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Modeling and classification of voluntary and imagery movements for brain–computer interface from fNIR and EEG signals through convolutional neural network

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Abstract

Practical brain–computer interface (BCI) demands the learning-based adaptive model that can handle diverse problems. To implement a BCI, usually functional near-infrared spectroscopy (fNIR) is used for measuring functional changes in brain oxygenation and electroencephalography (EEG) for evaluating the neuronal electric potential regarding the psychophysiological activity. Since the fNIR modality has an issue of temporal resolution, fNIR alone is not enough to achieve satisfactory classification accuracy as multiple neural stimuli are produced by voluntary and imagery movements. This leads us to make a combination of fNIR and EEG with a view to developing a BCI model for the classification of the brain signals of the voluntary and imagery movements. This work proposes a novel approach to prepare functional neuroimages from the fNIR and EEG using eight different movement-related stimuli. The neuroimages are used to train a convolutional neural network (CNN) to formulate a predictive model for classifying the combined fNIR–EEG data. The results reveal that the combined fNIR–EEG modality approach along with a CNN provides improved classification accuracy compared to a single modality and conventional classifiers. So, the outcomes of the proposed research work will be very helpful in the implementation of the finer BCI system.

Keywords: Voluntary and imagery movements, Functional near-infrared spectroscopy (fNIR), Electroencephalography (EEG), Modeling and classification, Convolutional neural network (CNN), Brain–computer interface (BCI)

Introduction

The brain controls all human activities such as movements, mental workload, emotion, vision, thinking, attention, cognitive skills, senses, etc. The brain-functions are related to the variation of oxygen saturation of the blood, which is called hemodynamics [1, 2]. During the functioning of the brain, neurons (the unit of brain tissue) communicate with each other through the low potential electric signal. Therefore, changes in the concentration of oxygenated (HbO₂) and deoxygenated (dHb) hemoglobin, as well as electrical potential measurement provide

information about brain functioning. Electroencephalogram (EEG) and functional near-infrared spectroscopy (fNIR) are two non-invasive methods to measure electric potential and hemoglobin concentrations, respectively, from our brain [3–5].

Functional brain imaging has added a new dimension in biomedical engineering and explored the pathway to reach the brain–computer interface (BCI). BCI contributes to diverse fields of research in biomedical applications like prevention, detection, diagnosis, rehabilitation, and restoration [6]. In the field of BCI, EEG and MEG are two non-invasive modalities based on scalp electric potential. EEG has a very high temporal resolution (~1 ms) with a poor spatial resolution (EEG: 5 to 9 cm) [7]. Though MEG has both high temporal

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(~1 ms) and spatial resolution (<1 cm), it is not suitable for BCI because of its noise sensitivity and weight [8]. Based on the hemodynamics, functional magnetic resonance imaging (fMRI) provides an excellent spatial resolution (3–6 mm), but its temporal resolution is poor (1–3 s). Nevertheless, due to its very high cost, motion sensitivity, and, being bulky, it is also not suitable for BCI [9–11]. To cope with the aforementioned limitations and requirements, it is a high demand for a new modality. fNIR is such a neuroimaging modality discovered in 1977 by Jöbsis [12]. The researchers in [13–15] reported that near-infrared (NIR) range enables real-time non-invasive detection of hemoglobin oxygenation using fNIR. The fNIR modality provides a very good spatial resolution (~1–1.5 cm), moderate temporal resolution (up to 100 Hz), portability to use, cost-effectiveness, the high value of the signal to noise ratio (SNR), less motion artifact compared to fMRI, MEG, EEG, and PET [9]. Furthermore, fNIR is not physically confining the patient as fMRI and it allows movement during imaging. Recent works of literature [16, 17] demonstrated that the results of fNIR are comparable to fMRI and reliable for cortical activations measurement. Since fNIR provides finer spatial resolution and EEG provides finer temporal resolution, the combined information of both fNIR and EEG is getting much attention in the recent researches [18–23] on neuroimaging and BCI.

Millions of people in the world are suffering from different kinds of disabilities [24], whereas their brain works partially or fully. In this situation, a finer BCI system is a hope to provide them easier life by operating different devices through brain command. There are various proposals for BCI using single modality either EEG or fNIR. One of the main limitations of the single modality based BCI is that it gives lower accuracy (less than 40% for fNIR [25, 26] and less than 70% for EEG [27–31]) for multiple motor imagery tasks' classifications. Among the works described [27–31], the research work proposed in [31] claimed to achieve the highest classification accuracy for up to 8-class problem which is around 57% in average, although the proposed method applied a number of composite methods for feature extraction. Such complex algorithms are required for the feature extraction of the EEG signals due to its poorer spatial resolution. Both the temporal and spatial resolutions should be satisfactory to achieve higher classification accuracy for the BCI implementation.

Therefore, to implement suitable BCI, multimodal neuroimaging methods are proposed. Some recent research works [18, 19, 23] on the classification of imagery movement-related tasks have been proposed by combining fNIR and EEG signals for BCI implementation. It has been revealed in [18–23] that the classification efficiency of

combining fNIR and EEG is better than that of the individual modality. These multimodal proposals used some shallow machine learning algorithms to classify the multiple class problems where manual feature extraction was deployed. As a result, the classification accuracies are still lower than the expectations. Current researches regarding multi-class motor events show two significant limitations:

- Most of the existing BCI's are designed based on single modality which limits classification accuracies for multiple classes due to spatiotemporal resolution.
- No significant research work has been accomplished utilizing deep neural network on a combination of fNIR and EEG signals.

To overcome these challenges, the proposed work attempts to develop an effective BCI model in classifying the brain signals (fNIR and EEG) of the voluntary and imagery movements. For achieving the high classification accuracy, a convolutional neural network (CNN) has been used to construct the predictive model as a classifier. In this work, eight different movement-related stimuli (four voluntary and four imagery movements of hands and feet) have been considered. The multiple channel fNIR and EEG signals are used to prepare functional neuroimages to train and test the performance of the proposed BCI system. In addition, the proposed procedure is applied to prepare neuroimages from the individual modality (fNIR and EEG separately) to train and test the performance of the BCI system. The results reveal that the combined-modality approach of fNIR and EEG provides improved classification accuracy than the individual one. Besides, the combined fNIR and EEG signals are utilized for manual feature extraction and classification by support vector machine (SVM) and linear discriminant analysis (LDA) so that the performance difference between CNN and conventional classifiers i.e., SVM and LDA could be well judged.

The main contributions of this paper are as follows:

- (a) Combining the fNIR and EEG signal to produce CNN compatible functional neuroimages.
- (b) Implementation of bimodal BCI using fNIR and EEG signals for classification of voluntary and imagery movements utilizing the CNN.
- (c) Experimentation with multi-class brain signals using the implemented BCI.
- (d) The classification accuracy comparison between CNN, SVM, and LDA.

The remaining contents of the paper are organized as follows: The materials and the applied mathematical methodology are presented in “[Materials and methods](#)”

section. Experimental results along with necessary discussions are given in “Results and discussion” section. Finally, the paper is concluded in “Conclusion” section.

Materials and methods

Data acquisition protocol

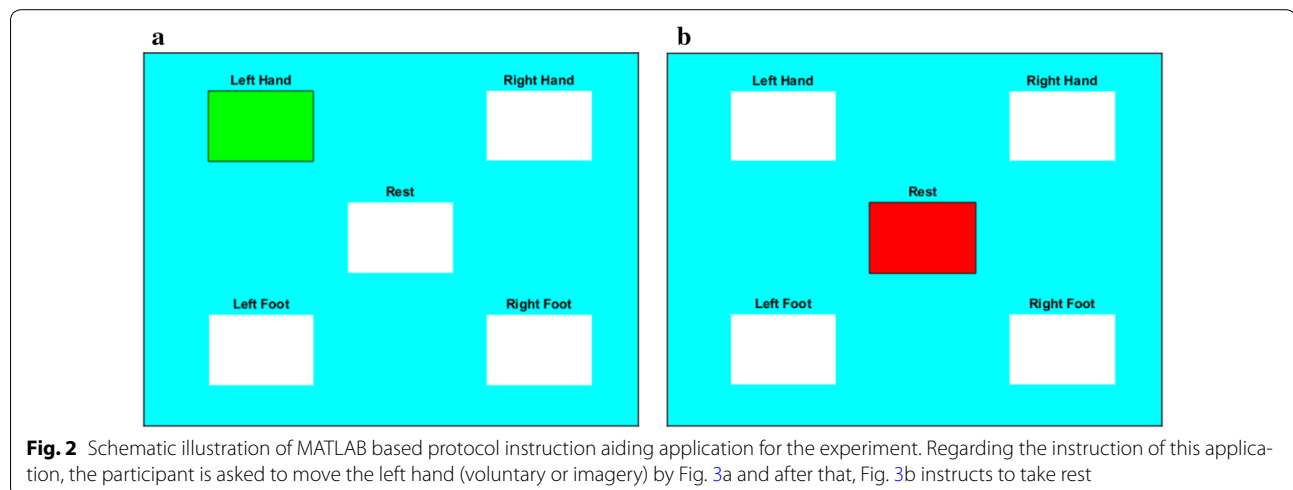
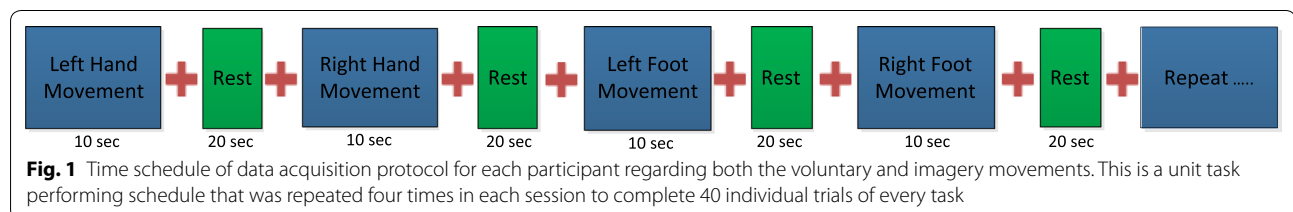
In this research eight different tasks (four voluntary and four imagery) were considered for neural stimulation. The participants were asked to perform movements by hands and feet by means of voluntary and imagery manners. The data acquisition protocol was checked and approved by the “Data Acquiring Ethics Evaluation Committee (DAEEC)” of Khulna University of Engineering & Technology (KUET). The subjects were verbally informed and practiced the protocol of the data acquisition before actual data acquisition. The subjects lifted their left hand, right hand, left foot, and right foot, sequentially. For proper neural stimulation, each task was performed for 10 s with 20 s resting period. Therefore, the scheduling of the proposed data acquisition protocol can be presented in Fig. 1. In one session, this unit protocol was performed four times by a participant. After every session, each participant took rest at least five minutes. Eventually, every participant performed 40 trials for each movement-related task. A graphical protocol aiding software designed by Matlab (given in Fig. 2) was used for this research work that instructed graphically to perform

the tasks according to the schedule. The Matlab code of this software is freely available in [32]. In this program, there are five different tasks, those are movements of the hands, feet (left and right), and the rest. Eventually, eight different tasks have been considered for analysis: voluntary left hand (LH), right hand (RH), left foot (LF), right foot (RF) and imagery left hand (iLH), right hand (iRH), left foot (iLF), and right foot (iRF).

Data acquisition

Fifteen right-handed male subjects (age range=22 to 26) participated in this bimodal (fNIR and EEG) data acquisition protocol. No participant had a history of the psychiatric, neurological, or visual disorder. In addition, no participant was reported to have any pain in their both hands and feet. The verbal consents of the participants were taken prior to the data acquisition as the rule of the university. All data acquisition procedures were completed in the Neuroimaging Laboratory of the Biomedical Engineering Department of KUET following the declaration of Helsinki [33].

For this work, a 16 channel continuous-wave fNIR system (model: Biopac 1200 fNIR imager) and 9-channel EEG device (model: B-Alert X-100) were used. Utilizing both fNIR and EEG devices, the prefrontal, frontal, and central parts of the brain were covered. The hemodynamic signals from the prefrontal cortex were acquired by the fNIR device and the EEG signals of the



frontal and central part of the brain were captured by the 9 channel EEG device. The optodes of fNIR devices and the electrode of the B-Alert system were placed at the positions indicated in Fig. 3. The Cognitive Optical Brain Imaging (COBI) studio and AcqKnowledge software were used to log the combined fNIR–EEG signal. Although there is a time gap between the starting of the EEG and fNIR signal acquisition software, it has been corrected by a reverse counting approach. The real-time data acquisition utilizing fNIR devices and B-Alert X-100 from the scalp of a participant is given in Fig. 4.

Preprocessing

Since the research work deals with two different types of signals, two different preprocessing were applied. The signal preprocessing methods regarding fNIR and EEG signals are separately presented as follows.

fNIR signal preprocessing

The following steps showing in Fig. 5 were used for the fNIR signal processing. The fNIR signals were smoothed by using the 3rd order Savitsky–Golay filter with 21 frame-size as recommended in [34]. Then the signals were divided according to the time schedule to separate each trial fNIR signal regarding applied stimuli. The baselines of all trials were corrected by subtracting baseline from the filtered signals. Baseline was calculated from the average of the first 3 s of the signals. This consideration [35, 36] ensures that the initial signal points regarding each trial remain at zero or close to zero levels.

EEG signal preprocessing

In this preprocessing, the EEG signals are filtered in several steps because EEG signals are too much noise sensitive and complex in nature. Different types of noises are incorporated in EEG signals like power line noise, eye

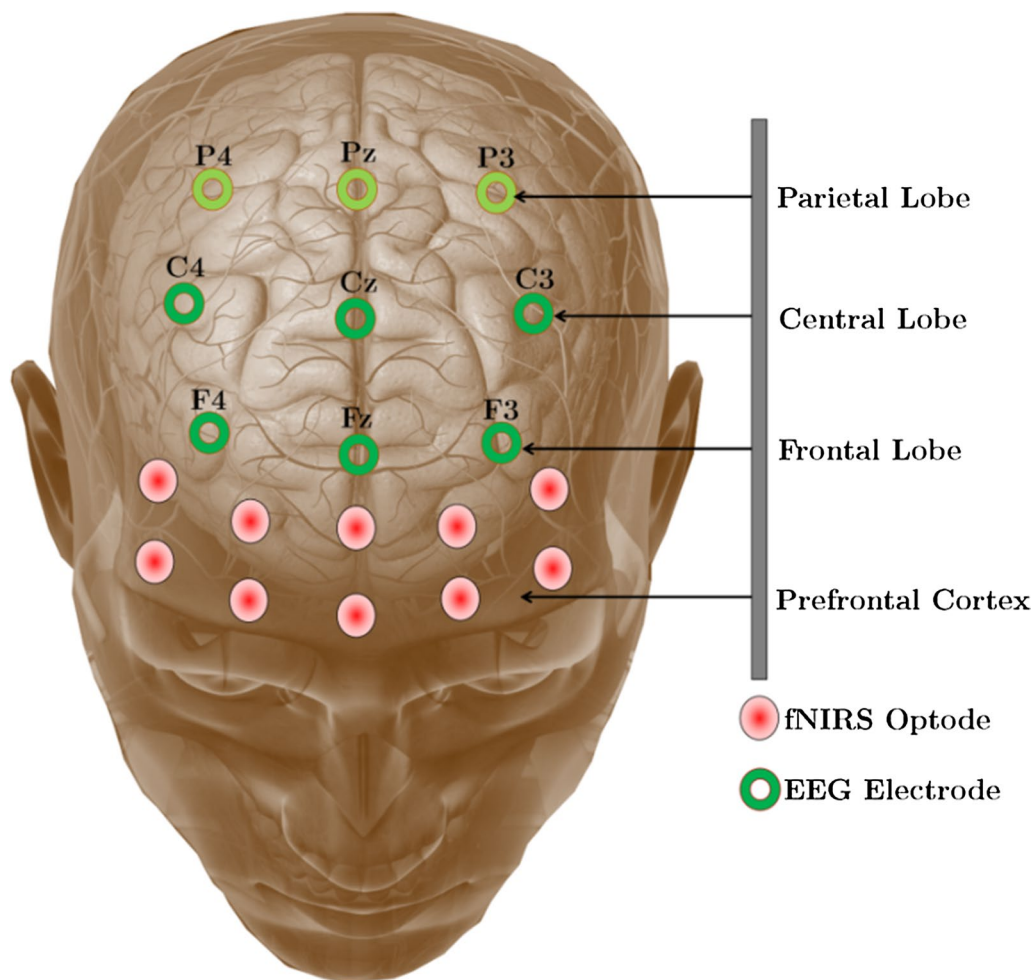


Fig. 3 The combined fNIRS-EEG sensor positions on the scalp and prefrontal cortex. The data of parietal lobe are acquired through the main data acquisition period but excluded for proposed offline processing

blink, electrooculogram (EOG), etc. The flow diagram of this preprocessing is presented in Fig. 6.

All raw EEG signals are filtered by a 50 Hz notch filter to remove power line noise [37]. After that, a bandpass elliptical filter is used to separate the strong band power from 1 to 40 Hz. The elliptic filter provides sharp cut-off frequency and low filter order than the other IIR filters like Chebyshev, Butterworth, Bessel, etc. It is also known as Zolotarev or Causer filters. This filter shows equi-ripple characteristics both in the pass-band and stop-band. Since the elliptic filter achieves the minimum order value for a given specification, it is considered as an optimal filter [38]. Eventually, it is slightly complex to design and it often needs several complex algorithms to implement. The response of an elliptical filter in the magnitude-square aspect in the frequency domain can be presented as [38],

$$|H(i\omega)| = \frac{1}{1 + \delta^2 J_N^2\left(\frac{\omega}{\omega_c}\right)} \quad (1)$$

Here, ω and ω_c are for the frequency and cut-off frequency, respectively. In addition, the passband ripples are presented by δ . Here, $J_N(\bullet)$ is the N th order Jacobian elliptical function. Though the solution analysis of the

relation (1) is difficult, however, the order calculation procedure is compact at all [39–41] and is given by,

$$N = \frac{\psi(k) \psi(\sqrt{1 - k_1^2})}{\psi(k_1) \psi(\sqrt{1 - k^2})} \quad (2)$$

In (2), $k = \frac{\omega_p}{\omega_s}$ and $k_1 = \frac{\delta}{\sqrt{A^2 - 1}}$, where, ω_s is stopband frequency and A is stopband attenuation. Besides, $\psi(k) = \int_0^\pi \frac{d\theta}{\sqrt{1 - k^2 \sin^2 \theta}}$, which is an elliptical integral. A 5th order elliptical filter was used in this work to filter the EEG signals. In addition, the eye blink and EOG effects in the EEG signals were removed by the enhanced automatic wavelet independent component analysis (EAWICA) toolbox [42]. Finally, the EEG signals were separated according to the time schedule of the tasks.

Combining fNIR and EEG signal to produce neuroimages

A two-dimensional data arrangement of the combined fNIR–EEG has been proposed in this work. Since the sampling rate of fNIR (2 Hz) and EEG (256 Hz) are not similar, the data of EEG signal has been transformed into the frequency domain to represent it into the similar sampling rate of the fNIR signal.



Fig. 4 Data acquisition of voluntary and imagery movements using fNIR and EEG modality

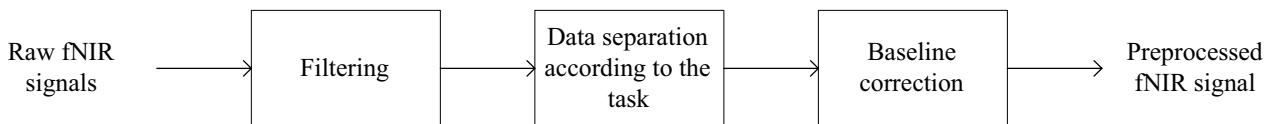


Fig. 5 Preprocessing steps of the fNIR signals

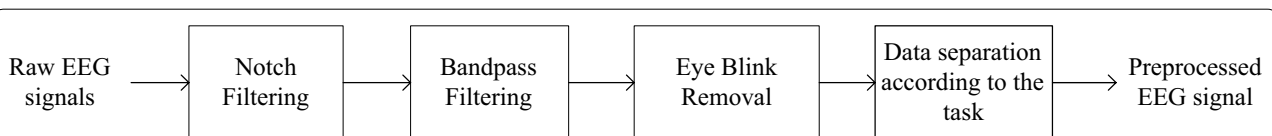


Fig. 6 The steps applied in EEG signal preprocessing

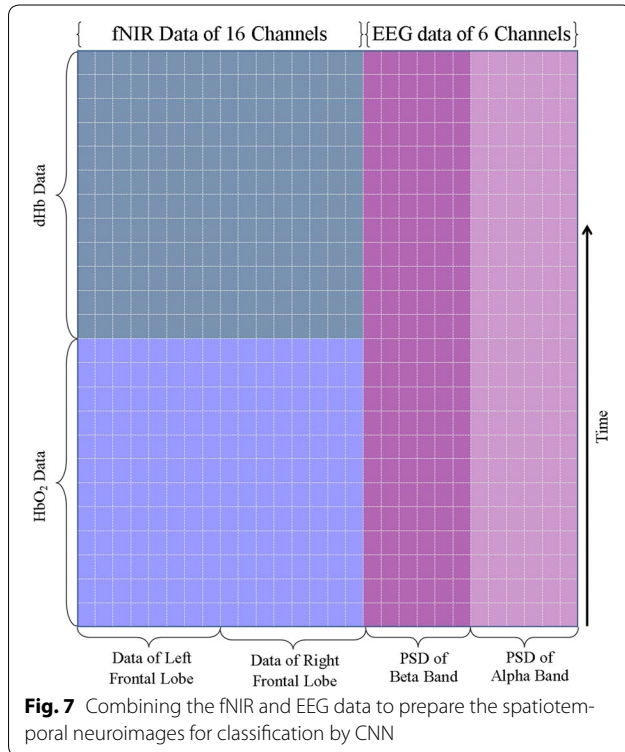
According to this proposal, the fNIR signal length is 30 s (10-s stimuli + 20-s activation). There are 16 channels (60 samples per channel) of both HbO₂ and dHb data. The 16 channel data of HbO₂ and dHb are arranged as the procedure shown in Fig. 7.

Since neural activities of imagery and voluntary movements are connected with the frontal and central parts of the brain, only frontal three channels, and central three channels were taken for further processing. The most dominant features of imagery and voluntary movements are included in the alpha, beta, and total band power of the EEG signal [15, 30]. As a result, the relative PSD of the alpha and beta band from the six channels (F3, Fz, F4, C3, Cz, and C4) were extracted considering a window of time period 1 s with 50% overlapping. We know that for a discrete-time signal, $x(n)$ the PSD can be equated as [43],

$$P_x(e^{j\omega}) = \sum_{k=-\infty}^{\infty} r_x(k) e^{-jk\omega} \quad (3)$$

In (3), $r_x(k)$ represents the autocorrelation of a periodic signal. But for an Ergodic process, we can write,

$$r_x(k) = \lim_{N \rightarrow \infty} \left\{ \frac{1}{2N+1} \sum_{n=-N}^N x(n+k) \otimes x(n) \right\} \quad (4)$$



where ' \otimes ' denotes convolution of two signals [43, 44]. Window-based PSD calculation is very important for an EEG signal. In this case, the Welch method is the most renowned method. Suppose, the successive sequences are offset by D points, where each sequence is L points long, then the i th sequence is,

$$x_i(n) = x(n + iD) \quad (5)$$

Thus $L-D$ points are overlapped. If entire U data points are covered by K sequences then,

$$N = L + D(K - 1) \quad (6)$$

According to the conditions (7), the PSD calculation method was given in [45].

$$\hat{P}_w(e^{j\omega}) = \frac{1}{KLU} \sum_{i=0}^{K-1} \left| \sum_{n=0}^{L-1} w(n)x(n + iD)e^{-jn\omega} \right|^2 \quad (7)$$

If any band relates to specific neural activities, its relative power also increases with respect to its resting condition. Therefore, relative power plays important roles in finding the specific electrical activities from the EEG signal. In this work, total power is calculated from 1 to 40 Hz by the previously explained Welch method. Eventually, the relative power of a band is the ratio of the power of the band P and total power, P_{total} that can be presented as, [43, 46],

$$RP(f_1, f_2) = \frac{P(f_1, f_2)}{P_{total}} \times 100\% \quad (8)$$

Here, P indicates the power, RP represents the relative power, and f_1 and f_2 are the low- and high-frequency of the specific band, respectively. Furthermore, before applying the combined fNIR-EEG data to CNN the features of HbO₂, dHb, alpha PSD, and beta PSD are normalized separately using the following equation:

$$\pi' = \frac{\pi - \pi_{\min}}{\pi_{\max} - \pi_{\min}} \quad (9)$$

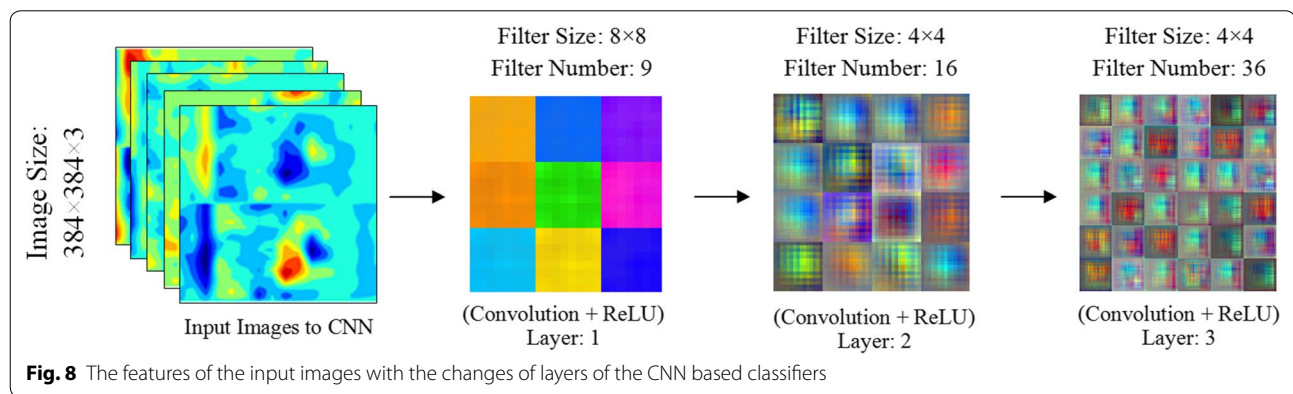
Here, π' are the feature values that are rescaled between the range 0 and 1. The maximum and minimum feature values are presented as π_{\max} and π_{\min} , respectively. Finally, we get a data matrix of size 120×28 . The arrangement of fNIR and EEG data are given in Fig. 7. The data set for every task was used to prepare functional neuroimage. A demo Matlab code is given in the appendix to demonstrate the procedure of making the functional neuroimages from the numerical data of fNIR and EEG.

CNN-based modeling

CNN shows nice performance in signal and image classifications. To improve accuracy further, deep CNNs (where the number of convolutional layers ≥ 3) are often

Table 1 The architecture of the proposed CNN

Type	Description
Image input layer	$384 \times 384 \times 3$
Convolution layer 1	Filter size = [8, 8]; number of channels = 3; number of filters = 9; padding size = [3, 4, 3, 4]; stride = [1, 1]
Batch normalization layer	
ReLU layer	
Maxpooling layer	Pool size = [2, 2]; stride = [2, 2]
Convolution layer 2	Filter size = [4, 4]; number of channels = 9; number of filters = 16; padding size = [1, 2, 1, 2]; stride = [1, 1]
Batch normalization layer	
ReLU layer	
Maxpooling layer	Pool size = [2, 2]; stride = [2, 2]
Convolution layer 3	Filter size = [4, 4]; number of channels = 16; number of filters = 36; padding size = [1, 2, 1, 2]; stride = [1, 1]
Batch normalization layer	
ReLU layer	
Fully connected layer	Output size = 4/6/8
Softmax layer	
Classification output layer	Output size = 4/6/8; loss function = crossentropy

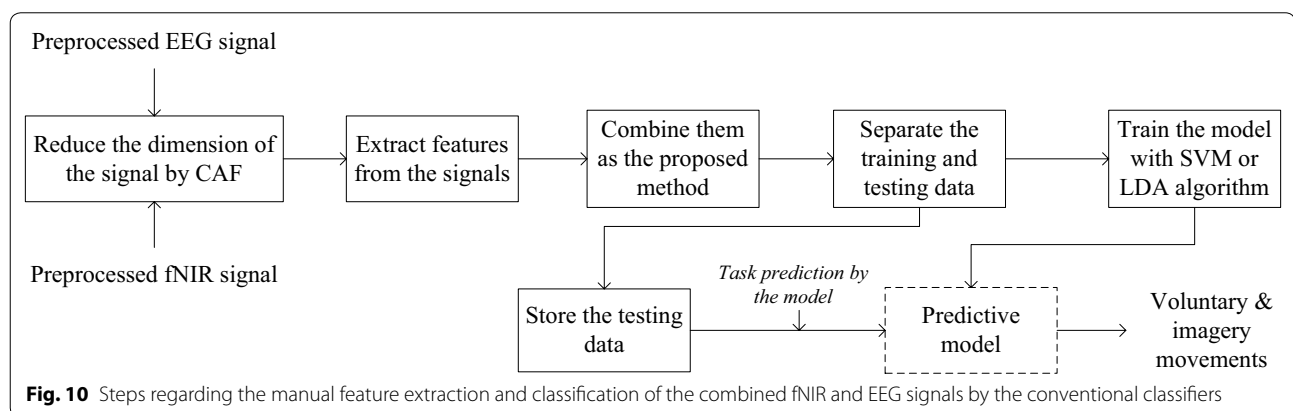
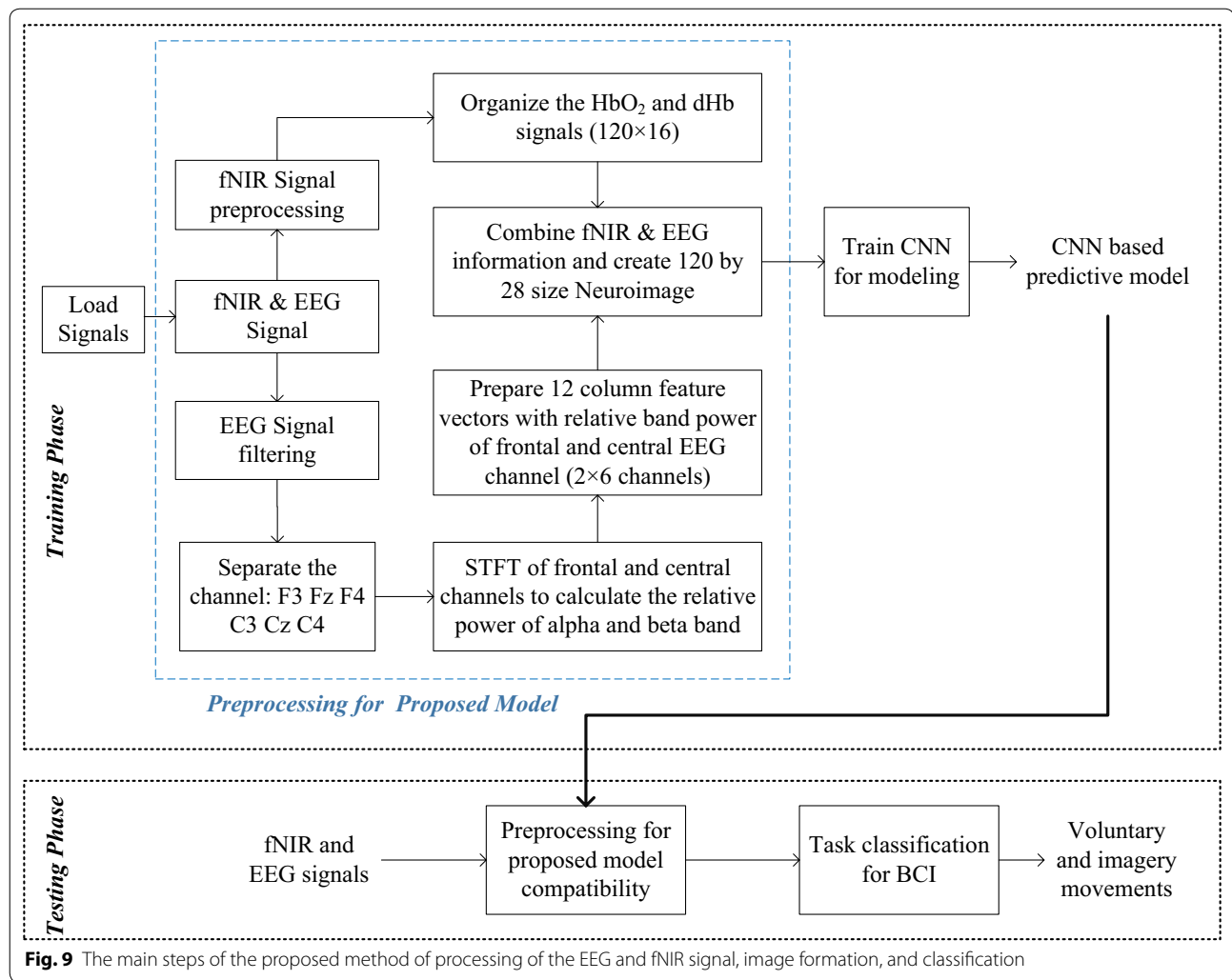

Table 2 Parameters considerations in the proposed CNN based model training

Parameter Name	Consideration	Parameter Name	Consideration
Activation function	Sigmoid	Maximum epoch	20
Momentum	0.90	Mini batch size	128
Initial learning rate	0.01	Verbose frequency	50
L_2 regularization	1.00×10^{-4}	Validation frequency	4
Gradient threshold method	L2 norm	Validation patience	5

used [47]. In this work, a deep CNN is used to construct a predictive model for classifying voluntary and imagery movements. In CNN, each neuron receives some inputs and performs a dot product. CNN represents a specialization of the conventional neural networks where the individual neurons create a mathematical estimation of the biological visual receptive field [48]. The basic structure of a CNN consists of a number of layers: convolutional layer, batch normalization layer, rectified linear unit (ReLU activation layer), max pooling layer, etc.

An inception block extracts the feature maps from the input images, which are concatenated and passed on to a global average pooling layer. Eventually, there is a two-unit dense layer with a softmax activation layer, which gives the categorical probability. To prevent the overfitting problem, the weights of the dense layers are L_2 regularized.

The architecture of our applied CNN model is summarized in Table 1. The CNN model includes three convolutional, two max pooling, three batch normalization,



and four/six/eight fully connected layers. There are four, six, and eight fully connected layers for 4-, 6-, and 8-class problems, respectively. As explained earlier, the input image size is $384 \times 384 \times 3$, which indicates 384×384

image size with three color components. The filter kernel was used as 8×8 , 4×4 , and 4×4 for the 1st, 2nd, and 3rd convolutional layers, respectively. We have used stride [1 1] for the convolutional layers and [2 2] for max

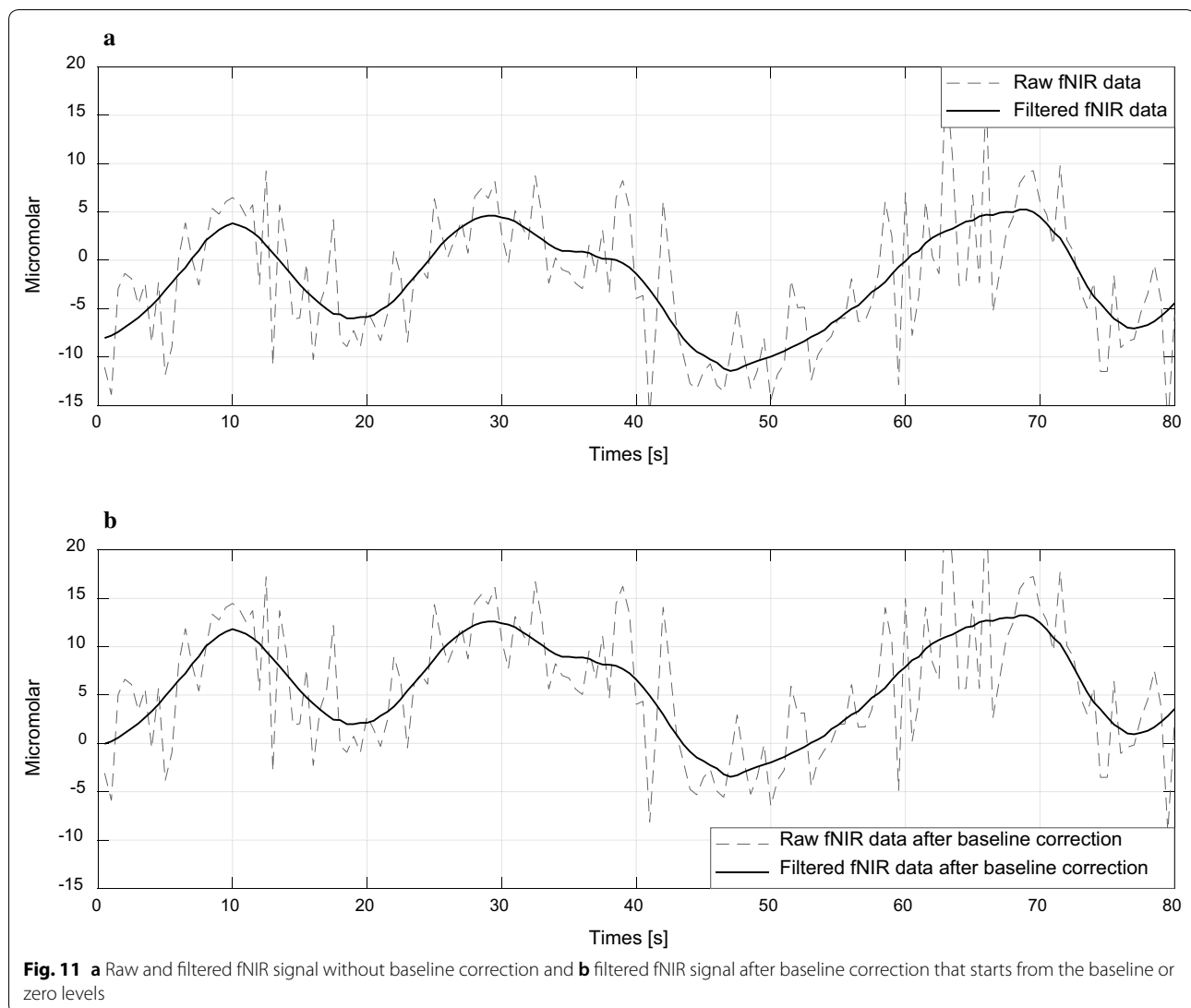


Fig. 11 **a** Raw and filtered fNIR signal without baseline correction and **b** filtered fNIR signal after baseline correction that starts from the baseline or zero levels

pooling layers. The convolution layers and its kernel and filter numbers of this proposed CNN model are given in Fig. 8 along with the feature maps for the 4-class problem of a typical subject.

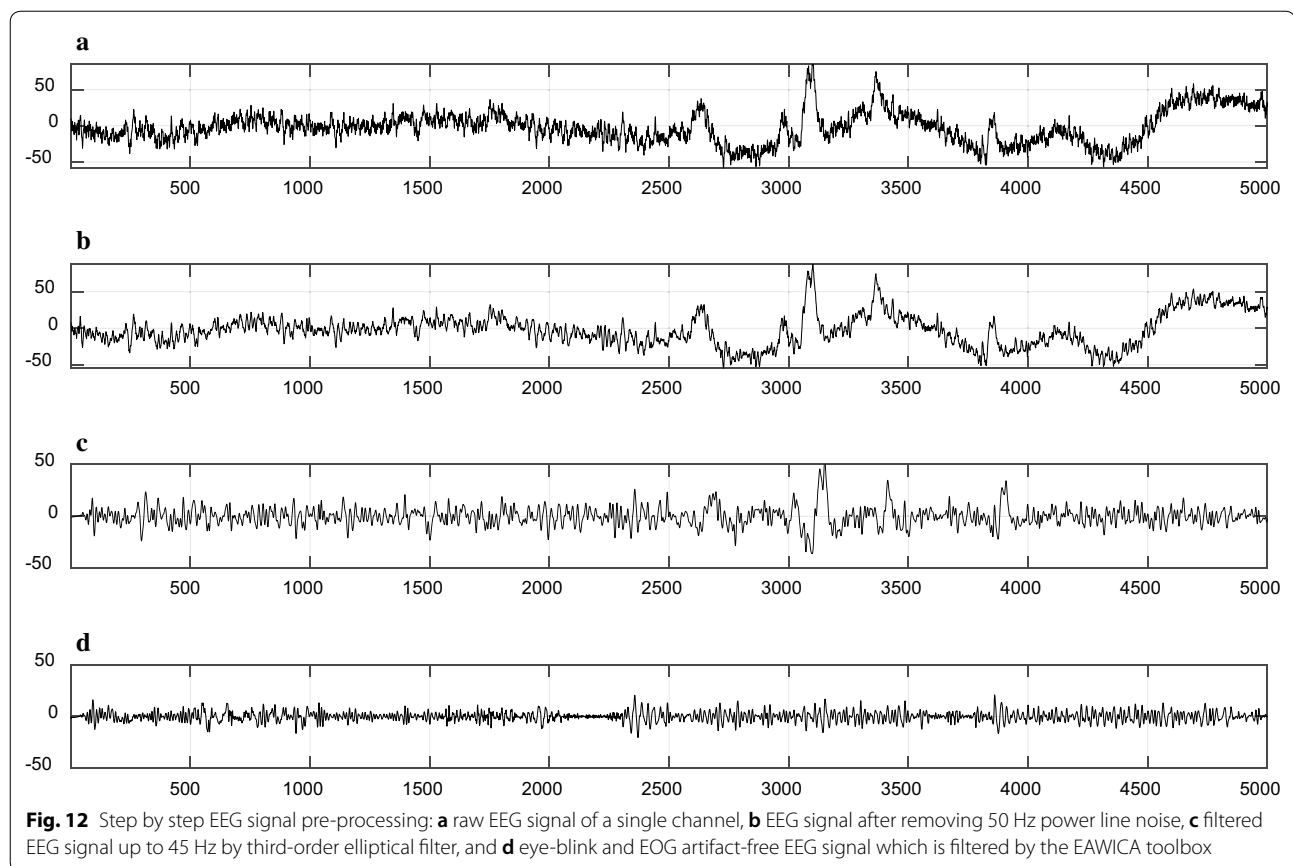
Training and testing the model

The proposed CNN model was trained based on some initial considerations about different parameters, which are defined in Table 2. The loss function was calculated from the cross-entropy in the softmax layer. On the other hand, the training and testing data ratio is considered 4:1. The classification accuracy, we used fivefold cross-validation technique. Here, 25% of the training data was used for validation. The training and testing of the proposed model were conducted at the subject-dependent approach. Eventually, the data of 15 participants were separately used for training and testing. The classification

accuracy was conducted for 4-, 6-, and 8-class problems, where the class level was set as 4-class: [LH, RH, iLH, and iRH], 6-class: [LH, RH, iLH, iRH, iLF, and iRF], and 8-class [LH, RH, LF, RF, iLH, iRH, iLF, and iRF]. Furthermore, the training process was conducted by two types of data set: (i) only fNIR data and (ii) combined fNIR and EEG data. The methodology of the proposed work including preprocessing, image construction by combined fNIR and EEG along with CNN based model is presented in Fig. 9.

Manual feature extraction and classification by SVM and LDA

Unlike CNN, for the classification of the multiple class signals their manual feature extraction is necessary. An excellent review work has been done in [5] where the signal's feature extraction procedure of the combined



fNIR and EEG system is recommended. According to the recommendations of the work [5], the signal dimensions of both fNIR and EEG signals were reduced in this work. In the case of the fNIR signal, common averaging filtering (CAF) was used to reduce the dimension of the signal from 16 to 4 as recommended in [44]. In the case of the EEG signals, the required six channels (F3, F4, Fz, C3, C4, and Cz) were reduced to 4 signals by excluding the Fz and Cz. Therefore, for manual feature extraction in case of both fNIR and EEG signals, four channels were considered.

From fNIR signals, two significant features were extracted those are signal mean and slope. The calculation procedures of the signal mean and slope are given in [5, 49]. On the other hand, the following features were extracted from the EEG signals: PSD [43], logarithmic power [5], L2 norm [50], and spectral entropy [51]. As a result, each fNIR signal depicts $4 \times 2 = 8$ (signal dimension \times number of features) features and each EEG signal depicts $4 \times 4 = 16$ features. Therefore, the dimensions of the features of the combined fNIR and EEG signals

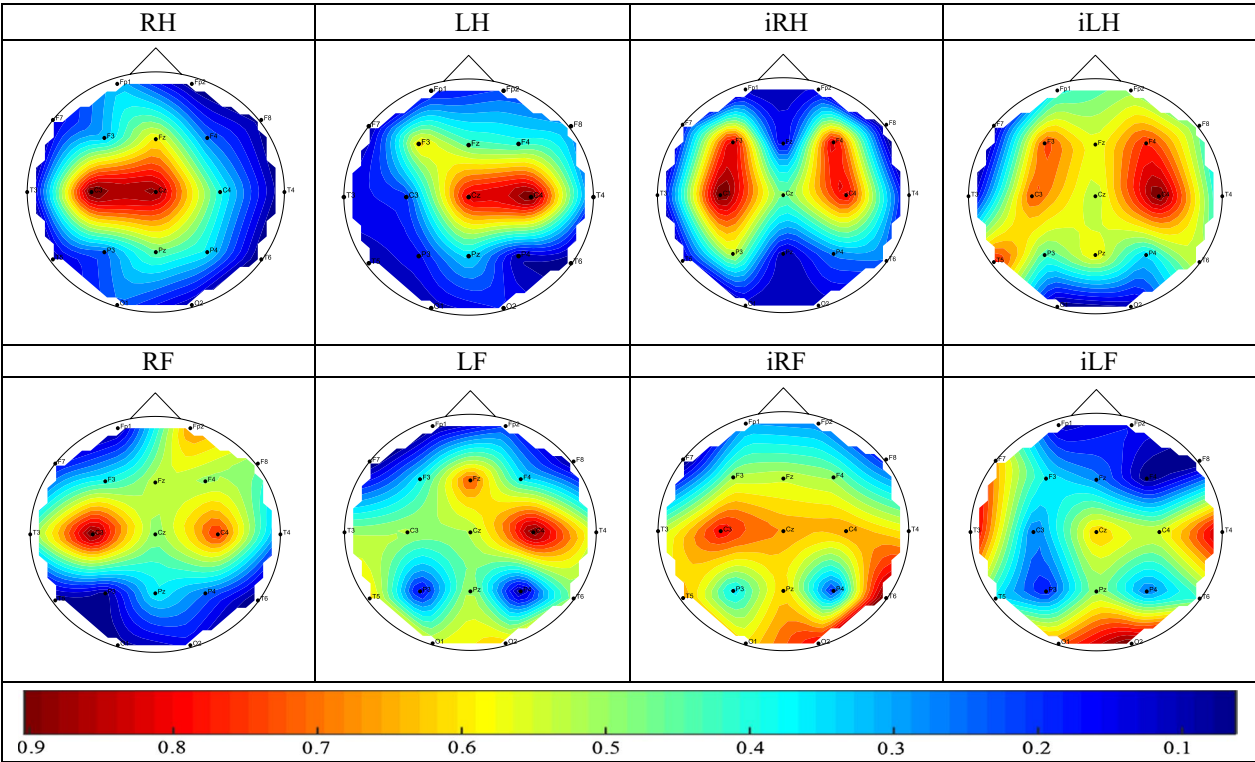
would be $(16 + 8) \times 4 = 96 \times 1$, $(16 + 8) \times 6 = 144 \times 1$, and $(16 + 8) \times 8 = 192 \times 1$ for 4-, 6-, and 8- class, respectively.

According to the recommendations of the review work [5], SVM and LDA were chosen for modeling and classification. Since the operating mechanism of the SVM and LDA classifier is well known, the detail explanation is unnecessary (worth reading [52]). In case of modeling by the SVM structure, polynomial kernel function was used considering order value 3 and performances were measured utilizing fivefold cross-validation. The cost function was considered as 75%. Furthermore, the classification performances of the LDA model was also measured utilizing fivefold cross-validation. The predictive model preparation using SVM and LDA by the features extraction and their classification accuracy calculating steps are shortly presented by the flow diagram given in Fig. 10.

Results and discussion

For raw data logging of EEG and fNIR, Acqknowledge 4.0 and COBI studio were used, respectively. The analytical outcomes regarding this research work are solely

Table 3 The average neural activation regarding different stimuli



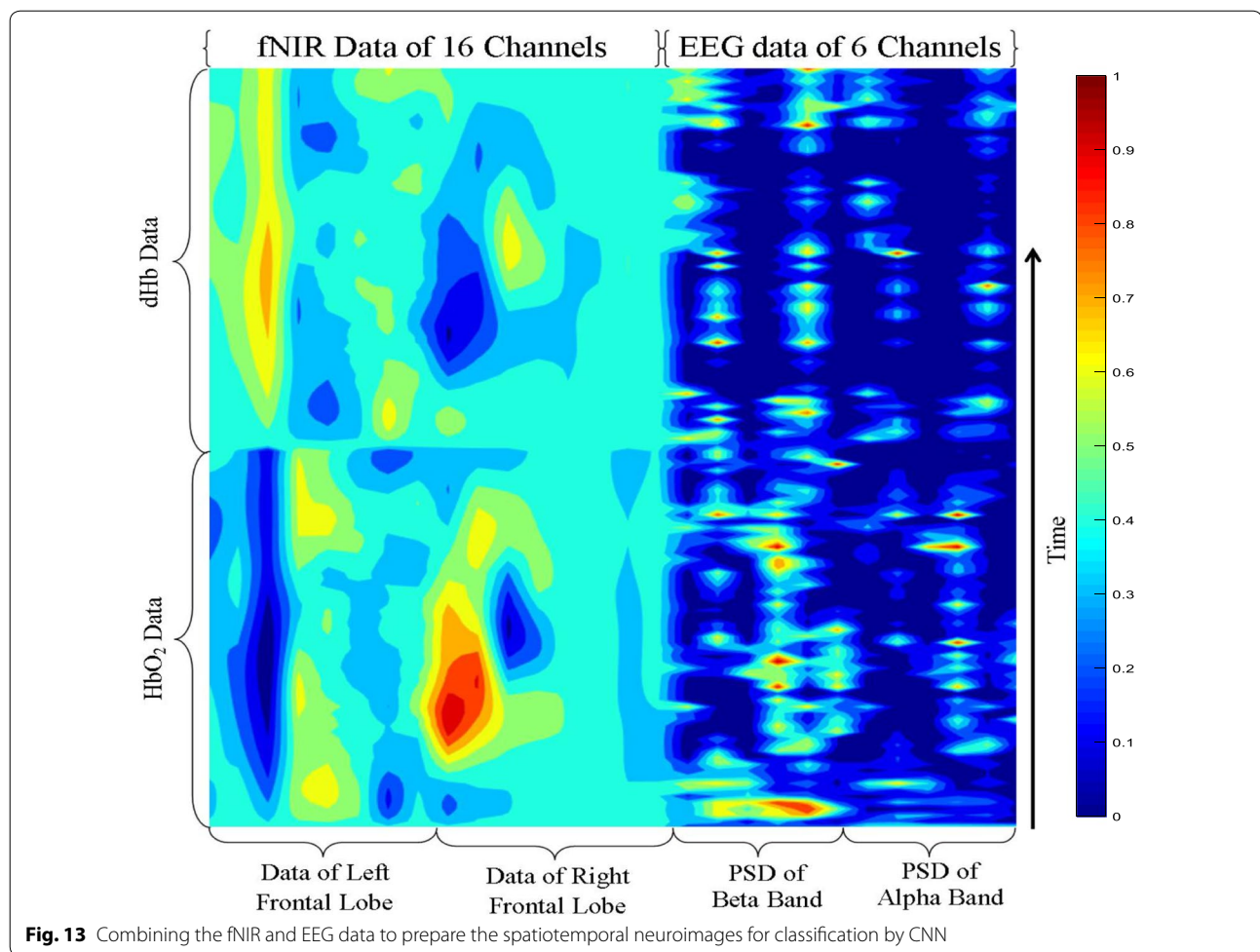
The activation was calculated based on the relative power spectral density of the channels

accomplished by Matlab 2018a programming software in a computer having a Core-i7 processor with 8 GB RAM.

In the processing steps, we first executed the data filtering part. The filtering effect on the raw fNIR signal has been shown in Fig. 11 and that of the EEG signal has been given in Fig. 12. Here we considered the central and frontal EEG channels. This is because the functional changes occur in the central lobe mostly, but the frontal lobes become also activated due to voluntary and imagery movement-related tasks. For the justification, we have added the activation level of different positions of the brain concerning frontal, central, and parietal lobe of the brain as topoplot. The topoplots are presented in Table 3. The totoplots are prepared from the average activations of five randomly chosen subjects. A Matlab based free toolbox [53] has been utilized to prepare the graphical topoplots, which is solely designed for the 9-channel EEG data of B-Alert wireless devices. From the topoplots, we get that the voluntary movements of hands and feet create significant activations in the central lobe. On the other hand, due to imagery movements, both the frontal and central lobes become activated. One thing is noticeable for the imagery feet movements that the impact of the

activation is slightly lower than that of the imagery hand movements. In addition, the patterns are also irregular compared to the movements of the voluntary feet movements. In most of the cases, the parietal lobe was inactive and that is why the information of the parietal lobe is excluded in the functional neuroimage construction. For the same reason, during the manual feature extraction from the EEG signal, the three channels of parietal lobe were excluded. Since there is a tradeoff relation between the feature dimension and the classification accuracy, this excluding process helps to reduce the feature dimension and indirectly helps to increase the possibility of higher classification accuracy.

According to our proposal of the fNIR–EEG combined spatiotemporal data, a typical fNIR and EEG data of a particular stimulus has been considered to make the functional neuroimage and the resulting image is given in Fig. 13. The color bar is given on the right side of the figure. Therefore, using the proposed method the combined fNIR–EEG data can be transformed into images that can be applied to the input of the CNN. The Matlab code of the image generation has been given in the “Appendix”. This is our original approach of presenting the combined



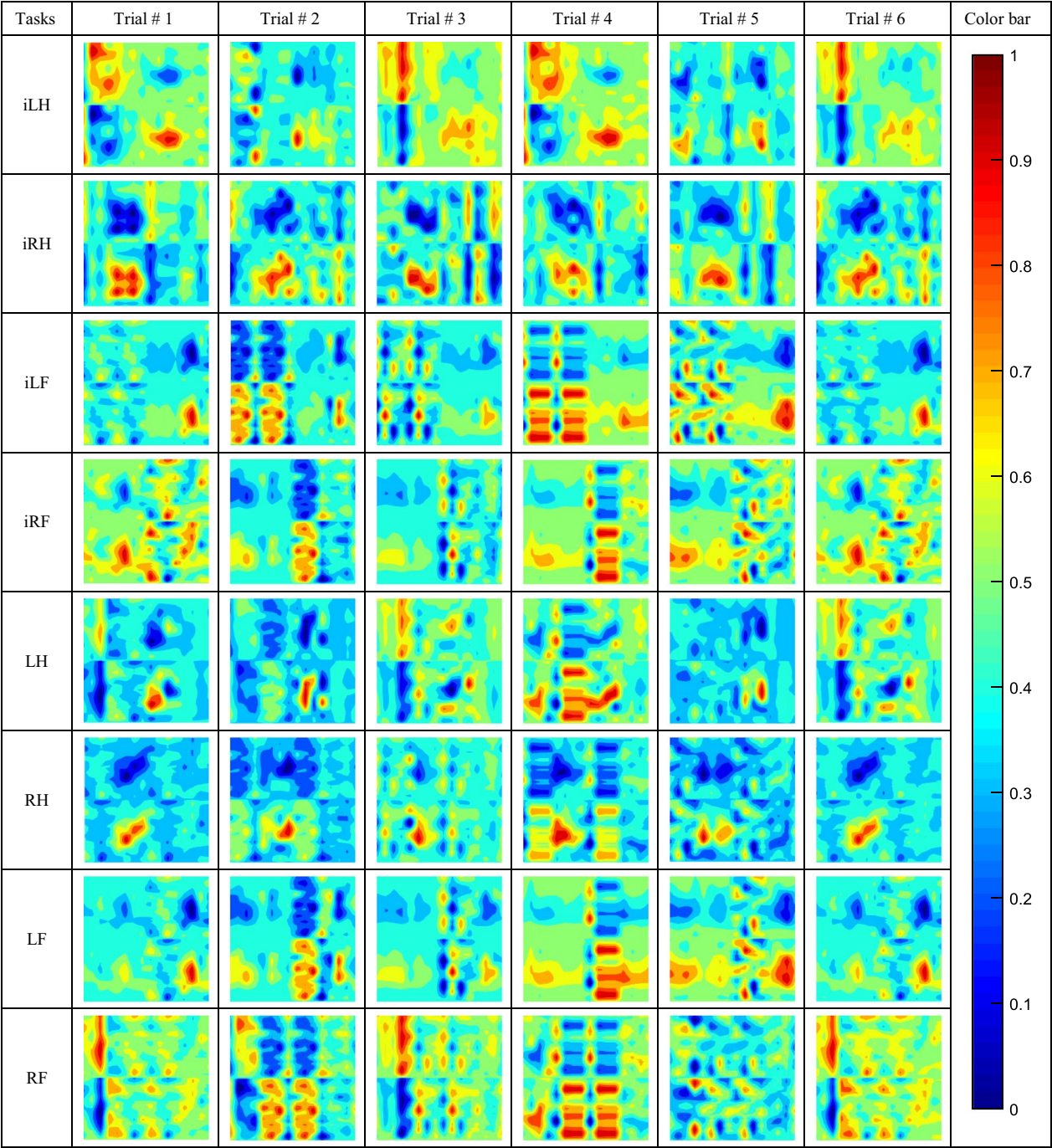
fNIR–EEG time series data because, so far our knowledge, no research work has not proposed in such a form of fNIR and EEG data combination process.

It should be mentioned that along with the combined fNIR–EEG based image, we also used only the fNIR data (HbO_2 and dHb) to produce the neuroimages for the training of the CNN. It was performed to show the difference in the classification accuracy between the single fNIR modality and the combined modality approach. The neuroimages regarding the single and bimodal data of eight different class stimuli have been presented by Tables 4 and 5, respectively. The images are prepared on the basis of their normalized value. Furthermore, these

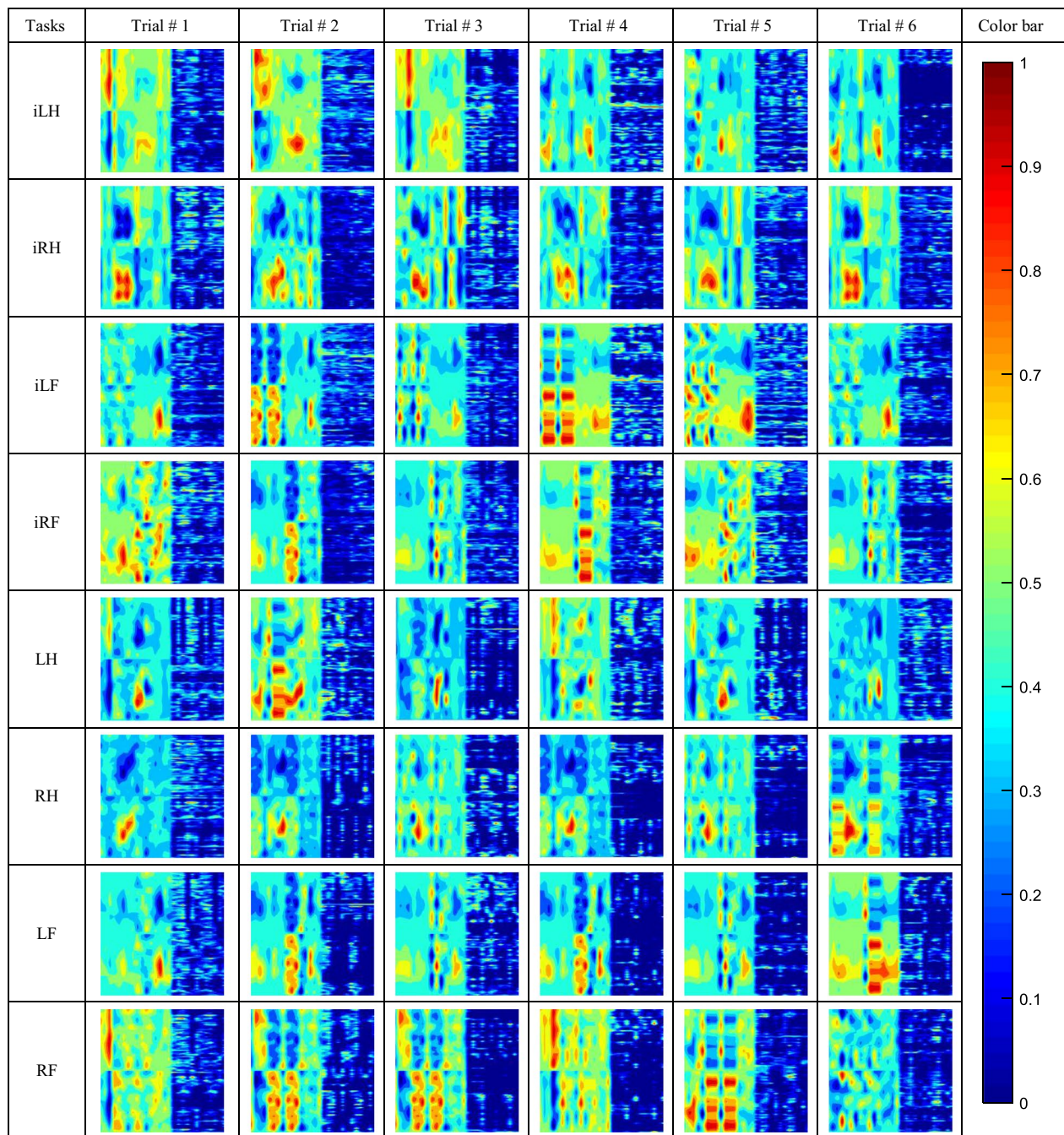
images are RGB color image those are prepared to feed to the CNN.

These images are sequentially fed to the CNN for automatic feature extraction and to train the predictive model for further classification. The trained model was constructed for 4-, 6-, and 8-classes. The training and validation accuracy with respect to the iteration of 4-, 6-, and 8-class problems are given in Figs. 14, 15, and 16, respectively. The loss reduction during training and validation of the proposed CNN models is also given in the figures. The training and validation accuracy of the proposed CNN model are found best in case of a 4-class problem.

Table 4 Neuroimages from the temporal HbO₂ and dHb fNIR data of 10-s task plus 20-s activation



Here, there are images of eight types of tasks with 6 trials of each task

Table 5 Neuroimages from the combined fNIR and EEG data of 10-s task plus 20-s activation

On the other hand, the validation accuracy was slightly inferior in the case of 6- and 8-classes.

These results are found for subject 1 while the models were trained by combined fNIR and EEG data. We have applied two different approaches to find the classification accuracy: one is based on fNIR data only and

the other is based on the combined information of fNIR and EEG data. The results of 15 participants are given in Tables 6 and 7, respectively. In addition, according to the proposition and scope of this work, the conventional classification methods such as LDA and SVM were also

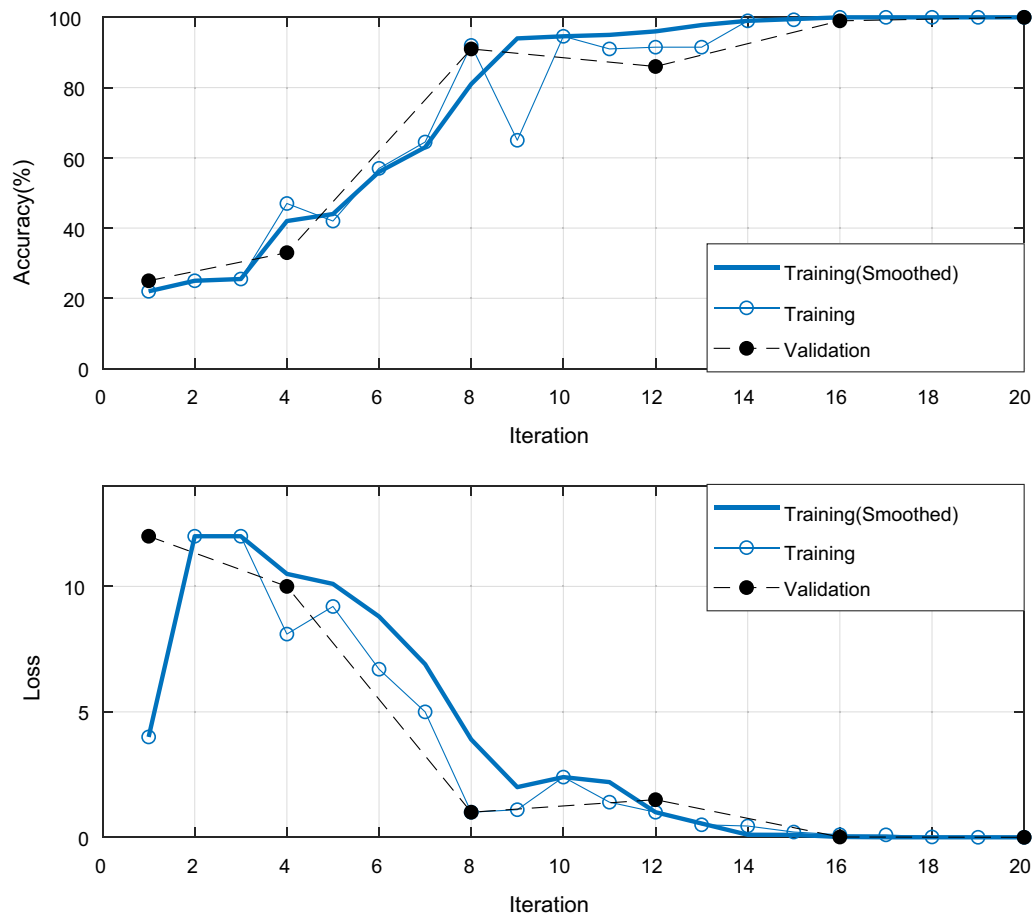


Fig. 14 The training and validation accuracy with loss performances with respect to the epoch iterations for 4-class problem

applied to check their performances. The extracted features of the fNIR data and the combined fNIR and EEG data were classified utilizing the SVM and LDA method and the regarding results are also given in Tables 6 and 7, respectively.

From the result, we get that the combined information provides us greater classification accuracy. For 4-, 6-, and 8-class problems, the classification accuracies are significantly improved by 9%, 11%, and 17% on average by the combined fNIR–EEG information in case of the CNN. On the other hand, the improvement in the classification accuracy occurred 10%, 8%, and 8% for SVM and 7%, 4%, and 5% for LDA. In the case of the conventional classifiers, the performances for the 6- and 8-class are not convincing at all and that is why the choice of CNN is inevitable. The average classification performances of SVM, LDA, and the proposed CNN model

for single and bimodal data are presented in Figs. 17 and 18, respectively to reflect the importance of utilizing the combined information of fNIR and EEG signal as well as choosing CNN as a classifier. The results claim that for 8-class problem classification, CNN exceptionally plays a significant role to achieve the expected BCI goal. In addition, the combination of the EEG information with the fNIR signal provides an excellent increment in the classification performances. The result proves that combined information of fNIR and EEG outperforms the information regarding the fNIR signal alone. In addition, the classification accuracy has been achieved $90 \pm 4.54\%$, $82 \pm 5.12\%$, and $72 \pm 4.34\%$ for 4-, 6-, and 8-class problems, respectively, which is too convincing for the BCI implementation.

Although there is numerous research work on motor imagery signal classification, their data acquisition

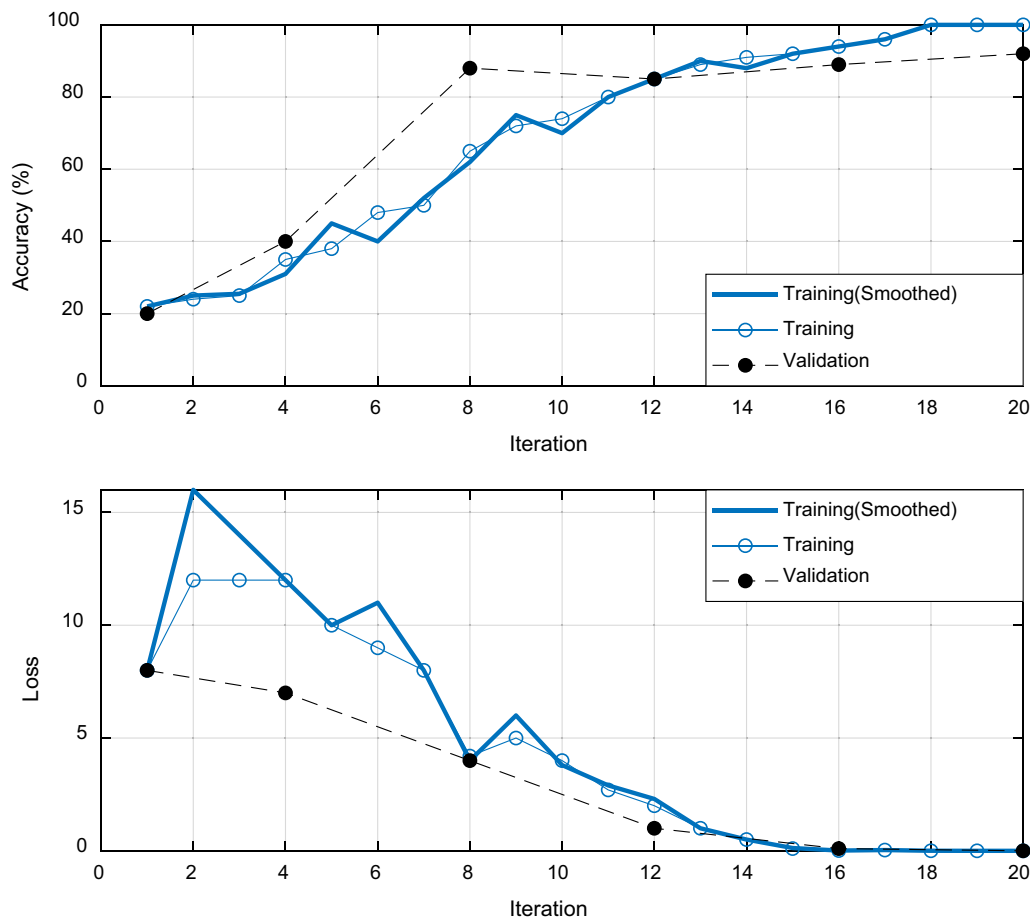


Fig. 15 The training and validation accuracy with loss performances with respect to the epoch iterations for 6-class problem

protocols, devices, participants, and modalities are different from each other. Therefore, to compare them directly are difficult in the scientific aspect. Although some research works have existed that deploys 4-class motor imagery EEG or fNIR signal classification, there is only one work [31] that presents both 4-class and 8-class BCI for robot hand control. Since the main objective of all these research works is to implement BCI, a general comparison regarding their protocols, methods, and performances could be a nice presentation to observe the overall concept about the trends of the multiple-class BCI implementation through fNIR, EEG or combined fNIR and EEG. Such a comparison of 4-class and 8-class BCI

of the proposed method with the others has been presented in Table 8. From the performance of the proposed method, it is clear that in the process of improvement trending in the research of BCI, our proposed bimodal approach outperforms the existing previous works.

Conclusion

This paper is the first research paper based on the combined fNIR and EEG signals that deals with up to 8-class problems classification by CNN—an artificial intelligence tool. Besides, this is the first proposal to decode voluntary and imagery movements combining prefrontal hemodynamic signals (fNIR) along with the frontal

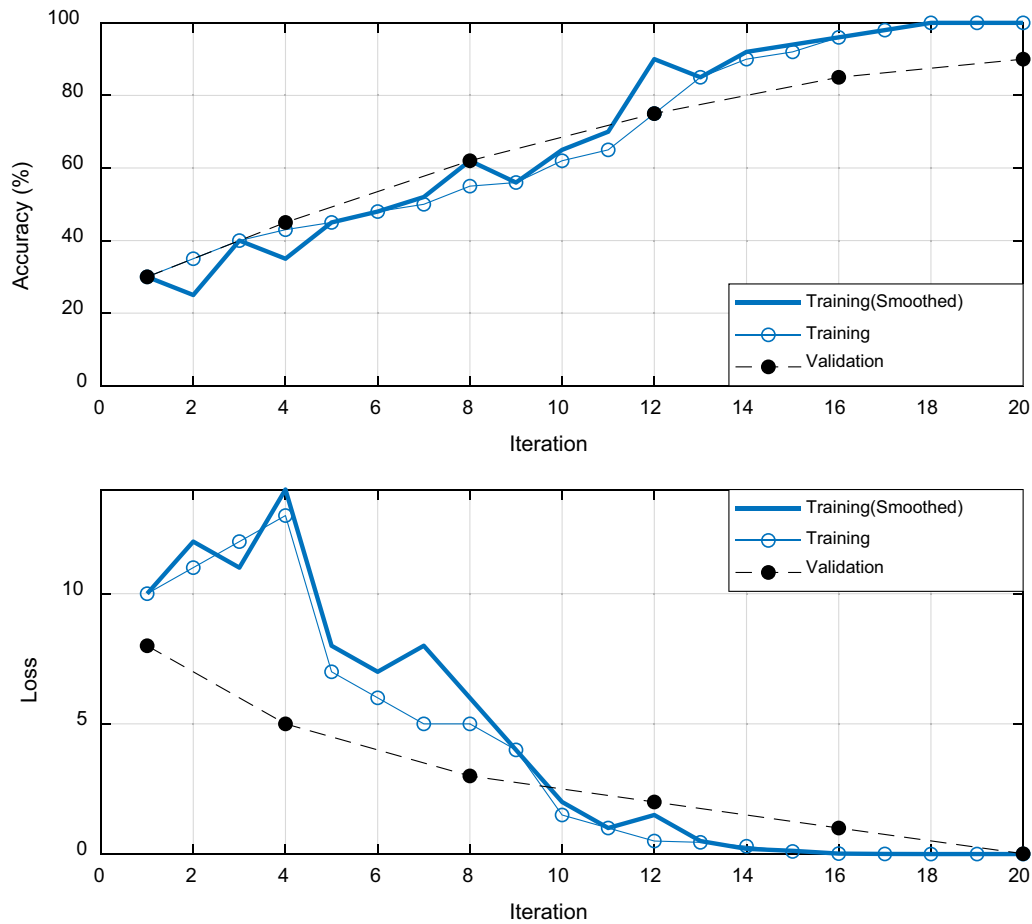


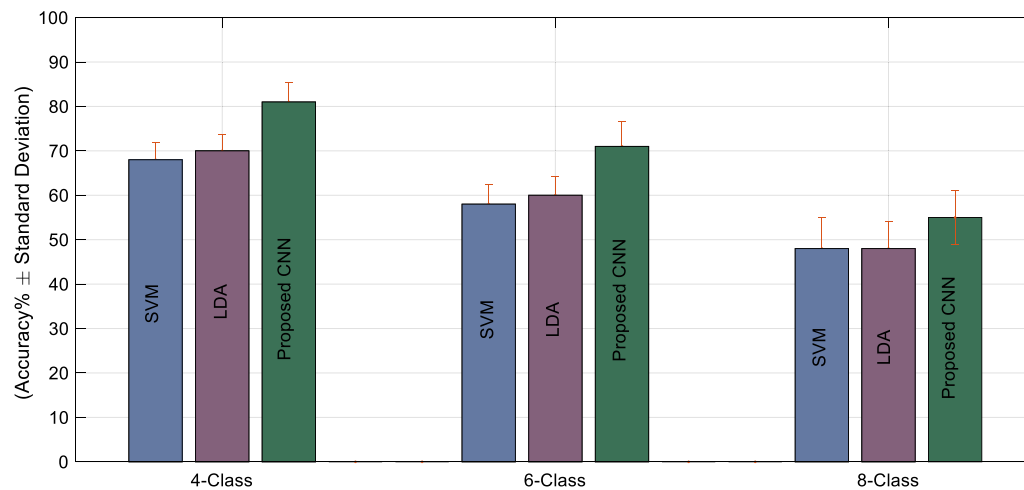
Fig. 16 The training and validation accuracy with loss performances with respect to the epoch iterations for 8-class problem

Table 6 The classification accuracy of the SVM, LDA, and the proposed model with the fNIR data only

Participant #	4-class			6-class			8-class		
	SVM	LDA	Proposed CNN	SVM	LDA	Proposed CNN	SVM	LDA	Proposed CNN
1	60%	76%	82%	52%	61%	74%	48%	45%	55%
2	63%	66%	78%	53%	59%	71%	45%	48%	50%
3	68%	66%	80%	57%	53%	77%	52%	43%	50%
4	70%	74%	84%	60%	63%	77%	58%	54%	55%
5	65%	70%	85%	53%	54%	67%	37%	39%	65%
6	68%	69%	76%	61%	58%	71%	54%	47%	60%
7	64%	72%	81%	56%	67%	75%	41%	50%	60%
8	69%	67%	78%	64%	58%	61%	52%	56%	55%
9	66%	70%	89%	54%	53%	78%	43%	35%	50%
10	65%	68%	78%	59%	62%	65%	48%	48%	55%
11	72%	66%	78%	59%	60%	62%	48%	58%	45%
12	68%	64%	82%	56%	59%	71%	37%	48%	50%
13	73%	75%	84%	65%	66%	71%	56%	52%	60%
14	70%	74%	90%	62%	62%	74%	58%	51%	65%
15	74%	72%	75%	65%	64%	64%	43%	50%	50%
Average \pm (SD)	68% \pm (3.88)	70% \pm (3.76)	81% \pm (4.45)	58% \pm (4.42)	60% \pm (4.33)	71% \pm (5.56)	48% \pm (6.98)	48% \pm (6.07)	55% \pm (5.97)

Table 7 The classification accuracy of the SVM, LDA, and the proposed model with the combined fNIR and EEG data

Participant #	4-class			6-class			8-class		
	SVM	LDA	Proposed CNN	SVM	LDA	Proposed CNN	SVM	LDA	Proposed CNN
1	77%	80%	92%	70%	65%	90%	54%	50%	74%
2	82%	76%	88%	61%	68%	82%	52%	58%	72%
3	81%	80%	88%	69%	57%	84%	58%	60%	75%
4	86%	82%	90%	65%	64%	90%	61%	52%	76%
5	77%	78%	94%	73%	70%	88%	48%	54%	70%
6	75%	80%	92%	58%	57%	86%	60%	50%	80%
7	80%	77%	94%	62%	69%	82%	55%	48%	78%
8	72%	66%	86%	70%	61%	75%	61%	56%	69%
9	78%	74%	98%	65%	65%	85%	58%	55%	71%
10	71%	76%	84%	65%	53%	75%	55%	50%	70%
11	81%	79%	83%	66%	69%	78%	58%	58%	68%
12	77%	68%	91%	71%	68%	82%	48%	52%	65%
13	78%	80%	90%	65%	68%	80%	60%	54%	65%
14	76%	82%	96%	61%	58%	78%	62%	55%	72%
15	84%	78%	87%	64%	70%	75%	54%	50%	70%
Average \pm (SD)	78% \pm (4.11)	77% \pm (4.66)	90% \pm (4.54)	66% \pm (4.23)	64% \pm (5.57)	82% \pm (5.12)	56% \pm (4.49)	53% \pm (3.56)	72% \pm (4.34)

**Fig. 17** Overall performances (mean \pm SD) of the classification accuracy through SVM, LDA, and the proposed CNN method while only the fNIR data were considered

and central neuroelectric signal (EEG). This proposal on the bimodal approach for CNN-based BCI implementation found excellent results in classification accuracy of the voluntary and imagery movement-related tasks. It has been also shown that the classification accuracies are

increased in the combined fNIR–EEG signal rather than the unimodal information (fNIR only). In addition, this proposal extends the pathway to implement up to 8-class problem utilizing fNIR and EEG data where most of the current literature discussed 2- or 4-class. The proposed

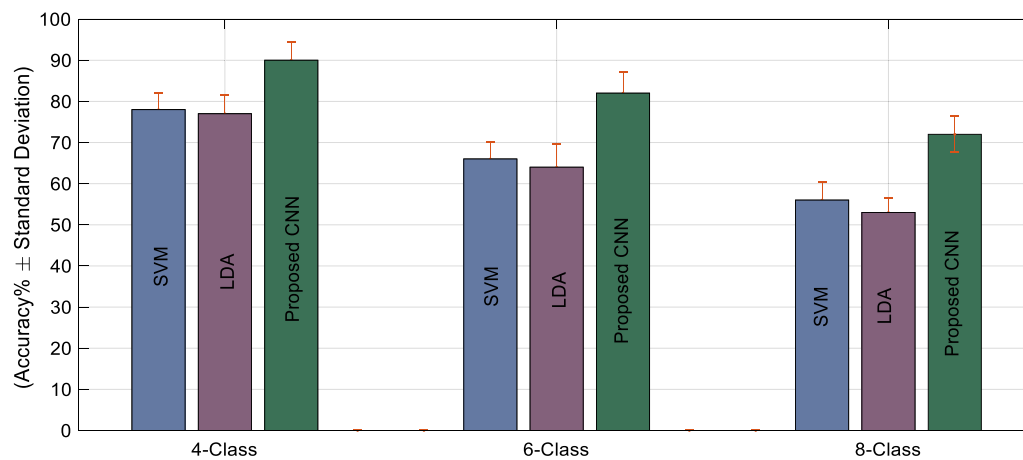


Fig. 18 Overall performances (mean \pm SD) of the classification accuracy through SVM, LDA, and the proposed CNN method while combined fNIR and EEG data were considered

Table 8 Comparison of the proposals of the multiple class BCI system

Research work	Work objectives	Methodology	Modality	Resulting accuracy (%)
<i>4-class database classification</i>				
Leon et al. [31]	Combined motor imagery classification	Feature extraction: modified CSP classifier: multistep SVM	EEG	71.67
Rahman et al. [54]	Moto imagery classification	Feature extraction: PCA and wavelet classifier: two-step ANN	EEG	74.60
Ge et al. [30]	Moto imagery classification	Feature extraction: STFT and CSP classifier: SVM	EEG	88.1
Batula et al. [26]	Moto imagery classification	Feature extraction: mean value Classifier: SVM	fNIR	54
Shin et al. [55]	Moto imagery classification	Feature extraction: statistical features Classifier: Naive Bayes classifier	fNIR	83.15
Proposed method	Moto imagery classification	Feature extraction and classification by CNN	fNIR + EEG	90
<i>8-class database classification</i>				
Leon et al. [31]	Combined motor imagery classification	Feature extraction: modified CSP classifier: multistep SVM	EEG	51.67%
Proposed method	Voluntary and imagery motor movement classification	Feature extraction and classification by CNN	fNIR + EEG	77%

CSP common spatial pattern, PCA principal component analysis, STFT short time Fourier transform

method is robust, intelligent, and efficient for the movement classification problems, which makes hope in establishing an effective BCI for the motor impaired or paralyzed persons.

This work also added the performances of the conventional classification methods where the feature extraction was done manually. During feature extraction, recommendations of some scholarly article were obeyed although the performance of the conventional classifier solely depends on the discriminative features. Therefore, further improved

feature extraction method could change the performances of the conventional methods, slightly, but not be able to exceed the proposed method.

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Compliance with ethical standards

Conflict of interest

This research work has no conflict of interest to anyone.

Appendix

Pseudocode for preparing the functional neuroimages combining fNIR and EEG signal

```
% loading the fNIR and EEG data
load('fNIR_data.mat');
load('EEG_data.mat');

% locating the fNIR and EEG data in variables
HBO=fNIR_HbO2;
HBR=fNIR_dHb;
EEG=EEG_data; % Here the EEG data is the PSD of alpha and beta bands

sub=1;
for i=1:40
    req_data_1(:,:,i)=vertcat(HBO(1:60,:,i,sub), HBR(1:60,:,i,sub));
    req_data_2(:,:,i)=horzcat(EEG(1:120,1:4,i),EEG(1:120,5:8,i))
end

for k=1:40
    x1=req_data_1(:,:,k);
    [M1 N1]=size(x1);
    x1_min=min(min(x1));
    x1_max=max(max(x1));
    x2=req_data_2(:,:,k);
    [M2 N2]=size(x2);
    x2_min=min(min(min(req_data_2(:,:,k))));
    x2_max=max(max(max(req_data_2(:,:,k))));

    for i=1:1:M1
        for j=1:1:N1
            y1(i,j)=(x1(i,j)-x1_min)/(x1_max-x1_min);
        end
    end

    for i=1:1:M2
        for j=1:1:N2
            y2(i,j)=(x2(i,j)-x2_min)/(x2_max-x2_min);
        end
    end

    data_1=horzcat(y1,y2(:,1:4),y2(:,5:8));
    x_data=1:1:24;
    y_data=1:1:120;
    z_data=(data_1(1:120,1:24));

    xx = x_data; % Horizontal axis coordinates
    yy = y_data; % Vertical axis coordinates
    z = z_data; % Feature values of the corresponding electrodes
    nx = linspace(min(xx), max(xx),24);
    ny = linspace(min(yy), max(yy),120);

    [xxx,yyy] = meshgrid(nx,ny);
    zz = griddata(xx,yy,z,xxx,yyy,'cubic');
    contourf(xxx,yyy,zz,'linestyle','none','LineWidth',0.5);
    colormap('JET');
    axis off;
    set(gcf,'PaperUnits','inches','PaperPosition',[0 0 4 4]); % Set the image size and position
    print(1,'-dpng', ['1' num2str(k) '.png'],'-r0'); % save it as an image
    %colorbar
end
```

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