

Fast and Slow Oscillations in Human Primary Motor Cortex Predict Oncoming Behaviorally Relevant Cues

Maryam Saleh,² Jacob Reimer,^{3,5} Richard Penn,^{4,6} Catherine L. Ojakangas,⁴ and Nicholas G. Hatsopoulos^{1,2,3,*}

¹Department of Organismal Biology & Anatomy

²Committee on Computational Neuroscience

³Committee on Neurobiology

⁴Section of Neuosurgery, Department of Surgery
University of Chicago, Chicago, IL 60637, USA

⁵Present address: Department of Neuroscience, Baylor College of Medicine, One Baylor Plaza, Houston, TX 77030, USA

⁶Present address: Department of Neurosurgery, Rush University Medical Center, Chicago, IL 60612, USA

*Correspondence: nicho@uchicago.edu

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SUMMARY

Beta oscillations (12–30 Hz) in local field potentials are prevalent in the motor system, yet their functional role within the context of planning a movement is still debated. In this study, a human participant implanted with a multielectrode array in the hand area of primary motor cortex (MI) was instructed to plan a movement using either the second or fourth of five sequentially presented instruction cues. The beta amplitude increased from the start of the trial until the informative (second or fourth) cue, and was diminished afterwards. Moreover, the beta amplitude peaked just prior to each instruction cue and the delta frequency (0.5–1.5 Hz) entrained to the interval between the cues—but only until the informative cue. This result suggests that the beta amplitude and delta phase in MI reflect the subject's engagement with the rhythmically presented cues and work together to enhance sensitivity to predictable and task-relevant visual cues.

INTRODUCTION

Local field potential oscillations in the beta frequency range (12–30 Hz) are prevalent throughout the primate motor system, including the primary motor cortex (MI), the basal ganglia and the cerebellum (Courtemanche et al., 2002; Kühn et al., 2008a, 2008b; Murthy and Fetz, 1992; Sanes and Donoghue, 1993) and yet little is known about their functional relevance. When researchers first observed beta oscillations in MI, they speculated that the transient increases in beta amplitude during tactile exploration might be related to some form of attention (Bouyer et al., 1987; Murthy and Fetz, 1992). However, most subsequent studies of LFP beta activity primarily focused on the relationship between beta oscillations and external cues or movement execution, without considering their relationship to internal states (O'Leary and Hatsopoulos, 2006; Roux et al., 2006; Rubino et al., 2006).

For example, it is well known that in humans and other primates, the strength of motor cortical oscillations in the beta frequency range (12–30 Hz) varies in a characteristic way with reaching behaviors. Specifically, oscillations are enhanced during initial stationary hold and instruction periods, are attenuated during movement, and display an increase in power (“beta rebound”) during the postmovement period (Donoghue et al., 1998; Pfurtscheller and Lopes da Silva, 1999; Sanes and Donoghue, 1993; Williams et al., 2009; Zhang et al., 2008). Some researchers have speculated that the increase in beta amplitude during instruction periods is linked to increases in attention level but there is currently little direct evidence supporting this view (Donoghue et al., 1998; Roux et al., 2006; Sanes and Donoghue, 1993). However, in other cortical areas, there is increasing evidence that beta oscillations are related to some aspect of attention. In human frontal eye fields (FEF), beta oscillations are suppressed during periods of spatial attention (Siegel et al., 2008). In the monkey prefrontal and posterior parietal cortices, features of beta oscillations are correlated with serial covert shifts of attention in a visual search paradigm (Buschman and Miller, 2007, 2009). In mouse olfactory cortex, beta amplitude increases during a more difficult odor discrimination task (Kay et al., 2009; Martin et al., 2007).

Higher-frequency oscillations in the gamma frequency band (40–95 Hz) have been even more robustly linked to attention in sensory areas (Fell et al., 2003; Fries et al., 2008b; Vidal et al., 2006). Many studies in visual cortex have shown that gamma frequency oscillations are enhanced during attentive periods (Fries et al., 2002, 2008a; Mitchell et al., 2007; Sundberg et al., 2009). In auditory cortex, ECoG gamma amplitude increases while humans attend to an auditory cue (Ray et al., 2008); a similar phenomenon has been observed in human somatosensory cortex (Bauer et al., 2006, 2009).

Recently, lower frequency oscillations in the delta (1–4 Hz) band in visual cortex have been shown to entrain to the rhythm of attended cues in a task where audio and visual cues are presented in alternating sequence (Lakatos et al., 2008). Peaks in the delta oscillations are aligned in time with periods of increased gamma power. This suggests that the entrainment of the delta oscillation to the rhythm of the task enhances gamma oscillations around the attended cues (Schroeder and Lakatos,

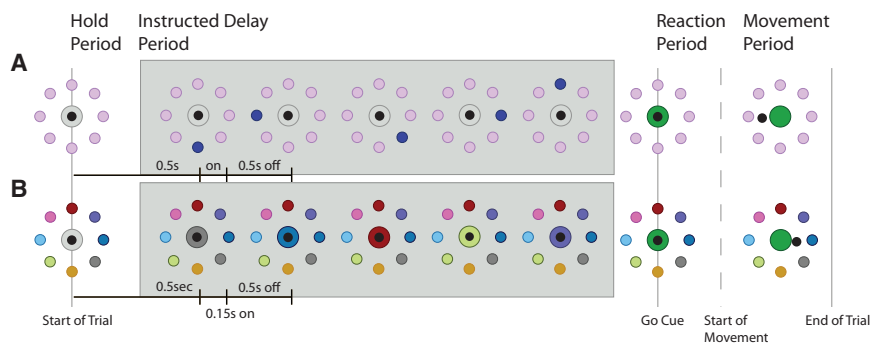


Figure 1. Two Versions of the 5-Instruction, 8-Direction, Center-Out Task with an Instructed Delay

Each task is separated into four different periods: the hold period, the instructed delay period, the reaction period and the movement period. Our study focuses on beta oscillation activity in the instructed delay period, where beta oscillations are most prevalent. The task in row A (*Spatial task*) presents 5 sequential instructions to the participant, each flashing for 150 ms followed by 500 ms intervals during which the instruction disappeared. The participant was instructed to use the second or the fourth instruction as the informative cue (Count 2 or Count 4 conditions). For the task in row B (*Associative task*), the participant was instructed to match the color of the center target with one of the peripheral targets.

2009). Such cross-frequency effects are reported in other areas of cortex and may play a role in increasing sensitivity to incoming attended stimuli (Isler et al., 2008; Palva et al., 2005; Sauseng et al., 2008; Steriade et al., 1993).

In this study, we test the hypothesis that the strength of beta oscillations in primary motor cortex varies with attention around task-relevant instructive cues. Specifically, we hypothesize that if beta amplitude varies with attention, we should find that beta oscillations are enhanced around the presentation of task-relevant cues, and are attenuated when the same cues are irrelevant. Furthermore, if these cues are presented in a predictable, rhythmic fashion, we expect to see evidence that the nervous system can take advantage of this predictability and modulate the beta activity in a “top-down” fashion prior to the arrival of the informative cue. In this case, we expect low-frequency oscillations in the delta range to entrain to the rhythm of attended, but not unattended, cues.

RESULTS

We had the unique opportunity to test these hypotheses in the knob area of the primary motor cortex of a tetraplegic individual with a BrainGate neuroprosthetic implant (Hochberg et al., 2006). The participant performed an instructed-delay, center-out task in which he executed a chin movement from a central position to one of eight peripherally positioned targets. During the instructed-delay period, a sequence of five potential instructive cues were presented with a fixed interstimulus interval (ISI), and at the subsequent “GO” cue, the participant was instructed to move to the target indicated by the second or fourth instruction (we refer to these two conditions as “Count 2,” and “Count 4,” respectively). This design required the participant to attend to the task (in order to keep track of the number of cues) until the informative cue appeared. In addition to varying the serial position of the informative cue, we also varied the cognitive load; in the simpler, “spatial” version of the task, the location of the informative cue directly indicated the target to be reached. In the “associative” version of the task, the participant had to locate the peripheral target with the same color as the central target in order to know which target to acquire at the go cue (Figure 1). In what follows,

we first describe the results for this associative task and then report the differences between the associative and spatial tasks.

Using a multielectrode array implanted in the arm area of the primary motor cortex, we recorded multiple local field potential signals (LFP) while the human subject performed the task. Single-trial LFP traces during the instructed-delay period (Figure 2A, top panel) reveal fast oscillations in the beta frequency range (Figure 2A, middle panel) as well as slower oscillations in the delta frequency range (Figure 2A, bottom panel). Power spectra measured around the onset of the informative cue demonstrate local peaks in the delta frequency band, around 0.5–1.5 Hz, and in the beta frequency band, between 12 and 30 Hz (Figure 2B). Although the amplitude of the beta oscillation (Figure 2C, upper panel) and the phase of the slower delta oscillation (Figure 2D, upper panel) vary with features of the task, these LFP features are highly redundant across different electrodes within the same trial (mean pair-wise correlation coefficients > 0.76), and so they are averaged across electrodes in order to improve the signal-to-noise ratio (Figures 2C and 2D, lower panels).

Variation in Beta Amplitude around the Instructive Cues

The averaged spectrograms (across trials, channels and experiments) for the Count 2 and Count 4 condition are shown in Figures 3A and 3B. Beta oscillations in the MI local field potential are prevalent throughout the hold and instructed delay periods but are primarily concentrated between the first and the informative instruction cues. We refer to this period as the attended period. In the attended period, the amplitude of the beta oscillations (average 12–30 Hz power) peaks 50 ms before each instruction (Figure 3C). From the onset of the informative cue to the end of the movement period, the amplitude of the beta oscillations is diminished. The beta amplitude in the Count 4 condition is significantly higher at the third and fourth (informative) instruction cues than it is in the Count 2 condition, where the second cue is informative (T_{958} , $p < 0.001$ for third and fourth instructions; Table 1).

This characteristic temporal profile of beta amplitude modulation is visible even in single trials (Figures 3D and 3E). For each 1 ms time point, we report the percentage of trials (out of a total of 480 trials gathered over 3 experiments) that show significantly

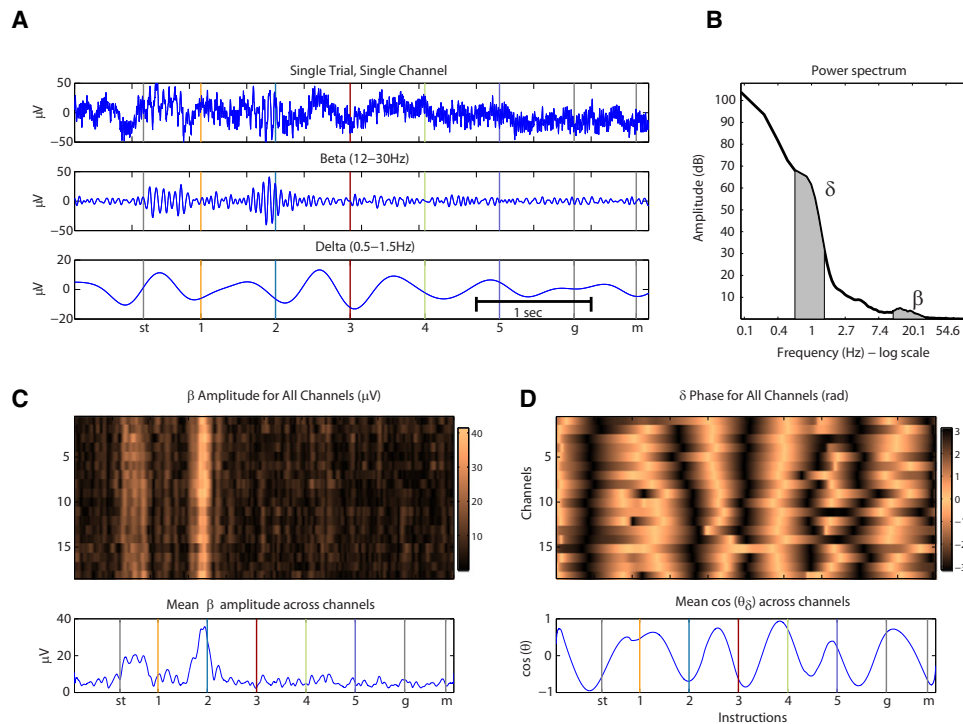


Figure 2. Beta and Delta Oscillations in Local Field Potentials

(A) Single trial trace extracted from the Count 2 condition during the associative task for one channel (top), filtered between 12 and 30 Hz (middle), and filtered between 0.5 and 1.5 Hz (bottom).

(B) Power spectrum from one channel, computed across 2 s windows and averaged over all trials, recorded during the Count 2 condition. Delta and beta frequency bands are highlighted in black. See also Figure S1.

(C) (Top) Amplitude of the signal (in μ volts) in the beta frequency band (12–30 Hz) for a single trial in the Count 2 condition, for all channels. (Bottom) Mean beta amplitude, in μ V, across all channels.

(D) (Top) Phase of the signal in the delta frequency band (0.5–1.5 Hz), for all channels. (Bottom) The mean of the cosine of the phase of the delta cycle.

more power than the mean power across the entire trial (z test, $p < 0.001$; Figure 3F). In the Count 2 condition, the sharpest drop in this percentage occurs between the second and third instructions (87% to 36%), whereas in the Count 4 condition, the sharpest drop occurs later, between the fourth and fifth instructions (52% to 16%; Table 1). Similarly, a local peak in beta power 50 ms before each instruction is also visible in single trials (Figures 3D and 3E).

In addition to the sharp drop in beta amplitude after the informative cue, there is also a gradual decay in beta amplitude during the attended period. The mean amplitude of the local peaks, computed in 200 ms windows around each instruction cue, decreases significantly from the second to the fifth instructions (ANOVA, $p < 0.001$; post hoc paired t test between sequential cues, T_{479} , $p < 0.001$ in all three cases; Figure 3C).

Entrainment of Delta Phase

Delta oscillations in the MI local field potential are entrained to the rhythm of the task-relevant instruction cues. Since the power spectrum displays a peak at 1 Hz (Figure 2B), we band-pass filtered the signal between 0.5 Hz and 1.5 Hz and calculated the instantaneous Hilbert phase for all trials. The phase distribution across trials is significantly non-uniform around most of the cues (Rayleigh test; Table 2), and the mean resultant vector

magnitude, a measure of phase entrainment, increases from the start of the trial to the informative cue and drops afterwards (C2A and C4A, Resultant Magnitude, and p value, Table 2).

While the mean phase of the delta frequency oscillation is not identical across all five cues in either condition (Watson-Williams multisample test for equal means [WW_m], $p < 0.001$), a post-hoc pairwise comparison reveals that the phase values are consistent during the attended period for each condition (Figures 4A–4D; Table 2, Phase): in the Count 2 Associative task the mean phase is consistent at the first and second instruction (Watson-Williams pairwise test [WW_p], $p = 0.6309$), and in the Count 4 Associative task, the mean phase is consistent from the first to the fourth instruction (WW_p, $p > 0.1122$).

To test whether the effects we describe above are specific to the 0.5–1.5 Hz frequency range, we computed the mean resultant vector magnitude of the signal filtered in different 1 Hz frequency windows, ranging from 0.5 Hz to 5 Hz. For frequencies larger than 1.5 Hz, the mean resultant vector magnitude is not significant (z test, $p > 0.05$; see Delta Phase in Experimental Procedures) and the distribution of phases at the instruction cues are not significantly different from uniform (Rayleigh test, $p > 0.05$; see Figure S1 available online). Finally, we examined the variations in the amplitude of the delta-frequency oscillation to look for a similar relationship with the instruction cues across the various

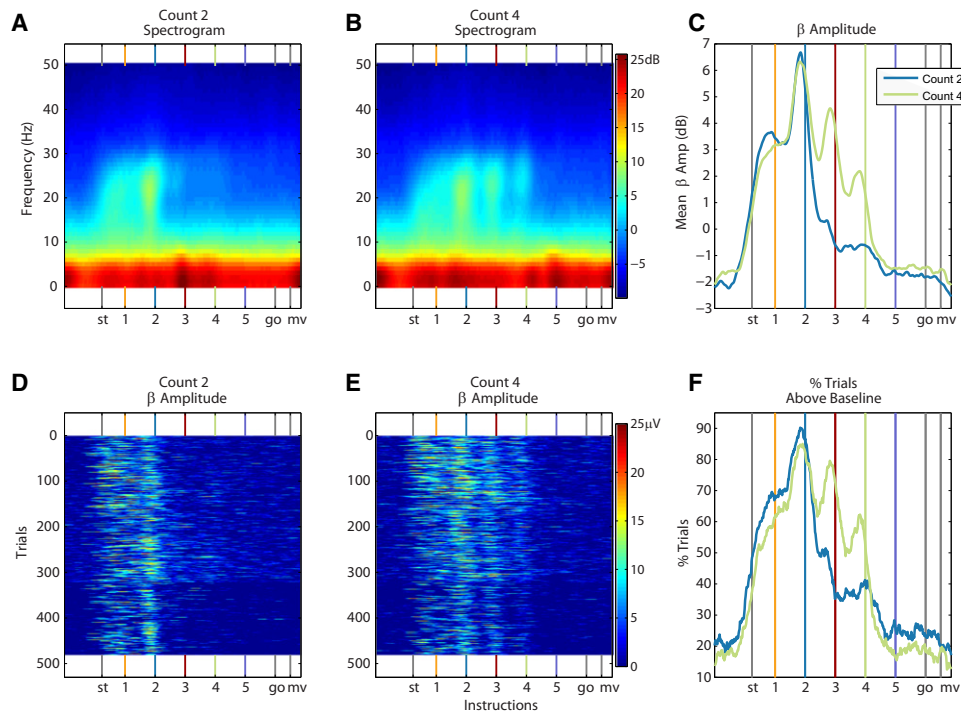


Figure 3. Beta Amplitude under the Count 2 and 4 Task Conditions

(A) Spectrogram for the beta (12–30 Hz) and delta (0.5–1.5 Hz) frequency range for the Count 2 and (B) Count 4 conditions in the associative task. Beta amplitude increases before the onset of the instructions, leading up to the informative cue. The delta amplitude does not follow such a pattern.

(C) Mean beta amplitude, in dB, for both task conditions.

(D–E) Trial by trial beta amplitude in μ Volts, for the Count 2 and Count 4 conditions, respectively.

(F) Percent trials with mean amplitude significantly above the mean amplitude across a trial. The beta amplitude displays local peaks around each instruction until and including the informative instruction cue.

conditions. Unlike the phase effects we describe here, the delta amplitude does not appear to exhibit any consistent relationship with the instruction cues (see Figures 4A and 4B).

Spatial versus Associative Tasks

The spatial task is designed to require less of a cognitive load compared to the associative task. Consistent with this interpretation, response latencies after the go cue are significantly shorter in the spatial task (T_{479} , $p < 0.001$), with a mean reaction time of 325 ± 102 ms in the spatial task versus a mean reaction time of 542 ± 207 ms in the associative task. The timing of beta amplitude fluctuations is notably different between the spatial and associative task. In both the Count 2 and Count 4 conditions, the mean beta amplitude increases earlier for the more difficult associative task than it does for the spatial task (Figure 5A for Count 4 condition). The beta onset times (defined as an increase of two standard deviations above the 1.5 s pretrial baseline) for all trials (Figure 5B) occur significantly earlier for the associative task than for the spatial task (T_{479} , $p < 0.001$). For the associative task, the mean beta onset occurs 172 ± 508 ms before the start of the trial whereas, for the spatial task, the mean onset time occurs 685 ± 742 ms after the start of the trial.

The overall temporal profile of the beta amplitude is similar for the spatial and associative tasks (Figure 5C), but while the asso-

ciative task has local peaks around 50 ms before the onset of the attended instruction cues, the spatial task elicits more of a sustained increase in beta amplitude during the attended period (Figure 5C; Table 2). However, the gradual decrease in power between sequential cues from the second to fifth instruction is maintained in the spatial task (paired t test between sequential cues, T_{479} , $p < 0.001$ in all cases). Interestingly, the mean beta amplitude during the attended period is significantly higher in the spatial task than it is in the associative task (count 2 T_{479} , $p < 0.001$; count 4 T_{479} , $p < 0.001$).

The precise delta phase entrainment we observed in the associative task is not apparent in the spatial task. In the spatial task, the mean resultant vector magnitudes are generally lower and in the Count 4 condition, they drop before the informative instruction (Figure 5E; Table 2). Unlike the associative task, the mean phase values are not consistent across all the task-relevant instruction cues (WW_m , $p < 0.001$ for all instruction in the attended period).

DISCUSSION

Our results show that beta oscillations in the human primary motor cortex are enhanced during the attended period of an instructed delay task. Furthermore, we show that in a more difficult task, with longer reaction times, beta oscillations peak just

Table 1. Beta Amplitude Analysis Results for All Tasks

Task	Start of Trial	Instructions					Go Cue
		1	2	3	4	5	
C2A							
% trials above mean	49%	68%	87%	36%	41%	26%	23%
Mean amplitude ± standard error (dB)	1.32 ± 0.26	3.43 ± 0.26	5.26 ± 0.23	−0.62 ± 0.16	−0.62 ± 0.16	−1.62 ± 0.15	−1.81 ± 0.14
C4A							
% trials above mean	38%	62%	82%	73%	52%	16%	19%
Mean amplitude ± standard error (dB)	0.69 ± 0.24	3.17 ± 0.26	5.83 ± 0.24	3.74 ± 0.21	1.27 ± 0.17	−1.48 ± 0.12	−1.47 ± 0.13
C2S							
% trials above mean	21%	32%	89%	56%	46%	29%	29%
Mean amplitude ± standard error (dB)	−2.45 ± 0.12	−1.37 ± 0.15	7.80 ± 0.28	0.60 ± 0.19	−0.45 ± 0.16	−1.77 ± 0.14	−1.70 ± 0.12
C4S							
% trials above mean	10%	24%	86%	81%	65%	21%	19%
Mean amplitude ± standard error (dB)	−2.34 ± 0.11	−1.25 ± 0.15	7.20 ± 0.28	5.01 ± 0.24	2.48 ± 0.20	−1.36 ± 0.14	−1.67 ± 0.12
C4A-C2A: T ₄₇₉ , p value	n.s.	n.s.	n.s.	< 0.001	< 0.001	0.040	n.s.
C4S-C2S: T ₄₇₉ , p value	n.s.	n.s.	n.s.	< 0.001	< 0.001	0.031	n.s.
C4A peak: T ₄₇₉ , p value	—	0.0143	< 0.001	< 0.001	n.s.	n.s.	—
C4S peak: T ₄₇₉ , p value	—	0.0182	< 0.001	n.s.	n.s.	n.s.	—
C2A peak: T ₄₇₉ , p value	—	n.s.	< 0.001	n.s.	n.s.	n.s.	—
C2S peak: T ₄₇₉ , p value	—	n.s.	< 0.001	n.s.	n.s.	n.s.	—

C2A, C4A, C2S, C4S are the Count 2 associative, Count 4 associative, Count 2 spatial, and Count 4 spatial tasks, respectively. C4A-C2A shows the results of a paired t test comparing beta amplitudes in the Count 4 condition to beta amplitudes in the Count 2 condition. C4A peak shows p-values for a significance test comparing beta amplitude sampled 300 ms before an instruction to the beta amplitude sampled 50 ms before the same instruction (see [Experimental Procedures](#)). p values are only reported if they are smaller than 0.05. Beta amplitude was suppressed from the go cue to the end of the trial so we omitted the results at the start of movement. Dashes indicate cases where statistical tests do not apply.

before the onset of the task-relevant instruction cues and delta oscillations entrain to the rhythm of these cues. We speculate that this low frequency entrainment may act to change the gain of the beta oscillations so as to increase their amplitude around the onset of the task-relevant cues ([Schroeder and Lakatos, 2009](#)).

Beta Oscillations in Primary Motor Cortex

Experimental findings have led to two common interpretations of the functional relevance of beta oscillations. The first is that they are related to maintaining a stable posture by inhibiting movement ([Baker et al., 1999](#); [Kühn et al., 2008b](#)), and the second that they are related to some aspect of movement planning or attention ([Donoghue et al., 1998](#); [Murthy and Fetz, 1992, 1996](#); [Roux et al., 2006](#); [Sanes and Donoghue, 1993](#); [Schwartz et al., 2005](#)).

Previous reports showed that the beta power increases during an instructed delay period ([Donoghue et al., 1998](#); [O'Leary and Hatsopoulos, 2006](#)) but did not test for the task-relevance of the instruction. Our study dissociates the activity related to task-relevant and task-irrelevant cues by displaying five sequential potential instruction cues and informing the participant to use the second or the fourth instruction to guide his future movement. Our findings are consistent with recent studies in prefrontal and parietal cortices that link the enhancement of synchronous beta-frequency band oscillations during visual

search paradigms to top-down attention ([Buschman and Miller, 2007](#); [Buschman and Miller, 2009](#)).

We find evidence that the amplitude of beta oscillations varies with attention; while the participant engaged in the task and attended to the number of cues that were presented, beta oscillations increased around the task-relevant instruction cues. However, beta oscillations were significantly suppressed after the appearance of the informative instruction cue (i.e., in the Count 2 condition, beta amplitude decreased sharply after the second instruction, and in the Count 4 condition, the amplitude decreased sharply after the fourth instruction). The fact that the elevated beta amplitude didn't persist throughout the entire instructed delay period (during which time, the participant was instructed to hold the cursor on the center target), conflicts with the view that beta oscillations are simply related to the maintenance of a stable posture or to the inhibition of a movement. Instead, it supports the view that beta oscillations may be related to the anticipation of task-relevant cues, as they are enhanced just prior to the onset of these cues.

Delta Oscillations in Primary Motor Cortex

In the associative task, the delta frequency is entrained to the rhythm of the task-relevant instruction cues throughout the attended period. Our findings support the view that entrained delta oscillations may act as an "internal metronome" for the appearance of task-relevant cues and are linked to the

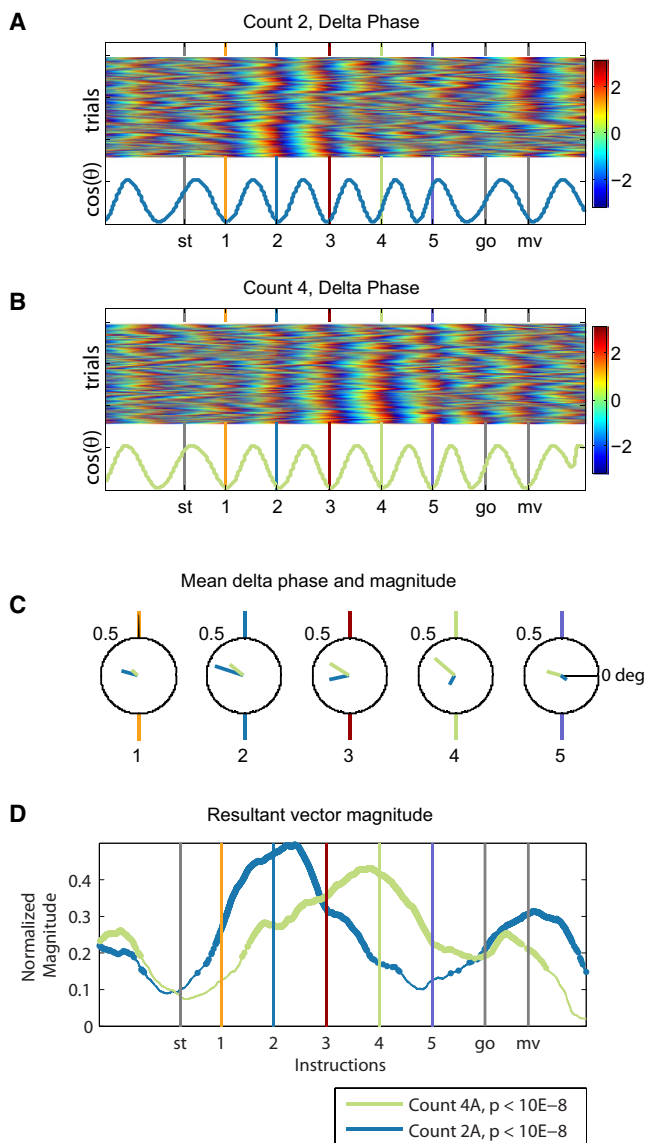


Figure 4. Delta Phase in the Count 2 and 4 Task Conditions

Delta phase measured in radians in the Count 2 (A) and Count 4 (B) task conditions in the associative task across 480 trials, collected in three sessions. The cosine of the mean phase, for each time point, is displayed in the bottom rows. (C) Mean delta phase and mean resultant vector magnitude at each instruction, for the Count 2 (blue) and Count 4 (green) task conditions. For both conditions, the mean phases at the instructions are not significantly different from each other (Watson-Williams multisample test, $p > 0.1$; see Table 2). However, the mean phases at the instructions following the informative cue are significantly different from the mean phases at the informative cue.

(D) Mean resultant vector magnitude, a measure of phase locking, for the Count 2 and Count 4 conditions. For the Count 2 condition, the mean resultant vector magnitude drops after the second instruction and for the Count 4 condition, the mean resultant vector magnitude drops after the fourth instruction.

enhancement of the beta amplitude around each cue. In all associative tasks, the mean resultant vector magnitude increases from the first to the informative cue and then decreases at the next cue indicating greater phase variability across trials. After

the informative cue and along with this decrease in mean resultant vector magnitude, the mean phase of the delta frequency wanders away from the tightly-locked phase that we observe around the task-relevant cues (Figure 4C; Table 2). Although studies in nonhuman primates have shown that oscillations <10 Hz (perhaps involving the delta frequency) phase lock to single instruction cues and contain information about the movement direction in their amplitude (O'Leary and Hatsopoulos, 2006), our study focuses on a restricted lower frequency band (0.5–1.5 Hz), and shows that the delta oscillation is phase-locked at all attended instruction cues but not at instruction cues following the informative cue. This suggests that the effects are not just visually evoked responses to instructive cues. Whereas previous studies demonstrate that delta oscillations phase lock to informative cues, this study shows that delta oscillations phase lock to all task-relevant cues, i.e., to cues that are relevant in their timing and to a cue that is informative about movement direction. Together, these results suggest that delta oscillations entrain to the timing of the cues.

Although delta frequency oscillations are typically associated with slow wave sleep (Steriade, 2006), they have also been suggested to play a mechanistic role in the amplification of sensory inputs due to cross-frequency interactions (Contreras et al., 1996; Händel and Haarmeier, 2009; Sanchez-Vives and McCormick, 2000; Steriade et al., 1993). In primary sensory cortices, low-frequency oscillations entrain to attended rhythmic cues and modulate the power of gamma frequencies (Kay et al., 2009; Lakatos et al., 2007, 2008). The coupling of delta phase and beta power may be an example of a more general mechanism that enhances attention around predictable, periodic cues (Lakatos et al., 2008).

Effect of Cognitive Load

To vary the cognitive load of the task, we either directly indicated the target location (see Figure 1A) or required that the participant associate a color to a spatial cue in order to identify the instructed target location (see Figure 1B; Treisman and Gelade, 1980). The participant reported having a more difficult time executing this associative task and took significantly longer to respond after the go cue compared to his performance during the spatial version of the task. Concomitant with the increased effort required in the associative task, the beta amplitude increases earlier with respect to the start of each trial, and shows more defined local peaks just prior to each task-relevant cue. In the associative task, the amplitude starts to increase around 100 ms before the start of the trial whereas for the spatial task, it starts 600 ms after the start of the trial. In the Count 4 associative task, the beta amplitude peaks just prior to the onset of the task-relevant cues whereas in the spatial task, the beta amplitude exhibits a more sustained elevation during the attended period.

In the associative task, the delta phase is consistent and phase locked across trials at all task-relevant instruction cues, whereas in the spatial task, the phase is more variable after the second instruction, even in the Count 4 condition. It is interesting to note that in the attended period of the spatial task—along with a lack of sustained entrainment of the delta phase to the periodic cues—the beta oscillations do not exhibit well-defined local

Table 2. Delta Phase Analysis Results for All Tasks

Task	Start of Trial	Instructions					Go Cue	mv
		1	2	3	4	5		
C2A								
Mean resultant vector magnitude	0.10	0.26	0.47	0.32	0.17	0.13	0.21	0.31
p value	0.001	1.8E-12	7.36E-69	2.32E-13	4.13E-03	1.32E-05	2.42E-09	3.25E-21
Mean phase	298	165	162	193	242	324	265	148
Rayleigh, p value	0.008	2.12E-15	6.84E-50	2.02E-22	8.37E-07	4.95E-04	5.22E-10	6.15E-21
C4A								
Mean resultant vector magnitude	0.08	0.13	0.28	0.35	0.42	0.23	0.19	0.21
p value	0.049	2.87E-05	1.40E-11	3.55E-29	7.81E-62	1.51E-07	2.50E-08	5.27E-08
Mean phase	304	137	141	148	139	165	230	176
Rayleigh, p value	0.045	4.78E-04	7.86E-17	8.51E-28	1.77E-38	1.79E-11	1.44E-08	5.59E-10
C2S								
Mean resultant vector magnitude	0.05	0.15	0.11	0.05	0.14	0.20	0.32	0.26
p value	n.s.	2.94E-09	1.25E-03	2.68E-01	3.46E-04	5.12E-10	1.69E-18	2.29E-15
Mean phase	159	213	165	188	181	69	6	155
Rayleigh, p value	n.s.	2.07E-05	2.99E-03	2.41E-01	7.82E-05	2.56E-09	5.78E-23	1.35E-14
C4S								
Mean resultant vector magnitude	0.03	0.23	0.27	0.17	0.03	0.03	0.14	0.13
p value	n.s.	1.19E-16	1.75E-16	5.93E-07	8.14E-01	7.45E-01	9.18E-12	3.11E-06
Mean phase	14	224	226	168	61	101	14	153
Rayleigh, p value	n.s.	1.50E-11	2.03E-16	1.52E-06	6.91E-01	7.25E-01	6.98E-05	4.02E-04

C2A, C4A, C2S, and C4S are the Count 2 associative, Count 4 associative, Count 2 spatial, and Count 4 spatial tasks, respectively. For each task, we report the mean resultant vector magnitude at the cues and its significance (see [Experimental Procedures](#) section for calculation of significance level). We also report the mean phase at the cues and the significance level of the Rayleigh test (see [Experimental Procedures](#) section).

peaks (Figure 5C). This finding is consistent with the view that the delta phase-locking may be linked to the peaks in beta amplitude exhibited in the associative task. The differences we observe between the spatial and associative tasks appear to correspond to proposed distinction between “sustained” and “periodic” attention (Schroeder and Lakatos, 2009).

One potential confound in interpreting these phenomenon in terms of task difficulty is the fact that the participant performed the spatial task several months before he learned the associative task. It is therefore possible that we did not allot enough of an initial training period for the delta frequency to entrain to the periodic cues in the spatial task (i.e., for the participant to “learn the rhythm” of the five cues).

Decay in Peak Beta Power

After the second cue, the local peak in beta power significantly decreases from one instruction to the next in both the spatial and associative tasks. This phenomenon is visible even in single trials. PET, fMRI, and EEG studies have shown that cortical signals habituate in response to repeating stimuli (Fischer et al., 2000, 2003; Ravden and Polich, 1998). We speculate that this decay function reflects the habituation of the beta oscillations in response to the repeating instruction stimuli.

Relationship to Attention

In order to perform the task successfully, the participant had to internally count the number of instruction cues so as to determine the informative cue (i.e., Count 2 or Count 4). By counting, a task that requires significant attentional resources (Wilder et al., 2009), the patient could use the rhythm of the task to build up an expectancy of the timing of the task-relevant cues. We interpret the observed effects in the beta amplitude and delta phase as reflections of top-down, attentional processes that enhance sensitivity to incoming, task-relevant cues.

There are, however, alternate interpretations that must be considered. First, these effects could be a reflection of general arousal. Arousal has a variety of meanings. Arousal can be viewed as a transition in physiological state (e.g., from sleep to wakefulness) which affects the nervous system globally and is presumably temporally sluggish. According to this definition, it would be difficult to interpret the effects we observed as reflecting arousal because both the beta amplitude modulation and the delta phase entrainment are temporally precise with respect to the occurrence of the instruction cues. Arousal also has a more cognitive meaning which refers to an increased alertness in response to sensory cues, typically concomitant with increases in EEG beta and gamma amplitudes and increases in spike synchrony in

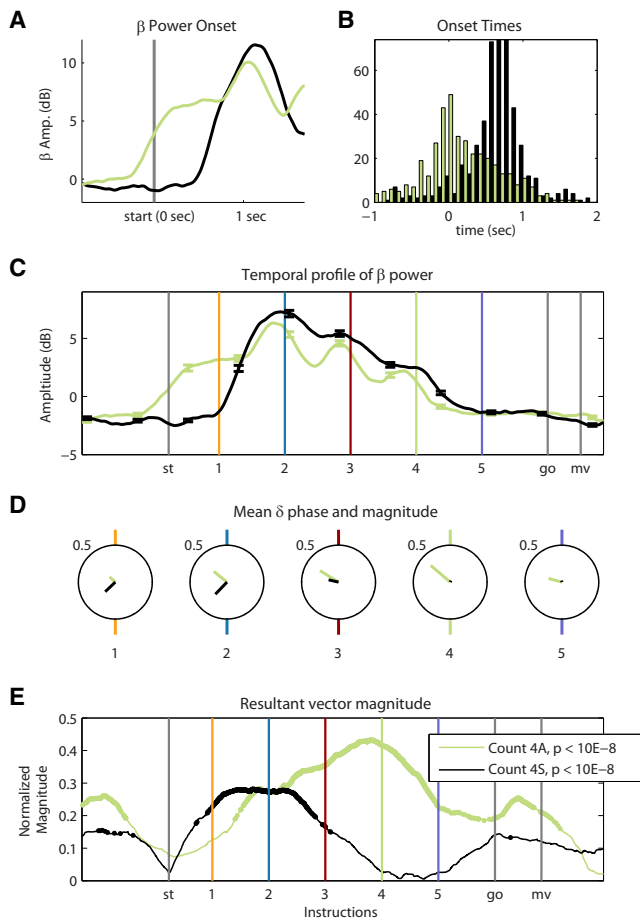


Figure 5. Spatial versus Associative Tasks for Count 4 Condition

(A) Mean beta amplitude for the Count 4 condition, around the start of the trial, for the associative (green) and the spatial (black) tasks.

(B) Distribution of onset times for the two tasks. The onset of power is determined by finding the first timestamp at which the power is significantly higher than the baseline for more than three consecutive points, where the baseline is calculated in a 1.5 s window prior to the start of a trial. The associative task's onset times occur significantly earlier than the onset times for the spatial task for the Count 4 condition.

(C) Mean beta amplitude as a function of time in both tasks. Error bars represent the standard error of the mean. For the first to the fourth instructions only in the associative task, the mean amplitude at 50 ms before all these instructions is significantly higher than at the mean amplitude sampled 300 ms before (Table 1; C4A Peak). The spatial task does not exhibit peaks around these instruction cues.

(D) Mean delta phase for each instruction for the spatial and associative tasks. See Table 2 for p values for Rayleigh test at each instruction.

(E) Mean resultant vector magnitude for spatial and associative tasks, Count 4 condition. The spatial task shows a larger mean resultant vector magnitude only around the first and second instructions. The associative task shows significant phase locking up to the fourth instruction. p values were lower than $10E-8$ for all points that are highlighted in black and green.

sensory and motor areas of cortex (Pfaff, 2006; Munk et al., 1996; Roelfsema et al., 1997; Steriade, 1996; Steriade et al., 1996). This concept of arousal is actually quite consistent with our interpretation of enhanced sensitivity to task-relevant cues with the exception that our observed effects do not occur in response to sensory

cues but in their anticipation. In our task, the participant could take advantage of the rhythm of the task and anticipate or expect the sensory cues. Though beta oscillations in MI have not been shown to increase with respect to the expectancy of a cue, spikes in MI have been shown to synchronize with respect to the expectancy of a task-relevant cue (Riehle et al., 1997) and spike synchrony is often associated to increased oscillatory activity in local field potentials (Roelfsema et al., 1997; Munk et al., 1996).

An alternative interpretation is that the observed effects represent a general programming of a motor response. If this were the case, we might expect the increase in beta amplitude to occur only around the informative cue (i.e., second or fourth instruction) because a motor response is only associated with this instruction. In fact, the beta amplitude is enhanced from the first to the informative instruction, i.e., for all task-relevant instruction cues. One could argue that a motor program is initiated automatically around each instruction whether or not it is task relevant. However, one would then expect the same beta amplitude increase for the instruction cues that followed the informative cue, and we don't observe this. Therefore, this is an unlikely interpretation. If the transient increase in beta amplitude signals the suppression of a just programmed motor response, we would expect to see this effect around every instruction cue, even those that follow the informative cue. Again this is not what we observed, and, therefore, not a likely explanation of our findings.

This study provides some insight into the functional role of beta oscillations in primary motor cortex and introduces their relationship to the phase of the delta oscillation, when the delta oscillation entrains to the rhythm of a task. It remains to be tested if the effects are particular to visual cues or apply to any attended sensory modality (Schroeder and Lakatos, 2009). Future studies that can take advantage of current source density analyses may yield more insight into the cross-frequency effects we describe in this paper and how they differ from those observed in sensory cortical areas. Our participant was involved in the BrainGate feasibility clinical study, which aims to provide a means for participants to control a computer cursor via a decoding algorithm that interprets spiking activity from multiple neurons recorded during intended arm movements. Beta oscillations may serve as a means to detect the participant's readiness to make a movement—when the instruction is selected—in single trials, by monitoring when the power in the beta band is diminished.

EXPERIMENTAL PROCEDURES

Behavioral Tasks

The participant was instructed to perform an instructed delay, center-out task by moving a cursor with his chin to one of eight peripheral targets, positioned at 45 degree increments starting at 0 degrees, subtending a visual angle of 11.88° (12 cm) from the center of the screen. The distance from the screen center to the participant's eyes was 57 cm. During the instructed-delay period, a sequence of five instruction cues were presented, one of which was informative about the movement direction while the others were not. The Count 2 condition refers to the task where the informative cue was the second instruction and the Count 4 condition refers to the task where the informative cue was the fourth instruction. The timing of the instructions preceding the informative cue could be used to predict the onset of the informative cue. As such, all instruction cues leading up to the informative cue were task-relevant. At the start of a trial, the participant was instructed to hold the cursor on the center target (hold period). After 500 ms, five instruction cues were sequentially

shown to the participant. Each instruction cue was flashed for 150 ms followed by a blank screen for 500 ms for a total of 650 ms between instruction cue onsets. After the fifth cue, the center target turned green which acted as a go cue instructing the participant to initiate the movement.

Two versions of the task were used in the study to alter the cognitive load on the participant: a spatial and associative task. Each task version under either the Count 2 and Count 4 conditions was repeated on three separate experimental sessions on different days. In each experimental session, 20 trials were collected for each of the eight targets yielding a total of 160 trials for each task condition.

Spatial Task

Each instruction cue was composed of eight peripherally-positioned targets, one of which was a different color (see Figure 1A). The location of the differently colored target in the informative instruction cue (i.e., the second or fourth cue in the sequence) determined the target that the participant needed to attain after the instructed-delay period. The participant was instructed not to move the cursor away from the center target until the go cue turned on (reaction period, followed by movement period). If the target was acquired before 5 s expired, the trial was considered a success. The next trial started after the participant brought the cursor back to the center target.

Associative Task

The basic task design was the same as that for spatial task. However, each instruction cue was composed of eight differently-colored targets (see Figure 1B) and a colored center-hold target. After the instructed-delay period, the participant was required to move to the target whose color matched that of the center-hold in the informative instruction cue (i.e., second or fourth cue in the sequence).

Surgery and Data Collection

Local field potentials (LFPs) were collected using 100-electrode "Utah" arrays (Blackrock Microsystems Inc.), implanted in the hand area of the primary motor cortex (Hochberg et al., 2006). LFP signals on each of the 96 channels were recorded continuously at 1 kHz, amplified using a gain of 5000, and band-pass filtered from 0.30 Hz to 250 Hz. The standard deviation of the LFP signal on each channel was calculated over the entire recording session, in order to identify outlier channels. Outlier channels, containing significant noise, were identified by visual inspection and excluded from analysis. The task was programmed using custom software (TheGame2), which also synchronized its event timestamps with the local field potentials. The following events' timestamps were recorded: the start of a trial, the timing of the instruction cues, go cue, and target acquisition.

Analysis

All algorithms were implemented in MATLAB. The power spectrum was computed using the multitaper method (time-bandwidth product $TW = 3$, $K = 2$ tapers; Chronux Analysis Software, <http://chronux.org>) across 2048 ms windows around the relevant instruction, and then averaged across trials to produce the power spectrum for the instruction period.

To obtain the signal in the beta frequency, the local field potential was band-pass filtered between 12 and 30 Hz using an eighth-order Butterworth filter. For the delta frequency, we used a fourth order, band-pass Butterworth filter between 0.5–1.5 Hz. To avoid phase distortion, filters were applied forward and backward in time. For each task, the filtered signal was averaged across channels. We applied the Hilbert transform to extract the instantaneous phase (for delta frequency) and amplitudes (for beta frequency) of the signals (Rubino et al., 2006).

Beta Amplitude

We tabulated the time points for which the beta power was above the mean power for each trial and report the percentage of trials, per time point, with a power value above the mean. We tested whether the beta power at each instruction was significantly different between the Count 2 and Count 4 conditions using a *t* test at $\alpha = 0.001$. We also tested whether the power in the 12–30 Hz frequency band, 200 ms around an instruction, was significantly larger than the power calculated at the following instruction using a paired *t* test at $\alpha = 0.001$. Using the mean amplitudes across trials, we also computed the lag between the local peaks in beta amplitude and the instruc-

tion cues. To test for the occurrence of peaks around the instruction cues, we computed the mean beta amplitude 50 ms before each instruction and compared it to the mean beta amplitude sampled 300 ms before the instruction. We used a paired *t* test across trials to assess if the beta amplitude was larger at 50ms before the instruction. The results are reported in Table 1.

For viewing purposes, we also computed the spectrograms using 0.5 to 30 Hz multitaper spectra from (time-bandwidth product $TW = 3$, $K = 2$ tapers, padding to 1024 points; Chronux Analysis Software, <http://chronux.org>) over a 384 ms window, with a 10 ms sliding step.

Delta Phase

The MATLAB circular statistics toolbox was used for all analyses involving the delta phase (Berens, 2009). For each instruction, we computed the mean phase of the signal in the delta frequency band. To assess whether the phase distributions around the instruction cues are significantly different from a uniform distribution, we used the Rayleigh test for circular uniformity. We also computed the mean resultant vector—a measure of phase locking, which is a vector sum of all the magnitudes of the phases across trials. To test whether the mean resultant vector magnitude is significant, we generated 20 test data sets, where the resultant vector magnitudes were computed on the same trials, though phase shifted by a random value between 0° and 180° . We used a right-tailed *z* test to test whether the mean resultant vector magnitude was significantly higher than the mean resultant vector magnitudes computed on the phase-shifted test data. To assess whether the mean phase values were significantly different from each other, we used the Watson-Williams multisample and pair-wise test for equal means depending on how many distributions were being compared.

SUPPLEMENTAL INFORMATION

Supplemental Information includes one figure and can be found with this article online at doi:10.1016/j.neuron.2010.02.001.

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