## Design and Analysis of Algorithms (UE17CS251)

**Unit I - Introduction** 

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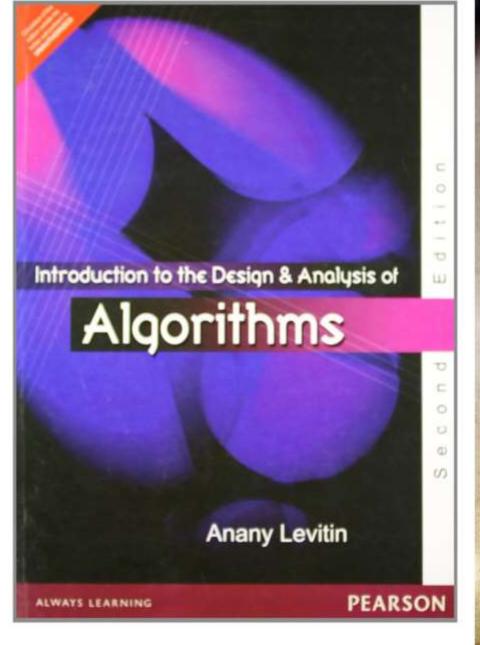


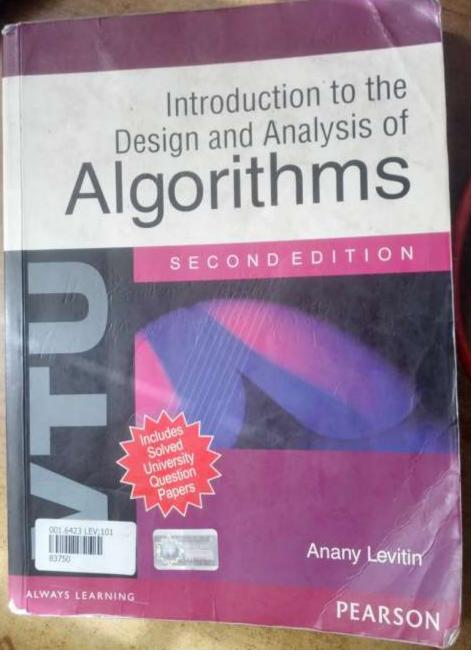
#### **Design and Analysis of Algorithms (UE17CS251)**

4-0-0-4 (4 Credits, 4 lecture hours per week)

ISA: 
$$40 = 15 (T1) + 15 (T2) + 10 (Assignments).$$

ESA: 60 = 20 (Mini-project) + 40 (Scaled from 100 marks theory paper)





#### Syllabus:

UNIT I (08 Hours)

Introduction, Analysis of Algorithm Efficiency

UNIT II (12 Hours)

Brute Force, Divide-and-Conquer

UNIT III (11 Hours)

Decrease-and-Conquer, Transform-and-Conquer

UNIT IV (09 Hours)

Space and Time Tradeoffs, Dynamic Programming

• UNIT V (12 Hours)

Greedy Technique, Limitations of Algorithm Power, Coping with the Limitations of Algorithm Power



Donald Knuth b. Jan 10, 1938

Computer Scientist @Stanford University

Authored: The Art of Computer Programming

Vol 1-4 Published Vol 5 in the making Vol 6-7 Planned



#### **Donald Knuth** said...

- A person well trained in CS knows how to deal with algorithms; how to construct them, manipulate them, understand them and analyze them. This knowledge is much more than writing good computer programs; it's a general-purpose mental tool..
- It has often been said that a person doesn't really understand something until after teaching it to someone else. Actually, a person doesn't really understand something until after teaching it to a computer i.e., expressing it as an algorithm..
- An attempt to formalize things as algorithms leads to a much deeper understanding..

#### Why do you need to study algorithms?

- 1. It's a mandatory course :-(
- 2. It (Algorithmics) is core to Computer Science.
- 3. Computer programs wouldn't exist without algorithms.
- 4. To design new algorithms and analyze their efficiency.
- 5. To familiarize with a standard set of important algorithms in CS.
- 6. To enhance your analytical skills. Algorithms can be seen as special kinds of solutions - not closed form answers, but a precisely defined procedures for getting answers.
- 7. Job interviews of theoretical or applied CS.

#### Algorithms all over the place...

- Operating Systems: Job Sequencing, Process
   Scheduling, Deadlock Avoidance, Heap Allocation,
   Page Replacement, Disk Scheduling...
- Computer Networks: Congestion Control, Huffman Coding, Encryption/Decryption, Data Encoding, Data Compression,...
- **DBMS:** B Trees, B+ Trees, Concurrency Control, Normalization, ...
- **Compilers:** Parser Algorithms, Code Generation Algorithms, Symbol Table Related Algorithms, ...
- Web: Page Ranking Algorithm, XML Parsers, ...
- Mobile: Routing Algorithms, Address Book, ...
- **Image Processing:** Edge Detection, Contrast Enhancement, Image Smoothing, ...

- Computer Graphics: Line Clipping, Shading,
   Polygon Clipping, Morphing, Animation, ...
- **System Modeling and Simulation:** Pseudo-Random Number Generators, Discrete Event Simulation Algorithms, ...
- Numerical Algorithms: Root Finding, ODE & PDE,
   Eigen Values & Eigen Vectors, Integration,...
- Text Processing Algorithms: Searching, Sorting,
   Regular Expression Matching, Binary Search Trees,...
- Operations Research: Linear Programming, Integer Programming, Scheduling, Assignment, Inventory Control,...
- Game Theory: Cooperative Games, Competitive Games, Mechanism Design, ...

Let's begin..

#### What is an algorithm?

Definition: ...

Hint: What are the common things out of a bunch of algorithms you are familiar with?

algorithm

Word used by programmers when they do not want to explain what they did.

GeekHumor



A stamp issued September 6, 1983 in the Soviet Union, commemorating **al-Khwārizmī**'s (approximate) 1200th birthday.

( CE 780 - CE 850)

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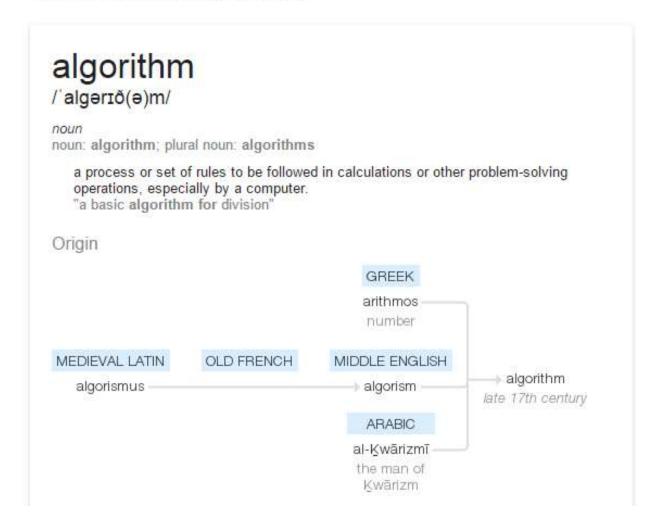
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Textbook definition of an **algorithm**:

"An algorithm is a sequence of unambiguous instructions for solving a problem i.e., for obtaining a required output for any legitimate input in a finite amount of time."

- → Instructions (a computer can understand)
- → Sequence of instructions
- → Unambiguous instructions
- → Solving a problem
  - ◆ legitimate input
  - required output
  - finite amount of time

Algorithm for computing **GCD** (**m**, **n**) 1....

Eg: GCD(60, 24) 12 is the GCD(60, 24).

#### Algorithm for computing GCD (m, n)

- 1. Assign the value of min {m, n} to t.
- 2. If t divides both m and n, return the value of t as the answer and stop.
- 3. Decrease the value of t by 1. Go to Step 2.

```
Eg: GCD (60, 24)

m = 60, n = 24, t = 24.

24 (doesn't divide m), 23, 22, ..., 12.

12 divides both 60 and 24.

Hence, 12 is the GCD(60, 24).
```

Does it work for all the legitimate input?

#### Algorithm for computing GCD (m, n)

- 1. Assign the value of min {m, n} to t.
- 2. If the value of t is zero, return max{m, n} as the answer and stop.
- 3. If t divides both m and n, return the value of t as the answer and stop.
- 4. Decrease the value of t by 1. Go to Step 3.

```
Eg: GCD (55, 0)

m = 55, n = 0, t = 0.

Max{55, 0} is 55.

Hence, 55 is the GCD(55, 0).
```

#### Pseudocode of the algorithm: (Flowcharts?)

#### Algorithm GCD (m,n)

Euclid of Alexandria (around 300 BC)

Euclid's Algorithm uses gcd(m,n) = gcd(n, m mod n) and gcd(m, 0) = m

```
E.g.: gcd(60, 24)
= gcd(24, 12)
= gcd(12, 0) = 12
```

E.g.: 
$$gcd(252, 105)$$
  
=  $gcd(105, 42)$   
=  $gcd(42, 21)$   
=  $gcd(21, 0) = 21$ 



### Pseudocode of the Euclid's algorithm: (recursive)

```
Algorithm GCD_Euclid_Recursive(m,n)
//Computes gcd(m,n) by Euclid's algorithm.
//Input: Two nonnegative, not-both-zero integers m, n.
//Output: GCD of m and n.
if (n = 0)
    return m
return GCD Euclid Recursive(n, m mod n)
```

#### Pseudocode of the Euclid's algorithm:

```
Algorithm GCD Euclid Iterative (m,n)
//Computes gcd(m,n) by Euclid's algorithm.
//Input: Two nonnegative, not-both-zero integers m, n.
//Output: GCD of m and n.
while (n \neq 0)
   r \leftarrow m \mod n
      m \leftarrow n
      n \leftarrow r
endwhile
return m
```

#### Finding gcd(m, n) by basic principles.

E.g.: gcd(60, 24)Prime factorization of 60: 2\*2\*3\*5Prime factorization of 24: 2\*2\*2\*3gcd(60, 24) = 2\*2\*3 = 12

E.g.: gcd(252, 105)Prime factorization of 252: 2\*2\*3\*3\*7Prime factorization of 105: 3\*5\*7gcd(60, 24) = <math>3\*7 = 21

E.g.: gcd(3885, 1736) = ?

# Algorithm GCD\_byPrimeFactors (m,n) //Input: Two nonnegative, not-both-zero integers m, n. //Output: GCD of m and n. if (min{m, n} = 0) return max{m, n} if (min{m, n} = 1) return 1 M ← prime factors of m N ← prime factors of n T ← Common factors in M and N p ← product of the factors in T

Does this qualify as an algorithm?

return p

Are the steps/instructions sufficiently simple and basic?

#### Algorithm GCD byPrimeFactors(m,n) //Input: Two nonnegative, not-both-zero integers m, n. //Output: GCD of m and n. if $(min\{m, n\} = 0)$ return $max\{m, n\}$ M ← prime factors of m N ← prime factors of n $T \leftarrow Common factors in M and N$ $k \leftarrow number of factors in T$ **p** ← 1 for i = 0 to k-1 $p = p * T_i$ endfor return p

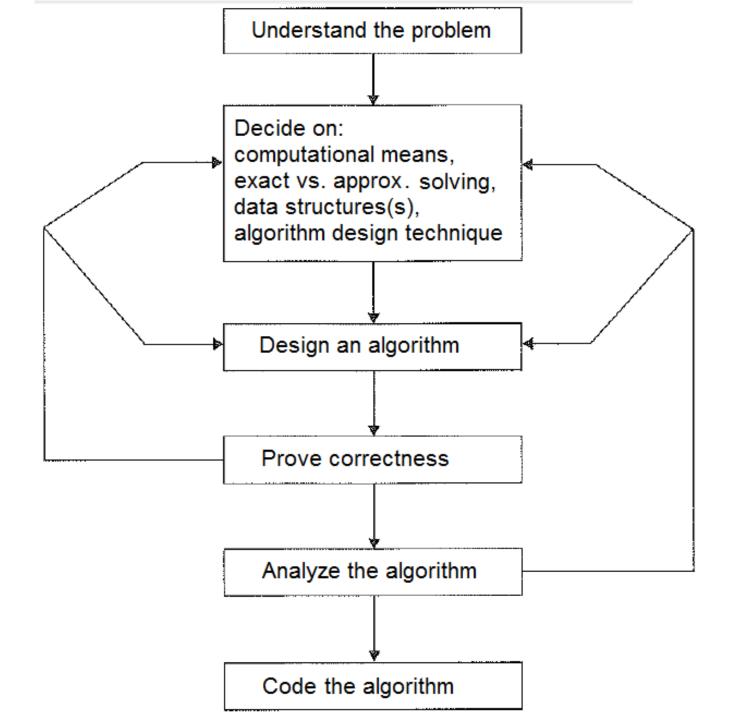
It's an algorithm only if every instruction is implementable by the computer.

```
Algorithm GCD byPrimeFactors2(m,n)
//Input: Two nonnegative, not-both-zero integers m, n.
//Output: GCD of m and n.
if(m < n) swap(m,n)
if(n = 0) return m
gcd ← 1, prime ← 2
while (prime \leq n)
   if (prime divides m and prime divides n)
      gcd ← gcd * prime
     m - m / prime
     n \leftarrow n / prime
   else
     prime \( \text{prime} \) next prime (prime)
return gcd
```

```
Algorithm GCD byPrimeFactors3(m,n)
//Input: Two nonnegative, not-both-zero integers m, n.
//Output: GCD of m and n.
if(m < n) swap(m,n)
if(n = 0) return m
gcd ← 1, factor ← 2
while (factor \leq n)
  if (factor divides m and factor divides n)
     gcd ← gcd * factor
     m 

m / factor
     n 

n factor
  else
     factor ← factor + 1
return gcd
```



- Algorithms are procedural solutions to problems.
- An input to an algorithm specifies an instance of the problem the algorithm solves.
- Boundary conditions
- Sequential vs Parallel algos.
- Exact vs Approximation algos.
- Data Structures + Algorithms = Programs
- Correctness: Not just for most the time, a correct algorithm is the one that works for all legitimate inputs.
- Time vs Space efficiency. Simplicity vs Generality.
- Coding the algorithm and tuning for the target platform.

#### The Course could be organized by:

- Design Techniques (Brute-Force, Dynamic Programming, Divide-and-Conquer, Greedy, etc.)
- Problem Types (Searching, Sorting, Graphs, etc.)

#### **Important Problem Types:**

- 1. Searching
- 2. String processing
- 3. Sorting
- 4. Graph problems
- 5. Counting problems
- 6. Geometric problems
- 7. Numerical problems

#### Searching:

- Finding a search key in a given set.
- There's a lot in between Sequential/Linear
   Search and Binary Search.
- And, with some kind of preprocessing, it can be done better than binary search.
- Obviously, no single searching algorithm can fit in all situations.
- Searching vs Insertion/Deletion of items.

```
Algorithm SequentialSearch(A[0..n-1], K)
//Searches for a key in an array using sequential search.
//Input: An array A[0..n-1] and a search key K.
//Output: The index of the first element of A that matches K
            or -1 if there are no matching elements.
i ← 0
while (i < n) do
   if (A[i] = K)
     return i
   i ← i + 1
endwhile
                   i ← 0
return -1
                   while (i < n) and (A[i] \neq K) do
                      i \leftarrow i + 1
                   endwhile
                   if (i < n) return i
                   return -1
```

#### Algorithm SequentialSearch2(A[0..n-1], K)

//Searches for a key in an array using sequential search.
//Input: An array A[0..n-1] and a search key K.
//Output: The index of the **first** element of A that matches K
// or -1 if there are no matching elements.

i ← 0
A[n] ← K
while (A[i] ≠ K) do
 i ← i + 1
endwhile
if (i < n) return i
return -1</pre>

 $t \leftarrow A[n-1]$  $A[n-1] \leftarrow K$ i ← 0 while  $(A[i] \neq K)$  do i ← i + 1 endwhile  $A[n-1] \leftarrow t$ if (i < n-1) return i if(t = K) return n-1return -1

#### **String Processing:**

- Handling non-numerical data.
- A string is a sequence characters from an alphabet. Text strings are a kind of strings.
- String matching is a searching problem.

#### **String Matching:**

In an **n**-character **text**, search for the first occurrence of an **m**-character **pattern**. That is, in a text of length **n**, find the first substring that matches with the pattern of length **m**.

Find i, the index of the leftmost character of the first matching substring in the text such that

$$t_0 \dots t_i \dots t_{i+j} \dots t_{i+m-1} \dots t_{n-1}$$
 $\uparrow \qquad \uparrow \qquad \downarrow$ 
 $p_0 \dots p_j \dots p_{m-1}$  pattern  $P$ 

$$t_i = p_0, \ldots, t_{i+j} = p_j, \ldots, t_{i+m-1} = p_{m-1}$$

#### **Naïve String Matching:**

There are **n-m+1** substrings of length **m** in a text of length **n**. Search for the first one that matches the pattern.

```
Algorithm NaiveStringMatch(T[0..n-1],P[0..m-1])
//Implements a naive string matching.
//Input: An array T[0..n-1] of n chars representing a text
// and an array P[0..m-1] of m chars representing a pattern.
//Output: The index of the first character in the text
// that starts a matching substring
// or -1 if the search is unsuccessful.
for i \leftarrow 0 to n-m
   i ← 0
   while (j < m) and (P[j] = T[i+j]) do
      j ← j + 1
   endwhile
   if (j = m) return i
return -1
```

#### **Sorting:**

- Rearrange the list of items in some order.
- There must be a total order between the items.
  - Total order is a special case of partial order.
- Compare on "key" if the item has multiple fields.
- Stable sorting algorithm preserves the relative order of any two equal elements in its input.
- In-place requires no more than constant amount of extra space.

## Write an algorithm to check if the array is sorted.

```
boolean isSorted( A[0..n-1] )
//Checks if the array A is sorted.
//Input: An array A of orderable elements by ≤.
//Output: Return TRUE if array is sorted.
// FALSE otherwise.
```

## Write an algorithm to check if the array is sorted.

```
boolean isSorted( A[0..n-1] )
//Checks if the array A is sorted.
//Input: An array A of orderable elements by \leq.
//Output: Return TRUE if array is sorted.
        FALSE otherwise.
for i \leftarrow 0 to n-2
     if(A[i] > A[i+1]) //not in order
          return FALSE
return TRUE
```

# Sort by fixing the problems while checking for sortedness.

```
SortByCheckingSortedness( A[0..n-1] )
//Sorts by Checking sortedness.
//Input: An array A of orderable elements by ≤.
//Output: Sorted array A.
for i ← 0 to n-2
    if(A[i] > A[i+1])
        Swap A[i] with A[i+1]
```

Does it sort?

# Sort by fixing the problems while checking for sortedness.

```
SortByCheckingSortedness2( A[0..n-1] )
//Sorts by Checking sortedness.
//Input: An array A of orderable elements by \leq.
//Output: Sorted array A.
while (TRUE)
  for i \leftarrow 0 to n-2
     if(A[i] > A[i+1])
           Swap A[i] with A[i+1]
  if(isSorted( A[0..n-1] ))
     return
```

Does it sort and that too in a finite amount of time?

# Sort by fixing the problems while checking for sortedness.

```
SortByCheckingSortedness3( A[0..n-1] )
//Sorts by Checking sortedness.
//Input: An array A of orderable elements by \leq.
//Output: Sorted array A.
for k \leftarrow 0 to n-2 //n-1 passes
  for i \leftarrow 0 to n-2 //n-1 consecutive pairs
     if(A[i] > A[i+1])
           Swap A[i] with A[i+1]
  if(isSorted( A[0..n-1] ))
     return
```

It should sort. Can it be improved?

$$A_0, \ldots, A_j \stackrel{?}{\leftrightarrow} A_{j+1}, \ldots, A_{n-i-1} \mid A_{n-i} \leq \cdots \leq A_{n-1}$$
 in their final positions

```
Algorithm BubbleSort(A[0..n-1])
//Sorts by Bubble Sort algorithm.
//Input: An array A of orderable elements by ≤.
//Output: Sorted array A.
for i \leftarrow 0 to n-2 //n-1 passes
   for j \leftarrow 0 to n-2-i //last i elements are sorted
      if(A[j] > A[j+1])
             Swap A[j] with A[j+1]
return
```

Can it still be improved?

```
Algorithm BubbleSort2 (A[0..n-1])
//Sorts by an improved Bubble Sort algorithm.
//Input: An array A of orderable elements by ≤.
//Output: Sorted array A.
for i \leftarrow 0 to n-2 //n-1 passes
   anySwaps ← FALSE
   for j \leftarrow 0 to n-2-i //last i elements are sorted
      if(A[j] > A[j+1])
            Swap A[j] with A[j+1]
            anySwaps ← TRUE
   if (anySwaps = FALSE)
      Break out of loop
```

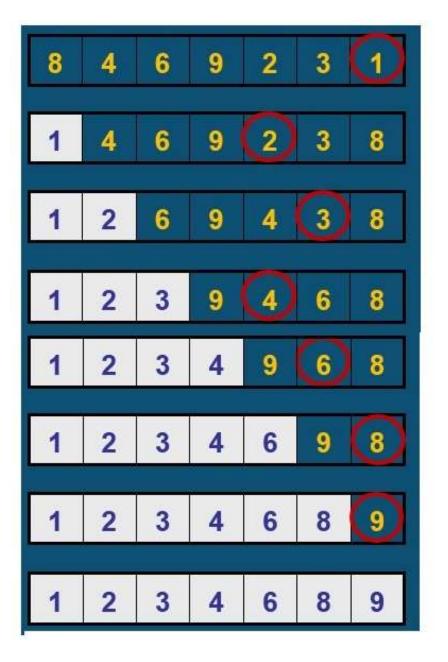
```
Algorithm BubbleSort Recursive (A[0..n-1])
//Sorts by an improved Bubble Sort algorithm.
//Input: An array A of orderable elements by ≤.
//Output: Sorted array A.
   anySwaps ← FALSE
   for i \leftarrow 0 to n-2
      if(A[i] > A[i+1])
            Swap A[i] with A[i+1]
            anySwaps ← TRUE
   if(anySwaps = TRUE)
      BubbleSort Recursive (A[0..n-2])
```

Yet another way of **sorting** by brute-force.

Ex.:3.21 Arrange the following numbers in the ascending order:					
243	284	<u>197</u>	314	547	197,243,284,314,547
814	749	119	864	999	119.749.1814.864.999
450	970	839	329	146	146,
105	109	218	174	80	

### **Selection Sort:**

Example: 8 4 6 9 2 3 1



### **Selection Sort:**

Find the smallest of the unsorted array and place it at the beginning of the unsorted array. Reduce the unsorted array by excluding the first one, which is already in its final position. Repeat sorting the unsorted array as long as there is only one element left in the unsorted array.

```
Algorithm SelectionSort_Recursive(A[0..n-1])
//Sorts a given array by Selection Sort.
//Input: An array A[0..n-1] of orderable elements.
//Output: Array A[0..n-1] sorted in ascending order.
if(n ≤ 1) return
min ← index of the smallest among A[0..n-1]
Swap A[0] with A[min]
SelectionSort_Recursive(A[1..n-1])
```

### **Selection Sort:**

Find the smallest of the unsorted array and place it at the beginning of the unsorted array. Reduce the unsorted array by excluding the first one, which is already in its final position.

```
Algorithm SelectionSort(A[0..n-1])
//Sorts a given array by Selection Sort.
//Input: An array A[0..n-1] of orderable elements.
//Output: Array A[0..n-1] sorted in ascending order.
for i ← 0 to n-2
   min ← index of the smallest among A[i..n-1]
   Swap A[i] with A[min]
```

$$A_0 \le A_1 \le \cdots \le A_{i-1} \mid A_i, \dots, A_{min}, \dots, A_{n-1}$$
 in their final positions the last  $n-i$  elements

```
Algorithm SelectionSort(A[0..n-1])
//Sorts a given array by Selection Sort.
//Input: An array A[0..n-1] of orderable elements.
//Output: Array A[0..n-1] sorted in ascending order.
for i ← 0 to n-2
    min ← i
    for j ← i+1 to n-1
        if(A[j] < A[min]) min ← j
        Swap A[i] with A[min]
return A</pre>
```

$$A_0 \le A_1 \le \cdots \le A_{i-1} \mid A_i, \dots, A_{min}, \dots, A_{n-1}$$
 in their final positions the last  $n-i$  elements

# **Graph Problems:**

Many problems can be **modelled as a graph** and solved using well-known **graph processing algorithms**.

- Graph traversal
- Shortest path
- Topological sorting
- Spanning trees
- Travelling salesperson problem (TSP)
- Graph-coloring
- Web graph

- 1. Bengaluru
- 2. New Delhi
- 3. Mumbai
- 4. Chennai
- 5. Kolkata
- 6. Kochi
- 7. Hyderabad
- 8. Bhopal
- 9. Udaipur
- 10.Raipur



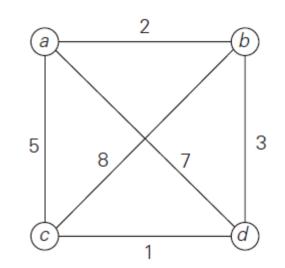
- 1. Given *n* cities and distances between each pair of cities, find the **shortest round trip** that visits all other cities (and returns to the origin city).
- 2. It's essentially finding the shortest *Hamiltonian circuit*.

```
Eg: Driving time between some 10 cities of India (Cost Matrix). 000000 110189 050573 020948 109480 034435 028433 074836 091767 068406 109006 000000 079663 118195 079397 143304 083593 045792 037923 068146 051516 080265 000000 070149 121881 083636 044745 043763 042416 067450 021557 119539 069838 000000 095820 042397 037471 084186 111032 077756 110053 081231 121373 095977 000000 134475 085826 087690 100264 054016 034488 144238 082769 041728 134042 000000 062482 108885 123963 102455 028473 084770 045153 037117 085732 062772 000000 049417 078006 042987 075056 046162 044536 084245 086579 109354 049641 000000 031151 038399 092933 037994 042414 111566 099497 125053 078960 031010 000000 068113 068718 068844 068336 077907 055357 103016 043305 038648 068634 000000
```

- 1. Bengaluru
- 2. New Delhi
- 3. Mumbai
- 4. Chennai
- 5. Kolkata
- 6. Kochi
- 7. Hyderabad
- 8. Bhopal
- 9. Udaipur
- 10.Raipur

Shortest round trip takes **454201** sec.





Tour

Length

$$a -> b -> c -> d -> a$$

$$I = 2 + 8 + 1 + 7 = 18$$

$$a -> b -> d -> c -> a$$

$$l = 2 + 3 + 1 + 5 = 11$$
 optimal

$$a -> c -> b -> d -> a$$

$$I = 5 + 8 + 3 + 7 = 23$$

$$a -> c -> d -> b -> a$$

$$l = 5 + 1 + 3 + 2 = 11$$
 optimal

$$a -> d -> b -> c -> a$$

$$1 = 7 + 3 + 8 + 5 = 23$$

$$a \longrightarrow d \longrightarrow c \longrightarrow b \longrightarrow a$$
  $l = 7 + 1 + 8 + 2 = 18$ 

$$I = 7 + 1 + 8 + 2 = 18$$

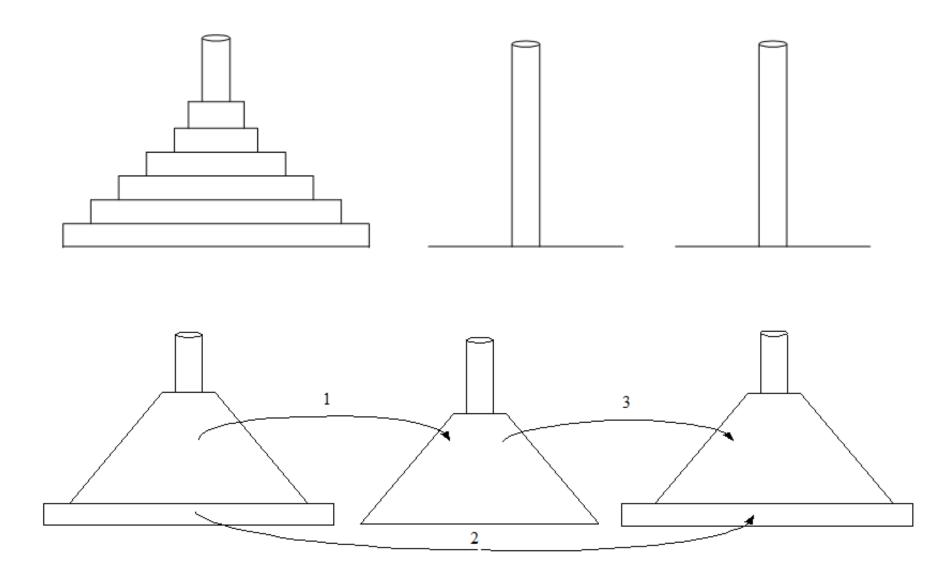
```
ALGORITHM Travelling Salesman Problem
//Input: nxn adjacency matrix A.
//Output: Cost of min-cost Hamiltonian circuit.
mincost 

INFINITY
for each permutation of n cities
  cost ← 0
  for each edge in the Hamiltonian circuit
    //formed by the permutation
    cost ← cost + (cost of the edge)
  endfor
  if (cost < mincost) mincost ← cost
endfor
return mincost
```

```
ALGORITHM Travelling Salesman Problem
//Input: n \times n adjacency matrix A. Assumed n > 1.
//Output: Cost of min-cost Hamiltonian circuit.
//getNextPermutation(P[]) returns true with next permn
//in lexicographic order if it exists, false otherwise.
mincost 

INFINITY
Perm[0..n-2] \leftarrow [1, 2, 3, ..., n-1] //1st permn.
do
  cost ← A[0, Perm[0]] //1st edge of the ckt
  cost ← cost + A[Perm[n-2], 0] //last edge
  for i \leftarrow 0 to n-3
    cost + A[Perm[i], Perm[i+1]]
  if (cost < mincost) mincost ← cost
while (getNextPermutation (Perm[0..n-2]))
return mincost
```

# Tower of Hanoi puzzle:



### Algorithm Hanoi(n, Src, Dest, Int)

//Move n disks from Src peg to Dst peg as per the Tower
of Hanoi puzzle.
//Input: n (nonnegative int) disks and three pegs.
//Output: Movement of disks between pegs in the order
// of solving the puzzle.
 if (n = 0) Return
 Hanoi(n-1, Src, Int, Dst)

Hanoi(n-1, Int, Dst, Src)

Move disk n from Src to Dst

Return

#### On a lighter note:

To move n disks (where n > 1) from the source peg to the destination peg, at least one extra peg is essential, and it takes  $2^n-1$  moves. Adding more extra pegs will make things interesting! Doesn't it? How many steps does it take when there are two extra pegs instead of just one? When there are n-1 extra pegs, we need just (n-1)+1+(n-1)=2n-1 steps. Can we conclude that even though adding more extra pegs makes things interesting, adding more than n-1 extra pegs will not make things any more interesting!

</ Introduction to Algorithms >