



Let's start



# SCC.201 Database Management Systems

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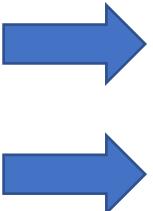
2023 - Week 4 – Relational Algebra  
Uraz C Turker & Ricki Boswell

# What will you learn today?

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- Relational algebra
- Some SQL code.



## Curriculum Design: Outline Syllabus

This module builds upon knowledge gained in Part I by providing a theoretical background to the design, implementation and use of database management systems, both for data designers and application developers. It takes into account all relevant aspects related to information security in the design, development and use of database systems. The course consists of a number of related sections, which range from single lectures to multi-lecture streams, depending on the required depth of coverage. The sections are as follows.

**Introduction**: we begin with a brief history of how the need for database management systems (DBMS) grew over time and how they are applied in day to day scenarios.

**Database Design**: before making use of a DBMS, we must capture our requirements : what data do we actually wish to model? We make use of the Extended Entity-Relationship (EER) model which is both a technique and a notation for designing the data in a DBMS independent way.

**The Relational Model**: now the de-facto standard for DBMS, this was a revolutionary step taken in 1970. We extensively examine the Model, looking at relational database systems, the model itself and the normalisation process, the relational algebra (the mathematical theory that underpins the model), the three schema architecture and schema definition in SQL. Finally, we look at how we can map the EER model into an equivalent Relational Model. The resultant database is then examined in terms of access rights and privileges.

**A (re)Introduction to SQL**: SQL is the de-facto standard for DBMS query languages. We look at both the DDL (data definition language) and DML (data manipulation language). We introduce the use of views, a powerful mechanism for providing privacy and security. We look at the Discretionary Access Control (DAC) features that allow the granting and withholding of access rights and privileges.

**Accessing relational DBMS via Java**: we explore the facilities of the JDBC and show how we can write applications in Java which connect with a relational DBMS (in practice, MySQL).

**The Physical Model**: as Computer Scientists, our students need an awareness of the techniques that allow rapid access to stored data. In this section, we examine the physical data organisation and associated access methods. We show under what circumstances the organisations can be applied, and we look at how queries can be optimised.

**Transaction processing and concurrency control**: a huge part of DBMS in practice is the need to support transactions and concurrency, allowing huge numbers of users to access the DBMS at any one time while still ensuring the consistency of the data. This stream examines the problems and solutions in depth.

Lecture 1	Introduction to the module, Why do we need Databases? Entity Relationship Model	
Lecture 2	Entity Relationship Model (ERM) (cont.)	
Lab	A gentle start to the ER diagrams.	
Lecture 1	Relational Model (RM)	
Lecture 2	ER to RM	
Lab	ER diagrams.	
Lecture 1	Relational Model To SQL & SQL scripting	
Lecture 2	<u>Review</u>	
Lab	ER to Relational Model.	
Lecture 1	<u>Relational Algebra</u>	
Lecture 2	<u>Functional Dependencies + 1st, 2nd Normal Forms</u>	
Lab	Relational Algebra + SQL + Relational Model To SQL.	
Lecture 1	<u>3rd and Boyce-Codd normal forms. Advanced SQL queries.</u>	
Lecture 2	JDBC	
Lab	Functional dependencies and Normalisation.	
Lecture 1	Physical Storage - record files	
Lecture 2	Storage - secondary files	
Lab	Functional dependencies and Normalisation + JDBC Example.	
Lecture 1	Record Search - B-Trees	
Lecture 2	Search - Hashing	
Lab	Working on project	
Lecture 1	Access Routines	
Lecture 2	Query Optimisation	
Lab	Project Grade Phase 1	
Lecture 1	Concurrency - Transaction Processing	
Lecture 2	Locking	
Lab	Project Grade Phase 2	
Lecture 1	Advanced SQL - schemas, views, access control	
Lecture 2	Review and recap?	
Lab	Project Grade Phase 3	

# Query Languages

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- For manipulation and retrieval of stored data
- Relational model supports simple yet powerful query languages
- Query languages are not as complex as programming languages
- They are specialized for data manipulation and retrieval

# Relational Algebra

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- It is a mathematical query language
- Forms the basis of the SQL query language
- Relational Calculus is another mathematical query language but it is declarative rather than operational
- We will concentrate on relational algebra in this course

# Basics of Querying

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- A query is applied to relation instances, and the result of a query is also a relation instance.

eid	ename	Salary	age
28	Eric	90K	35
58	Kyle	100K	33



ename	Salary
Eric	90K
Kyle	100K

# Relational Algebra Operations

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## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.
- Set-difference ( $-$ ) Tuples in relation 1, but not in relation 2.
- Union ( $\cup$ ) Tuples in relation 1 and in relation 2.

# Relational Algebra

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- Additional operations:
  - Intersection,
  - Join
  - division,
  - Renaming
- Each operation returns a relation therefore operations can be *composed*

# Projection

- Input is a single relation instance
- Deletes attributes that are not in *projection list*.
- *Schema* of result contains exactly the fields in the projection list, with the same names that they had in the input relation.
- Projection operator has to eliminate *duplicates* (Why??)
  - Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)

S2

sid	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\pi_{sname, rating}(S2)$

sname	rating
yuppy	9
lubber	8
guppy	5
rusty	10

# Projection

- Input is a single relation instance
- Deletes attributes that are not in *projection list*.
- *Schema* of result contains exactly the fields in the projection list, with the same names that they had in the input relation.
- Projection operator has to eliminate *duplicates* (Why??)
  - Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)

$S2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\pi_{age}(S2)$

age
35.0
55.5

# Selection

- Input is a single relation instance
- Selects rows that satisfy *selection condition*.
- No duplicates in result! (Why?)
- *Schema* of result identical to schema of input relation.

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$$\sigma_{rating > 8}(S2)$$

sid	sname	rating	age
28	yuppy	9	35.0
58	rusty	10	35.0

# Selection



- Input is a single relation instance
- Selects rows that satisfy *selection condition*.
- No duplicates in result! (Why?)
- *Schema* of result identical to schema of input relation.
- *Result* relation can be the *input* for another relational algebra operation!

S2

sid	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$$\pi_{sname, rating}(\sigma_{rating > 8}(S2))$$

sname	rating
yuppy	9
rusty	10

# Union, Intersection, Set-Difference

- All of these operations take two input relations, which must be *union-compatible*:
  - Same number of fields.
  - ‘Corresponding’ fields have the same type.

# Union

$S_1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S_2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S_1 \cup S_2$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0
44	guppy	5	35.0
28	yuppy	9	35.0

# Intersection

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S1 \cap S2$

<u>sid</u>	sname	rating	age
31	lubber	8	55.5
58	rusty	10	35.0

# Set Difference

$S_1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$S_2$

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S_1 - S_2$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0

# Cross-Product

- $S1 \times R1$
- Each row of  $S1$  is paired with each row of  $R1$ .
- *Result schema* has one field per field of  $S1$  and  $R1$ , with field names ‘inherited’ if possible.

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

# Cross-Product

S1

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

R1

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

# S1 X R1

# Cross-Product

S1

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

R1

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

# S1 X R1

# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*



(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96

# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*



(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96

# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*

→

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96

# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96



# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96

# Cross-Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 X R1*

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96



Both S1 and R1 have a field called *sid*. Which may cause a conflict when referring to columns

*S1 X R1*

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

# Renaming Operator

Takes a relation schema and gives a new name to the schema and the columns

$$\rho (C(1 \rightarrow sid1, 5 \rightarrow sid2), S1 \times R1)$$

C	1	2	3	4	5	6	7
	sid1	sname	rating	age	sid2	bid	day
	22	dustin	7	45.0	22	101	10/10/96
	22	dustin	7	45.0	58	103	11/12/96
	31	lubber	8	55.5	22	101	10/10/96
	31	lubber	8	55.5	58	103	11/12/96
	58	rusty	10	35.0	22	101	10/10/96
	58	rusty	10	35.0	58	103	11/12/96

# Joins

- Condition Join :  $R \bowtie_c S = \sigma_c(R \times S)$

- *Result schema* same as that of cross-product.
- Fewer tuples than cross-product, might be able to compute more efficiently
- Sometimes called a *theta-join*.

# Joins

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$$S1 \bowtie_{S1.sid < R1.sid} R1$$

$S1$ 

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

 $R1$ 

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

 $S1 \times R1$ 

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

$S1$	<u>sid</u>	sname	rating	age	$R1$	<u>sid</u>	<u>bid</u>	<u>day</u>
	22	dustin	7	45.0		22	101	10/10/96
	31	lubber	8	55.5		58	103	11/12/96
	58	rusty	10	35.0				

$$\sigma_{S1.sid < R1.sid} (S1 \times R1)$$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$$\sigma_{S1.sid < R1.sid}^{(S1 \times R1)}$$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	58	103	11/12/96

Equi-Join: A special case of condition join where the condition  $c$  contains only **equalities**.

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$S1 \bowtie_{sid} R1$

sid	sname	rating	age	bid	day

Equi-Join: A special case of condition join where the condition  $c$  contains only **equalities**.

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$S1 \bowtie_{sid} R1$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/10/96

Equi-Join: A special case of condition join where the condition  $c$  contains only **equalities**.

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$$S1 \bowtie_{sid} R1$$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/10/96

Equi-Join: A special case of condition join where the condition  $c$  contains only **equalities**.

$S1$

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

$R1$

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96



$S1 \bowtie_{sid} R1$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/10/96
58	rusty	10	35.0	103	11/12/96

# Joins

- Equi-Join: A special case of condition join where the condition  $c$  contains only **equalities**.

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/10/96
58	rusty	10	35.0	103	11/12/96

- *Result schema* similar to cross-product, but only one copy of fields for which equality is specified.
- Natural Join: Equijoin on **all common** fields.

$$S1 \bowtie_{sid} R1$$

$$S1 \bowtie R1$$

# Division

- Not supported as a primitive operator, but useful for expressing queries like:  
*Find Players who have played all games.*
- Let  $A$  have 2 fields,  $x$  and  $y$ ;  $B$  have only field  $y$ :
  - $A/B = \text{Keeps } x \text{ values providing following condition}$

# Division

- Not supported as a primitive operator, but useful for expressing queries like:  
*Find Players who have played all games.*
- Let  $A$  have 2 fields,  $x$  and  $y$ ;  $B$  have only field  $y$ :
  - $A/B = \text{Keeps } x \text{ values providing following condition}$ 
    - For  $B$  there exist  $x$  in  $A$  such that  $B \times x$  is a member of  $A$
  - 
  - 
  -

# Division

- Not supported as a primitive operator, but useful for expressing queries like:  
*Find Players who have played all games.*
- Let  $A$  have 2 fields,  $x$  and  $y$ ;  $B$  have only field  $y$ :
  - $A/B =$  Keeps  $x$  values providing following condition
    - For  $B$  there exist  $x$  in  $A$  such that  $B \ X \ x$  is a member of  $A$
    - i.e.,  **$A/B$  contains all  $x$  values (players) such that for every  $y$  value (game) in  $B$ , there is an  $x-y$  paired value in  $A$ .**
    - Or: If the set of  $y$  values (games) associated with an  $x$  value (player) in  $A$  contains all  $y$  values in  $B$ , the  $x$  value is in  $A/B$ .
  - In general,  $x$  and  $y$  can be any lists of fields;  $y$  is the list of fields in  $B$ , and  $x \ U \ y$  is the list of fields of  $A$ .

# Examples of Division A/B

- For  $B$  (*one attribute*) there exist  $x$  in  $A$  such that  $B \times x$  is a member of  $A$

sno	pno
s1	p1
s1	p2
s1	p3
s1	p4
s2	p1
s2	p2
s3	p2
s4	p2
s4	p4

$A$

pno
p2

$B1$

pno
p2
p4

$B2$

pno
p1
p2
p4

$B3$

sno
s1
s2
s3
s4

$A/B1$

sno
s1
s4

$A/B2$

sno
s1

$A/B3$

## Find names of sailors who've reserved boat #103

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

Find names of sailors who've reserved boat #103

$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

Find names of sailors who've reserved boat #103

$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.15 An Instance *S3* of Sailors

Figure 4.16 An Instance *R2* of Reserves

Find names of sailors who've reserved boat #103

$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>	<i>bid</i>	<i>day</i>
22	Dustin	7	45.0	101	10/10/98
22	Dustin	7	45.0	102	10/10/98
22	Dustin	7	45.0	103	10/8/98
22	Dustin	7	45.0	104	10/7/98
31	Lubber	8	55.5	102	11/10/98
31	Lubber	8	55.5	103	11/6/98
31	Lubber	8	55.5	104	11/12/98
64	Horatio	7	35.0	101	9/5/98
64	Horatio	7	35.0	102	9/8/98
74	Horatio	9	35.0	103	9/8/98

Result of Natural Join

Find names of sailors who've reserved boat #103

$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$$

Result of Selection bid=103

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>	<i>bid</i>	<i>day</i>
22	Dustin	7	45.0	101	10/10/98
22	Dustin	7	45.0	102	10/10/98
22	Dustin	7	45.0	103	10/8/98
22	Dustin	7	45.0	104	10/7/98
31	Lubber	8	55.5	102	11/10/98
31	Lubber	8	55.5	103	11/6/98
31	Lubber	8	55.5	104	11/12/98
64	Horatio	7	35.0	101	9/5/98
64	Horatio	7	35.0	102	9/8/98
74	Horatio	9	35.0	103	9/8/98

Find names of sailors who've reserved boat #103

$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$$

Result of Projection on sname

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>	<i>bid</i>	<i>day</i>
22	Dustin	7	45.0	101	10/10/98
22	Dustin	7	45.0	102	10/10/98
22	Dustin	7	45.0	103	10/8/98
22	Dustin	7	45.0	104	10/7/98
31	Lubber	8	55.5	102	11/10/98
31	Lubber	8	55.5	103	11/6/98
31	Lubber	8	55.5	104	11/12/98
64	Horatio	7	35.0	101	9/5/98
64	Horatio	7	35.0	102	9/8/98
74	Horatio	9	35.0	103	9/8/98

## Find names of sailors who've reserved boat #103

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance *R2* of Reserves

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance  $S_3$  of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance  $R_2$  of Reserves

Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance *R2* of Reserves

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance  $S_3$  of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

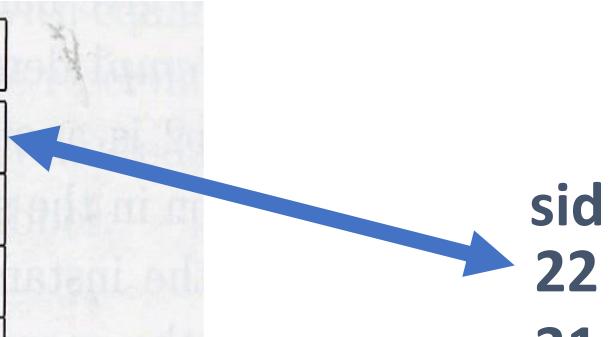
Figure 4.16 An Instance  $R_2$  of Reserves

sid  
22  
31  
74

Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5



A diagram illustrating a query projection. On the left, a large grey rectangular area contains the text "Figure 4.15 An Instance S3 of Sailors" and a table of sailor data. On the right, a smaller grey rectangular area contains the projection of the sailor IDs (sid) from the table. A blue arrow points from the top-left corner of the large area to the top-left corner of the small area. Another blue arrow points from the bottom-right corner of the large area to the bottom-right corner of the small area.

**sid**

22

31

74

Figure 4.15 An Instance  $S_3$  of Sailors

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**sid**  
**22**  
**31**  
**74**

**Figure 4.15** An Instance  $S_3$  of Sailors

Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

The diagram illustrates the selection process for the query. A red box highlights the first row (sid=22). Three blue arrows point from the text labels 'sid 22', 'sid 31', and 'sid 74' to the corresponding rows in the table. The labels are positioned to the right of the table.

sid  
22  
31  
74

Figure 4.15 An Instance  $S_3$  of Sailors

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

The diagram illustrates the selection process for the query. Three specific rows from the Sailors table are highlighted with red boxes: the row for sid 22 (Dustin), the row for sid 31 (Lubber), and the row for sid 74 (Horatio). Blue arrows point from these highlighted rows to the corresponding values of sid on the right side of the diagram.

**sid**  
22  
31  
74

Figure 4.15 An Instance  $S_3$  of Sailors

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

The diagram illustrates the selection process for the *sid* attribute. Three specific rows are highlighted with red boxes: the first row (sid=22), the third row (sid=31), and the ninth row (sid=74). Blue arrows point from these highlighted rows to the corresponding values of *sid* listed on the right: 22, 31, and 74.

**sid**  
22  
31  
74

Figure 4.15 An Instance  $S_3$  of Sailors

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

The diagram illustrates the selection process for the *sid* attribute. Three specific rows are highlighted with red boxes: the second row (sid=22), the fourth row (sid=31), and the ninth row (sid=74). Blue arrows point from these highlighted rows to the corresponding values of *sid* listed on the right side of the diagram: 22, 31, and 74.

**sid**  
22  
31  
74

Figure 4.15 An Instance  $S_3$  of Sailors

Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance  $S_3$  of Sailors

## Find names of sailors who've reserved boat #103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance  $S_3$  of Sailors

Find names of sailors who've reserved boat  
#103

- Solution 1:  $\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$
- ▀ Solution 2:  $\rho(\text{Temp1}, \sigma_{bid=103} \text{Reserves})$   
 $\rho(\text{Temp2}, \text{Temp1} \bowtie \text{Sailors})$   
 $\pi_{sname}(\text{Temp2})$
- ▀ Solution 3:  $\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie \text{Sailors}))$

# Find names of sailors who've reserved a red boat

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B1* of Boats

# Find names of sailors who've reserved a red boat

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B1* of Boats

# Find names of sailors who've reserved a red boat

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B1* of Boats

# Find names of sailors who've reserved a red boat

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B1* of Boats

# Find names of sailors who've reserved a red boat

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B1* of Boats

# Find names of sailors who've reserved a red boat

22  
31  
64

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S*<sub>3</sub> of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R*<sub>2</sub> of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B*<sub>1</sub> of Boats

# Find names of sailors who've reserved a red boat

22  
31  
64

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

**Figure 4.15** An Instance *S*<sub>3</sub> of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

**Figure 4.16** An Instance *R*<sub>2</sub> of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

**Figure 4.17** An Instance *B*<sub>1</sub> of Boats

Find names of sailors who've reserved a red boat

- NOTE: Information about boat colour is only available in Boats; so need an extra join:

$$\pi_{sname}((\sigma_{color='red'} Boats) \bowtie Reserves \bowtie Sailors)$$

✓ A more efficient solution:

$$\pi_{sname}(\pi_{sid}((\pi_{bid} \sigma_{color='red'} Boats) \bowtie Res) \bowtie Sailors)$$

Find names of sailors who've reserved a red and a green boat.

HOW ABOUT THIS ANSWER?

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

Figure 4.17 An Instance *B1* of Boats

$$\rho(\text{Tempred}, \pi_{\text{sid}}((\sigma_{\text{color}=\text{'red'}} \text{Boats}) \bowtie \text{Reserves}))$$

$$\rho(\text{Tempgreen}, \pi_{\text{sid}}((\sigma_{\text{color}=\text{'green'}} \text{Boats}) \bowtie \text{Reserves}))$$

$$\pi_{\text{sname}}((\text{Tempred} \cap \text{Tempgreen}) \bowtie \text{Sailors})$$

Find names of sailors who've reserved a red and a green boat.

HOW ABOUT THIS ONE?

$$\rho(Tmp1, \pi_{sid}((\sigma_{color='red'} Boats) \bowtie Reserves))$$

$$\rho(Tmp2, \pi_{sid}((\sigma_{color='green'} Boats) \bowtie Reserves))$$

$$\pi_{sname}(Tmp1 \bowtie Sailors) \cap \pi_{sname}(Tmp2 \bowtie Sailors)$$

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance *S3* of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance *R2* of Reserves

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

Figure 4.17 An Instance *B1* of Boats

Find the names of sailors who've reserved all boats

*Division*

 $\pi_{sid,bid} \text{Reserves}$ 

sid	bid
22	101
22	102
22	103
22	104
31	102
31	104
64	101
64	102
74	103

 $(\pi_{sid,bid} \text{Reserves}) / (\pi_{bid} \text{Boats})$ 
 $\pi_{sname}(\text{Division} \bowtie \text{Sailors})$ 
 $\pi_{bid} \text{Boats}$ 

bid
101
102
103
104

sid
22

sid	sname	rating	age
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance S3 of Sailors

sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance R2 of Reserves

bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

Figure 4.17 An Instance B1 of Boats

## Find the names of sailors who've reserved all boats

- Uses division; schemas of the input relations must be carefully chosen:

$$\rho (Tempsids, (\pi_{sid,bid} \text{Reserves}) / (\pi_{bid} \text{Boats}))$$

$$\pi_{sname} (Tempsids \bowtie Sailors)$$

↙ To find sailors who've reserved all ‘Interlake’ boats:

$$\dots / \pi_{bid} (\sigma_{bname='Interlake'} \text{Boats})$$



---

Let's start



# SCC.201 Database Management Systems

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2023 - Week 4 – Relational Algebra – Schema Refinement  
Uraz C Turker & Ricki Boswell

# Relational Algebra Operations

---

## Basic operations:

-Selection ( $\sigma$ ) Selects a subset of rows from relation.

-

-

-

-

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Relational Algebra Operations

---

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- 
- 
- 

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

# Relational Algebra Operations

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98

<i>bid</i>	<i>bname</i>	<i>color</i>
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

<i>sid</i>	<i>bid</i>	<i>day</i>	<i>bid</i>	<i>bname</i>	<i>color</i>
22	101	10/10/98	101	Interlake	blue
22	102	10/10/98	102	Interlake	red
22	101	10/10/98	103	Clipper	green
22	102	10/10/98	104	Marine	red
22	101	10/10/98	102	Interlake	red
22	102	10/10/98	101	Interlake	blue
22	101	10/10/98	104	Marine	red
22	102	10/10/98	103	Clipper	green

# Relational Algebra Operations

---

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.
- Set-difference ( $-$ ) Tuples in relation 1, but not in relation 2.

bid
101
102

bid
101
102
103
104

# Relational Algebra Operations

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.
- Set-difference ( $-$ ) Tuples in relation 1, but not in relation 2.
- Union ( $\cup$ ) Tuples in relation 1 and in relation 2.
- Join: Theta-Join, Equi-Join, Natural-Join.

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

sid	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0
44	guppy	5	35.0
28	yuppy	9	35.0

# Relational Algebra Operations

---

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.
- Set-difference ( $\rightarrowtail$ ) Tuples in relation 1, but not in relation 2.
- Union ( $\cup$ ) Tuples in relation 1 and in relation 2.
- Join: Theta-Join (conditional), Equi-Join (Selected fields have same value), Natural-Join (All common fields have same value).

# Relational Algebra Operations

---

## Basic operations:

- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Combines two relations.
- Set-difference ( $\setminus$ ) Tuples in relation 1, but not in relation 2.
- Union ( $\cup$ ) Tuples in relation 1 and in relation 2.
- Join: Theta-Join (conditional), Equi-Join (Selected fields have same value), Natural-Join (All common fields have same value).
- / Division!

# (Practical)

## Relational Algebra-SQL relation.

- A standard for querying relational data
- Basic query structure

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

- DISTINCT is an optional keyword indicating that duplicates should be eliminated. (Otherwise duplicate elimination is not done)

```
sqlite> Select DISTINCT D.NoOfEmp FROM Department D WHERE Dname = "45";
244
sqlite>
```

# SQL

- A standard for querying relational data
- Basic query structure

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

- ▀ Conditions (ATTR *op* CONST or ATTR1 *op* ATTR2, where *op* is one of ( <, >, =, ≤, ≥, ≠ ) combined using AND, OR and NOT.

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - 
  - 
  - 
  - 
  -

SELECT	[DISTINCT] <i>attribute-list</i>
FROM	<i>relation-list</i>
WHERE	<i>condition</i>

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - 
  - 
  - 
  -

SELECT	[DISTINCT] <i>attribute-list</i>
FROM	<i>relation-list</i>
WHERE	<i>condition</i>

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - Discard resulting tuples if they do not satisfy the *conditions*.
  - 
  - 
  -

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - Discard resulting tuples if they do not satisfy the *conditions*.
  - Display attributes that are in *attribute-list*.
  - 
  -

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - Discard resulting tuples if they do not satisfy the *conditions*.
  - Display attributes that are in *attribute-list*.
  - If DISTINCT is specified, eliminate duplicate rows.
- 

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

# Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - Discard resulting tuples if they do not satisfy the *conditions*.
  - Display attributes that are in *attribute-list*.
  - If DISTINCT is specified, eliminate duplicate rows.
- This strategy is probably the least efficient way to compute a query! An optimiser will find more efficient strategies to compute *the same answers*.

```
SELECT      [DISTINCT] attribute-list
FROM        relation-list
WHERE       condition
```

# Example of Conceptual Evaluation

```
SELECT sname  
FROM Sailors, Reserves  
WHERE Sailors.sid=Reserves.sid AND Reserves.bid=103
```

$$\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie \text{Sailors})$$

Query: Find names of sailors who Reserved boat number 103

<i>sid</i>	<i>sname</i>	<i>rating</i>	<i>age</i>
22	Dustin	7	45.0
29	Brutus	1	33.0
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35.0
64	Horatio	7	35.0
71	Zorba	10	16.0
74	Horatio	9	35.0
85	Art	3	25.5
95	Bob	3	63.5

Figure 4.15 An Instance  $S_3$  of Sailors

<i>sid</i>	<i>bid</i>	<i>day</i>
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

Figure 4.16 An Instance  $R_2$  of Reserves

# Range Variables

Query: Find names  
of sailors who  
Reserved boat  
number 103

```
SELECT sname  
FROM   Sailors, Reserves  
WHERE  Sailors.sid=Reserves.sid AND Reserves.bid=103
```

```
SELECT S.sname  
FROM   Sailors S, Reserves R  
WHERE  S.sid=R.sid AND bid=103
```

Range variables are  
necessary when joining a  
table with itself !!!

# Expressions and Strings

```
SELECT S.age, age1=S.age-5, 2*S.age AS age2  
FROM Sailors S  
WHERE S.sname LIKE 'B_%B'
```

- Illustrates use of arithmetic expressions and string pattern matching: *Find triples (of ages of sailors and two new fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.*
- 
-

# Expressions and Strings

```
SELECT S.age, age1=S.age-5, 2*S.age AS age2  
FROM Sailors S  
WHERE S.sname LIKE 'B_%B'
```

- Illustrates use of arithmetic expressions and string pattern matching: *Find triples (of ages of sailors and two new fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.*
- **AS** and **=** are two ways to name fields in result.
-

# Expressions and Strings

```
SELECT S.age, age1=S.age-5, 2*S.age AS age2  
FROM Sailors S  
WHERE S.sname LIKE 'B_%B'
```

- Illustrates use of arithmetic expressions and string pattern matching: *Find triples (of ages of sailors and two new fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.*
- **AS** and **=** are two ways to name fields in result.
- **LIKE** is used for string matching. **'\_'** stands for any one character and **'%'** stands for 0 or more arbitrary characters.

## Find sid's of sailors who've reserved a red or a green boat

B	
BID	Colr
b1	red
b2	grn

R	
SID	BID
s1	b1
s1	b2
s2	b1

- UNION: Can be used to compute the union of any two *union-compatible* sets of tuples (which are themselves the result of SQL queries).

```
SELECT R.sid  
FROM Boats B, Reserves R  
WHERE R.bid=B.bid  
AND (B.color='red' OR B.color='green')
```

```
SELECT R.sid  
FROM Boats B, Reserves R  
WHERE R.bid=B.bid  
AND B.color='red'  
UNION  
SELECT R.sid  
FROM Boats B, Reserves R  
WHERE R.bid=B.bid  
AND B.color='green'
```

- **EXCEPT** : Used to compute the set difference of two *union-compatible* sets of tuples
- What do we get if we replace **UNION** with **EXCEPT** in the previous SQL query?

B

<u>BID</u>	Colr
b1	red
b2	grn

R

<u>SID</u>	<u>BID</u>
s1	b1
s1	b2
s2	b1

```

SELECT R.sid
FROM Boats B, Reserves R      A
WHERE R.bid=B.bid
    AND B.color='red'          -
EXCEPT
SELECT R.sid
FROM Boats B, Reserves R      B
WHERE R.bid=B.bid
    AND B.color='green'

```

# Example

**EMPLOYEE**(NAME, SSN, BDATE, ADDRESS, SALARY)

**DEPARTMENT**(DNAME, DNUMBER, MGRSSN)

(MGRSSN references SSN in EMPLOYEE table)

**WORKSIN**(ESSN, DNUMBER, HOURS)

(DNUMBER references DNUMBER in DEPARTMENT table)

(ESSN reference SSN in EMPLOYEE table)

Write the relational algebra expressions for the following queries:

- 1) List the names of employees whose salary is greater than 30000
- 2) List the names of employees who work in “shoes” department
- 3) List the names of employees who work in all departments



More will be provided after Normalisation

# Normal forms

---

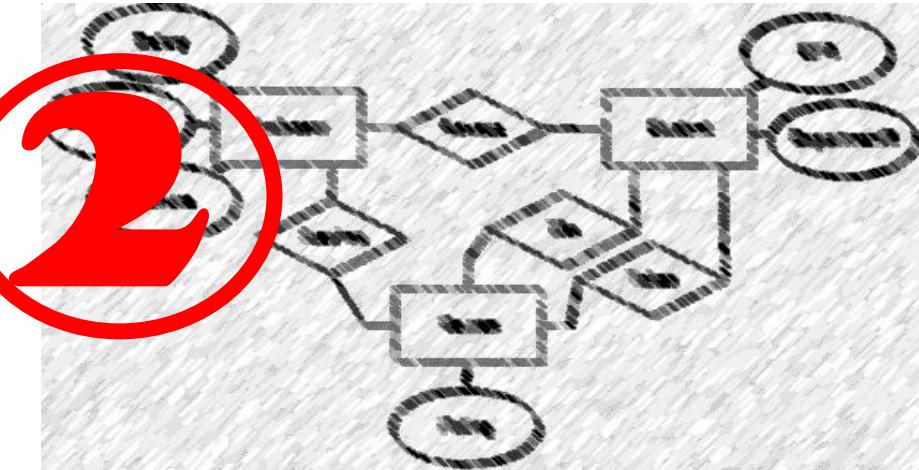


Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry or patriotism, and could not be defined by any particular sense of "Viking-ness." Most of the Vikings whose activities are best known come from the areas now known as Denmark, Norway and Sweden, though there are mentions in historical records of Finnish, Estonian and Saami Vikings as well. Their common ground—and what made them different from the European peoples they confronted—was that they came from a foreign land, they were not "civilized" in the local understanding of the word and—most importantly—they were not Christian.



- We received a work plan in plain English.
- 
- 
- 
-

Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry or patriotism, and could not be defined by any particular sense of "Viking-ness." Most of the Vikings whose activities are best known come from the areas now known as Denmark, Norway and Sweden, though there are mentions in historical records of Finnish, Estonian and Saami Vikings as well. Their common ground—and what made them different from the European peoples they confronted—was that they came from a foreign land, they were not "civilized" in the local understanding of the word and—most importantly—they were not Christian.

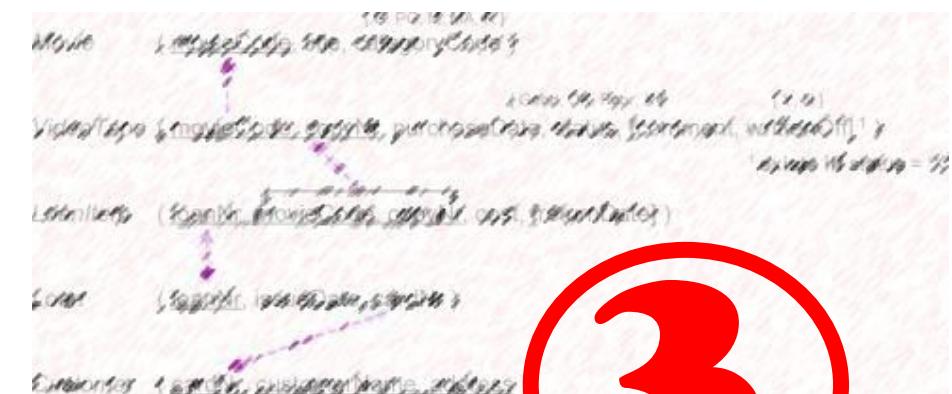
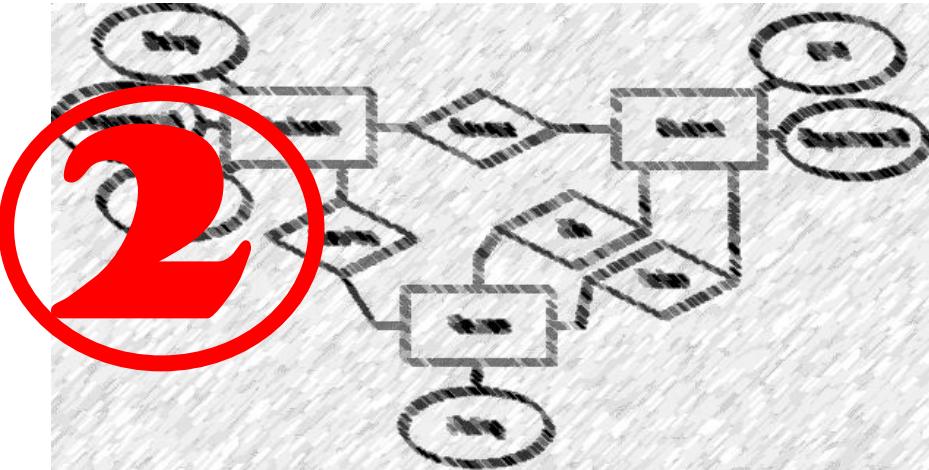


- We received a work plan in plain English.
- We derived its ER diagram.
- 
- 
-

Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry or patriotism, and could not be defined by any particular sense of "Viking-ness." Most of the Vikings whose activities are best known come from the areas now known as Denmark, Norway and Sweden, though there are mentions in historical records of Finnish, Estonian and Saami Vikings as well. Their common ground—and what made them different from the European peoples they confronted—was that they came from a foreign land, they were not "civilized" in the local understanding of the word and—most importantly—they were not Christian.

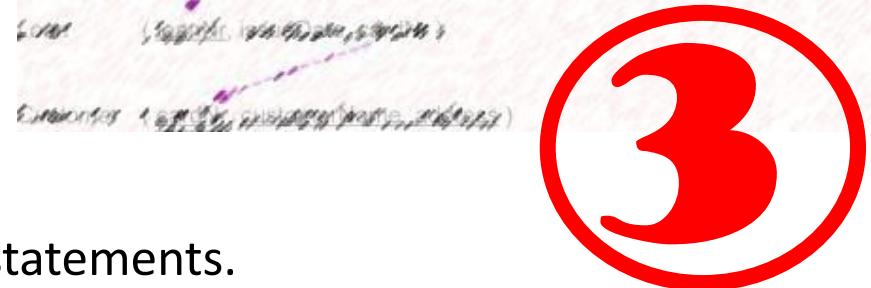
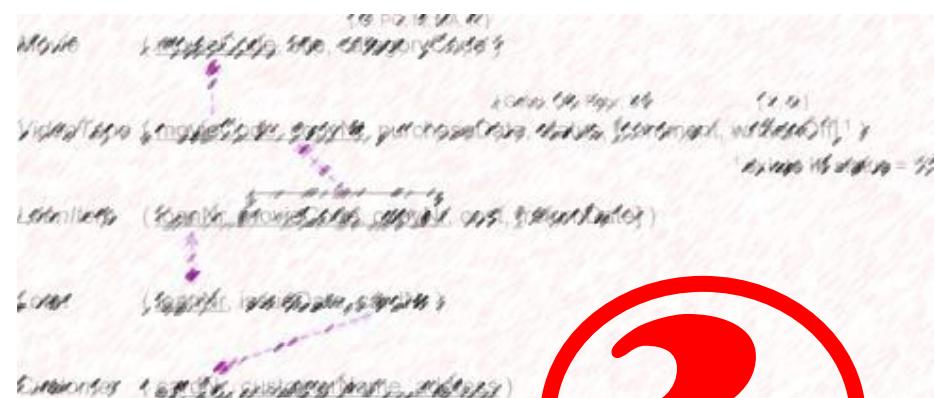
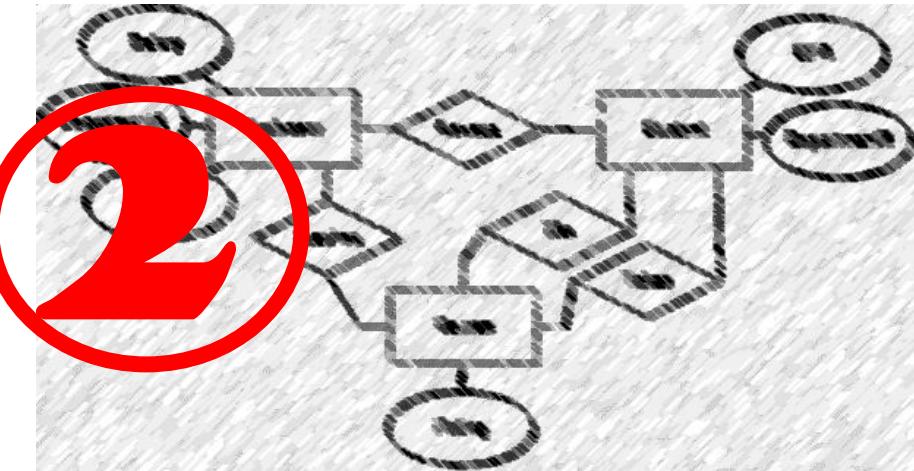


- We received a work plan in plain English.
- We derived its ER diagram.
- We created its Relational Schema and ICs.
- 
- 



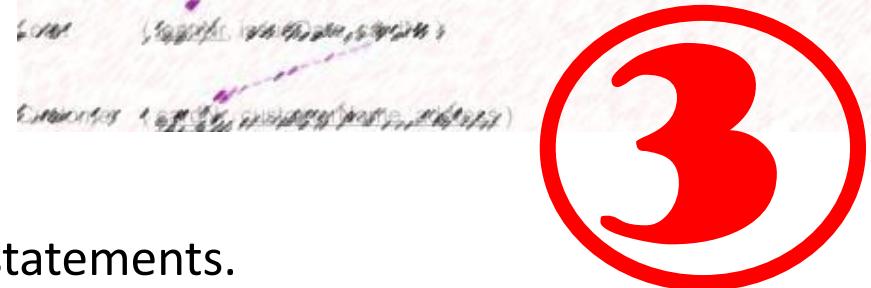
Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry or patriotism, and could not be defined by any particular sense of "Viking-ness." Most of the Vikings whose activities are best known come from the areas now known as Denmark, Norway and Sweden, though there are mentions in historical records of Finnish, Estonian and Saami Vikings as well. Their common ground—and what made them different from the European peoples they confronted—was that they came from a foreign land, they were not "civilized" in the local understanding of the word and—most importantly—they were not Christian.

1



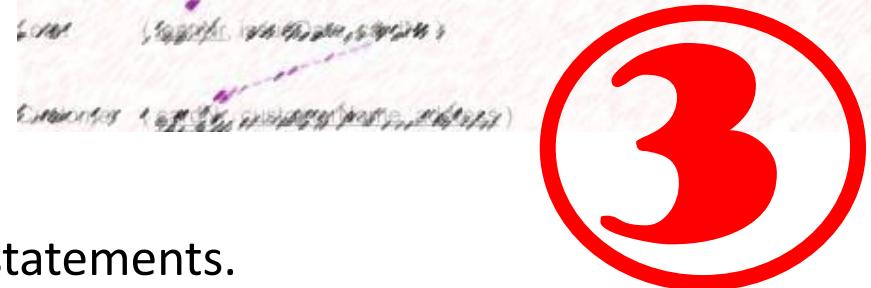
2

CHOOSE THESE ERG 3 into {  
Email ID: NOT NULL,  
EmailName 'Vikings'(30) NOT NULL,  
Date DATE(10) NOT NULL,  
Email ID: VARCHAR(100) NULL  
}  
CHOOSE THESE ERG 3 into {  
Email ID: NOT NULL,  
EmailName 'Vikings'(30) NOT NULL,  
Date DATE(10) NOT NULL,  
Email ID: VARCHAR(100) NULL  
}



3

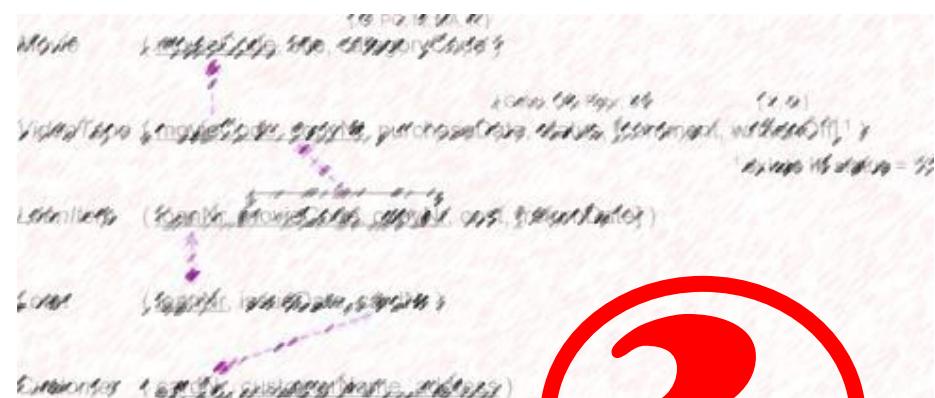
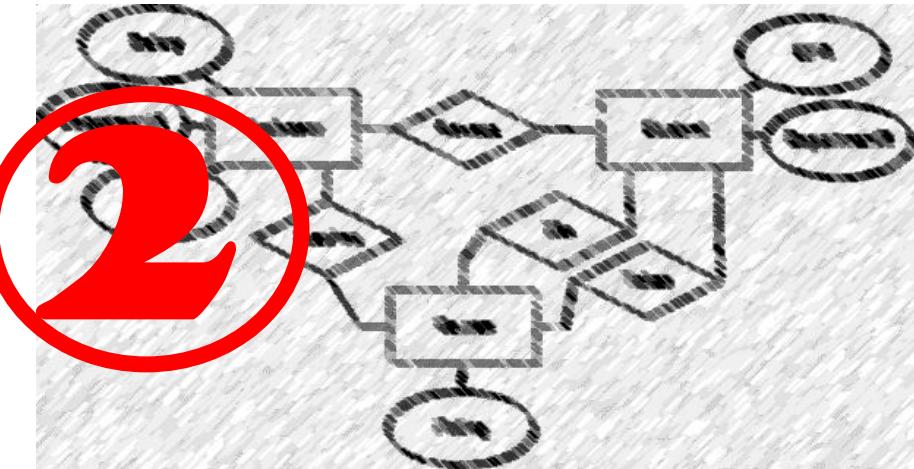
- We received a work plan in plain English.
- We derived its ER diagram.
- We created its Relational Schema and ICs.
- We created the tables in a database using DDL statements.
- 



4

Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry or patriotism, and could not be defined by any particular sense of "Viking-ness." Most of the Vikings whose activities are best known come from the areas now known as Denmark, Norway and Sweden, though there are mentions in historical records of Finnish, Estonian and Saami Vikings as well. Their common ground—and what made them different from the European peoples they confronted—was that they came from a foreign land, they were not "civilized" in the local understanding of the word and—most importantly—they were not Christian.

1



CREATE THESE ERD INTO  
Entity NOT NULL,  
Entity VARCHAR(50) NOT NULL,  
Entity INT(12) NOT NULL,  
Entity VARCHAR(100) NULL

CREATE THESE ERD INTO  
Entity NOT NULL,  
Entity VARCHAR(50) NOT NULL,  
Entity INT(12) NOT NULL,  
Entity VARCHAR(100) NULL

4

- We received a work plan in plain English.
- We derived its ER diagram.
- We created its Relational Schema and ICs.
- We created the tables in a database using DDL statements.
- We insert some tuples.

3

Contrary to some popular conceptions of the Vikings, they were not a "race" linked by ties of common ancestry by any particular sense of "Viking" are best known come from the ar Sweden, though there are mention and Saami Vikings as well. Their are different from the European people from a foreign land, they were not the word and-most importantly-th

<b><i>ssn</i></b>	<b><i>name</i></b>	<b><i>lot</i></b>	<b><i>rating</i></b>	<b><i>hourly_wages</i></b>	<b><i>hours_worked</i></b>
123-22-3666	Hasan	48	8	10	40
231-31-5368	Robert	22	8	10	30
131-24-3650	Ercan	36	5	7	30
434-26-3751	Fox	38	5	7	32
612-67-4134	Uraz	39	8	10	40

- We received a work plan in plain English.
- We derived its ER diagram.
- We created its Relational Schema and ICs.
- We created the tables in a database using DDL statements.
- We insert some tuples.

<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	→ 10	40
231-31-5368	Robert	22	8	→ 10	30
131-24-3650	Ercan	36	5	→ 7	30
434-26-3751	Fox	38	5	→ 7	32
612-67-4134	Uraz	39	8	→ 10	40



<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	10	40
231-31-5368	Robert	22	8	10	30
131-24-3650	Ercan	36	5	7	30
434-26-3751	Fox	38	5	7	32
612-67-4134	Uraz	39	8	10	40
341-12-1124	Thomas	54	8	9	23

- Anomalies
  - Insertion anomalies
    - Recording wrong *hourly\_wages*

■

■

■

<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	10	40
231-31-5368	Robert	22	8	10	30
612-67-4134	Uraz	39	8	10	40

*What is the hourly wage when the rating is 5?*

- Anomalies
  - Insertion anomalies
    - Recording wrong *hourly\_wages*
  - Deletion anomalies
    - If we delete rating, we also lose the *hourly\_wages* info.
  -

<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	12	40
231-31-5368	Robert	22	8	12	30
131-24-3650	Ercan	36	5	12	30
434-26-3751	Fox	38	5	12	32
612-67-4134	Uraz	39	8	12	40

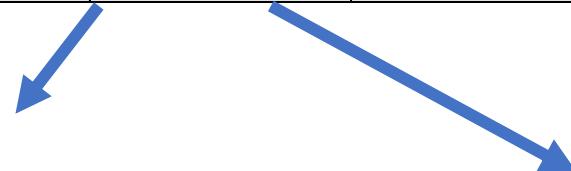
- Anomalies
  - Insertion anomalies
    - Recording wrong *hourly\_wages*
  - Deletion anomalies
    - If we delete rating, we also lose the *hourly\_wages* info.
  - Modification (update) anomalies



<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	10	40
231-31-5368	Robert	22	8	10	30
131-24-3650	Ercan	36	5	7	30
434-26-3751	Fox	38	5	7	32
612-67-4134	Uraz	39	8	10	40



<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	10	40
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131-24-3650	Ercan	36	5	7	30
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612-67-4134	Uraz	39	8	10	40





<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hourly_wages</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	10	40
231-31-5368	Robert	22	8	10	30
131-24-3650	Ercan	36	5	7	30
434-26-3751	Fox	38	5	7	32
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<i>ssn</i>	<i>name</i>	<i>lot</i>	<i>rating</i>	<i>hours_worked</i>
123-22-3666	Hasan	48	8	40
231-31-5368	Robert	22	8	30
131-24-3650	Ercan	36	5	30
434-26-3751	Fox	38	5	32
612-67-4134	Uraz	39	8	40

<i>rating</i>	<i>hourly_wages</i>
8	10
5	7

DECOMPOSITION

# Decomposition

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Decompositions are done to remove redundant data that can lead to anomalies.

- There are levels of redundancy which are determined by **Normal Forms**.

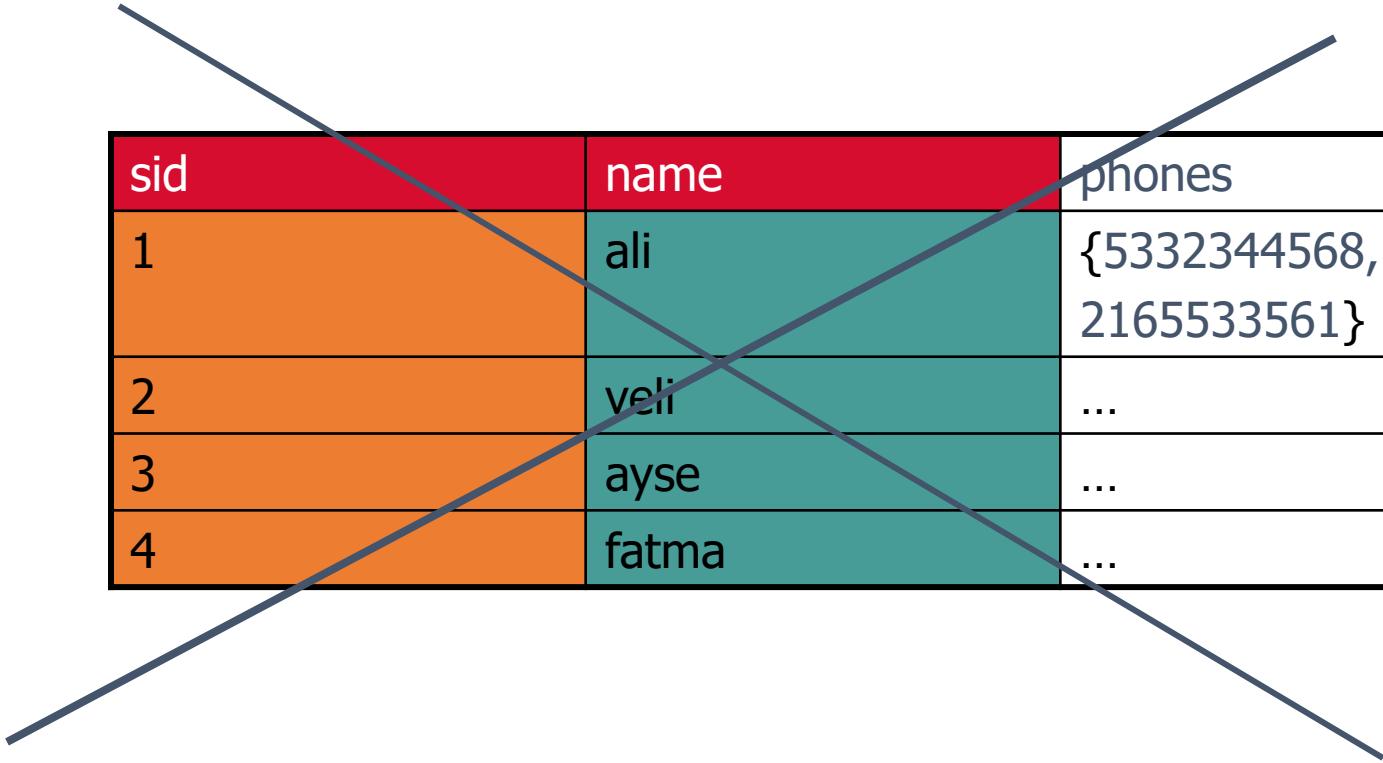
# Normal Forms

---

- Normal forms are standards for a good DB schema (introduced by Codd in 1972)
- If a relation is in a particular normal form (such as BCNF, 3NF etc.), it is known that certain kinds of problems are avoided/minimised.
- Normal forms help us decide if decomposing a relation helps.

# Normal Forms

- **First Normal Form:** No set valued attributes (only atomic values)



sid	name	phones
1	ali	{5332344568, 2165533561}
2	veli	...
3	ayse	...
4	fatma	...

# Normal Forms

---

- 2<sup>nd</sup> Normal form
- Prime attribute: any attribute that is part of a key **WITHIN CANDIDATE KEY**
- Non-prime attributes: rest of the attributes

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

# Normal Forms

---

- 2<sup>nd</sup> Normal form
- Prime attribute: any attribute that is part of a key **WITHIN CANDIDATE KEY**
- Non-prime attributes: rest of the attributes

Let us assume that the Candidate Key of the relation is {(EMP\_ID,PROJECT\_ID)}.

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

# Normal Forms

---

- 2<sup>nd</sup> Normal form
- Prime attribute: any attribute that is part of a key **WITHIN CANDIDATE KEY**
- Non-prime attributes: rest of the attributes

Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .

Therefore EMP\_ID and PROJECT\_ID are **PRIME ATTRIBUTES**.

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

# Normal Forms

---

- 2<sup>nd</sup> Normal form
- Prime attribute: any attribute that is part of a key **WITHIN CANDIDATE KEY**
- Non-prime attributes: rest of the attributes

Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .

Therefore EMP\_ID and PROJECT\_ID are **PRIME ATTRIBUTES**.

And the MANAGER is a **NONPRIME ATTRIBUTE**.

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z



Second Normal Form: Every non-prime attribute should be **fully functionally dependent** on the whole part of every key (i.e., candidate keys).

What does Functional Dependent mean?

# Functional Dependencies (FORMAL)



- A functional dependency between attributes  $X, Y$  ( $X \rightarrow Y$ ) holds over relation  $R$  if, for every allowable instance  $r$  of  $R$ :
  - $t_1 \in r, t_2 \in r, \text{ such that } \pi_X(t_1) = \pi_X(t_2) \text{ implies } \pi_Y(t_1) = \pi_Y(t_2)$
  - i.e., given two tuples in  $r$ , **if the X values agree, then the Y values must also agree.**

	X	Y	Z
$t_1$	1	a	p
	2	b	q
$t_2$	1	a	r
	2	b	p

Whenever X value of a tuple is 1, Y value of the same tuple is a  
Whenever X value of a tuple is 2, Y value of the same tuple is b

# Functional Dependencies

---

Does the following relation instance satisfy FD  $X \rightarrow Y$  ?

X	Y	Z
1	a	p
2	b	q
1	a	r
3	b	p

# Functional Dependencies

---

If X is a member of a candidate key, we have FD  $X \rightarrow Y Z$  (trivial dependency)

X	Y	Z
1	a	p
2	b	q
1	a	p
3	b	p

# Example

---

- Some FDs on Hourly\_Emps:
  - *ssn* is the key:  $S \rightarrow SNLRWH$
  - *rating* determines *hrly\_wages*:  $R \rightarrow W$

Did you notice anything wrong with the following instance ?

S	N	L	R	W	H
			1	100	
			2	200	
			3	250	
			2	300	

# Example

---

- Some FDs on Hourly\_Emps:
  - *ssn* is the key:  $S \rightarrow SNLRWH$
  - *rating* determines *hrly\_wages*:  $R \rightarrow W$

Did you notice anything wrong with the following instance ?

S	N	L	R	W	H
			1	100	
			2	200	
			3	250	
			2	300	

# Example

---

- Some FDs on Hourly\_Emps:
  - *ssn* is the key:  $S \rightarrow SNLRWH$
  - *rating* determines *hrly\_wages*:  $R \rightarrow W$

Did you notice anything wrong with the following instance ?

S	N	L	R	W	H
			1	100	
			2	200	
			3	250	
			2	200	

# Normal Forms

---

**Second Normal Form:** Every attribute not part of a key (**non-prime attribute**) should be **fully functionally dependent** on the whole part of every key (i.e., candidate keys).

A relation is in 2NF if it is in 1NF, and **every non-prime attribute of the relation depends on the whole of every candidate key**. Note that it does not restrict the non-prime to non-prime attribute dependency. (Part of a key should not decide non-prime attribute)

# Normal Forms

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To check:

# Normal Forms

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To check:

1 Find the candidate key,

# Normal Forms

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A relation is in 2NF if it is in 1NF, and **every non-prime attribute of the relation depends on the whole of every candidate key**. Note that it does not restrict the non-prime to non-prime attribute dependency.

To check:

- 1 Find the candidate key,
- 2 Check if a non-prime attribute functionally depends on some parts of a candidate key.

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is {(EMP\_ID,PROJECT\_ID)}.
- 
- 
- 
- 
- 
- 
- 

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore **EMP\_ID** and **PROJECT\_ID** are **PRIME ATTRIBUTES**.
- And the **MANAGER** is a **NONPRIME ATTRIBUTE**.
- 
- 
- 
- 

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore **EMP\_ID** and **PROJECT\_ID** are **PRIME ATTRIBUTES**.
- And the **MANAGER** is a **NONPRIME ATTRIBUTE**.
- Observe:
  - 
  - 
  -
- 

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore  $EMP\_ID$  and  $PROJECT\_ID$  are **PRIME ATTRIBUTES**.
- And the  $MANAGER$  is a **NONPRIME ATTRIBUTE**.
- Observe:
  - If  $PROJECT\_ID = \{45\}$  or  $\{23\}$ ,  $MANAGER$  is Mr.X,
  - 
  -
- 

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

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- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore  $EMP\_ID$  and  $PROJECT\_ID$  are **PRIME ATTRIBUTES**.
- And the  $MANAGER$  is a **NONPRIME ATTRIBUTE**.
- Observe:
  - If  $PROJECT\_ID = \{45\}$  or  $\{23\}$ ,  $MANAGER$  is Mr.X,
  - Else If  $PROJECT\_ID=67$ ,  $MANAGER$  is Mr.Z,
  -

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore  $EMP\_ID$  and  $PROJECT\_ID$  are **PRIME ATTRIBUTES**.
- And the  $MANAGER$  is a **NONPRIME ATTRIBUTE**.
- Observe:
  - If  $PROJECT\_ID = \{45\}$  or  $\{23\}$ ,  $MANAGER$  is Mr.X,
  - Else If  $PROJECT\_ID=67$ ,  $MANAGER$  is Mr.Z,
  - Else If  $PROJECT\_ID=78$ ,  $MANAGER$  is Mr.Y.

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

## 2<sup>nd</sup> Normal form

---

- Let us assume that the Candidate Key of the relation is  $\{(EMP\_ID, PROJECT\_ID)\}$ .
- Therefore  $EMP\_ID$  and  $PROJECT\_ID$  are **PRIME ATTRIBUTES**.
- And the  $MANAGER$  is a **NONPRIME ATTRIBUTE**.
- Observe:
  - If  $PROJECT\_ID = \{45\}$  or  $\{23\}$ ,  $MANAGER$  is Mr.X,
  - Else If  $PROJECT\_ID=67$ ,  $MANAGER$  is Mr.Z,
  - Else If  $PROJECT\_ID=78$ ,  $MANAGER$  is Mr.Y.
- So  $Project\_Id \rightarrow Manager$ . There is **PARTIAL DEPENDENCY**, so the relation is not in the 2<sup>nd</sup> Normal Form.

EMP_ID	PROJECT_ID	MANAGER
1	23	Mr.X
1	67	Mr.Z
2	45	Mr.X
3	78	Mr.Y
3	23	Mr.X
4	23	Mr.X
5	78	Mr.Y
5	67	Mr.Z

# Normalise

EMP_ID	PROJECT_ID	PROJECT
1	23	Extract
1	67	Load
2	45	Extract
3	78	Transform
3	23	Extract
4	23	Extract
5	78	Transform
5	67	Load

PROJECT_ID	MANAGER
23	Mr.X
45	Mr.X
78	Mr.Y
67	Mr.Z

## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?



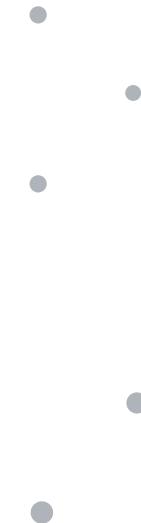
## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?

- Yes



## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?
  - Yes
- Find Candidate KEY
  - 
  - 
  -

## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?
  - Yes
- Find Candidate KEY
  - { (S,P) }



## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?
  - Yes
- Find Candidate KEY
  - { (S,P) }
- Check if a non-prime attribute is functionally dependent on some parts of a candidate key.
  - 
  -

## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

- Is this in 1<sup>st</sup> Normal Form?
  - Yes
- Find Candidate KEY
  - { (S,P) }
- Check if a non-prime attribute is functionally dependent on some parts of a candidate key.
  - S -> L
  -

## 2<sup>nd</sup> Normal Form

---

<i>Supplier</i>	<i>Part#</i>	<i>Location</i>	<i>Stock</i>
Acme	1	London	17
Acme	2	London	25
Acme	3	London	17
Ajax	1	Bristol	25
Ajax	3	Bristol	18
Amco	1	Glasgow	3
Amco	2	Glasgow	22
Jamco	1	Glasgow	3

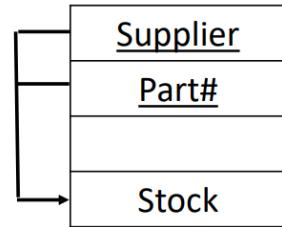
- Is this in 1<sup>st</sup> Normal Form?
  - Yes
- Find Candidate KEY
  - { (S,P) }
- Check if a non-prime attribute is functionally dependent on some parts of a candidate key.
  - S → L
- Not in 2<sup>nd</sup> normal form.

# Normalise

---

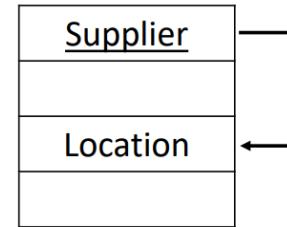


- As a part (S) of a candidate key (S,P) implies NON-PRIME ATTRIBUTE {L}, we need to normalise the relation by introducing relations (S,P,St) and (S,L).



Supplier	Part#	Stock
Acme	1	17
Acme	2	25
Acme	3	17
Ajax	1	25
Ajax	3	18
Amco	1	3
Amco	2	22
Jamco	1	3

We give each functional dependency its own relation.



Supplier	Location
Acme	London
Ajax	Bristol
Amco	Glasgow
Jamco	Glasgow

# Third Normal Form (3NF)

---



- Relation R is in 3NF if it is in 2NF and for all  $X \rightarrow A$ 
  - $A \in X$  (called a *trivial* FD), or
  - $X$  contains a key for R, or
  - A is part of some key for R.
  - In other words, **there should not be the case that another non-prime attribute determines a non-prime attribute.**
- If R is in 3NF, some redundancy still is possible. i.e. a part of a key determines some other attribute.

- E# is the key and Candidate key is {(E#)}.

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E#	Name	Age	Job#	M#	MTEL#
100	J. Smith	22	22	1	64413
101	J. Smith	45	22	1	64413
102	A. Adams	22	17	2	37611
103	K. Bedford	35	12	3	18653
104	F. Bloggs	55	17	3	18653
108	A. Adams	35	12	3	18653
109	J. Dean	35	12	1	64413

- E# is the key and Candidate key is {(E#)}.
- Every attribute is atomic

E#	Name	Age	Job#	M#	MTEL#
100	J. Smith	22	22	1	64413
101	J. Smith	45	22	1	64413
102	A. Adams	22	17	2	37611
103	K. Bedford	35	12	3	18653
104	F. Bloggs	55	17	3	18653
108	A. Adams	35	12	3	18653
109	J. Dean	35	12	1	64413

# 3NF

- E# is the key and Candidate key is {(E#)}.
- Every attribute is atomic, and there does not exist a non-prime attribute that is partially implied by part of a key in the candidate key (So it is in 2<sup>nd</sup> NF).
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E#	Name	Age	Job#	M#	MTEL#
100	J. Smith	22	22	1	64413
101	J. Smith	45	22	1	64413
102	A. Adams	22	17	2	37611
103	K. Bedford	35	12	3	18653
104	F. Bloggs	55	17	3	18653
108	A. Adams	35	12	3	18653
109	J. Dean	35	12	1	64413

# 3NF

- E# is the key and Candidate key is {(E#)}.
- Every attribute is atomic, and there does not exist a non-prime attribute that is partially implied by part of a key in the candidate key (So it is in 2<sup>nd</sup> NF).
- How about FDs of non-prime attributes?
  -

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# 3NF

- E# is the key and Candidate key is {(E#)}.
- Every attribute is atomic, and there does not exist a non-prime attribute that is partially implied by part of a key in the candidate key (So it is in 2<sup>nd</sup> NF).
- How about FDs of non-prime attributes?
  - M#->MTEL# (or MTEL#->M#)

E#	Name	Age	Job#	M#	MTEL#
100	J. Smith	22	22	1	64413
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# 3NF

- E# is the key and Candidate key is {(E#)}.
- Every attribute is atomic, and there does not exist a non-prime attribute that is partially implied by part of a key in the candidate key (So it is in 2<sup>nd</sup> NF).
- How about FDs of non-prime attributes?
  - M#->MTEL# (or MTEL#->M#)
- So it is not in 3NF.

E#	Name	Age	Job#	M#	MTEL#
100	J. Smith	22	22	1	64413
101	J. Smith	45	22	1	64413
102	A. Adams	22	17	2	37611
103	K. Bedford	35	12	3	18653
104	F. Bloggs	55	17	3	18653
108	A. Adams	35	12	3	18653
109	J. Dean	35	12	1	64413

- To normalise, for every FD we create a new table.
  - M#->MTEL# (or MTEL#->M#)
  - E#-> N,A,J#,M#

E#	Name	Age	Job#	M#	M#	MTEL#
100	J. Smith	22	22	1	1	64413
101	J. Smith	45	22	1	1	64413
102	A. Adams	22	17	2	2	37611
103	K. Bedford	35	12	3	3	18653
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