

School of Engineering and Computer Science

**SWEN304 Database System Engineering****Assignment 3**

Due date: 23:59, Monday 30 September

**Question 1. Functional Dependencies and Normal Forms****[20 marks]**

- a) **[4 marks]** Consider a relation schema  $N(R, F)$  where  $R = \{A, B, C\}$ . Suppose we find the following two tuples in an instance of this relation schema.

A	B	C
3	5	9
3	4	9

Determine if the following functional dependencies hold over the relation schema  $N$ ? Justify your answer.

- 1)  $A \rightarrow C$
- 2)  $B \rightarrow A$
- 3)  $B \rightarrow AC$
- 4)  $AC \rightarrow B$

1. A cannot determine C as there are duplicate values of A, so we cannot uniquely determine what value A refers to.

2. B can determine A as there are different values of B so can be associated to a unique value of A.

3. B can determine AC as there are different values of B so can be associated to a unique value of AC.

4. AC can determine B as AC can be values such as fruit name and barcode, which can be associated to a specific unique store.

- b) **[16 marks]** Consider a relation schema  $N(R, F)$  where  $R = \{A, B, C, D\}$ . For each of the following sets  $F$  of functional dependencies, determine which normal form (1NF, 2NF, 3NF, BCNF) the relation schema  $N$  is in. Justify your answer.

**Hint:** Note that in all four cases  $AB$  is the only minimal key for  $N$ .

- 1)  $F = \{AB \rightarrow C, AB \rightarrow D\}$
- 2)  $F = \{AB \rightarrow C, C \rightarrow D\}$
- 3)  $F = \{AB \rightarrow D, B \rightarrow C\}$
- 4)  $F = \{AB \rightarrow CD, C \rightarrow B\}$

1. This is BCNF. This is because both RHS stated are AB, which is a super key, and a super key is a prerequisite for the BCNF. This specific set is also assumed to be all atomic

numbers and no part of the composite key is pointing to a non-prime, and no other non-primes point to other non-primes.

2. This is 2NF. It is assumed that they are all atomic numbers and no part of the composite key is pointing to a non-prime. It is not 3NF as this specific set has non-primes pointing to other non-primes ( $C \rightarrow D$ ).

3. This is 1NF. It is assumed that they are all atomic numbers. A part of the composite key is pointing to a non-prime attribute ( $B \rightarrow C$ ) which is why it is not 2NF.

4. This is 3NF. It is assumed that they are all atomic numbers and no part of the composite key is pointing to a non-prime attribute. There are also no non-primes pointing to other non-primes.

### Question 2. Minimal Cover of a set of Functional Dependencies [20 marks]

Consider the set of functional dependencies  $F = \{A \rightarrow D, C \rightarrow D, AD \rightarrow C\}$ . Compute a minimal cover of  $F$ . *Justify your answer.*

$A \rightarrow D$   
 $C \rightarrow D$   
 $AD \rightarrow C$

1. There should be only one attribute on the RHS – this is done for us.

2. Reduce the LHS.

$A \rightarrow D$   
 $C \rightarrow D$   
 $AD \rightarrow C$

As  $AD \rightarrow C$ , this means that  $AD \rightarrow D$  which also means that  $A \rightarrow AD \rightarrow C \rightarrow D$  so the minimal cover can just become:

$F = \{A \rightarrow D, C \rightarrow D\}$

### Question 3. Lossless Third Normal Form Normalization [25 marks]

Consider a relation schema  $N(R, F)$  where  $R = \{A, B, C, D\}$  and  $F = \{B \rightarrow C, D \rightarrow A\}$ . Perform the following tasks. *Justify your answers.*

1) Identify all minimal keys for  $N$ . Show your process.

Only one minimal key = BD as both are on the LHS and make up the RHS only.

2) Identify the highest normal form (1NF, 2NF, 3NF, BCNF) that  $N$  satisfies.

Candidate key = BD

The highest normal form is 1NF. It is assumed that they are all atomic numbers. A part of the composite key is pointing to a non-prime attribute ( $B \rightarrow C, D \rightarrow A$ ) which is why it is not 2NF.

3) If  $N$  is not in 3NF, compute a lossless transformation into a set of 3NF relation schemas that preserve attributes and functional dependencies.

Step One:  $\{B \rightarrow C\}, \{D \rightarrow A\}$

Step Two:  $\{BC\}\{B \rightarrow C\}, \{DA\}\{D \rightarrow A\}$

Step Three:  $\{\{BC\}\{B,C\}, \{DA\}\{D,A\}, \{BD\}\{B,D\}\}$

- 4) Verify explicitly that your result has the lossless property, satisfies 3NF, and that all attributes and functional dependencies are preserved.

$$U = BC \cup DA \cup BD = \{A, B, C, D\}$$

$$F = \{B \rightarrow C\} \cup \{D \rightarrow A\} = \{B \rightarrow C, D \rightarrow A\}$$

This is the relation schema  $(U, F)$ , with  $U$  is the union of the  $R$ , and  $F$  is the union of  $F$ 's from the original relation schema. As shown above it is lossless with the unions.

### Question 4. BCNF Normalization

[35 marks]

Suppose you are given a relation schema  $N(R, F)$ , where  $R = \{A, B, C, D\}$  and  $F = \{AB \rightarrow CD, C \rightarrow A, D \rightarrow B\}$ .

- 1) Identify all minimal keys for  $N$ . *Justify your answer.*

$$A^+ = A$$

$$B^+ = B$$

$$C^+ = CA$$

$$D^+ = DB$$

$$AB^+ = ABCD$$

$$AC^+ = AC$$

$$AD^+ = ADBC$$

$$BC^+ = BCAD$$

$$BD^+ = BD$$

$$CD^+ = CDAB$$

Minimal keys are AB, AD, BC, CD

- 2) Identify the highest normal form that  $N$  satisfies (1NF, 2NF, 3NF, BCNF). Justify your answer.

This is 3NF. It is assumed that they are all atomic numbers and no part of the composite key is pointing to a non-prime attribute. There are also no non-primes pointing to other non-primes.

- 3) If  $N$  is not in BCNF, transform it into a set of at least BCNF relation schemas that preserve attributes and functional dependencies and have a lossless join property.

- 4) Check whether your decomposition preserves all the functional dependencies.  
Justify your answer.

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