# An Information-centric In-network Caching Scheme for 5G-enabled Internet of Connected Vehicles

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Abstract—With the increasing on-board demand for intelligent connected vehicles (ICVs), the fifth-generation (5G) wireless systems are being massively utilized in vehicular networks. As an essential component, content retrieval in the ICV provides a basis for vehicle-to-vehicle or vehicle-to-infrastructure data interaction for many applications. However, content access is still subject to performance degradation due to congested communication channels, diverse requests patterns, and intermittent network connectivity. To mitigate these issues, in-network caching in 5G-enabled ICV has been leveraged to benefit content access by allowing edge nodes to store content for data generators. In this paper, we propose a proactive in-network caching scheme to support various provisions of data sharing in the ICVs by exploring the advantages of information-centric networks (ICN). We first divide each on-board service into several content units. Then, we place these units at the ICV and small cell base stations (SBSs) to reduce the content retrieval delay, further model the proposed system as an integer nonlinear program (INLP) and attain the optimal QoE (Quality of Experience) by placing content units at appropriate cache entities. Finally, we verify the effectiveness and correctness of our proposed model through extensive simulations.

Index Terms—5G, Vehicular Networks, Content Placement, QoE Enhancement.

## 1 Introduction

ARAPIDLY increasing attention over autonomous driving technology has led to the explosive growth of the research on Intelligent Connected Vehicles (ICVs), i.e., transportation terminals that integrate the Internet of Vehicles (IoV) with intelligent telecommunication systems. ICVs are equipped with advanced onboard units (OBUs), such as sensors, controllers, and actuators, to make vehicles perceive their surroundings, improve driving safety, enhance the driving experience, increase traffic efficiency, and thus achieve autonomous driving [1].

Recently, the incoming fifth-generation (5G) [2] wireless communication systems have been arising as a promising technique to provide users with better quality of services (QoS) for a variety of data transmission applications [3]. Besides ICV, roadside infrastructures and other electronic devices, such as pedestrian handheld equipment, are also endowed with communication capabilities, thus giving rise to the prosper of 5G-Vehicle to Everything (5G-V2X). Ac-

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companying with the progressive 5G-V2X technology and advanced autonomous driving development, passengers or drivers in ICVs are pursuing more diversified in-vehicle applications with better quality of experience (QoE) during the journey. Many wireless multimedia traffic, such as 3D digital landscape maps, breaking news, popular video or advertisement, traffic congestion, or emergency warning, are frequently requested, leading to a surge in data volume emanating. Therefore, the continually downloaded data witnesses a great information transmission redundancy [4] and adds a heavy burden to backhaul links besides experiencing a long content retrieval delay. As a result, the growing mobile data traffic flow in vehicular networks may lead to communication link congestion and further degrade information transmission performance [5]. To address these issues, in-network caching [6], i.e., storing the required content at the edge devices, has been proposed to provide significant gains in the context of content redundancy.

In the 5G framework, small cell base stations (SBSs) are usually densely deployed with cache capacity, enhanced communication, and resource allocation capabilities [7]. That lays a solid foundation for leveraging in-network caching to tackle the problems faced when transmitting data in the ICVs. The users in a cache-enabled vehicular network can get the required services from its cache storage or nearby nodes, instead of communicating with a remote content server [8], [9]. That can significantly reduce the delay when accessing contents as well as the backhaul burden [10]. On a closer inspection, a recently emerged promising communication network architecture, i.e., information-centric network (ICN), provides a context-aware network substrate that uses the content name as the identifier for

multifarious service requests [11]. Subscribers in the ICN can get the desired service by identifying specific content names while publishing service requirements instead of IP addresses. Any node that receives a service request can directly respond to the inquiring node, provided that there is a corresponding content block in the current nodes' storage, which avoids requesting service to the remote content server. By this means, a more effective data transmission process is achieved.

Furthermore, the terminal in vehicular networks, namely ICV, will not remain stationary in most cases, causing intermittent wireless connectivity (may result in packet loss) to affect user experience further. Contrary to the node in other mobile networks, the movement of ICV is often limited by road layout. In the design of caching strategy, we can make full use of this unique mobility feature, place the content units to SBS that ICV is trying to access in the upcoming request along the driving direction. From another perspective, content request uncertainty also poses a significant challenge to cache allocation. Capturing the characteristics of ICV request patterns can usher instructive guidance for cache allocation decisions.

In this paper, we investigate the QoE-optimal content caching in ICVs through cache placement in a 5G-enabled Named Data Network (NDN) framework, which is a realization of the ICN paradigm [12]. In the NDN, the requester will issue an interest packet while requiring the desired files. Also, there is a proprietary data structure, namely Content Store (CS), dedicated to storing data, which caches the content that may be requested, thereby making content placement easy to implement.

The contributions of this paper can be summarized as:

- We divide the complete vehicular data package into several units of uniformed size and sequentially store them in inhomogeneous cache carriers while considering the special mobile characteristics of the ICV. In this way, ICVs can request content from ICV/SBS on a time-sharing basis, and the interest packet can be issued during the units' playing phase, further improving the requesters' QoE.
- We devise the QoE optimization problem based on the V2V, V2B (Vehicle-to-SBS), and V2N (Vehicle-to-Network) transmission delays, besides the playtime or read-out time of files, under cache capacity limitations. In the process of data transmission, we consider the group movement characteristics of ICVs.
- We decouple the QoE-optimal problem into two sub-problems, namely power allocation problem and content placement problem, to acquire the resource allocation scheme that achieves the optimal QoE. In this way, we obtain the optimum cache decision and power distribution of the system.

The rest of this paper is organized as follows. In Section 2, we review the existing studies on content caching in the IoV domain. Then, we introduce the system model in Section 3 and problem formulation in Section 4. These are followed by simulation results of the proposed method in Section 5. Lastly, we provide our conclusions and future research directions in Section 6 to finalize the paper.

# 2 RELATED WORKS

In recent years, several studies have utilized caching within different communication frameworks by designing novel strategies to improve the content delivery performance of systems [9], [13], [14]. In this section, we briefly summarize the current literature on caching schemes for the ICVs and evaluate them from different perspectives.

## 2.1 Vehicular Caching Scheme

In vehicular caching schemes, vehicles can act as content providers to serve requesters via V2V communications.

Zhang *et al.* [15] explored the interaction behaviors between mobile users and vehicles and proposed an online caching system for content-centric vehicular networks. They investigated the factors impacting caching performance, e.g., the distribution of mobile users and vehicles, the communication mode of heterogeneous nodes, and content popularity, to efficiently perform vehicular caching towards minimizing energy consumption of the whole system.

In [14], the authors designed a cooperative vehicular caching strategy to improve the content acquisition performance by combining the nodes' social attributes and mobility patterns in the vehicular content network. The exhaustive simulations showed that this proposal outperformed DPC [16], LDCC [17], and DAC [18] in terms of different Key Performance Indicators (KPIs).

Our previous work [19] was also dedicated to developing a vehicular caching system called PICS. While making cache decisions, PICS considers several factors affecting invehicle caching performance, such as content popularity, vehicle preference, and node selfishness, to alleviate the burden of base stations (BSs).

In the strategies summarized above, the roadside units (RSUs) or BSs were assumed to be the content library, which could hold all files that might be requested. However, the cache space of these entities was not infinite, and the request to the core network was always required in more realistic environments, causing longer content retrieving delay.

# 2.2 BS/RSU-assisted Caching Scheme

In BS/RSU-assisted caching schemes, BSs/RSUs are used as cache carriers to provide content units for mobile users.

Su *et al.* [20] proposed an edge caching strategy considering vehicle request pattern, limited cache capacity, and RSUs' cooperation to cache the popular contents. Novelly, they also considered the retrieving delay in V2V communications while making RSU cache decisions. Extensive simulations verified the system's effectiveness.

Zhang *et al.* [21] investigated the demand and mobility patterns of autonomous vehicles in the 5G-ICN and developed a proactive caching approach for BSs, RSUs, and routers. In this proposal, videos were divided into multiple chunks, where hierarchical nodes could cache different chunks. However, they did not consider V2V communications.

Song *et al.* [22] developed an RSU caching strategy for short videos in vehicular networks. This proposal takes QoE of short videos as the ultimate objective while regarding the users' requests as a class-based model. The novel part of this

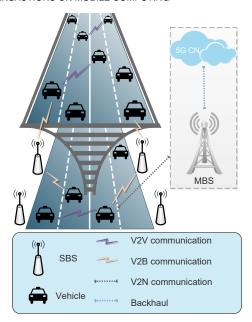


Fig. 1: The proposed system in a 5G-enabled ICV scenario.

work was the design of a deep reinforcement learning-based solution to achieve the optimal solution of cache allocation.

In general, the cache capacity of each cache entity is finite. The increasing interactive data volume makes the cache space insufficient to store all content [23], therefore, a reasonable content cache selection mechanism becomes indispensable. Furthermore, the dynamic characteristics of the ICV and the diversity of ICV requests make content caching a challenging task. However, since the SBSs are ultra-densely distributed along roadsides and are usually interconnected, cooperative caching becomes easier to implement. That further enables ICVs to be served by multiple SBSs, develops the caching diversity [9], and thus improves the utilization of cache storage. Different from [9], we study inhomogeneous caching and power allocation strategies for the ICVs based on a 5G-enabled system with a particular focus on the communication between entities, i.e., V2V, V2B, and V2N.

# 3 SYSTEM MODEL

This section describes the system model envisioned for cache management in 5G-enabled ICV networks as well as the heterogeneous communication model for different entities. For the convenience of reading, we list the symbols used in this paper in Table. 1.

# 3.1 Cache Management Architecture for the 5Genabled ICV Network

Let us consider a network architecture as depicted in Fig. 1 that includes a MBS and connects to the 5G core network (CN) or remote content server, with K SBSs (densely deployed along the road), i.e.,  $\mathbf{B} = \{B_1, B_2, ..., B_k, ... B_K\}$ , and N ICVs (moving on a road region), i.e.,  $\mathbf{U} = \{U_1, U_2, ..., U_n, ... U_N\}$ . Suppose that the ICVs are built with antennas to send or receive data, and SBSs are equipped with antenna arrays to provide ubiquitous communication

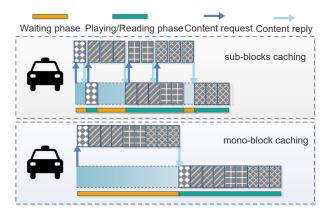


Fig. 2: Comparison of different cache models.

coverage. Both SBSs and ICVs have storage space to cache several videos, audio files, or text pieces.

A video/audio/text content can be encoded into several sub-units, which can be stored in different ICVs and SBSs. Suppose that each ICV caches several beginning content units of a service with a specific probability, and the SBSs cooperatively cache content units in their storage. When requiring a content service (e.g., a video), as depicted in the upper side of Fig. 2, user  $U_n$  ( $U_n \in \mathbf{U}$ ) will first try to look up the beginning content that is stored in its OBU or request it from its neighbor. During the content playing/read-out phase,  $U_n$  will then ask for the subsequent segments (in this paper, we use unit and segement alternately, they represent the same meaning) from the SBSs. Similarly,  $U_n$  will play the newly-received segments simultaneously, requesting the following pieces. Compared to mono-block caching (as depicted in the lower side of Fig. 2), i.e., caching the complete file in one node, sub-block caching can shorten the waiting phase. If  $U_n$  cannot fetch the entire content service through its OBU or the SBSs, a content access process is required by the MBS. As such, users who can fetch content from the original content servers will experience longer latency, resulting in QoE degradation [24]. The specific time calculation details will be described in Section 3.3.

# 3.2 File Request Features and File Transmission Delay

#### 3.2.1 File Request Features

Assume that the content library, i.e., files set that might be requested, contains M files  $\mathbf{F} = \{F_1, F_2, ..., F_m, ..., F_M\}.$ However, the expected file preferences of different ICVs are usually different. For example, the ICVs in a region near complex traffic zones tend to request traffic conditionrelated services, while the terminals near a supermarket may ask for discount information. To deal with the regionality, we first divide the road section into different regions  $R = \{R_1, R_2, ..., R_Y\}$  and then partition the file library set **F** into several subsets, i.e.,  $\mathbf{F} = \mathbf{R}_1 \cup \mathbf{R}_2 \cup ... \cup \mathbf{R}_y \cup ... \cup \mathbf{R}_Y$ , where subset  $\mathbf{R}_y \subseteq \mathbf{F}$  contains the files that the ICVs in  $R_y$ trying to access. For each region, we assume that content acquisition is directional, i.e., ICVs tend to show solicitude for the relevant information ahead. Therefore, we plan to store content units in the SBS sequentially according to ICVs' driving direction.

TABLE 1: Notation table.

Notation	Meaning	Remarks
$B_k$	k—th SBS $(k = 1, 2,, K)$	$\mathbf{B} = \{B_1, B_2,, B_k,B_K\}$
$U_n$	n-th ICV $(n = 1, 2,, N)$	$\mathbf{U} = \{U_1, U_2,, U_n,U_N\}$
$F_m$	m—th file $(m = 1, 2,, M)$	$\mathbf{F} = \{F_1, F_2,, F_m, F_M\}$
$\mathbf{R}_y$	Files that the ICVs in region $R_y$ trying to access	$\mathbf{F} = \mathbf{R}_1 \cup \mathbf{R}_2 \cup \cup \mathbf{R}_y \cup \cup \mathbf{R}_Y$
$R_{n,V2B_k}$	Content transmission rate between $U_n$ and $B_k$	Equal to $W_{n,k} \log_2(1 + SINR_{n,k}^B)$
$R_{n,V2V_n}$	Content transmission rate between $U_n$ and $U_p$	Equal to $W_{V2V}\log_2(1+{\sf SINR}_{n,p}^{V})$
$P_{n,k}^{U/m}(U_{n,k}^m)$	Propagation delay (distance) of upload link between $U_n$ and $B_k$	$P_{n,k}^{U/m} = U_{n,k}^m/c$
$P_{n,k}^{D/m}(D_{n,k}^m)$	Propagation delay (distance) of download between $\mathcal{U}_n$ and $\mathcal{B}_k$	$\mathcal{P}_{n,k}^{X/D/m}$ and $D_{n,k}^{m_X}$ indicate items in case X
$l_m$	The number of content units of $F_m$ that might be cached in the ICV	N.A.
$p_m$	Cache probability of the FIS	N.A.
$lpha_k^m$	The number of content units that cached in $B_k$	$\mathbf{a}_m = \{\alpha_1^m, \alpha_2^m,, \alpha_K^m\}$
$\overline{A}$	The size of each content unit	N.A.
$G_X$	Probability of different cases in Stage 1	$G_X$ indicates the probability of case X
m(k)	The size of content units served by $B_k$	$k \ge 2$
$m_X(1)$	The size of content units served by $B_1$ in case X	m(0) indicates the units' size served by ICV
eta	The duration that each content unit can be played	Video, audio, and text have different $\beta$ values
$T_{n,k}^m$	The content transmission delay between $U_n$ and $B_k$	$T_{n,k}^{X/m}$ indicates the delay in case X
$T_{n,U_n}^m$	The content transmission delay between $U_n$ and $U_p$	N.A.
$T_{n,k}^{R-S}$ $T^{R-V}$	The request transmission delay between $U_n$ and $B_k$	N.A.
$T_{n,U_p}^{R-V}$	The request transmission delay between $U_n$ and $U_p$	N.A.
$\mathcal{T}_{n,k}^m$	The delay of $U_n$ to retrieve content from $B_k$	$\mathcal{T}_{n,k}^{X/m}$ indicates the delay in case X
$\mathbb{T}^m_{n,k}$	The time when $U_n$ received the units from $B_k$	$\mathbb{T}_{n,k}^{X/m}$ indicates the time in case X
$\mathcal{D}_{n,k}^m$	The play duration time of units that accessed from $B_k$	$\mathcal{D}_{n,k}^{X/m}$ indicates the duration time in case X
$\mathbb{D}^m_{n,k}$	The play end time of units that accessed from $B_k$	$\mathbb{D}_{n,k}^{X/m}$ indicates the time in case X
${\mathcal W}_{n,k}^m$	The waiting time in stage $k$	$\mathcal{W}_{n,k}^{X/m}$ indicates the time in case X
$C_v$	The maximum V2V communication distance	N.A.
$q_{R_y(m)}$	The request probability of file $m$ in region $\mathrm{R}_y$	N.A.
$\mathcal{C}_k$	Maximum cache capacity of SBS $B_k$	N.A.
$P_j$	Transmission power allocated to SBS $B_j$	N.A.

To model content popularity, we arrange the data that might be requested in region  $R_y$  in a popularity-descending order. We divide the files in  $\mathbf{R}_{y}$  into three categories, namely entertainment information, advertising information, and traffic information. These classes cover different file types, including video  $\mathbf{R}_{v}^{v}$ , audio  $\mathbf{R}_{v}^{a}$  and text  $\mathbf{R}_{v}^{t}$  ( $\mathbf{R}_{v} = \mathbf{R}_{v}^{v} \cup \mathbf{R}_{v}^{a} \cup \mathbf{R}_{v}^{t}$ ). In this paper, we focus more on video and audio files, since the size of text information is relatively small; therefore, they do not need to be divided into smaller content units. Undoubtedly, text files can also use the caching scheme proposed in this paper, but the performance improvement is not as obvious as videos or audios. We assume that the request probabilities of different file types are different. Let  $Q_v, Q_a$ , and  $Q_t$  denote the request probability of video, audio, and text  $(Q_v + Q_a + Q_t = 1)$ , respectively. To deal with the differentiated request patterns in different regions, we assume that the request probability of each file in each category is similar for the ICVs in a certain region, and the request probability of file  $F_m$  in  $\mathbf{R}_y$  can be modeled as Zipf distribution function [25]. Taking video files as an example, the probability of a video file  $F_m^v$  being requested is:

$$q_{F_y^v(m)} = \frac{(r_m^v)^{-\rho_v}}{\sum_{i=1}^{|\mathbf{R}_y^v|} (r_i^v)^{-\rho_v}},\tag{1}$$

where  $q_{F_y^v(m)}$  is the popularity of the  $r_m^v$ -th file in video class, and  $\rho_v$  is the parameter of Zipf distribution for video files. In this way, we can calculate the request probability of a video file as  $q_{R_y(m)} = q_{F_y^v(m)} \cdot Q_v$ . The request probability of other content categories can be calculated in the same way. In the subsequent derivation process, we take file  $F_m$  as a representative to finish the deduction.

#### 3.2.2 File Access Delay

There are two components considered in file access delay: transmission delay and propagation delay.

*Transmission Delay:* If requester  $U_n$  is served by SBS  $B_k$ , the content transmission rate can be calculated by:

$$R_{n,V2B_k} = W_{n,k} \log_2(1 + \text{SINR}_{n,k}^B),$$
 (2)

where  $W_{n,k}$  is the available bandwidth between  $U_n$  and  $B_k$ , and  $\mathrm{SINR}_{n,k}^B$  denotes the received Signal to Interference plus Noise Ratio (SINR) between  $U_n$  and  $B_k$  [26], i.e.:

$$SINR_{n,k}^{B} = \frac{|\mathbf{h}_{n,k}\mathbf{w}_{n,k}|^{2}}{\sum_{i \neq m}^{N} |\mathbf{h}_{i,k}\mathbf{w}_{i,k}|^{2} + N_{0}},$$
 (3)

where  $\mathbf{h}_{n,k}$  is the channel vector of  $B_k$  to  $U_n$  with  $1 \times N_T$ , (1)  $N_T$  is the number of transmitting antennas in the SBS,  $\mathbf{w}_{n,k} = c\sqrt{P_k}\mathbf{h}_{n,k}^{\dagger}$  is the precoding vector from  $B_k$  to

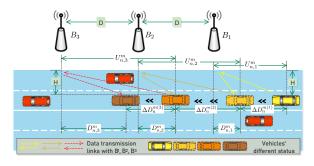


Fig. 3: Calculation of transmission distance.

 $U_n$ ,  $N_0$  is the noise power,  $\mathbf{h}_{n,k}^{\dagger}$  is the k-th column of  $\mathbf{H}_n^{\dagger}$  ( $\mathbf{H}_n^{\dagger} = \mathbf{H}_n^H (\mathbf{H}_n \mathbf{H}_n^H)^{-1}$ ), and  $\mathbf{H}_n = [\mathbf{h}_{n,1}^T, \mathbf{h}_{n,2}^T, ..., \mathbf{h}_{n,k}^T]$ . Substituting  $\mathbf{w}_{n,k}$  into (3), we get:

$$SINR_{n,k}^{B} = \frac{|c\sqrt{P_k}\mathbf{h}_{n,k}\mathbf{h}_{n,k}^{\dagger}|^2}{\sum_{i\neq n}^{N}|c\sqrt{P_k}\mathbf{h}_{i,k}\mathbf{h}_{i,k}^{\dagger}|^2 + N_0}.$$
 (4)

Similarly, the explicit file transmission rate can be calculated by substituting (4) into (2).

Due to the dynamic nature of vehicles, the retransmission times must be taken into account. We define the transmission delay between  $U_n$  and  $B_k$  concerning file  $F_m$  of a specific served size as  $T_{n,k}^m = [r_t \cdot m(k)] \cdot m(k)/R_{n,V2B_k}$ , where  $r_t \cdot m(k)$  is the expected retransmission time, and m(k) is the size of units to be transmitted. In general, retransmission time is related to the signal-to-noise ratio (SNR), bit error rate, and packet length. However, for simplicity, we assume that the number of retransmissions is positively related to the length of the transmitted content. Also, there will be a transmission delay  $T_{n,k}^{R-S} = r_t \cdot s_r^2/R_{n,V2B_k}$  when the request is issued, where  $s_r$  is the size of the interest packet.

If requester  $U_n$  is served by another ICV,  $U_p$  ( $U_p \in \mathbf{U}$ ), the achievable data transmission rate for radio access will be:

$$R_{n,V2V_p} = W_{V2V} \log_2(1 + \text{SINR}_{n,p}^V),$$
 (5)

where  $W_{V2V}$  is the bandwidth allocated to V2V communication,  ${\rm SINR}_{n,p}^V = \frac{P_T d_{n,p}^{-\alpha}}{\sigma^2 + I}$  denotes the SINR between  $U_n$  and  $U_p$ , where  $P_T$  is the transmission power of the associated content provider  $U_p$ ,  $\alpha$  is the path loss exponent,  $d_{n,p}$  is the transmission distance between  $U_n$  and  $U_p$ ,  $\sigma^2$  is the addictive noise power, and I is the intercell interference. Similar to V2B communication delay, we can define the transmission delay between  $U_n$  and  $U_p$  as  $T_{n,U_p}^m = r_t \cdot m^2(0)/R_{n,V2V_p}$ , where m(0) is the size of content segments that are cached in ICVs. Similarly, the transmission delay of the interest packet between vehicles is  $T_{n,U_p}^{R-V} = r_t \cdot s_r^2/R_{n,V2V_p}$ .

*Propagation Delay:* The propagation delay is proportional to the distance between the requester and the provider. In general, requester  $U_n$  will send an interest packet to the SBS after issuing requests to other ICVs for the desired content unit. As shown in Fig. 3, the propagation distance of the uplink transmission between  $U_n$  and  $B_1$  is  $U_{n,1}^m$  (omitting the longitudinal distance H). Denoting D as the

SBSs' deployment interval, the uplink propagation distance between  $U_n$  and  $B_k$  can be expressed as:

$$U_{n,k}^{m} = U_{n,1}^{m} + (k-1)D - \sum_{i=1}^{k-1} \Delta D_{n}^{m(i)},$$
 (6)

where  $\Delta D_n^{m(j)} = \mathcal{T}_{n,j}^m \cdot \bar{v}_n$ , denoting the distance that  $U_n$  moves during the j-th content request process  $(\mathcal{T}_{n,j}^m)$  and  $\bar{v}_n$  being the mean velocity in this process. The propagation delay of this upload phase is  $\mathcal{P}_{n,k}^{U/m} = U_{n,k}^m/c$ , where c is the propagation rate of the electromagnetic wave. Upon receiving the interest packet,  $B_1$  will serve  $U_n$  with the required content segment. The propagation distance of each content segment, i.e., download link, can be summarized as:

$$D_{n,k}^{m} = U_{n,1}^{m} + (k-1)D - \sum_{j=1}^{k} \Delta D_{n}^{m(j)}.$$
 (7)

Similarly, the propagation delay of this download phase can be calculated as  $\mathcal{P}_{n,k}^{D/m} = D_{n,k}^m/c$ . In particular, for special case X (X = A,B, and C, which will be specified in Section 3.3),  $\mathcal{P}_{n,k}^{X/D/m} = D_{n,k}^{m_X}/c$ , and  $D_{n,k}^{m_X} = U_{n,1}^m + (k-1)D - \sum_{j=1}^k \Delta D_n^{m_X(j)}$ .

Considering that data acquisition in V2V often requires close-range communications, the propagation delay is relatively small, which is far less than the transmission delay. Therefore, we neglect this item in V2V communications. Furthermore, the movement of ICVs during the V2V request is also ignored. It is worth noting that, in this proposal, we set the initial time  $t_0$  as the moment when  $U_n$  sends a request to the first SBS,  $B_1$ .

#### 3.3 The Request Model

A consumer in a content-oriented network will issue an interest packet identified by a unique name while asking for specific content. When an intermediate ICV/SBS receives such a packet and finds some fragments in its storage that are corresponding to the content requested, it will send back the content duplicate through the incoming interface.

Supposing that a complete file can be encoded into several sub-segments, and each ICV caches the FIS (First  $l_m$  content Segments) of file  $F_m$  with probability  $p_m$ , SBS  $B_1$  caches the beginning  $\alpha_1^m(\alpha_1^m \geq l_m)$  content segments, SBS  $B_2$  caches the subsequent  $\alpha_2^m$  segments, and so on, SBS  $B_k$  stores the  $\alpha_k^m$  units that follow the previous  $\alpha_{k-1}^m$  units. Denoting A as the size of each segment, the size of the content units m(k) that served by an ICV (k=0) or  $B_k(k \geq 1)$  is supposed to be:

$$m(k) = \begin{cases} A \cdot l_m & i = 0, \\ A \cdot \alpha_k^m & i = 1, 2, 3, ..., K. \end{cases}$$
 (8)

When service is demanded, requester  $U_n$  first tries to request the FIS via V2V homogeneous transmission, then asks for the subsequent segment from the SBSs. If the size of the accessed content, i.e.,  $\sum_{k=1}^{k=K} m(k)$ , is less than the file size  $s_m$ , requester  $U_n$  will fetch the remaining segments from the MBS as shown in Fig. 4. In this subsection, we divide the content retrieval process into several stages and describe them in detail.

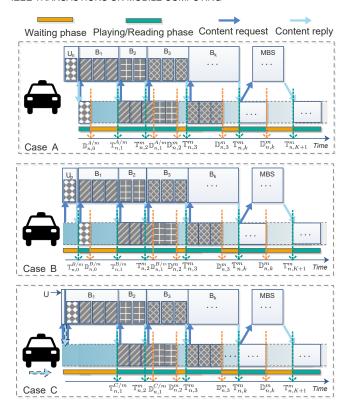


Fig. 4: Content request process in different cases.

# 3.3.1 Stage 1

Stage 1 indicates the content access process of the beginning  $\alpha_1^m$  segments, where  $\alpha_1^m$  is the number of content units stored in the first SBS  $B_1$ . This stage includes two steps: i) data acquisition via probabilistic V2V communication, ii) content access between  $B_1$  and the requester.

In general, there are three different cases in *Stage 1*: **Case A:** The FIS of  $F_m$  is cached in the local storage of  $U_n$ , i.e.,  $U_n \in \mathbf{C}_m$ , where  $\mathbf{C}_m$  denotes the collection of ICVs that cached the FIS of file  $F_m$ . The probability of Case A occurring is  $G_A = p_m$ . The content request process in *Stage 1* follows the steps below:

Step 1: Requester  $U_n$  retrieves the FIS of  $F_m$  from the local cache, the waiting time of which is  $\mathcal{W}_{n,0}^{A/m}=0$ .

Step 2: During the content playing phase of the FIS, the requester tries to access the subsequent units of  $B_1$ .

In Case A, the number of content units that is served by SBS  $B_1$  is  $m_A(1) = m(1) - m(0)$ , and the delay to retrieve content from  $B_1$  is:

$$\mathcal{T}_{n,1}^{A/m} = T_{n,1}^{R-S} + \mathcal{P}_{n,1}^{U/m} + T_{n,1}^{A/m} + \mathcal{P}_{n,1}^{A/D/m}, \tag{9}$$

where  $T_{n,1}^{R-S}$ ,  $\mathcal{P}_{n,1}^{U/m}$  and  $T_{n,1}^{A/m}$ ,  $\mathcal{P}_{n,1}^{A/D/m}$  denote the transmission and propagation delays of the interest packet and the data packets  $(T_{n,1}^{A/m} = r_t \cdot m_A^2(1)/R_{n,V2B_1})$ , respectively. To facilitate the following representation, we define  $T_{n,1}^{A/m} \triangleq f[m_A(1)]$ .

The play duration time of the FIS of  $F_m$  is  $\mathcal{D}_{n,0}^{A/m} = m(0) \cdot \beta \triangleq g[m(0)]$ , where  $\beta$  is the duration that each unit can be played. The waiting time during this process is:

$$\mathcal{W}_{n,1}^{A/m} = \max\{\mathbb{T}_{n,1}^{A/m} - \mathbb{D}_{n,0}^{A/m}, 0\} \triangleq \max\{w_{n,1}^{A/m}, 0\}, \quad (10)$$

where  $\mathbb{T}_{n,1}^{A/m}$  is the time when the content units in SBS  $B_1$  are transmitted successfully, and  $\mathbb{D}_{n,0}^{A/m}$  is the play end-time of the previous content units. In this sub-case, i.e., Case A of Stage 1,  $\mathbb{T}_{n,1}^{A/m} = \mathcal{T}_{n,1}^{A/m}$  and  $\mathbb{D}_{n,0}^{A/m} = \mathcal{D}_{n,0}^{A/m}$ .

Case B: The FIS of  $F_m$  is not cached in  $U_n$  but cached in

Case B: The FIS of  $F_m$  is not cached in  $U_n$  but cached in other ICVs that are located in  $U_n$ 's V2V range, i.e.,  $\exists U_p \in \mathbf{C}_m \setminus \{U_n\}: d_{p,n} \leq C_v$ , where  $C_v$  is the maximum V2V communication range. The probability of Case B is  $G_B = 1 - G_A - (1 - p_m)^{\mathbb{E}[N_n] + 1}$ , where  $\mathbb{E}[N_n]$  denotes the expected number of ICVs that are located in the communication range of  $U_n$ , which is subject to the actual distribution of the ICV group.

Step 1: In this case, the FIS of  $F_m$  is served by the neighbor of  $U_n$ . The waiting time in this step is equal to the transmission delay, i.e.,  $\mathcal{W}_{n,0}^{B/m} = \mathbb{T}_{n,0}^{B/m} = T_{n,U_p}^{R-V} + T_{n,U_p}^m$ , where  $T_{n,U_p}^{R-V}$  and  $T_{n,U_p}^m$  denote the transmission delay of interest and data packets, respectively.

Step 2: Similar to Case A, during the content playing phase of the FIS, the requester tries to access the subsequent units of  $B_1$ .

In Case B, the size of content units served by SBS  $B_1$  is  $m_B(1) = m(1) - m(0)$ , and the delay to retrieve content from  $B_1$  is:

$$\mathcal{T}_{n,1}^{B/m} = T_{n,1}^{R-S} + \mathcal{P}_{n,1}^{U/m} + T_{n,1}^{B/m} + \mathcal{P}_{n,1}^{B/D/m}.$$
 (11)

The specific meanings of these items are the same as those of Case A. The play duration time of the FIS is  $\mathcal{D}_{n,0}^{B/m}=g[m(0)]$ , and the waiting time during this process is:

$$\mathcal{W}_{n,1}^{B/m} = \max\{\mathbb{T}_{n,1}^{B/m} - \mathbb{D}_{n,0}^{B/m}, 0\} \triangleq \max\{w_{n,1}^{B/m}, 0\}. \quad (12)$$

In this sub-case,  $\mathbb{T}_{n,1}^{B/m}=\mathcal{T}_{n,1}^{B/m}$  and  $\mathbb{D}_{n,0}^{B/m}=\mathcal{D}_{n,0}^{B/m}$ . Case C: None of the requesters and their neighbors

**Case C:** None of the requesters and their neighbors cached the FIS of  $F_m$ , i.e.,  $U_n \notin \mathbf{C}_m \& \nexists U_p \in \mathbf{C}_m \setminus \{U_n\}: d_{p,n} \leq C_v$ . The probability of this case is  $G_C = (1-p_m)^{\mathbb{E}[N_n]+1}$ .

Step 1: In this case, the FIS of  $F_m$  cannot be accessed via the V2V communication. The waiting time of this step is  $\mathcal{W}_{n,0}^{C/m}=\text{RTT}$ , where RTT is the round-trip time of the  $U_n$ 's request.

Step 2: The requester tries to access the subsequent units of  $B_1$ .

In Case C, the number of content units of  $F_m$  that is served by SBS  $B_1$  is  $m_C(1) = m(1)$ , and the delay to retrieve content from  $B_1$  is:

$$\mathcal{T}_{n,1}^{C/m} = T_{n,1}^{R-S} + \mathcal{P}_{n,1}^{U/m} + T_{n,1}^{C/m} + \mathcal{P}_{n,1}^{C/D/m},$$
 (13)

where  $T_{n,1}^{C/m}=f[m(1)]$ , and the specific meanings of the remaining items are the same as those of Case A. Since the requester cannot get the desired content from the ICV, the play duration time of the previous sequence is  $\mathcal{D}_{n,0}^{C/m}=0$ . Thus, the waiting time in this case is:

$$\mathcal{W}_{n,1}^{C/m} = \max\{\mathbb{T}_{n,1}^{C/m} - \mathbb{D}_{n,0}^{C/m}, 0\} \triangleq \max\{w_{n,1}^{C/m}, 0\}, \quad (14)$$

where  $\mathbb{T}_{n,1}^{C/m}$  is the time when content units in SBS  $B_1$  is transmitted successfully, and  $\mathbb{D}_{n,0}^{C/m}$  is the play end-time of the previous content unit. In this sub-case,  $\mathbb{T}_{n,1}^{C/m} = \mathcal{T}_{n,1}^{C/m}$ ,  $\mathbb{D}_{n,0}^{C/m} = \mathcal{D}_{n,0}^{C/m}$ .

Combining the cases explained above, we can calculate the expected waiting time of the FIS as:

$$\mathcal{W}_{n,0}^{m} = G_{A} \mathcal{W}_{n,0}^{A/m} + G_{B} \mathcal{W}_{n,0}^{B/m} + G_{C} \mathcal{W}_{n,0}^{C/m} 
= \frac{G_{B} r_{t} [s_{r}^{2} + m^{2}(0)]}{R_{n,V2V_{n}}} + G_{c} \mathcal{W}_{n,0}^{C/m}.$$
(15)

The waiting time of the first content segments is denoted as  $\mathcal{W}_{n,1}^m = \max\{\mathbb{T}_{n,1}^m - \mathbb{D}_{n,0}^m, 0\} \triangleq \max\{w_{n,1}^m, 0\}$ . Also, we have  $\mathbb{T}_{n,1}^m = \mathcal{T}_{n,1}^m$  and  $\mathbb{D}_{n,0}^m = \mathcal{D}_{n,0}^m$ . Substituting the specific calculation of transmission time in different cases into  $\mathcal{T}_{n,1}^m$ , i.e., the expected time of the requester to receive content units from  $B_1$ , we get:

$$\mathcal{T}_{n,1}^{m} = G_A \mathcal{T}_{n,1}^{A/m} + G_B \mathcal{T}_{n,1}^{B/m} + G_C \mathcal{T}_{n,1}^{C/m}.$$
 (16)

Similarly, the expected play duration time of the FIS can be calculated as:

$$\mathcal{D}_{n,0}^{m} = G_{A} \mathcal{D}_{n,0}^{A/m} + G_{B} \mathcal{D}_{n,0}^{B/m} + G_{C} \mathcal{D}_{n,0}^{C/m}$$
  
=  $(G_{A} + G_{B}) \cdot m(0) \cdot \beta$ . (17)

# 3.3.2 Stage 2-K

Stage k refers to the content access process between requester  $U_n$  and provider  $B_k (k = 2, 3, ..., K)$ .

During the content playing phase of the units retrieved from the previous SBSs,  $U_n$  will request the subsequent segments. The size of the segments that ICV  $U_n$  can get from  $B_k$  is m(k), k=2,3,...,K, and the delay to retrieve content is:

$$\mathcal{T}_{n,k}^{m} = T_{n,k}^{R-S} + \mathcal{P}_{n,k}^{U/m} + T_{n,k}^{m} + \mathcal{P}_{n,k}^{D/m},$$
(18)

where  $T_{n,k}^{R-S}$ ,  $\mathcal{P}_{n,k}^{U/m}$  and  $T_{n,k}^m$ ,  $\mathcal{P}_{n,k}^{D/m}$  denote the transmission and propagation delays of the interest packet and the content units that are stored in  $B_k$  ( $T_{n,k}^m = f[m(k)]$ ), respectively. The waiting time in Stage k is

$$\mathcal{W}_{n,k}^{m} = \max\{\mathbb{T}_{n,k}^{m} - \mathbb{D}_{n,k-1}^{m}, 0\} \triangleq \max\{w_{n,k}^{m}, 0\}, \quad (19)$$

where  $\mathbb{T}_{n,k}^m$  is the time when the content units in SBS  $B_k$  are transmitted successfully, i.e.:

$$\mathbb{T}_{n,k}^{m} = \mathcal{T}_{n,1}^{m} + \mathcal{T}_{n,2}^{m} + \dots + \mathcal{T}_{n,k}^{m}, \tag{20}$$

and the play end-time of the content units accessed from  $B_{k-1}$  is:

$$\mathbb{D}_{n,k-1}^{m} = \sum_{i=0}^{k-1} \mathcal{D}_{n,i}^{m} + \sum_{i=1}^{k-1} \mathcal{W}_{n,i}^{m}, \tag{21}$$

where  $\mathcal{D}_{n,k}^m = g[m(k)]$  denotes the play duration time of the content units retrieved from  $B_k$ .

# 3.3.3 Stage K+1

Afterward, if the requester still cannot retrieve the complete file from SBSs, a service request will be sent to the MBS. The size of units that are served by the MBS is  $m(K+1) = s_m - \sum_{i=0}^K m(i)$ , and the waiting time during the current process is:

$$\mathcal{W}_{n,K+1}^{m} = \max\{\mathbb{T}_{n,K+1}^{m} - \mathbb{D}_{n,K}^{m}, 0\} \triangleq \max\{w_{n,K+1}^{m}, 0\}.$$
(22)

The file access time of *Stage K+1* and play end-time of its previous segments can be calculated as:

$$\mathbb{T}_{n,K+1}^m = \mathcal{T}_{n,1}^m + \mathcal{T}_{n,2}^m + \dots + \mathcal{T}_{n,K+1}^m, \tag{23}$$

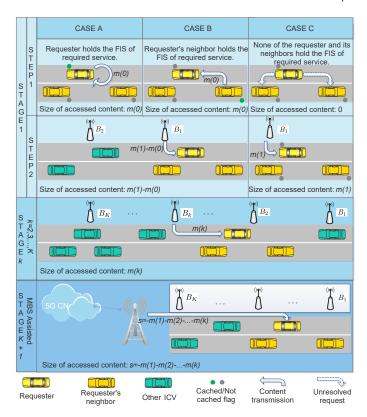


Fig. 5: Content access details in different stages.

$$\mathbb{D}_{n,K}^{m} = \sum_{i=0}^{K} \mathcal{D}_{n,i}^{m} + \sum_{i=1}^{K} \mathcal{W}_{n,i}^{m}, \tag{24}$$

where the required content access delay in *Stage K+1* is  $\mathcal{T}^m_{n,K+1} = D_{bh} \cdot m(K+1)$ , the backhaul latency is  $D_{bh}$ , and  $\mathcal{D}^m_{n,K} = g[m(K)]$ . Fig. 5 shows the content access details in different stages.

# 4 PROBLEM FORMULATION AND SOLUTION

In this section, we first present the problem formulation of the QoE maximization. Then, using the optimization objective and the decision variables presented, we customize a solution for the formulated problem.

# 4.1 Problem Formulation

The QoE of a content request is defined as follows:

$$QoE_{m} = \mathcal{R}(\sum_{i=1}^{K+1} \int_{\mathbb{D}_{n,i-1}^{m}}^{\max\{\mathbb{D}_{n,i-1}^{m}, \mathbb{T}_{n,i}^{m}\}} Q(t)dt).$$
 (25)

Since the impact of the stuck time during information playout on the QoE is different, we consider Q(t) as a satisfaction function concerning time t to evaluate the QoE changes in different intervals. In this proposal, we take Q(t)=1 as an example to analyze the cache performance and assume that  $\mathcal{R}(\cdot)$  is the QoE function, which is inversely proportional to the waiting time. Thus, the QoE optimization task can be regarded as a waiting time minimization problem, i.e.,  $\sum_{i=1}^{K+1} \int_{\mathbb{D}_{n,i-1}^m}^{\max\{\mathbb{D}_{n,i-1}^m,\mathbb{T}_{n,i}^m\}} \mathrm{d}t$ , which is equal to  $\sum_{i=0}^{K+1} \mathcal{W}_{n,i}^m$ .

**Proposition 1:** The waiting time of a complete content retrieval process is the sum of access delay minus play duration time in each stage, i.e.:

$$W_n^m = \sum_{i=0}^{L+1} \mathcal{T}_{n,j}^m - \sum_{i=0}^{L} \mathcal{D}_{n,j}^m,$$
 (26)

where L is the largest stage serial number that satisfies  $\mathbb{T}^m_{n,L+1} > \mathbb{D}^m_{n,j}.$ 

Proof: See Appendix A.

We can rewrite the optimization problem concerning the cache allocation vector, i.e.,  $\mathbf{a}_m = \{\alpha_1^m, \alpha_2^m, ..., \alpha_K^m\}$ , and power allocation vector, i.e.,  $\mathbf{P} = \{P_1, P_2, ..., P_K\}$ . Thus, the optimal waiting time  $\mathcal{W}_{\text{opt}}^m$  in region  $R_y$  can be calculated as:

$$\mathcal{W}_{\text{opt}}^{m} = \min_{\mathbf{a}_{m}, \mathbf{P}} q_{R_{y}(m)} \mathcal{W}_{n}^{m}$$

$$\mathcal{C}1 : A \cdot \alpha_{k}^{m} \leq \mathcal{C}_{k},$$

$$\mathcal{C}2 : A \cdot \sum_{k=1}^{K} \alpha_{k}^{m} \leq s_{m},$$

$$\mathcal{C}3 : \sum_{j=1}^{K} P_{j} \leq P_{\text{max}},$$

$$\mathcal{C}4 : \alpha_{k}^{m} \in \{0, 1, 2, ..., s_{m}/A\}, \forall m \in \mathbf{R}_{y},$$

$$\mathcal{C}5 : P_{k} > 0, k = 1, 2, ..., K,$$

$$(27)$$

where  $\mathcal{C}1$  and  $\mathcal{C}2$  indicate that the cache allocation is subject to storage capacity and content size limitation,  $\mathcal{C}3$  states that the transmission power assigned to file  $F_m$  in  $\mathbf{R}_y$  is restricted to a maximum of  $P_{\max}$ ,  $\mathcal{C}_k$  is the cache capacity of  $B_k$ ,  $\mathcal{C}4$  is the integer constraint on cache allocation, and  $\mathcal{C}5$  is the nonnegative constraint on power allocation.

# 4.2 Problem Simplification

The problem defined in (27) is a mixed-integer nonlinear program (MINLP) [27]. Thus, to simplify the calculation, we decouple (27) into two sub-problems, namely the power and cache allocation sub-problems. The optimality of these sub-problems is equivalent to the previous optimization problem, and to solve which we take L=K as a special case.

**Proposition 2:** The waiting time is equivalent to the following expression with respect to  $\mathbf{a}_m$  and  $\mathbf{P}$ :

$$\mathcal{W}_{n}^{m} = \sum_{i=1}^{K} \frac{r_{t} s_{r}^{2}}{\log_{2}(1 + \frac{P_{i}}{I + N_{0}})} + \frac{2KU_{n,1}^{m}}{c} + \sum_{i=1}^{K} \frac{2(i-1)D}{c} + \sum_{i=1}^{K} \frac{r_{t}\{1 - [1 + 2(K-i)] \cdot \frac{\bar{v}_{n}}{c}\} \cdot \mathcal{S}(i)}{\log_{2}(1 + \frac{P_{i}}{I + N_{0}})} + \mathcal{W}_{n,0}^{m} + D_{bh}[s_{m} - \sum_{i=1}^{K} (A \cdot \alpha_{i}^{m})] - \sum_{i=1}^{K} (A \cdot \alpha_{i}^{m} \cdot \beta),$$
(28)

where

$$S(i) = \begin{cases} (G_A + G_B)[A(\alpha_1^m - l_m)]^2 + G_C(A \cdot \alpha_1^m)^2, & i = 1\\ (A \cdot \alpha_i^m)^2, & 2 \le i \le K. \end{cases}$$
(29)

Proof: See Appendix B.

#### 4.2.1 Power allocation

For a given content placement decision  $\{\alpha_1^m, \alpha_2^m, ..., \alpha_k^m\}$ , the power allocation sub-problem can be formulated as:

$$\min_{\mathbf{P}} \mathcal{W}_{n}^{m} = \sum_{i=1}^{K} \frac{r_{t} s_{r}^{2} + r_{t} \{ 1 - [1 + 2(K - i)] \cdot \frac{\bar{v}_{n}}{c} \} \cdot \mathcal{S}(i)}{\log_{2} (1 + \frac{P_{i}}{I + N_{0}})} - (D_{bh} + \beta) \sum_{i=1}^{K} (A \cdot \alpha_{i}^{m}) + \mathbb{C},$$
(30)

$$s.t. \quad \sum_{i=1}^{K} P_i \le P_{\text{max}}, \tag{30a}$$

$$P_i > 0, i = 1, 2, ..., K,$$
 (30b)

where  $\mathbb{C}=\mathcal{W}_{n,0}^{B/m}+D_{bh}s_m+\frac{2KU_{n,1}^m}{c}+\sum_{i=1}^K\frac{2(i-1)D}{c}$  contains items that are independent of cache and power allocation vectors, i.e.,  $\mathbf{a}_m$  and  $\mathbf{P}$ .

Lemma 1. The optimal problem can be organized as:

$$\min_{\mathbf{R}} \mathcal{W}_n^m = \sum_{i=1}^K \frac{r_t s_r^2 + r_t \{1 - [1 + 2(K - i)] \cdot \frac{\bar{v}_n}{c}\} \cdot \mathcal{S}(i)}{\mathcal{R}_i} - (D_{bh} + \beta) \sum_{i=1}^K (A \cdot \alpha_i^m) + \mathbb{C},$$
(31)

$$s.t. \quad \sum_{i=1}^{K} \mathcal{R}_i \le \mathcal{R}_{\text{max}}, \tag{31a}$$

$$\mathcal{R}_i > 0, k = 1, 2, ..., K,$$
 (31b)

where  $\mathbf{R} = \{\mathcal{R}_1, \mathcal{R}_2, ..., \mathcal{R}_K\}$ ,  $\mathcal{R}_i = \log_2(1 + \frac{P_i}{I + N_0})$ , and  $\mathcal{R}_{\max} = K \log_2(\frac{|c\mathbf{h}_i\mathbf{h}_i^\dagger|^2 P_{\max} + KI + KN_0}{KI + KN_0})$ . *Proof.* See Appendix C.

**Proposition 3:** For a fixed cache decision vector, the optimal power assignment decision is  $P_i = (I + N_0)(2^{\mathcal{R}_i} - 1)$ , where  $\mathcal{R}_i$  is:

$$\mathcal{R}_{i} = \frac{\mathcal{R}_{\max} \sqrt{r_{t} s_{r}^{2} + r_{t} \{1 - [1 + 2(K - i)] \cdot \frac{\bar{v}_{n}}{c}\} \cdot \mathcal{S}(i)}}{\sum_{j=1}^{K} \sqrt{r_{t} s_{r}^{2} + r_{t} \{1 - [1 + 2(K - j)] \cdot \frac{\bar{v}_{n}}{c}\} \cdot \mathcal{S}(j)}}$$
(32)

Proof. See Appendix D.

# 4.2.2 Content placement

By substituting **Proposition 3** into the waiting time minimization problem defined in (28), the following optimal cache allocation problem can be formulated:

$$\min_{\{\mathbf{a}_m\}} \mathcal{W}_n^m = \mathbf{S}^2 / \mathcal{R}_{\text{max}} - (D_{bh} + \beta) \sum_{i=1}^K (A \cdot \alpha_i^m) + \mathbb{C} \quad (33)$$

s.t. 
$$\alpha_k^m \le \mathcal{C}_k$$
, (33a)

$$\sum_{k=1}^{K} \alpha_k^m \le s_m, \tag{33b}$$

$$\alpha_k^m \in \{0, 1, 2, ..., s_m/A\},$$
 (33c)

where 
$$\mathbf{S} = \sum_{i=1}^{K} \sqrt{r_t s_r^2 + r_t \{1 - [1 + 2(K - i)] \cdot \frac{\bar{v}_n}{c}\} \cdot \mathcal{S}(i)}$$
.

# **Algorithm 1** The BRANCH & BOUND ALGORITHM

```
Input: Objective function f(x), constraints h_i(x), and inte-
    ger requirments IRs
Output: Optimal solution X* and optima Obj
 1: System_Initilization:
 2: sys.upp=sys.maxsize,sys.Q=[],Q_1=[],Q_2=[],opt_val=None,
 3: opt_sol=None, cur_val=None, cur_sol=None.
 4: function B&B(f(x),h_i(x),IRs)
        if LP<sub>0</sub>=LP(f(x), gi(x)) is feasible then
 5:
            [cur_soln, cur_val]=LP<sub>0</sub>
 6:
            Push [cur\_sol, cur\_val, gi(x)] to sys.Q.
 7:
 8:
        else Return -1
 9:
        end if
        while sys.Q is not empty do
10:
            [cur\_sol, cur\_val, gi(x)] = sys.Q.get()
11:
            if cur_sol satisfies all IRs then
12:
                if opt_val = None or opt_val > cur_val then
13:
                    [opt_val,opt_sol]=[cur_val,cur_sol]
14:
                   Return opt_val, opt_sol
15:
                end if
16:
            else
17:
                Select a cur_sol.x_j=b_j that do not satisfy IRs
18:
                Let C_1 : x_j \le [b_j] C_2 : x_j \ge [bj] + 1
19:
20:
                if LP(f(x), (gi(x), C_1)) is feasible then
21:
                   [cur_sol,cur_val]=LP(f(x),(gi(x),C<sub>1</sub>))
22:
                   Push [cur_sol,cur_val,(g_i(x),C_1)] to Q_1.
23:
                else Push [sys.upp, None, (g_i(x),C_1)] to Q_1.
                end if
24:
                if LP(f(x), (gi(x), C_2)) is feasible then
25:
                   [\operatorname{cur\_sol}, \operatorname{cur\_val}] = \operatorname{LP}(f(x), (gi(x), C_2))
26:
27:
                   Push [cur_sol,cur_val,(g_i(x),C_2)] to Q_2.
28:
                else Push [sys.upp, None, (g_i(x),C_2)] to Q_2.
29:
                end if
30:
                if Q_1[0] < Q_2[0] then
                   Push Q<sub>1</sub> to sys.Q
31:
32:
                   Push Q2 to sys.Q
33:
                end if
34:
35:
            end if
        end while
```

# The Algorithm

37: end function

36:

The problem defined in (33) is an integer nonlinear program (INLP). Although an INLP can be directly solved by Branch & Bound (B&B) algorithm [28], the time complexity of the B&B will sharply increase with the increasing SBS quantity. Furthermore, it requires higher time and space complexity due to the variable dimension and the computational complexity of the derivation. Therefore, in this paper, we adopt a modified Extended Cutting Plane method, named  $\alpha$ ECP [27] to solve Problem (33).

We first substitute the objectives and constraints into  $\alpha$ ECP as:

$$\min_{\mathbf{n}_m, \mu \in L} \mu \tag{34}$$

$$\min_{\mathbf{a}_m, \mu \in L} \mu \tag{34}$$
 s.t. 
$$\mathcal{W}_n^m(\mathbf{a}_m) - \mathcal{W}_n^m(\mathbf{a}_m^{o-l}) \leq 0, \tag{34a}$$

$$D_j[\mathcal{W}_n^m(\mathbf{a}_m)] - \mu \le 0, j = o - l, ..., o,$$
 (34b)

TABLE 2: The calculation complexity of the B&B algorithm.

SBS number	Iterations	Elapsed time (s)
1	10	0.065
2	26	0.233
3	57	0.598
4	120	1.317
5	247	2.295

where  $\mu$  is an additional variable, L is the linear constraint of (33), and  $D_j[\mathcal{W}_n^m(\mathbf{a}_m)] = \mathcal{W}_n^m(\mathbf{a}_m^j) - \nabla \mathcal{W}_n^m(\mathbf{a}_m^j)^T(\mathbf{a}_m - \mathbf{a}_m^j)$ .

First, let o = l = 0 and select an initial point  $\mathbf{a}_m^0$ . Then, solve the integer linear optimization problem (ILP)  $P_0$ , i.e., the objective function given in (34), s.t. (34a) (34b). Using the B&B provoded in Algorithm 1, we can get the optimal solution of  $P_0$ , which is denoted as  $\mathbf{a}_m^o$ .

Second, if  $\mathcal{W}_n^m(\mathbf{a}_m^{o+1}) < \mathcal{W}_n^m(\mathbf{a}_m^o)$ , replace the objective with  $D_{o+1}[\mathcal{W}_n^m(\mathbf{a}_m)]$ , and let o = o+1. If  $\mathcal{W}_n^m(\mathbf{a}_m^{o+1}) =$  $\mathcal{W}_n^m(\mathbf{a}_m^o)$ , add  $D_{o+1}[\mathcal{W}_n^m(\mathbf{a}_m)]$  to the objective and let o=o + 1, l = l + 1.

Third, if  $|\mathcal{W}_n^m(\mathbf{a}_m^{o-l}) - \mu| < \epsilon_{\mu}$ , terminate the algorithm and take  $\mathbf{a}_m^* = \mathbf{a}_m^{o+1}$ . Else, solve the current problem and perform the second part.

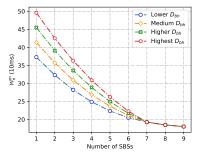
A more detailed procedure can be found in [27]. In our experiments, we consider  $\epsilon_{\mu}$  as a positive tolerance. The results are not optimal sometimes, but they are usually close to the global optimal solution. Also,  $\epsilon_{\mu}$  can act as a balancer between calculation accuracy and complexity. The variations between iteration/calculation time and the SBS quantity are shown in Table 2, which are obtained using a laptop with Intel(R) Core(TM) i5-8265U CPU@1.6GHz. Note that Table 2 only shows the iterations for a single calculation, and different initial point selections might affect the actual calculation.

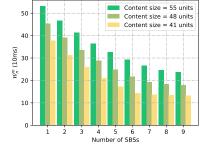
#### 5 SIMULATION RESULTS

#### 5.1 Waiting time

The purpose of enabling content cache in inhomogenous ICVs is to reduce the waiting time during service access. In this part, we evaluate the performance of the proposed caching strategy in terms of users' waiting time with respect to various parameters. The content size ranges from 40 to 55 units, where the size of each segment is fixed to 1, the default ICV/SBS cache size is set to [2-6]/[6-8] units, the length of the FIS ranges from 1 to 6 units, and the onboard cache probability is set to [0.1,0.25).

Fig. 6 illustrates the influence of SBS quantity and backhaul delay on the user's waiting time. For a fixed  $D_{bh}$ , the waiting time of content requests decreases with the increasing SBS quantity. The more SBSs deployed, the more contents available, which avoids remote content fetching procedures through the V2N. Consequently, the burden of the MBS would be alleviated, causing shorter  $\mathcal{W}_n^m$ . As depicted, smaller  $D_{bh}$  can offer a shorter waiting time to the user. Once the number reaches a certain level (in this setting, K=7), SBSs are capable of caching the whole content. In this case, with the continuous increase in the SBS quantity, the decrease rate of the waiting time will slow down. Because when the cache space is large enough, the requester will not





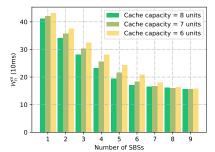


Fig. 6: Waiting time with different number of SBSs and  $D_{bh}$ .

Fig. 7: Waiting time with different number of SBSs and content size.

Fig. 8: Waiting time with different number of SBSs and cache capacity.

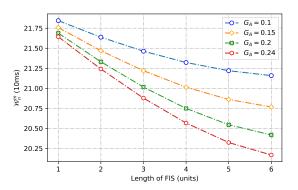


Fig. 9: Waiting time for different FIS lengths and OBU cache probability.

get content units from MBS anymore, and thus the difference in  $D_{bh}$  will not affect the waiting time. As the number of SBS increases, the available cache space becomes larger and larger. In this case, the content distribution method can be adjusted to reduce the waiting time; however, this downward trend will eventually saturate.

Fig. 7 and Fig. 8 reveal that the waiting time witnessed a decreasing trend for the increasing SBS quantity, concerning different content sizes and cache capacity. The waiting time first decreases and then tends to be stable. Moreover, as the file size increases, the waiting time gets longer. A larger content size will push the stable inflection point to a larger SBS quantity. As shown in Fig. 7, for the file with 41 units, the waiting time reaches the inflection point when the number of SBS reaches 6, and with the increase of the SBS quantity, the decline rate of the waiting time starts to slow down. However, the inflection point is K = 7 for the file with 48 units. As can be seen, the waiting time will increase as the content size increases. Fig. 8 shows the waiting time under different numbers of SBSs and SBSs' cache capacity. With the increasing SBS cache space, the waiting time of content requests decreases gradually. In summary, when the number of SBSs reaches a certain level, the waiting time will remain unchanged. Moreover, the stationary point will come earlier as the storage capability of the SBS increases. These phenomena are attributed to the decreasing content size retrieved via V2N communication.

Fig. 9 evaluates the waiting time's variation during

successful file access under different OBU cache probability and FIS lengths. As shown, a considerable decrease in the waiting time occurs as the length of FIS increases. The larger the cache probability is, the shorter the waiting time. In pace with a continuous increment in the OBU cache probability, it is clear that the decrease rate of the waiting time will slow down. This phenomenon is attributed to the fact that the node will obtain the FIS from a local or other OBU cache with greater probability when the onboard cache probability increases, further cut down the total waiting time.

#### 5.2 QoE Evaluation

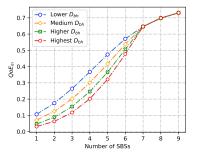
In general, users' QoE is related to the actual waiting time and the size of the accessed services. For instance, there is a QoE difference between receiving 2 Gigabyte (2GB) content within 3 seconds and receiving 4GB file within 3 seconds. The QoE in this paper is therefore defined as:

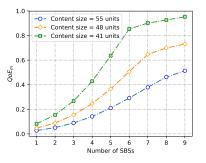
$$QoE_m = \mathcal{R}(\mathcal{W}_n^m) = \frac{1}{e^{\mathcal{W}_n^m/s_m - \text{Exp}_m}},$$
 (35)

where  $\text{Exp}_m$  is the expected waiting time of content  $F_m$ .

Fig. 10 shows the variation of the QoE for different SBS quantities and backhaul latency. For the increasing SBS quantity, the overall tendency of the QoE is inversely proportional to the waiting time. However, by comparing Fig. 6 and Fig. 10, it can be found that in the wake of SBS quantity increases, waiting time showed a downward trend, while the QoE showed the opposite. Similar to Fig. 6, when the number of SBSs is large enough, the content request can be satisfied without connecting to the MBS. Therefore, when the amount of SBSs is more than 6, the four curves will overlap.

In Fig. 11 and Fig. 12, we evaluate the impact of content size and cache capacity on the QoE for a fixed  $D_{bh}$ . Similar to the previous analysis, the QoE shows the opposite trend of the waiting time. As expected, a smaller content size usually leads to a better QoE since the length of  $\operatorname{Exp}_m$  increases with the increasing content size, and the delay of unit content acquisition is relatively smaller when the SBS has enough cache capacity. The larger cache capacity can also improve the user's QoE, thereby enabling the turning point in the rate of QoE change to be reached earlier in Fig. 11 and Fig. 12.





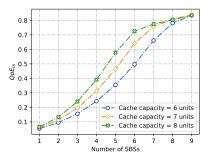


Fig. 10: QoE for different numbers of SBSs and  $D_{bh}$ .

Fig. 11: QoE for different numbers of SBSs and content sizes.

Fig. 12: QoE for different numbers of SBSs and cache capacities.

# 5.3 Comparison of Different Cache Schemes

Here, we evaluate the performance of our information-centric in-network caching scheme (ICIC) in terms of waiting time, QoE, and cache space utilization. To show the superiority of the ICIC, we compare it with two mainstream caching schemes, i.e., distribute content segments in on-board cache entities and allocate content units in the SBSs.

On-board cache scheme: In onboard cache schemes, such as [15] and [19], content units are mainly placed in the ICV, and user's requests are satisfied through V2V communications. We first compare the ICIC with an onboard cache scheme (labeled as the TR-ICV) in this subsection. Considering that the cache space of vehicles is usually smaller than that of the SBS, we assume that vehicles can effectively collaborate in the TR-ICV, and different parts of a complete content can be distributed to various ICV nodes.

Moreover, the performance of the onboard cache strategy is subject to vehicle mobility. Therefore, we adopt a reference point group mobility (RPGM) model [29] defining ICV movement. Specially, we focus on the randomness of vehicle mobility on lateral movement, considering that the direction of the random motion vector in the RPGM is lateral. In addition, we assume that the velocity of the checkpoint in the RPGM is fixed, and the ICVs' movement feature can be seized.

Fig. 13 shows the content access performance for different onboard cache probabilities and node densities. In this simulation, the cache capacity of each ICV (referred to as

normalized caching capability) is set to about 10% of all content. Besides, the cache probability is set to the range of 0.2-1.0, and the vehicles' density is set to [3,8]/100m. Afterward, we compare the ICIC and the TR-ICV in terms of waiting time and data transmission. Fig. 13 shows that the ICIC outperforms the TR-ICV when the onboard cache probability is relatively low. For the TR-ICV, the total waiting time shows an approximately linear decrease trend for the increasing onboard cache probability, since the TR-ICV has several content units that are accessed through the MBS with a limited onboard cache capacity. Moreover, the increase in node density enables the requester to get content from its neighbor with greater probability, thus reducing the waiting time. For the ICIC, as expected, the fluctuation of  $\mathcal{W}_n^m$  is relatively smaller than that of the TR-ICV. The reason for that is when the content cannot be accessed from the vehicle, the requester will fetch it from the SBS instead of the MBS. The polyline below is the time required for the content to be transmitted through the ICV and the SBS. In this experiment setting (consistent with the actual situation), the storing capability of the SBS is far greater than the ICVs' cache capacity. As such, the transmission time of the SBS is greater than that of the ICV. Since the required service time of the MBS in the TR-ICV is much longer than that of the SBS in the ICIC, the total waiting time of the ICIC is smaller than that of the TR-ICV.

Fig. 14 zooms in on the ICV and SBS transmission time polyline in Fig. 13. The transmission time of the onboard cache scheme shows an increasing trend, which becomes

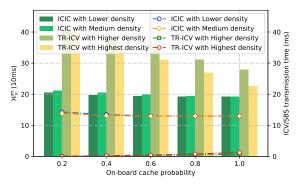


Fig. 13: Waiting and short-range communication times for different onboard cache probabilities.

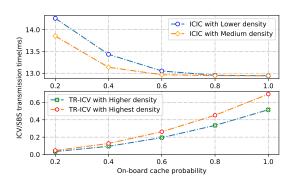


Fig. 14: Short-range communication time for different onboard cache probabilities.

more obvious with the increasing cache probability. Furthermore, the required ICV transmission time in the highest density scenario is generally greater than in the higher situation. Because when the vehicle density is high, the proportion of content obtained through V2V will be greater, leading to a longer V2V transmission time. Conversely, a higher onboard cache probability will cut down the V2V plus V2B communication time in the ICIC. That is because the total amount of content obtained by short-distance communication remains unchanged, while the transmission time of the FIS becomes shorter due to the higher onboard cache probability. It should be noted that the TR-ICV usually performs satisfactorily for a higher vehicle density, whereas the performance of the ICIC is not sensitive to the changes in density. Therefore, the higher and highest ICV densities are selected in the TR-ICV, while the ICIC adopts the lower and medium densities, to magnify the difference between these two schemes.

The SBS/RSU-assisted caching scheme: In the SBS/RSU-assisted caching schemes, such as [20] and [21], content units are placed in SBSs or RSUs that are distributed on the roadside, and the various ICV requests are satisfied through V2B communications. Therefore, we will compare the performance difference in the ICIC, i.e., sub-blocks caching vs. mono-block caching, which is labeled as TR-SBS.

Fig. 15 presents the cache utilization of the two caching schemes for different file sizes. In this experiment, we set the amount of SBSs to 5 and the cache capability of each SBS to 10 units. Besides, the average size of the content units is set to the range of [1,7] units. As seen, the TR-SBS shows an approximately downward trend. In general, the TR-SBS places the complete content block in one SBS. When the remaining cache space of the current SBS is not adequate, the whole content will be placed in the next SBS, which leads to a waste of cache resources. Thus, the utilization of cache space will decrease with the increase of average content size. In this simulation, we also assume that the content size follows a normal distribution with different mean sizes. Due to the uncertainty of content size distribution, there may be random fluctuations in utilization at several points, but it does not affect the overall trend. As we can also observe,

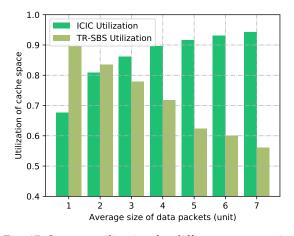


Fig. 15: Storage utilization for different average sizes of data packets.

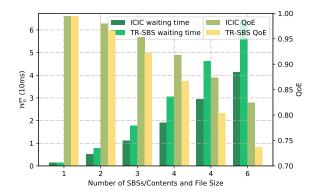


Fig. 16: Waiting time and QoE for different number SBSs/contents and file sizes.

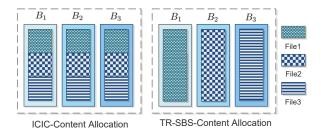


Fig. 17: Different cache allocations of the ICIC and the TR-SBS.

the ICIC achieves a major increase and reaches a relatively steady level, gradually outperforming the TR-SBS. In this experiment, we did not set the size of the content to an integer, i.e.,  $s_m$  may not be divided by A with no remainder. In the ICIC, those fractional parts will be treated as 1. Hence, when the content is small, the utilization rate of the ICIC will be lower than that of the TR-SBS. However, as the average content size increases, these fractional parts account for smaller and smaller proportions, which helps the ICIC to achieve better performance.

Fig. 16 analyzes the impact of SBS/file quantities and file sizes on the waiting time and the QoE. In this experiment, we set the number of SBSs, content number, and file size as [1,6] while keeping other parameters constant. Fig. 17 shows the cache allocation difference between the ICIC and the RT-SBS (taking K=M= $S_m$ =3 as an example). The ICIC breaks up the content and distributes it to different SBSs, while the TR-SBS places the entire content in one SBS. This special parameter setting method can ensure that the cache utilization in both methods is kept at 1. We can observe that the required waiting time increases with the scale of the network, while the QoE shows a downward trend. When the dynamic parameter is set to 1, the ICIC and the TR-SBS perform similarly. However, when the dynamic parameter is greater than 1, the ICIC outperforms the TR-SBS, and the gap between the two increases with the growth of parameters.

#### 6 CONCLUSIONS

This paper introduces a content placement strategy for the ICVs to improve the users' QoE. By integrating the mobility

characteristics, heterogeneous/homogeneous transmission features, and content popularity patterns of vehicles, the proposed method can help cache entities make wiser cache decisions and thus achieve better content retrieval performance.

In our future studies, we will design an incentive strategy to deal with more complicated service requests in the V2X communication framework. This strategy will encourage different network participants, including vehicles, pedestrians, or infrastructures, to energetically serve others via homogeneous or heterogeneous communications without accessing the core network.

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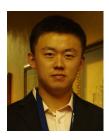


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