

# Recursion Tree

Lecture by

**V.LAVANYA**

**Assistant Professor**

**Department of Information Technology  
SRM Institute of Science and technology**

# Recursion tree

- The outcome of this session will be the
  - Understand the concept of “Recursion tree”
  - Apply recursion tree method to solve recurrence relations.

# Motivation of the topic

The motivation of the topic is to:

- Learn how to solve recurrence relations.

# Recursive tree

- Recursion tree method is one of the methods to solve recurrences.
- A recursion tree is a tree where each node represents the cost of a certain recursive sub-problem.
- sum up the numbers in each node to get the cost of the entire algorithm.

# Steps to Solve Recurrence Relations Using Recursion Tree Method

## Step- 1:

- Draw a recursion tree based on the given recurrence relation.

## Step- 2:

Determine-

- Cost of each level
- Total number of levels in the recursion tree
- Number of nodes in the last level
- Cost of the last level

## Step- 3:

- Add cost of all the levels of the recursion tree and simplify the expression so obtained in terms of asymptotic notation.

# Example

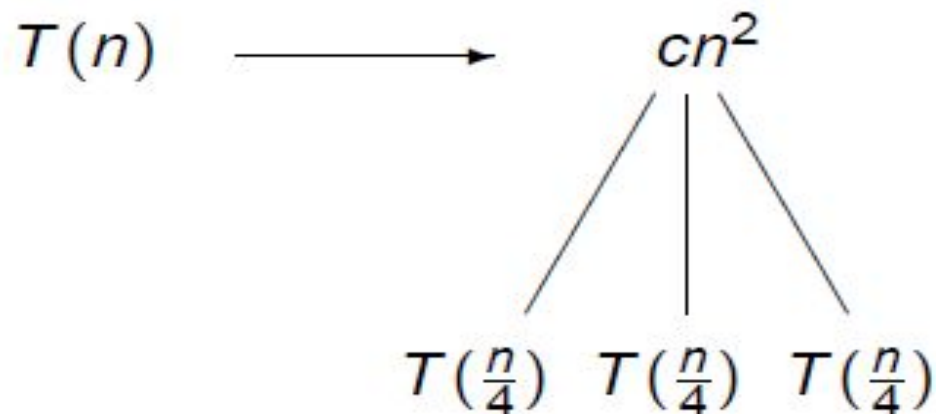
In general, we consider the second term in recurrence as root.

Consider the recurrence relation

$$T(n) = 3T(n/4) + cn^2 \quad \text{for some constant } c.$$

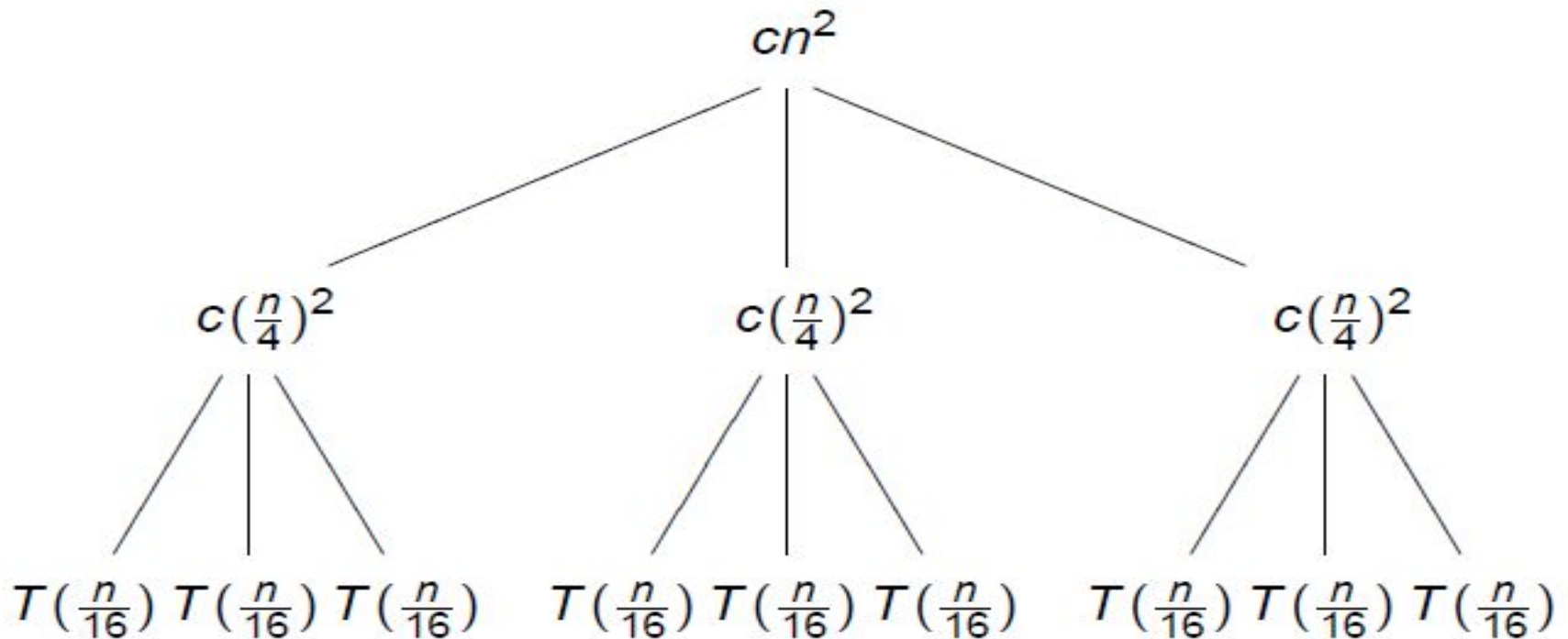
We assume that  $n$  is an exact power of 4.

In the recursion-tree method we expand  $T(n)$  into a tree:



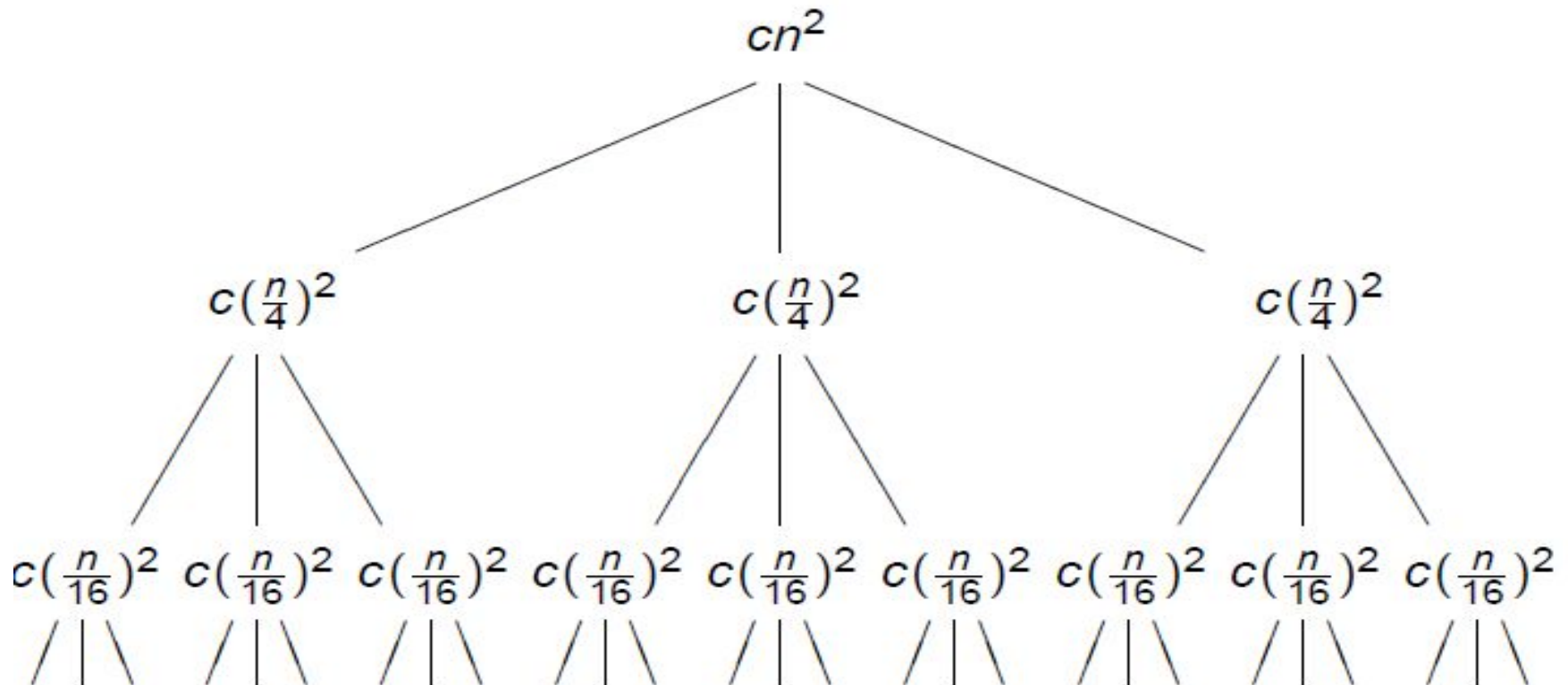
## Expand $T(n/4)$ :

Applying  $T(n) = 3T(n/4) + cn^2$  to  $T(n/4)$  leads to  $T(n/4) = 3T(n/16) + c(n/4)^2$ , expanding the leaves:



## Expand $T(n/16)$

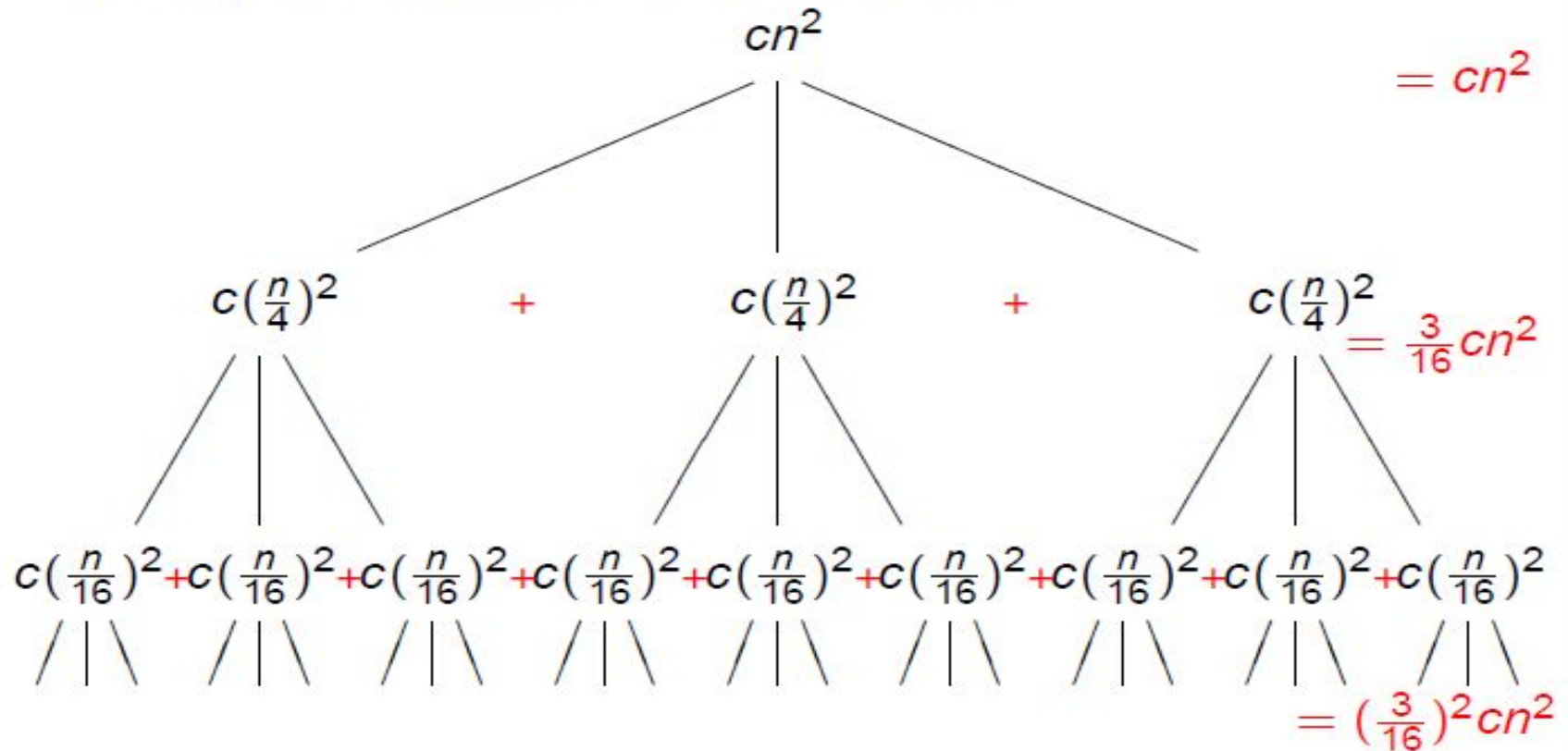
Applying  $T(n) = 3T(n/4) + cn^2$  to  $T(n/16)$  leads to  $T(n/16) = 3T(n/64) + c(n/16)^2$ , expanding the leaves:





# Summing the cost at each level

We sum the cost at each level of the tree:



## Adding up the costs

$$\begin{aligned} T(n) &= cn^2 + \frac{3}{16}cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \dots \\ &= cn^2 \left( 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots \right) \end{aligned}$$

The  $\dots$  disappear if  $n = 16$ ,  
or the tree has depth at least 2 if  $n \geq 16 = 4^2$ .

For  $n = 4^k$ ,  $k = \log_4(n)$ , we have:

$$T(n) = cn^2 \sum_{i=0}^{\log_4(n)} \left(\frac{3}{16}\right)^i.$$

## Applying the geometric sum

Applying

$$S_n = \sum_{i=0}^n r^i = \frac{r^{n+1} - 1}{r - 1}$$

to

$$T(n) = cn^2 \sum_{i=0}^{\log_4(n)} \left(\frac{3}{16}\right)^i$$

with  $r = \frac{3}{16}$  leads to

$$T(n) = cn^2 \frac{\left(\frac{3}{16}\right)^{\log_4(n)+1} - 1}{\frac{3}{16} - 1}.$$

## Polishing the result we get

Instead of  $T(n) \leq dn^2$  for some constant  $d$ , we have

$$T(n) = cn^2 \frac{\left(\frac{3}{16}\right)^{\log_4(n)+1} - 1}{\frac{3}{16} - 1}.$$

Recall

$$T(n) = cn^2 \sum_{i=0}^{\log_4(n)} \left(\frac{3}{16}\right)^i.$$

To remove the  $\log_4(n)$  factor, we consider

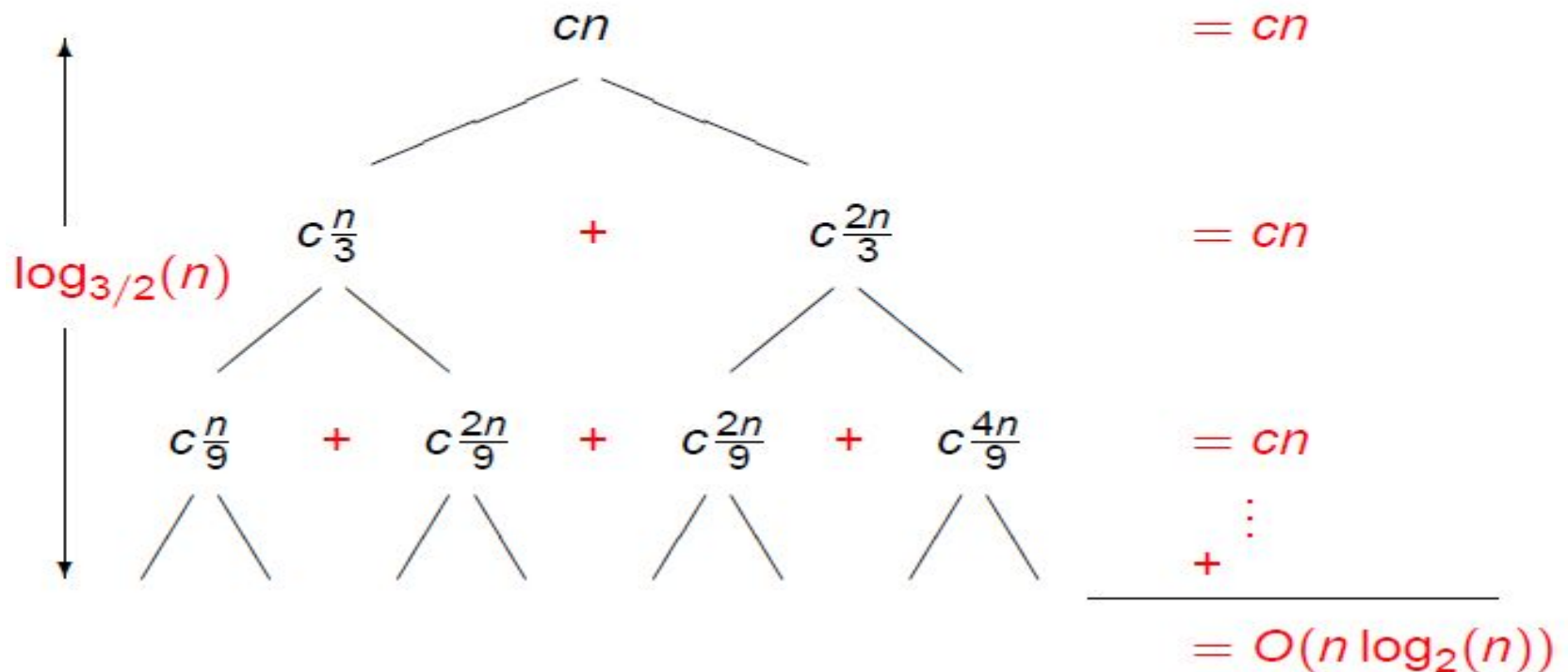
$$\begin{aligned} T(n) &\leq cn^2 \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i \\ &= cn^2 \frac{1}{1 - \frac{3}{16}} \leq dn^2, \text{ for some constant } d. \end{aligned}$$

## Verify the guess by applying substitution method

Let us see if  $T(n) \leq dn^2$  is good for  $T(n) = 3T(n/4) + cn^2$ .

Applying the substitution method:

$$\begin{aligned} T(n) &= 3T(n/4) + cn^2 \\ &\leq 3d \left(\frac{n}{4}\right)^2 + cn^2 \\ &= \left(\frac{3}{16}d + c\right) n^2 \\ &= \frac{3}{16} \left(d + \frac{16}{3}c\right) n^2 \\ &\leq \frac{3}{16} (2d) n^2, \quad \text{if } d \geq \frac{16}{3}c \\ &\leq dn^2 \end{aligned}$$



- When we add the values across the levels of the recursion trees, we get a value of  $n$  for every level.
- The longest path from the root to leaf is

$$n \longrightarrow \frac{2}{3}n \longrightarrow \left(\frac{2}{3}\right)^2 n \longrightarrow \dots 1$$

Since  $\left(\frac{2}{3}\right)^i n = 1$  when  $i = \log_{\frac{3}{2}} n$ .

Thus the height of the tree is  $\log_{\frac{3}{2}} n$ .

$$T(n) = n + n + n + \dots + \log_{\frac{3}{2}} n \text{ times.} = \theta(n \log n)$$

## Lets practice:

- Consider  $T(n) = 3T(n/2) + n$ . Use a recursion tree to derive a guess for an asymptotic upper bound for  $T(n)$  and verify the guess with the substitution method.
- $T(n) = T(n/2) + n^2$ .
- $T(n) = 2T(n - 1) + 1$ .





**SRM**  
INSTITUTE OF SCIENCE & TECHNOLOGY  
Deemed to be University u/s 3 of UGC Act, 1956

*Thank  
you*

