CSE-5331 DBMS MODELS AND IMPLEMENTATION ASSIGNMENT 1 – FINAL REPORT

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All the work is done together and equally.

Design:

We decided to implement this project in python programming language using 're' library and 'sys' library.

Programming language Used: Python. Text Editor Used: Notepad++, Notepad.

Data Structures/ Functions Used: Lists, List of Lists(table), String functions, Real expression functions, System Argument functions.

An algorithm for cautious waiting was proposed to avoid needless aborts or restarts. The transaction Ti

tries to lock item X but is unable to do so because X is locked by another transaction Tj with a conflicting lock. Here are the cautious waiting rules:

• Cautious Waiting: If Tj is not blocked (not waiting for some other locked item), then Ti is Blocked and allowed to wait; otherwise abort Ti

Case 1: If Ti < Tj: Abort Ti and give the resource to Tj.

Case 2: If Ti > Tj: Execute Ti and put Tj in the waiting queue.

• Wait Die: When an older transaction tries to lock a DB element that has been locked by a younger transaction, it waits. When a younger transaction tries to lock a DB element that has been locked by an older transaction, it dies.

Case 1: If Ti < Tj: Tj is executed first and Ti is allowed to wait until the next available data item.

Case 2: If Ti>Tj: Ti dies and is restarted later. Tj is executed first.

We can prove that cautious waiting is deadlock-free, because no transaction will ever wait for another blocked transaction. By considering the time b(T) at which each blocked transaction (T) was blocked, if the two transactions Ti and Tj above both become blocked, and Ti is waiting for Tj , then b(Ti) < b(Tj), since,

Ti can only wait for Tj at a time when Tj is not blocked itself. The blocking times form a total ordering of all blocked transactions, so no deadlock can occur.

- 1. Transaction Table (list)
 - a. Blockedby
 - b. Blocked operations
 - c. Timestamp
 - d. State
 - e. TID

f) Operation Methods:

- i) Change state
- ii) Clear locks
- iii) Lock item
- 2) Locks Table (list)
 - a) TIDs list
 - b) Data item
 - c) Lock mode
 - d) State
 - e) Blocked TIDs

f) Operation Methods:

- i) Append TID
- ii) Append Blocked TIDs

Inbuilt data structure like Python dictionaries use of following, instead of classes,

- Lock Table (list)
- Transactions Table (list)
- Blocked items Table (list)

Methods/utilities:

- unlock()
- readlock (tid, data item)
- writelock (tid, data item)
- read(tid)
- write(tid)
- abort(tid)
- begin(tid)
- input operations(commands)
- blocked(tid)
- deadlock(tid,data item,mode)

Input operations function:

- 1) Read input line
- 2) Begin transaction
 - a) Mark transaction as Active
- 3) Read operation
 - a) Acquire read lock on object
- 4) Write operation
 - a) Acquire write lock on object
- 5) End transaction
 - a) Release all locks

Pseudo Code(Sample):

```
def InputOperations(inputLine):
      inputLine = inputLine.replace(" ","")
      Operation = inputLine[0]
      transactionNo = inputLine[1]
      if len(inputLine) > 3:
         DataItem = inputLine[3]
      global timeStampCounter
      if operation =='b':
         timeStampCounter += 1
         begin(transactionNo)
      if operation =='r':
         read(transactionNo,DataItem)
      if operation =='w':
         write(transactionNo,DataItem)
      if operation =='e':
         End(inputLine[1])
```

Sample Code used for above Pseudo Code:

```
Pdef Transact_EXecute(TranSact_Row_IP):
    OP_File.write('Operation is: '+str(TranSact_Row_IP)+"\n ")
    OP_File.write('\n')
    print("Operation is",TranSact_Row_IP)
    print("\n")
    if TranSact_Row_IP[0]=='b':
        Initiate_Transact_Begin(TranSact_Row_IP)
    if TranSact_Row_IP[0]=='r':
        Initiate_Reading_Of_Transaction(TranSact_Row_IP)
    if TranSact_Row_IP[0]=='w':
        Transaction_Write_Data(TranSact_Row_IP)
    if TranSact_Row_IP[0]=='e':
        Transact_Commit(TranSact_Row_IP)
```

Example 1:

B1; # calls **begin** transaction TID=T1 TS=1

R1(Y); # acquires **read lock** on Y for TID T1

W1(Y); # acquires/upgrades write lock on Y for TID T1

R1(Z); # acquires read lock on Z for TID T1

B3; # calls **begin** transaction TID=T3 TS=2

R3(X); # acquires **read lock** on X for TID T3

W3(X); # acquires/upgrades write lock on X for TID T1W1(Z);

acquires/upgrades write lock on Z for TID T3

E1; # unlock TID=T1 release lock on Y,Z resume waiting operations

E3; # unlock TID=T3 release lock on X,Z resume waiting operations

Example 2:

B1; # calls **begin** transaction TID=T1 TS=1

R1(Y); # acquires read lock on Y for TID T1

W1(Y); # upgrades write lock on Y for TID T1

R1(Z) # acquires read lock on Z for TID T1

B2 # calls **begin** transaction TID=T2 TS=2

R2(Y) # deadlock encountered, the R2(Y) gets blocked by Transaction T1

B3; calls **begin** transaction TID=T3 TS=3

R3(Z); # acquires read lock on Z for TID T3(Item Z read locked by

T3)

W3(Z); Deadlock is Encountered, the W1(Z) gets **blocked by**

Transaction T1

E1; # unlock TID=T1 release lock on Y,Z resume waiting operations for items

W3(Z); upgrades **read lock** to write lock for item z

E3; # unlock TID=T3 release lock on X,Z resume waiting operations for items

Difference between cautious wait and wait die in implementation:

The main difference we can see is:

- Timestamp comparison in wait die whereas it is not there in cautious wait.
- Abort function is slightly changed for both the protocols appropriately.
- Block function is slightly changed for both the protocols appropriately.
- Write function is slightly changed for both the protocols appropriately.
- Check in Read Function which is used check whether the item is trying to lock onto a previously locked item in the transaction is there for both with different implementation.

CODE FOR CAUTIOUS-WAIT PROTOCOL: (1) Abort Function

```
∃def Halt TransacT(abort tid):
     global TranSact Table to list
     global Lock_Transact_Table_toList
     OP Blocked=[]
     for q in range(len(TranSact Table to list)):
         if TranSact_Table to list[q][0]==abort_tid:
             if(TranSact_Table_to_list[q][2]!='committed' and TranSact_Table_to_list[q][2]!='aborted'):
                 #updating the TranSact Table to list
                 TranSact_Table_to_list[q][2]='aborted'
                 TranSact Table to list[q][3]=[]
                 TranSact_Table_to_list[q][4]=[]
                 #updating the Lock Transact Table toList
                 for r in range(len(Lock_Transact_Table_toList)):
                     if abort_tid in Lock_Transact_Table_toList[r][2]:
                         if len(Lock Transact Table toList[r][2])==1:
                             Lock Transact Table toList.remove(Lock Transact Table toList[r])
                         else:
                             Lock Transact Table toList[r][2].remove(abort tid)
     for t in range(len(TranSact Table to list)):
         for x in TranSact_Table_to_list[t][3]:
             if abort_tid==x :
                 TranSact_Table_to_list[t][3].remove(abort_tid)
             #Examining whether the aborting transaction has blocked any transactions
                 if(TranSact Table to list[t][2]=='Blocked' and len(TranSact Table to list[t][3])==0):
                 # The next blocked transaction will be executed and its status will be 'Active'
                     TranSact Table to list[t][2]='active'
                     TranSact_Table_to_list[t][3] = []
                 for 0 P in TranSact Table to list[t][4]:
                     OP Blocked.append(O P)
                 TranSact Table to list[t][4]=[]
     for O P in OP Blocked:
         Transact_EXecute(O_P)
```

(2) Block Function

```
Edef Blocking the Transact(blocked tid, blocked by tid, blocked operation):
     global TranSact Table to list
     blocked by tid list=[]
     # blocked tid - The transaction id of the transaction that should be blocked
     # blocked by tid - The transaction id of the transaction causing the block
     # blocked_operation - Operation that should be blocked
     # Keeping the transaction table updated
     # Reading the items from the transaction table
     for p in range(len(TranSact Table to list)):
         if TranSact Table to list[p][0] == blocked tid:
             if TranSact_Table_to_list[p][2] == 'active':
                 # Changing the status of the transaction table to 'blocked'
                 TranSact_Table_to_list[p][2] = "Blocked"
                 # 'blocked by' list for that transaction is updated
                 TranSact Table to list[p][3].append(blocked by tid)
                 # The operation is stored in the 'Blocked Operations' list
                 TranSact Table to list[p][4].append(blocked operation)
             if blocked by tid not in TranSact Table to list[p][3]:
                 TranSact_Table_to_list[p][3].append(blocked_by_tid)
```

(3) write function

```
#When requesting Indiviual Transaction Data Item is write locked by the same transaction
if Lock Transact Table toList[Indox Of Lock Table][1]=='w' and ID For Transact in Lock Transact Table toList[Indox Of Lock Table][2]:
    print("This Data item has already been write locked by this transaction"+"\n ")
#When requesting Indiviual Transaction Data Item is write locked by the different transaction
elif Lock Transact Table toList[Indox Of Lock Table][1]=='w' and ID For Transact not in Lock Transact Table toList[Indox Of Lock Table][2]:# cautious wait protocol
    t1=TranSact Table to list[Indox Of TranSact Row IP][0]
    t2=Lock_Transact_Table_toList[Indox_Of_Lock_Table][2][0]
    for Part Of list in TranSact Table to list:
            if(Part Of list[0]==t2):
                status t2=Part Of list[2]
    if(status t2=="blocked"): # Transaction holding the lock is in the block state, hence we abort the requesting transaction.
        print("Abort T"+str(t1)+" as T"+str(t2)+" is blocked. \n ")
        OP File.write("Abort T"+str(t1)+" as T"+str(t2)+" is blocked.\n ")
        OP File.write('\n')
        Halt TransacT(t1)
    elif(status t2!="blocked"):# Transaction holding the lock is not in block state, hence we block requesting transaction.
        print("BLOCK T"+str(t1)+" as ITEM "+str(Indiviual_Transaction_Data_Item)+" is held by T"+str(t2)+"\n ")
        OP File.write("BLOCK T"+str(t1)+" as ITEM "+str(Indiviual Transaction Data Item)+" is held by T"+str(t2)+"\n ")
        OP File.write('\n')
        Blocking the Transact(t1,t2,TranSact Row IP)
#Requesting Individual Transaction Data Item by the Transaction if it is not Read-locked first
elif Lock Transact Table toList[Indox Of Lock Table][1]=='r' and ID For Transact not in Lock Transact Table toList[Indox Of Lock Table][2]:
    print("Read lock the data item first \n ")
```

(4) Read Funtcion

```
#When the Individual Transaction Data Item is in 'Readmode' and contains the same Transaction ID
elif Lock Transact Table toList[Indox Of Lock Table][1]=='r' and ID For Transact in Lock Transact Table toList[Indox Of Lock Table][2]:
   print("The Requesting Data item already Read locked."+"\n ")
#When the Individual Transaction Data Item is in 'writemode' and locked by same Transaction ID
elif Lock_Transact_Table_toList[Indox_Of_Lock_Table][1]=='w' and ID_For_Transact_in Lock_Transact_Table_toList[Indox_of_Lock_Table][2]:
   print("The Requesting Data item is write locked by the Same Transaction"+"\n ")
#When the Individual Transaction Data Item is in 'writemode' and locked by different Transaction ID
elif Lock_Transact_Table_toList[Indox_Of_Lock_Table][1]=='w' and ID_For_Transact not in Lock_Transact_Table_toList[Indox_Of_Lock_Table][2]:#cautious wait protocol
   t1=TranSact Table to list[Indox Of TranSact Row IP][0]
   t2=Lock Transact Table toList[Indox Of Lock Table][2][0]
   for Part_Of_list in TranSact_Table_to_list:
       if (Part Of list[0]==t2):
           status t2=Part Of list[2]
   if(status t2=="blocked"): # Transaction holding the lock is in the block state, hence we abort the requesting transaction.
       print("Abort T"+str(t1)+" as T"+str(t2)+" is blocked."+"\n ")
       OP File.write("Abort T"+str(t1)+" as T"+str(t2)+" is blocked."+"\n ")
       OP File.write('\n')
       Halt TransacT(t1)
   elif(status t2!="blocked"):# Transaction holding the lock is not in block state, hence we block requesting transaction.
       print("BLOCK T"+str(t1)+" as ITEM "+str(Indiviual Transaction Data Item)+" is held by T"+str(t2)+"\n ")
       OP File.write("BLOCK T"+str(t1)+" as ITEM "+str(Indiviual Transaction Data Item)+" is held by T"+str(t2)+"\n ")
       OP File.write('\n')
       Blocking the Transact (t1, t2, TranSact Row IP)
```

CODE FOR WAIT-DIE PROTOCOL: (1) Abort Function

```
□def Halt TransacT(abort tid):
     global TranSact Table to list
     global Lock Transact Table toList
     OP Blocked=[]
     for l in range(len(TranSact Table to list)):
         if TranSact Table to list[1][0]==abort tid:
             if(TranSact_Table_to_list[1][2]!='committed' and TranSact_Table_to_list[1][2]!='aborted'):
                 #updating the TranSact Table to list
                 TranSact Table to list[1][2]= aborted
                 TranSact Table to list[1][3]=None
                 TranSact Table to list[1][4]=[]
                 #updating the Lock Transact Table toList
                 for q in range(len(Lock Transact Table toList)):
if abort tid in Lock Transact Table toList[q][2]:
                         if len(Lock_Transact_Table_toList[q][2]) == 1:
                             Lock_Transact_Table_toList.remove(Lock_Transact_Table_toList[q])
                         else:
                             Lock Transact Table toList[q][2].remove(abort tid)
þ
     for r in range(len(TranSact Table to list)):
         if TranSact Table to list[r][3]==abort tid:
₿
                 # Examining whether the aborting transaction has blocked any transactions
                 if(TranSact Table to list[r][2]=='Blocked'):
                     # The next blocked transaction will be executed and its status will be 'Active'
                     TranSact Table to list[r][2]='active'
                     TranSact Table to list[r][3] = None
                 for 0 P in TranSact Table to list[r][4]:
                     OP Blocked.append(O P)
                 TranSact Table to list[r][4]=[]
     for O P in OP Blocked:
         Transact Execute (O P)
```

(2) Block Function

```
Edef Blocking the Transact(blocked tid, blocked by tid, blocked operation):
     global TranSact Table to list
     # blocked tid - The transaction id of the transaction that should be blocked
     # blocked by tid - The transaction id of the transaction causing the block
     # blocked operation - Operation that should be blocked
     # Keeping the transaction table updated
     # Reading the items from the transaction table
     for p in range(len(TranSact Table to list)):
         if TranSact Table to list[p][0] == blocked tid:
             if TranSact Table to list[p][2] == 'active':
                 # Changing the status of the transaction table to 'blocked'
                 TranSact Table to list[p][2] = 'Blocked'
                 # 'blocked by' list for that transaction is updated
                 TranSact Table to list[p][3] = blocked by tid
                 # The operation is stored in the 'Blocked Operations' list
                 TranSact Table to list[p][4].append(blocked operation)
```

(3) write function

```
#When requesting Indiviual Transaction Data Item is write locked by the same transaction
if Lock_Transact_Table_toList[Indox_Of_Lock_Table][1]=='w' and ID_For_Transact in Lock_Transact_Table_toList[Indox_Of_Lock_Table][2]:
     print("This Data item has already been write locked by this transaction"+"\n ")
#When requesting Indiviual_Transaction_Data_Item is write locked by the different transaction
elif Lock Transact Table toList[Indox_Of Lock Table][1]=='w' and ID For Transact not in Lock Transact Table toList[Indox_Of Lock Table][2]:# wait die protocol t1=TranSact_Table_to_list[Indox_Of_TranSact_Row_IP][0]
     t2=Lock Transact Table toList[Indox Of Lock Table][2][0]
     for Part Of list in TranSact Table to list:
              if(Part Of list[0]==t1):
               Count TimeStamp OF First Transaction=Part of list[1]
if(Part of list[0]==t2):
                   Count_TimeStamp_OF_Second_Transaction=Part_Of_list[1]
     #Implementing the wait die protocol by comparing timestamps
     if(Count_TimeStamp_OF_First_Transaction>Count_TimeStamp_OF_Second_Transaction):# if requesting transition is younger ...kill it.
         Halt_TransacT(t1)
     elif(Count_TimeStamp_OF_First_Transaction<Count_TimeStamp_OF_Second_Transaction):#if requesting transaction is older, block it.

print("BLOCK_T"+str(t1)+" as ITEM "+str(Individal_Transaction_Data_Item)+" is held by T"+str(t2)+" and T"+str(t1)+" is older than T"+str(t2)+"\n ")
          OP File.write("BLOCK T"+str(t1)+" as ITEM "+str(Indiviual Transaction Data Item)+" is held by T"+str(t2)+" and T"+str(t1)+" is older than T"+str(t2)+"\n ")
          OP_File.write('\n')
          Blocking_the_Transact(t1, t2, TranSact_Row_IP)
#Requesting Individual Transaction Data Item by the Transaction if it is not Read-locked first
elif Lock Transact Table toList[Indox Of Lock Table][1]=='r' and ID For Transact not in Lock Transact Table toList[Indox Of Lock Table][2]:
    print("Read lock the data item first"+"\n ")
#Indiviual Transaction Data Items that are read-locked by the same transaction are updated to 'write' mode

elif Lock_Transact_Table_toList[Indox_Of_Lock_Table][1]=='r' and ID_For_Transact in Lock_Transact_Table_toList[Indox_Of_Lock_Table][2]:

if len(Lock_Transact_Table_toList[Indox_Of_Lock_Table][2])==1:#verifying only one Transaction has read lock
         Lock Transact_Table toList[Indox of Lock Table][1]='w'
print("Read lock upgraded to write lock on ITEM "+str(Indiviual_Transaction_Data_Item)+" by T"+str(TranSact_Row_IP[1])+"\n ")
          OP File.write("Read lock upgraded to write lock on ITEM "+str(Indiviual Transaction Data Item)+" by T"+str(Transact Row IP[1])+"\n ")
         OP File.write('\n')
```

(4) Read Funtcion

```
#We implement the wait die protocol by comparing timestamps in TranSact_List.
elif Lock_Transact_Table_toList[Indox_Of_Lock_Table][1]=='w' and ID_For_Transact not in Lock_Transact_Table_toList[Indox_Of_Lock_Table][2]:#wait die protocol
tl=TranSact_Table_to_list[Indox_Of_Lock_Table][2][0]
t2=Lock_Transact_Table_toList[Indox_Of_Lock_Table][2][0]
for Part_Of_list in TranSact_Table_to_list:
    if(Part_Of_list[0]==t1):
        Count_TimeStamp_OF_First_Transaction=Part_Of_list[1]
    if(Part_Of_list[0]==t2):
        Count_TimeStamp_OF_Second_Transaction=Part_Of_list[1]

if(Count_TimeStamp_OF_First_Transaction>Count_TimeStamp_OF_Second_Transaction): # if requesting transition is younger ...kill it.
    print("Abort T"+str(t1)+" as it is younger than T"+str(t2)+"\n ")
    OP_File.write("Abort T"+str(t1)+" as it is younger than T"+str(t2)+"\n ")
    OP_File.write('\n')
    Halt_TransacT(t1)
```

TRANSACTION TABLE AND LOCK TABLE OUTPUT (SAMPLE):

Test Cases for Sample Inputs(Cautious-wait):

❖ Input 1: b1; r1 (Y); r1 (Z); b2; r2 (Y); b3; r3 (Y); w1 (Z); w3 (Y); w2 (Y); r2 (X); e1; e3; w2 (X); e2;

```
The properties of the properti
```

```
Input 2:
b1;
r1(Y);
w1(Y);
r1(Z);
b2;
r2(Y);
b3;
r3(Z);
w1(Z);
e1;
w3(Z);
e3;
e2;
```

Test Cases for Sample Inputs(Wait-Die):

```
❖ <u>Input 1:</u>
    b1;
    r1 (Y);
    r1 (Z);
    b2;
    r2 (Y);
    b3;
    r3 (Y);
    w1 (Z);
    w3 (Y);
   w2 (Y);
    r2 (X);
    e1;
    e3;
    w2 (X);
    e2;
```

```
bliput 2:
b1;
r1(Y);
w1(Y);
r1(Z);
b2;
r2(Y);
b3;
r3(Z);
w1(Z);
e1;
w3(Z);
e3;
e2;
```

```
Operation is e3
'Committed', None, []]
'aborted', None, []]
'aborted', None, []]
Lock Table:
TEM;MODE_LOCK;TID
Operation is e2
ransaction is in a state of Abort or Block
Lock Table:
TEM;MODE_LOCK;TID
```