# **Process**

- OS abstraction to virtualize the CPU; process is a program in execution
- Multiple process can be associated to the same program
- Multiple Process run on a system
  - OS / System Process
  - User Process
- the process is defined by
  - code (aka text)
  - program (static) data
  - program counter
  - content of all registers which include the Program Counter (PC)
  - heap
  - runtime stack
  - page table

## Process Address Space

- defined by the code + data + heap + stack
- bounded by the OS configuration
- Heap
  - where the objects/structures/arrays are created
  - can be handled by memory manage but it is the OS that provides the dynamic memory allocation
- Runtime Stack
  - helps manage method/procedure/function calls and how to return from them
  - Items on the stack are pushed/popped in groups, or activation records, or frame

 activation record contains all the bookkeeping necessary for placing and returning from function calls

# Process Life Cycle

- a process can be in finite number of different states
- allowed transitions between some pairs of states
- transitions happen when some event occurs

# Multi-Tasking (Multi Programming)

- multiple processes can co-exist in memory
- all processes have their own separate address space

### **CPU Virtualization**

• context switching mechanism by which a running program is kicked off the CPU and another one which is done fast and really frequent

#### **Process Control Block**

- Process State
- Process ID (PID)
- User ID
- Saved Register Values
- CPU scheduling information
- Memory Management Information
- Accounting Information
- I/O Status Info

### **Process Table**

- a list of PCB kept by the OS
- because the Kernel size is bounded so is the Process Table

## Process API

### **Process Creation**

- Process from a genealogy tree
- If process A creates process B implies that A is the parent of B and B is the child of A
- Process can have at most one parent
- Process can have many children
- Each Process has a PID  $\in \mathbb{Z}$ 
  - picked by the OS and is increasing
  - PPID: PID of the parent of a process
- after creating the child the parent continues executing but at any point it can wait for the child's completion
- child can be
  - complete copy of the parent (have an exact copy of the address space)
  - be a new program

## fork() System Call

- a system call that creates a new process
- child is almost exact copy of the parent except
  - PID
  - PPID
  - resource utilization
- after the call, the parent continues executing and the child begins executing
- fork() returns an integer value
  - fork() returns 0 to a child
  - fork() returns the child PID to the parent

## **Zombies**

- a terminated child process remains as a zombie
- OS keeps Zombies for the following
  - Zombies do not use hardware resource
  - uses a slot in the Process Table
  - may fill up due to Zombies and cause fork() to fail
- A zombie lingers on until its parent has acknowledged its termination or parent dies
- Zombies is reaped by the OS
- frowned upon to leave zombies around unnecessarily

## **Process Termination**

- process terminate itself with exit() which takes as argument an integer called the process exit/return/error/value/code
- process can terminate another process done using signals and kill() system call

## **Signals**

- software interrupts
- OS defines a number of signals each with a name and a number
- signals happen for various reasons
  - invalid access to valid memory sends a SIGSEGV signal to the running process
  - SIGINT signal to the running program in the Shell
  - tying to access an invalid address sends a SIGBUS signal to the running process
  - can send SIGKILL to another process to kill it
- signals can be used for process synchronization

# wait() and waitpid()

- wait()
   blocks until any child completes and returns the pid of completed child and child's exit code
- waitpid()
   blocks until a specific child completes;
   can be made nonblocking
- SIGCHILD when a child exits signal is sent to the parent
  - convenient way to avoid zombies
  - parent associates a handler to SIGCHILD
  - handler calls wait()
  - way all children terminations are acknowledged

# **Inter-Process Communication**

#### **Basics**

- Independent Process
  each process runs code independently;
  both the parent and child are aware of
  each other but they do not interact
- Cooperate process needs to share information, speed up computation, and because it's convenient
- IPC means of communication between cooperating process
- All OSes provide system calls for Shared Memory
- All OSes provide system calls fro Message Passing

## Remote Procedure Calls

• provides a procedure invocation abstraction across processes

- performed through a client stub with automatically generated code to
  - Marshal the param
  - Send the data over to the server
  - Wait for the server's answer
  - Unmarshal the returned values

## **Pipes**

- powerful IPC abstraction provided by OSes
- an abstraction of an actual physical pipe with data that flows between two processes
- two ends: write and read end
- process can write a stream of bytes to the write end
- process can read from the read end
- process closes one of the ends the other will get some EOF notification

## File Descriptors

- File Descriptor
  an integer associated with the open
  file
  file descriptor is the index in the array
  of process opened files
- Three Kind of File Descriptor
  - File descriptor 0: standard input (stdin)
  - File descriptor 1: standard output (stdout)
  - File descriptor 2: standard error (stderr)

## OS Mechanisms

# Limited Execution: Restricted Operations

• 2 kinds of instructions unprotected and protected

#### User Mode vs Kernel Mode

- User Mode protected instructions cannot be executed; where the user code is executed
- Kernel Mode where all instruction is executed and executes the kernel code
- Mode is indicated by status bit (mode bit) protected control register in the CPU
- Decode Stage

  If the instruction is protected and the mode bit is not set to "Kernel mode", abort and raise a trap (that the OS will answer by terminating the program saying something like "not allowed")

  else execute the instruction
- Protected Instructions
  - Updating the mode bit
  - halt the CPU
  - Update CPU control registers
  - Change the system clock
  - Read/Write I/O device control/status registers
  - general interact with hardware components

all of these operations can happen in Kernel Mode and only the kernel code can use them

### Trap Table

- stored in RAM
- CPU has a register that points to it

• For each event type that the CPU could receive, this table indicates the address in the kernel of the code that should be run to react to the event

# Context Switching

- mechanism to kick a process off the CPU and give the CPU to another process
  - Save the context of the running process to the PCB in RAM
  - Make its state Ready
  - Restore from the PCB in RAM the context of a Ready process
  - Make its state Running
  - Restart its fetch-decode-execute cycle
- should be as fast as possible because it is pure overhead
- context switch is mechanism and deciding when to context switch is a policy which is called scheduling