

1. PROCESS MANAGEMENT :-

1. Introduction to OS :- OS is an interface b/w user and Hardware.

Types of operating system :-

- (a) Batch OS :-
 - starvation
 - less throughput
 - No pre-emption.
- (b) multiprogramming :-
 - No starvation
 - more throughput
 - No pre-emption.
- (c) multitasking :-
 - preemption is present.
- (d) multiprocessing :-
 - Throughput is more.

2. various times related to process :-

$$TAT = CT - AT$$

$$WT = TAT - BT$$

3. CPU Scheduling :-

(a) Introduction to FCFS :-

Criteria :- Arrival Time

Mode :- Non-preemptive

$$\text{Response time} = \text{waiting time}$$

$$\text{Efficiency } \eta = \left(1 - \frac{\text{Process No completion time}}{\text{time}}\right) \times 100$$

(b) Introduction to SJF :-

Criteria :- Burst time

Mode :- Non-preemptive

$$\text{Throughput} = \frac{\text{No. of process}}{\text{Total scheduler time}}$$

• Simple Averaging :-

$$T_{n+1} = \frac{1}{n} \sum_{i=1}^n t_i$$

• Exponential Average / Aging :-

$$T_{n+1} = \alpha t_n + (1-\alpha) T_n \quad 0 \leq \alpha \leq 1$$

(c) Introduction to SRTF :-

Criteria :- Burst time

Mode :- pre-emptive.

(d) Round Robin Algorithm :-

- Not depending on BT.
- Each process is executed for TQ amount of time. (TQ \rightarrow Time Quantum).
- less starvation problem.
- Criteria :- TQ + AT (First come first serve)
- Round Robin :- pre-emptive.
- As the time quantum \uparrow then no. of context switches \downarrow .
- If the TQ is too large then starvation occurs.

(e) longest job first :-

• process having longest BT gets scheduled first

• Criteria :- Burst time

• Mode :- Non-preemptive.

(f) longest Remaining time first :-

• Mode :- preemptive

(g) Highest Response ratio next (HRRN) :-

• Criteria :- Response ratio (RR) = $\frac{W+S}{S}$

where, W \rightarrow waiting time for a process so far.

S \rightarrow service time of a process or BT.

• Mode :- Non-preemptive.

(h) priority scheduling :-

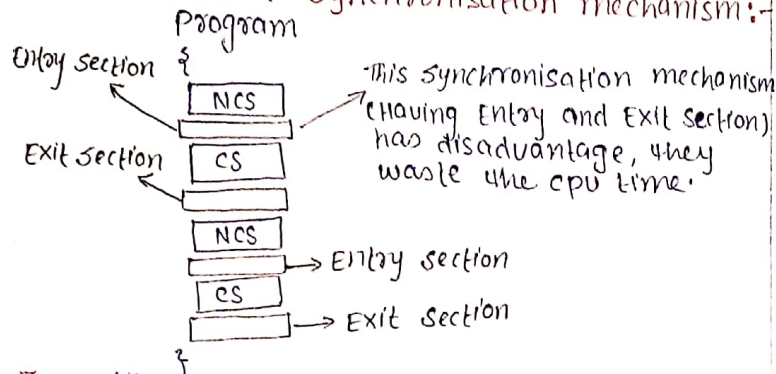
- \rightarrow Static (does not change throughput the execution of process).
- \rightarrow preemptive
- \rightarrow Non-preemptive.
- \rightarrow Dynamic (changes at regular intervals of time)

2. PROCESS SYNCHRONISATION :-

1. Need for synchronisation :-

- **Critical Section** :- It is the part of the program where shared resources are accessed by processor.
- **Race Condition** :- The order of execution of instructions defines the results produced.

2. Introduction to synchronisation mechanism :-



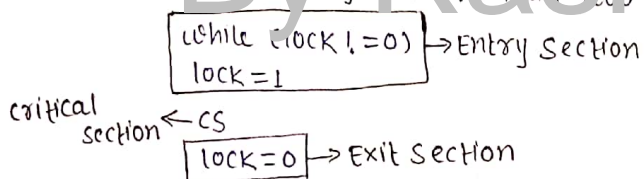
3. Conditions for synchronisation mechanisms :-

Requirements for synchronisation mechanisms :-

- **Primary** :- mutual exclusion, program.
- **Secondary** :- Bounded waiting, ~~priority~~ (or) Architectural Neutrality.

4. Lock variables :-

- Software mechanism implemented in user mode.
- Busy waiting solution.
- Can be used even for more than two process.



5. TSL (Test and Set Lock) :-

1. load lock R₀ → TSL lock, R₀.
2. store #1, lock
3. cmp R₀, #0
4. JNZ Step 1.

- In TSL never occurs deadlock condition.
- TSL provides mutual exclusion, progress, Bounded waiting depends.
- TSL is dependent on Architecture.

6. Disabling interrupts :-

Disabling the interrupts

CS

Enables the interrupts.

- If we disable interrupt preemption is guaranteed.
- Both mt and progress is guaranteed.
- Bounded waiting is not guaranteed unless you maintain the queue.
- Architecture dependent.

7. Turn variable or strict alternation method :-

- Software mechanism implemented at user mode.
- Busy waiting solution.
- 2-process solution (P₀, P₁) or (P_i, P_j).
- Mutual exclusion is guaranteed but progress is not guaranteed.
- Bounded wait is present. Busy waiting is Absent.
- Cannot be implemented for more than 2 process.

8. Peterson Solution :-

- Software mechanism at user mode.
- Busy waiting solution.
- 2-process solution (P₀, P₁)
- It uses (Turn + Interested) variable.
- Platform independent.
- No overhead to the operating system (every thing executes in user mode).

Entry

Critical Section

Exit

→ Synchronisation mechanism without Busy waiting :-

- Sleep and wait

10. Introduction to Semaphores :-

- Implementing semaphores at the user level will be dangerous and inconsistent.
- Variables on which Read, modify and update happen atomically in kernel mode. (No preemption).

Types of semaphore :-

(a) counting semaphore :-

Decrement	Increment
down	up
P	V
wait	Signal

(b) Binary semaphore :- (or mutexes) :-

- Can have only 2 values 0 and 1.

3. DEADLOCKS :-

1. Introduction to deadlocks :-

- Starvation is long waiting.
- Deadlock is infinite waiting.

Necessary conditions for Deadlock :-

- mutual Exclusion
- Hold and wait
- No preemption
- circular wait.

2. Deadlock handling mechanisms :-

Strategies for handling deadlock :-

- Deadlock ignorance :- Both window and linux uses Deadlock Ignorance.
- Deadlock prevention :- Disable one of the four necessary condition.
- Deadlock avoidance.
- Deadlock Detection and Recovery :- most practical method.

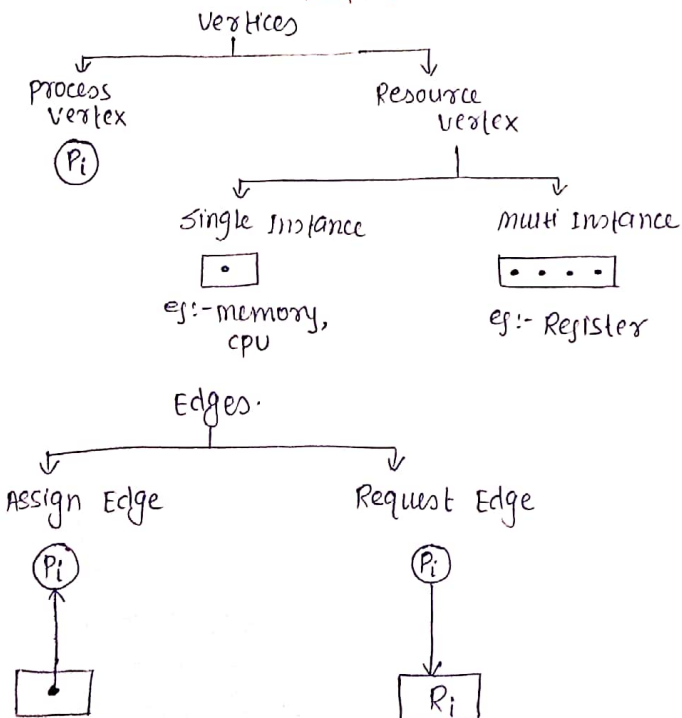
3. Deadlock prevention :-

- | Condition | Approach. |
|--------------------|----------------------------------|
| → mutual exclusion | Spool Everything |
| → Hold and wait | Request all resources initially. |
| → No preemption | Take resources away |
| → circular wait | Order resources numerically |

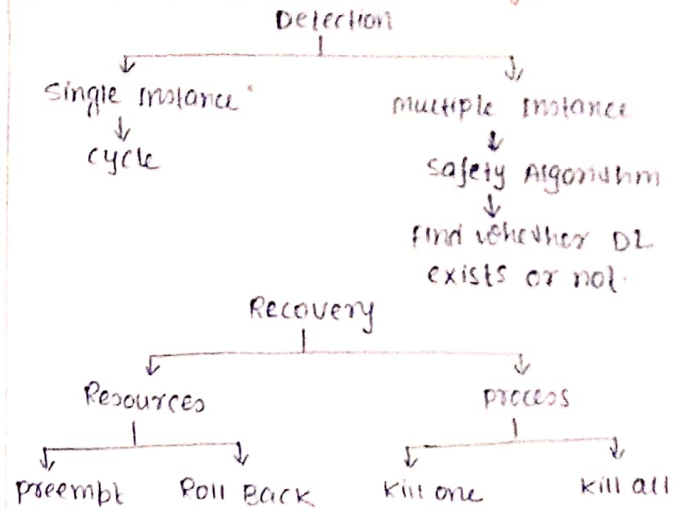
4. Safe, unsafe, deadlock avoidance and Banker's Algorithm :-

- Safe state :- If you could satisfy the needs of all the process in some sequence when it is called safe state.

5. Resource allocation Graph :-



6 Deadlock Detection and Recovery :-



4. MEMORY MANAGEMENT :-

1. Need for multiprogramming and memory management :-

- CPU can directly access, Registers, main memory, cache (if available).
- CPU utilization = The amount of time that you are using CPU running the program.

$$\text{CPU Utilization} = 1 - P^n$$

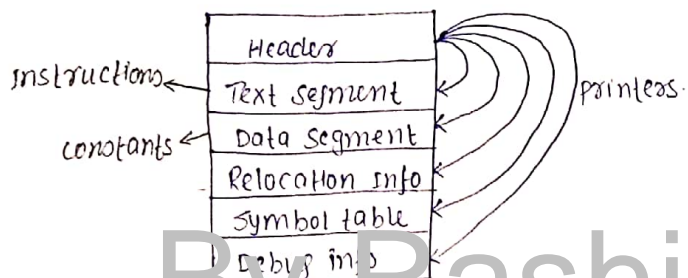
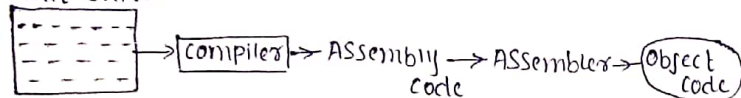
where, $n \rightarrow$ no. of process in main memory.

→ Degree of multiprogramming :-

The no. of process that can be present in main memory at any given instant of time.

2. Object code, Relocation and linker :-

Text editor



The "Relocation Info" field in the object file contains what are the lines that are changed to (Relocatable address \rightarrow absolute address).

"Symbol table" contains every symbol that is present in the program.

→ The main purpose of linker is Relocation and symbol Resolution.

3. Loader :-

• linker :-

Symbols Resolution + Relocation.

• loader :-

Program loading + Relocation.

→ loading is nothing but copying something and loading it into main memory.

→ loading time \propto process size.

→ Various times that are present while the program and process creation are :-

(a) compile time :- what ever you do during the compilation is called compile time.

Symbolic names \rightarrow Relocatable Address.

(b) link time :- If linker knows the address where it is loaded then linker converts one form of.

(c) load time :-

Relocatable address \rightarrow Absolute address.

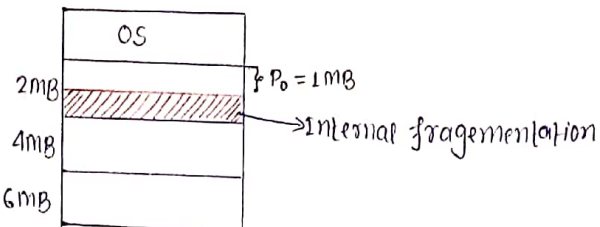
(d) Run time :-

Dynamic linking happens.

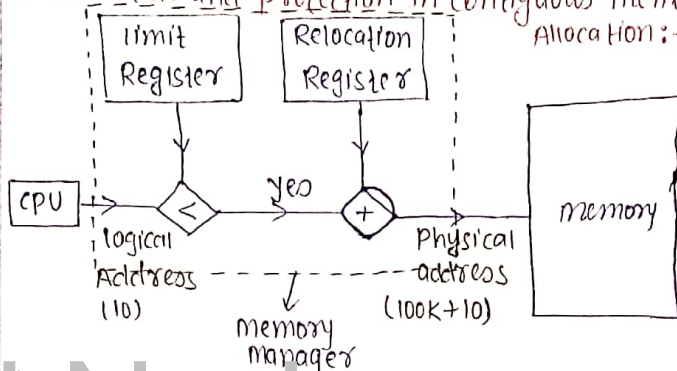
→ Dynamic link libraries are linked (need support of OS).

4. fixed partitioning :-

• Contiguous memory allocation :-



5. Relocation and protection in contiguous memory Allocation :-



6. Dynamic Partitioning :- (variable partitioning)

The user space/memory is not divided into fixed size partitions it is left as it is.

• If I go for dynamic partitioning there won't be any internal fragmentation.

• If there is internal fragmentation then there is external fragmentation.

• If there is no internal fragmentation then there may or may not be external fragmentation.

• External fragmentation is present.

• advantages :-

(a) Degree of multiprogramming is not fixed.

(b) Size of the process is not limited by the size of partition.

7. Bit map for dynamic programming :-

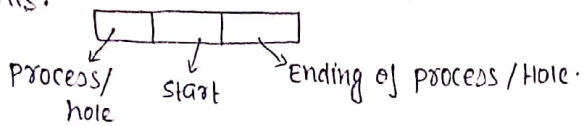
→ Bit map :-

The memory is divided into small parts called "Allocation units".

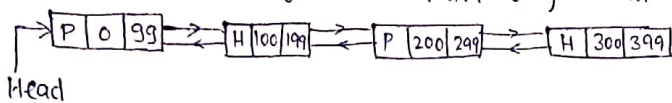
→ For every allocation unit the single bit 0 or 1 is assigned to represents the allocation unit is open/free and 1 represents the allocation unit is occupied.

8. linked list for dynamic partitioning:-

- The structure of the linked list will be like this.



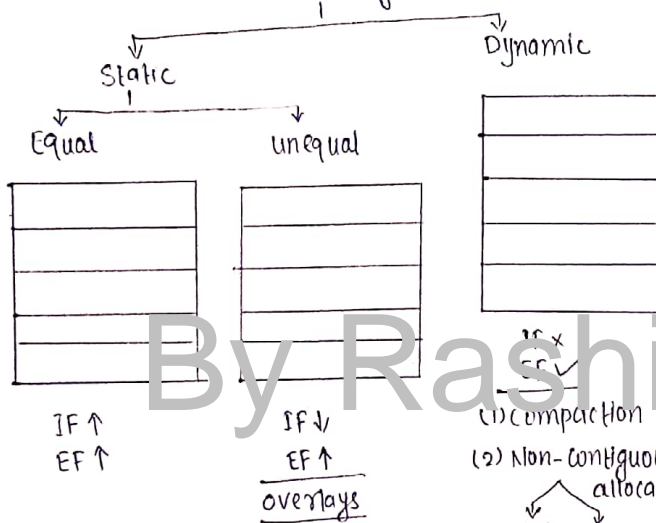
- For the above diagram the structure of linked list:-



9. Various algorithm that are used on the linked list:-

- First fit
- Best fit
- Quick fit
- Next fit
- Worst fit

10. Summary of partitioning:-



11. Overlays:-

- Sometimes the size of the biggest partition will be less than the size of the process, in that case we should go with "OVERLAYS".
- only a part of the program is loaded in the memory at any given instant of time.
- The example of overlays is "assembler".

12. Need for paging:-

- The main problem that even the Dynamic partitioning could not eliminate is External fragmentation.
- They have proposed to divide the process into small parts called pages and also divide the memory into small parts called frames.
- The division should be in such a way that the page size and frames size are equal.
- cpu generates the logical address and it is divided into 2 parts <page no, page offset>.

13. Basics of Binary address:-

$$2^{10} = K, 2^{20} = M, 2^{30} = G, 2^{40} = T.$$

14. Physical address space and logical address space:-

→ The smallest addressable unit in the computer is "word".

Physical address space:-

→ Physical address space is nothing but size of main memory.

$$PAS = 'm' \text{ words}$$

$$PA = \lceil \log_2 (m) \rceil \text{ bits}$$

Logical address space/virtual address space:-

→ Logical address space = size of a process.

$$LAS = 'm' \text{ words}$$

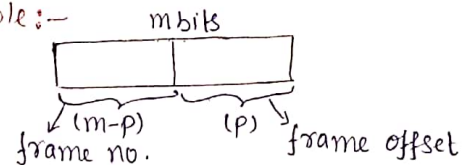
$$LA = \lceil \log_2 (m) \rceil \text{ bits}$$

→ Page size = Frame size = 'p' words

$$\text{Page offset} = \lceil \log_2 P \rceil \text{ bits}$$

15. page table:-

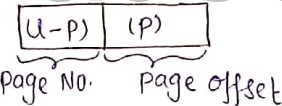
→ PA:-



$$\text{Frame} = 2^p \text{ words}, \text{PAS} = 2^m \text{ words}$$

$$\text{No. of frames} = \frac{\text{PAS}}{\text{frame size}} = \frac{2^m}{2^p} = 2^{m-p} \text{ frame}$$

→ LA:-



$$\text{Page size} = 2^p \text{ words}, \text{LAS} = 2^l \text{ words}$$

$$\text{No. of pages} = \frac{2^l}{2^p} = 2^{l-p} \text{ pages}$$

→ No. of entries in the page table = No. of pages in the process = 2^{l-p} .

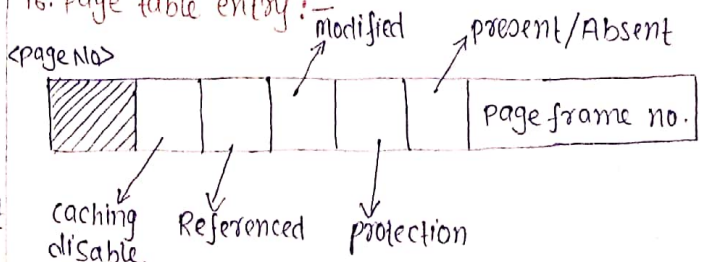
let 'e' be the size of each entry,

$$\text{page table size} = 2^{l-p} * (e) \text{ bytes}$$

If the value of 'e' is not given then,

$$\text{page table size} = 2^{l-p} * (m-p) \text{ bytes}$$

16. Page table entry:-



17. Need for multilevel paging :-

→ If Page table size > the page size then we go for multilevel paging.

18. Finding optimal page size :-

$$\text{No. of pages} = \frac{\text{VAS}}{\text{PS}}$$

$$\text{Overhead} = \left[\frac{nP}{2} + S \left(\frac{1}{P} \right) * e \right]$$

$$P = \sqrt{Se}$$

where, P → optimal page size.

S → AVERAGE VAS

e → PTE

n → no. of pages the section is divided.

19. virtual memory Introduction :-

→ The vmi concept is used when the process size is greater than the mm.

→ vmi is provided by operating system but overlays is by manual intervention.

20. Translation lookaside buffer (TLB) :-

→ The cache is faster than mm and cheaper than Registers.

→ The TLB also sometimes called ASSOCIATIVE MEMORY. Because every element of this memory is having 'TAG'.

$$\text{Effective Access time (EAT)} = P(t+m) + (1-P)(t+2m)$$

(single level page table)

where,

t → Time for checking the key in TLB.

m → Time for accessing the frame in mm.

$$\text{TLB Hit} = P \Rightarrow \text{TLB MISS} = 1 - P.$$

If there are K levels then,

$$\text{EAT} = P(t+m) + (1-P)(t+km+m)$$

21. Page fault :-

• Referring to a page that is not present in the memory is called page fault.

• Demand Paging :- Don't load any page until it is required.

• No. of page references equal to No. of page faults then it is called thrashing.

• EMAT in case of page fault is =

$$P * (\text{service time} / \text{Page fault time}) + (1-P) * (\text{memory Access time})$$

Page fault rate.

$$\text{EMAT} = P * (\text{service time}) + (1-P) * (\text{memory access time})$$

Also called page fault time.

$$\text{EMAT} = P * (\text{service time} + \widehat{MA}) + (1-P) * (MA)$$

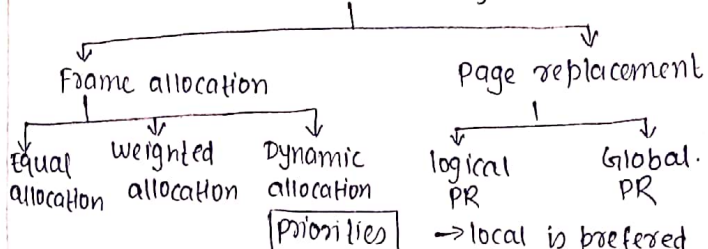
↳ Very Negligible.

22. Inverted page table :-

$$\text{IPTS} = (\text{No. of frames}) * \text{IPTE}$$

23. Importance of Frame allocation and page Replacement :-

virtual memory



24. page Replacement :-

• optimal Page Replacement :- Replace the page that will not be referred for long time.

• least Recently used (LRU) :- Replace the page which has not been referred for a long time.

• FIFO :- First inserted page should be replaced.

• Belady Anomaly :- If we increase the number of frames FIFO is behaving strangely for some example.

25. stack Algorithm :-

→ The main reason for Belady Anomaly is 'stack property'.

→ optimal property is a stack Algorithm.

→ whenever a algorithm is a stack algorithm it does not follow Belady Anomaly.

26. Some interesting behaviour of optimal page Rep. :-

→ In case of loops optimal and MRU (mostly Recently used) are same.

→ In case of loops both LRU and FIFO behaves in worst manner (more PF).

27. Working set algorithm :-

→ Initially the process requires less frames but gradually the necessity of the frames increases

→ This also has the parameter 'window size (A)'.

$$\text{Working set size} \subseteq \text{window size}$$

28. Segmentation :-