# Convolutional Neural Networks

### **Traditional approach**



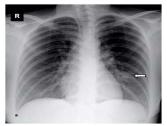
Extract features, feed to the neural network

Opacity Patterns

Lesion Localization

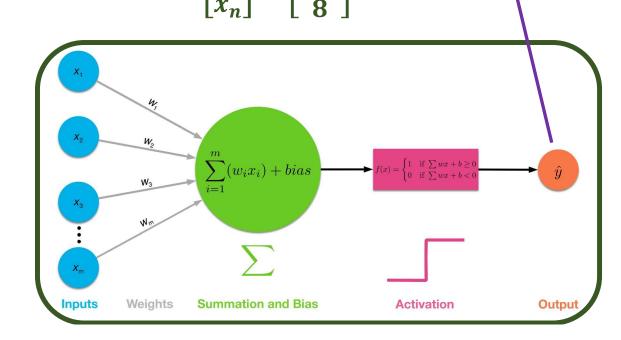
•

Pleural Effusion



Wouldn't it be nice if we could feed the image directly?

... and let the "learning process" figure out which features to extract?

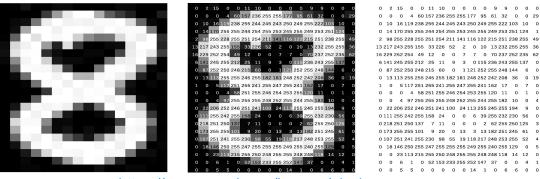


0 – Healthy

Corona

### What is an Image?

Data in the form of matrix (Rows and Columns) consisting of Pixels



https://mozanunal.com/images/pixel.png



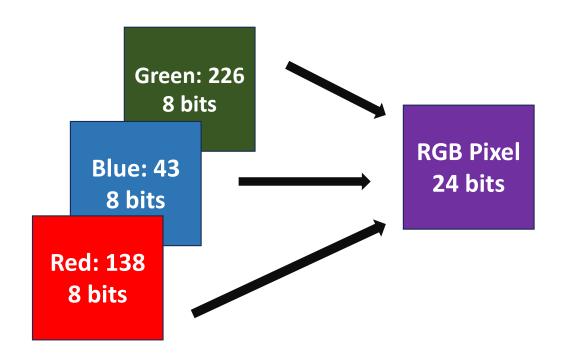


Color Image

Grayscale Image <a href="https://www.researchgate.net/profile/Sanskruti-Patel-2">https://www.researchgate.net/profile/Sanskruti-Patel-2</a>

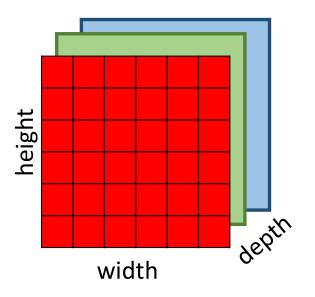
Binary Image

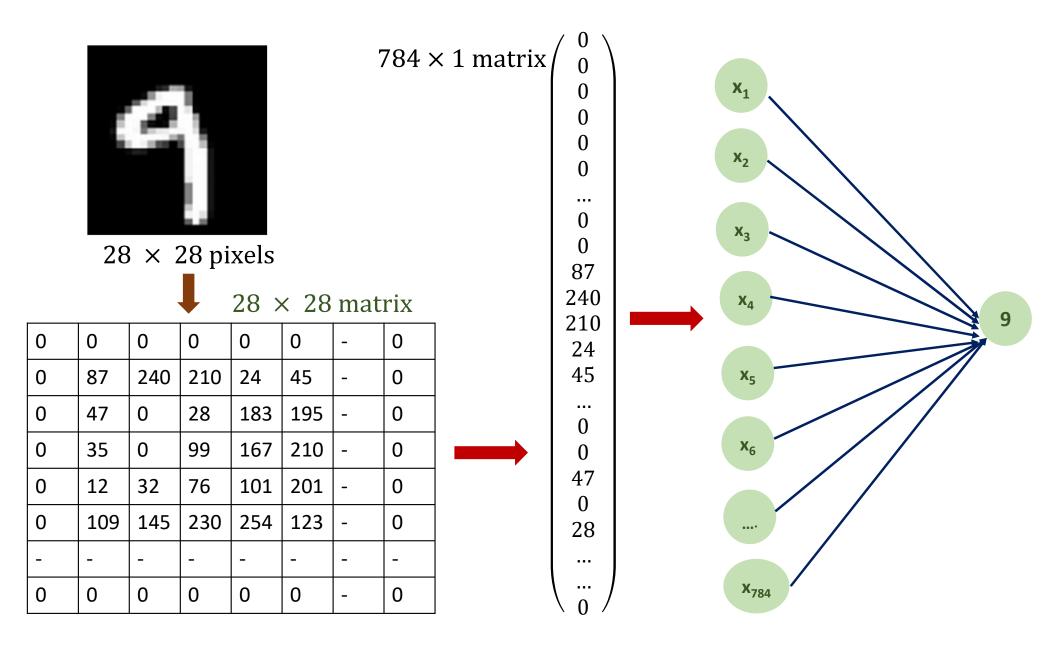
# Color Image



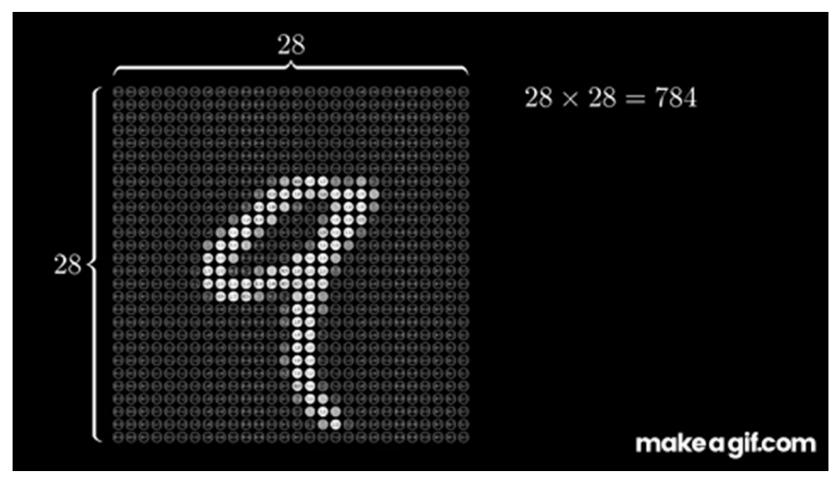
Width  $\times$  Height  $\times$  Depth

Depth: [Red, Green, Blue]





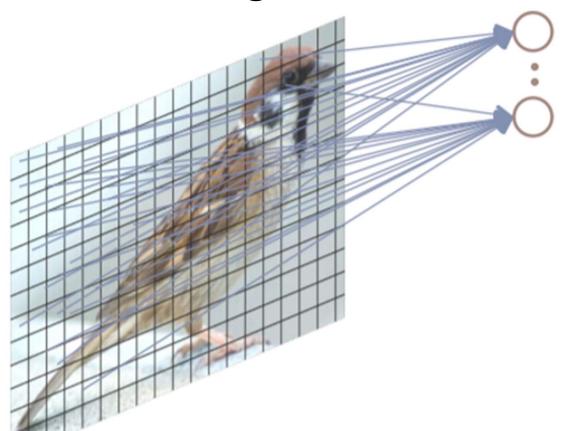
### Flatten image, Feed to NN



https://www.youtube.com/watch?v=aircAruvnKk

### For colour images?

200×200×3



Input Layer: 120000

# Hidden Units: 100000

# Params to train: 12 billion

Need huge training data to prevent overfitting

 Will not perform well, even if the image is shifted by one pixel

No correlation exists among pixels

Do we really need full connectivity?

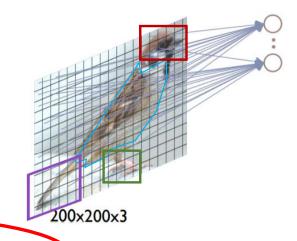
#### Solutions?

- Huge number of parameters to train
- Need huge training data to prevent overfitting
- Will not perform well, even if the image is shifted by one pixel
- No correlation exists among pixels

- Reduce the number of parameters, # of input nodes
- Tolerate small shifts in where the pixels are in the image
- Take advantage of the correlations that we observe in complex images

#### Hierarchical Combination

- Images are 2D.
- Assumption: Object image = combination of 2D image patterns



hierarchical combination of 2D image patterns

#### Goal:

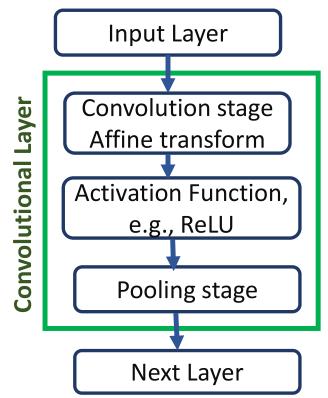
- 1. Determine 2D image patterns [2D image patterns are smaller than image]
- 2. Map small image patterns → Large image patterns
- 3. Map larger image patterns  $\rightarrow$  Target

Determining 2D image patterns Loopy circle pattern Vertical Line Diagonal Line

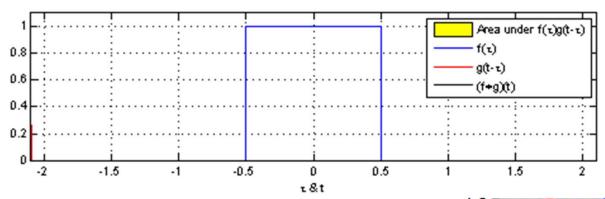
# Convolutional Neural Networks (CNN)

- ✓ CNN are simply neural networks that use convolution in place of general matrix multiplication in at least one of their layers
- Convolution is a mathematical operation on two functions (f and g), that produces a third function (f \* g) that expresses how the shape of one is modified by the other

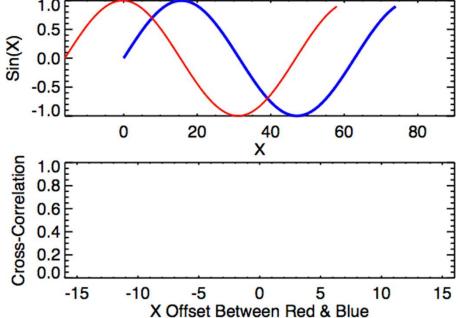
$$(f * g)(t) = \int_{-\infty}^{\infty} f(\tau) g(t - \tau) d\tau$$



### Convolution



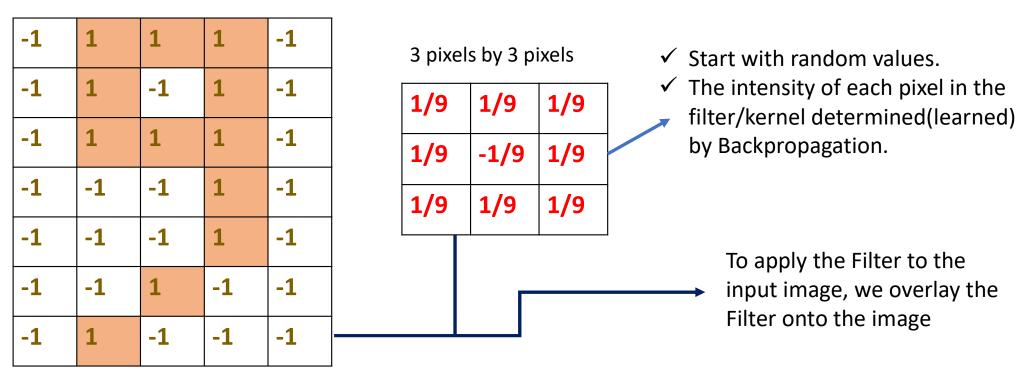
 Cross Correlation: It is a measure of similarity of two series as a function of the displacement of one relative to another.



### Convolutional Stage

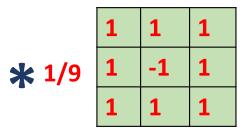
Step 1: Apply a **Filter** to the input Image

A filter is just a smaller square that is commonly N<sub>odd</sub> pixels by N<sub>odd</sub> pixels



### Convolutions

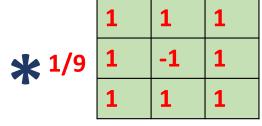
-1	1	1	1	1	1	1	-1
-1	1	1	-1	-1	1	1	-1
-1	1	1	1	1	1	1	-1
-1		-1		-1		1	-1
-1		-1		-1		1	-1
-1		-1		1		-1	-1
-1		1		-1		-1	-1



$$(-1*1+1*1+1*1+(-1)*1+1*(-1)+(-1)*1+(-1)*1+1*1+1*1)/9=-1/9=-0.11$$

### Convolutions

-1	1	1	1	1	1	1	-1
-1	1	1	-1	-1	1	1	-1
-1	1	1	1	1	1	1	-1
-1	-1		-1		1		-1
-1	-1		-1		1		-1
-1	-1		1		-1		-1
-1	1		-1		-1		-1



-0.11	1	

-1	1	1	1	-1
-1	1	-1	1	-1
-1	1	1	1	-1
-1	-1	-1	1	-1
-1	-1	-1	1	-1
-1	-1	1	-1	-1
-1	1	-1	-1	-1



-0.11	1	-0.11
-0.55	0.11	-0.33
-0.33	0.33	-0.33
-0.22	-0.11	-0.22
-0.33	-0.33	-0.33

#### Feature Map

- ✓ Filters are nothing but the feature detectors. A 2-D map is created where certain features appear in the input.
- ✓ Filters are location invariant. They can detect the loopy patterns in any location of the image.

### **Filters**

Different values of the filter matrix will produce different Feature Maps for the same input image — Multiple filters, multiple 'feature maps'



The Convolution operation captures the local dependencies in the original image

### Padding: Valid and Same

 The size of the output is smaller than the input. To maintain the dimension of output as in input, we use padding. Padding is a process of adding zeros to the input matrix symmetrically

0	0	0	0	0	0	0
0	-1	1	1	1	-1	0
0	-1	1	-1	1	-1	0
0	-1	1	1	1	-1	0
0	-1	-1	-1	1	-1	0
0	-1	-1	-1	1	-1	0
0	-1	-1	1	-1	-1	0
0	-1	1	-1	-1	-1	0
0	0	0	0	0	0	0

,	1/9	1/9	1/9
K	1/9	-1/9	1/9
,	1/9	1/9	1/9

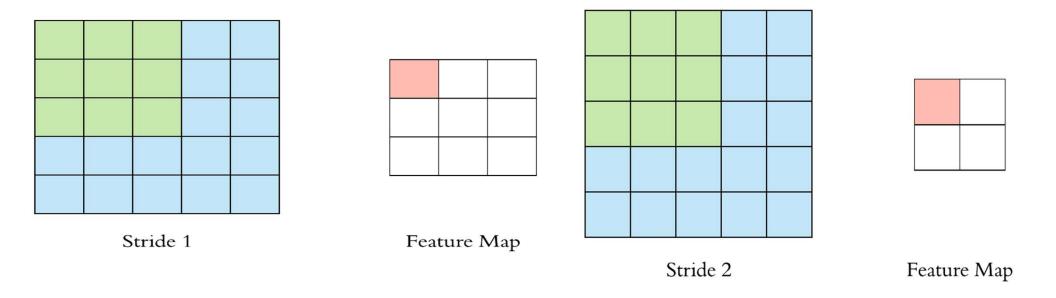
0.22	-0.22	0.22	-0.22	0.22
0.22	-0.11	1	-0.11	0.22
0	-0.55	0.11	-0.33	0.22
-0.22	-0.33	0.33	-0.33	0
-0.44	-0.22	-0.11	-0.22	-0.22
-0.22	-0.33	-0.33	-0.33	-0.22
0	0	-0.22	0	-0.22

Valid: no padding

Same: Pad so that the output size is same as the input size

### Stride

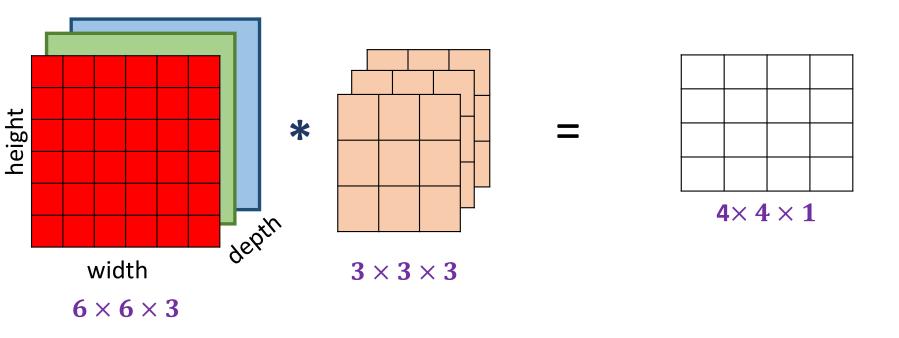
Stride denotes how many steps we are moving in each step during convolution.



#### Solutions Obtained

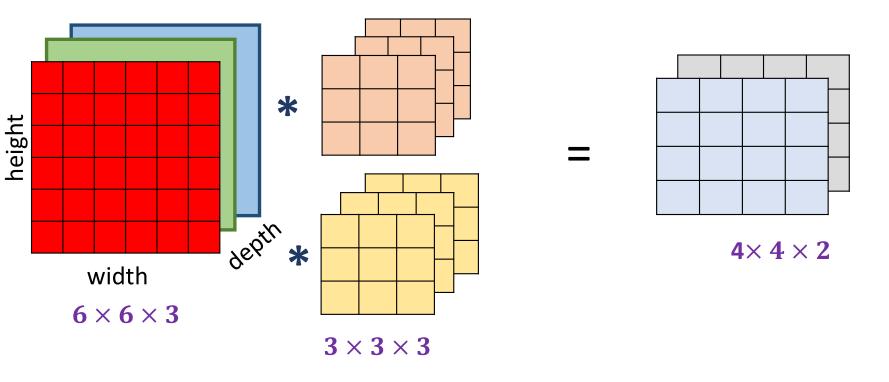
- In feed forward neural network, every output unit interacts with every input unit.
- CNN, typically have sparse connectivity (sparse weights). Each member of the kernel is used at every position of the input.
- This is accomplished by making the kernel smaller than the input.
- Reduce the number of parameters, where instead of learning a separate set of parameters for every location, we learn only one set – Parameter Sharing.
- Hyperparameters: Filter size, and number of filters.

## Convolutions on RGB images



If you have 10 filters that are  $3 \times 3 \times 3$  in one layer of a neural network, how many parameters does that layer have?

# Convolutions on RGB images



### Summary of convolutions

$$egin{aligned} f^l &= & ext{filter size} & s^l &= & ext{stride} \ p^l &= & ext{padding} & n_c^{[l]} &= & ext{number of filters} \end{aligned}$$

■ Input:  $n_{H}^{[l-1]} \times n_{W}^{[l-1]} \times n_{c}^{[l-1]} : 28 \times 28 \times 3$ 

■ Each filter is:  $f^{[l]} imes f^{[l]} imes n_c^{[l-1]} : 5 imes 5 imes 3$ 

• Weights:  $f^{[l]} imes f^{[l]} imes n_c^{[l-1]} imes n_c^{[l]}$ : 5 imes 5 imes 3 imes 10

lacktriangledown Output Size:  $m{n}_H^{[l]} imes m{n}_w^{[l]} imes m{n}_c^{[l]}$ 

 $: 24 \times 24 \times 10$  [with valid padding]

 $: 28 \times 28 \times 10$  [with same padding]

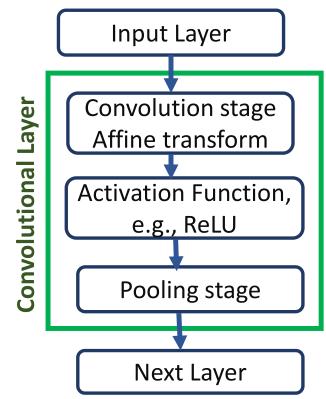
Layer l is a convolution layer

$$n_{H/w}^{[l]} = \left[\frac{n_{H/w}^{[l-1]} + 2p^l - f^l}{s} + 1\right]$$

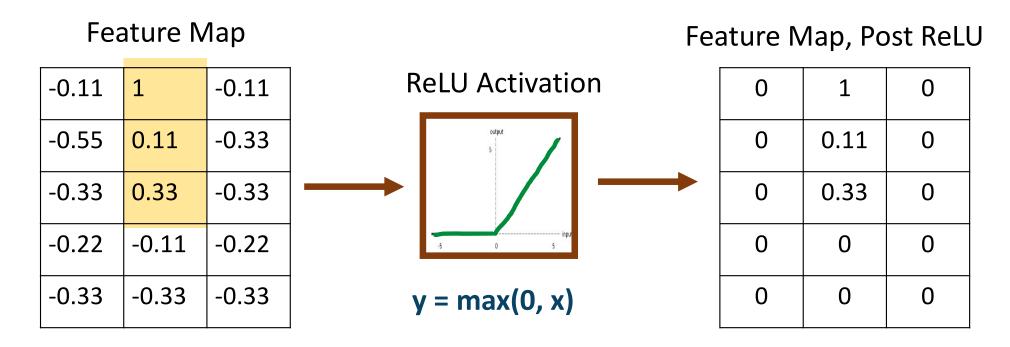
$$= \left[\frac{28 + 2 \times 0 - 5}{1} + 1\right] = 24$$

## Convolutional Neural Networks (CNN)

- ☐ First Stage: Convolution
- The layer performs several convolutions in parallel to produce a set of pre-activations
- ☐ Second Stage: Non-linearity
- Each pre-activation is run through a nonlinear activation function
- ☐ Third Stage: Pooling
- Retaining the statistics of the data



#### **Activation Function**



### Pooling

- Pooling is used to reduce the spatial volume of input image after convolution
- Motivation: We care about presence of features, not their exact location!
  - Dimensionality Reduction
  - Prevents overfitting

0	1	0
0	0.11	0
0	0.33	0
0	0	0
0	0	0

Wax booling	
Max	

A <sub>verage</sub> Pooling	
·''Ig	

1	1
0.33	0.33
0.33	0.33
0	0

0.28	0.28
0.22	0.22
0.16	0.16
0	0

## Summary of Pooling

#### Hyperparameters:

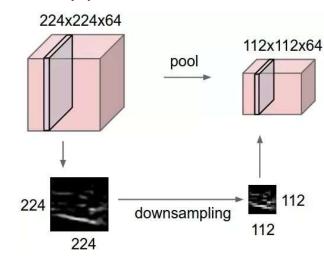
Note: Pooling does not learn any parameters

 $\checkmark f$ : filter size

 $\checkmark$  s: stride

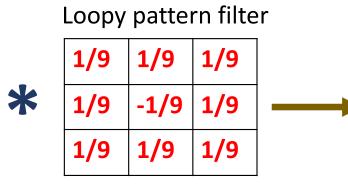
✓ Max or Average pooling

$$n_H \times n_w \times n_c \xrightarrow{\text{Pooling}} \left[ \frac{n_H - f}{s} + 1 \right] \times \left[ \frac{n_W - f}{s} + 1 \right] \times n_c$$



# Summary

-1	1	1	1	-1
-1	1	-1	1	-1
-1	1	1	1	-1
-1	-1	-1	1	-1
-1	-1	-1	1	-1
-1	-1	1	-1	-1
-1	1	-1	-1	-1



-0.11	1	-0.11
-0.55	0.11	-0.33
-0.33	0.33	-0.33
-0.22	-0.11	-0.22
-0.33	-0.33	-0.33

1	1
0.33	0.33
0.33	0.33
0	0

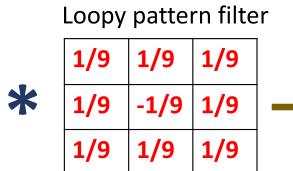
Max 0 0.
Pooling 0 0.
0 0.

0	1	0
0	0.11	0
0	0.33	0
0	0	0
0	0	0

ReLU Activation

# Summary

1	1	1	-1	-1
1	-1	1	-1	-1
1	1	1	-1	-1
-1	-1	1	-1	-1
-1	-1	1	-1	-1
-1	1	-1	-1	-1
1	-1	-1	-1	-1



1	-0.11	-0.11
0.11	-0.33	0.33
0.33	-0.33	-0.33
-0.11	-0.55	-0.33
-0.55	-0.33	-0.55

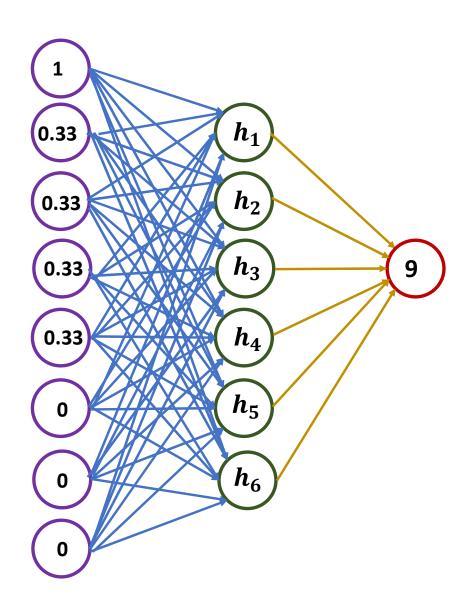
1	0.33
0.33	0.33
0.33	0
0	0

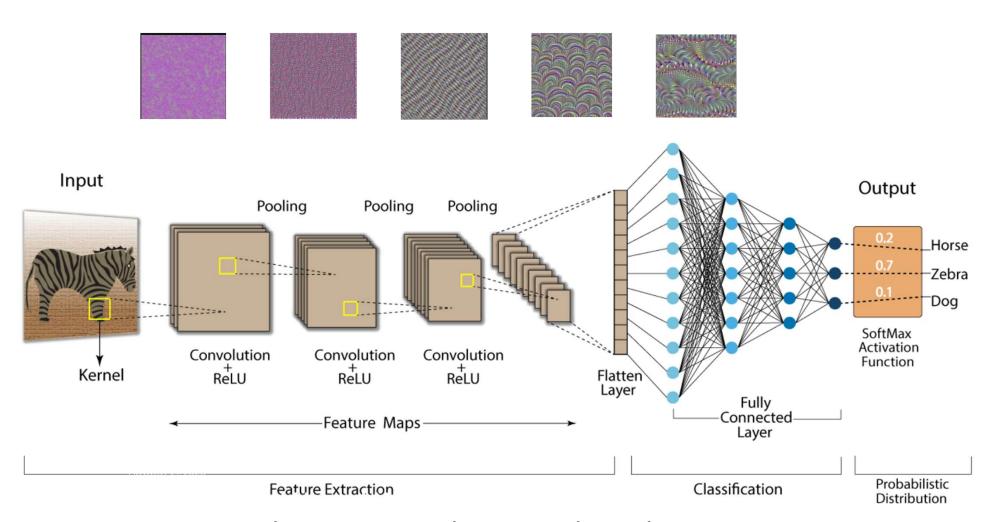
	1	0	0
Max Na alima	0.11	0	0.33
Pooling	0.33	0	0
	0	0	0
	0	0	0

ReLU Activation

### Classification

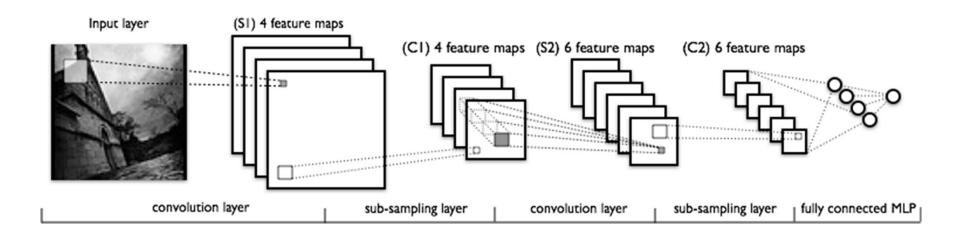
1	0.33	
0.33	0.33	
0.33	0	
0	0	





**Convolution Neural Network Architecture** 

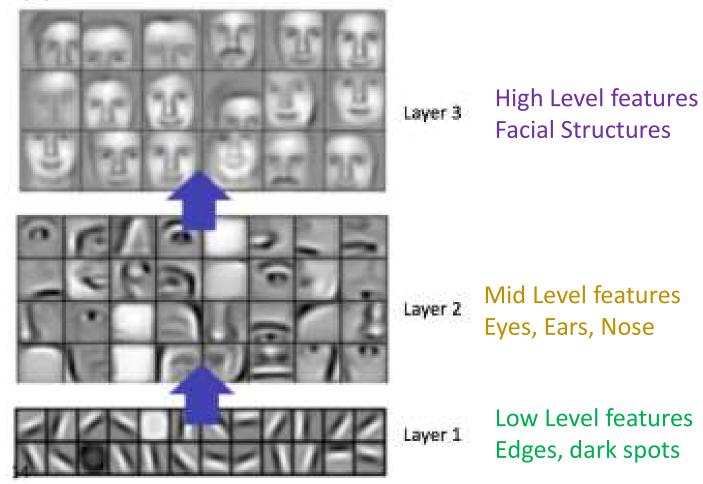
# Convolutional Neural Networks (CNN)



- Learn features in input image through convolution
- Introduce non-linearity through activation function (real-world data is non-linear!)
- Reduce dimensionality and preserve spatial invariance with pooling
- Fully connected layer uses these features for classifying input image

#### Learned Features

Learn hierarchy of features directly from data (rather than handengineering them)



http://web.eecs.umich.edu/~honglak/icml09-ConvolutionalDeepBeliefNetworks.pdf

### Summary: Layer Patterns

```
INPUT \rightarrow [[CONV -> RELU]*N \rightarrow POOL?]*M \rightarrow [FC \rightarrow RELU]*K \rightarrow CLASSIFIER ? -> Optional
```

#### **Common ConvNet Architectures:**

- INPUT → FC → CLASSIFIER
- INPUT → CONV → RELU → FC → CLASSIFIER
- INPUT → [CONV → RELU → POOL]\*2 → FC → RELU → CLASSIFIER
- INPUT → [CONV → RELU → CONV → RELU → POOL]\*3 → [FC → RELU]\*2 → CLASSIFIER