```
\ensuremath{\text{\#}} Project Title: CNN Implementation for MNIST Digit Recognition
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!pip install ucimlrepo
from ucimlrepo import fetch_ucirepo
import tensorflow as tf
from tensorflow.keras import layers, models
from sklearn.model_selection import KFold
from sklearn.preprocessing import MinMaxScaler
import numpy as np
{\tt import\ matplotlib.pyplot\ as\ plt}
from \ sklearn.metrics \ import \ accuracy\_score, \ confusion\_matrix, \ classification\_report
import seaborn as sns
from tensorflow.keras.utils import plot_model
     Requirement already satisfied: ucimlrepo in /usr/local/lib/python3.10/dist-packages (0.0.6)
optical_recognition_of_handwritten_digits = fetch_ucirepo(id=80)
# data (as pandas dataframes)
X = optical_recognition_of_handwritten_digits.data.features
y = optical_recognition_of_handwritten_digits.data.targets
# metadata
print(optical_recognition_of_handwritten_digits.metadata)
# variable information
print(optical_recognition_of_handwritten_digits.variables)
     {'uci_id': 80, 'name': 'Optical Recognition of Handwritten Digits', 'repository_url': 'https://archive.ics.uci.edu/dataset/80/optical+re
                         role
                                      type demographic description units
          Attribute1 Feature
     0
                                    Integer
                                                   None
                                                               None
                                                                     None
          Attribute2
                                    Integer
     2
          Attribute3 Feature
                                    Integer
                                                   None
                                                                None
                                                                      None
          Attribute4
                     Feature
                                    Integer
                                                   None
                                                                None
                                                                      None
     4
          Attribute5 Feature
                                    Integer
                                                   None
                                                                None
                                                                     None
        Attribute61 Feature
                                    Integer
                                                   None
                                                                None
                                                                     None
     61
         Attribute62 Feature
                                    Integer
                                                   None
                                                                None
                                                                     None
                     Feature
                                                   None
         Attribute63
                                    Integer
                                                                None
                                                                     None
     63
         Attribute64 Feature
                                    Integer
                                                   None
                                                                None
                                                                     None
                      Target Categorical
                                                               None None
     64
               class
                                                   None
        missing_values
     0
     1
                    no
                    no
     3
4
                    no
     60
                    no
     61
                    no
     62
                    no
     63
                    no
     [65 rows x 7 columns]
# Shapes of X and y
print(X.shape)
print(y.shape)
     (5620, 64)
     (5620, 1)
X = X.values
y = y.values
# Reshape data for CNN
X = X.reshape(-1, 8, 8, 1)
# Normalization data for CNN
scaler = MinMaxScaler()
X_normalized = scaler.fit_transform(X.reshape(-1, 64)) # Reshape for MinMaxScaler
X_normalized = X_normalized.reshape(-1, 8, 8, 1)
```

```
# Define the CNN model
def create_model():
    model = models.Sequential([
        # Convolutional Layer 1
        layers.Conv2D(32, (3, 3), activation = 'relu', padding = 'same', input_shape = (8, 8, 1)), # Parameters: 32 filters, kernel size (3,
        # Add padding to increase spatial dimensions
        layers.ZeroPadding2D((1, 1)), # Input Dimension: (8, 8, 32), Output Dimesnion: (10, 10, 32)
        # Max Pooling Layer 1
       layers.MaxPooling2D((2, 2)), # Pool Size: (2, 2), Strides: (2, 2), Input Dimension: (10, 10, 32), Output Dimension: (5, 5, 32)
        # Convolutional Layer 2
       layers.Conv2D(64, (3, 3), activation='relu', padding = 'same',), # Parameters: 64 filters, kernel size (3, 3), Input Dimension: (5,
       layers.MaxPooling2D((2, 2)), # Pool Size: (2, 2), Strides: (2, 2), Input Dimension: (5, 5, 64), Output Dimension: (2, 2, 64)
        # Convolutional Layer 3
       layers.Conv2D(128, (3, 3), activation='relu', padding = 'same',), # Parameters: 128 filters, kernel size (3, 3), Input Dimension: (2
        # Flattening Layer
       layers.Flatten(), # Flattening the output of the last convolutional layer, Input Dimension: (2, 2, 128), Output Dimension: (512,)
        # Fully Connected Layer 1
       layers.Dense(64, activation='relu'), # Fully Connected Layer with 64 neurons and ReLU activation, Input Dimension: (512,), Output D
        layers.Dense(10, activation='softmax') # Output Layer with 10 neurons for classification and softmax activation, Input Dimension: (
    1)
    model.compile(optimizer='adam',
                  loss='sparse categorical crossentropy',
                  metrics=['accuracy'])
    return model
# Plot the model architecture
plot model(model, to file='cnn model.png', show shapes=True, show layer names=True)
         conv2d_87_input
                                      [(None, 8, 8, 1)]
                             input:
            InputLayer
                                      [(None, 8, 8, 1)]
                            output:
            conv2d_87
                          input:
                                    (None, 8, 8, 1)
             Conv2D
                                   (None, 8, 8, 32)
                          output:
                                       (None, 8, 8, 32)
       zero_padding2d_29
                             input:
         ZeroPadding2D
                            output:
                                      (None, 10, 10, 32)
       max_pooling2d_58
                             input:
                                      (None, 10, 10, 32)
         MaxPooling2D
                                       (None, 5, 5, 32)
                            output:
            conv2d 88
                                   (None, 5, 5, 32)
                          input:
             Conv2D
                                   (None, 5, 5, 64)
                          output:
        max_pooling2d_59
                              input:
                                       (None, 5, 5, 64)
          MaxPooling2D
                                       (None, 2, 2, 64)
                             output:
           conv2d_89
                                   (None, 2, 2, 64)
                         input:
             Conv2D
                         output:
                                  (None, 2, 2, 128)
            flatten_29
                         input:
                                  (None, 2, 2, 128)
                                     (None, 512)
             Flatten
                        output:
```

dense\_58

Dense

dense 59

Dense

input:

output:

input:

output:

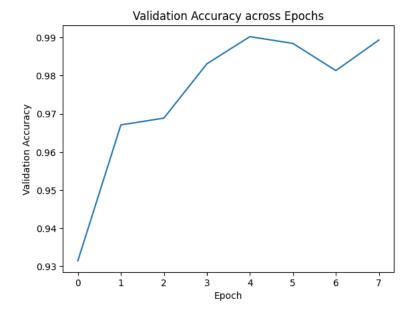
(None, 512)

(None, 64)

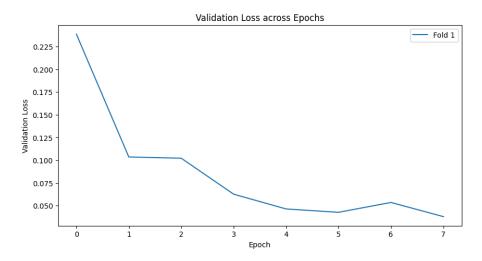
(None, 64)

(None, 10)

```
# Define k-fold cross-validation
k = 5
kf = KFold(n splits=k, shuffle=True, random state=42)
# Initialize lists to store results
fold_accuracy = []
fold loss = []
all_y_true = []
all_y_pred = []
# Perform k-fold cross-validation
fold_accuracy = []
for train_index, val_index in kf.split(X):
   X_train, X_val = X_normalized[train_index], X_normalized[val_index]
   y_train, y_val = y[train_index], y[val_index]
   model = create_model()
   history = model.fit(X train, y train, epochs=8, batch size=32, validation data=(X val, y val))
    Epoch 4/8
    141/141 Γ=
                   Epoch 5/8
    141/141 [=
                          :=======] - 2s 17ms/step - loss: 0.0578 - accuracy: 0.9818 - val loss: 0.0654 - val accuracy: 0.9831
    Epoch 6/8
    141/141 [=
                               ======] - 2s 11ms/step - loss: 0.0503 - accuracy: 0.9851 - val_loss: 0.0759 - val_accuracy: 0.9751
    Epoch 7/8
                      ==========] - 2s 11ms/step - loss: 0.0341 - accuracy: 0.9907 - val loss: 0.1948 - val accuracy: 0.9359
    141/141 [==
    Epoch 8/8
    141/141 [=
                        =========] - 2s 12ms/step - loss: 0.0293 - accuracy: 0.9911 - val_loss: 0.0612 - val_accuracy: 0.9786
    Epoch 1/8
    141/141 [=
                       =========] - 3s 13ms/step - loss: 0.8960 - accuracy: 0.7226 - val_loss: 0.2327 - val_accuracy: 0.9244
    Epoch 2/8
    141/141 [==
                    =========] - 2s 18ms/step - loss: 0.1595 - accuracy: 0.9520 - val_loss: 0.1797 - val_accuracy: 0.9386
    Epoch 3/8
    141/141 [=
                              ======] - 2s 12ms/step - loss: 0.0941 - accuracy: 0.9718 - val_loss: 0.0830 - val_accuracy: 0.9742
    Epoch 4/8
    141/141 [==
                   Epoch 5/8
                        ==========] - 2s 12ms/step - loss: 0.0526 - accuracy: 0.9847 - val loss: 0.0795 - val accuracy: 0.9760
    141/141 [==
    Epoch 6/8
    141/141 [==
                  Epoch 7/8
    141/141 [=
                       :========] - 2s 11ms/step - loss: 0.0261 - accuracy: 0.9924 - val_loss: 0.0426 - val_accuracy: 0.9884
    Epoch 8/8
    141/141 [=
                       =========] - 2s 11ms/step - loss: 0.0277 - accuracy: 0.9913 - val_loss: 0.0570 - val_accuracy: 0.9849
    Epoch 1/8
    141/141 [=
                      ========== ] - 4s 18ms/step - loss: 0.8897 - accuracy: 0.7160 - val loss: 0.1756 - val accuracy: 0.9555
    Epoch 2/8
    141/141 [=
                                  :==] - 2s 11ms/step - loss: 0.1714 - accuracy: 0.9513 - val loss: 0.1002 - val accuracy: 0.9733
    Epoch 3/8
                     =========] - 2s 12ms/step - loss: 0.1002 - accuracy: 0.9722 - val loss: 0.0899 - val accuracy: 0.9733
    141/141 [=:
    Epoch 4/8
    141/141 [==
                      =========] - 2s 11ms/step - loss: 0.0826 - accuracy: 0.9740 - val loss: 0.0592 - val accuracy: 0.9875
    Epoch 5/8
    141/141 [===
                Epoch 6/8
    141/141 [=
                        :=========] - 2s 11ms/step - loss: 0.0424 - accuracy: 0.9875 - val_loss: 0.0548 - val_accuracy: 0.9867
    Enoch 7/8
    141/141 [=
                            =======] - 2s 12ms/step - loss: 0.0537 - accuracy: 0.9820 - val_loss: 0.0772 - val_accuracy: 0.9769
    Epoch 8/8
    141/141 [==
                     =========] - 3s 19ms/step - loss: 0.0226 - accuracy: 0.9929 - val loss: 0.0413 - val accuracy: 0.9875
    Epoch 1/8
    141/141 [=
                              :======] - 3s 13ms/step - loss: 0.9456 - accuracy: 0.6993 - val_loss: 0.2388 - val_accuracy: 0.9315
    Epoch 2/8
    141/141 [===
                   Epoch 3/8
    141/141 [=
                       ==========] - 2s 12ms/step - loss: 0.1194 - accuracy: 0.9653 - val_loss: 0.1022 - val_accuracy: 0.9689
    Epoch 4/8
                           :=======] - 2s 11ms/step - loss: 0.0820 - accuracy: 0.9746 - val_loss: 0.0626 - val_accuracy: 0.9831
    141/141 [=
    Epoch 5/8
    141/141 [=
                              :======] - 2s 11ms/step - loss: 0.0622 - accuracy: 0.9804 - val_loss: 0.0463 - val_accuracy: 0.9902
    Epoch 6/8
    .
141/141 [=
                               ======] - 2s 17ms/step - loss: 0.0414 - accuracy: 0.9884 - val_loss: 0.0426 - val_accuracy: 0.9884
    Epoch 7/8
                 141/141 [==
    Epoch 8/8
    # Record accuracy and loss
fold_accuracy.append(history.history['val_accuracy'])
fold_loss.append(history.history['val_loss'])
# Predictions
y_pred = np.argmax(model.predict(X_val), axis=1)
all_y_true.extend(y_val)
all_y_pred.extend(y_pred)
    36/36 [======= ] - 0s 4ms/step
# Calculate and print average validation accuracy across folds
avg val accuracy = np.mean(fold accuracy, axis=0)
print('Average validation accuracy across folds:', avg_val_accuracy)
    Average validation accuracy across folds: [0.93149465 0.96708184 0.96886122 0.98309606 0.99021351 0.98843414
     0.98131675 0.98932385]
# Plot the validation accuracy across epochs
plt.plot(avg_val_accuracy)
plt.xlabel('Epoch')
plt.ylabel('Validation Accuracy')
plt.title('Validation Accuracy across Epochs')
plt.show()
```



```
# Plot the validation loss across epochs
plt.figure(figsize=(10, 5))
for i in range(len(fold_loss)):
    plt.plot(history.epoch, fold_loss[i], label=f'Fold {i+1}')
plt.xlabel('Epoch')
plt.ylabel('Validation Loss')
plt.title('Validation Loss across Epochs')
plt.legend()
plt.show()
```



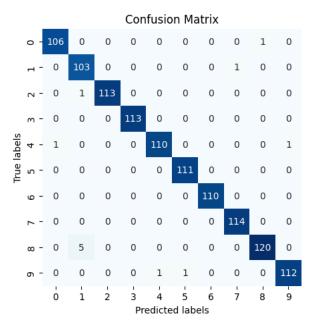
```
# Calculate overall accuracy
overall_accuracy = accuracy_score(all_y_true, all_y_pred)
print('Overall accuracy:', overall_accuracy)
```

Overall accuracy: 0.9893238434163701

classification\_report = classification\_report(y\_val, y\_pred)
print(classification\_report)

	precision	recall	f1-score	support
0	0.99	0.99	0.99	107
1	0.94	0.99	0.97	104
2	1.00	0.99	1.00	114
3	1.00	1.00	1.00	113
4	0.99	0.98	0.99	112
5	0.99	1.00	1.00	111
6	1.00	1.00	1.00	110
7	0.99	1.00	1.00	114
8	0.99	0.96	0.98	125
9	0.99	0.98	0.99	114
accuracy			0.99	1124
macro avg	0.99	0.99	0.99	1124
weighted avg	0.99	0.99	0.99	1124

```
# Confusion matrix
conf_matrix = confusion_matrix(all_y_true, all_y_pred)
plt.figure(figsize=(5, 5))
sns.heatmap(conf_matrix, annot=True, fmt='d', cmap='Blues', cbar=False)
plt.xlabel('Predicted labels')
plt.ylabel('True labels')
plt.title('Confusion Matrix')
plt.show()
```



## Analysis and Report of the implementation of CNN on MNIST dataset:

Github link - sainathvaddi/CNN on MNIST data (github.com)

**Understanding Dataset:** The MNIST dataset is a widely used dataset for handwritten digit recognition. It consists of 28x28 grayscale images of handwritten digits (0 through 9). Each image is labeled with a digit.

## **Data Preparation:**

**Loading the Dataset:** We used the provided method to fetch the MNIST dataset from the UCI repository.

Data Preprocessing: Normalization and reshaping are the preprocessing steps. Normalization provides that the pixel values are within the range between 0 and 1, which helps in faster convergence during training. Reshaping (to 8\*8\*1) is required to match the input shape expected by CNN.

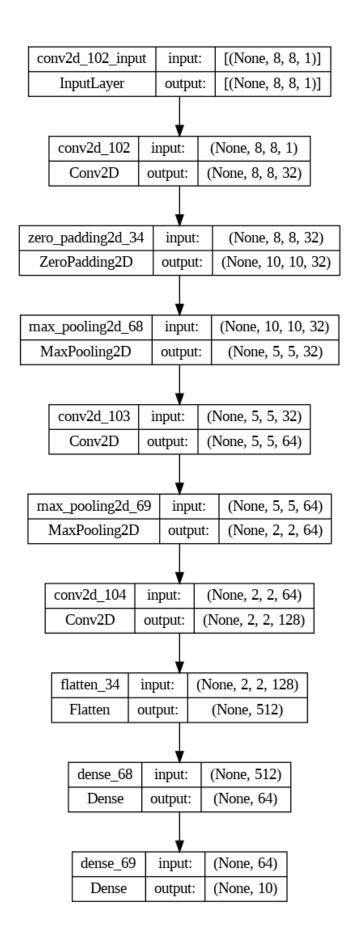
## **CNN Architecture:**

**Convolutional Layers:** These layers extract features from the input images. Each of these layers applies a set of kernels (filters) to the input image, resulting in feature maps. ReLU activation functions are applied after the convolution to introduce nonlinearity.

**Max Pooling:** These layers simplify the feature maps by reducing their size. It's like zooming out, focusing only on the most important details only. This technique keeps the highest value in each region, which helps in capturing the most relevant features while making calculations easier.

**Fully Connected Layers:** After multiple convolutional and pooling layers, fully connected layers are added to perform classification based on the extracted features. The final fully connected layer is followed by a softmax activation function to produce probabilities of each class.

## **Model Architecture:**



The CNN architecture here consists of 3 convolutional layers with ReLU activation functions. Each layer's parameters and dimensions need to be documented thoroughly. This includes the size of the filters, the number of filters, padding, stride, and the dimensions of the feature maps at each layer. The architecture is as follows:

**Convolutional Layer 1:** In this layer, Parameters are 32 filters, kernel size (3, 3), Input Dimension: (8, 8, 1), Output Dimension: (8, 8, 32). After this, add zero padding (1, 1) to increase spatial dimensions.

**Max Pooling Layer 1:** This layer has Pool Size: (2, 2), Strides: (2, 2), Input dimension: (10, 10, 32), Output Dimension: (5, 5, 32)

**Convolutional Layer 2:** In this layer, Parameters are 64 filters, kernel size (3, 3), Input dimension: (5, 5, 32), output dimension: (5, 5, 64)

**Max Pooling Layer 2:** This layer has Pool Size: (2, 2), Strides: (2, 2), Input dimension: (5, 5, 64), output dimension: (2, 2, 64)

**Convolutional Layer 3:** In this layer, Parameters are 128 filters, kernel size (3, 3), Input Dimension: (2, 2, 64), Output Dimension: (2, 2, 128)

**Layers Flatten**: Flattening the output of the last convolutional layer, the input dimension is (2, 2, 128), and we get output dimension as (512,)

**Fully Connected Layer**: This layer is with 64 neurons and ReLU activation, with input dimension of (512,), and gives output dimension of (64,)

**Output Layer**: This layer is with 10 neurons for classification and softmax activation, with input dimension of (64,), output dimension of (10,)

**Training and Evaluation:** K-fold cross-validation with k=5 was performed to train and validate the model. The model was trained for 8 epochs with a batch size of 32. Performance metrics such as validation accuracy and loss were monitored across epochs. The overall accuracy of the model was calculated using the test set. Additionally, a classification report was generated to evaluate precision, recall, and F1-score for each class.

**Results and Analysis:** The average validation accuracy across folds was approximately 98%. Validation accuracy and loss were plotted across epochs to visualize the model's training process. The overall accuracy of the model on the test set is 99%.

The classification report revealed high precision, recall, and F1-score for each digit class, indicating robust performance across all classes. The classification report and Confusion matrix are provided below:

**Classification Report** 

Class (digits)	Precision	Recall	F1-score	Support
0	0.99	0.99	0.99	107
1	0.94	0.99	0.97	104
2	1.00	0.99	1.00	114
3	1.00	1.00	1.00	113
4	0.99	0.98	0.99	112
5	0.99	1.00	1.00	111
6	1.00	1.00	1.00	110
7	0.99	1.00	1.00	114
8	0.99	0.96	0.98	125
9	0.99	0.98	0.99	114

