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# Chapter 1

## Finite Automata

In This Book We Learn :

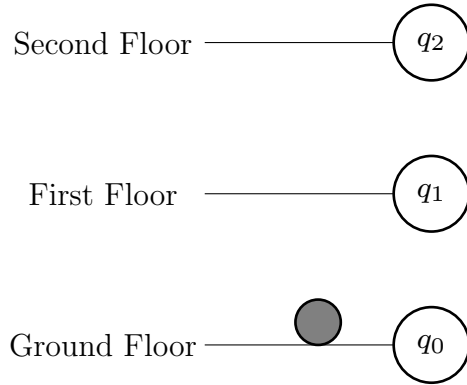
1. The Theory Of Automata
2. Formal Languages
3. Regular Sets and Regular Grammars
4. Context Free Languages
5. Pushdown Automata
6. LR(K) Grammars
7. Turing Machine

### 1.1 Definition of Finite Automata

F.A. can be represented by a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where :

- $Q$  is finite non-empty set of states
- $\Sigma$  is finite non-empty set of input alphabets
- $\delta$  is the transition function which maps :  $Q \times \Sigma \rightarrow Q$
- $q_0 \in Q$  is the initial state
- $F \subseteq Q$  is the set of final states

## 1.2 Example of lift control



$$Q = \{q_0, q_1, q_2\}$$

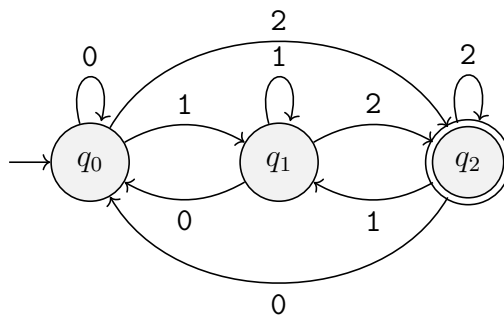
$$\Sigma = \{0, 1, 2\}$$

$$q_0 = \text{initial state}, q_0 \in Q$$

$$F = \{q_2\} \subseteq Q$$

Transition Table

	0	1	2
$q_0$	$q_0$	$q_1$	$q_2$
$q_1$	$q_0$	$q_1$	$q_2$
$q_2$	$q_0$	$q_1$	$q_2$



### 1.3 Acceptability of a string by DFA

A string  $x \in \Sigma^*$  is accepted by a Finite automaton  $M = (Q, \Sigma, \delta, q_0, F)$  if  $\delta(q_0, x) = q$  for some  $q \in F$ .

### 1.4 Properties of Transition Function

1.  $\delta(q, \wedge) = q$
2. For all strings  $\omega \in Z^*$  and input symbol  $a$  :

$$\delta(q, a\omega) = \delta(\delta(q, a), \omega)$$

$$\delta(q, \omega a) = \delta(\delta(q, \omega), a)$$

#### 1.4.1 Example

Transition table given below, is string 110101 accepted by this machine ?

Transition Table

	$x = 0$	$x = 1$
$q_1$	$q_3$	$q_1$
$q_2$	$q_4$	$q_1$
$q_3$	$q_1$	$q_4$
$q_4$	$q_2$	$q_3$

Answer : Yes, because

$$\begin{aligned}
 \delta(q_1, \underbrace{1}_a \underbrace{10101}_\omega) &= \delta(q_2, 10101) \\
 &= \delta(q_1, 0101) \\
 &= \delta(q_3, 101) \\
 &= \delta(q_4, 01) \\
 &= \delta(q_2, 1) \\
 &= \delta(q_1, \wedge) \\
 &= q_1
 \end{aligned}$$

so the string is accepted .

## 1.5 Definition of NFA

A Non-deterministic Finite Automata (NFA or NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where :

- $Q$  is a finite non-empty set of states
- $\Sigma$  is a finite non-empty set of input alphabets
- $\delta$  is the transition function mapping from  $Q \times \Sigma \rightarrow 2^Q$ , where  $2^Q$  is the power-set of all subsets of  $Q$
- $q_0 \in Q$  is the initial state
- $F \subseteq Q$  is the set of final states

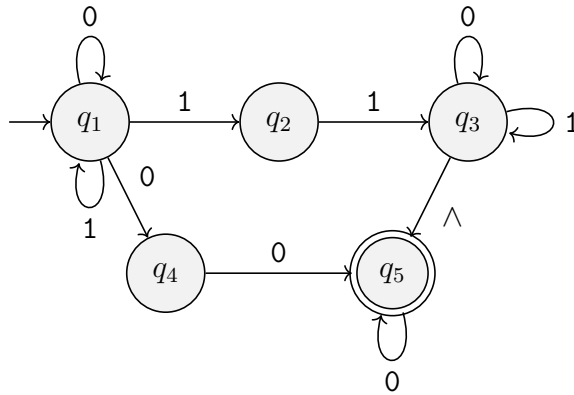
## 1.6 Acceptability by NFA

A string  $\omega \in \Sigma^*$  is accepted by NFA  $M$  if  $\delta(q_0, \omega)$  contains some final state.

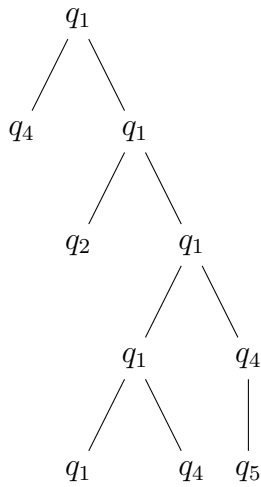
The set accepted by an automaton  $M$  (Deterministic or Non-Deterministic) is the set of all input strings accepted by  $M$ . It is denoted by  $T(M)$ .

### 1.6.1 Example

Is the input string 0100 is accepted by NFA below :





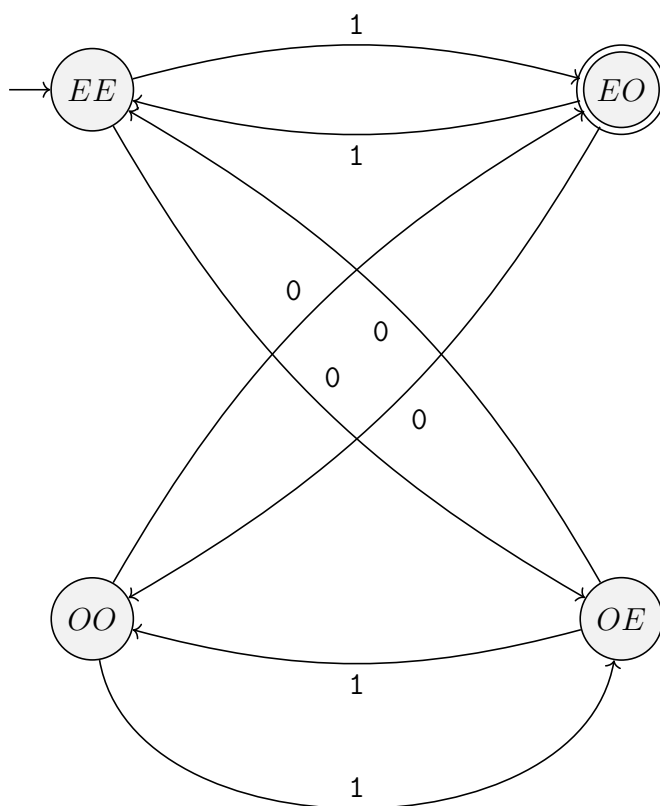


$q_5 \in F$  so the string is accepted

### 1.6.2 Example

Design a DFA which take 0s and 1s as input strings and accepts that string which will have even number of 0s and odd number of 1s ?

EO : Even Number of 0's - Odd Number of 1's



Suppose :

$$EE \rightarrow q_0$$

$$OO \rightarrow q_1$$

$$OE \rightarrow q_2$$

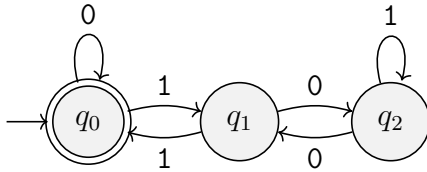
$$EO \rightarrow q_3$$

Transition Table

	$x = 0$	$x = 1$
$\rightarrow q_0$	$q_2$	$q_3$
$q_1$	$q_3$	$q_2$
$q_2$	$q_0$	$q_1$
$(f) q_3$	$q_1$	$q_0$

### 1.6.3 Example

Design one DFA which Takes 0s and 1s as input string and accepts that binary number which is divisible by 3 ?



We Suppose :

$q_0 \equiv (\%3 == 0)$	$q_1 \equiv (\%3 == 1)$	$q_2 \equiv (\%3 == 2)$
0	1	10
11	100	101
110	111	1000
1001	1010	1011

	$x = 0$	$x = 1$
$(f) \rightarrow q_0$	$q_0$	$q_1$
$q_1$	$q_2$	$q_0$
$q_2$	$q_1$	$q_2$

## 1.7 NFA to DFA Conversion

Construct a DFA equivalent to  $M = (\{q_1, q_2, q_3, q_4\}, \{0, 1\}, \delta, q_1, \{q_4\})$  where  $\delta$  is given below :

	0	1
$\rightarrow q_1$	$q_1, q_2$	$q_1$
$q_2$	$q_3$	$q_2$
$q_3$	$q_4$	$q_4$
$\bigcirc q_4$	—	$q_3$

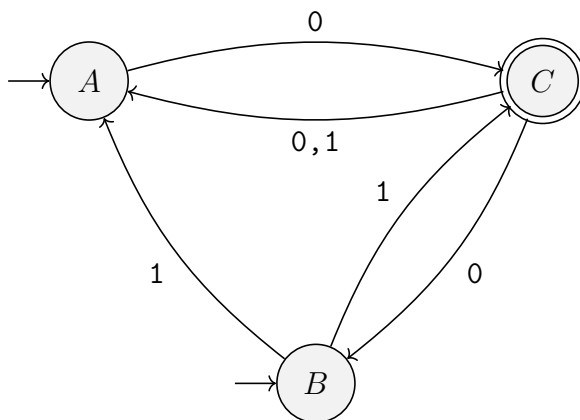
Answer :

	0	1
$\rightarrow [q_1]$	$[q_1, q_2]$	$[q_1]$
$[q_1, q_2]$	$[q_1, q_2, q_3]$	$[q_1, q_2]$
$[q_1, q_2, q_3]$	$[q_1, q_2, q_3, q_4]$	$[q_1, q_2, q_4]$
(f) $[q_1, q_2, q_3, q_4]$	$[q_1, q_2, q_3, q_4]$	$[q_1, q_2, q_3, q_4]$
(f) $[q_1, q_2, q_4]$	$[q_1, q_2, q_3]$	$[q_1, q_2, q_3]$

note :  $q_4$  is the final state so every where  $q_4$  is in the set is the final state

## 1.8 NFA to DFA Conversion

Construct a DFA against the following NFA :



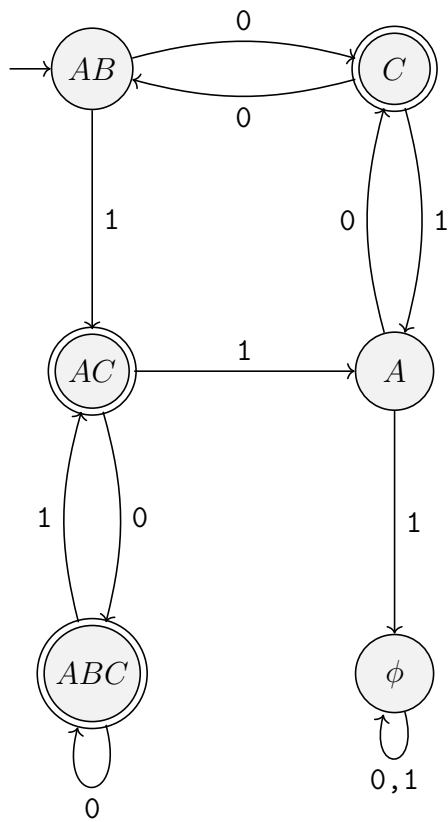
Answer : let's create the transition table :

	0	1
$\rightarrow A$	$C$	$-$
$\rightarrow B$	$-$	$AC$
(f) $C$	$AB$	$A$

Now let's create Transition table for DFA :

	0	1
$\rightarrow AB$	$C$	$AC$
$(f)C$	$AB$	$A$
$(f)AC$	$ABC$	$A$
$A$	$C$	$\phi$
$(f)ABC$	$ABC$	$AC$
$\phi$	$\phi$	$\phi$

note : for initial state we combine all the initial states  
and here is the transition diagram for DFA :



## 1.9 Mealy Machine

a Mealy Machine is a 6-tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$ , where :

- $Q$  is a finite set of states

- $\Sigma$  is the set of input alphabets
- $\Delta$  is the set of output alphabets
- $\delta$  is the transition function  $Q \times \Sigma \rightarrow Q$
- $\lambda$  is the output function mapping  $Q \times \Sigma \rightarrow \Delta$
- $q_0$  is the initial state,  $q_0 \in Q$

and :

$$Z(t) = \lambda(q(t), x(t))$$

which  $Z$  is the output,  $\lambda$  is the output function,  $q(t)$  is the present state,  $x(t)$  is the present input

### 1.9.1 Example of Mealy Machine

	$a = 0$		$a = 1$	
	<i>state</i>	<i>output</i>	<i>state</i>	<i>output</i>
$\rightarrow q_0$	$q_2$	0	$q_3$	0
$q_1$	$q_3$	1	$q_3$	0
$q_2$	$q_0$	1	$q_2$	1
$q_3$	$q_1$	0	$q_1$	0

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$\Delta = \{0, 1\}$$

## 1.10 Moore Machine

a Moore Machine is a 6-tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$ , where :

- $Q$  is a finite set of states
- $\Sigma$  is the finite set of input alphabets
- $\Delta$  is the finite set of output alphabets

- $\delta$  is the transition function :  $Q \times \Sigma \rightarrow Q$
- $\lambda$  is the output function mapping  $Q \rightarrow \Delta$
- $q_0$  is the initial state,  $q_0 \in Q$

$$Z(t) = \lambda(q(t))$$

$Z$  is the output ,  $\lambda$  is the output function,  $q(t)$  is the present state

### 1.10.1 Example of a Moore Machine

	$a = 0$	$a = 1$	$\lambda$
$\rightarrow q_0$	$q_2$	$q_0$	0
$q_1$	$q_3$	$q_0$	1
$q_2$	$q_0$	$q_2$	1
$q_3$	$q_1$	$q_3$	0

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$\Delta = \{0, 1\}$$

## 1.11 Moore to Mealy Conversion

Convert the following Moore Machine to Mealy Machine :

	$a = 0$	$a = 1$	$output$
$\rightarrow q_1$	$q_4$	$q_2$	0
$q_2$	$q_2$	$q_3$	1
$q_3$	$q_3$	$q_4$	0
$q_4$	$q_4$	$q_1$	0

Answer : for each place we have  $q_2$  we put 1 and other places we put 0.

	$a = 0$		$a = 1$	
	$state$	$output$	$state$	$output$
$\rightarrow q_1$	$q_4$	0	$q_2$	1
$q_2$	$q_2$	1	$q_3$	0
$q_3$	$q_3$	0	$q_4$	0
$q_4$	$q_4$	0	$q_1$	0

## 1.12 Mealy to Moore Conversion

Convert the following Mealy Machine to Moore Machine :

	$a = 0$		$a = 1$	
	<i>state</i>	<i>output</i>	<i>state</i>	<i>output</i>
$\rightarrow q_0$	$q_2$	0	$q_1$	0
$q_1$	$q_0$	1	$q_3$	0
$q_2$	$q_1$	1	$q_0$	1
$q_3$	$q_3$	1	$q_2$	0

Answer :

First We Clearize this Table to :

	$a = 0$		$a = 1$	
	<i>state</i>	<i>output</i>	<i>state</i>	<i>output</i>
$\rightarrow q_0$	$q_2$	0	$q_{10}$	0
$q_{10}$	$q_0$	1	$q_{30}$	0
$q_{11}$	$q_0$	1	$q_{30}$	0
$q_2$	$q_{11}$	1	$q_0$	1
$q_{30}$	$q_{31}$	1	$q_2$	0
$q_{31}$	$q_{31}$	1	$q_2$	0

And Then we reach to Moore Machine :

	$a = 0$	$a = 1$	<i>output</i>
$\rightarrow q_0$	$q_2$	$q_{10}$	1
$q_{10}$	$q_0$	$q_{30}$	0
$q_{11}$	$q_0$	$q_{30}$	1
$q_2$	$q_{11}$	$q_0$	0
$q_{30}$	$q_{31}$	$q_2$	0
$q_{31}$	$q_{31}$	$q_2$	1

## 1.13 Minimization of Finite Automata

**Definition :** Two states  $q_1$  and  $q_2$  are equivalent (denoted by  $q_1 \equiv q_2$ ) if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both of them are non-final states for all  $x \in \Sigma^*$ .

**Definition :** Two states  $q_1$  and  $q_2$  are  $k$  equivalent ( $k \geq 0$ ) if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both are non-final states. for all strings  $x$  of length  $k$  or less.



### 1.13.1 Construction of minimum automata

	0	1
$\rightarrow q_1$	$q_2$	$q_6$
$q_2$	$q_7$	$q_3$
$q_3$	$q_1$	$q_3$
$q_4$	$q_3$	$q_7$
$q_5$	$q_8$	$q_6$
$q_6$	$q_3$	$q_7$
$q_7$	$q_7$	$q_5$
$q_8$	$q_7$	$q_3$

note : string with length 0 is :  $\wedge$

and  $\delta(q, \wedge) = q$

now we have :

$$\pi_0 = \{\{q_3\}, \{q_1, q_2, q_4, q_5, q_6, q_7, q_8, \}\}$$

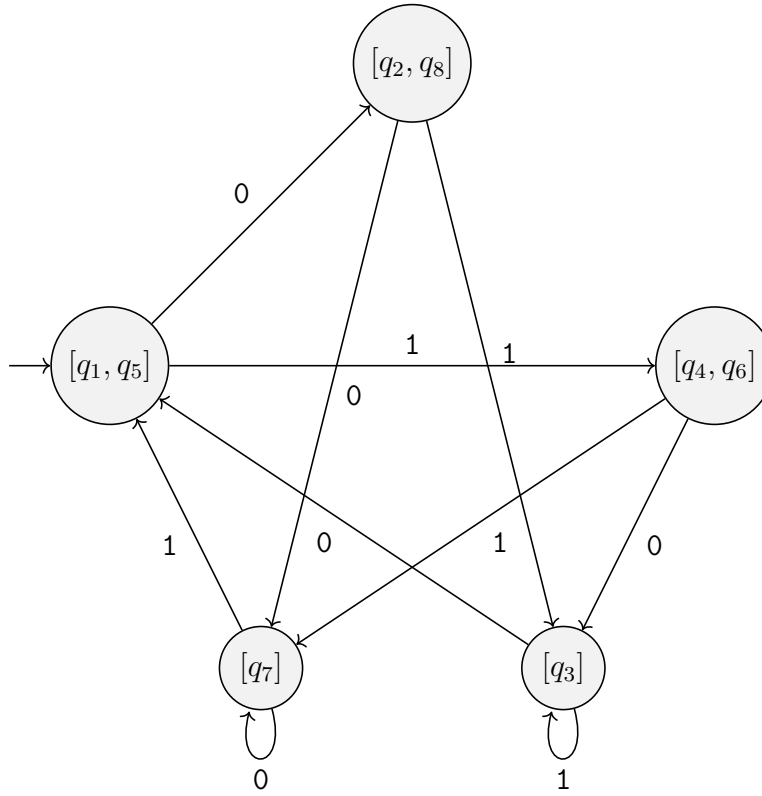
$$\pi_1 = \{\{q_3\}, \{q_4, q_6\}, \{q_2, q_8\}, \{q_1, q_5, q_7\}\}$$

$$\pi_2 = \{\{q_3\}, \{q_4, q_6\}, \{q_2, q_8\}, \{q_1, q_5\}, \{q_7\}\}$$

$$\pi_3 = \{\{q_3\}, \{q_4, q_6\}, \{q_2, q_8\}, \{q_1, q_5\}, \{q_7\}\}$$

$$\pi_2 = \pi_3 \Rightarrow 2 - \text{equivalent}$$

	0	1
$\rightarrow [q_1, q_5]$	$[q_2, q_8]$	$[q_4, q_6]$
$[q_2, q_8]$	$[q_7]$	$[q_3]$
$[q_4, q_6]$	$[q_3]$	$[q_7]$
$[q_7]$	$[q_7]$	$[q_1, q_5]$
$[q_3]$	$[q_1, q_5]$	$[q_3]$



## 1.14 Definition of a Grammar

a Grammar is  $(V, \Sigma, S, P)$ , where :

- $V$  is a finite non-empty set whose elements are variables
- $\Sigma$  or  $T$  is a finite non-empty set whose elements are terminals

$$V \cap \Sigma = \phi$$

- $S$  is a start symbol, where  $S \in V$
- $P$  is a finite set whose elements are  $\alpha \rightarrow \beta$ , known as production rules, where,  $\alpha, \beta \in (V \cup \Sigma)^*$ ,  $\alpha$  should contain at least one symbol from  $V$ .

### 1.14.1 Example

$G = (V, \Sigma, S, P)$  is a grammar where :

$$V = \{ \langle sentence \rangle, \langle noun \rangle, \langle adj \rangle, \langle verb \rangle, \langle art \rangle \}$$

$$\Sigma = \{ Ram, Rita, Azad, is, are, a, an, good, bad, boy, girl \}$$

$$S = \langle sentence \rangle$$

$P$  consists of the following production rules :

$$\langle sentence \rangle \rightarrow \langle noun \rangle \langle verb \rangle \langle art \rangle \langle adj \rangle \langle noun \rangle$$

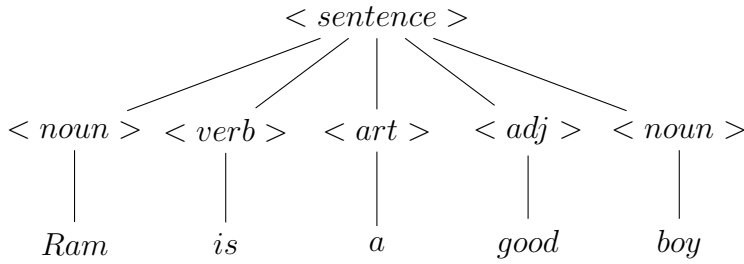
$$\langle noun \rangle \rightarrow Ram|Rita|Azad|boy|girl$$

$$\langle verb \rangle \rightarrow is|are$$

$$\langle art \rangle \rightarrow a|an$$

$$\langle adj \rangle \rightarrow good|bad$$

An Example Parse Tree For this Grammar is :



### 1.14.2 Example

Determine the Grammar  $G$  Where :

$$L(G) = \{0^n 1^n | n \geq 0\}$$

Answer :

$$S \rightarrow 0S1 | \lambda$$

sample :  $0^3 1^3$

$$S \rightarrow 0S1 \rightarrow 00S11 \rightarrow 000S111 \rightarrow 000111 \equiv 0^31^3$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S\}$$

$$\Sigma = \{0, 1\}$$

$$S = S$$

$$P = \{S \rightarrow 0S1, S \rightarrow \lambda\}$$

### 1.14.3 Example

Determine the Grammar G Where :

$$L(G) = \{0^n1^n | n \geq 1\}$$

Answer :

$$S \rightarrow 0S1 | 01$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S\}$$

$$\Sigma = \{0, 1\}$$

$$S = S$$

$$P = \{S \rightarrow 0S1, S \rightarrow 01\}$$

### 1.14.4 Example

Determine the Grammar G Where :

$$L(G) = \{a^n b^m c^k | n, k > 0 \text{ and } m \geq 0\}$$

Answer :

$$\begin{aligned}
S &\rightarrow S_1 S_2 S_3 \\
S_1 &\rightarrow a S_1 | a \\
S_2 &\rightarrow b S_2 | \lambda \\
S_3 &\rightarrow c S_3 | c
\end{aligned}$$

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S, S_1, S_2, S_3\} \\
\Sigma &= \{a, b, c\} \\
S &= S \\
P &= \{S \rightarrow S_1 S_2 S_3, S_1 \rightarrow a S_1 | a, S_2 \rightarrow b S_2 | \lambda, S_3 \rightarrow c S_3 | c\}
\end{aligned}$$

### 1.14.5 Example

Determine the Grammar G Where :

$$L(G) = \{a^n b^m c^k | n \geq 0, k > 1, m = n + k\}$$

Answer :

$$\begin{aligned}
S &\rightarrow S_1 S_2 \\
S_1 &\rightarrow a S_1 b | \lambda \\
S_2 &\rightarrow b S_2 c | b b c c
\end{aligned}$$

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S, S_1, S_2\} \\
\Sigma &= \{a, b, c\} \\
S &= S \\
P &= \{S \rightarrow S_1 S_2, S_1 \rightarrow a S_1 b | \lambda, S_2 \rightarrow b S_2 c | b b c c\}
\end{aligned}$$

**1.14.6 Example**

Determine the Grammar G Where :

$$L(G) = \{a^n b^m | n > m \geq 1\}$$

Answer :

$$S \rightarrow aS | aSb | aab$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S\}$$

$$\Sigma = \{a, b\}$$

$$S = S$$

$$P = \{S \rightarrow aS, S \rightarrow aSb, S \rightarrow aab\}$$

**1.14.7 Example**

Determine the Grammar G Where :

$$L(G) = \{(ab)^n c^n | n \geq 1\}$$

Answer :

$$S \rightarrow abSc | abc$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S\}$$

$$\Sigma = \{a, b, c\}$$

$$S = S$$

$$P = \{S \rightarrow abSc, S \rightarrow abc\}$$

**1.14.8 Example**

Determine the Grammar G Where :

$$L(G) = \{(x)^{2n}y^n | n \geq 1\}$$

Answer :

$$S \rightarrow xxSy | xxy$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S\}$$

$$\Sigma = \{x, y\}$$

$$S = S$$

$$P = \{S \rightarrow xxSy, S \rightarrow xxy\}$$

**1.14.9 Example**

Determine the Grammar G Where :

$$L(G) = \{\omega c \omega^T | \omega \in (a, b)^*\}$$

Sample :

$$\underbrace{abb}_{\omega} c \underbrace{bba}_{\omega^T}$$

Answer :

$$S \rightarrow aSa | bSb | c$$

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S\} \\
\Sigma &= \{x, y\} \\
S &= S \\
P &= \{S \rightarrow aSa, S \rightarrow bSb, S \rightarrow c\}
\end{aligned}$$

### 1.14.10 Example

Determine the Grammar G Where :

$$L(G) = \{\omega c \omega^T \mid \omega \in (a, b)^+\}$$

Answer :

$$S \rightarrow aSa \mid bSb \mid aca \mid bcb$$

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S\} \\
\Sigma &= \{a, b, c\} \\
S &= S \\
P &= \{S \rightarrow aSa, S \rightarrow bSb, S \rightarrow aca, S \rightarrow bcb\}
\end{aligned}$$

### 1.14.11 Example

Determine the Grammar G Where :

$$L(G) = \{a^n b^m \mid \text{where } n \text{ is even and } m \text{ is odd} \}$$

Answer :



$$\begin{aligned}
S &\rightarrow S_1 S_2 \\
S_1 &\rightarrow aaS_1 | \wedge \\
S_2 &\rightarrow bbS_2 | b
\end{aligned}$$

note : one extra b cause odd number of b

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S, S_1, S_2\} \\
\Sigma &= \{a, b\} \\
S &= S \\
P &= \{S \rightarrow S_1 S_2 b, S_1 \rightarrow aaS_1 | \wedge, S_1 \rightarrow bbS_2 | b\}
\end{aligned}$$

### 1.14.12 Example

Determine the Grammar G Where :

$$L(G) = \{a^n b^m c^m d^n | n \geq 1, m \geq 0\}$$

Answer :

$$\begin{aligned}
S &\rightarrow aSd | aS_1d \\
S_1 &\rightarrow bS_1c | \wedge
\end{aligned}$$

$$\begin{aligned}
G &= (V, \Sigma, S, P) \\
V &= \{S, S_1\} \\
\Sigma &= \{a, b, c, d\} \\
S &= S \\
P &= \{S \rightarrow aSd, S_1 \rightarrow aSd | aS_1d, S_1 \rightarrow bS_1c | \wedge, S \rightarrow bcb\}
\end{aligned}$$

### 1.14.13 Example

Determine the Grammar G Where :

$$L(G) = \{a^n b^n c^n | n \geq 1\}$$

Answer :

if  $n = 3$  then  $\omega = aaabbbccc \equiv a^3 b^3 c^3$ .

let us apply  $S \rightarrow aSBC$  for  $(n - 1)$  number of times .

$$\begin{aligned} S &\rightarrow aSBC \\ &\rightarrow aaSBCBC \end{aligned}$$

now apply  $S \rightarrow aBC$  once :

$$\rightarrow aaaBCBCBC$$

now apply  $CB \rightarrow BC$  :

$$\begin{aligned} &\rightarrow aaaB \underbrace{CB}_{BC} \underbrace{CB}_{BC} C \\ &\rightarrow aaaBB \underbrace{CB}_{BC} CC \\ &\rightarrow aaaBBBCCC \end{aligned}$$

we shall apply  $aB \rightarrow ab$  :

$$\begin{aligned} &\rightarrow aa \underbrace{aB}_{ab} BBCCC \\ &\rightarrow aaabBBCCC \end{aligned}$$

Now we apply  $bB \rightarrow bb$  :

$$\begin{aligned}
&\rightarrow aaa \underbrace{bB}_{ab} BCCC \\
&\rightarrow aaab \underbrace{bB}_{bb} CCC \\
&\rightarrow aaabbbCCC
\end{aligned}$$

Now we apply  $bC \rightarrow bc$  :

$$\begin{aligned}
&\rightarrow aaabb \underbrace{bC}_{bc} CC \\
&\rightarrow aaabbbcCC
\end{aligned}$$

Now we apply  $cC \rightarrow cc$  :

$$\begin{aligned}
&\rightarrow aaabbb \underbrace{cC}_{cc} C \\
&\rightarrow aaabbbc \underbrace{cC}_{cc} \\
&\rightarrow aaabbbccc
\end{aligned}$$

$$G = (V, \Sigma, S, P)$$

$$V = \{S, B, C\}$$

$$\Sigma = \{a, b, c\}$$

$$S = S$$

$$P = \{S \rightarrow aSBC, S \rightarrow aBC, CB \rightarrow BC, aB \rightarrow ab, bB \rightarrow bb, bC \rightarrow bc, cC \rightarrow cc\}$$

#### 1.14.14 Example

Find the language generated the following Grammar :

$$S \rightarrow 0S1$$

$$S \rightarrow 0A1$$

$$A \rightarrow 1A$$

$$A \rightarrow 1$$

answer :

$$L(G) = \{0^m 1^n | n > m \geq 1\}$$

## Chapter 2

# Regular Expressions and Identities

we are mainly concerned with the characterization of sets of strings recognized by finite automata . It is therefore appropriate to develop a compact language for describing such sets of strings, the language thus developed is known as type-3 language or as the language of regular expressions . some sample strings are 101, (01+10)11 , ...

note :

$$1^* = \lambda + 1 + 11 + 111 + 1111 + \dots$$

$$1^+ = 1 + 11 + 111 + 1111 + \dots$$

$$\Rightarrow 1^* = 1^+ \cup \lambda$$

## 2.1 Identities of Regular Expressions

$$I_1 : \phi + R = R$$

$$I_2 : \phi R + R\phi = \phi$$

$$I_3 : \wedge R + R\wedge = R$$

$$I_4 : \wedge^* = \wedge \text{ and } \phi^* = \wedge$$

$$I_5 : R + R = R$$

$$I_6 : R^* R^* = R^*$$

$$I_7 : RR^* = R^* R = R^+$$

$$I_8 : (R^*)^* = R^*$$

$$I_9 : \wedge + RR^* = R^* = \wedge + R^* R$$

$$I_{10} : (PQ)^* P = P(QP)^*$$

$$I_{11} : (P + Q)^* = (P^* Q^*)^* = (P^* + Q^*)^*$$

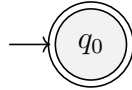
$$I_{12} : (P + Q)R = PR + QR$$

and

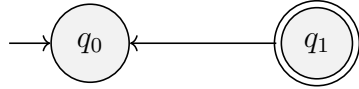
$$: R(P + Q) = RP + RQ$$

## 2.2 difference between $\wedge$ and $\phi$

you can't reach to final state when  $R = \phi$  but when  $R = \wedge$  you can reach at final state with empty input .



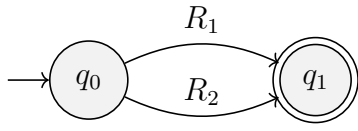
$$R = \wedge$$



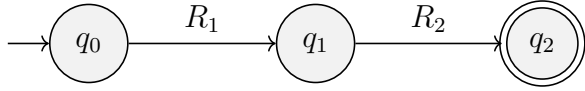
$$R = \phi$$

## 2.3 Regular Expressions and Transition Systems

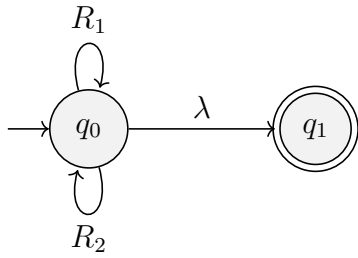
1.  $(R_1 + R_2) :$



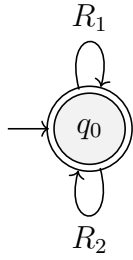
2.  $(R_1 R_2)$  :



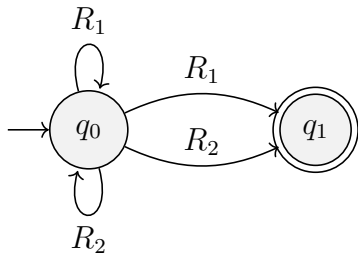
3.  $(R_1 + R_2)^*$  :



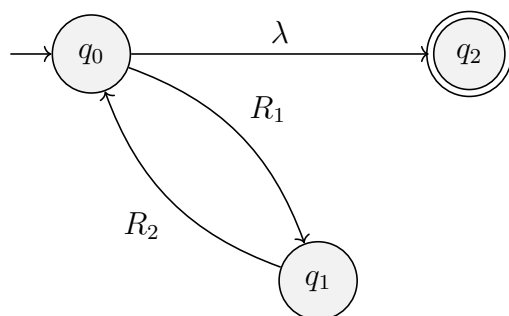
OR



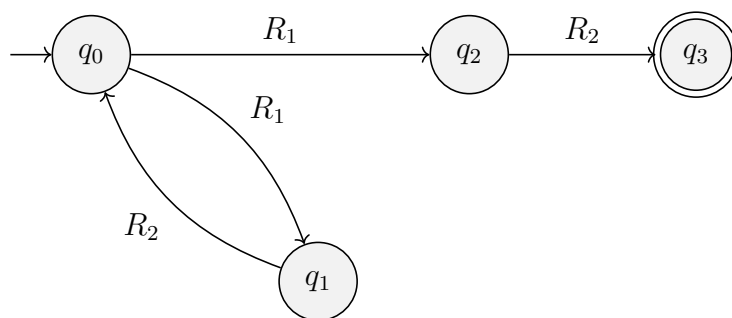
4.  $(R_1 + R_2)^+$  :



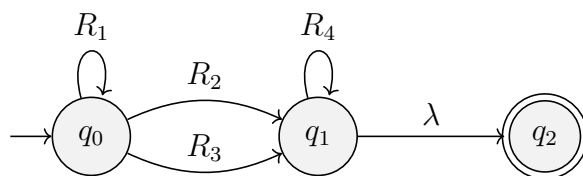
5.  $(R_1 R_2)^*$  :



6.  $(R_1 R_2)^+$  :

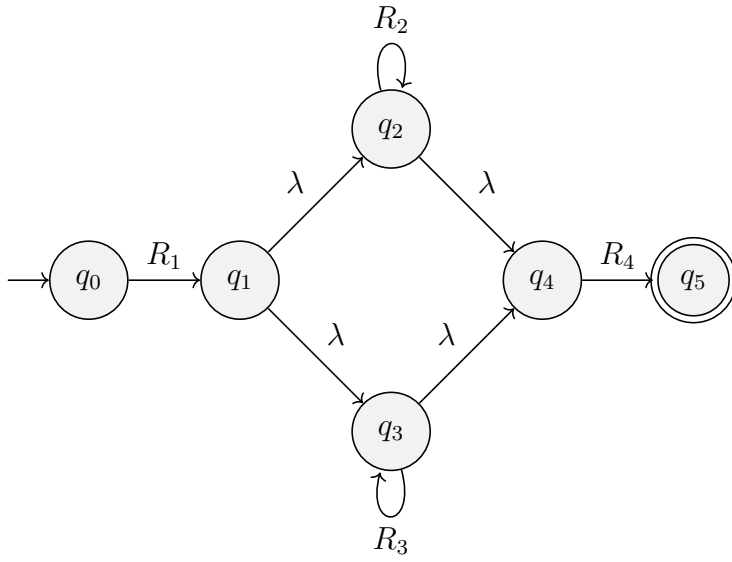


7.  $R_1^*(R_2 + R_3)R_4^*$  :

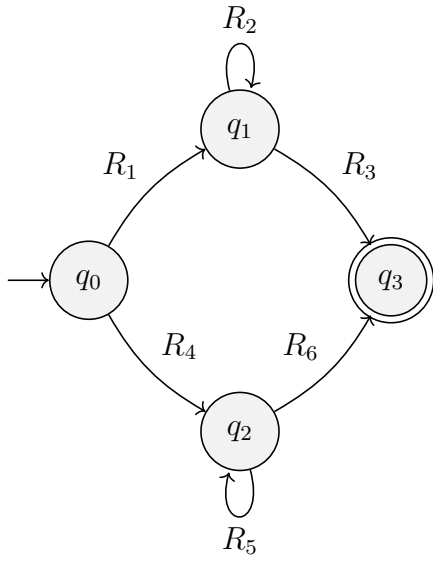


8.  $R_1(R_2^* + R_3^*)R_4$  :

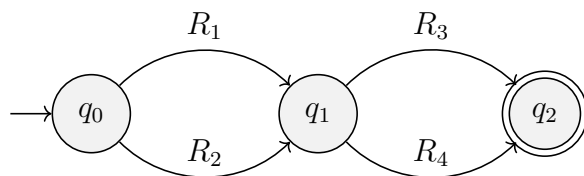




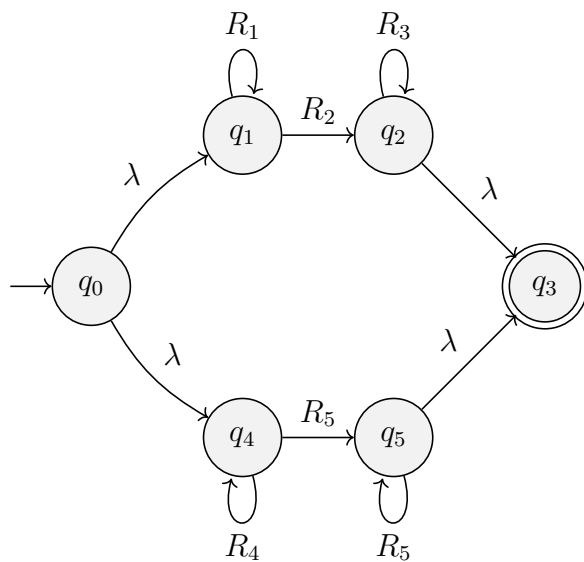
9.  $R_1R_2^*R_3 + R_4R_5^*R_6$  :



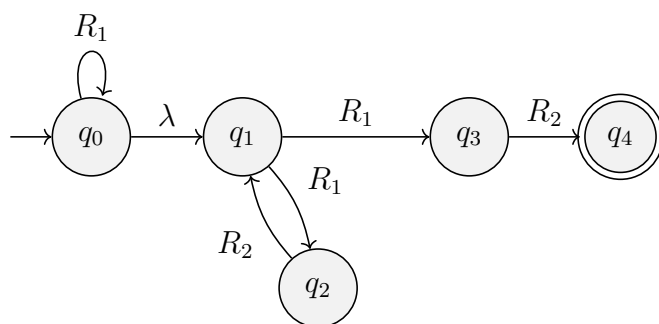
10.  $(R_1 + R_2)(R_3 + R_4)$  :



11.  $R_1^* R_2 R_3^* + R_4^* R_5 R_6^*$  :



12.  $R_1^* (R_1 R_2)^+$  :

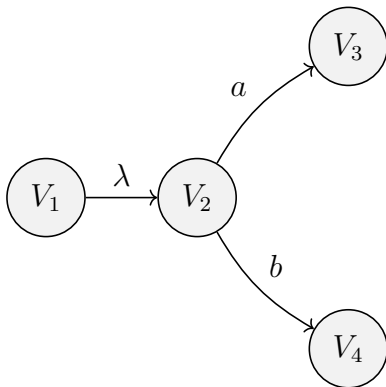


## 2.4 $\lambda$ transition elimination

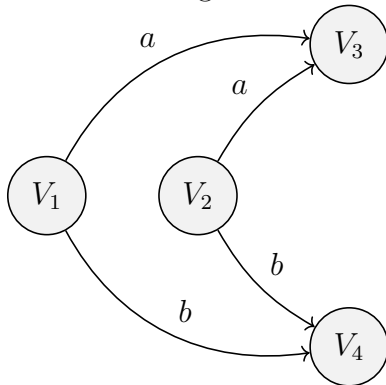
Rules :

- Find all the edges starting from  $V_2$
- Duplicate all these edges starting from  $V_1$ , without changing the edge labels
- If  $V_1$  is the initial state, make  $V_2$  also initial state
- If  $V_2$  is the final state, make  $V_1$  as final state

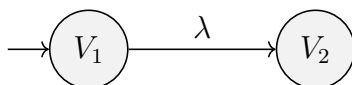
### 2.4.1 Example



After removing  $\lambda$  transition :



### 2.4.2 Example

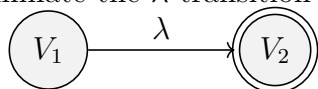


after :



### 2.4.3 Example

eliminate the  $\lambda$  transition :

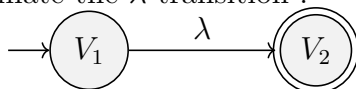


after :

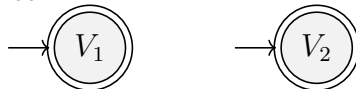


### 2.4.4 Example

eliminate the  $\lambda$  transition :



after :



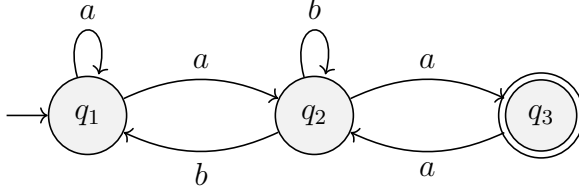
### 2.4.5 Example

Simple the Regular expressions below :

$$\begin{aligned}
 & 10 + (1010)^*[\lambda^* + \underbrace{\lambda(1010)^*}_{(1010)^*}] \\
 & \rightarrow 10 + (1010)^*[\underbrace{\lambda^* + (1010)^*}_{(1010)^*}] \\
 & \rightarrow 10 + \underbrace{(1010)^*(1010)^*}_{(1010)^*} \\
 & \rightarrow 10 + (1010)^*
 \end{aligned}$$

### 2.4.6 Example

Consider the following transition system



Find out which regular expressions can be deduced from this transition system :

$$q_1 = q_1a + q_2b + \wedge \quad (2.1)$$

$$q_2 = q_1a + q_2b + q_3a \quad (2.2)$$

$$q_3 = q_2a \quad (2.3)$$

using (2.1) and (2.2) we have :

$$\begin{aligned} q_2 &= q_1a + q_2b + q_2aa \\ &= \underbrace{q_1a}_Q + \underbrace{q_2}_R \underbrace{(b + aa)}_P \end{aligned}$$

Arden's Theorem :

$$R = Q + RP \rightarrow R = QP^*$$

and we have :

$$q_2 = q_1a(b + aa)^*$$

$$\begin{aligned} \underbrace{q_1}_R &= q_1a + q_1a(b + aa)^*b + \wedge \\ &= \underbrace{q_1}_R \underbrace{(a + a(b + aa)^*b)}_P + \underbrace{\wedge}_Q \end{aligned}$$

According to Arden's Theorem :

$$\begin{aligned} q_1 &= \wedge(a + a(b + aa)^*b)^* \\ &= (a + a(b + aa)^*b)^* \end{aligned}$$

$$\begin{aligned} q_2 &= q_1 a(b + aa)^* \\ &= (a + a(b + aa)^*b)^* a(b + aa)^* \end{aligned}$$

$$\begin{aligned} q_3 &= q_2 a \\ &= (a + a(b + aa)^*b)^* a(b + aa)^* a \end{aligned}$$

## 2.5 Arden's Theorem

Let P and Q be two regular expressions over  $\Sigma$  if P does not contain  $\wedge$ , then the following equation in R :

$$R = Q + RP$$

has unique solution (one and only one) :

$$R = QP^*$$

Proof : put  $R = QP^*$  in the  $R = Q + RP$  formula

$$\begin{aligned} QP^* &= Q + (QP^*)P \\ &= Q(\wedge + \underbrace{P^*P}_{P^*}) \\ &= Q(\underbrace{\wedge + P^*}_{P^*}) = QP^* \end{aligned}$$

### 2.5.1 Example

Construct a Finite Automata equivalent to the regular expressoin :

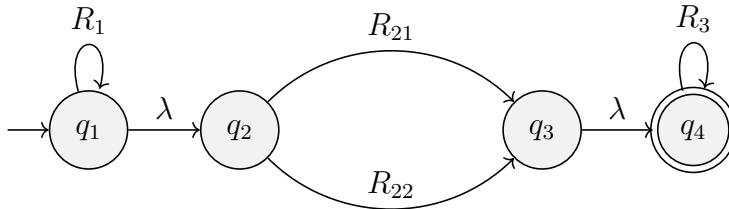
$$(0 + 1)^*(00 + 11)(0 + 1)^*$$

Suppose :

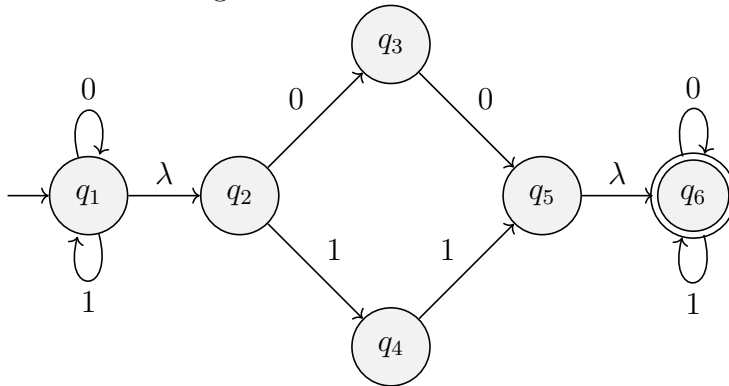
$$R_1^* R_2 R_3^*$$

$$R_1^*(R_{21} + R_{22})R_3^*$$

now we can have :



then we can design :



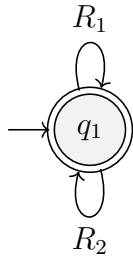
### 2.5.2 Example

Construct a Finite Automata equivalent to the regular expressions :

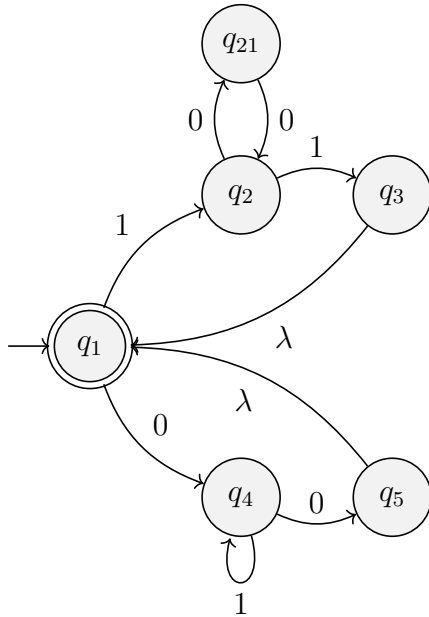
$$R = (1(00)^* + 01^*0)^*$$

Suppose we have :

$$(R_1 + R_2)^*$$

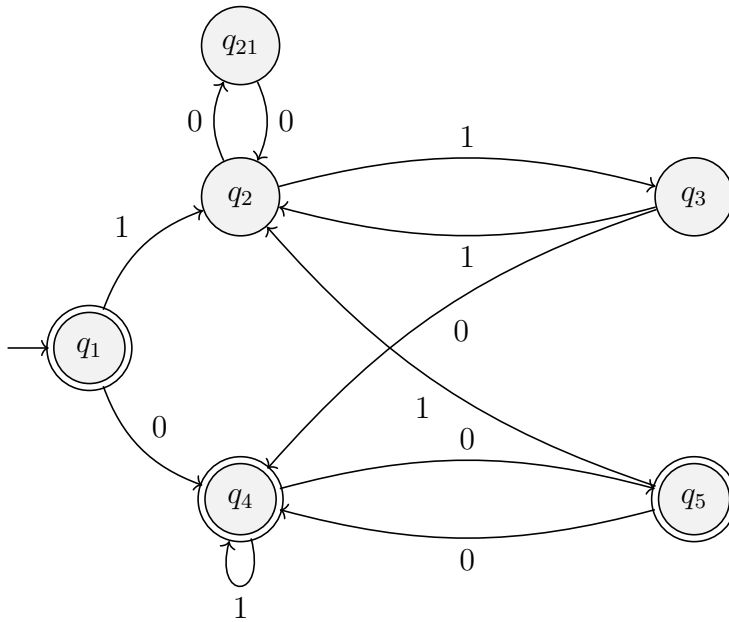


so we have :



if we want to do  $\lambda$  - transition elimination we have :





### 2.5.3 Example

Construct a Finite Automata equivalent to the regular expression :

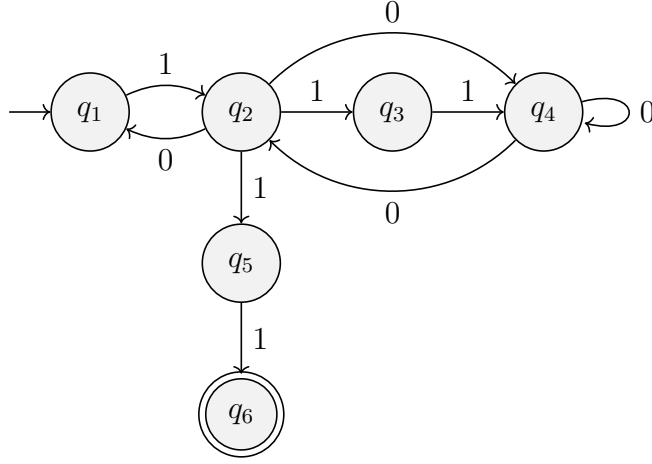
$$R = (01 + (11 + 0)1^*0)^*11$$

Suppose :

$$R_1^* R_2$$

$$(R_{11} + R_{22})^* R_2$$

so we have :



## 2.6 Left Most and Right Most Derivation in CFG

**Definition :** a derivation  $A \xrightarrow{*} \omega$  is called a left most derivation if we apply a production only to the left most variable at every step.

**Definition :** a derivation  $A \xrightarrow{*} \omega$  is called a right most derivation if we apply a production only to the right most variable at every step.

### 2.6.1 Example of left most derivation

$$\begin{aligned}
 A &\rightarrow X_1 X_2 X_3 \dots X_m \\
 &\xrightarrow{*} \omega_1 X_2 \dots X_m \\
 &\xrightarrow{*} \omega_1 \omega_2 \dots X_m \\
 &\xrightarrow{*} \omega_1 \omega_2 \dots \omega_m
 \end{aligned}$$

Thus :

$$A \xrightarrow[G]{*} \omega$$

### 2.6.2 Example of right most derivation

$$\begin{aligned}
 A &\rightarrow X_1 X_2 X_3 \dots X_m \\
 &\xrightarrow{*} X_1 X_2 \dots \omega_m \\
 &\xrightarrow{*} X_1 \omega_2 \dots \omega_m \\
 &\xrightarrow{*} \omega_1 \omega_2 \dots \omega_m
 \end{aligned}$$

Thus :

$$A \xrightarrow[G]{*} \omega$$

### 2.6.3 Exercise

Consider the following Grammar :

$$\begin{aligned}
 S &\rightarrow aAS \\
 S &\rightarrow a \\
 A &\rightarrow SbA \\
 A &\rightarrow SS \\
 A &\rightarrow ba
 \end{aligned}$$

for input string "aabbaa" find :

- left most derivation
- right most derivation
- derivation tree

Answer :

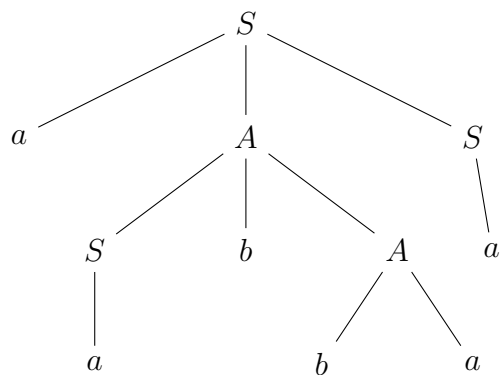
left most derivation :

$$\begin{aligned}
S &\rightarrow aAS \\
&\rightarrow aSbAS \\
&\rightarrow aabAS \\
&\rightarrow aabbaS \\
&\rightarrow aabbaa
\end{aligned}$$

right most derivation :

$$\begin{aligned}
S &\rightarrow aAS \\
&\rightarrow aAa \\
&\rightarrow aSbAa \\
&\rightarrow aSbbaa \\
&\rightarrow aabbaa
\end{aligned}$$

derivation tree :



## 2.7 Left Linear Grammar

Left Linear Grammar :

In a Grammar if all productions are in form  $A \rightarrow B\alpha$  or  $A \rightarrow \alpha$  where  $A, B \in V$  and  $\alpha \in \Sigma^*$ , then the grammar is called left linear grammar .

Example :

$$A \rightarrow Aa|Bb|b$$

## 2.8 Right Linear Grammar

Right Linear Grammar :

In a Grammar if all productions are in form  $A \rightarrow \alpha B$  or  $A \rightarrow \alpha$  where  $A, B \in V$  and  $\alpha \in \Sigma^*$ , then the grammar is called right linear grammar .

Example :

$$A \rightarrow aA|bB|b$$

## 2.9 Ambiguity in CFG

**Definition :** a terminal string  $\omega \in L(G)$  is ambiguous if there exists two or more left most derivation of  $\omega$  .

a CFG called G is ambiguous if there exists some  $\omega \in L(G)$  which is ambiguous .

Example : show the grammar below is ambiguous ?

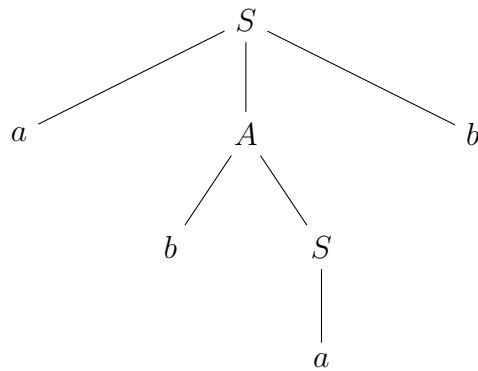
$$\begin{aligned} S &\rightarrow a \\ S &\rightarrow abSb \\ S &\rightarrow aAb \\ A &\rightarrow bS \\ A &\rightarrow aAAb \end{aligned}$$

Answer : you can reach the string "abab" with two different parse tree's so the grammar is ambiguous

$$S \rightarrow aAb$$

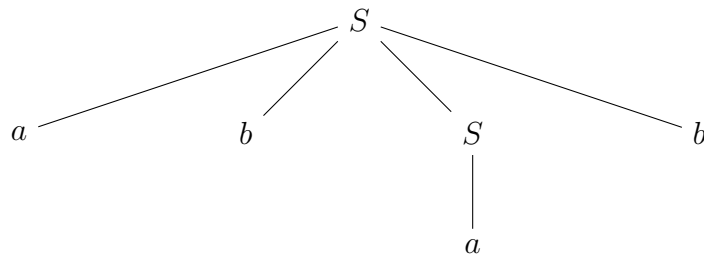
$$S \rightarrow abSb$$

$$S \rightarrow abab$$



$$S \rightarrow abSb$$

$$S \rightarrow abab$$



## 2.10 CFG Examples

Let  $M = (Q, \Sigma, \delta, S, F)$  be the Finite State Machine, where :

$$\begin{array}{ll}
Q = \{A, B\} & \delta(A, a) = A \\
\Sigma = \{a, b\} & \delta(A, b) = B \\
S = A & \delta(B, a) = B \\
F = \{B\} & \delta(B, b) = A
\end{array}$$

design a grammar to generate the language accepted by  $M$  can be specified as  $G = (V, \Sigma, S, P)$  where  $V = Q \cup \Sigma$  and  $S = A$ , built the Grammar  $L(G) = L(M)$  ?

Answer :

$$\begin{array}{lll}
\delta(A, a) = A & \Rightarrow & A \rightarrow aA \\
\delta(A, b) = B & \Rightarrow & A \rightarrow bB \\
\delta(B, a) = B & \Rightarrow & B \rightarrow aB \\
\delta(B, b) = A & \Rightarrow & B \rightarrow bA
\end{array}$$

$B$  is initial state  $\Rightarrow B \rightarrow \wedge$

$$\Rightarrow P = \{A \rightarrow aA, A \rightarrow bB, B \rightarrow aB, B \rightarrow bA, B \rightarrow \wedge\}$$

## 2.11 Simplification of Context-Free-Grammar

In a CFG  $G$ , it may not be necessary to use all the symbols in  $V \cap \Sigma$ , or all the sentences in  $P$  for deriving sentences .

Sample : Consider the grammar

$$G = (\{S, A, B, C, E\}, \{a, b, d\}, S, P)$$

where,

$$P = \{S \rightarrow AB, A \rightarrow a, B \rightarrow b, B \rightarrow C, E \rightarrow d|\lambda\}$$

- $C$  does not derive any terminal string
- $E$  and  $d$  do not appear in any result
- $E \rightarrow \wedge$  is a null production
- $B \rightarrow C$  simply replace  $B$  by  $C$

## 2.12 Construction of Reduced Grammar - Procedure1

**Theorem :** If  $G$  is a CFG such that  $L(G) \neq \phi$ , we can find an equivalent grammar  $G'$ , such that each variable in  $G'$  derives some terminal string where  $G = (V, \Sigma, S, P)$  and  $G' = (V', \Sigma, S, P')$

### 2.12.1 step-1 : Construction of $V'$

$\omega_1 = \{ A \in V \mid \text{there exists a production } A \rightarrow \omega \text{ where } \omega \in \Sigma^* \}$   
 $\omega_{i+1} = \omega_i \cup \{ A \in V \mid \text{there exists some production } A \rightarrow \alpha \text{ with } \alpha \in (\Sigma \cup \omega_i)^* \}$   
 $\omega_i \subseteq \omega_{i+1} \text{ for all } i.$

### 2.12.2 step-2 : Construction of $P'$

$P' = \{ A \rightarrow \alpha \mid A, \alpha \in (V' \cup \Sigma)^* \}$

### 2.12.3 step-3

for each  $A \in V'$ , then  $A \xrightarrow[G]{*} \omega; \omega \in \Sigma^*$ ,  
 for each  $A \xrightarrow[G]{*} \omega$ , then  $A \in V'$

$$L(G') = L(G)$$

### 2.12.4 Example

Let  $G = (V, \Sigma, S, P)$  be given by the productions :

$$S \rightarrow AB$$

$$A \rightarrow a$$

$$B \rightarrow b$$

$$B \rightarrow C$$

$$E \rightarrow d$$



## 2.12. CONSTRUCTION OF REDUCED GRAMMAR - PROCEDURE149

Find  $G'$  derives some terminal string

Construction of  $V'$  :

$\omega_1 = \{ A, B, E \}$  since :

$$A \rightarrow a$$

$$B \rightarrow b$$

$$E \rightarrow d$$

$$\begin{aligned}\omega_2 &= \omega_1 \cup \{A_1 \in V | A \rightarrow \alpha; for \alpha \in (\Sigma \cup \{A, B, E\})^*\} \\ &= \omega_1 \cup \{S\} \\ &= \{A, B, E, S\}\end{aligned}$$

$$\begin{aligned}\omega_3 &= \omega_2 \cup \phi \\ &= \omega_2\end{aligned}$$

$$\Rightarrow V' = \{A, B, E, S\}$$

Construction of  $P'$  :

$$\begin{aligned}P' &= \{A_1, \alpha \in (V' \cup \Sigma)^*\} \\ &= \{S \rightarrow AB, A \rightarrow \alpha, B \rightarrow b, E \rightarrow d\}\end{aligned}$$

$$G' = (\{S, A, B, C\}, \{a, b, c\}, S, P')$$

### 2.12.5 Example

Let  $G = (V, \Sigma, S, P)$  be given by the productions :

$$S \rightarrow AB$$

$$A \rightarrow CA$$

$$B \rightarrow BC$$

$$B \rightarrow AB$$

$$A \rightarrow a$$

$$C \rightarrow aB$$

$$C \rightarrow b$$

Answer :

note :  $\omega_1$  is a subset that directly derives terminal string

$$\omega_1 = \{A, C\}$$

note :  $\omega_2$  is a subset that directly derives  $\omega_1$

$$\begin{aligned}\omega_2 &= \omega_1 \cup \{S\} \\ &= \{S, A, C\}\end{aligned}$$

$$\begin{aligned}\omega_3 &= \omega_2 \cup \phi = \omega_2 \\ &= \{S, A, C\}\end{aligned}$$

Thus :

$$\Rightarrow V' = \{S, A, C\}$$

and

$$\Rightarrow P' = \{S \rightarrow CA, A \rightarrow a, C \rightarrow b\}$$

## 2.13 Construction of Reduced Grammar : Procedure-2

**Theorem :** For every CFG with Grammar  $G = (V, \Sigma, S, P)$ , we can construct an equivalent Grammar  $G' = (V', \Sigma', S, P')$  such that every symbol in  $V' \cup \Sigma'$  appears in some result .

Method : We construct  $G' = (V', \Sigma', S, P')$  as follows :

a ) Construction of  $\omega_i$  for  $i \geq 1$

$$\begin{aligned}\omega_i &= \{S\} \\ \omega_{i+1} &= \omega_i \cup \{X \in V \cup \Sigma\}\end{aligned}$$

$$\omega_i \subseteq V \cup \Sigma$$

$$\omega_i \subseteq \omega_{i+1}$$

b ) Construction of  $V', \Sigma', P'$

$$\begin{aligned}V' &= V \cap \omega_k \\ \Sigma' &= \Sigma \cap \omega_k \\ P' &= \{A \rightarrow \alpha \mid A \in \omega_k\}\end{aligned}$$

### 2.13.1 Example

Let  $G = (\{S, A, B, E\}, \{a, b, c\}, S, P)$  where P consists of :

$$\begin{aligned}S &\rightarrow AB \\ A &\rightarrow a \\ B &\rightarrow b \\ E &\rightarrow d\end{aligned}$$

$$\omega_1 = \{S\}$$

$$\begin{aligned}\omega_2 &= \{S\} \cup \{X \in V \cup \Sigma \mid \text{there exists a production } A \rightarrow \alpha \text{ with } A \in \omega_i \text{ and } \alpha \text{ containing } X\} \\ &= \{S\} \cup \{A, B\}\end{aligned}$$

$$\omega_3 = \{S, A, B\} \cup \{a, b\}$$

$$\omega_4 = \omega_3$$

so :

$$V' = \{S, A, B\}$$

$$\Sigma' = \{a, b\}$$

$$P' = \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\}$$

Thus the reduced Grammar is :

$$G' = (V', \Sigma', S, P')$$

## 2.14 Construction of Reduced Grammar : Combining Precedure 1 and 2

**Theorem :** For every CFG, G there exists a reduced grammar  $G'$  which is equivalent to G .

Method : We construct that reduced grammar in two steps .

step 1 : We construct a grammar  $G$ , equivalent to the grammar G, so that every variable in G, derives some terminal strings . (i.e : the theorem steps mentioned in procedure 1)

step 2 : We construct a grammar

$$G' = (V', \Sigma', S, P')$$

equivalent to G, so that every symbol in  $G'$  appears in some sentence form of  $G'$  . (i.e : the theorem steps mentioned in procedure 2)

### 2.14.1 Example

Construct a reduced grammar equivalent to grammar as mentioned below :

$$\begin{aligned}
 S &\rightarrow aAa \\
 A &\rightarrow Sb \\
 A &\rightarrow bCC \\
 A &\rightarrow DaA \\
 C &\rightarrow abb \\
 C &\rightarrow DD \\
 E &\rightarrow aC \\
 D &\rightarrow aDA
 \end{aligned}$$

Answer :

$$\begin{aligned}
 \omega_1 &= \{C\} & C &\rightarrow abb \\
 \omega_2 &= \{C\} \cup \{A, E\} & E &\rightarrow aC \quad A \rightarrow bCC \\
 \omega_3 &= \{A, E, C\} \cup \{S\} & S &\rightarrow aAa \\
 \omega_4 &= \omega_3 \cup \phi \\
 &= \{S, A, C, E\}
 \end{aligned}$$

so :

$$P' = \{S \rightarrow aAa, A \rightarrow Sb, A \rightarrow bCC, C \rightarrow abb, E \rightarrow aC\}$$

note : for the second step considered  $P'$  only .

$$\begin{aligned}
 \omega_1 &= \{S\} \\
 \omega_2 &= \{S\} \cup \{a, A\} \\
 \omega_3 &= \{S, A, a\} \cup \{b, C\} \\
 \omega_4 &= \{S, A, C, a, b\}
 \end{aligned}$$

$\Rightarrow$

$$P'' = \{S \rightarrow aAa, A \rightarrow Sb, A \rightarrow bCC, C \rightarrow abb\}$$

so reduced Grammar is :

$$G = (\{S, A, C\}, \{a, b\}, P'', S)$$

## 2.15 Chomsky Normal Form (CNF)

**Definition :** a CFG is in CNF, if every production is of the form  $A \rightarrow a$  or  $A \rightarrow BC$  and  $S \rightarrow \Lambda$  is in  $G$ , if  $\Lambda \in L(G)$ , we assume that  $S$  does not appear on the Right Hand Side of any production .

Example :

If  $S \rightarrow AB|\Lambda$  ,  $A \rightarrow a$  ,  $B \rightarrow b$  is in  $G$ , then  $G$  is in CNF

**Theorem :** for every CFG, there is an equivalent grammar  $G'$  in CNF

### 2.15.1 How to make some Grammar to CNF

step1: Elimination of null and unit production .

step2 : Elimination of terminals on the Right Hand Side.

step3 : Restricting the number of variables on the Right Hand Side

### 2.15.2 Example

Reduce the following grammar to CNF :

$G$  is :

$$S \rightarrow aAD$$

$$A \rightarrow aB|bAB$$

$$B \rightarrow b$$

$$E \rightarrow d$$

Answer :

step1 : There is no null and unit production. step2 : let's create the productions according to chomsky normal form :

$$\begin{array}{ll}
 S \rightarrow aAD & \\
 C_a \rightarrow a & S \rightarrow C_aAD \\
 A \rightarrow aB & \\
 A \rightarrow C_aB & \\
 A \rightarrow bAB & \\
 C_b \rightarrow b & A \rightarrow C_bAB \\
 B \rightarrow b & \\
 E \rightarrow d &
 \end{array}$$

so we have :

$$V' = \{S, A, B, E, C_a, C_b\}$$

$$P_1 = \{S \rightarrow C_aAD, A \rightarrow C_aB, A \rightarrow C_bAB, B \rightarrow b, E \rightarrow d, C_a \rightarrow a, C_b \rightarrow b\}$$

step3 :

$$\begin{array}{l}
 S \rightarrow C_aAD \\
 S \rightarrow C_aC_1 \\
 C_1 \rightarrow AD \\
 A \rightarrow C_bAB \\
 A \rightarrow C_bC_2 \\
 C_2 \rightarrow AB
 \end{array}$$

### 2.15.3 Example on CNF

Reduce the following Grammar to CNF

G is :

$$S \rightarrow aAbB$$

$$A \rightarrow aA$$

$$A \rightarrow a$$

$$B \rightarrow bB$$

$$B \rightarrow b$$

step2 :

$$S \rightarrow aAbB$$

$$C_a \rightarrow a$$

$$C_b \rightarrow b$$

$$S \rightarrow C_aAC_bB$$

$$A \rightarrow aA$$

$$A \rightarrow C_aA$$

$$B \rightarrow bB$$

$$B \rightarrow C_bB$$

step3 :

$$S \rightarrow C_aAC_bB$$

$$S \rightarrow C_aC_1$$

$$C_1 \rightarrow AC_bB$$

$$C_1 \rightarrow AC_2$$

$$C_2 \rightarrow C_bB$$

so G is :

$$V = \{A, B, S, C_a, C_b, C_1, C_2\}$$

$$\Sigma = \{a, b\}$$

$$S = \text{startstate}$$



and P is :  $P = \{$

$$\begin{aligned}
 A &\rightarrow a \\
 B &\rightarrow b \\
 C_a &\rightarrow a \\
 C_b &\rightarrow b \\
 A &\rightarrow C_a A \\
 B &\rightarrow C_b B \\
 S &\rightarrow C_a C_1 \\
 C_1 &\rightarrow A C_b B \\
 C_1 &\rightarrow A C_2 \\
 C_b &\rightarrow C_b B
 \end{aligned}$$

$\}$

## 2.16 Greibach Normal Form

a CFG is in GNF if every production is of form :

$$A \rightarrow a\alpha$$

where  $\alpha \in V^*$  and  $a \in \Sigma$ ,  $S \rightarrow \Lambda$  is allowed in G, if  $\Lambda \in L(G)$ , we assume that S does not appear on the Right Hand Side of any production .

Example :

a grammar G with following production rules is in GNF :

$$\begin{aligned}
 S &\rightarrow b \\
 S &\rightarrow aBC \\
 S &\rightarrow \Lambda \\
 B &\rightarrow bBC \\
 C &\rightarrow c \\
 B &\rightarrow b
 \end{aligned}$$



# Chapter 3

## Push Down Automata

### 3.1 Definition of Push Down Automata

**Definition :** a PDA is a 7-tuple  $A = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  where :

- a finite non-empty set of states denoted by  $Q$
- a finite non-empty set of input symbols denoted by  $\Sigma$
- a finite non-empty set of push down symbols denoted by  $\Gamma$
- a special state  $q_0$ , called initial state, where  $q_0 \in Q$
- a special push down symbols called initial symbol on the push down store denoted by  $Z_0$
- the set of final states, a subset of  $Q$  denoted by  $F$
- the transition function  $\delta$  from  $Q \times (\Sigma \times \{\lambda\}) \times \Gamma$  to the set of finite subsets of  $Q \times \Gamma^*$

$$Q \times (\Sigma \times \{\lambda\}) \times \Gamma \rightarrow Q \times \Gamma^*$$

#### 3.1.1 Example

Design a PDA

$$A = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

which accepts input strings over "a" & "b", but the input string should contain even number of a's .

Sample : abbaabab

Answer :

$$\delta = \begin{cases} \delta(\overbrace{q_0}^{state}, \overbrace{a}^{input}, \overbrace{Z_0}^{stack-top}) = (q_0, aZ_0) \\ \delta(q_0, a, a) = (q_0, \wedge) \\ \delta(q_0, b, a) = (q_0, a) \\ \delta(q_0, b, Z_0) = (q_0, Z_0) \\ \delta(q_0, \wedge, Z_0) = (q_f, Z_0) \end{cases}$$

note : reaching to final state means the string is accepted  
so :

$$\begin{aligned} Q &= \{q_0, q_f\} \\ \Sigma &= \{a, b\} \\ \Gamma &= \{Z_0, a\} \\ F &= \{q_f\} \end{aligned}$$

## 3.2 PDA : Accepting of a string

- Acceptance by Final state
- Acceptance by Null Store

### 3.2.1 Definition 1 - Acceptance by Final state

Let

$$A = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

be a PDA, The set accepted by PDA by final state is defined by

$$T(A) = \{\omega \in \Sigma^* | (q_0, \omega, Z_0) \vdash (q_f, \wedge, \alpha) \text{ for some } q_f \in F \text{ and } \alpha \in \tau^*\}$$

### 3.2.2 Definition 2 - Acceptance by Null Store

Let

$$A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$$

be a PDA, The set accepted by PDA by null state ( or empty store ) is defined by

$$N(A) = \{\omega \in \Sigma^* | (q_0, \omega, Z_0) \vdash^* (q, \wedge, \wedge) \text{ for some } q \in Q\}$$

## 3.3 PDA to Context-Free-Grammars

**Theorem :** if L is a CFL, then we can construct a PDA A accepting L by empty store, i.e :  $N(A)$

Method : Let  $L = L(G)$ , where  $G = (V, \Sigma, S, P)$  is a Context-Free-Grammar, we construct a PDA A as

$$A = (\{q\}, \Sigma, V \cup \Sigma, \delta, q, S, \phi)$$

where  $\delta$  is defined by the following rules :

$$\begin{aligned} R_1 : \delta(q, \wedge, A) &= \{(q, \alpha) | A \rightarrow \alpha \text{ is in } P\} \\ R_2 : \delta(q_1, a, a) &= \{(q, \wedge) | \text{for every } a \text{ in } \Sigma\} \end{aligned}$$

### 3.3.1 Example

Construct a PDA which is equivalent to the following CFG :

$$\begin{aligned} S &\rightarrow 0CC \\ C &\rightarrow 0S \\ C &\rightarrow 1S \\ C &\rightarrow 0 \end{aligned}$$

test whether 010\*4 is accepted by  $N(A)$  ?

Solution :

$\delta$  is defined by the following rules :

$$\begin{aligned}
R_1 : \delta(q, \wedge, S) &= \{(q, 0CC)\} \\
\delta(q, \wedge, C) &= \{(q, 0S), (q, 1S), (q, 0)\} \\
R_2 : \delta(q, 1, 1) &= \{(q, \wedge)\} \\
\delta(q, 0, 0) &= \{(q, \wedge)\}
\end{aligned}$$

so :

$$\begin{aligned}
(q, 010^4, S) &\vdash (q, 010^4, 0CC) \\
&\vdash (q, 10^4, CC) \\
&\vdash (q, 10^4, 1SC) \\
&\vdash (q, 0^4, SC) \\
&\vdash (q, 0^4, 0CCC) \\
&\vdash (q, 0^3, CCC) \\
&\vdash (q, 0^3, 0CC) \\
&\vdash (q, 0^2, CC) \\
&\vdash (q, 0^2, 0C) \\
&\vdash (q, 0, C) \\
&\vdash (q, 0, 0) \\
&\vdash (q, \wedge, \wedge)
\end{aligned}$$

So  $010^4 \in N(A)$

### 3.3.2 Example

Design a PDA which accepts

$$T(A) = \{\omega \in \omega^T \mid \text{where } \omega \in (a, b)^+\}$$

sample :

$$\underbrace{abb}_{\omega} c \underbrace{bba}_{\omega^T}$$

Answer :

$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aZ_0) \\ \delta(q_0, b, Z_0) = (q_0, bZ_0) \\ \delta(q_0, a, a) = (q_0, aa) \\ \delta(q_0, a, b) = (q_0, ab) \\ \delta(q_0, b, a) = (q_0, ba) \\ \delta(q_0, b, b) = (q_0, bb) \\ \delta(q_0, c, a) = (q_1, a) \\ \delta(q_0, c, b) = (q_1, b) \\ \delta(q_1, a, a) = (q_1, \wedge) \\ \delta(q_1, b, b) = (q_1, \wedge) \\ \delta(q_1, \wedge, Z_0) = (q_f, Z_0) \end{cases}$$

### 3.3.3 Example

Design a PDA which accepts

$$T(A) = \{a^n b^n | n > 0\}$$

sample : if  $n = 3 \rightarrow \omega = aaabbb$

Answer :

$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aZ_0) \\ \delta(q_0, a, a) = (q_0, aa) \\ \delta(q_0, b, a) = (q_1, \wedge) \\ \delta(q_1, b, a) = (q_1, \wedge) \\ \delta(q_0, \wedge, Z_0) = (q_f, Z_0) \end{cases}$$

### 3.3.4 Example

Design a PDA which accepts

$$N(A) = \{a^n b^m a^n | n, m \geq 1\}$$

note :  $N(A)$  means Null-Terminating .

sample :  $\underbrace{aaa}_{q_0} \underbrace{bb}_{q_1} \underbrace{aaa}_{q_2}$

Answer :

$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aZ_0) \\ \delta(q_0, a, a) = (q_0, aa) \\ \delta(q_0, b, a) = (q_1, a) \\ \delta(q_1, b, a) = (q_1, a) \\ \delta(q_1, a, a) = (q_2, \wedge) \\ \delta(q_2, a, a) = (q_2, \wedge) \\ \delta(q_2, \wedge, Z_0) = (q_2, \wedge) \end{cases}$$

### 3.3.5 Example

Design a PDA which accepts

$$N(A) = \{a^n b^{2n} | n \geq 1\}$$

note : for every a we should push two a at top of the stack .

Answer :

$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aaZ_0) \\ \delta(q_0, a, a) = (q_0, aaa) \\ \delta(q_0, b, a) = (q_1, \wedge) \\ \delta(q_1, b, a) = (q_1, \wedge) \\ \delta(q_1, \wedge, Z_0) = (q_1, \wedge) \end{cases}$$

### 3.3.6 Example

Design a PDA which accepts

$$N(A) = \{a^m b^m c^n | m, n \geq 1\}$$

Answer :



$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aZ_0) \\ \delta(q_0, a, a) = (q_0, aa) \\ \delta(q_0, b, a) = (q_1, \wedge) \\ \delta(q_1, b, a) = (q_1, \wedge) \\ \delta(q_1, \wedge, Z_0) = (q_1, \wedge) \end{cases}$$

note : we don't care how many times we see 'c' .

### 3.3.7 Example

Design a PDA which accepts

$$N(A) = \{a^m b^n | m > n \geq 1\}$$

note : because  $m > n$  , the stack should remain 'a' at top of the stack

Answer :

$$\delta = \begin{cases} \delta(q_0, a, Z_0) = (q_0, aZ_0) \\ \delta(q_0, a, a) = (q_0, aa) \\ \delta(q_0, b, a) = (q_1, \wedge) \\ \delta(q_1, b, a) = (q_1, \wedge) \\ \delta(q_1, \wedge, a) = (q_2, \wedge) \\ \delta(q_2, \wedge, a) = (q_2, \wedge) \\ \delta(q_2, \wedge, Z_0) = (q_2, \wedge) \end{cases}$$

## 3.4 LL(K) Grammar

suppose LL(1) Grammar :

First L  $\xrightarrow{\text{means}}$  Reading input string from left to right

Second L  $\xrightarrow{\text{means}}$  Left Most Derivation

1  $\xrightarrow{\text{means}}$  looking ahead terminal symbols in the input string



# Chapter 4

## Turing Machine

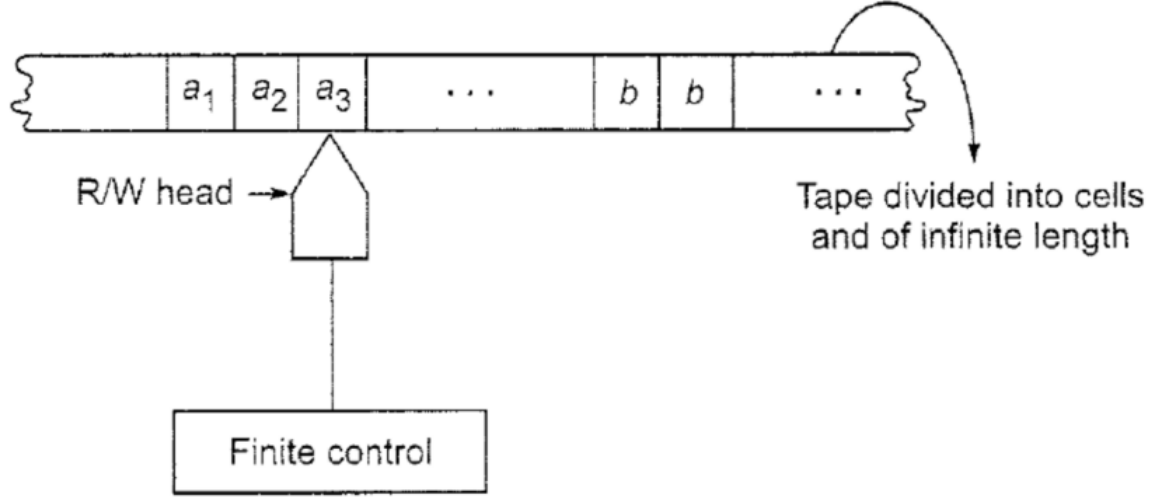
### 4.1 Turing Machine

**Definition :** a Turing Machine,  $M$  is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, b, F)$  where :

- $Q$  is a finite non-empty set of states.
- $\Gamma$  is a finite non-empty set of tape symbols.
- $b \in \Gamma$  is the blank.
- $\Sigma$  is a finite non-empty set of input symbols .  $\Sigma$  is a subset of  $\Gamma$  and  $b \notin \Sigma$  .
- $\delta$  is the transition function mapping the states of finite automaton and tape symbols and movement of the head .  
i.e :  $Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$
- $q_0 \in Q$  is the initial state.
- $F \subseteq Q$  is the set of final states.

\* The acceptability of a string is decided by the reachability from the initial state to same final state, so final states are also called as accepting states .

\*  $\delta$  may not be defined for some elements of  $Q \times \Gamma$



**Fig. 9.1** Turing machine model.

- \* Tape divided into cells containing tape symbols .
- \* Head can move left or right .

## 4.2 Turing Maching : Instantaneous Description (ID)

**Definition :** ID of a Turing Machine, is a snapshot of TM to describe the current situation of the TM .

### 4.2.1 Transitions

let the initial ID of a TM is

$$x_1 x_2 \dots x_{i-1} \underbrace{x_i}_q x_{i+1} \dots x_n$$

So :

$$x_1 x_2 \dots x_{i-1} \underbrace{x_i}_q x_{i+1} \dots x_n \xrightarrow{\delta(q, x_i) = (p, y, L)} x_1 x_2 \dots \underbrace{x_{i-1} y}_{p} x_{i+1} \dots x_n$$

$$x_1x_2 \dots x_{i-1} \underbrace{x_i}_{q} x_{i+1} \dots x_n \xrightarrow{\delta(q,x_i)=(p,y,R)} x_1x_2 \dots x_{i-1}y \underbrace{x_{i+1}}_p \dots x_n$$

### 4.3 TM : Acceptance through transition Table

	0	1	$x$	$y$	$b$
$\rightarrow q_1$	$xRq_2$	—	—	—	$bRq_5$
$q_2$	$0Rq_2$	$yLq_3$	—	$yRq_2$	—
$q_3$	$0Lq_4$	—	$xRq_5$	$yLq_3$	—
$q_4$	$0Lq_4$	—	$xRq_1$	—	—
$q_5$	—	—	—	$yRq_5$	$bRq_6$
$(f)q_6$	—	—	—	—	—

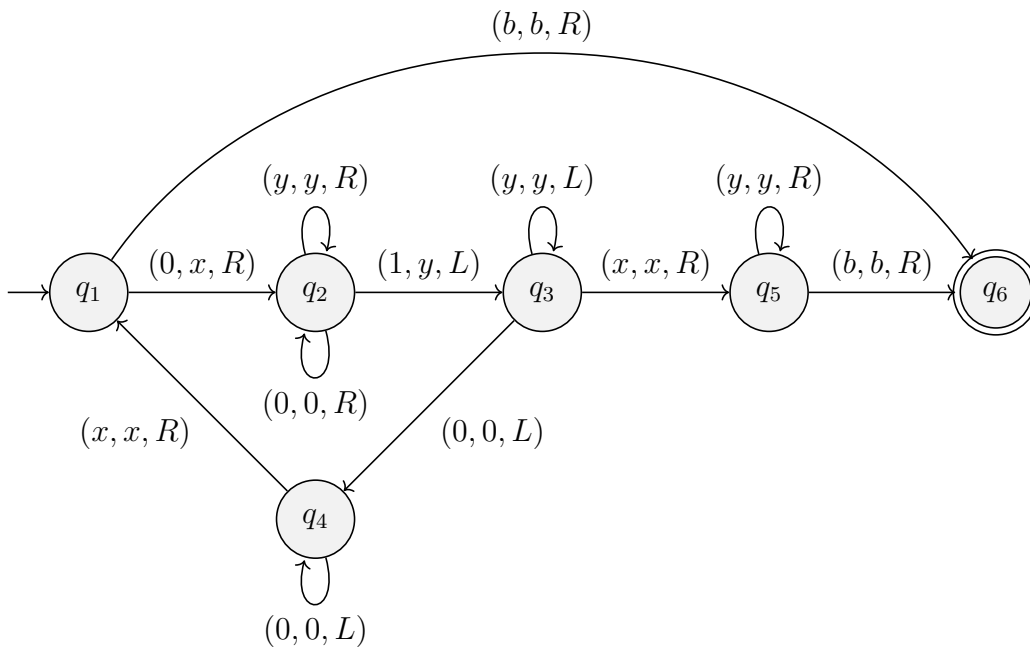
check whether input string 0011 is accepted or not by the given turing machine shown above ?

Answer :

$$\begin{aligned}
& \underbrace{0}_{q_1} 011 \vdash x \underbrace{0}_{q_2} 11 \\
& \vdash x 0 \underbrace{1}_{q_2} 1 \\
& \vdash x \underbrace{0}_{q_3} y 1 \\
& \vdash \underbrace{x}_{q_4} 0 y 1 \\
& \vdash x \underbrace{0}_{q_1} y 1 \\
& \vdash x x \underbrace{y}_{q_2} 1 \\
& \vdash x x y \underbrace{1}_{q_2} \\
& \vdash x x \underbrace{y}_{q_3} y \\
& \vdash x \underbrace{x}_{q_3} y y \\
& \vdash x x \underbrace{y}_{q_5} y \\
& \vdash x x y \underbrace{y}_{q_5} \\
& \vdash x x y y \underbrace{b}_{q_5} \\
& \vdash x x y y b \underbrace{b}_{q_6}
\end{aligned}$$

\*  $q_6$  is the final state so the string is accepted .

## 4.4 TM : Acceptance through transition system



check the above TM accepts input string 0011 or not ?

Answer :

$$\begin{array}{lcl}
\underbrace{0}_{q_1} 011 & \xrightarrow{(0,x,R)} & x \underbrace{0}_{q_2} 11 \\
& \xrightarrow{(0,0,R)} & x0 \underbrace{1}_{q_2} 1 \\
& \xrightarrow{(1,y,L)} & x \underbrace{0}_{q_3} y1 \\
& \xrightarrow{(0,0,L)} & \underbrace{x}_{q_4} 0y1 \\
& \xrightarrow{(x,x,R)} & x \underbrace{0}_{q_1} y1 \\
& \xrightarrow{(0,x,R)} & xx \underbrace{y}_{q_2} 1 \\
& \xrightarrow{(y,y,R)} & xxy \underbrace{1}_{q_2} \\
& \xrightarrow{(1,y,L)} & xx \underbrace{y}_{q_3} y \\
& \xrightarrow{(y,y,L)} & x \underbrace{x}_{q_3} yy \\
& \xrightarrow{(x,x,R)} & xx \underbrace{y}_{q_5} y \\
& \xrightarrow{(y,y,R)} & xxy \underbrace{y}_{q_5} \\
& \xrightarrow{(y,y,R)} & xxyy \underbrace{b}_{q_5} \\
& \xrightarrow{(b,b,R)} & xxyyb \underbrace{b}_{q_6}
\end{array}$$

\*  $q_6$  is the final state so the TM accepts the string .



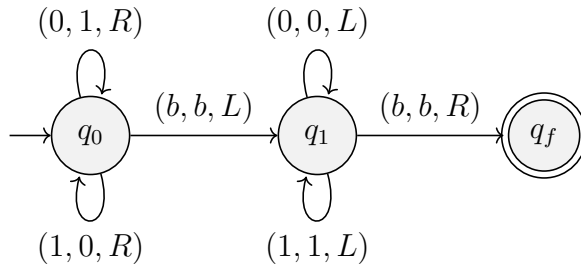
### 4.4.1 Example

TM : 1's complement of a binary number  
result :

$$b01101b \xrightarrow[TM]{*} b10010b$$

	Tape Symbols		
	0	1	b
$\rightarrow q_0$	$1Rq_0$	$0Rq_0$	$bLq_1$
$q_1$	$0Lq_1$	$1Lq_1$	$bRq_f$
$q_f$	—	—	—

\* The Machine HALT at  $q_f$



## 4.5 Example

TM : Even number of 0's and Odd number of 1's .

EE  $\xrightarrow{\text{means}}$  Even number of 0's and Even number of 1's  
 OE  $\xrightarrow{\text{means}}$  Odd number of 0's and Even number of 1's  
 OO  $\xrightarrow{\text{means}}$  Odd number of 0's and Odd number of 1's  
 EO  $\xrightarrow{\text{means}}$  Even number of 0's and Odd number of 1's

$$EE \rightarrow q_0$$

$$OE \rightarrow q_1$$

$$OO \rightarrow q_2$$

$$EO \rightarrow q_f$$

		Tape Symbols		
		0	1	$b$
EE	$\rightarrow q_0$	$0Rq_1$	$1Rq_f$	—
OE	$q_1$	$0Rq_0$	$1Rq_2$	—
OO	$q_2$	$0Rq_f$	$1Rq_1$	—
EO	$q_f$	$0Rq_2$	$1Rq_0$	—