



## **CHAPTER 13**

# **XML: Extensible Markup Language**

# Introduction

- Databases function as data sources for Web applications
  - HTML
    - Used in static Web pages
  - XML, JSON
    - Self-describing documents
    - Dynamic Web pages
- Chapter focus: XML data model and languages

# 13.1 Structured, Semistructured, and Unstructured Data

- Structured data
  - Stored in relational database
- Semistructured data
  - Not all data has identical structure
  - Schema information mixed in
    - Self-describing
  - Directed graph model
- Unstructured data
  - Limited data type indication
  - Example: Web pages in HTML

# Directed Graph Model for Semistructured Data

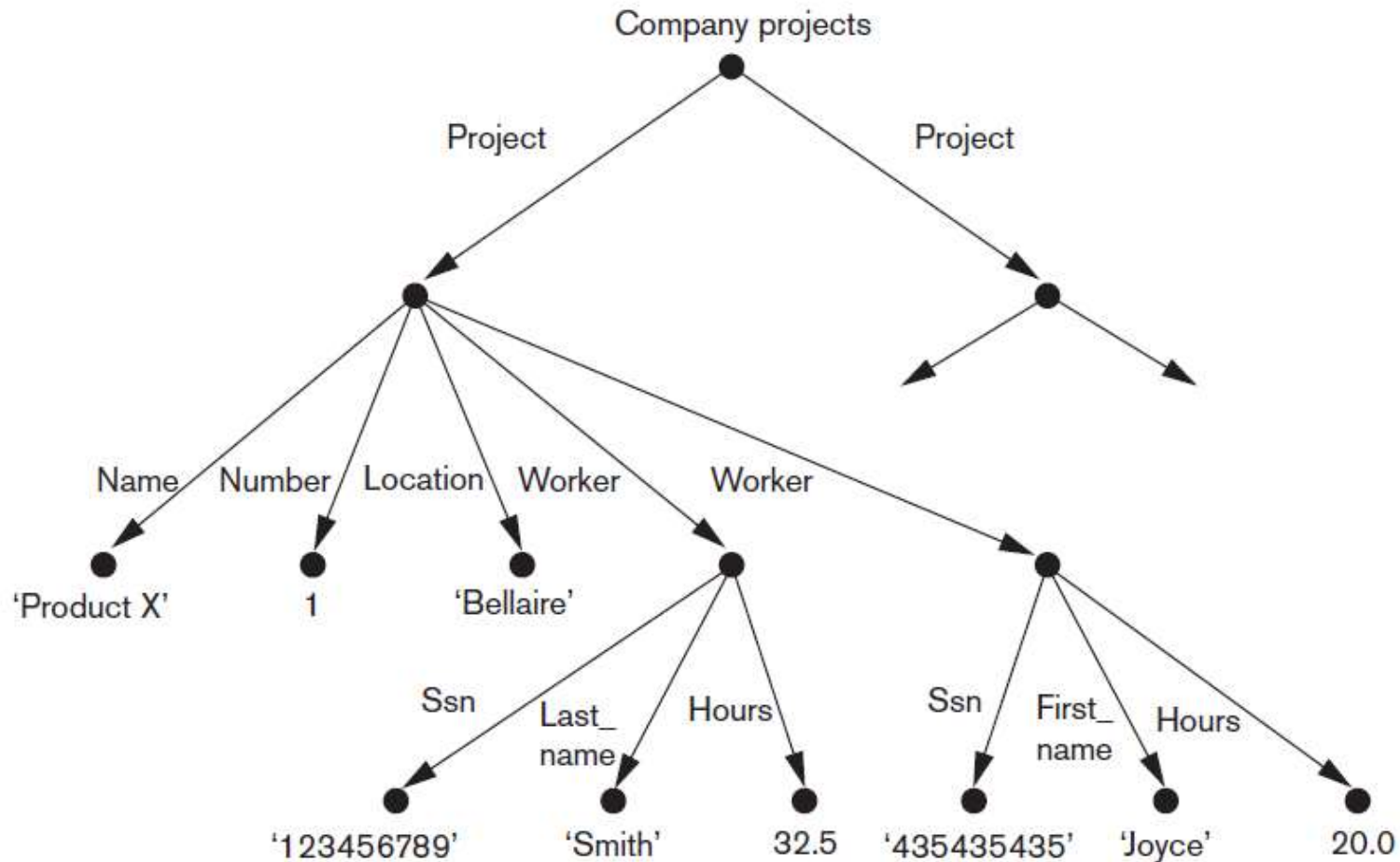


Figure 13.1 Representing semistructured data as a graph

# Structured, Semistructured, and Unstructured Data (cont'd.)

- HTML uses predefined tags
  - Document header
    - Script functions, formatting styles
  - Body
  - Table tags
  - Attributes
  - Large number of predefined tags
- XHTML
  - Extends tags for different applications

# 13.2 XML Hierarchical (Tree) Data Model

- Basic object: XML document
  - Element
    - Simple
    - Complex
    - Schema document defines element names
  - Attribute
- Document types
  - Data-centric
  - Document-centric
  - Hybrid

# 13.3 XML Documents, DTD, and XML Schema

- Conditions for well-formed XML documents
  - Begins with XML declaration
  - Syntactically correct
  - Valid
    - Must follow a particular schema



# XML Documents, DTD, and XML Schema (cont'd.)

- Notation for specifying elements
  - `elementname*` indicates optional multivalued (repeating) element
  - `elementname+` indicates required multivalued (repeating) element
  - `elementname?` indicates optional single-valued (non-repeating) element
  - `elementname` indicates required single-valued (non-repeating) element

# XML Documents, DTD, and XML Schema (cont'd.)

- Notation for specifying elements (cont'd.)
  - Type specified by parentheses following the element name
  - !ATTLIST used to specify attributes within the element
  - Parentheses can be nested when specifying elements
  - Bar symbol ( $e_1|e_2$ ) indicates either  $e_1$  or  $e_2$  can appear in the document

# XML Documents, DTD, and XML Schema (cont'd.)

```
(a) <!DOCTYPE Projects [  
    <!ELEMENT Projects (Project+)>  
    <!ELEMENT Project (Name, Number, Location, Dept_no?, Workers)>  
    <!ATTLIST Project  
        ProjId ID #REQUIRED>  
    <!ELEMENT Name (#PCDATA)>  
    <!ELEMENT Number (#PCDATA)>  
    <!ELEMENT Location (#PCDATA)>  
    <!ELEMENT Dept_no (#PCDATA)>  
    <!ELEMENT Workers (Worker*)>  
    <!ELEMENT Worker (Ssn, Last_name?, First_name?, Hours)>  
    <!ELEMENT Ssn (#PCDATA)>  
    <!ELEMENT Last_name (#PCDATA)>  
    <!ELEMENT First_name (#PCDATA)>  
    <!ELEMENT Hours (#PCDATA)>  
]>
```

Figure 13.4(a) An XML DTD file called 'Projects'

# XML Schema

- XML schema language
  - Specifies document structure
  - Same syntax rules as XML documents
  - Elements, attributes, keys, references, and identifiers
  - Example: Figure 13.5 in the text

# XML Schema (cont'd.)

- XML namespace
  - Defines set of commands that can be used
- Annotations, documentation, and language used
- Elements and types
  - Root element
  - First-level elements
  - Specifying element type and min and max occurrences

# XML Schema (cont'd.)

- Keys
  - Constraints that correspond to relational database
  - Primary key
  - Foreign key
- Complex elements
  - `xsd:complexType`
- Composite (compound) attributes

# 13.4 Storing and Extracting XML Documents from Databases

- Use file system or DBMS to store documents as text
- Use DBMS to store document contents as data elements
- Design specialized system to store XML data
- Create or publish custom XML documents from preexisting relational databases
  - This approach explored further in 13.6

# 13.5 XML Languages

- Query language standards
  - XPath
  - XQuery
- Specifying XPath expressions in XML
  - Returns sequence of items satisfying certain pattern
    - Values, elements, or attributes
  - Qualifier conditions
  - Separators
    - Single slash / or double slash //



# XPath (cont'd.)

## ■ Example

- For COMPANY.XML document stored at location `www.company.com/info.XML`
- `doc(www.company.com/infor.XML)/company` returns company root node and all descendant nodes

# XPath (cont'd.)

1. `/company`
2. `/company/department`
3. `//employee [employeeSalary gt 70000]/employeeName`
4. `/company/employee [employeeSalary gt 70000]/employeeName`
5. `/company/project/projectWorker [hours ge 20.0]`

Figure 13.6 Some examples of XPath expressions on XML documents that follow the XML schema file company in Figure13.5.

# XQuery: Specifying Queries in XML

- FLWOR expression

```
FOR <variable bindings to individual nodes (elements)>  
LET <variable bindings to collections of nodes (elements)>  
WHERE <qualifier conditions>  
ORDER BY <ordering specifications>  
RETURN <query result specification>
```

- Variables preceded with \$
- For assigns variable to a range
- Where specifies additional conditions
- Order by specifies order of result elements
- Return specifies elements for retrieval

# XQuery: Specifying Queries in XML

## ■ Example

```
LET $d := doc(www.company.com/info.xml)
FOR $x IN $d/company/project[projectNumber = 5]/projectWorker,
    $y IN $d/company/employee
WHERE $x/hours gt 20.0 AND $y.ssn = $x.ssn
ORDER BY $x/hours
RETURN <res> $y/employeeName/firstName, $y/employeeName/lastName,
            $x/hours </res>
```

# Other Languages and Protocols Related to XML

- Extensible Stylesheet Language (XSL)
- Extensible Stylesheet Language for Transformations (XSLT)
- Web Services Description Language (WSDL)
- Simple Object Access Protocol (SOAP)
- Resource Description Framework (RDF)

# 13.6 Extracting XML Documents from Relational Databases

- XML uses hierarchical (tree) model
- Common database model is flat relational database
- Conceptually represent using ER schema
- University example (follows)
  - Choices for root: course, student, section

# University Example

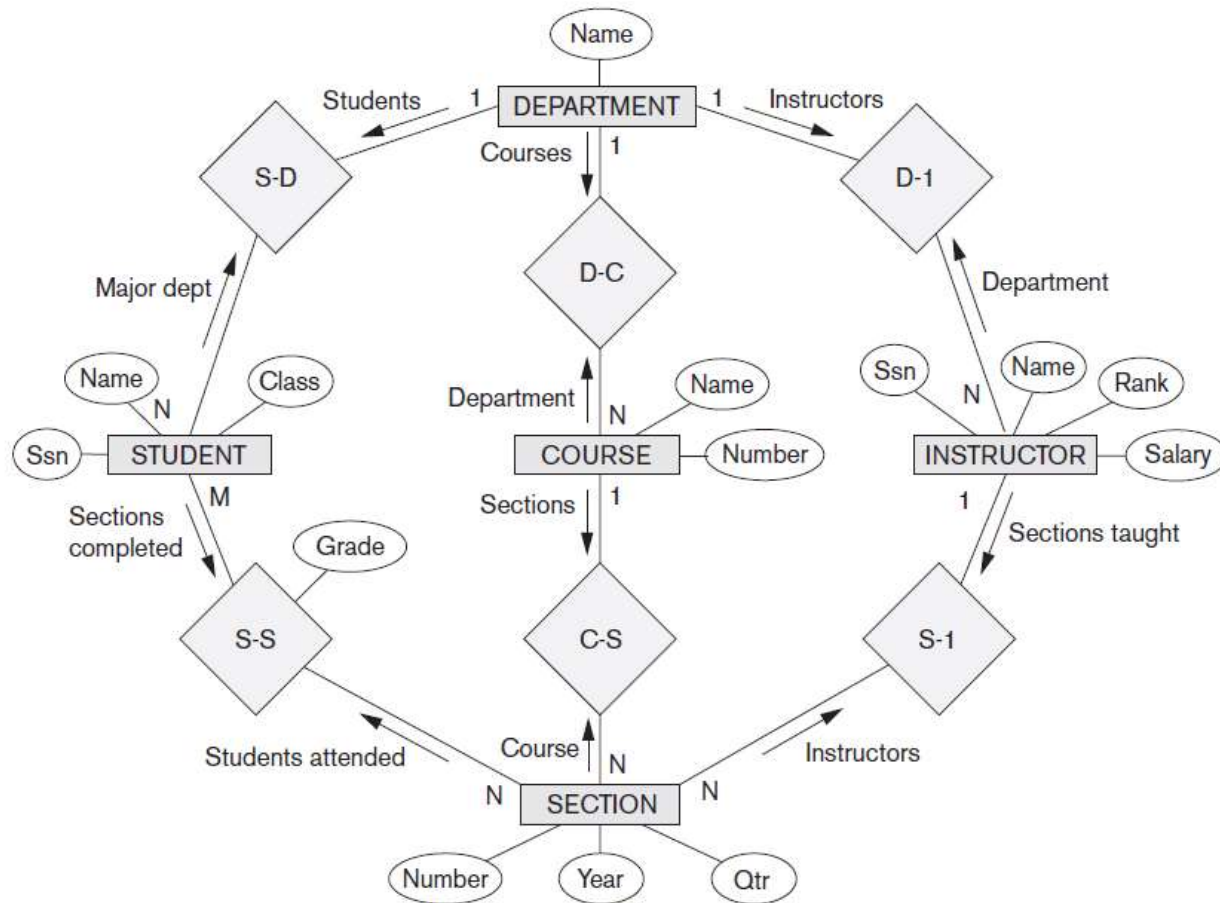


Figure 13.8 An ER schema diagram for a simplified UNIVERSITY database

# University Example (cont'd.)

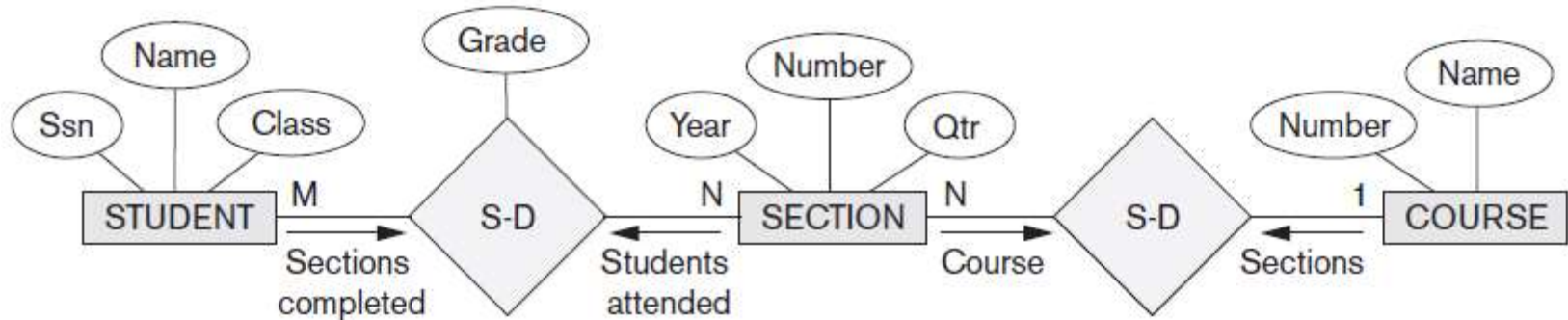


Figure 13.9 Subset of the UNIVERSITY database schema needed for XML document extraction



# University Example (cont'd.)

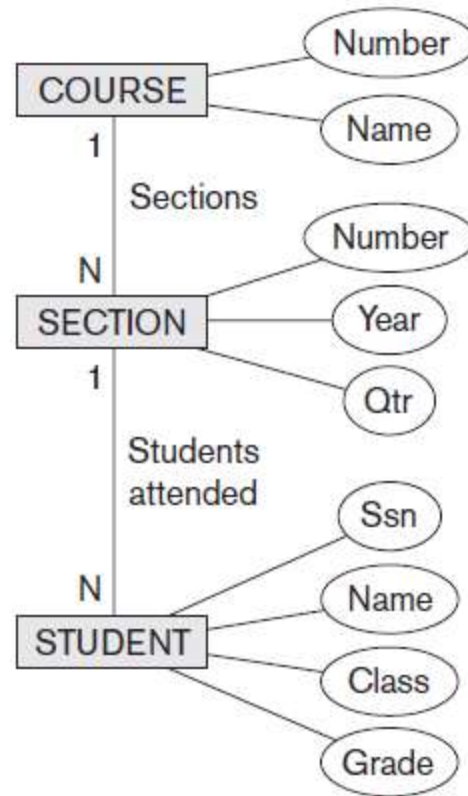


Figure 13.10 Hierarchical (tree) view with 'COURSE' as the root

# University Example (cont'd.)

```
<xsd:element name="root">
  <xsd:sequence>
    <xsd:element name="course" minOccurs="0" maxOccurs="unbounded">
      <xsd:sequence>
        <xsd:element name="cname" type="xsd:string" />
        <xsd:element name="cnumber" type="xsd:unsignedInt" />
        <xsd:element name="section" minOccurs="0" maxOccurs="unbounded">
          <xsd:sequence>
            <xsd:element name="secnumber" type="xsd:unsignedInt" />
            <xsd:element name="year" type="xsd:string" />
            <xsd:element name="quarter" type="xsd:string" />
            <xsd:element name="student" minOccurs="0" maxOccurs="unbounded">
              <xsd:sequence>
                <xsd:element name="ssn" type="xsd:string" />
                <xsd:element name="sname" type="xsd:string" />
                <xsd:element name="class" type="xsd:string" />
                <xsd:element name="grade" type="xsd:string" />
              </xsd:sequence>
            </xsd:element>
          </xsd:sequence>
        </xsd:element>
      </xsd:sequence>
    </xsd:element>
  </xsd:sequence>
</xsd:element>
```

Figure 13.11 XML schema with 'COURSE' as the root

# University Example (cont'd.)

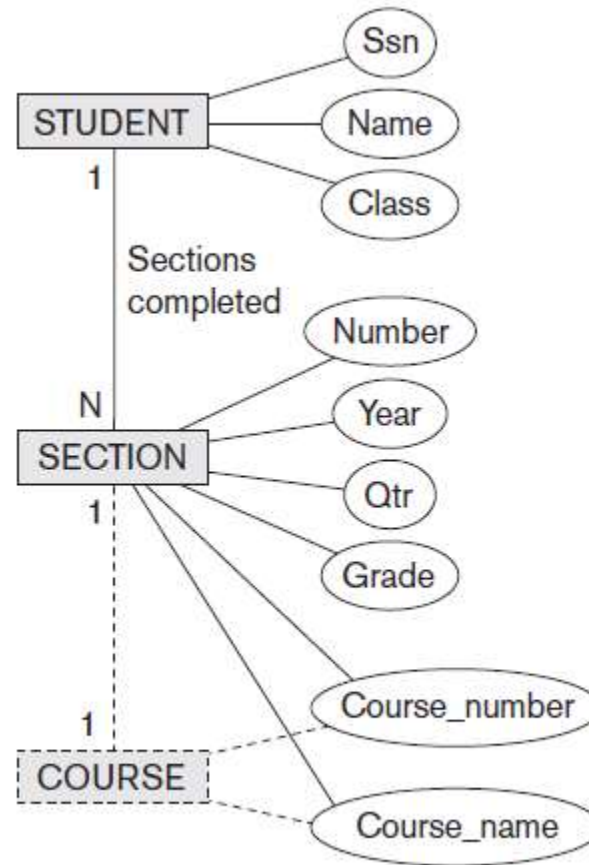


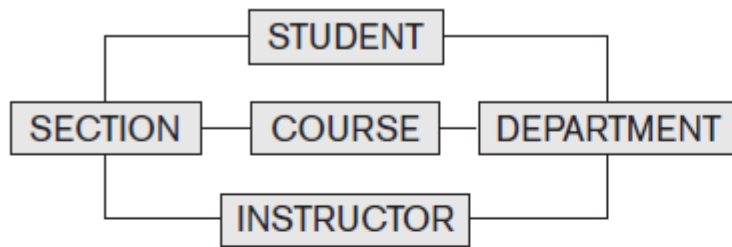
Figure 13.12 Hierarchical (tree) view with 'STUDENT' as the root

# University Example (cont'd.)

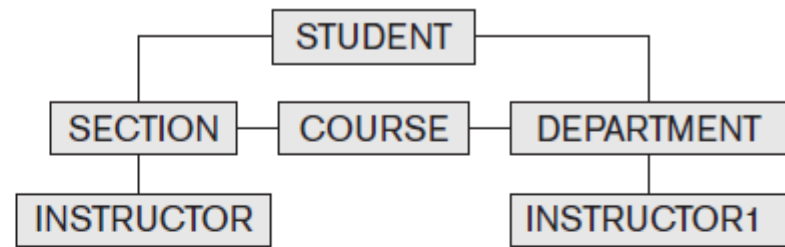
```
<xsd:element name="root">
  <xsd:sequence>
    <xsd:element name="student" minOccurs="0" maxOccurs="unbounded">
      <xsd:sequence>
        <xsd:element name="ssn" type="xsd:string" />
        <xsd:element name="sname" type="xsd:string" />
        <xsd:element name="class" type="xsd:string" />
        <xsd:element name="section" minOccurs="0" maxOccurs="unbounded">
          <xsd:sequence>
            <xsd:element name="secnumber" type="xsd:unsignedInt" />
            <xsd:element name="year" type="xsd:string" />
            <xsd:element name="quarter" type="xsd:string" />
            <xsd:element name="cnumber" type="xsd:unsignedInt" />
            <xsd:element name="cname" type="xsd:string" />
            <xsd:element name="grade" type="xsd:string" />
          </xsd:sequence>
        </xsd:element>
      </xsd:sequence>
    </xsd:element>
  </xsd:sequence>
</xsd:element>
```

Figure 13.13 XML schema document with 'STUDENT' as the root

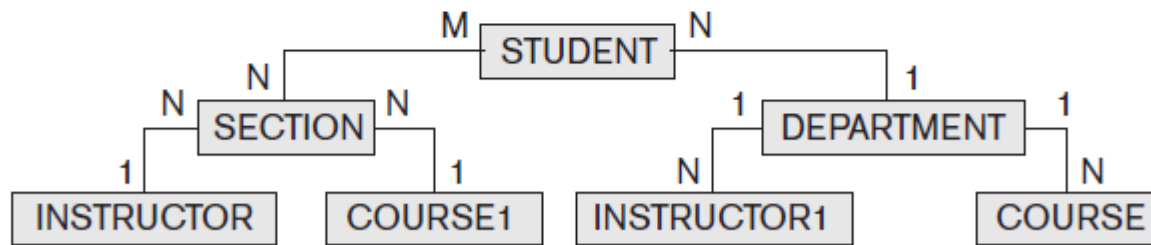
# Breaking Cycles to Convert Graphs into Trees



(a)



(b)



(c)

# Other Steps for Extracting XML Documents from Databases

- Create SQL query to extract desired information
- Execute the query
- Restructure from flat to tree structure
- Customize query to select single or multiple objects into the document

# 13.7 XML/SQL: SQL Functions for Creating XML Data

- **XMLELEMENT**

- Specifies tag (element) name that will appear in XML result

- **XMLFOREST**

- Specifies multiple element names

- **XMLAGG**

- Aggregate several elements

- **XMLROOT**

- Selected elements formatted as XML document with single root element

# XML/SQL: SQL Functions for Creating XML Data (cont'd.)

- XMLATTRIBUTES
  - Creates attributes for the elements of the XML result
- Example: create XML element containing the EMPLOYEE lastname for the employee with SSN 123456789

```
X1: SELECT      XMLELEMENT (NAME "lastname", E.LName)
      FROM
      WHERE      E.Ssn = "123456789";
```

- Result: <lastname>Smith</lastname>



# Employee Example (Query 2)

- To retrieve multiple columns for a single row:

```
X2: SELECT      XMLELEMENT (NAME "employee",
                  XMLFOREST (
                      E.Lname AS "ln",
                      E.Fname AS "fn",
                      E.Salary AS "sal" ) )
FROM            EMPLOYEE AS E
WHERE           E.Ssn = "123456789" ;
```

- Result:

```
<employee><ln>Smith</ln><fn>John</fn><sal>30000</sal></employee>
```

# Employee Example (Query 3)

- To create XML document with last name, first name, and salary of employees from Dept. 4:

```
X3: SELECT      XMLROOT (
                XMLELEMENT (NAME "dept4emps",
                XMLAGG (
                    XMLELEMENT (NAME "emp"
                    XMLFOREST (Lname, Fname, Salary)
                    ORDER BY Lname ) ) )
                FROM      EMPLOYEE
                WHERE      Dno = 4 ;
```

# Employee Example (Query 3 cont'd.)

## ■ Result:

```
<dept4emps>
<emp><Lname>Jabbar</Lname><Fname>Ahmad</Fname><Salary>25000
  </Salary></emp>
<emp><Lname>Wallace</Lname><Fname>Jennifer
  </Fname><Salary>43000</Salary></emp>
<emp><Lname>Zelaya</Lname><Fname>Alicia</Fname><Salary>25000
  </Salary></emp>
</dept4emps>
```

# 13.8 Summary

- Structured, semistructured, and unstructured data
- Hierarchical data model of XML standard
- Languages for specifying structure
  - XML DTD and XML schema
- Approaches for storing XML documents
- XPath and XQuery languages
- Mapping issues
- SQL/XML allows formatting query results as XML data