for consequence edges:-

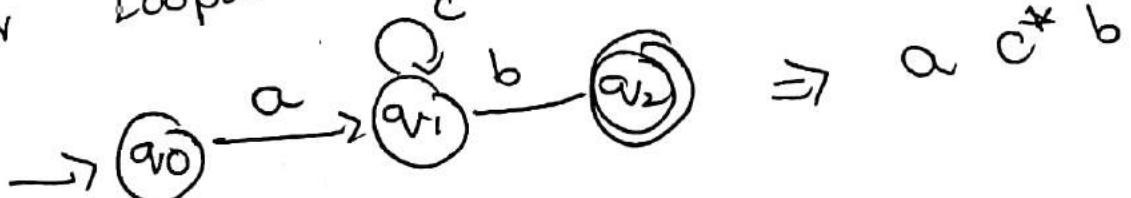


Loops There are two types of loop

Self Loop

for loops

we use closure (\*) sign



if a State has more than one Self loop

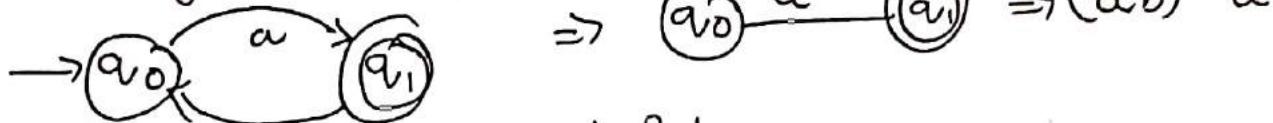


State rep.  $q_1$  can repeat any combination of  
a & b then:-  $a [c + d]^* b$

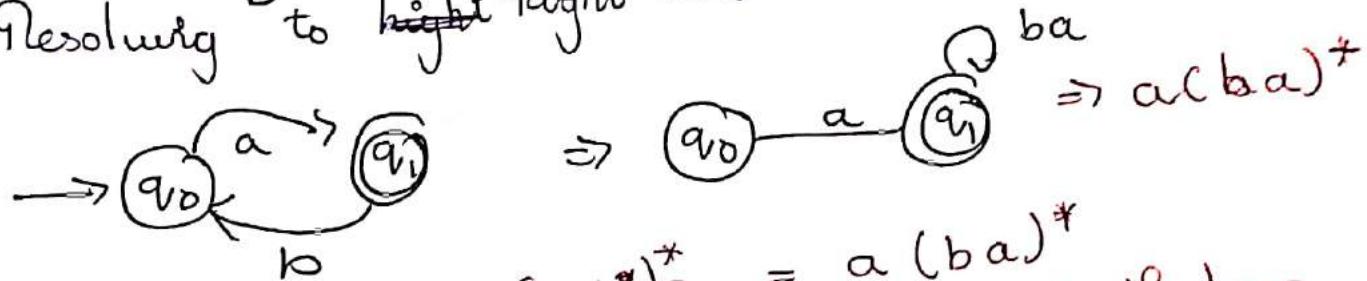
2) Loop between the two states



1, Resolving  $b$  to Left Side

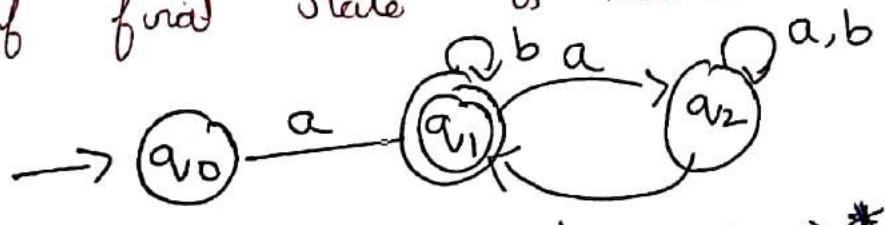


2, Resolving  $b$  to Right Side



$(ab)^* a = a(ba)^*$   
state with the Loop

If final State is middle



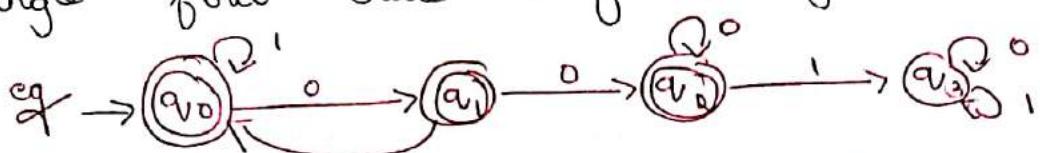
$$a(b + a(a+b)^* b)^*$$

③

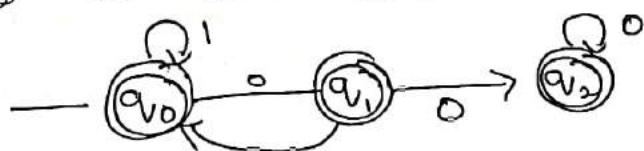
If finite automata has more than one final state

In this case we have to write Regular Expression for all the state and combine it the result

In other case we can convert them into single final state using  $\epsilon$  symbol

Solve

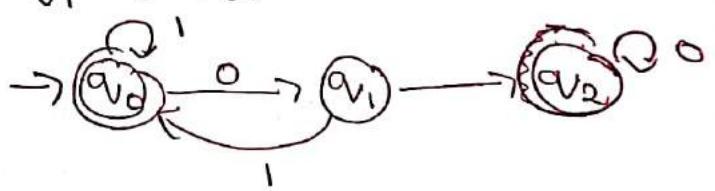
$\therefore$  The State  $q_3$  have no does not reach final state So we consider it as Trap / Dead State



R.E for  $q_0$  [ Assume  $q_1$  &  $q_2$  are non final ]

$$q_0 = [1 + 01]^*$$

$q_1$  &  $q_2$  are non final ]



R.E for  $q_1$  [ assume  $q_0$  &  $q_2$  are Non final ]

$$q_1 = [1 + 01]^* 0$$



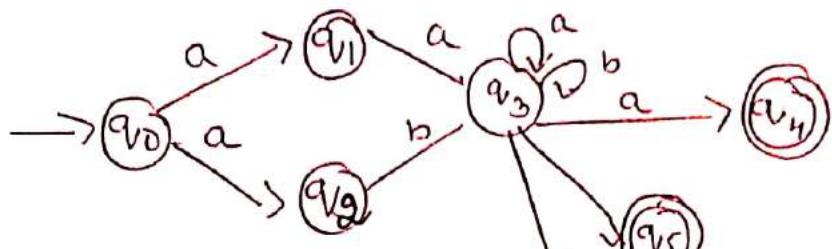
R.E for  $q_2$  [ assume  $q_0$  &  $q_1$  are non final ]

$$q_2 = [1 + 01]^* 00^*$$



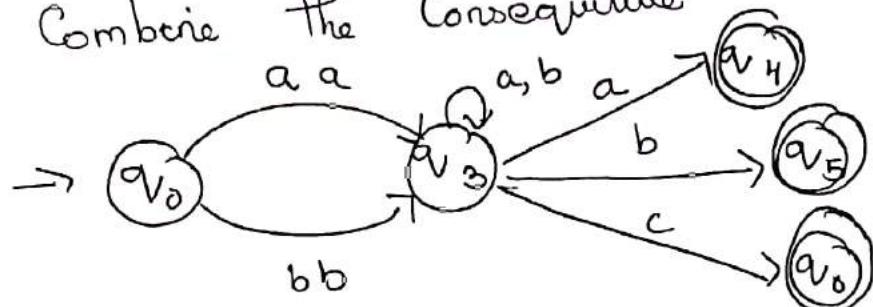
$$\text{RE} = [1 + 01]^* + [1 + 01]^* 0 + [1 + 01]^* 00^* - [1 + 01]^* [\epsilon + 0 + 00^*]$$

② Convert FA to Regular Expression:-



Step 1

Combine the consecutive State by multiplication



RE for  $q_4$  [assuming Remaining NF]

$$q_4 \Rightarrow (aa + bb)(a+b)^* a$$

RE for  $q_5$

$$q_5 = (aa + bb)(a+b)^* b$$

RE for  $q_6$   $q_6 = (aa + bb)(a+b)^* c$

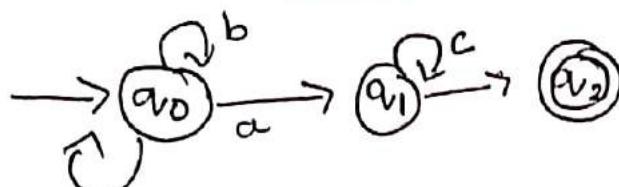
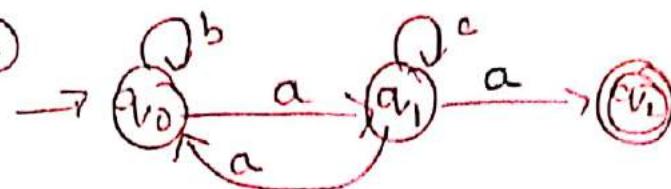
Combine of all the Result

$$\begin{aligned} RE &= [aa + bb][a+b]^* a + [aa + bb] \\ &\quad [a+b]^* b + [aa + bb] + \\ &\quad [a+b]^* c \\ &= [aa + bb] [a+b]^* [a+b+c] \end{aligned}$$

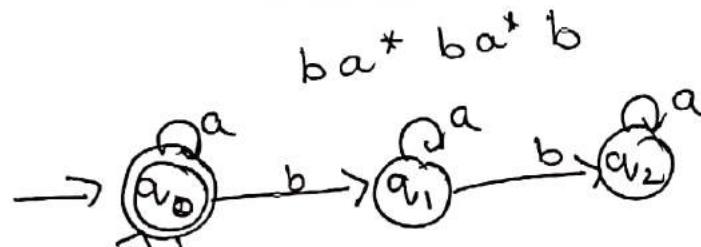
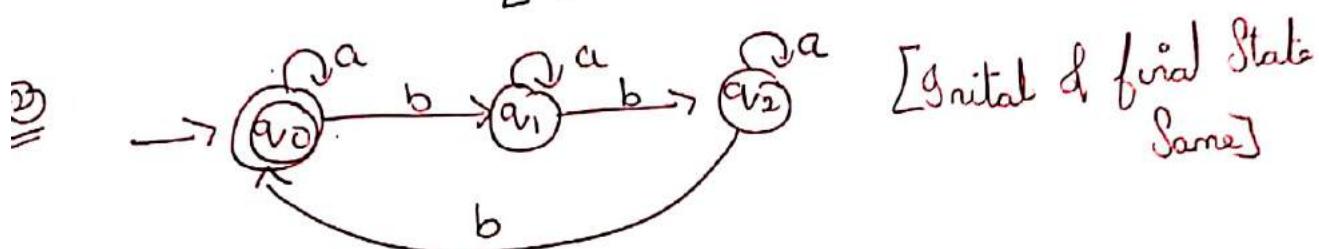
[Take Common Term]

## Problem for Reverse Loop -

Problem ①

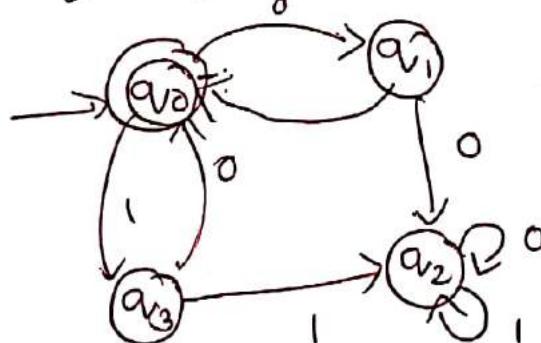


$$[b + a^{*}a]^{*} a^{*}a$$

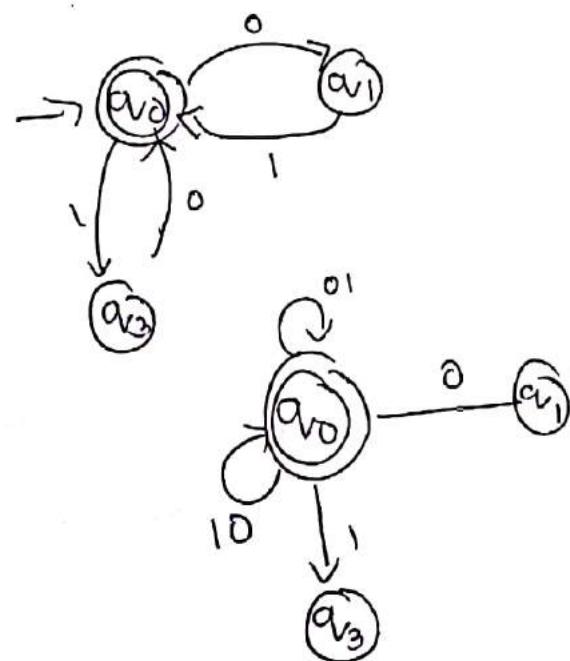


$$ba^{*}ba^{*}b \Rightarrow [a + ba^{*}ba^{*}b]^{*}$$

With Dead / Trap State

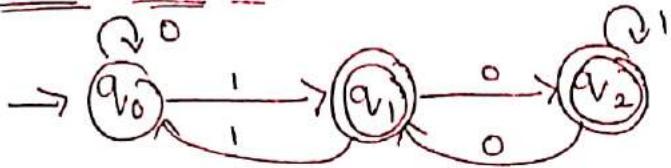
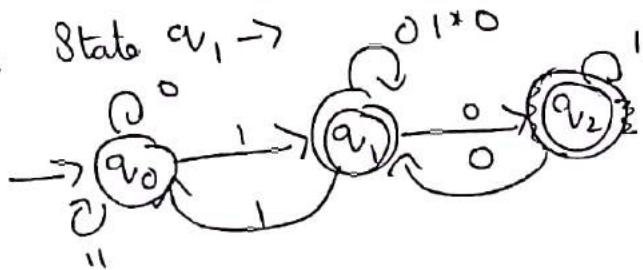


~~Dead~~

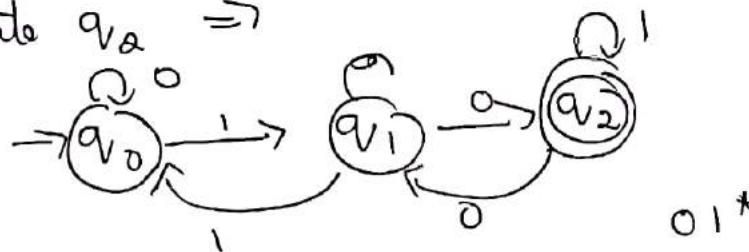


(6)

### Problem on Loop

For State  $q_1 \rightarrow$ 

$$(0+11)^* 1 (01^* 0) = \Sigma$$

For State  $q_2 \Rightarrow$ 

$$@ R = (0+11)^* 1 (01^* 0) * 01^*$$

Combine

$$(0+11)^* 1 (01^* 0) + (0+11)^* 1 (01^* 0) * 01^*$$

ARDEN'S Theorem:-

If  $P$  &  $Q$  are two Regular Expressions over  $\Sigma$  and If  $P$  does not contain  $\epsilon$  then the following equation in  $R$  given by  $R = Q + RP$  has a unique solution that is  $R = QP^*$

$$R = Q + RR \rightarrow ①$$

Sub  $R = QP^*$  in ①

$$= Q + QP^* P \\ \Rightarrow Q(\epsilon + P^* P) \quad [\epsilon + R^* R = R^*]$$

$$= QP^*$$

∴ Hence its proved

② prove  $R = QP^*$  is Unique Solution

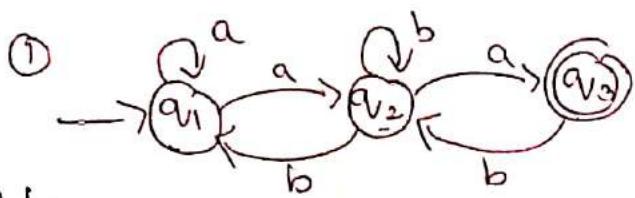
$$R = Q + RP \rightarrow ②$$

$$\text{giv } \cancel{R = QP^*} \rightarrow ①$$

Sub ② in ①

$$R = Q + [Q + RP] P \\ = Q + QP + RP^2 \text{ Sub } R = [Q + RP] \\ = Q + QP + [Q + RP] P^2 \\ = Q + QP + QP^2 + RP^3 \\ \vdots \\ = Q + QP + QP^2 + QP^3 \dots QP^n + \\ QP^* P^{n+1} \quad [\text{Take } Q \text{ as common}] \\ = Q [\epsilon + P + P^2 \dots P^n + P^* P^{n+1}] \\ = Q P^*$$

# NFA to Regular Expression Conversion



Soln

[check incoming transition]

$$q_3 \Rightarrow q_2 a \rightarrow ①$$

$$q_2 = q_1 a + q_2 b + q_3 b \rightarrow ②$$

$$q_1 \Rightarrow q_1 a \quad q_2 b + \epsilon \rightarrow ③$$

$$① \Rightarrow q_3 = q_2 a \quad [\text{Sub } q_2 \text{ value}]$$

$$= (q_1 a + q_2 b + q_3 b) a$$

$$= q_1 a a + q_2 b a + q_3 b a \rightarrow ④$$

$$② \Rightarrow q_2 = q_1 a + q_2 b + q_3 b \quad [\text{Sub value of } q_3 \text{ from } ①]$$

$$= q_1 a + q_2 b + (q_2 a)^b$$

$$\Rightarrow q_1 a + q_2 b + q_2 a^b$$

$$q_2 \Rightarrow q_1 a + q_2 (b + a^b) \quad [R = Q + R^P]$$

$$q_2 \Rightarrow q_1 a \cdot (b + a^b)^* \rightarrow ⑤ \quad [R = Q P^* \text{ by above theorem}]$$

$$③ \Rightarrow q_1 = \epsilon + q_1 a + q_2 b = \quad [\text{Sub } q_2 \text{ value in } q_1]$$

$$q_1 = \underline{\epsilon} + q_1 a + \underline{(q_1 a (b + a^b)^*) b}$$

$$= \epsilon (a + a(b + a^b)^* b)$$

$$R = Q + R^P$$

$$R = Q P^*$$

$$q_1 = \epsilon + q_2 (b+ab)^* b^* \quad (G.R = R)$$

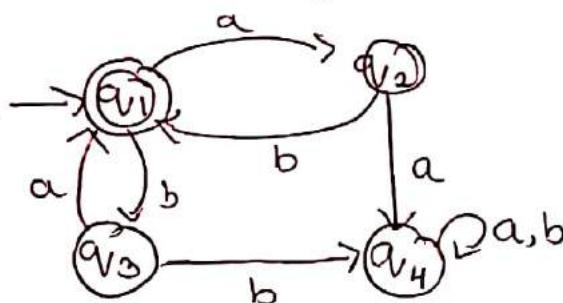
Sub all Value for final State

$$\begin{aligned} q_3 &= q_2 a \\ &= q_1 a (b+ab)^* a \\ q_3 &= (a + a(b+ab)^* b)^* a (b+ab)^* a \end{aligned}$$

[Sub value  $a_1$  from ⑥]

= Regular Exp for NFA

DFA to Regular Expression Conversion



Soln  $q_1 = \epsilon + q_2 b + q_3 a \rightarrow ①$

$$q_2 = q_1 a \rightarrow ②$$

$$q_3 \Rightarrow q_1 b \rightarrow ③$$

$$q_4 \Rightarrow q_2 a + q_3 b + q_4 ab$$

Take final State

$$① \Rightarrow q_1 = \epsilon + q_2 b + q_3 a$$

[Sub  $q_2$   $q_3$  value]

$$= \epsilon + q_1 ab + q_1 ba$$

$$= \epsilon + q_1 [ab + ba]$$

$$q_1 = f [ab + ba]^*$$

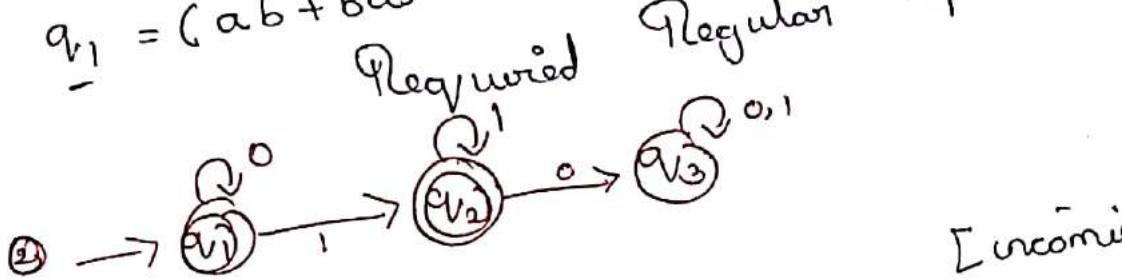
[by ackers theorem]

(10)

$$e^R = R$$

$$q_1 = \epsilon (ab + ba)^*$$

$$q_1^- = (ab + ba)^*$$



Soh

$$\Rightarrow q_1^- = \epsilon + q_1^- 0 \rightarrow ①$$

$$q_2^- \Rightarrow q_1^- 1 + q_2^- 1 \rightarrow ②$$

$$q_3^- \Rightarrow q_2^- 0 + q_3^- 0,1 \rightarrow ③$$

$$q_3^- = q_2^- 0 + q_3^- 0,1$$

~~one~~ final State

[By adans Theorem]

$$\frac{q_1^-}{P} = \frac{\epsilon + q_1^- 0}{R}$$

$$q_1^- = \epsilon 0^*$$

$$q_1^- = 0^* \rightarrow ④$$

Next final State

$$q_2^- = q_1^- 1 + q_2^- 1$$

$$\frac{q_2^-}{R} = \frac{0^* 1}{R} + \frac{q_2^- 1}{P}$$

$$=$$

$$q_2^- = 0^* 1 (1)^* \rightarrow ⑤$$

Combine the result ④ &amp; ⑤

$$= 0^* + 0^* 1 (1)^* \\ \downarrow + r c + 11^* \downarrow \leq 0^* (1)^* = 0^* r^*$$

DFA to RE: by Ravi Theorem:-



$$R_{ij}^k = R_{ij}^{(k-1)} + R_{ik}^{(k-1)} [R_{kk}^{(k-1)}]^* R_{kj}$$

$i \Rightarrow$  Starting State  $j \Rightarrow$  final State

$k \Rightarrow$  No. of nodes

$$R \Rightarrow \text{Regular Expression}$$

$$R_{12}^2 = R_{12}^1 + R_{12}^1 [R_{22}^1]^* R_{22}$$

Possibility

$$R_{11}^0 = 1 + \epsilon$$

$\varphi \Rightarrow$  ~~Does~~ No Path

$$R_{12}^0 = 0$$

$$R_{21}^0 = \varphi$$

$$R_{22}^0 = 0 + 1 + \epsilon$$

$$\begin{aligned} R_{12}^1 &= R_{12}^0 + R_{11}^0 [R_{11}^0]^* R_{12} \\ &= 0 + (1 + \epsilon) (1 + \epsilon)^* (0) \\ &= 0 + (1 + \epsilon) 1^* 0 \end{aligned}$$

$$= 0 + 1^* 0$$

$$= 0 + 1^* 0$$

$R_{12}^1 = 1^* 0$

$$\begin{aligned}
 R_{22}^1 &= R_{22}^0 + R_{21}^0 [R_{11}^0]^* R_{10}^0 \\
 &= (0+1+\omega) + \varphi [1+\omega]^* 0 \\
 &\quad , (0+1+\omega) \quad \left[ \varphi \times \text{anything} = \varphi \right]
 \end{aligned}$$

$$R_{22}^1 = (0+1+\omega)$$

$$\begin{aligned}
 R_{12}^2 &= R_{12}^1 + R_{12}^1 [R_{22}^1]^* R_{22}^1 \\
 &= 1^* 0 + [1^* 0 [0+0+1]^* [0+0+1]] \\
 &= 1^* 0 + [1^* 0 [0+0+1]^* [0+0+1]] \\
 &= 1^* 0 + [1^* 0 [0+1]^* [0+0+1]] \quad \varepsilon + R = -R \\
 &= 1^* 0 + [1^* 0 (0+1)^*] \\
 &= 1^* 0 + 1^* \overline{(0+1)^*} \quad \text{⊗} +
 \end{aligned}$$

$$\boxed{R_{12}^2 = 1^* 0 (0+1)^*}$$

Regular Expression To Finite Automata  
Conversion

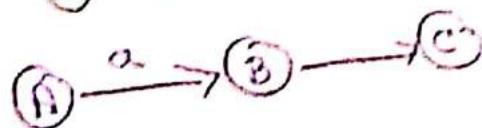
Regular Expression

To DFA with epsilon

①  $(a+b)$



②  $a \cdot b$



③  $a^*$

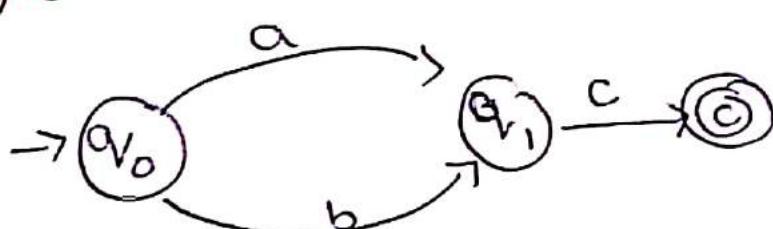


Convert the following Reg Expression to DFA  
Equivalent Finite Automata

1,  $ba^*b$

~~Q1~~  
 $L = \{bb, bab, baab, \dots\}$  [possible input]  
 Relevant  
 $\Rightarrow A \xrightarrow{b} B \xrightarrow{a^*} C$

④  $(a+b)^c$



$$L = \{ac, bc\}$$

③  $a(bc)^*$

(T)



$$L = \{ a, abc, abcbc, abcabc, abcabc\dots \}$$

An Example Proof using Identities of Regular Expression

Prove That  $(1+00^*1) + (1+00^*1)(0+10^*1)^*(0+10^*1)$  is equal to  $0^*1(0+10^*1)^*$

Proof

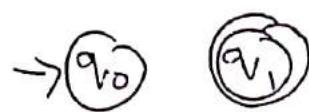
$$\begin{aligned} LHS &= (1+00^*1) + (1+00^*1)(0+10^*1)^*(0+10^*1) \\ &\quad (\text{Take the common term}) \\ &= (1+00^*1) \left[ \epsilon + (0+10^*1)^*(0+10^*1) \right] \\ &\quad \in + R^*R = R^* \\ &= (1+00^*1)(0+10^*1)^* \\ &= (\epsilon \cdot 1 + 00^*1)(0+10^*1)^* \quad \epsilon \cdot R = R \\ &= (\epsilon \cdot 00^*) \cancel{1} (0+10^*1)^* \\ &= \cancel{(\epsilon + 00^*)} (0+10^*1)^* \\ &= 0^*1(0+10^*1)^* \quad \in + R^*R = R^* \end{aligned}$$

$$LHS = RHS$$

Hence its proved.

# Regular Expression To Finite Automata [Thompson's Construction]

①  $R = \emptyset$



$f(q) = \{ q \Rightarrow \text{Non-path}\}$

$\{\epsilon \Rightarrow \text{without input moving to next state}\}$

②  $R = \epsilon$

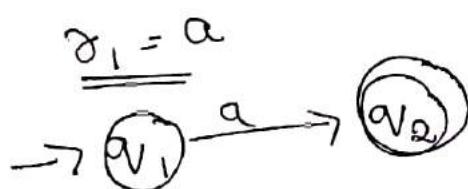


③  $R = a$

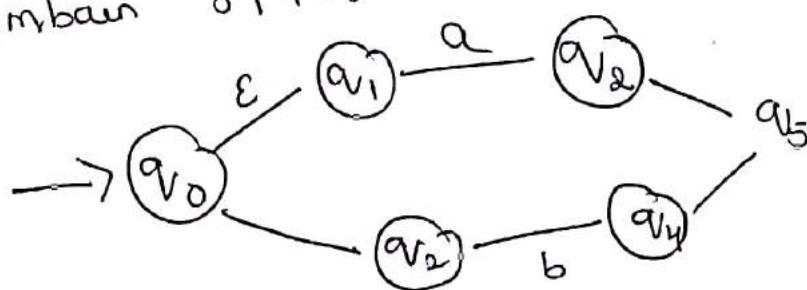


④  $R = a + b$

$$\tau_1 = a \quad \tau_2 = b$$



Combine  $\tau_1 + \tau_2$



$$⑤ Q = a^*$$

16

$$L = \{ \epsilon, a, aa, aaa, \dots \}$$

$$\gamma_1 = a$$

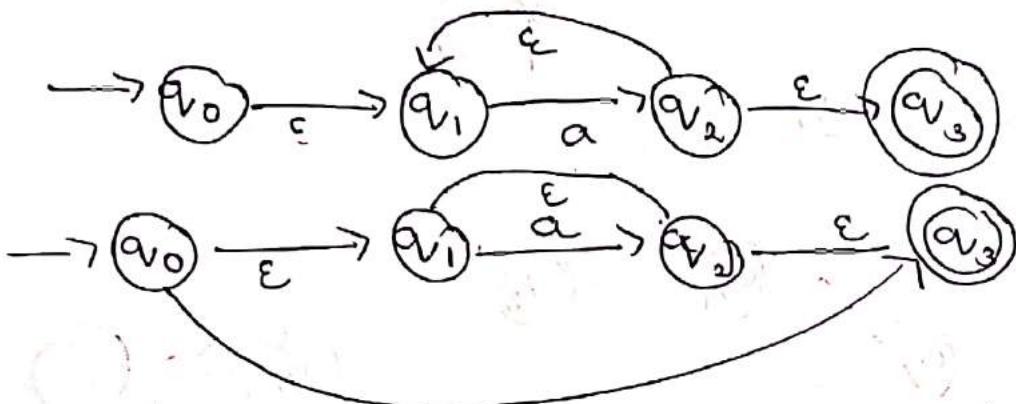
For any combination of 'a'

Start State =  $q_1$ , Final State =  $q_2$

$$Q_2 \text{ to } Q_1$$



$$L = \{ a, aa, aaa, \dots \}$$



$$L = \{ \epsilon, a, aa, aaa, \dots \}$$

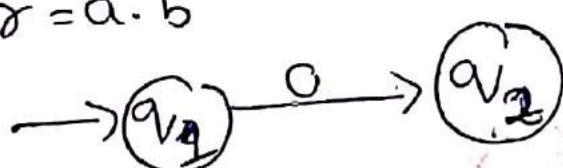
$$) Q = a \cdot b$$

$$\gamma_2 = b$$

$$\gamma_1 = a$$

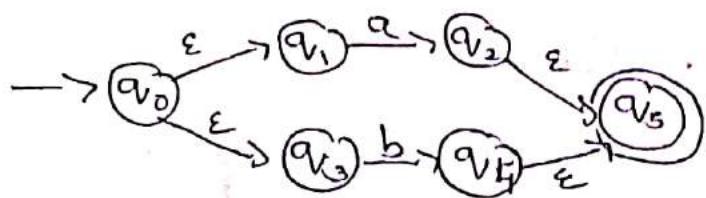


$$\gamma = a \cdot b$$

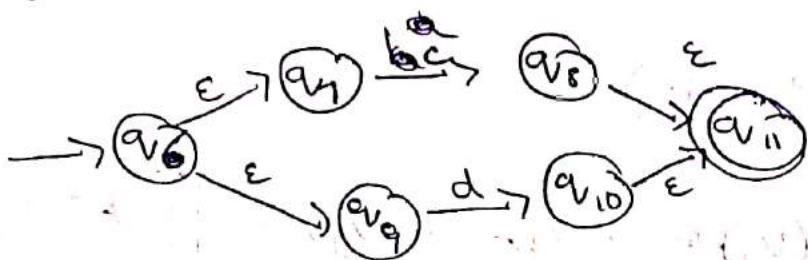


$$R = (a+b)(c+d)$$

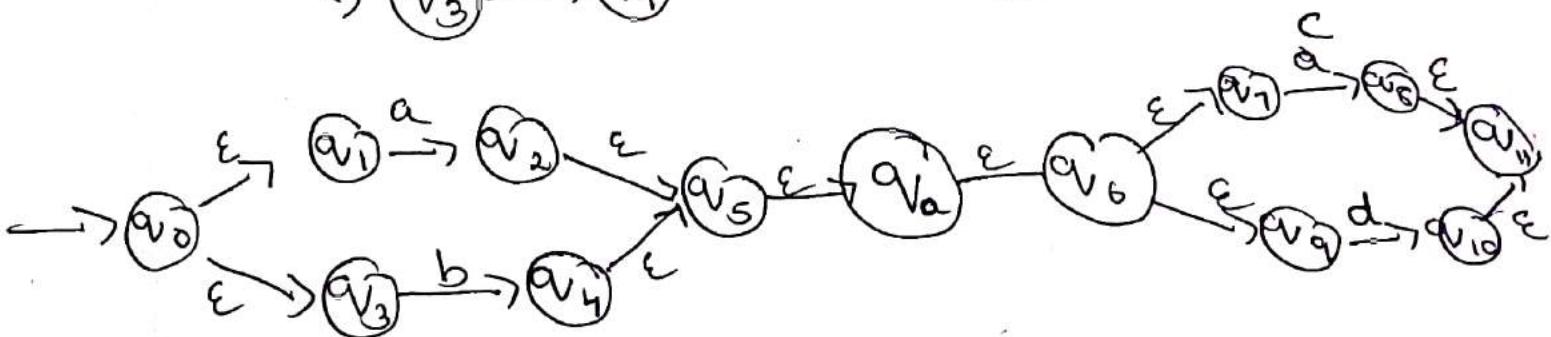
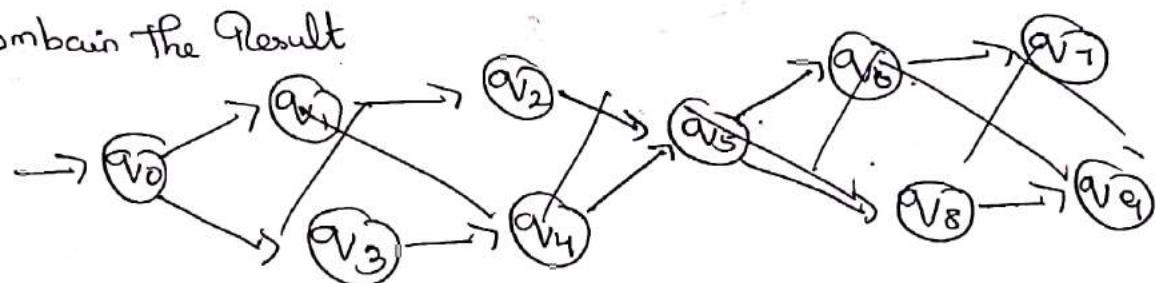
$$\gamma = (a+b)$$



$$\gamma = (c+d)$$



Combine the Result



## Pumping Lemma for Regular Grammar

Theorem: Let  $L$  be a QL then there exist a constant 'p' such that for every string  $w$  in  $L$

$|w| \geq p$   
we can break ' $w$ ' into three strings

$$|y| > 0$$

$$|xy| \leq p$$

for all  $k \geq 0$

$w = xyz$  such that

[ $w$  - String  
 $|w|$  - length of  
String]

the string  $xyz^k$  is also in  $L$

### Application

Pumping Lemma is to be applied to show that certain languages are not regular. It should never be used to show a lang is regular.

If  $L$  is regular, it satisfies pumping lemma

If  $L$  does not satisfy pumping lemma  
it's not regular

To Prove the Lang Not Regular  
→ At first we have to assume that

$L$  is regular

→ So, the pumping lemma should hold

function  $L$

- It has to have a pumping length (say  $P$ )  
 → All strings longer than  $P$  can be pumped  
 → Now find a string  $w \in L$  such that  $|w| \geq P$   
 → Divide  $w$  into  $xyz$   
 → Show the  $xyz \in L$  function some i  
 → Then consider all ways that  $w$  can be  
 divided into  $xyz$   
 → Show that none of these can satisfy all  
 3 pumping condition at the same time  
 → Show that  $w$  cannot be pumped  $\Rightarrow$  contradiction  
 →  $w$  cannot be pumped  $\Rightarrow$  Not Regular using

$L = \{a^n b^n / n \geq 1\}$   $a$  is Not Regular using  
pumping Lemma.

Sols

Assume that  $L$  is Regular

Pumping length  $= P$

$p = 7$

assume

$S = a^P b^P$

$S = \{a a a a a a a a b b b b b b b b\}$

Case 1 - The Y is in the 'a' part

aa a aaaa bbb bbb  
 $x \quad y \quad z$

[we are going to  
 Split into 3 there  
 was no constraint  
 for splitting but  
 for position  $a-1^{th}$   
 $y-2^{nd}$  part  $z-$   
 last part]

Case 2: The Y is in 'b' part

aaaaaaa bbb bbb  
 $x \quad y \quad z$

Case 3: The Y is in the 'a' & 'b' part

aaaa aaa bbb bbb  
 $x \quad y \quad z$

i=2 case 1  
 $xyz = xy^2z$

$$x = aa \quad y = aaaa \\ z = bbbbb$$

aaaaaaa aaaaabb.b.bbb.bbb  
 $\gamma(a's) \neq \gamma(b's)$

$i=2$

$xyz$  in Case 2       $x = aaaaaca$   
 $xyz = xy^2z$        $y = bbbbb$   
 $xyz$        $z = bb$

aaaaaaaabb bbbb bbbb b  
 $\gamma(a's) \neq \gamma(b's)$

$=2$  case 3

$$xyz = xy^2z$$

aa aaaa aabb aabb bbbbb  
 $\gamma(a's) \neq \gamma(b's)$  gt does not follow pattern

$$|xy| \leq p$$

Hence its proved this Lang Not Regular

Q Show that  $L = \{0^n 1^{n+1} \mid n > 0\}$  is not Regular

Sol:

assume L is Regular Language  
Pumping Length = 3

$$S = 0^p 1^{p+1}$$

$$P = 3$$

$$S = 0^3 1^4$$

$$S = \{0001111\}$$

Case:

$$\begin{array}{c} x \quad y \quad z \\ \overbrace{000}^x \quad \overbrace{111}^z \\ \quad \quad \quad \quad \quad \quad \end{array}$$

$$\Rightarrow x = 0 \quad y = 00 \quad z = 111$$

$$\Rightarrow 000001111$$

$$\Rightarrow 5 \neq 4$$

$$|xy| > p \quad \checkmark$$

$$|xy| \leq p$$

$$5 \leq 3 \times$$

L is Not Regular.

### 14. Closure:

If  $L_1$  is regular Lang its Kleen closure  $L_1^*$  will also be regular.

$$\text{eg } L_1 = \{a, b\}$$

$$L_1^* = \{a, b\}^*$$

Complement:-

If  $L(C)$  is regular Lang its complement  $L'(C)$  will also be regular.

$$\text{eg } L(C) = \{a^n \mid n > 3\}$$

$$L'(C) = \{a^n \mid n \leq 3\}$$

### Difference:

If  $L$  and  $M$  are regular Languages then so  $L - M = \{ \text{String in } L \text{ but not in } M \}$  Let  $A$  and  $B$  be a DFA whose Lang are  $L$  &

respectively

Construct  $C$ , the product automaton of  $A$  &  $B$  make the final state of  $C$  be pair where  $A$ -state is final but  $B$ -state is not

### Positive Closure:

$R^3$  is regular Expression whose lang is  $L$ ,  $M$ .  $R^+$  is regular expression whose

Lang  $L^+$

Reversive Operator

Gives Long  $L$ ,  $L^R$  as the set of strings  
whose reversal is in  $L$

eg  $L = \{0, 01, 100\}$

$$L^R = 0, 10, 001$$

Homomorphism:-

A homomorphism on an alphabet is a function that gives string for each symbol in that alphabet  $h(L) = \{h(w) : w \in L\}$

eg  $\Sigma = \{a, b\}, \Delta = \{0, 1, 2\}$   
 $= h(a) = 01, h(b) = 112$   
 $L = a^*b$   
 $h(L) = h(a^*b) = h(a)^*h(b) = (01)^*112$

Inverse homomorphism:

Let  $h$  be an homomorphism and  $L$  a language whose alphabet is the output language of  $h$ .

of  $h$   $h^{-1}(L) = \{x \mid h(x) \text{ is in } L\}$

eg Let  $\Sigma = \{0, 1, 2\}$   $\Delta = \{a, b\}$   
 $h(0) = ab$

$$h(0) = a$$

$$h^{-1}(L) = ?$$

$$\begin{aligned} L &= a^*b \\ &= a^* \{a, ba, babc, bababa, \dots\} \\ &= \{a, aba, abab, ababab, \dots\} \\ &= \{a, 100, 110, \dots\} \\ &= \{0, 10, 02, 102, \dots\} \end{aligned}$$

$$\begin{aligned} y &\Rightarrow 10 \\ 0^2 &\Rightarrow 02 \\ 1^2 &\Rightarrow 02 \end{aligned}$$

## Closure Properties of Regular Language

(Q) 20

Union:- If  $L_1$  and  $L_2$  are two regular Lang and their union  $L_1 \cup L_2$  will also be regular.

e.g.  $L_1 = \{a^n \mid n \geq 0\}$  &  $L_2 = \{b^n \mid n \geq 0\}$

$$L_3 = L_1 \cup L_2$$

$$= \{a^n \cup b^n \mid n \geq 0\}$$

$\Rightarrow$  It's a regular.

## Concatenation

If  $L_1$  and  $L_2$  are two regular Lang their concatenation  $L_1 \cdot L_2$  will also be regular.

e.g.  $L_1 = \{a^n \mid n \geq 0\}$  &  $L_2 = \{b^m \mid m \geq 0\}$

$$L_3 = L_1 \cdot L_2$$

$$= \{a^m b^m \mid m \geq 0 \text{ & } n \geq 0\}$$

It's regular.

## Intersection

If  $L_1$  and  $L_2$  are two regular Lang

their intersection  $L_1 \cap L_2$  will be regular

$$L_1 = \{a^m b^n \mid n \geq 0 \text{ & } m \geq 0\} \text{ & }$$

$$L_2 = \{a^m b^n \cup b^n a^m \mid n \geq 0 \text{ & } m \geq 0\}$$

$$L_3 = L_1 \cap L_2 = \{a^m b^n \mid n \geq 0 \text{ & } m \geq 0\} \text{ Regular}$$

n L.H. 10.5

## a

### Regular Linear Grammar and FA Equivalence

Let  $M = (\{q_0, q_1, \dots, q_n\}, \Sigma, \delta, q_0, F)$  be a DFA.

The Equivalent Grammar can be constructed from given DFA such that production corresponded to transition  $\delta(q_i, a) = q_j$ .

By the transitions terminating we can encounter the final state.

Let  $G = (\{A_0, A_1, \dots, A_n\}, \Sigma, P, A_0)$

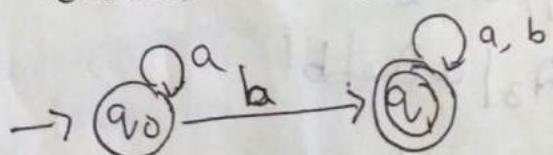
where  $P$  is the set of production Rule  
1,  $A_i \rightarrow a A_j$  is a production Rule if

$$\delta(q_i, a) = q_j \quad \& \quad q_j \in F$$

2,  $A_i \rightarrow a A_j$  and  $A_i \rightarrow a$  are production rule if  $\delta(q_i, a) = a$  where  $a \in F$

Thus given grammar is accepted by DFA  $M$ .

Problem on FA to Regular Grammar for given DFA  
eg 4.3 Construct Regular Grammar for given DFA



Soln

$$G = (V, T P S)$$

$$V = \{A_0, A_1\}$$

$$T = \{a, b\}$$

$$A_0 \rightarrow a A_0 \quad A_1 \rightarrow a A_1 | a$$

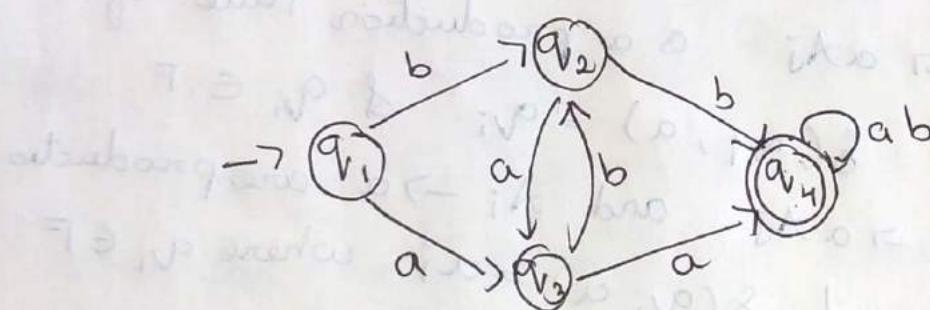
$$A_0 \rightarrow b | A_1 \quad A_1 \rightarrow b A_1 | b$$

$$A_0 \rightarrow b$$

$$A_0 \rightarrow a A_0 | b A_1 | b$$

$$A_1 \rightarrow a A_1 | b A_1 | a | b$$

③ Construct a Regular Grammar for given FA



Soln

$$V = (V T P S) \text{ where}$$

$$V = \{A_0, A_1, A_2, A_3\}$$

$$q_1 = A_0$$

$$q_2 = A_1$$

$$q_3 = A_2$$

$$q_4 = A_3$$

④ Production

$$A_0 \rightarrow b A_1 | a A_2$$

$$A_1 \rightarrow b A_3 | a A_2 | b$$

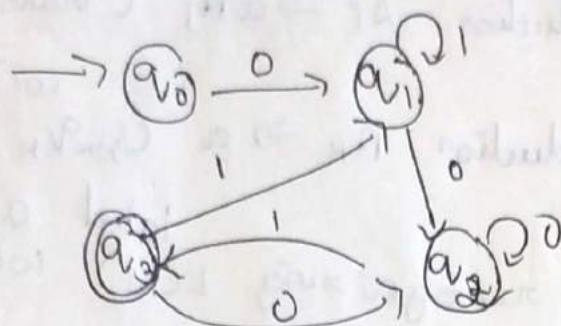
$$A_2 \rightarrow b A_1 | b A_3 | a$$

$$A_3 \rightarrow a A_3 | b A_3 | a | b$$

$$3 \cdot b \cdot 4^{10^b}$$

b

③ Construct Regular grammar for



Soln

$$V = \{ A_0, A_1, A_2, A_3 \}$$

$$A_0 \rightarrow 0A_1$$

$$A_1 \rightarrow 1A_1 \mid 0A_2$$

$$A_2 \rightarrow 0A_2 \mid 1A_3 \mid 1$$

$$A_3 \rightarrow 0A_2 \mid 1A_1 \mid \epsilon$$

IV Construction of FA from Regular Grammar.

Let  $G = (V, \Sigma, P, A_0)$  be Regular grammar

We can construct DFA M whose

① States correspond to Variable

② Initial State correspond to  $A_0$

③ Transition M correspond to production P

If there is a production from  $A_i \rightarrow a$   
the corresponding transition terminal at a new state  
(final state)

$$\text{DFA} \Rightarrow M = (q_0, q_1, \dots, q_n, q_f) \mid \Sigma, \delta, q_0, q_f$$

Thus S defined

- ① Each production  $A_i \rightarrow a A_j$  (trans from  $q_i$  to  $q_j$  with label a)
- ② Each production  $A_k \rightarrow a$  (from  $q_k$  to  $q_s$  with label a)

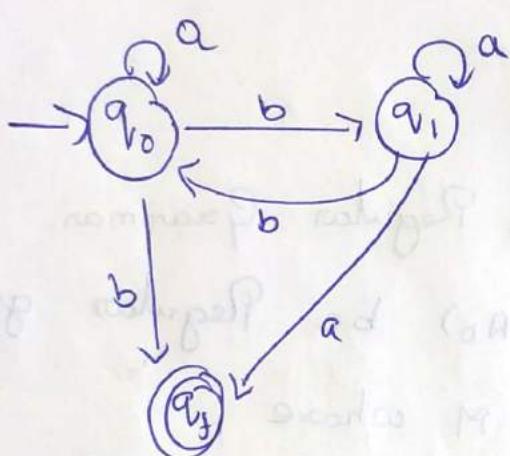
Construct a FA recognizing  $L(G_1)$  where  $G_1$  is the grammar

$$S \rightarrow aS | bA | b$$

$$A \rightarrow aA | bS | a$$

Soln

$$M = (\{q_0, q_1, q_2\}, \{a, b\}, \delta, q_0, \{q_1, q_2\})$$



## Context Free Grammar:-

⇒ Context Free grammar is a formal grammar

which is used to generate all possible string in a given formal language

⇒ CFG can be define by four tuples as

$$G_1 = (V, T, P, S)$$

$V \setminus N \rightarrow$  Non terminal

$T \rightarrow$  Terminal

$P \Rightarrow$  Production Rule

$S \Rightarrow$  Start Symbol

→ If production Rule

$$\begin{aligned} A &\Rightarrow a \\ a &\in (V \cup T)^* \end{aligned}$$

eg  $A \Rightarrow \epsilon$

$A \Rightarrow BCD$

## Context

$$\textcircled{1} \quad a A b \Rightarrow bb$$

$a \Rightarrow$  left context of A

$b \Rightarrow$  Right context of A

$$\textcircled{2} \quad \epsilon A \epsilon$$

No context

## Capabilities of CFG

⇒ CFG is used to describe most of the programming languages.

⇒ If the grammar is properly designed then an efficient parser can be constructed automatically.

⇒ CFG is capable of describing nested structures like balanced parentheses, matching begin-end, corresponding if then-else & so on.

## Properties of CFG:-

① Based on number of strings it generates

If CFG is generating finite number of strings then CFG is Non-Recursive.  
If CFG can generate infinite number of strings then the grammar is said to be Recursive grammar.

② Based on number of derivation tree.

If there is only 1 derivation tree then the CFG is unambiguous.

If there are more than 1 derivation tree then CFG is ambiguous.

eg Recursive Grammar:-

$$\textcircled{1} \quad S \rightarrow SaS$$

$$S \rightarrow b$$

$$L = \{b, bab, babab\}$$

$$\textcircled{2} \quad S \rightarrow Aa$$

$$A \rightarrow Ab | c$$

$$L = \{ca, cba, cbba\}$$

eg Non Recursive Grammar:-

$$S \rightarrow Aa$$

$$A \rightarrow b | c$$

$$L = \{ba, ca\}$$

Context Free Language:-

In formal language theory a context free language is language generated by some

CFG

The set of all CFL is identical to the set of languages accepted by pushdown automata

Conversion of Language to Grammar.

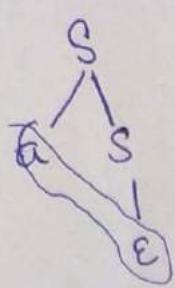
$$L = \{a^n | n \geq 0\}$$

Soln

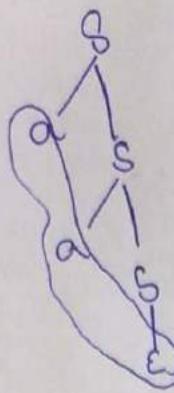
$$L = \{\epsilon, a, aa, \dots\}$$

$$S \rightarrow aS | \epsilon$$

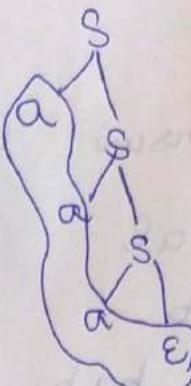
3. b 1



ip = a



ip = aa



ip = aaa

②  $L = \{ \text{any combination of } a's \text{ & } b's \}$

Solo

$$L = (a+b)^*$$

$L = \{ \epsilon, a, b, ab, ba, aa, abb \}$

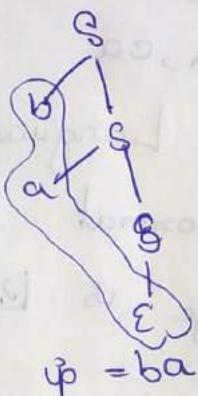
$$S \rightarrow aS \mid bS \mid \epsilon$$



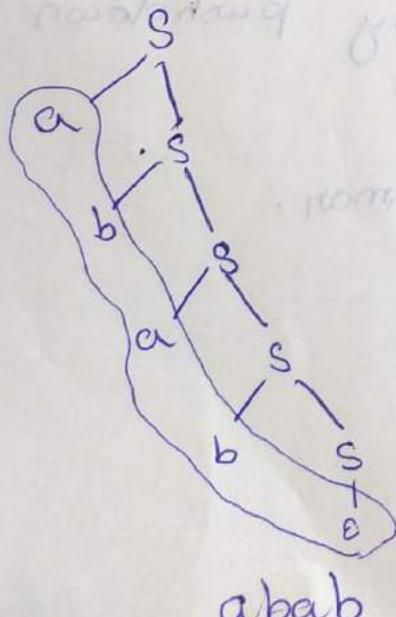
ip = a



ip = ab



ip = ba



abab

Generating grammars from Language

1)  $L = \{a^n b^m \mid n \geq 1, m \geq 0\}$

$a^n \Rightarrow L = \{a, aa\}$

$L = aA/a$

$b^m \Rightarrow b^0 \Rightarrow 1 = \epsilon$

$L = \{\epsilon, b, bb, bbb \dots\}$

$\Rightarrow bB/\epsilon$

b)

$S \Rightarrow AB$

$A \Rightarrow aA/a$

$B \Rightarrow bB/\epsilon$

2)  $L = \{a^n b^m \mid (n+m) \text{ is even}\}$

$(aa)^*(bb)^* + a(aa)^* b(bb)^*$

$\boxed{\begin{array}{l} \text{even + even} \\ = \text{even} \end{array}}$

$\boxed{\begin{array}{l} \text{odd + odd} \\ = \text{even} \end{array}}$

$S \Rightarrow AB + aA^*bB$

$A \Rightarrow aaA/\epsilon$

$B \Rightarrow bbB/\epsilon$

NOTE

$L = (a^*)^* = \{\epsilon, a, aa, aaa \dots\}$

$(aa)^* = \{\epsilon, aa, aaaa, \dots\}$

$a(aa)^* = \{a, aaa, aaaaa, \dots\}$

$\Rightarrow L = \{a^n b^m \mid (n+m) \text{ is odd}$

$$(aa)^* b (bb)^* + a (aa)^* b (bb)^*$$

$$(E + 0 = 0) \quad (n+0 = n)$$

$S \rightarrow A b B \mid a A B$

$A \rightarrow aaA \mid \epsilon$

$B \rightarrow bbB \mid \epsilon$

④  $L = \{a^n b^m \mid n \geq 3, m \geq 2\}$

$n = 3 \quad m = 2$

$L = \{aaabb, aaaabbb, \dots\}$

$S \rightarrow aaaA b b B$

$A \rightarrow aA \mid \epsilon$

$B \rightarrow bB \mid \epsilon$

5.  $L = \{a^n b^n \mid n \geq 0\}$

$n = 4$

$L = \{\epsilon, ab, aabb, \dots\}$

$S \rightarrow a^8 b \mid \epsilon$

$$\textcircled{5} \quad L = \left\{ a^n b^m \mid n \geq \frac{m}{2}, m \in \mathbb{N} \right\}$$

Sols

$$L(G) = \{ ab, aab, aabb, aaabb \dots \}$$

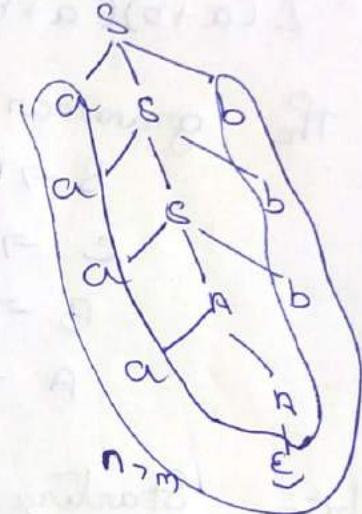
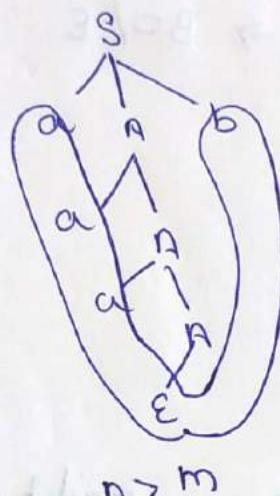
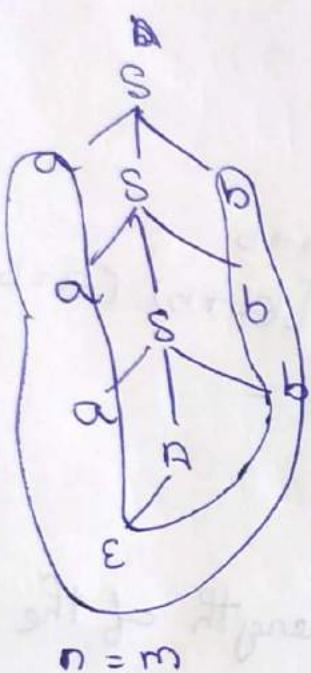
$$S \rightarrow aAb \mid a^8 b$$

$$A \rightarrow a^{\alpha} | \epsilon$$

$\sum \text{NOTE} \Rightarrow A \rightarrow a, A / e$

$$B \rightarrow aA \Rightarrow aaA \rightarrow aaa$$

$$L = \alpha^+$$



- ④ Find the grammar that generate all strings of a's & b's where each string is starting and ending with same symbol

$$RE = a(a+b)^* a + b(a+b)^* b + a + b$$

$$(a+b)^* = a^*$$

Sdn

The grammar is

grammar       $\frac{a}{b} \frac{Ab}{a} \frac{b}{ab}$

$$B \rightarrow aA | b\beta | \varepsilon$$

any combination of b

3. b (1 h)
- ② Starting and Ending with diff symbol
- $R.E =$
- ③ Length of string is odd (a & b input)
- $R.E = [(a+b)(a+b)]^* (a+b)$

Solu

$$(a+b) \Rightarrow A \rightarrow a/b$$

$$(a+b)(a+b) = B \Rightarrow AA$$

$$[(a+b)(a+b)]^* \Rightarrow C \rightarrow BC/\epsilon$$

The grammar is

$$S \rightarrow A C$$

$$C \rightarrow BC/\epsilon$$

$$B \rightarrow AA$$

$$A \rightarrow a/b$$

$$A = a+b$$

$$C = [(a+b)(a+b)]^*$$

- ④ String starting with zero and total length of the string even or if string with 1, then total length of the string is odd ( $\leq 0, 1$ )

Solu Regular Expression

$$\{0 + \text{odd}\} = \text{even}$$

$$\{1 + \text{even}\} = \text{odd}$$

$$R.E = 0 [ (0+1)(0+1)^{(0+1)} ]^* + 1 [ (0+1)(0+1) ]^*$$

$$(0+1) \Rightarrow A \rightarrow 0/1$$

$$(0+1)(0+1) \Rightarrow B \rightarrow AA$$

$$[c(o+1)(o+1)]^* = c \Rightarrow BC \mid \epsilon$$

B.b 13

The grammar is

$$S \Rightarrow oAc \mid c$$

$$c \Rightarrow BC \mid \epsilon$$

$$B \Rightarrow AA$$

$$A \Rightarrow o \mid 1$$

Grammar

## II Converter of Language to Grammar

① Develop a grammar for the language

$$L_1 = \{ w_c w^R, w \in (a,b)^* \}$$

where  $w = \text{word}$ ,  $c = \text{single character}$   $w^R = \text{word}$

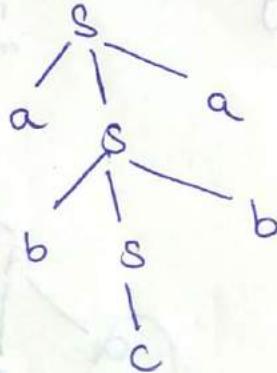
Soln

$$L = \{ abcbab, aabcbaa \dots \}$$

The grammar

$$S \Rightarrow aSa \mid bSb \mid c$$

input string abcbab



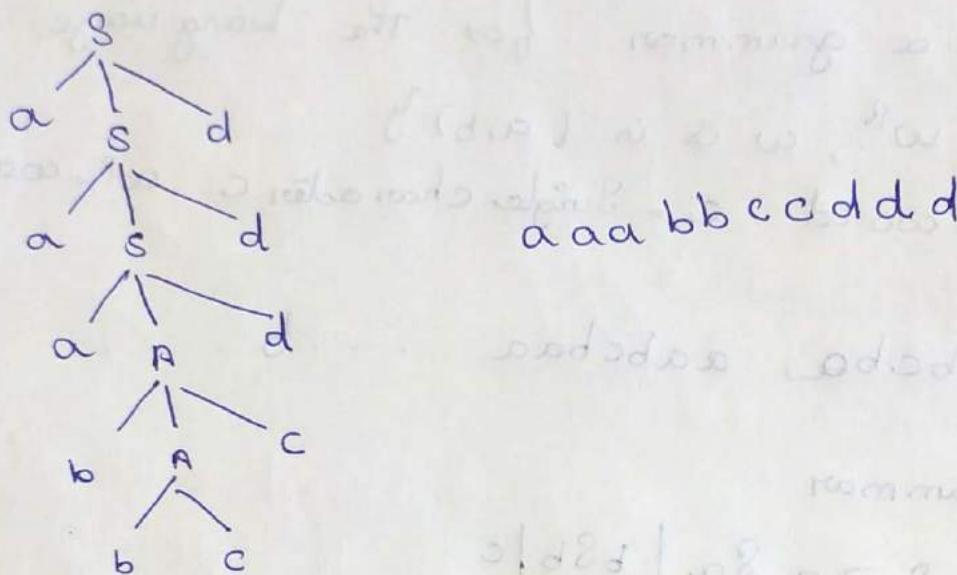
② Develop a grammar for the following language.  
 $L = \{ a^m b^n c^m d^n \}$  where  $m, n \geq 1$

SOL

$$S \rightarrow a S d \mid a A d$$

$$A \rightarrow b A c \mid b c$$

$$L = \{ a b b c c d, a a a b c c d d d \}$$



③  $L = \{ a^m b^n c^m d^n \}$  where  $m, n \geq 1$

$$G_1 = \{ (S, A, B) | (a b c d) \}$$

$$\begin{aligned} m &= 0 & m &\neq 0 \\ n &= 0 & n &\neq 0 \\ m=1 &= cd & a^0 b^0 c^1 d^1 & \text{not appear} \end{aligned}$$

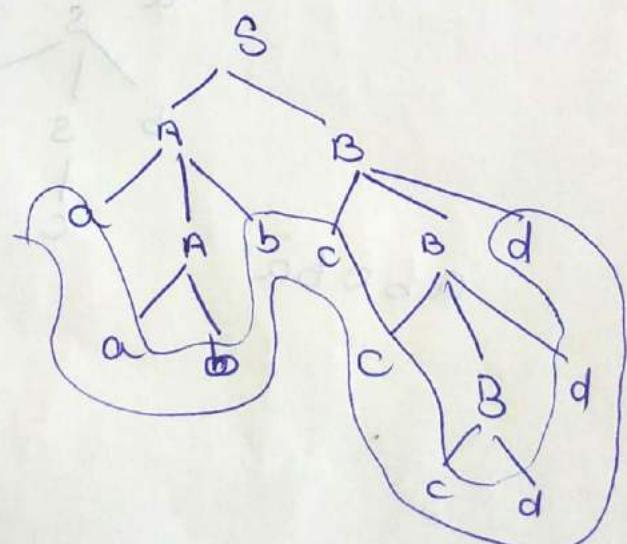
$$S \rightarrow A B$$

$$A \rightarrow a A b \mid a b$$

$$B \rightarrow c B d \mid c d$$

$$m=3 \quad n=2$$

$$a a b b c c c d d d$$



## Derivation

A grammar derives a String by beginning with the Start Symbol & repeatedly replacing a Nonterminal by the Right Side of a production for that Non terminal is called as derivation

& replacement according to a production

is Known as Derivation

Two type of Derivation

1, Left most Derivation

2, Right most Derivation / Canonical Derivation

① Left most Derivation

In this derivation the leftmost Nonterminal is replaced at every step

e.g Consider The production  
 $E \rightarrow E+E \mid E*E \mid id$  The input String  $id + id + id$

$$\text{Soln } E \rightarrow E+E$$

$$\rightarrow E+E+E$$

$$\rightarrow id + id + E$$

$$\rightarrow id + id + id$$

② Right most Derivation

In this derivation the <sup>Right</sup> left most nonterminal is expanded first

$$E \rightarrow E+\underline{E} \rightarrow E+E+\underline{E}$$

$$E+E+id$$

$$E+id+id \rightarrow id + id + id$$

3. b(17)

②

Derive the string 'aabbaabba' for  
Left Most Derivation & Right most derivation  
using CFG given by

$$S \rightarrow aB | bA$$

$$A \rightarrow a | aS | bAA$$

$$B \rightarrow b | bS | aBB$$

Soln Left Most Derivation

S

aB

a aB B

a a b B

a a b b S

aabb aB

aabb a b b S

aabb a b b A

aabb a b b a

Derivation

$$S \rightarrow aB$$

$$B \rightarrow aBB$$

$$B \rightarrow b$$

$$B \rightarrow bS$$

$$S \xrightarrow{a} B$$

$$B \xrightarrow{b} S$$

$$S \xrightarrow{b} A$$

$$S \xrightarrow{a}$$

TOOT - 2 f

$a a b b s$

$a a b b a b s$

$s \rightarrow aB$

$B \rightarrow b^s$

Right Most Derivation

$s$

$aB$

$aaBB$

$aaBbs$

$aaBbbA$

$aaBbba$

$aab^s bba$

$aabbabba$

$aabbabba$

$s \rightarrow aB$

$B \rightarrow aBB$

$B \rightarrow b^s$

$s \rightarrow b^A$

$A \rightarrow a$

$B \rightarrow b^s$

$s \rightarrow b^A$

$A \rightarrow a$

② Devise the string 1000111 for. If left most & right

derivation using CFG where

$V = \{s, T\} \quad T = \{0, 1, Y\}$

$P = \{s \rightarrow T^00T$

$T \rightarrow 0T | 1T | e\}$

Left Most

$s$

$T^00T$

$\overline{1}T^00T$

$1\overline{0}T^00T$

$10\overline{e}00T$

$s \rightarrow T^00T$

$T \rightarrow 1T$

$T \rightarrow 0T$

$T \rightarrow e$

a  
3-b (18)

9

④

10000T  $\rightarrow T \rightarrow IT$

10001IT  $\rightarrow T \rightarrow IT$

100011IT  $\rightarrow T \rightarrow \epsilon$

100111

Right Most Derivation

$S \rightarrow TOOT$

$S \rightarrow$

$T \rightarrow IT$

TOOT

$T \rightarrow IT$

T 00IT

$T \rightarrow IT$

T 001IT

$T \rightarrow \epsilon$

T 0011IT

$T \rightarrow IT$

T 00111

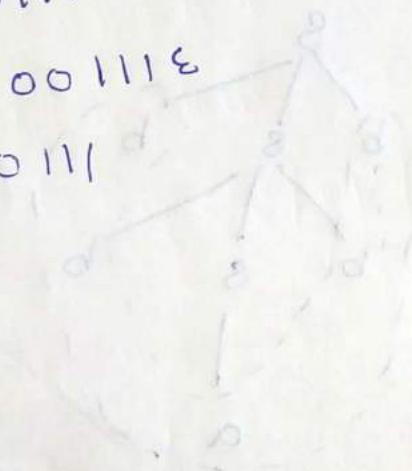
$T \rightarrow OT$

1TOO111

$T \rightarrow \epsilon$

10T 00111

1000111



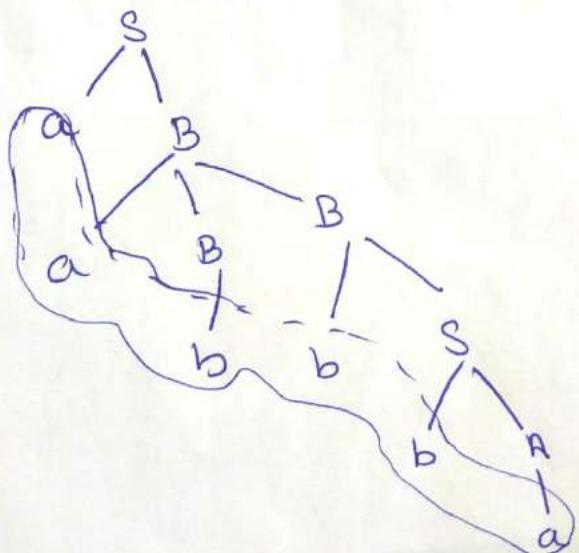
3-b(19)

Construct the derivation tree for the string aabb abba for CGF given by

$$\begin{aligned} S &\rightarrow aB \mid bA \\ B &\rightarrow a \mid as \mid bAA \\ A &\rightarrow b \mid bs \mid aBB \end{aligned}$$

Sols

$$\begin{array}{ll} S & S \rightarrow aB \\ aB & B \rightarrow aBB \\ a\cancel{B} & B \rightarrow b \\ aabb & B \rightarrow bs \\ aabb s & S \rightarrow aB \\ aabb \cancel{aB} & B \rightarrow bs \\ aabb \cancel{aB} s & S \rightarrow bA \\ aabb \cancel{aB} A & A \rightarrow a \\ aabb abba & \\ & aa \ bba \ bba \end{array}$$



3.b(20)

g  
f

## Sentential Forms:-

A Sentential form is the Start Symbol 'S' of a grammar (or) any string ( $V^*$ )<sup>\*</sup> that can be derived from 'S'

Consider the Grammar  $V = \{S, B\}$ ,  $T = \{a, b\}$

$P = \{S \rightarrow aS, S \rightarrow B, B \Rightarrow bB, B \Rightarrow \epsilon\}$

input String abb

A derivation using this grammar might look like this

$S \Rightarrow B$

$S \Rightarrow aS$

$B \Rightarrow bB$

$aB$

$B \Rightarrow bB$

$abB$

$B \Rightarrow \epsilon$

$abb$

$\epsilon$

Sentential form

$\{S, aS, abB, abb\}$

$\underline{\underline{abb}}$

$\underline{\underline{\epsilon}}$

$\underline{\underline{a}}$

$\underline{\underline{a}}$

$\underline{\underline{a}}$

$\underline{\underline{a}}$

$\underline{\underline{a}}$

$\underline{\underline{a}}$

Method to find whether a string belongs to a grammar or not

- ① Start with the closest production given String
- ② Replace the value with its most appropriate production. Repeat the process until the string is generated / until no other production left

Verify whether it's the Grammar

$$S \rightarrow 0B / 1A$$

$$A \rightarrow 0 / 0S / 1AA / \epsilon$$

$$B \rightarrow 1 / 1S / 0BB$$

Generate the String 00110101

Sols

$$S \rightarrow 0\underline{B}$$

$$\rightarrow 0\underline{0}B\underline{B}$$

$$\rightarrow 00\underline{1}B$$

$$\rightarrow 001\underline{1}S$$

$$\rightarrow 00110B$$

$$\rightarrow 00110\underline{0}B$$

$$\rightarrow 0011010B$$

$$\rightarrow 00110101$$

$$S \rightarrow 0B$$

$$B \rightarrow 0B^B$$

$$B \rightarrow 1$$

$$B \rightarrow B \rightarrow 1S$$

$$S \rightarrow 0B$$

$$B \rightarrow 1S$$

$$S \rightarrow 0B$$

$$B \rightarrow 1$$

$$00110101 =$$

Language:-

3. bl 21

Set of strings generate by any grammar

↳ Known as language.

I identify the Lang generate by grammar.

①  $A \rightarrow aA/a$  finit Lang

Soln

$$A \rightarrow a$$

$$\therefore L = \{a\}$$

$$A \rightarrow aA$$

$$L = \{aa, aaa, \dots\}$$

$$L = \{a, aa, aaa, \dots\}$$

$$L = a^*$$

$$S \rightarrow b^* | \epsilon$$

Soln

$$S \rightarrow \epsilon$$

$$L = \{\epsilon\}$$

$$S \rightarrow b^*$$

$$L = \{b, bb, bbb, \dots\}$$

$$\therefore L = \{\epsilon, b, bb, bbb, \dots\}$$

$$L = a^*$$

4)  $S \rightarrow \underline{AB}, A \Rightarrow a, B \Rightarrow b$

$S \rightarrow AB$

$$L = \{ab, b\}$$

5)  $S \rightarrow aS \mid bS \mid a$

So n

①  $S \rightarrow aS$

$$\left[ \begin{array}{l} L = \{aaa\} \\ \{S \Rightarrow aS \Rightarrow S \Rightarrow aS \\ \quad aAS \Rightarrow S \Rightarrow a \\ \quad \quad aaa \end{array} \right]$$

②  $S \Rightarrow a$

$$L = a$$

③  $S \rightarrow aS$

$$\left[ \begin{array}{l} L = ab \\ \{S \Rightarrow aS \\ \quad ab \in \\ \quad \quad ab \in \end{array} \right]$$

$$\begin{array}{l} S \Rightarrow S \\ S \Rightarrow \epsilon \end{array}$$

④  $S \rightarrow bS$

$$\begin{array}{l} \rightarrow bas \\ \rightarrow baa \end{array}$$

$$L = \{a, aaa, aba, baa, \dots\}$$

$$L = (a+b)^* a$$

6)  $S \rightarrow aS \mid bS \mid \epsilon$

①  $S \Rightarrow \epsilon$

$$L = \{\epsilon\}$$

2  $S \rightarrow aS$

$$\rightarrow a \cdot \epsilon$$

$$= a$$

$$L = \{\epsilon, a\}$$

$3 - b(\alpha^2)$

③  $S \rightarrow bS$

$\rightarrow b \cdot \epsilon$

$\rightarrow b$

$L = \{ \epsilon, a, b \}$

,  $s \Rightarrow b^a s$

$\rightarrow ab^a s$

$\rightarrow a b \epsilon$

$\rightarrow ab$

$s, S \Rightarrow b^{-s}$

$\rightarrow ba^s$

$\rightarrow ba \epsilon$

$\rightarrow ba$

$L = \{ \epsilon, a, b, ab, ba, bb, aa, \dots, (a+b)^* \}$