

# UNIT 2

Lecture 31

Normalization

FD Closure and Minimal Cover

# Closure of a set of FDs (Attribute Closure)

1. The closure of a set of FDs is the set of all FDs implied by a given set of FDs.
2. It can be calculated using Armstrong Axioms.
3. It can be used to check if a FD follows from a given set.
4. Can check if a set of attributes is a candidate key.

# Armstrong Axiom to find Closure

$X^+ := X;$

Repeat

    Old  $X^+ := X^+;$

    For each functional dependency  $Y \rightarrow Z$  in  $F$  do

        If  $X^+ \supseteq Y$  then  $X^+ := X^+ \cup Z;$

Until ( $X^+ = \text{Old } X^+$ );

# Inference Rules for functional dependencies

## 1. Reflexive Rule

If  $X \supset Y$  then  $X \rightarrow Y$

## 2. Augmentation Rule

If  $X \rightarrow Y$  then  $XZ \rightarrow YZ$

## 3. Transitive Rule

If  $X \rightarrow Y$  and  $Y \rightarrow Z$  then  $X \rightarrow Z$

## 4. Decomposition or Projective Rule

If  $X \rightarrow YZ$  then  $X \rightarrow Y$ , and  $X \rightarrow Z$

## 5. Union or Additive Rule

If  $X \rightarrow Y$  and  $X \rightarrow Z$  then  $X \rightarrow YZ$

## 6. Pseudo transitive Rule

If  $X \rightarrow Y$  and  $YZ \rightarrow W$  then  $XZ \rightarrow W$

Q.1 Consider the closure of following set F of functional dependencies for the relation scheme.  $R = \{A, B, C, D, E, F\}$ ,  $F = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E, CD \rightarrow F, B \rightarrow F\}$ . Find  $F^+$ .

Sol : The closure of a set of functional dependencies ( $F^+$ ) is the set of all functional dependencies implied by the set F.

So,  $F^+ = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E, CD \rightarrow F, B \rightarrow F\}$

Since,  $A \rightarrow B$  and  $B \rightarrow F$  exists we have  $A \rightarrow F$  by transitivity.

So,  $F^+ = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E, CD \rightarrow F, B \rightarrow F, A \rightarrow F\}$

Since,  $A \rightarrow C$  and  $CD \rightarrow E$  exists we have  $AD \rightarrow E$  by pseudo transitivity

So,  $F^+ = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E, CD \rightarrow F, B \rightarrow F, A \rightarrow F, AD \rightarrow E\}$

Since,  $A \rightarrow C$  and  $CD \rightarrow F$  exists we have  $AD \rightarrow F$  by pseudo transitivity

So,  $F^+ = \{A \rightarrow B, A \rightarrow C, CD \rightarrow E, CD \rightarrow F, B \rightarrow F, A \rightarrow F, AD \rightarrow E, AD \rightarrow F\}$

# Covering Constraint

- A set of functional dependencies  $F$  is said to **cover** another set of functional dependencies  $E$  if every FD in  $E$  is also in  $F^+$ ; i.e. if any dependency in  $E$  can be inferred from  $F$ ; we can say that  $E$  is **covered by**  $F$ .
- E.g. Consider the relation  $R(A, B, C, D)$  with 2 sets of FD's  $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$  and  $G = \{A \rightarrow B, C \rightarrow D\}$ .
- So, we can say  $F$  is said to cover  $G$  because all FDs that is implied by  $G^+$  is already in  $F^+$ .

# Equivalence of Sets of Functional Dependencies

- Two sets of Functional dependencies  $E$  and  $F$  are **equivalent** if  $E^+ = F^+$ .
- Hence, equivalence means that every FD in  $E$  can be inferred from  $F$ , and every FD in  $F$  can be inferred from  $E$ ; that is,  $E$  is equivalent to  $F$  if both the conditions  $E$  covers  $F$  and  $F$  covers  $E$  hold.
- We can determine whether  $F$  covers  $E$  by calculating  $X^+$  with respect to  $F$  for each FD  $X \rightarrow Y$  in  $E$ , and then checking this  $X^+$  includes the attributes in  $Y$ . If this is the case for every FD in  $E$ , then  $F$  covers  $E$ .
- We determine whether  $E$  and  $F$  are equivalent by checking that  $E$  covers  $F$  and  $F$  covers  $E$ .

Q.2 Consider the following set of FDs  $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$ , and  $G = \{A \rightarrow CD, E \rightarrow AH\}$ . Check whether they are equivalent.

Sol : Two sets of FDs  $F$  and  $G$  are equivalent set of FDs if and only if  $F^+ = G^+$ .

So,  $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$

From  $E \rightarrow AD$  we have  $E \rightarrow A$  and  $E \rightarrow D$  [By decomposition Rule]

So,  $F^+ = \{A \rightarrow C, AC \rightarrow D, E \rightarrow A, E \rightarrow D, E \rightarrow H\}$

From  $A \rightarrow C$  and  $AC \rightarrow D$  we have,  $A \rightarrow D$  [By pseudo transitive Rule]

So,  $F^+ = \{A \rightarrow C, AC \rightarrow D, E \rightarrow A, E \rightarrow D, E \rightarrow H, A \rightarrow D\}$

From  $E \rightarrow A$  and  $A \rightarrow C$  we have  $E \rightarrow C$  [By transitive Rule]

So,  $F^+ = \{A \rightarrow C, AC \rightarrow D, E \rightarrow A, E \rightarrow D, E \rightarrow H, A \rightarrow D, E \rightarrow C\}$

From  $AC \rightarrow D$  we have  $AC \rightarrow CD$  [By augmenting  $C$  in both sides]

So,  $F^+ = \{A \rightarrow C, AC \rightarrow D, E \rightarrow A, E \rightarrow D, E \rightarrow H, A \rightarrow D, E \rightarrow C, AC \rightarrow CD\}$

From  $AC \rightarrow CD$  we have  $AC \rightarrow C$  and  $AC \rightarrow D$  [By decomposition Rule]

So,  $F^+ = \{A \rightarrow C, AC \rightarrow D, E \rightarrow A, E \rightarrow D, E \rightarrow H, A \rightarrow D, E \rightarrow C, AC \rightarrow C\}$  ..... (i)



Q.2 Consider the following set of FDs  $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$ , and  $G = \{A \rightarrow CD, E \rightarrow AH\}$ . Check whether they are equivalent.

Sol : Now,  $G = \{A \rightarrow CD, E \rightarrow AH\}$

From  $A \rightarrow CD$  and  $E \rightarrow AH$  we have  $A \rightarrow C, A \rightarrow D, E \rightarrow A$  and  $E \rightarrow D$  [By decomposition]

So,  $G^+ = \{A \rightarrow C, A \rightarrow D, E \rightarrow A, E \rightarrow H\}$

From  $E \rightarrow A, A \rightarrow C$  and  $A \rightarrow D$  we have,  $E \rightarrow C$  and  $E \rightarrow D$  [By transitive Rule]

So,  $G^+ = \{A \rightarrow C, A \rightarrow D, E \rightarrow A, E \rightarrow H, E \rightarrow C, E \rightarrow D\}$

From  $A \rightarrow D$  we have  $AC \rightarrow CD$  [By Augmenting C]

So,  $G^+ = \{A \rightarrow C, A \rightarrow D, E \rightarrow A, E \rightarrow H, E \rightarrow C, E \rightarrow D, AC \rightarrow CD\}$

From  $AC \rightarrow CD$  we have  $AC \rightarrow C$  and  $AC \rightarrow D$  [By decomposition]

So,  $G^+ = \{A \rightarrow C, A \rightarrow D, E \rightarrow A, E \rightarrow H, E \rightarrow C, E \rightarrow D, AC \rightarrow C, AC \rightarrow D\}$  ..... (ii)

From (i) and (ii) we have  $F^+ = G^+$ , so they are equivalent.

Q.3 Consider the following set of FDs  $F = \{A \rightarrow B, AB \rightarrow C, C \rightarrow AC, D \rightarrow E\}$ , and  $G = \{A \rightarrow BC, D \rightarrow AE\}$ . Check whether they are equivalent.

Sol : Two sets of FDs  $F$  and  $G$  are equivalent set of FDs if and only if  $F^+ = G^+$ .

So,  $F = \{A \rightarrow B, AB \rightarrow C, C \rightarrow AC, D \rightarrow E\}$

From  $A \rightarrow B$  and  $AB \rightarrow C$  we have  $A \rightarrow C$  [By pseudo transitive Rule]

So,  $F^+ = \{A \rightarrow B, AB \rightarrow C, C \rightarrow AC, D \rightarrow E, A \rightarrow C\}$

From  $C \rightarrow AC$  we have,  $C \rightarrow A$  and  $C \rightarrow C$  [By decomposition Rule]

So,  $F^+ = \{A \rightarrow B, AB \rightarrow C, C \rightarrow A, C \rightarrow C, D \rightarrow E, A \rightarrow C\}$  ..... (i)

Now, We calculate  $G^+$ , So,  $G = \{A \rightarrow BC, D \rightarrow AE\}$

From  $A \rightarrow BC$  and  $D \rightarrow AE$  we have  $A \rightarrow B, A \rightarrow C, D \rightarrow A, D \rightarrow E$  [By decomposition Rule]

So,  $G^+ = \{A \rightarrow B, A \rightarrow C, D \rightarrow A, D \rightarrow E\}$

From  $A \rightarrow C$  we have  $AB \rightarrow BC$  and from  $AB \rightarrow BC$  we have  $AB \rightarrow B$  and  $AB \rightarrow C$

So,  $G^+ = \{A \rightarrow B, A \rightarrow C, AB \rightarrow B, AB \rightarrow C, D \rightarrow A, D \rightarrow E\}$  ..... (ii)

From (i) and (ii), we have  $F^+ \neq G^+$ , so they are not equivalent.

# Irreducible sets of Dependencies (Minimal Cover)

- We define a set  $S$  of FDs to be irreducible if and only if it satisfies the following three properties:
  1. The right-hand side (the dependent) of every FD in  $S$  involves just one attribute (i.e, it is a singleton set).
  2. The left hand side (the determinant) of every FD in  $S$  is irreducible in turn meaning that no attribute can be discarded from the determinant without changing the closure  $S^+$  (i.e., without converting  $S$  into some set not equivalent to  $S$ ). We will say such an FD is **left-irreducible**.
  3. No FD in  $S$  can be discarded from  $S$  without changing the closure  $S^+$  (i.e., without converting  $S$  into some set not equivalent to  $S$ ).

# Irreducible sets of Dependencies (Minimal Cover)

E.g. 1

Consider a relation  $R(A, B, C, D, E)$  with a set of FDs

$$F = \{ \begin{array}{l} A \rightarrow BC, \\ C \rightarrow D, \\ D \rightarrow E \end{array} \}$$

is not an irreducible set of FDs because RHS of  $A \rightarrow BC$  is not a singleton set.

To make an irreducible set of FDs we have

$$F = \{ \begin{array}{l} A \rightarrow B, \\ A \rightarrow C, \\ C \rightarrow D, \\ D \rightarrow E \end{array} \}$$

# Irreducible sets of Dependencies (Minimal Cover)

E.g. 2

Consider a relation  $R(A, B, C, D, E)$  with a set of FDs

$$F = \{ \begin{array}{l} A \rightarrow B, \\ AB \rightarrow D, \\ D \rightarrow E \end{array} \}$$

is not an irreducible set of FDs because from  $A \rightarrow B$  and  $AB \rightarrow D$  we have  $A \rightarrow D$  [From pseudo transitive rule] that means  $B$  in  $AB \rightarrow D$  is an extraneous attribute.

To make an irreducible set of FDs we have

$$F = \{ \begin{array}{l} A \rightarrow B, \\ A \rightarrow D, \\ D \rightarrow E \end{array} \}$$

# Irreducible sets of Dependencies (Minimal Cover)

E.g. 3

Consider a relation  $R(A, B, C, D, E)$  with a set of FDs

$F = \{ \begin{array}{l} A \rightarrow B, \\ B \rightarrow C, \\ A \rightarrow C, \\ D \rightarrow E \end{array} \}$

is not an irreducible set of FDs because from  $A \rightarrow B$  and  $B \rightarrow C$  we have  $A \rightarrow C$  [From transitive rule] that means  $A \rightarrow C$  is an redundant FD.

To make an irreducible set of FDs we have

$F = \{ \begin{array}{l} A \rightarrow B, \\ B \rightarrow C, \\ D \rightarrow E \end{array} \}$

Q.3 Given a relation schema  $R(A,B,C,D)$  and a set of FDs

$A \rightarrow BC$

$B \rightarrow C$

$A \rightarrow B$

$AB \rightarrow C$

$AC \rightarrow D$

Compute an irreducible set of FDs that is equivalent to this set.

Sol : The first step is to rewrite the FDs such that each has a singleton right-hand side:

$A \rightarrow B$

$A \rightarrow C$

[By Decomposition]

$B \rightarrow C$

$A \rightarrow B$

$AB \rightarrow C$

$AC \rightarrow D$

We observe immediately that is FD  $A \rightarrow B$  occurs twice, so one occurrence can be eliminated.



Next, attribute C can be eliminated from the left-hand side of the FD  $AC \rightarrow D$ , because we have  $A \rightarrow C$ , so  $A \rightarrow AC$  by augmentation, and we are given  $AC \rightarrow D$ , so  $A \rightarrow D$  by transitivity; thus the C on the left-hand side of  $AC \rightarrow D$  is redundant.

So the remaining FDs are:

$$A \rightarrow B$$

$$A \rightarrow C$$

$$B \rightarrow C$$

$$AB \rightarrow C$$

$$A \rightarrow D$$

Next, we observe that the FD  $AB \rightarrow C$  can be eliminated, because again we have  $A \rightarrow C$ , so  $AB \rightarrow CB$  by augmentation, so  $AB \rightarrow C$  by decomposition. So the remaining FDs are:

$$A \rightarrow B$$

$$A \rightarrow C$$

$$B \rightarrow C$$

$$A \rightarrow D$$

Finally, the FD  $A \rightarrow C$  is implied by the FDs  $A \rightarrow B$  and  $B \rightarrow C$ , so it can also be eliminated. We are left with

$$A \rightarrow B$$

$$B \rightarrow C$$

$$A \rightarrow D$$

This set is irreducible.

Q. Consider the Relation  $R(A,B,C,D,E,F,G,H,I,J)$  and a set of FDs

$ABD \rightarrow E$

$AB \rightarrow G$

$B \rightarrow F$

$C \rightarrow J$

$CJ \rightarrow I$

$G \rightarrow H$

Is this an irreducible set? What are the candidate keys?

Q. Consider the following set of FDs

$AB \rightarrow C$

$A \rightarrow DE$

$B \rightarrow F$

$F \rightarrow GH$

$D \rightarrow IJ$

Find

- (i) Super Key
- (ii) Irreducible set
- (iii) Candidate Key

# GATE Question

The following functional dependencies hold true for the relational schema  $R\{V, W, X, Y, Z\}$  :

$V \rightarrow W$ ,

$VW \rightarrow X$ ,

$Y \rightarrow VX$ ,

$Y \rightarrow Z$

Which of the following is irreducible equivalent for this set of functional dependencies?

(A)  $V \rightarrow W$   
 $V \rightarrow X$   
 $Y \rightarrow V$   
 $Y \rightarrow Z$

(B)  $V \rightarrow W$   
 $W \rightarrow X$   
 $Y \rightarrow V$   
 $Y \rightarrow Z$

(C)  $V \rightarrow W$   
 $V \rightarrow X$   
 $Y \rightarrow V$   
 $Y \rightarrow X$   
 $Y \rightarrow Z$

(D)  $V \rightarrow W$   
 $W \rightarrow X$   
 $Y \rightarrow V$   
 $Y \rightarrow X$   
 $Y \rightarrow Z$

**[GATE 2017]**

# MSQ Question

The following functional dependencies hold true for the relational schema  $R\{A, B, C\}$  :

$A \rightarrow BC$ ,

$B \rightarrow AC$ ,

$C \rightarrow AB$

Which of the following is irreducible equivalent for this set of functional dependencies?

(A)  $A \rightarrow B$

$B \rightarrow C$

$C \rightarrow A$

(B)  $A \rightarrow C$

$B \rightarrow A$

$C \rightarrow B$

(C)  $A \rightarrow B$

$B \rightarrow C$

$C \rightarrow B$

(D)  $B \rightarrow A$

$C \rightarrow B$

$C \rightarrow A$

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