

Database Management System

Drawbacks of File Systems

- ① Data Redundancy and Inconsistency
- ② Difficulty to access data
- ③ Data isolation
- ④ Security
- ⑤ Integrity
- ⑥ Atomicity of update
- ⑦ Concurrent Access by multiple users

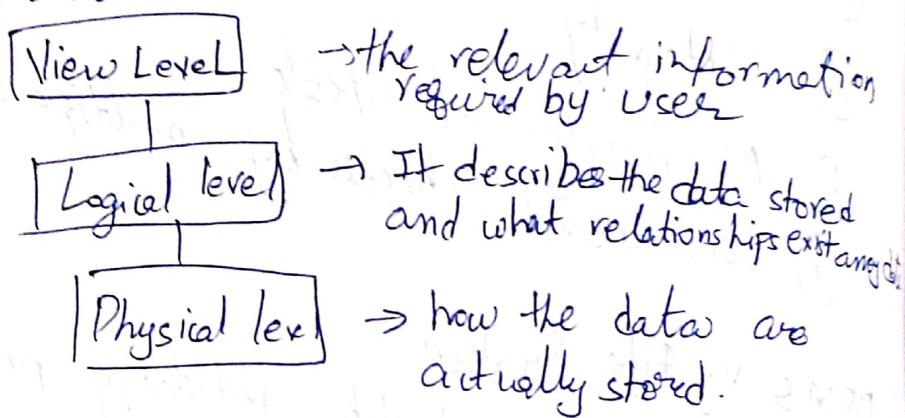
DBMS vs File System

- 1. For DBMS minimal data redundancy problem exists
In file system data redundancy problem exists
- 2. Data inconsistency does not exist
File system data inconsistency exists

DBMS	File System
3. Accessing data base is easier	3. Accessing is comparatively difficult
4. The problem of data isolation is not found in data base	4. Data is scattered in various files and files may be of different format. So data isolation exists
5. Transactions like insert, delete, view, update are all possible	5. Transactions like these are not possible.

6. Concurrent access & recovery is possible in DB
7. Security of data
8. A DBMS administrator stores the relationship in form of structural tables
6. No Concurrent access & recovery
7. Security of data is not good.
8. A file manager stores all relationships in directories

Level of abstraction :-



Database Schema :-

Skeleton structure that represents the logical view of entire database

Data independence :-

Capacity to change information in one level of a database system without having to change the schema at the next highest level.

(1) Physical data independence

(2) Logical data independence

Instance:-

The actual content of data in database is called an database Instance.

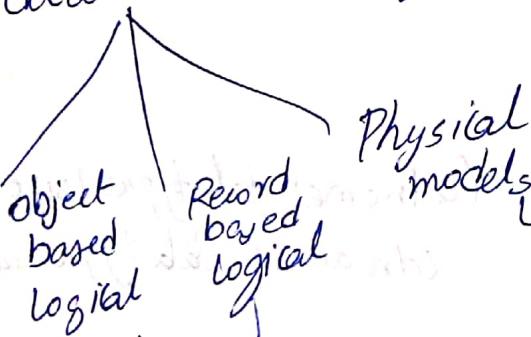
How the data is stored

<u>Physical level</u>	Name	string	length	25 offset
USN	integer	9 decimal digits	offset 25	

logical level

Name	string	primary key
USN	integer	
External level		
Name	string	
USN	integer	

Data Model underlying the structure of DB



Physical models
→ used to describe data at the physical level.

Logical models
→ used to describe data at the logical level.

Entity Relationship model.
object Oriented model.

Record based model

Relational data

Hierarchical data
(Trees structure)

Network data model.
(Graphs)

Database Languages

Database Languages

- DB provides DDL [Data Definition Language] - to define the database schema
- DML [Data Manipulation Language] - to manipulate database queues & update
- TCL [Transaction control Language]
- DCL [Data Control Language]

DDL commands

CREATE
ALTER
DROP
TRUNCATE

DML commands

select
Insert
update
Delete

TCL

Commit
Rollback
Save point

DCL

GRANT
JEVOLKE

`CREATE TABLE tablename (colname1 datatype1 size
colname2 datatype2 size)`

ALTER TABLE tablename

(MODIFY) / column2 database

[ADD]

[DROP]

DROP TABLE tablename

TRUNCATE TABLE *tablename*

INSERT INTO tablename() values()

SELECT * FROM tablename;

SELECT stdNo, stdName from tablename;

Update

UPDATE tablename set colname = value²
where colname = value¹;

Delete

Delete ~~tab~~ from tablename where colname = value

Employee Database :-

CREATE TABLE Emp (Eid varchar(5), ername char(5), dno
varchar(5), designation char(15))

CREATE TABLE Dept (dno varchar(5), dname char(10), loc char(10))

INSERT INTO Emp ("12345", "Jana", "15", "Manager");

ALTER TABLE Emp modify (designation char(5),
jobprofile char(5))

① Retrieve details of all employees, ② Employee id of all employee

Assign phone no. to corresponding dept.

List name & dependent - who is to pole to employee.

select * from emp;

select eid from emp;

select eid from emp add (phone number(10));

alter table Dept add (phone number(10));

select name from dependent where relationship = "spouse";

select name from dependent where relationship = "child";

Super keys

candidate key

② Foreign key

Create table dependent / name . . . , relationship - eid . . .
NOT NULL constraints
 rules that are enforced on a table
 can be either column level or table level

Most commonly used constraints

- i) NOT NULL
- ii) DEFAULT
- iii) UNIQUE
- iv) FOREIGN KEY

v) CHECK ensures that all values in the column
 satisfies certain condition.

Alter table tablename,

ADD CONSTRAINT
 constraints
 Primary (PK)

Employee	Ename	SSN	BDATE	PLACE	SALARY	Supervisor	DNo
----------	-------	-----	-------	-------	--------	------------	-----

Department	DNAME	DNUMBER	MGRSSN	MGRSTARTDATE
------------	-------	---------	--------	--------------

DEPLLOCATION	PNUMBER	Location
--------------	---------	----------

PROJECT	PNAME	PNUMBER	PLOCATZON	DNY
---------	-------	---------	-----------	-----

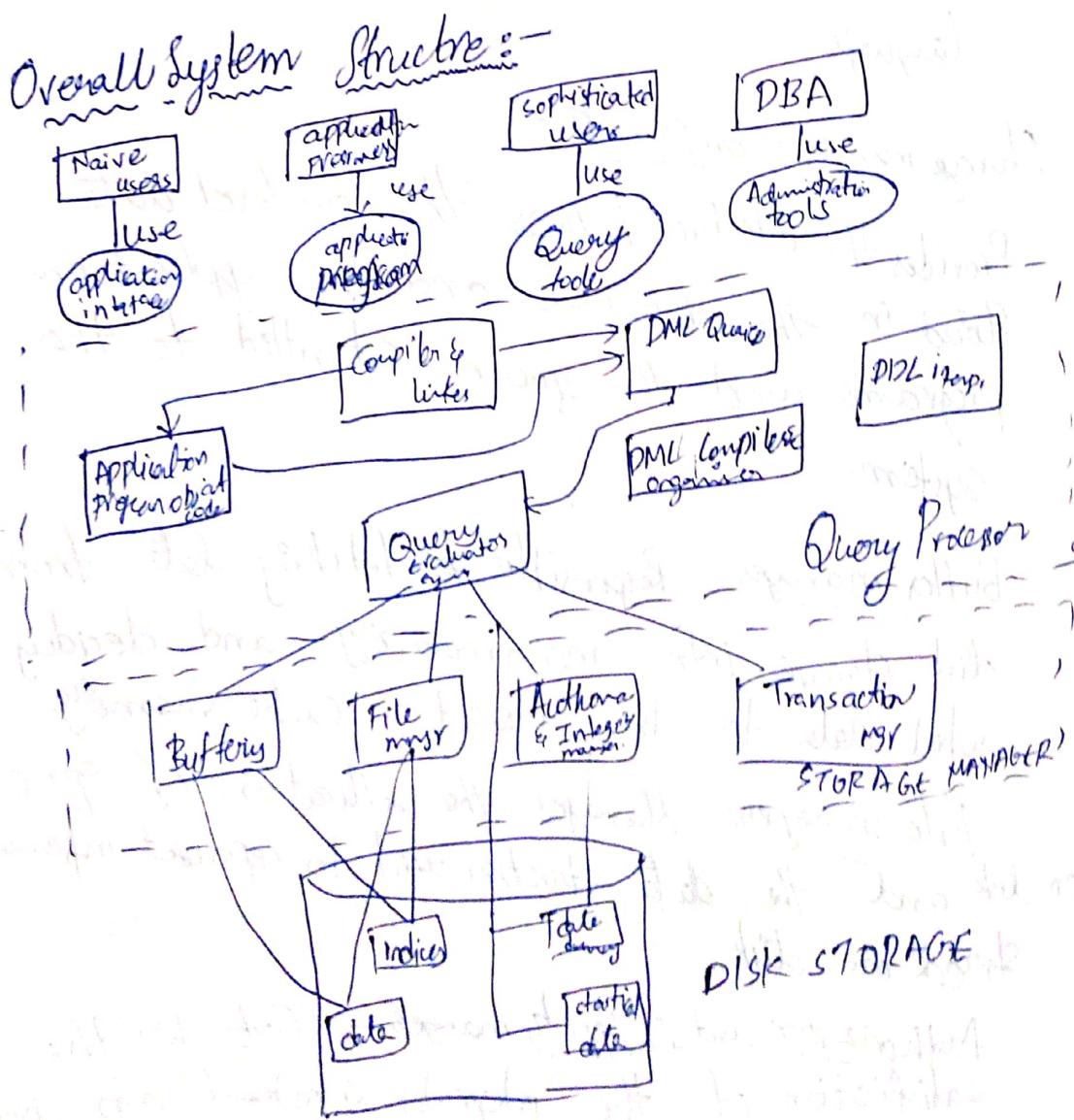
WORKSON	ESSN	DNo	HOURS
---------	------	-----	-------

DEPENDENT	ESSN	PERCENTAGE
-----------	------	------------

alter table tablename
 modify column
 <datatype>
 default
 <value>

- ① Select details of employee with DNo = 5
- ② Retrieve all the details of all employee in the research department.

3. Add a new column to the existing table (employee)
- (PH-NO)
4. Rename PH-NO to PH-NB.
5. Drop column PH-NO.
6. Delete from
7. Change the details of project no: 10
8. For every project located in Bay or the project number, the controlling department and depot manager and BDATE
9. For each employee retrieve the employee's name & name of his/her supervisor
10. Update the salary of employee by 10 %



Users:-

Naive users → Interact with system by invoking one of the permanent application program that has been written previously.

Sophisticated user → Interact with system through database query languages.

Specialized users → Sophisticated users who write specialized data base application that do not fit into a Traditional data Processing framework.

Application programmers → Computer professionals who interact with system through DML calls which are embedded in a program written in a host language.

Storage manager components:-

Provides the interface between the low level data stored in the database and the application programs and the queries submitted to the system.

Buffer manager :- Responsible for fetching data from disk storage into main memory and decide what data to be present in cache memory.

File manager :- Manages the allocation of space on disk and the data structures used to represent information stored on disk.

Authorization and Integrity manager :- Test for the satisfaction of the integrity constraint and check

the authority of user to access data

Transaction manager: It ensures that the database remains in a consistent state despite system failure and the concurrent transactions execution procedure without conflicts.

Query Processor Components:-

DML Compiler:— Translate DML statements to low level instructions that the Query evaluation engine

DML Interpreter: Interpret all the DML statements & records them in a set of tables containing meta data.

Query Evaluation Engine:— Execute low level instructions generated by DML compiler.

Embedded DML Precompiler: It converts DML statements in an application program to normal procedural language in host language.

The storage manager maintains consistency with the data structures.

Data Structures

File manager: where the database is stored

Data Files: where the database is stored

Data dictionary: it stores meta data about the structure of the database

index: Provides fast access to data items that hold particular data values.

statistical data: which stores statistical data/information in data structures.

Show the resulting salaries if every employee working on product X project is given 10%

Select ename, 1.1k salary from Emp, Workson
where PNo = Pnumber and Phname

Logical

AND, OR, NOT

Select name, place from employee where

Place = "Bombay" or place = "Delhi"

10000 to 20000

Select * from employee where
salary between 10000 and 20000

Pattern matching

using LIKE % → zero or more _ → one character

list the employee information where first Ja

⇒ Select * from employee where
ename LIKE ('Ja%')

Select * from employee where
ename LIKE ('_e%')

IN and NOT IN Predicate ename LIKE ('-%')
list empnames, place whose names are A, B, C, D

Select ename, place from employee
where ename IN ('A', 'B', 'C')

Oracle Functions

fname (arg1, arg2, ...)

SUM, MAX, MIN, COUNT, AVG

select sum(salary) from employee;

Find sum of salaries of all employees of all employees
of research department / max salary,

Select sum(salary), max(salary), min(salary) from
employee, department from dNo = DNo and DNAME
= 'research'.

Select count(*) from employee;

ABS, POWER(m,n), ROUND(n,m), LPAD(char1, n, char2),

RPAD(char1, n, char2);

SQRT()

LOWER(char)

UPPER(char)

INITCAP(char)

LENGTH(char)

SUBSTR(char, n, c);

ER Model

Entity Relationship modeling

Entity sets

Relationship sets

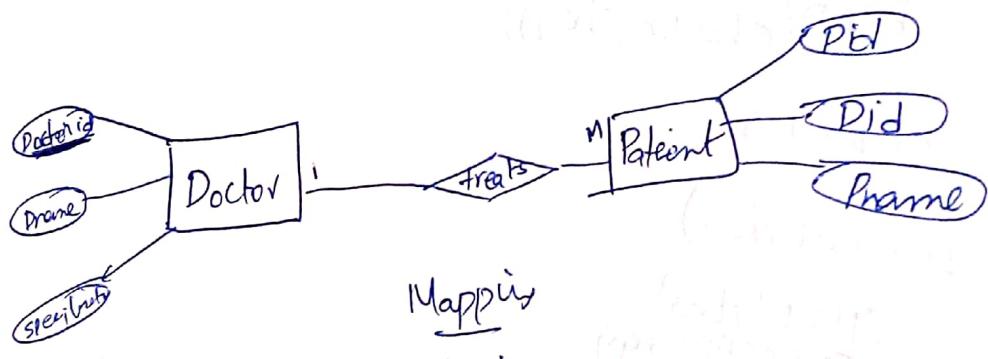
Attributes

- Type of Attributes
- St
1) Simple vs composite
2) Singlerelued vs Multivalued
3) stored vs Derived
4) NULL
5) Complex (combination of composite & multi)

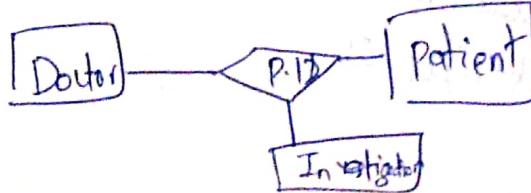
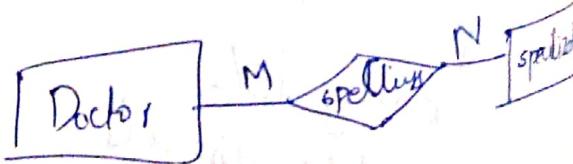
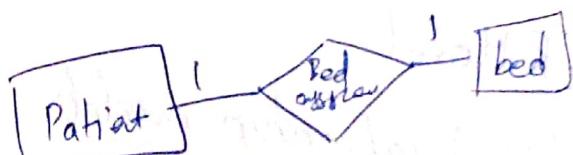
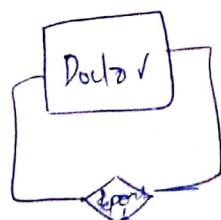
+ Person with more than one residence
↳ more than one

{Addressphone } {Phone (Area, Ph no)}

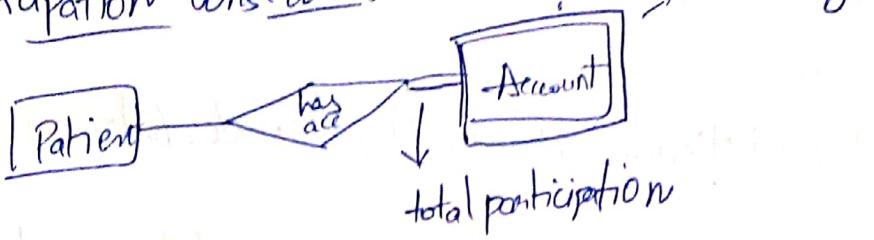
Address (Street address (street name, city,



Unary
Binary
Ternary.



Participation Constraints:



Entity

weak entity

Relationship

Attribute

- multivalued attribute

Derived attribute

- composite attribute

- Identifying relationship

key attribute

→ A weak entity is an existence dependent entity, i.e. it cannot exist without the entity which it has its relationship.

→ It has a primary key which is totally or partially derived from Parent entity.

Group by :-

clientMaster (client no, name, city, Pincode, balance)

Product-Master (Pdt no, description, profit%, unit,
qty on hand, SP, CP)

Sales-master (sal-no, salname, salunit)

Sales-order (S-order no, S-off date, client no, Sal no, off
station)

Sales order details (S-order no, Pdt no, qty-ordered, idt,

Select pdtno, sum(Qty-ordered) QP from sales order
details, Group by pdtno having pdtno < 0 or pdtno

To char

→ number conversion

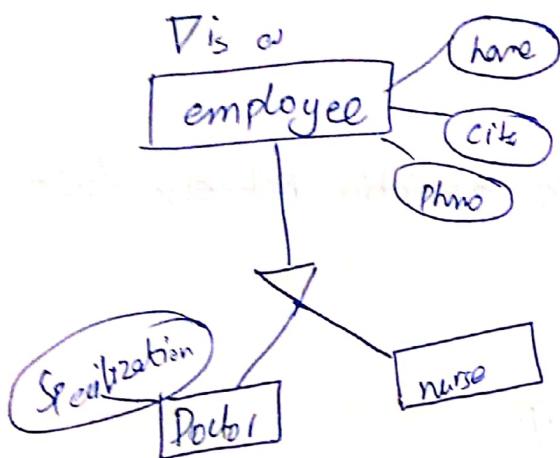
data conversion

Display order information sorderno, clientno, sordate
for all the orders placed by the client in the ac
order of date.

Select pdtno, description, sum(Qty ordered) from
Product master, Sales order
where P.pdtno = S.Pdtno
group by pdtno.

Extended E/R features

specilization & generalization



1. Specialization
 2. Generalization
 3. Low level entity
 4. High level entity
 5. Attribute inheritance
 6. Aggregation

Constraints on generalization & specialization

 1. Attribute vs user defined (based on value)
 2. disjoint vs overlapping (only one ^(one) -level) more than one
 3. total vs partial

constraints on Extended ER features relates whether or not entities may belong to more than one low level entity solution within a generalizations like set

entity lower level entity set

overlapping

Disjoint

\downarrow
entity belongs to

no more for one

entity.

Completeness constraint :- Whether or not an entity in the higher level entity set must belong to atleast one of the lower level entity set

total
each higher level
belong to a
lower level entity set

partial

Some higher level may not belong to lower level entity set.

- Conversion of ER-model to relation model :-
1. mapping regularities, composite attributes and multivalued attributes
 2. mapping weakentity
 3. mapping binary relationship existing between two entities
 4. mapping associativity
 5. mapping unary relationship
 6. mapping supertype and subtype attributes

Relational Algebra:-

Procedural Query language
 → instance of relation

→ Basic operations

Select

Project

Rename

Union

Set difference

Cartesian product

Division

Intersection

join operator

Assignment

Select(τ)

$\hookrightarrow_p (R)$

$\hookrightarrow_{name=20} (\text{Student})$

Project(Π)

$\Pi_{e, i, c_2}(R)$

Rename (R) :-

$\tilde{P}_x(R)$

Union (U)

$\rightarrow r \cup s$

- r, s should have same no of attributes
- Attributes domains must be compatible.

$\Pi_{\text{authors}}(\text{Books}) \cup \Pi_{\text{authors}}(\text{Articles})$

set difference (-) :- $(r - s)$

$\Pi_{\text{authorid}}(\text{Books}) - \Pi_{\text{authorid}}(\text{Articles})$

Cartesian Product $(r \times s) :-$

$\leftarrow \text{author} = 'ABC', (\text{Books} \times \text{Articles})$

$\leftarrow \text{author} = 'ABC', (\text{Books})$

Subject = 'database' and Price = '200'

Select details of employee where emp = 2

$\leftarrow (\text{employee})$

emp = 2

To select tuples for all employee who either work in dept 4 and makeover > 25000 per year or dept = 5 and makeover > 30000

$\Pi_{\text{name}} (\leftarrow (\text{employee}) \text{ and } (\text{dept} = 4 \text{ and makeover} > 25000) \text{ and } (\text{dept} = 5 \text{ and makeover} > 30000))$

$\Pi_{\text{name}, \text{first}, \text{last}, \text{salary}} (\text{employee})$

$\Pi_{\text{first}, \text{last}, \text{salary}}(\text{dept} = 5) \leftarrow \text{employee}$

$P_{\text{EMP}}(\text{employee})$

↳ new relation name

$R_{\text{Employee}}(\text{employee})$

$R_{\text{eno/lno}}$

↳ old code name
↳ new code name

The rules for performing union, intersection

- (i) Addity of both relations should be same
- (ii) Domain of ith attribute of first relation should be same as that of second relation

$\Pi_{\text{fid}}(\text{faculty}) \cup \Pi_{\text{sid}}(\text{student})$

List the names shared between employee and dependent

$\Pi_{\text{name}}(\text{employee}) \cap \Pi_{\text{name}}(\text{dependent})$

List the name of employee which is not managed by anyone

$\Pi_{\text{name}}(\text{employee}) - \Pi_{\text{name}}(\text{dependent})$

List the name, gender irrespective whether he is employee or not

$\Pi_{\text{name}, \text{gender}}(\text{employee}) \cup \Pi_{\text{name}, \text{gender}}(\text{dependent})$

Retrieve the social security number (SSN) of all employees who either work dept=5 or directly supervise an employee who dept=5

$v \leftarrow \Pi_{\text{ssn}}(\text{dept} = 5) \leftarrow \text{employee}$

$\Pi_{\text{ssn}}(\text{dept} = 5) \leftarrow \text{employee} \cup \Pi_{\text{ssn}}(\text{dept} = 5) \leftarrow \text{supervisor}$

~~F~~

Petrieve for each female employee a list of names and her dependents

$\leftarrow (\leftarrow \text{employee}) \times \leftarrow \text{dependent}$

gender = 'F' and employee.id = dependent.id

Insert:-

$r \leftarrow r \cup E$

$\text{Emp} \leftarrow \text{Emp} \cup \{("add", 100, 1000)\}$

Delete

$r \leftarrow r - E$ relational algebraic expression

$\text{stud} \leftarrow \text{stud} - \leftarrow \text{stud}$

Update

$\Pi_{f_1, f_2, f_n} L \subseteq P(R)$

Division :-

Division rule for all

① arity of numerator > arity of denominator

② denominator is a subset of numerator

③ obj have the attributes in numerator

but not in denominator

Join

Natural Join \bowtie

Theta Join \bowtie_c

Equi join $\bowtie_{a_1 = a_2}$

Outer join

⇒ left outerjoin \bowtie_l

right outerjoin \bowtie_r

full outerjoin \bowtie_f

EMP

name	street	city
a	M	Ch
b	N	P
c	O	Ba

Full

name	branch	salary
a		15000
b		15000
c		18000

Delete the details of whose name is smith \leftarrow (Employee) $\neg \exists \text{name} = \text{smith}$

To modify the value of a salary of a employee whose name is Meha \leftarrow (Employee); $\text{TT}(\text{name} = \text{Meha}, \text{salary} = 10000)$

Retrive name of employee who works on all the projects made by smith. \leftarrow $\text{TT}(\text{name} \in \text{projects} \cap \text{TT}(\text{make} \leftarrow \text{Employee}))$

list all employee names and also the departments they manage if they happen to manage. (left outerjoin) \leftarrow $\text{Temp} \leftarrow \text{Employee} \bowtie_l \text{Dept}$

Retrive name & city of all employee who works for the research dept. \leftarrow $\text{Temp} \leftarrow \text{Employee}$

For every project located in standard list PNo, control Dept no, dept manager. \leftarrow $\text{TT}_{\text{PNo}, \text{controlDept}, \text{DeptManager}}$

Find names of employee who works on all projects controlled by dept 5. \leftarrow $\text{Temp} \leftarrow \text{Employee}$

Make a list of pno that projects that involves
whose employee whose last name is smith or as a
manager of the dept.

$T1_{name} \cup T2_{name}$

Retrieves name of employee who have no dependent

List of name of manager who have atleast one
dependent.

Subquery:-

Set membership

Set comparison

Set cardinality

Set membership test \rightarrow testing tuples for mem

test for \in not in \rightarrow test for the absence of
set membership

Find all customers

select name from borrowers where name in (select name from
depositors)

find all customers who have both account & a loan at the
perry ridge branch.

Select name from borrowers, loan [where branchname
and branchname = 'perry ridge' and (branchcustomer)]
select branchname, custname from depositors, account
where d.acctno = a.acctno.

Set Comparison

\geq some

\leq some

$\geq =$ some

$\leq >$ some

find the name of all branches that have ~~sets~~ ^{gr} some assets
from those of atleast one branch located in ^{gr} brooklyn.

Select bname from branch which assets \geq some (sel)
assets from branch where br.city = 'brooklyn';

Find the names of all branches that have assets \geq some
greater than that of each branch in brooklyn.

Find those branches that has highest average balance

Select bname from account ~~other~~ group by
branchname having avg(balance) $>$ all (select avg
(~~of~~ from account group by branchname)).

exists
not exist

Find all customers who have both an account & a loan at

aggregate fns:-

Σ (Employee)

Σ sum(salary)

Σ (Employee)

Σ count(DNo)

g (Employee)
DNo, sum(salary)

List the employees who salary is same as the salary A or B.
List the result in descending order

Select ename from emp where salary is (select salary
from emp where ename = 'A' or ename = 'B') order by
salary desc;

Select dept, avg(salary) from dept where

Display empid, managerid, firstname, last name whose manager
other side

Select empid, ~~supervisor~~, first name, last name from emp where
empid in (Select supervisor from emp)

Make a list of all project numbers
of employee whose name is smith
or a manager.

that involve
either of

Employee (SSN, Name, address, Gender, Salary, supervisorID);

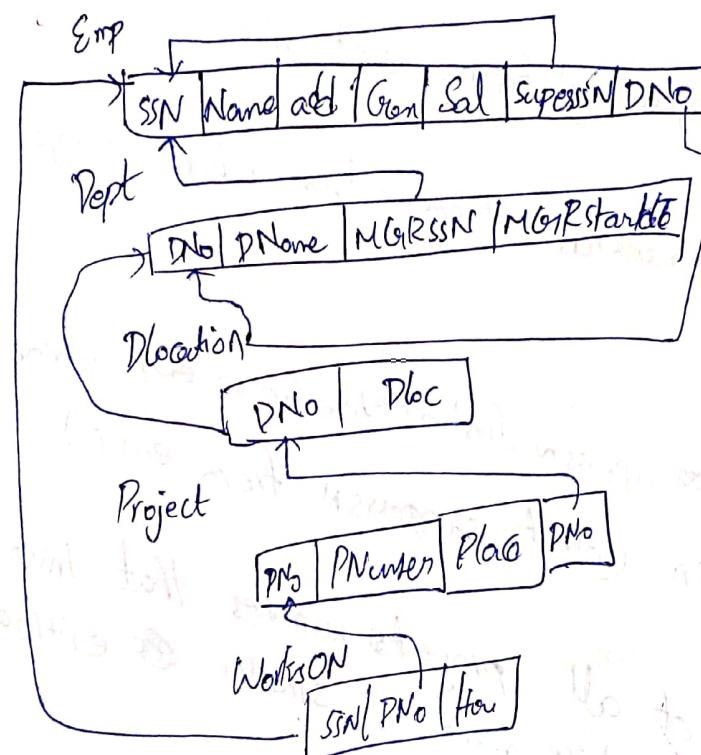
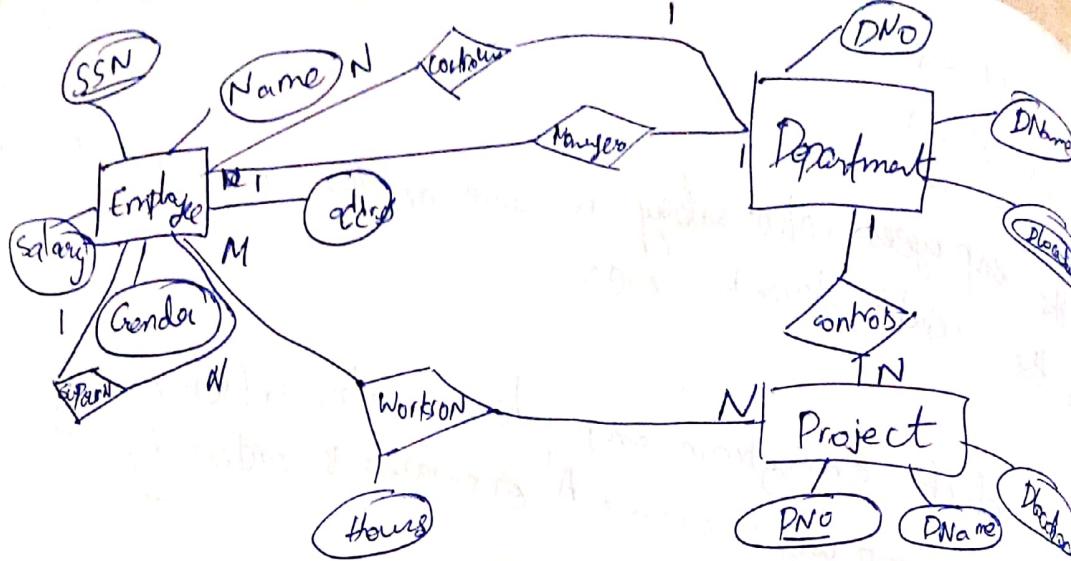
Department (DNo, DName, ManagerSSN, ManagerLastDate)

DLocation (DNo, DLoc)

Project (PNo, PName, Place, DNo)

WorksOn (SSN, PNo, Hours)

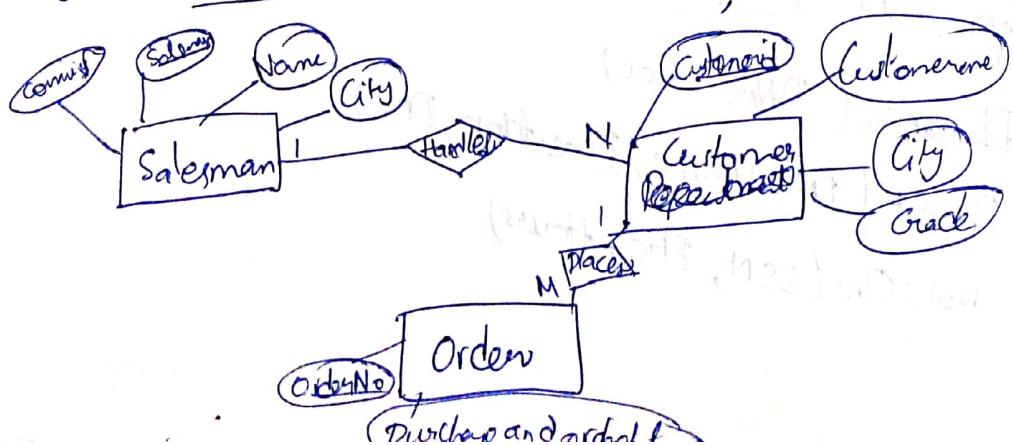
WorksOn (SSN, PNo, Hours)



Salesman (salesmanid, Name, city, commission)

Customer (customerid, CustomerName, City, Grade, Salesmanid)

Orders (orderno, purchase and order date, CustomerId, salesmanid)



Functional dependency:-

The attribute of a table is said to depend on each other when an attribute ~~permanently~~ uniquely identifies another attributes of same table.

col A uniquely identifies col B

$\text{col A} \rightarrow \text{col B}$ ~ dependent
determinant

stud-id	stu-name
Each value of A is associated precisely with B value	

Advantages

It avoids data redundancy where say if data should not be repeated at multiple location in same database. It maintains quality of data base it allows clearly defined meanings and constraints of database. It helps in identifying bad designs.

Axiom rules:-

Armstrong's Axiom

Primary rules :-

$A \rightarrow B$ (A holds B)

Rules : Reflexivity

2 : Augmentation $A \text{ holds } B \text{ & } C \Rightarrow A \text{ holds } BC$ ($AC \rightarrow BC$)

3 : Transitivity. $A \text{ holds } B \text{ & } B \text{ holds } C \Rightarrow A \text{ holds } C$ ($AB \rightarrow AC$)

Secondary Rules:

1. ~~join union~~

$$A \rightarrow B \text{ & } A \rightarrow C$$

$$A \rightarrow BC$$

2. ~~rewriting~~ ~~decomposition~~

$$A \rightarrow BC, A \rightarrow B \text{ then } A \rightarrow C$$

3. ~~pseudo-transitivity~~

$$A \rightarrow B, BC \rightarrow D \text{ then } AC \rightarrow D$$

Composition:-

$$x \rightarrow y \text{ and } z \rightarrow w$$

~~then~~ $xz \rightarrow yw$

Given $E = P, Q, R, S, T, U$

$$P \rightarrow Q$$

$$P \rightarrow R$$

$$QR \rightarrow S$$

$$Q \rightarrow T$$

$$QR \rightarrow U$$

$$PR \rightarrow U$$

Calculate $P \rightarrow T$

$$P \rightarrow Q, Q \rightarrow T \quad P \rightarrow T \quad (\text{Transitivity.})$$

$$PR \rightarrow S$$

$$P \rightarrow Q, QR \rightarrow S \quad PR \rightarrow S \quad (\text{pseudo transitivity})$$

$$QR \rightarrow S, QR \rightarrow U$$

$$QR \rightarrow SU \quad (\text{union rule})$$

$P \rightarrow Q$

$$P \rightarrow Q \quad QP \rightarrow \textcircled{Q} S \quad P \rightarrow \textcircled{Q} S$$

pseudo transitivity

$P \sqcup Q \rightarrow U$

$P \rightarrow Q \rightarrow U$ (union)

R (street city zip)

$F(\text{city street} \rightarrow \text{zip}) \quad \text{zip} \rightarrow \text{city}$

Show $\text{street zip} \rightarrow \text{street zip city}$

i) $\text{zip} \rightarrow \text{city}$

$\text{street zip} \rightarrow \text{street city}$ augmentation

$\text{city student} \rightarrow \text{zip}$

$\text{city student} \rightarrow \text{city street zip}$

$\text{street zip} \rightarrow \text{city street zip}$

$P = (ABCDEC + HIJ)$

$$F = \{ AB \rightarrow E \quad AG \rightarrow J \quad BE \xrightarrow{EB} I \quad E \rightarrow G \quad GI \rightarrow H \}$$

$\cancel{E \rightarrow G}$
 $\cancel{AB \rightarrow I}$
 $\cancel{G \rightarrow H}$

$AB \rightarrow G$

$$\begin{array}{c} AB \rightarrow G_1 \quad CA \textcircled{B} \rightarrow H \\ AB \rightarrow H \end{array}$$

$AB \rightarrow G_1 H$

$AB \rightarrow E, E \rightarrow G_1$

$AB \rightarrow E, EB \rightarrow I$

$$\begin{array}{c} (AB \rightarrow G_1) \\ (AB \rightarrow I) \end{array}$$

$$\begin{array}{c} AB \rightarrow I \\ ABG_1 \rightarrow H \\ G_1 \rightarrow A_1 \\ AB \rightarrow G_1 \\ AB \rightarrow G_1 \end{array}$$

$$\begin{array}{c} AB \rightarrow G_1 \\ AB \rightarrow G_1 \end{array}$$

Closure set of FD's

$$R = 1AR$$

Closure set is set of all functional dependencies that include F as well as all dependencies that can be inferred from F.

$$FD = \{A \rightarrow B, A \rightarrow C, CG_1 \rightarrow H, CG_1 \rightarrow I, B \rightarrow H, AC \rightarrow BC, CG_1 \rightarrow HI, AG_1 \rightarrow H, AG_1 \rightarrow I\}$$

$$\begin{aligned}F^+ &= \{A \rightarrow B, A \rightarrow C, CG_1 \rightarrow H, CG_1 \rightarrow I, B \rightarrow H, \\&A \rightarrow H, AC \rightarrow BC, CG_1 \rightarrow HI, AG_1 \rightarrow H, AG_1 \rightarrow I\}\end{aligned}$$

find candidate keys

$$R(A, B, C)$$

$$A^+ = \{A, B, C\}$$

$$\cancel{A \rightarrow B, B \rightarrow C}$$

$$B^+ = \{B, C\}$$

$$C^+ = \{C\}$$

$$R(A, B, C, D, E, F)$$

$$A \rightarrow BC \quad C \rightarrow B \quad D \rightarrow E \quad E \rightarrow D$$

$$A^+ = \{A, B, C\}$$

$$B^+ = \{B\}$$

$$C^+ = \{B, C\}$$

$$D^+ = \{D, E\}$$

$$E^+ = \{D, E\}$$

$AB^+ \rightarrow \{ABC\}$ $AC^+ \rightarrow \{ABC\}$ $AD^+ \rightarrow \{ABCDE\}$ $AE^+ \rightarrow \{ABCDE\}$ $R = \{A, B, C, D, E, F\}$ $A \rightarrow C \quad C \rightarrow D \quad D \rightarrow B \quad E \rightarrow F$ $AE = \{A, B, C, D, E, F\}$ $R = \{A, B, C, D, E, F, G, H\}$ $CH \rightarrow G \quad A \rightarrow BC \quad B \rightarrow C \oplus H \quad E \rightarrow A \quad F \rightarrow EG$ $D^+ = \{D\}$ $DA = \{A, B, C, D, E, F, G, H\}$ $\{DB\}$ $\{DE\}$ $\{DF\}$ $A \rightarrow \{A, B, C\}$ $B \rightarrow \{B, C, F, H, E, G\}$ $C \rightarrow \{C\}$ $D \rightarrow \{D\}$ $E \rightarrow \{A, E, B, C\}$ $F \rightarrow \{E, F, G\}$ $G \rightarrow \{G\}$ $H \rightarrow \{H\}$ $ABDEF \rightarrow \text{prime attributes}$ $CGH \rightarrow \text{non-prime attributes}$

i2. $L(A \cup B, C, D, E, H)$

$$A \rightarrow B$$

$$B \rightarrow D$$

$$E \rightarrow C$$

$$D \rightarrow A$$

$$A \rightarrow \{A, B, D\}$$

$$B \rightarrow \{B, D, A\}$$

$$E \rightarrow \{E, C\}$$

$$H \rightarrow \{H\}$$

$$C \rightarrow \{C\}$$

$$D \rightarrow \{D, A, B\}$$

$$EH \rightarrow \{E, H, C\}$$

$$AEH = \{A, B, D, C, H\}$$

$$BEH = \{A, B, D, E, C, H\}$$

$$DEH = \{A, B, D, C, E, H\}$$

R $(A \cup B, C, D, E)$

$$A \rightarrow B$$

$$A \rightarrow C$$

$$CD \rightarrow E$$

$$B \rightarrow D$$

$$EA: \{A, B, C, D\}$$

$$B \rightarrow \{B, D\}$$

$$C \rightarrow \{C\}$$

$$D \rightarrow \{D\}$$

$$E \rightarrow \{A, E, B, C, D\}$$

$$\cancel{BD} \rightarrow CD \rightarrow AC \checkmark$$

$$\cancel{BD} \rightarrow CD \times$$

$$BC \rightarrow CD$$

$$AC \rightarrow BC \times$$

Canonical cover / Minimal cover:-

A canonical cover (F_c) for F is a set of dependencies such that F logically implies all dependencies in F_c and F_c logically implies all dependencies in F . Further F_c must have the following properties:

- (i) No functional dependency in F_c contains an extraneous attribute.
- (ii) Each left side of functional dependency in F_c is unique i.e. there are no two dependencies such that $f: L_1 \rightarrow P_1$, $L_2 \rightarrow P_2$ such that $L_1 = L_2$.

Extraneous attribute:-

An attribute of a functional dependency is said to be extraneous if we can remove it without changing the closure of set of functional dependencies.

$F_c = F$
repeat
use the union rule to replace any dependency in F_c of the form $\alpha \rightarrow B_1$ & $\alpha \rightarrow B_2$ with $\alpha \rightarrow B_1 B_2$

First a FD $\alpha \rightarrow B$ in F_c with an extraneous attribute either α or in B
(test for extraneous attribute is done w.r.t F_c not F)

if an extraneous attribute found delete it from $\alpha \rightarrow B$ until F_c does not change.

1. set $F_C = F$

2. For each FD $X \rightarrow A$ in F_C

for each attribute B in X

if $(X-B)^+$ with respect to F_C

then replace $X \rightarrow A$ with

$X - \{B\} \rightarrow A$ in F

$F \rightarrow \{A \rightarrow B, AC \rightarrow B, A \rightarrow A, AD \rightarrow CE, B \rightarrow D\}$

$F_C \rightarrow \{A \rightarrow B, AC \rightarrow B, A \rightarrow A, AD \rightarrow CE, B \rightarrow D\}$

\downarrow

$A \rightarrow B$

$AD \rightarrow C \oplus AD \rightarrow E$

$AD \rightarrow C, A \rightarrow E$

$F_C \rightarrow \{A \rightarrow B, AD \rightarrow C, B \rightarrow DE, A \rightarrow E\}$

$F : \{x \rightarrow z, x_4 \rightarrow WP, x_4 \rightarrow zwQ, xz \rightarrow R\}$

$F : \{x \rightarrow z, x_4 \rightarrow w, x_4 \rightarrow P, x_4 \rightarrow z, x_4 \rightarrow w, x_4 \rightarrow Q, xz \rightarrow R\}$

$F_C : \{x \rightarrow z, x_4 \rightarrow WP, x_4 \rightarrow zwQ, xz \rightarrow R\}$

Find Minimal cover

$$F: \{AB \rightarrow CD, B \rightarrow C, BC \rightarrow D, CD \rightarrow EF, E \rightarrow F\}$$

$$\begin{array}{l} AB \rightarrow C, AB \rightarrow D, B \rightarrow C, BC \rightarrow D, CD \rightarrow E, CD \rightarrow F, E \rightarrow F \\ \text{X} \quad \text{X} \quad \text{X} \end{array}$$

$$B \rightarrow C \quad B \rightarrow D \quad B \rightarrow D$$

$$B \rightarrow CD \quad CD \rightarrow EF$$

$$B \rightarrow DEFP$$

Equivalence of FD

(RL set of attributes)

FD₁ & FD₂ are two FD for relation R

$$1) FD_2 \supseteq FD_1$$

$$2) FD_1 \subset FD_2$$

$$(1) \& (2) \text{ are true } FD_1 = FD_2$$

R(A, B, C, D)

$$FD_1 = \{A \rightarrow B, B \rightarrow C, AB \rightarrow D\}$$

$$FD_2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$

$$FD_2 \supseteq \{A, B, C, D\}$$

$$FD_1 \supseteq FD_2$$

$$\therefore FD_1 = FD_2$$

(Q3)(4) q

(Q4)(2) q \Rightarrow (Q8)(8)

$R(A, B, C, D)$

$$FD_1 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$$

$$FD_2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$

$$FD_1 \subset FD_2$$

$$FD_2 \not\subset FD_1$$

$$\therefore FD_1 \neq FD_2$$

Lossless join and Dependency Preservation:-

Decomposition of a relation is done when relation in relational model is not in appropriate normal form. Relation R is decomposed into two or more relations if decomposition is lossless join as well as dependency preserving.

Decomposition is lossy if $R_1 \bowtie R_2 \supset R$

Decomposition is lossless if $R \bowtie R_1 \bowtie R_2 = R$

Check for lossless join in Decomposition:-

$$1. \text{Att}(R_1) \cup \text{Att}(R_2) = \text{Att}(R)$$

$$2. \text{Att}(R_1) \cap \text{Att}(R_2) \neq \emptyset$$

$$3. \text{Att}(R_1) \cap \text{Att}(R_2) \xrightarrow{\text{by for}} \text{Att}(R_1) \text{ or } \text{Att}(R_2)$$

$R(A B C D)$

$R_1(ABC) \quad R_2(AD)$

$$R_1 \sqcup R_2 = (A B C D) = R$$

$$R_1 \cap R_2 = A \neq \emptyset \rightarrow \text{Non-trivial FD}$$

$$R_1 \cap R_2 = A \rightarrow \text{Trivial FD} \rightarrow \text{Functional dependency}$$

Dependency Preserving Decomposition:-

If we decompose a relation R into relations R_1 & R_2 , all the dependencies of R must be either a part of R_1 or R_2 or must be derived from R_1 & R_2 by FD's of R_1 & R_2 .

Dependencies of $R \rightarrow a, b$

$$R(A, B, C, D) \quad \text{FD set: } \{A \rightarrow BC\}$$

is decomposed

$$R_1(ABC), R_2(AD)$$



$$R(ABCD)$$

$$\text{FD: } \{A \rightarrow B, C \rightarrow D\}$$

decomposition of R into $R_1(AB) \cup R_2(CD)$

$$R_1 \cup R_2 = (ABCD)$$

$$R_1 \cap R_2 = \emptyset \quad (\text{No lossless join})$$

$$R(A, B, CD)$$

$$(A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow B)$$

$$R_1(AB), R_2(BC), R_3(BD)$$

lossless decomposition

dependency preserving

Trivial FD $X \rightarrow Y$ Y is a subset of X

Non-Trivial FD $X \rightarrow Y$ Y is not a subset of X .

Normalization:- Redundancy Data Consistency

Anomalies:-



Deletion

Update

Insertion

If a design of database is not perfect it may contain anomalies.

Deletion → If we try to delete a record but parts of it was left deleted because of unawareness. If two different information is stored in one table at the end of the academic year if student record is deleted we lose the branch information also.

Insertion → If we try to insert data in a record that does not exist

Update:- If data items are scattered and are not linked to each other properly then it could lead to update anomaly. For example when we try to update 1 data item having its copies scattered over several places a few instances get updated properly while few others remain with old values leading to data inconsistency.

First Normal Form (1NF) :-

It is defined in tables itself.

It defines that all the attributes in a relation must have atomic domains i.e. the values in the domain should be indivisible.

Second Normal Form (2NF)

i) it should be 1NF

a) For every 2NF every non prime attributes should be fully functional dependent on the prime) i.e. $X \rightarrow A$

Third Normal Form (3NF)

i) it should be 2NF i.e. no non prime attributes is transitively dependent

ii) no non prime attributes on primary key attributes.

For any non trivial FD

$X \rightarrow A$ is a superkey or A is prime attribute

stud_dot

stud_id \rightarrow Primary key attribute

stud_id \rightarrow zip

zip \rightarrow city

city \rightarrow state

state \rightarrow dist

Boyce Codd Normal form (BCNF) :- (3.5NF)

$X \rightarrow A$

superkey

<u>Bookid</u>	Genreid	Genre type	Price
---------------	---------	------------	-------

does Not satisfy 3NF so split the tables

<u>Bookid</u>	Genreid	Price	<u>Genreid</u>	Genre
---------------	---------	-------	----------------	-------

$R(F_1, F_2, F_3, F_4, F_5)$

$F_1 \rightarrow F_3$

$F_2 \rightarrow F_4$

$F_1, F_2 \rightarrow F_5$

$F_1, F_2 \rightarrow F_1, F_2, F_3, F_4, F_5$

Candidate key

(RNo, Name, DOB, AGE, Eligibility)

$DOB \rightarrow AGE$

$AGE \rightarrow Eligibility$

$Name \rightarrow RNo$

$RNo = Name$

$DOB, Name \rightarrow DOB, Age, Name, R$

fourth Normal form :- 4NF

Multivalued Dependency (MVD)

when more than one multivalued attribute present in the table.

student Discipline Activity

$R(A, B, C, D, E)$

$A \rightarrow B$

$BC \rightarrow E$

$ED \rightarrow A$

$BCD \rightarrow ABCDE$

$ACB \rightarrow ABCDE$

$ECD \rightarrow ECDAE$

① List all keys,

② What is highest NF that this relation holds?

$R(A, B, C, D, E, F, G, H)$

$AB \rightarrow C$

$A \rightarrow DE$

$B \rightarrow F$

$F \rightarrow GH$

~~coerces to BCNF~~ No BCNF

3NF X

2NF X

INF holds in 2NF

$R(A, B, C, D, E)$

$CE \rightarrow ADCEB$

$CE \rightarrow D$

$D \rightarrow B$

$CE \rightarrow ABCDE$

2NF holds for $C \rightarrow A$ but not for $CE \rightarrow ADCEB$

3NF holds for $CE \rightarrow D$ and $D \rightarrow B$

$R(ABCDE)$

$R_1(ABC) \quad A_2(ADE)$

$A \rightarrow BC$

$A \rightarrow BC$

$E \rightarrow A$

$CD \rightarrow E$

$B \rightarrow D$

$E \rightarrow A$

AB

AB

AB

$(A) ABCDE$

$B \rightarrow BD$

S

(A, E, CD, BC)

i) List out all functional dependencies of keys. (AE, E)

2. Check \rightarrow lossless decomposition & dependency preserving

3. Find minimal cover F_c .

$A \rightarrow ABCDE$

$CD \rightarrow ABCDE$

$B \rightarrow BD$

$R_1 \cup R_2 \in R$

$R_1 \cap R_2 = A \neq \emptyset$

It is lossless decomposition

$A \rightarrow B \quad A \rightarrow C \quad CD \rightarrow E \quad B \rightarrow D \quad E \rightarrow A$

Using Armstrong rules prove the union rule.

$d - B \rightarrow d \rightarrow r$

$d \rightarrow B \rightarrow r$

Use augmentation rule and transitive rule

Augmentation rule.

$\alpha \rightarrow r \quad \alpha \rightarrow B \rightarrow r$

Sound: It is said to be sound if any dependency that can be derived from F using rules holds good in every relational database.

Complete: Using inference rules repeatedly you can infer new set of functional dependencies until no more dependencies can be derived.

$R(ABCDEF)$

$\rightarrow AB \rightarrow C$
 $DC \rightarrow AE$

$E \rightarrow F$

INF

$ABD \rightarrow AB, D \rightarrow F$
 $CBD \rightarrow ABEDEF$

~~BD~~

$R(ABCDEF)$

$F(AB \rightarrow C, C \rightarrow D, B \rightarrow E)$

$R_1(ABC) \quad R_2(CDE)$
as $R_1 \cap R_2$ is not a key

Lossy

$R(A, BC)$

$F = \{A \rightarrow B, B \rightarrow C\}$
↓
does not hold!

$R_1(A, C)$

$R_2 = (B, C)$

$F(A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H)$

$G_1(A \rightarrow CD, E \rightarrow AH)$

$F \rightarrow A \rightarrow C$
 $G_1 \rightarrow A \rightarrow C$

$AC \rightarrow D$

$A \rightarrow D$

$E \rightarrow A$

$E \rightarrow A$

$E \rightarrow D$

$E \rightarrow H$

Decomposition into 3NF:-
Both lossless and Dependency Preserving

Given relation R, F set of FD's

decomposition of R into a set of 3NF

relation R_i

Eliminate redundant FD's resulting in
canonical cover F_c .

$R_i = X \rightarrow Y$ for each FD.

Create a new relation

$X \rightarrow Y$ in the canonical cover

If the key k of R does not occur in any
relation R_i create one more relation

$R_i = k$

Decomposition into BCNF:-

It may be loss less but not dependency preserving

For all the dependencies $A \rightarrow B$ in F^+ . check
if A is a super key. If not remove then choose
a dependency F^+ that breaks BCNF

say $A \rightarrow B$

$R = (A, B)$

$R_1 = A$ ($R - B - A$)

$R_2 = A$ ($R - B - A$)

$R(A, B, C, D, E)$

$F \{ A \rightarrow B, BC \rightarrow D \}$

ACG

candidate key (ACE)

$$F^+ = \{ A \rightarrow B, BC \rightarrow D, AC \rightarrow D \\ C \rightarrow C, D \rightarrow D, B \rightarrow B, E \rightarrow E \}$$

$R_1(AB), R_2(ACED)$

$A \rightarrow B$ $AC \rightarrow D$

$R_1(AB) R_2(ACD) R_3(AE)$

ACID

Atomicity → all the operations of a must be completed or no operation should take place (aborted)

Consistent → permanence of database consistent

Isolation → state

Data used during transaction cannot be used by second transaction until first is completed.

Durability

Ensures that once transactions are committed

they cannot be undone or lost.

Transaction lock keeps track of all transaction that update the database. DBMS uses the stored in the lock to recovery requirement by a rollback statement and system failure.

Concurrency control:-

Concurrency control:- Coordination of the simultaneous transactions executed in a multi-user database system. Objective of concurrency is to make sure that serializability of transactions is used in a multi-user database system.

Problems in concurrency control are :-

1) LOST UPDATE: It occurs in 2 concurrent transactions when some data element is updated and one of the update is lost.

2) UNCOMMITTED DATA: It occurs when two (Dirty Read) transactions are executed concurrently first transaction is rolled back after the second transaction already accessed data.

3) inconsistent retrieval: It occurs when a (Write blind) transactions access data before and after one or more other transactions finish working with such data.

T_1

A 1000	B 2000
-----------	-----------

T : read(A)

A : A - 50

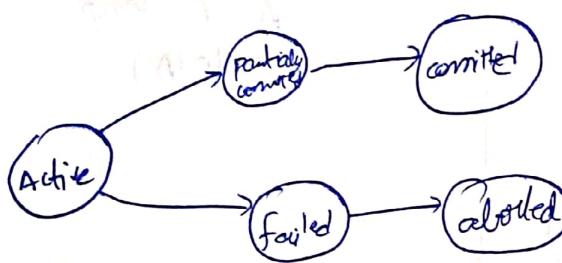
write(A)

read(B)

B : B + 50

write(B)

Final state of DB



↓
internal logic error → kill the transaction
(Hw/Sw error) → restart

Concurrent transaction advantages

1. improved throughput
2. resource utilization
3. Reduce the waiting time

T_1

read(A)

$A = A - 50$

write(A)

read(B)

$B : B + 50$

write(B)

T_2

read(A)

$temp = A + 0.1$

$A = A - temp$

write(A)

read(B)

$B = B + temp$

write(B)

Scheduler: $T_1, T_2 \} \text{ serial}$
 $2 T_2, T_1 \} \text{ scheduler}$

Schedule 3

T_1

read(A)

$$A = A - 50$$

write(A)

T_2

read(A)

$$\text{temp} = A + 0.1$$

$$A = A - \text{temp}$$

write(A)

read(B)

$$B = B + 50$$

write(B)

read(B)

$$B = B + \text{temp}$$

write(B)

Schedule 4: (Inconsistent)

T_1

read(A)

$$A = A - 50$$

write(A)

read(B)

$$B = B + 50$$

write(B)

T_2

read(A)

$$\text{temp} = A + 0.1$$

$$A = A - \text{temp}$$

write(A)

read(B)

$$B = B + A$$

$$B = B + \text{temp}$$

write(B)

Availability

Conflict availability

(i) View availability

A schedule is called conflict available if it can be transformed into a valid schedule by supplying non-conflicting operations.

conflict operations

The operations are said to be conflict operations if the following conditions satisfy

(i) They belong to different transactions

(ii) They operate on the same data item

(iii) At least one of them is a right operation.

At least one of them is a right operation.

View serializable

Two schedules are said to be conflict equivalent when one can be transformed into another by supplying non-conflicting operations.

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one can be transformed into another by supplying non-conflicting operations.

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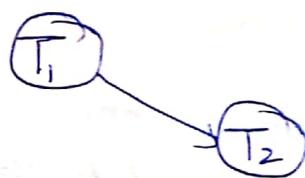
one can be transformed into another by supplying non-conflicting operations.

Two schedules are said to be conflict equivalent when

one can be transformed into another by supplying non-conflicting operations.

Dependency graph:-

- (i) Create a node T in the graph for each process in the schedule
- (ii) For the conflicting operation $\text{read-item}(x)$ and $\text{write-item}(x)$
- If the transaction T_j executes a $\text{read-item}(x)$ after T_i executes a $\text{write-item}(x)$



- (iii) For the conflicting operation $\text{write-item}(x)$ and $\text{read-item}(x)$

If the transaction T_j executes $\text{write-item}(x)$ after T_i executes $\text{read-item}(x)$, then draw an edge between T_i and T_j in the graph.

- (iv) For the conflicting $\text{write-item}(x)$ and $\text{write-item}(x)$

If the transaction T_j executes a $\text{write-item}(x)$ after T_i executes $\text{write-item}(x)$, then draw an edge from T_i to T_j in the graph.

A schedule is serializable if there is no cycle in the dependency graph.

It means we can construct serial schedules.

If there is no 'S' which is conflict equivalent to S.

$S : r_1(x), r_1(y), \omega_2(x) \rightarrow r_1(x) \rightarrow r_1(y)$

$T_1 \quad T_2$

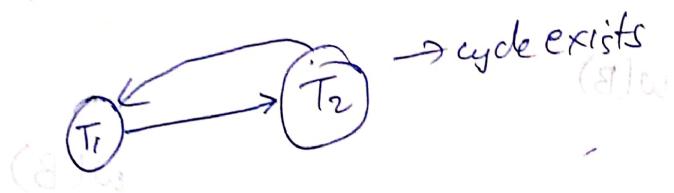
$r(x) \quad (8) \omega$

$r(y) \quad (8) \omega \quad \omega(x) \quad (8) \omega$

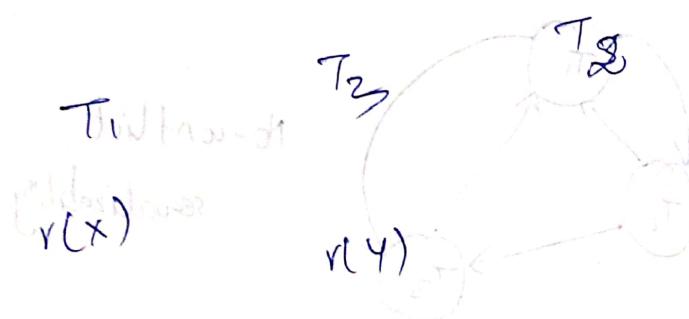
$\omega(x) \quad (8) \omega \quad (A) \omega \quad (8) \omega$

$r(y) \quad (8) \omega \quad (A) \omega \quad (8) \omega$

$(8) \omega \quad \rightarrow$

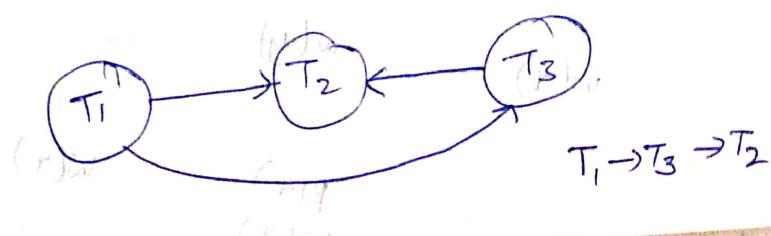


$S_1 \quad r_1(x) \quad r_3(y) \quad (8) \omega \quad \omega_1(x) \quad \omega_2(y) \quad r_3(x) \quad \omega_2(y)$



$\omega(x) \quad (8) \omega \quad \omega(y) \quad (8) \omega$

$r(x) \quad (8) \omega \quad \omega(x) \quad (8) \omega$



$R_1(B)$	$R_3(C)$	$R_1(A)$	$w_2(A)$	$w_1(A) + w_2(B)$
$w_3(B)$	$w_1(B)$	$w_3(B)$	$w_3(C)$	

T_1

T_2

T_3

$R(B)$

$R(C)$

$R(A)$

$w(A)$

$w(A)$

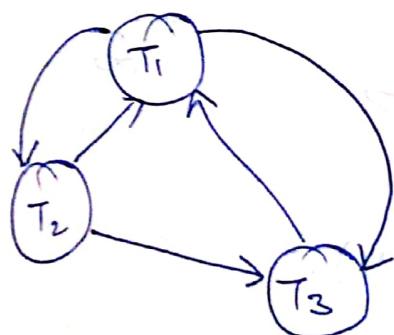
$w(B)$

$w(B)$

$w(B)$

$w(B)$

$w(C)$



No-conflict
serializability

T_1

T_2

T_3

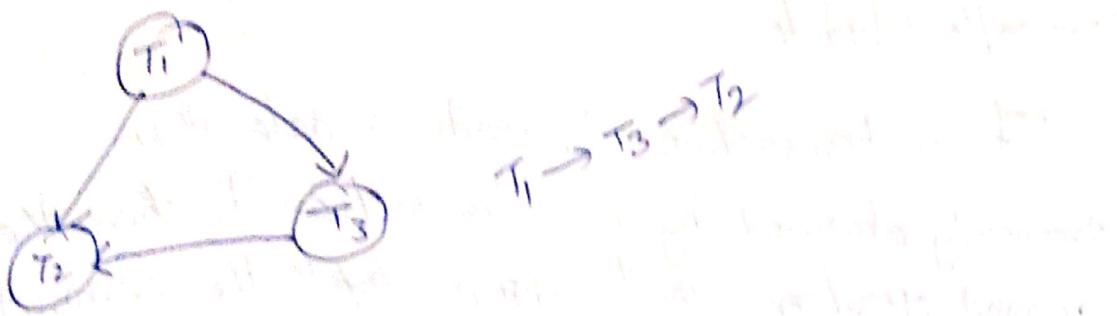
$R(x)$

$R(y)$

$R(y)$

$w(x)$

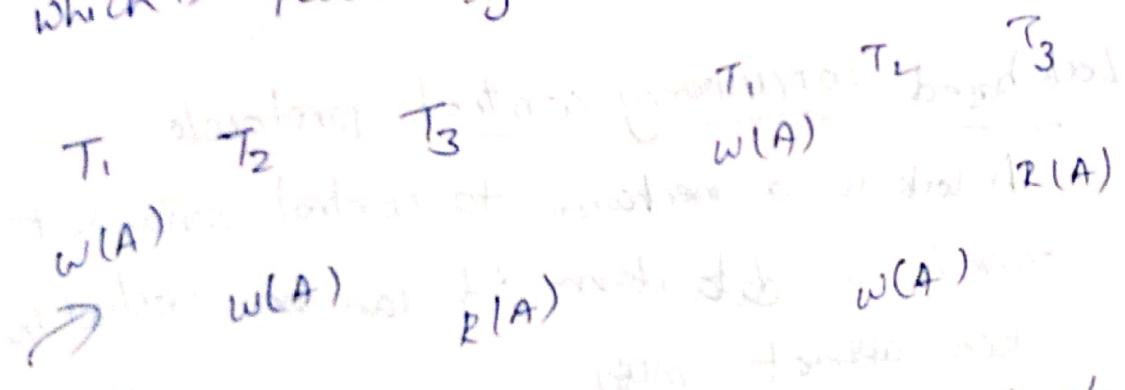
$w(y)$



View serializability :-

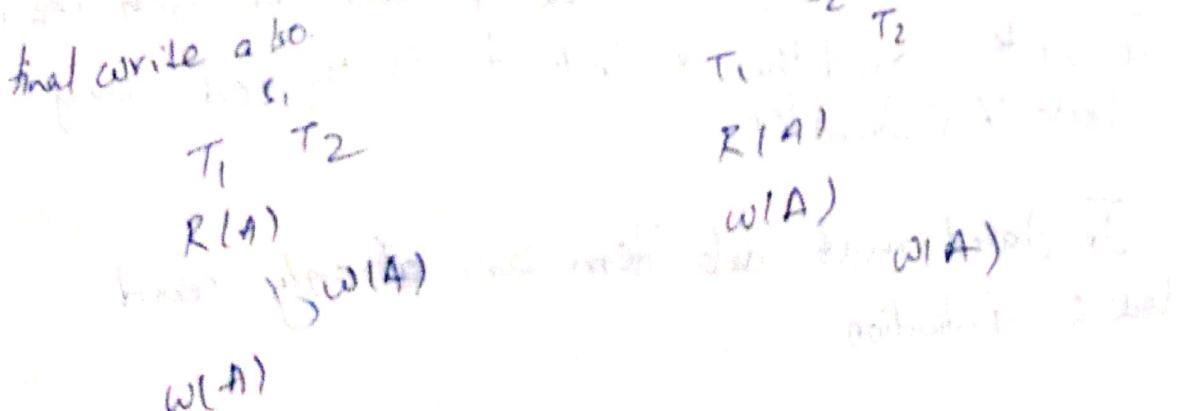
Two schedules are view equal if and only if

- (i) Initial Read :- If a transaction T_i reads data item from the database ~~then~~ in S_1 , then in S_2 also T_i should read A from initial data base
- (ii) If T_i is reading A which is updated by T_j in S_1 then in S_2 also T_i should read(A)



Not equal

-) Final write :- If transaction T_i updated A at last in S_1 then in S_2 also



Recoverable Schedule:

If a transaction T_j reads a data item previously returned by a transaction T_i then commit operation must appear before the commit of T_j .

For the following schedule:

T_1 starts with reading A and then writes A.

T_2 starts with reading A and then reads B.

T_1 ends with reading A.

T_2 ends with reading B.

Lock based concurrency control protocols

A lock is a mechanism to control concurrent access to a data item; it can be locked in two different modes

1) exclusive (X) mode

2) shared (S) mode

When it is in exclusive mode it can be both read & write and this X lock is required by lock X instruction.

In shared mode data item can only be read lock S instruction.

lock compatibility Matrix

	S	X
S	true	false
X	false	false

A transaction may be granted a lock on a data item if requested lock is compatible if the locks are compatible already held on data item by other transaction.

Any no. of transaction can hold shared lock but if any transaction holds exclusive lock then no other transaction may lock on the data item. If a lock cannot be granted the requested transaction is made to wait till all incompatible locks held by other transaction have been released.

The two phase locking protocol:-

ensures the conflict - serializable schedule

Phase 1 → growing phase

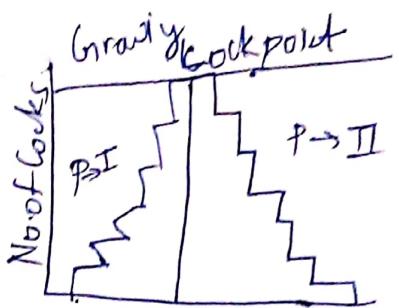
transaction may obtain lock

transaction may not release lock

Phase 2 → shrinking phase

transaction may release lock

transaction may not obtain locks



Phase locking does not ensure free from deadlock.

System is said to be in deadlock if there is a set of transactions such that the transaction in the set is waiting for another transaction in the set.

Deadlock prevention.

Required that each transaction locks all its data items before it begins the execution.

wait die scheme - non preemptive

wound-wait - preemptive

NP

Older transaction may wait for younger one to release the data item.



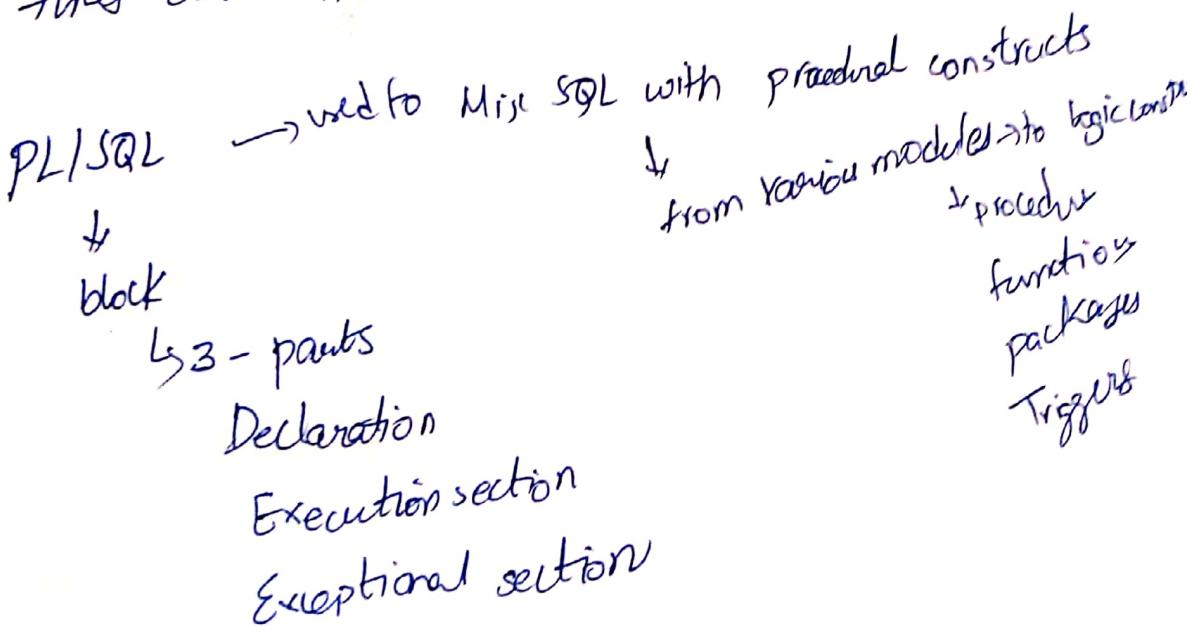
Younger transaction will not wait for older transaction to release its locked items until they will release back.

Round - wait

→ older transaction waits of your transaction instead of waiting for it.

Time out based

A transaction may wait for a lock only a specified amount of time after that the wait times out and transaction is rolled back.



cursor → strength of PL/SQL

- handle to an address in memory that stores the result
- of the executable SQL statement
- useful to perform operation a single row returned from a SELECT stmt
- ↳ Schema objects
view, sequences, indexes



Create View Viewname

from SQL Query