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W241 Experiments and Causality

April 16<sup>th</sup>, 2020

## Agenda

### Research Question and Experiment Design

Covariate Balance and compliance

**Model Iteration** 

Post Experiment Feedback

**Questions and Discussion** 



## **Research Question**

Does 10-15 mins of <u>not</u> using electronic devices prior to sleep change sleep quality?



## Hypothesis

H<sub>0</sub>: Sleep Quality Screen Time = Sleep Quality Without Screen Time

H₁: Sleep Quality Screen Time ≠ Sleep Quality Without Screen Time

# Crossover repeated measure design

R: Random Assignment

X+: Treatment

X-: Placebo

O: Observation



**Joe** was randomly assigned to treatment in week 1

$$\mathbf{R} O_0$$

**R** 
$$O_0$$
  $X+_1 O_1$   $X+_2 O_2$   $X+_3 O_3$   $X+_4 O_4$   $X+_5 O_5$ 

$$X+_3$$
  $O_3$ 

$$X+_4$$
  $O_4$ 

$$X_{-1}$$
  $O_0$ 

$$X_{-1} O_6 X_{-2} O_7 X_{-3} O_8 X_{-4} O_9 X_{-5} O_{10}$$

$$O_9$$



Jane was randomly assigned to placebo in week 1

$$\mathbf{R} O_0$$

$$X_{-3} O_3$$

**R** 
$$O_0$$
  $X_{-1}$   $O_1$   $X_{-2}$   $O_2$   $X_{-3}$   $O_3$   $X_{-4}$   $O_4$   $X_{-5}$   $O_5$ 

Week 1

$$X+_2$$

$$X+_3$$

$$X+_4$$

$$O_9$$

$$O_7 \quad X_{+3} \quad O_8 \quad X_{+4} \quad O_9 \quad X_{+5} \quad O_{10}$$

## **Experiment Design**

Week 1	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday
Morning		Survey	Survey	Survey	Survey	Survey
Night	Read	Read	Read	Read	Read	
Week 2	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday
Week 2 Morning	Sunday	<b>Monday</b> Survey	<b>Tuesday</b> Survey	Wednesday Survey	<b>Thursday</b> Survey	<b>Friday</b> Survey

- Pilot Study
- Study duration: 10 days
- Only assigned reading where the next day was a weekday
- All participants were randomized equally to the placebo and treatment groups in week 1.
   They switched groups in week 2



## **Experiment Design**

3/17/20, 8:00 PM

Placebo Group: Will complete the assigned reading on a device for 15 minutes prior to sleep

**Treatment Group:** Will complete the assigned reading on paper for 15 minutes prior to sleep

3/18/20, 6:00 AM

3/25/20, 8:00 PM

Hello!

Please read the following article as the last thing you do before going to sleep tonight. Please do not have additional screen time after reading.

https://www.buzzfeed.com/marq uaysa/products-on-amazon-that -inspired-over-1000-february-20 20-2?origin=nofil

Have a great night!

Hi!

This is a friendly reminder to complete the reading from the packet you received. If you did not receive a packet, please read any book, magazine, printed article, etc. for 10-15 minutes as the last thing you do before going to sleep.

Have a good night! Anish, Swati, Sarah Good morning Sarah!

Please complete the following survey: <a href="https://berkeley.qualtrics.com/jfe/form/SV\_3Cnwmdq0zA6">https://berkeley.qualtrics.com/jfe/form/SV\_3Cnwmdq0zA6</a> RI2t

Have a great day :) Anish, Swati, and Sarah



## Agenda

Research Question and Experiment Design

### Covariate Balance and compliance

**Model Iterations** 

Post Experiment Feedback

Future scope, Questions and Discussion

### **Baseline Data**

### General participant information

Age, gender, employment status, education

### **Living Conditions**

Cohabitants, house type, neighborhood, and neighborhood noise level

#### Screen Time

Screen time in the evening and 15 minutes prior to sleep

### **Sleep Metrics**

Bed time, wake up time, sleep quality, sleep matrix

### Morning Retention Score

Current reading habits and morning retention

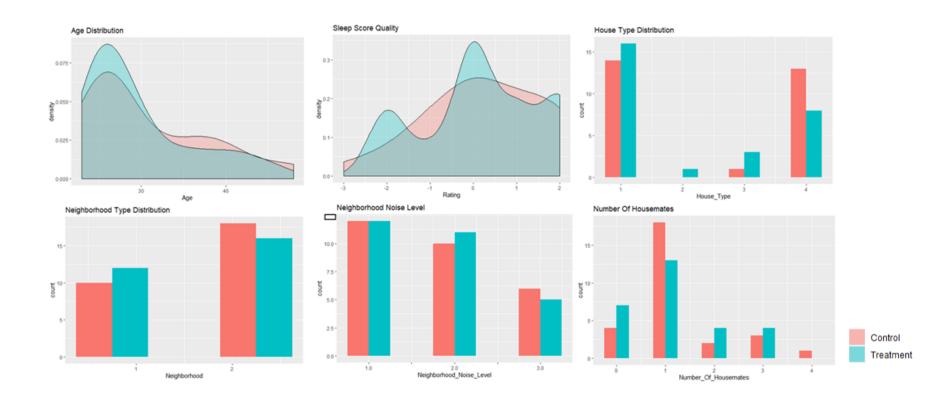
#### **Blocks**

Screen Time Usage

Children Under 5
Years Of Age



## Covariate balance





## Compliance

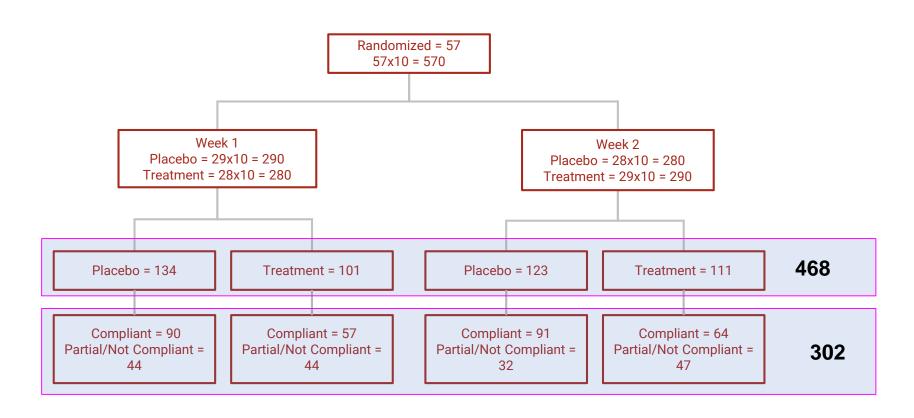
### Impact of COVID-19 on Treatment application

- Participants who did not receive mail due to COVID-19 and were asked to do self-reading
  - Lower compliance was observed among the participants who were asked to do self reading

### Who is a complier?

- Participant who read the assigned article (Treatment Printed; Placebo Electronic)
- Did the reading as last activity before going to bed
- No screen time 15 minutes before sleep

### **Treatment Flow**





# Agenda

Research Question and Experiment Design

Covariate Balance and compliance

### **Model Iterations**

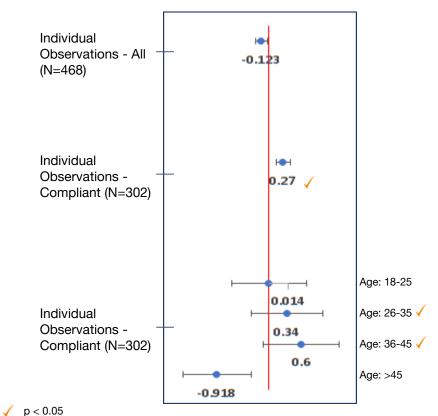
Post Experiment Feedback

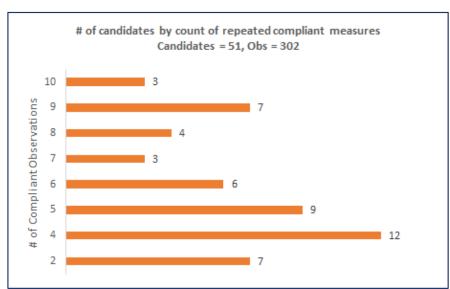
**Questions and Discussion** 

## Model iterations

- Model categories:
  - Observation level
  - Candidate level (Average, Min, Max, Last and First obs)
  - Imputed data model (Avg of Control and Min of control)

## Model Iteration 1: Individual Observation





On average candidates who complied to the treatment and placebo conditions reported a **0.270 (0.106)** positive delta in their sleep quality on application of treatment vs placebo

# Model 1 (a): Individual Observation

	Dependent variable:				
(1)	Self reported s	leep score (1-7) (3)	(4)		
-0.101 (0.112)	-0.118 (0.109)	-0.112 (0.108)	-0.123 (0.106		
	0.210*** (0.039)	0.238*** (0.046) 0.198*** (0.066) 0.113* (0.059)	0.151 (0.103)		
2.949*** (0.075)	2.275*** (0.146)	1.072** (0.474)	_		
468	468	468	468		
0.002 -0.0004	0.059 0.055	0.081 0.073	0.141 0.116		
	-0.101 (0.112) 2.949*** (0.075) 	(1) (2)  -0.101 (0.112) -0.118 (0.109)  0.210*** (0.039)  2.949*** (0.075) 2.275*** (0.146)  468 468 0.002 0.059	-0.101 (0.112)   -0.118 (0.109)   -0.112 (0.108)		

# Model 1 (b): Individual Compliant Observation

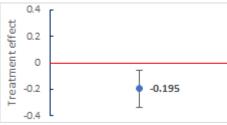
=======================================		 Dependent	 variable:	
	(1)		leep score (1-7) (3)	(4)
Treatment Baseline Sleep score (1-7) # of housemate Baseline # of Sleep hrs Neighbourhood Noise (1-3) Age: 18-25 Age: 26-35 Age: 36-45 Female Baseline screentime Children (1-Yes, 0-No) Baseline sleep matrix score Week 2 Constant		0.181*** (0.047)		0.247*** (0.061) 0.265** (0.125) 0.101 (0.072) -0.313*** (0.104) 0.896*** (0.316) 0.668** (0.310) 0.219 (0.313) -0.313** (0.140) -0.074 (0.054) 0.135 (0.425) -0.074** (0.034) -0.220* (0.130)
Observations R2 Adjusted R2	302 0.018 0.014	302 0.064 0.057	302 0.085 0.073	302 0.160 0.122
Note:	=========	=========	*p<0.1; *	*p<0.05; ***p<0.01

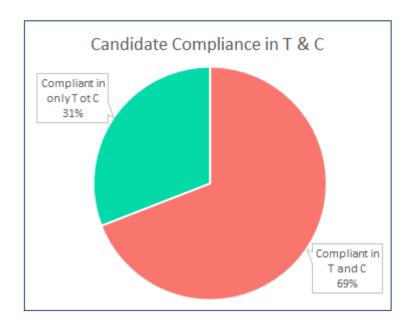
# Model 1 (c): Heterogeneous Treatment Effects

=======================================	Dependent variable:				
	Basic Model	Self reported s Treatment:Week	leep score (1-7) Treatment:Age	Treatment:Days	
Treatment Baseline Sleep score (1-7) # of housemate Baseline # of Sleep hrs Neighbourhood Noise (1-3) Age: 18-25 Age: 26-35 Age: 36-45 Female Baseline screentime Children (1-Yes, 0-No) Baseline sleep matrix score Week 2 Treatment: Week 2 Treatment: Age 18-25 Treatment: Age 26-35 Treatment: Age 36-45 Day 2 Day 3 Day 4 Day 5 Treatment: Day 2 Treatment: Day 2 Treatment: Day 4 Treatment: Day 5 Constant	0.270** (0.132) 0.247*** (0.061) 0.265** (0.125) 0.101 (0.072) -0.313*** (0.104) 0.896*** (0.316) 0.668** (0.310) 0.219 (0.313) -0.313** (0.140) -0.074 (0.054) 0.135 (0.425) -0.074** (0.034) -0.220* (0.130)	0.287 (0.199) 0.248*** (0.062) 0.266** (0.126) 0.102 (0.073) -0.314*** (0.104) 0.890*** (0.321) 0.663** (0.313) 0.208 (0.328) -0.313** (0.141) -0.073 (0.054) 0.139 (0.427) -0.074** (0.034) -0.206 (0.177) -0.032 (0.283)	-0.910 (0.615) 0.236*** (0.061) 0.269** (0.125) 0.125* (0.073) -0.306*** (0.104) 0.681* (0.381) 0.316 (0.360) -0.197 (0.361) -0.286** (0.140) -0.082 (0.054) 0.071 (0.427) -0.077** (0.034) -0.134 (0.135)  0.932 (0.671) 1.258** (0.638) 1.518** (0.683)	-0.022 (0.282) 0.252*** (0.062) 0.269** (0.127) 0.100 (0.073) -0.308*** (0.105) 0.908*** (0.313) 0.241 (0.316) -0.319** (0.143) -0.072 (0.055) 0.134 (0.433) -0.078** (0.035) -0.222* (0.132)  -0.212 (0.254) -0.056 (0.254) 0.055 (0.265) -0.257 (0.265) 0.501 (0.408) 0.258 (0.410) 0.177 (0.405) 0.552 (0.421) 2.093*** (0.782)	
Observations R2 Adjusted R2	302 0.160 0.122	302 0.160 0.119	302 0.178 0.131	302 0.169 0.107	
Note:			*p<0.1; *	*p<0.05; ***p<0.01	

## Model Iteration 2: Candidate level







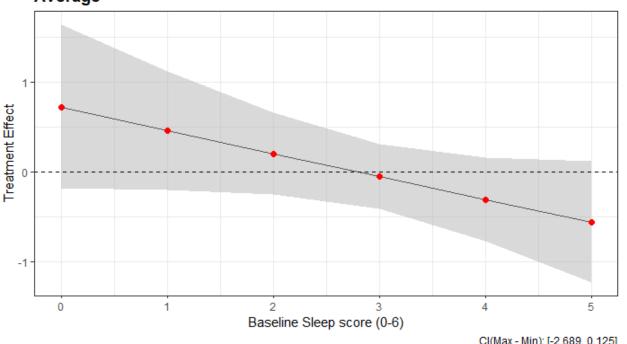


## Model 2 (a): ATE Of Average Recorded Value

```
Dependent variable:
                                          Self reported sleep score (1-7)
                               (1)
                                                                                (4)
                          -0.193 (0.153) -0.187 (0.145) -0.188 (0.144) -0.195 (0.141)
Treatment
Baseline Sleep score (1-7)
                                         0.206*** (0.057) 0.240*** (0.068) 0.231*** (0.069)
# of housemate
                                                           -0.077 (0.147) -0.140 (0.146)
Baseline # of Sleep hrs
                                                          0.653** (0.284) 0.799*** (0.282)
Neighbourhood Noise (1-3)
                                                           0.334 (0.290) 0.537* (0.301)
                                                           0.295 (0.272) 0.387 (0.253)
Age: 18-25
Age: 26-35
                                                           0.283 (0.476) 0.232 (0.458)
Age: 36-45
                                                           0.093 (0.119) 0.108 (0.111)
Female
                                                                          -0.104* (0.058)
Baseline screentime
                                                                           -0.059(0.038)
Children (1-Yes, 0-No) 2.954*** (0.103) 2.295*** (0.192) 1.682*** (0.412) 2.390*** (0.542)
Observations
                              110
                                            110
                                                             110
                                                                               110
R2
                              0.015
                                              0.135
                                                              0.201
                                                                              0.249
Adjusted R2
                              0.005
                                          0.119
                                                            0.138
                                                                              0.173
Residual Std. Error 0.803 (df = 108) 0.756 (df = 107) 0.747 (df = 101) 0.732 (df = 99)
                                                                *p<0.1: **p<0.05: ***p<0.01
Note:
```

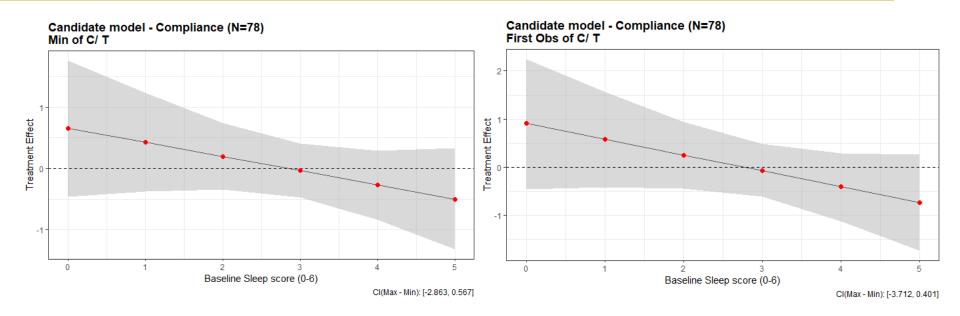
# Model Iteration 2 (b): Candidate level

#### Candidate model - Compliance (N=78) Average



CI(Max - Min): [-2.689, 0.125]

## Model Iteration 2 (c): Candidate level



#### Other Iterations:

- Max of all C/T observations
- Last C/ T observation
- Max of C; Min of T
- Min of C; Max of T

The general trend of treatment effect vs pre-experiment quality of sleep indicates - treatment effect might be higher for candidates who slept poor before the start of experiment



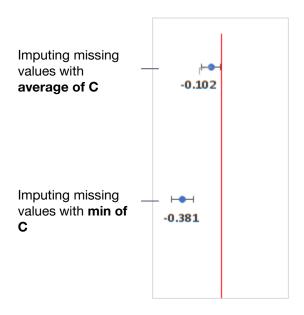


# Model 2 (b): Average Recorded Value - Compliant

	Dependent variable:				
	Avg Score	Min Score	Max Score	Last Obs	First Obs
Treatment	0.723 (0.456)	0.656 (0.548)	0.530 (0.560)	2.487 (1.496)	0.919 (0.672)
Baseline Sleep score (1-7)	0.309*** (0.106)	0.313** (0.125)	0.256* (0.130)	0.308*** (0.096)	0.508*** (0.147)
Baseline # of sleep hrs	0.373*** (0.111)	0.503*** (0.129)	0.261* (0.137)		-
Baseline # of times awake at night		-	-	-0.428** (0.199)	-0.237 (0.214)
Week #2	-0.280 (0.190)	-0.309 (0.228)	-0.184 (0.233)	-0.487* (0.247)	
Baseline Bed time	0.388*** (0.115)	0.469*** (0.116)	0.278* (0.141)	0.326** (0.147)	0.271* (0.154)
Electronic 15 mins before sleep (Yes/ No)	-0.468* (0.242)	-0.461 (0.287)	-0.352 (0.296)		-0.993*** (0.354)
Neighbourhood Noise (1-3)	-0.197 (0.139)		-0.188 (0.171)		-
Age: 18-25				0.596 (1.189)	
Age: #26-35				0.355 (1.154)	
Age: 36-45				0.855 (1.161)	
Baseline screentime	-0.134 (0.089)		-0.368*** (0.109)		-0.145 (0.112)
Employed	0.382 (0.359)		0.771* (0.440)		
Children (yes/ No)	0.353 (0.320)	0.792** (0.351)	0.221 (0.393)		
Treatment: Baseline Sleep score (1-)	-0.256* (0.142)	-0.232 (0.170)	-0.216 (0.174)		-0.330 (0.209)
Treatment: Age 18-25		-		-2.544 (1.571)	•
Treatment: Age 26-35				-2.567* (1.532)	
Treatment: Age 36-45				-2.538 (1.590)	
Constant	-8.965*** (3.075)	-12.997*** (3.249)	-4.506 (3.772)	-3.525 (3.176)	-2.646 (3.446)
Observations	76	76	76	76	76
R2	0.385	0.364	0.327	0.293	0.259
Adjusted R2	0.280	0.288	0.211	0.145	0.171
Note:	=======================================	===========	===========	*p<0.1: *	*p<0.05: ***p<0.01

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# Model Iteration 3: Imputed Observations



# Model 3 (a): Imputing for missing values - Avg of Placebo obs

	Dependent variable:				
	(1)	Self reported sl (2)	eep score (1-7) (3)	(4)	
 Treatment	-0.102 (0.094)	-0.102 (0.094)	-0.102 (0.094)	-0.102 (0.094)	
Baseline Sleep Score (1-7) Baseline Sleep Matrix Score # of Housemates Baseline # of Sleep Hrs Neighborhood Noise (1-3) Female Children (1-Yes, 0-No) Constant	0.189*** (0.036)	0.187*** (0.036) -0.046 2.758*** (0.126)	0.210*** (0.036) -0.058 0.180 0.110	0.220*** (0.036) -0.069 0.157 0.118 -0.111 -0.138 0.115	
	540 0.054 0.051 1.092 (df = 537) 15.444*** (df = 2; 537)				

## Agenda

Research Question and Experiment Design

Covariate Balance and compliance

**Model Iterations** 

### Post Experiment Feedback

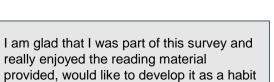
**Questions and Discussion** 



# **Experiment Feedback**

Post Study Questions	# of Responses	% of Total
Stress Level		
Higher in week 1	3	18%
Higher in week 2	4	24%
Exactly the same	10	59%
Better Sleep Quality		
Reading on device	1	6%
Reading on paper	6	35%
Exactly the same	10	59%
COVID-19 affect sleep?		
Yes	11	65%
No	6	35%

Sometimes I stay up late to study for my midterm and that definitely affected my sleep



to read something before going to bed.



## Agenda

Research Question and Experiment Design

Covariate Balance and compliance

**Model Iterations** 

Post Experiment Feedback

### **Questions and Discussion**

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## Questions

- O How would you define a complier?
- O How would you assess partial compliance?
- Obes randomization hold with partial and non compliance?
- Are there other models we should consider?

# **Appendix**

### References

- The Sleep Revolution, Arianna Huffington Pg. 287-289
- Compliance effects in a randomised controlled trial of yoga for chronic low back pain: a methodological study, Physiotherapy. 2014 Sep; 100(3): 256–262, H.E. Tilbrook,\*
   C.E. Hewitt, J.D. Aplin,1 A. Semlyen, A. Trewhela, I. Watt, and D.J. Torgerson
- A case study in comparing therapies involving informative drop-out, non-ignorable non-compliance and repeated measurements, STATISTICS IN MEDICINE Statist. Med. 2005; 24:3773–3787, T. Harkanen, P. Knekt, E. Virtala, O. Lindfors

# Sensitivity Analysis - Missing data pattern

=======================================	Dependent variable:				
	Missing Outcome	Missing Treatment	Missing Control		
Baseline screentime Children (1-Yes, 0-No) Baseline sleep matrix score # of housemate Constant	3.984*** (1.112) 0.444*** (0.112) -1.055*** (0.387)	0.349*** (0.112) 2.977*** (0.733) 0.315*** (0.074) -0.703*** (0.255) -2.116*** (0.735)	1.007* (0.522) 0.129** (0.052) -0.351* (0.182)		
Observations R2 Adjusted R2	56 0.434 0.390	56 0.480 0.439	56 0.228 0.167		
Note:		*p<0.1; **p	<0.05; ***p<0.01		

# Sensitivity Analysis - Missing data by treatment

	Dependent variable:			
	(1)	comp (2)	(3)	
Treatment	-0.214*** (0.041)	-0.214*** (0.040)	-0.214*** (0.039	
BASELINE_Screentime		-0.067*** (0.015)	-0.048*** (0.018	
BASELINE_MATRIX_SLEEP_SCORE		-0.032*** (0.010)	-0.030*** (0.010	
BASELINE_7_SLEEP_SCORE_POS_SCALE		-0.032** (0.015)	-0.041** (0.018)	
housemates_numeric			0.085** (0.037)	
Children_binary			-0.500*** (0.126	
Age_grp18-25			-0.106 (0.103)	
Age_grp26-35			-0.095 (0.104)	
Age_grp36-45			0.144 (0.101)	
BASELINE_WAKE_UP_TIME_NUM			-0.049** (0.020)	
Constant	0.646*** (0.029)	1.220*** (0.114)	1.528*** (0.172)	
Observations	560	560	560	
R2	0.046	0.104	0.140	
Adjusted R2	0.044	0.098	0.124	

# Model 2 (c): Average Recorded Value - Compliant

	Dependent variable:			
	Avg Score	Max C:Min T Score	Min C:Max T Score	
Treatment Baseline Sleep score (1-7) Baseline # of sleep hrs Week #2 Baseline Bed time Electronic 15 mins before sleep (Yes/ No) Neighbourhood Noise (1-3) Baseline screentime Employed Children (yes/ No) Treatment: Baseline Sleep score (1-)	-0.197 (0.139) -0.134 (0.089) 0.382 (0.359) 0.353 (0.320) -0.256* (0.142)	-0.964* (0.560) 0.248* (0.130) 0.401*** (0.137) -0.111 (0.233) 0.387*** (0.141) -0.356 (0.297) -0.088 (0.171) -0.200* (0.109) 0.043 (0.440) 0.544 (0.393) -0.200 (0.174)	2.150*** (0.630) 0.326** (0.146) 0.354** (0.154) -0.383 (0.262) 0.299* (0.159) -0.492 (0.333) -0.189 (0.192) -0.084 (0.123) 0.531 (0.495) 0.545 (0.442) -0.247 (0.196)	
Observations	-8.965*** (3.075) 76	76	76 0.456	
R2 Adjusted R2 ====================================	0.385 0.280	0.527 0.446 ========	0.456 0.362	
Note:		*p<0.1; **	*p<0.05; ***p<0.01	

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# Model 3 (b): Imputing for missing values - Min of Control Obs

	Dependent variable:					
	Self reported sleep score (1-7)					
	(1)	(2)	(3)	(4)		
reatment	-0.381*** (0.107)	-0.381*** (0.105)	-0.381*** (0.105)	-0.381*** (0.104)		
Baseline Sleep Score (1-7)	0.244*** (0.041)	0.240*** (0.040)	0.264*** (0.043)	0.263*** (0.043)		
Baseline Sleep Matrix Score		-0.128*** (0.026)	-0.140*** (0.026)	-0.145*** (0.027)		
f of Housemates			0.161** (0.063)	0.291*** (0.097)		
Baseline # of Sleep Hrs			0.084 (0.056)	0.085 (0.057)		
Weighborhood Noise (1-3)				-0.233*** (0.075)		
emale				-0.075 (0.110)		
hildren (1-Yes, 0-No)				-0.435 (0.294)		
Constant	2.053*** (0.141)	3.221*** (0.286)	2.399*** (0.506)	2.792*** (0.551)		
bservations	540	540	540	540		
12	0.086	0.125	0.137	0.152		
Adjusted R2	0.083	0.120	0.128	0.139		
Residual Std. Error	1.246 (df = 537)	1.221 (df = 536)	1.215 (df = 534)	1.208 (df = 531)		
: Statistic			16.888*** (df = 5; 534)			