

Concurrency with Processes, Threads, and Coroutines

♠ asyncio — Asynchronous I/O, event loop, and concurrency tools

# Working with Subprocesses

It is frequently necessary to work with other programs and processes, to take advantage of existing code without rewriting it or to access libraries or features not available from within Python. As with network I/O, asyncio includes two abstractions for starting another program and then interacting with it.

# Using the Protocol Abstraction with Subprocesses

This example uses a coroutine to launch a process to run the Unix command df to find the free space on local disks. It uses subprocess exec() to launch the process and tie it to a protocol class that knows how to read the df command output and parse it. The methods of the protocol class are called automatically based on I/O events for the subprocess. Because both the stdin and stderr arguments are set to None, those communication channels are not connected to the new process.

```
# asyncio subprocess protocol.py
import asyncio
import functools
async def run df(loop):
    print('in run df')
    cmd done = asyncio.Future(loop=loop)
    factory = functools.partial(DFProtocol, cmd done)
    proc = loop.subprocess exec(
        factory,
        'df', '-hl',
        stdin=None,
        stderr=None,
    )
    try:
        print('launching process')
        transport, protocol = await proc
        print('waiting for process to complete')
        await cmd done
    finally:
        transport.close()
    return cmd done.result()
```

The class DFProtocol is derived from SubprocessProtocol, which defines the API for a class to communicate with another process through pipes. The done argument is expected to be a Future that the caller will use to watch for the process to finish.

```
class DFProtocol(asyncio.SubprocessProtocol):
    FD_NAMES = ['stdin', 'stdout', 'stderr']
    def __init__(self, done_future):
        self.done = done future
        self.buffer = bytearray()
        super().__init__()
```

As with socket communication, connection made() is invoked when the input channels to the new process are set up. The transport argument is an instance of a subclass of BaseSubprocessTransport. It can read data output by the process and write data to the input stream for the process, if the process was configured to receive input.

```
def connection_made(self, transport):
    print('process started {}'.format(transport.get_pid()))
    self.transport = transport
```

When the process has generated output, pipe\_data\_received() is invoked with the file descriptor where the data was emitted and the actual data read from the pipe. The protocol class saves the output from the standard output channel of the process in a buffer for later processing.

When the process terminates, process\_exited() is called. The exit code of the process is available from the transport object by calling get\_returncode(). In this case, if there is no error reported the available output is decoded and parsed before being returned through the Future instance. If there is an error, the results are assumed to be empty. Setting the result of the future tells run\_df() that the process has exited, so it cleans up and then returns the results.

```
def process_exited(self):
    print('process exited')
    return_code = self.transport.get_returncode()
    print('return code {}'.format(return_code))
    if not return_code:
        cmd_output = bytes(self.buffer).decode()
        results = self._parse_results(cmd_output)
    else:
        results = []
    self.done.set_result((return_code, results))
```

The command output is parsed into a sequence of dictionaries mapping the header names to their values for each line of output, and the resulting list is returned.

```
def _parse_results(self, output):
    print('parsing results')
# Output has one row of headers, all single words. The
# remaining rows are one per filesystem, with columns
# matching the headers (assuming that none of the
# mount points have whitespace in the names).
if not output:
    return []
lines = output.splitlines()
headers = lines[0].split()
devices = lines[1:]
results = [
    dict(zip(headers, line.split()))
    for line in devices
]
return results
```

The run\_df() coroutine is run using run\_until\_complete(), then the results are examined and the free space on each device is printed.

The output below shows the sequence of steps taken, and the free space on three drives on the system where it was run.

```
$ python3 asyncio_subprocess_protocol.py
in run_df
```

```
launching process
process started 49675
waiting for process to complete
read 332 bytes from stdout
process exited
return code 0
parsing results

Free space:
/ : 233Gi
/Volumes/hubertinternal : 157Gi
/Volumes/hubert-tm : 2.3Ti
```

# **Calling Subprocesses with Coroutines and Streams**

To use coroutines to run a process directly, instead of accessing it through a Protocol subclass, call create\_subprocess\_exec() and specify which of stdout, stderr, and stdin to connect to a pipe. The result of the coroutine to spawn the subprocess is a Process instance that can be used to manipulate the subprocess or communicate with it.

```
# asyncio_subprocess_coroutine.py

import asyncio
import asyncio.subprocess

async def run_df():
    print('in run_df')

buffer = bytearray()

create = asyncio.create_subprocess_exec(
    'df', '-hl',
    stdout=asyncio.subprocess.PIPE,
)
    print('launching process')
    proc = await create
    print('process started {}'.format(proc.pid))
```

In this example, df does not need any input other than its command line arguments, so the next step is to read all of the output. With the Protocol there is no control over how much data is read at a time. This example uses readline() but it could also call read() directly to read data that is not line-oriented. The output of the command is buffered, as with the protocol example, so it can be parsed later.

```
while True:
    line = await proc.stdout.readline()
    print('read {!r}'.format(line))
    if not line:
        print('no more output from command')
        break
    buffer.extend(line)
```

The readline() method returns an empty byte string when there is no more output because the program has finished. To ensure the process is cleaned up properly, the next step is to wait for the process to exit fully.

```
print('waiting for process to complete')
await proc.wait()
```

At that point the exit status can be examined to determine whether to parse the output or treat the error as it produced no output. The parsing logic is the same as in the previous example, but is in a stand-alone function (not shown here) because there is no protocol class to hide it in. After the data is parsed, the results and exit code are then returned to the caller.

```
return_code = proc.returncode
print('return code {}'.format(return_code))
if not return_code:
    cmd_output = bytes(buffer).decode()
    results = _parse_results(cmd_output)
else:
    results = []
```

```
return (return code, results)
```

The main program looks similar to the protocol-based example, because the implementation changes are isolated in run df().

Since the output from df can be read one line at a time, it is echoed to show the progress of the program. Otherwise, the output looks similar to the previous example.

```
$ python3 asyncio subprocess coroutine.py
in run df
launching process
process started 49678
read b'Filesystem
                      Size
                             Used Avail Capacity
                                                    iused
ifree %iused Mounted on\n'
read b'/dev/disk2s2 446Gi 213Gi 233Gi
                                            48% 55955082
61015132
          48%
                /\n'
read b'/dev/disk1
                                            67% 80514922
                    465Gi 307Gi 157Gi
           66%
                /Volumes/hubertinternal\n'
41281172
                                            38% 181837749
read b'/dev/disk3s2 3.6Ti 1.4Ti 2.3Ti
           37%
                 /Volumes/hubert-tm\n'
306480579
read b''
no more output from command
waiting for process to complete
return code 0
parsing results
Free space:
                         : 233Gi
/Volumes/hubertinternal : 157Gi
/Volumes/hubert-tm
                         : 2.3Ti
```

# **Sending Data to a Subprocess**

Both of the previous examples used only a single communication channel to read data from a second process. It is often necessary to send data into a command for processing. This example defines a coroutine to execute the Unix command tr for translating characters in its input stream. In this case, tr is used to convert lower-case letters to upper-case letters.

The to\_upper() coroutine takes as argument an event loop and an input string. It spawns a second process running "tr [:lower:] [:upper:]".

```
# asyncio_subprocess_coroutine_write.py

import asyncio
import asyncio.subprocess

async def to_upper(input):
    print('in to_upper')

    create = asyncio.create_subprocess_exec(
        'tr', '[:lower:]', '[:upper:]',
        stdout=asyncio.subprocess.PIPE,
        stdin=asyncio.subprocess.PIPE.
```

```
print('launching process')
proc = await create
print('pid {}'.format(proc.pid))
```

Next to\_upper() uses the communicate() method of the Process to send the input string to the command and read all of the resulting output, asynchronously. As with the subprocess. Popen version of the same method, communicate() returns the complete output byte strings. If a command is likely to produce more data than can fit comfortably into memory, the input cannot be produced all at once, or the output must be processed incrementally, it is possible to use the stdin, stdout, and stderr handles of the Process directly instead of calling communicate().

```
print('communicating with process')
stdout, stderr = await proc.communicate(input.encode())
```

After the I/O is done, waiting for the process to completely exit ensures it is cleaned up properly.

```
print('waiting for process to complete')
await proc.wait()
```

The return code can then be examined, and the output byte string decoded, to prepare the return value from the coroutine.

```
return_code = proc.returncode
print('return code {}'.format(return_code))
if not return_code:
    results = bytes(stdout).decode()
else:
    results = ''
return (return code, results)
```

The main part of the program establishes a message string to be transformed, and then sets up the event loop to run to upper() and prints the results.

The output shows the sequence of operations and then how the simple text message is transformed.

```
$ python3 asyncio_subprocess_coroutine_write.py
in to_upper
launching process
pid 49684
communicating with process
waiting for process to complete
return code 0
Original: '\nThis message will be converted\nto all caps.\n'
Changed : '\nTHIS MESSAGE WILL BE CONVERTED\nTO ALL CAPS.\n'
```

#### **Quick Links**

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The output from all the example programs from PyMOTW-3 has been generated with Python 3.7.1, unless otherwise noted. Some of the features described here may not be available in earlier versions of Python.

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