

# INTRODUCTION TO DATA PREPARATION

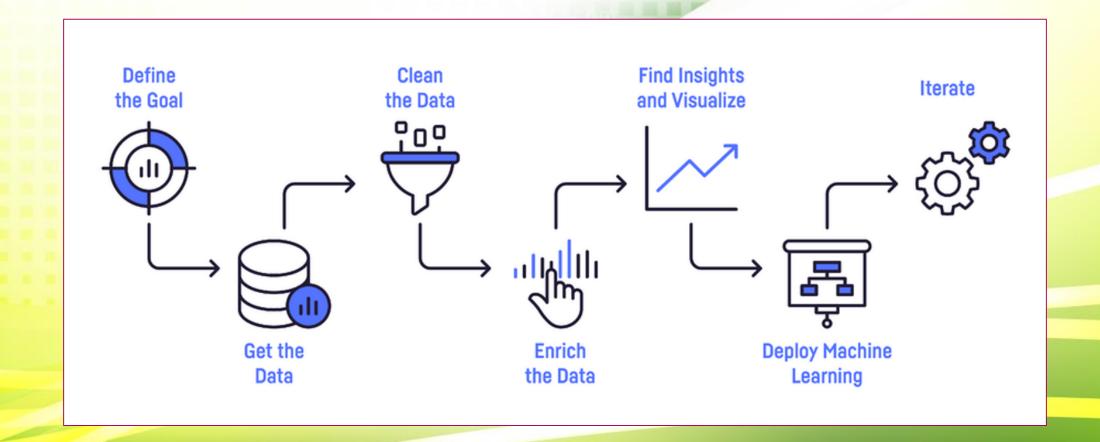
2<sup>nd</sup> Sem, MCA





- ☐ Introduction and overview
  - Steps in Data Analytics projects
    - Problem definition stage
    - Data Preparation
      - O Data integration,
      - O Data cleaning,
      - Missing values, Noisy data,
      - Data transformations,
      - Data partitioning.





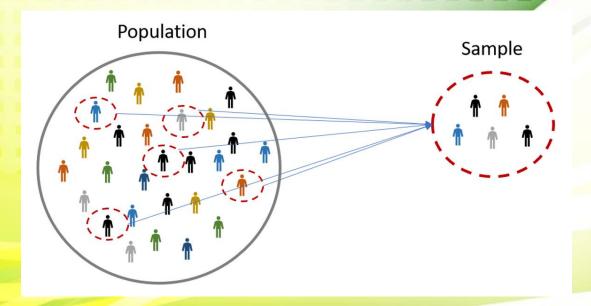


### **SEMMA Methodology:**

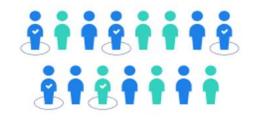
- Sample data sampling.
  - large enough dataset to contain sufficient information to retrieve, yet small enough to be used efficiently.
- Explore understanding data by discovering anticipated and unanticipated relationships between variables, and also abnormalities, with help of data visualization.
- Modify methods to select, create and transform variables in preparation for data modeling.
- Model applying various modeling techniques on the prepared variables in order to create models that
  possibly provide the desired outcome.
- Assess evaluation of the modeling results shows the reliability and usefulness of created models



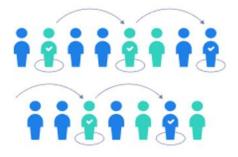
- Population: group of items whose properties are to be analyzed.
- **Sample:** (suitable) subset of population.
- Sampling: process of picking sample



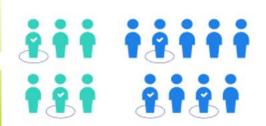
Simple random sample



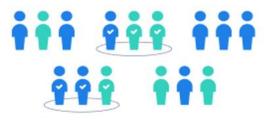
Systematic sample



Stratified sample



Cluster sample







# LIFE CYCLE OF A DATA ANALYSIS PROJECT

Step 1

Step 2

Step 3

Step 4

**Exploratory** 

Analysis and

Modeling

methodology

Determine important

Develop

variables

Build model

Assess model

Step 5

Step 6





















#### **Business Issue Understanding**

Define business objectives

Gather required information

Determine appropriate analysis method

Clarify scope of work

Identify deliverables

#### Data Understanding

Collect initial data

Identify data requirements

Determine data availability

Explore data and characteristics

#### Data Preparation

Gather data from multiple sources

Cleanse

Format

Blend

Sample

### Validation

Evaluate results

Review process

Determine next steps

Results are valid proceed to step 6

Results are invalid —
revisit steps 1-4

### Visualization and Presentation

Communicate results

Determine best method to present insights based on analysis and audience

Craft a compelling story

Make recommendations



#### **Understand Business Goals & Expectations**

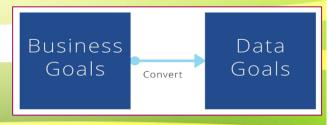
- Begin by understanding the business's vision.
- What are the pain point that they are facing?
- What resources are available?
  - Infrastructure, Pre-requisite features, Transactions, Business Results.
- What are the potential benefits?
- What risks are there in pursuing the project?
- Determine if the expected benefits are realistic and attainable from a data point of view.
- Determine the duration of the project.
- Perspective, see the problem from business point of view and from their client's point of view.
- Ensure to obtain domain knowledge required for particular problem.





### Translate Business Goals to Data Analysis Goals

- Example: A Café franchise has outlet A & B.
  - Cafe A wants to increase their profits as much that of Cafe B.
  - What product is more/less popular? (Popularity categorization)
  - How does the price of items at Cafe A compare to that of their competitors at Cafe b? (Price chart analysis)
  - Output Description
    Output Descript
  - What is the footfall to each café on a timely basis (hourly/daily/weekly)?
  - What are the peak hours at Cafe A and cafe B, is there any convergence?
  - What is the average age of the customers at each cafe?
  - What is the number of repeat customers to each cafe.



- Such details understanding may derive conclusion that Cafe A sells less coffee than Cafe B in peak hours.
  - This changes the problem statement from "How do we increase profits?" to "How to sell more coffee?"



#### Frame the Problem Statement

 Write statement that describes the problem, why solving the problem is important and a starting point to begin solving it.

"The problem P. . . ": problem as defined by company.

"... has the impact I." negative impacts/pain points of the problem.

"... which affects B..." parties that are affected (business, customers or a third party).

"..., so a good starting point would be S." benefits of solving the problem.

• "The problem of low coffee sales, has the impact of decreased profits, which affects Cafe A, so a good starting point would be to compare their coffee price with that of their competitors."



#### **Success Metric:**

- Objective of problem statement should be to generate business insights and drive actionable plans.
- Success of problem statement need to be evaluated at the end.
- Achievement should be measurable.
- Common metrics are:
  - Model assessment: Accuracy, Performance etc.
  - O Benchmarks:
    - Increase coffee sales by at least 10% in first month of solution implementation.



- <u>Data processing</u> is collecting raw data and translating it into usable information.
  - Raw data is collected, filtered, sorted, processed, analyzed, stored, and presented in a readable format.
- Data Processing can be: Manual, Mechanical, Electronic.
- Types of Data Processing:
  - o Batch Processing: data is collected and processed in batches (for large amounts of data).
  - Single User Programming Processing: done by single person for personal use (for smaller data).
  - Multiple Programming Processing: simultaneously storing and executing multiple program; processed using two
    or more CPUs. parallel processing.
  - Real-time Processing: processing, which always remains under execution.
  - Online Processing: entry and execution of data directly (no need to store; to reduce data entry errors)
  - Time-sharing Processing: one form of online data processing that facilitates several users to share resources on time-based manner.
  - O Distributed Processing: remote systems remain interconnected forming network for processing.



Data preparation: Process of gathering, combining, structuring and organizing data so it can be
used in business intelligence.

### Steps in Data Preparation:

- Collect
- Discover
- Clean
- Transform
- Validate



- Data discovery and profiling. explore the collected data to better understand what it contains and what
  needs to be done to prepare it for intended uses.
  - identifies patterns, relationships and other attributes in data, as well as inconsistencies, anomalies,
     missing values and other issues that can be addressed.
  - Data Inspection: Detect unexpected, incorrect, and inconsistent data.
- Data cleansing. Correct the identified data errors and issues to create complete and accurate data sets.
  - faulty data is removed or fixed,
  - missing values are filled in
  - inconsistent entries are harmonized.
- Data structuring. data is modeled and organized to meet analytics requirements.
- Data transformation and enrichment. transformed into a unified and usable format.
- Data validation and publishing. data is validated for its consistency, completeness and accuracy.

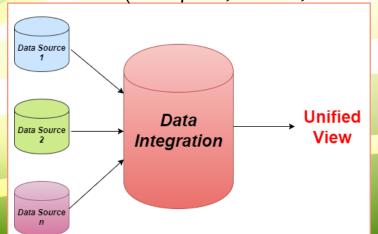


#### **Challenges in Data** (need for Data preparation):

- Inadequate or nonexistent data profiling. errors, anomalies and other problems might not be identified, which can result in flawed analytics.
- Missing or incomplete data. must be fixed to ensure analytics accuracy
- Invalid data values. Misspellings, other typos and wrong numbers.
- Name & address standardization. may be inconsistent with variations that can affect accuracy in analysis
- Inconsistent data across enterprise systems.
- Data enrichment.
- Maintaining and expanding data prep processes. Data preparation work often becomes a recurring
  process that needs to be sustained and enhanced on an ongoing basis.



- Data integration/Data consolidation: process of combining data from different sources into a single, unified view.
- Data consolidation techniques
  - Hand-coding. Using manual process for small, uncomplicated data collection (time-consuming for exploding volumes of data).
  - ETL software. ETL applications can pull data from multiple sources, transform it into necessary format and then
    transfer it to final data storage location.
  - o **ELT tools.** Data from cloud may not support ETL much. With ELT, first extract data from sources and load it into data warehouse and then transform that data (much faster, scalable, and more cost-effective).





#### Data integration approaches:

- Extract, Transform and Load: copies of datasets from disparate sources are gathered together, harmonized, and loaded into a data warehouse or database.
- Extract, Load and Transform: data is loaded into a big data system and transformed at later time for particular analytics uses.
- Change Data Capture: identifies data changes in databases in real-time and applies them to a data warehouse or other repositories.
- Data Replication: data in one database is replicated to other databases to keep the information synchronized to
  operational uses and for backup as well.
- Data Virtualization: data from different systems are virtually combined to create a unified view rather than loading data into a new repository.
- Streaming Data Integration: a real time data integration method.



2 types of data integration (tight & loose Coupling)

### Tight Coupling:

o data is combined from different sources into a single physical location through the process of ETL.

### Loose Coupling:

- o data remains only in the actual source databases.
- o an interface is provided that takes query from user/system, transforms it in a way the source database can understand, and then sends query directly to source databases to obtain ONLY the result.

### **Issues in Data Integration:**

- Schema Integration,
- Redundancy,
- Data value conflicts.



- Data profiling: explore the collected data to better understand what it contains and what needs
  to be done to prepare it for intended uses.
- A summary statistics about the data is helpful to give a general idea about the quality of data.
- Data Inspection: Detect unexpected, incorrect, and inconsistent data.
  - Quality of data is critical for final analysis.
  - Data which tend to be incomplete, noisy and inconsistent can effect result.
  - Data cleaning is the process of detecting and removing corrupt/inaccurate records from record, table
    or database.
- By analyzing and **visualizing** data using statistical methods such as *mean*, standard deviation, range, quantiles, etc. one can find values that are unexpected and thus erroneous.



- Data cleaning: process of fixing or removing incorrect, corrupted, incorrectly formatted, duplicate, or incomplete data within a dataset.
  - Check and maintain Data Quality
    - Validity: degree to which data conform to defined business rules or constraints.
    - Accuracy: degree to which data is close to the true values (error margin)
    - Completeness: degree to which all required data is known.
    - Consistency: degree to which data is consistent.
    - Uniformity: degree to which data is specified using same unit of measure.
  - May happen due to wrong data, or during data integration.
  - If data is incorrect, outcomes and algorithms are unreliable.



- Data cleaning: process to remove/correct data that does not belong in dataset.
- Data transformation: process of converting data from one structure into another (data wrangling/munging).
- Incorrect data is either ignored, removed, corrected, or imputed.

#### Removal of unwanted observations

deleting duplicate/redundant/irrelevant values from dataset.

### Fixing Structural errors

- o errors due to measurement, data transfer, type conversion, etc...
- o include typos in name of features, same attribute with a different name, mislabeled classes, separate classes that should really be the same, or inconsistent capitalization. (e.g. "N/A" and "Not Applicable")

### Managing Unwanted outliers & missing value

Outliers & missing value can cause problems with certain types of models



- Handling missing data (drop / fill / flag)
  - Missing data is like missing a puzzle piece. If you drop it, that's like pretending the puzzle slot isn't there. If you impute it, that's like trying to squeeze in a piece from somewhere else in the puzzle.
  - 1. **Dropping** observations with missing values.
  - 2. Imputing the missing values with global constant/statistical observation/popular value.
    - Using statistical values like mean, median, mode, etc.
    - Mean is most useful when original data is not skewed, while median is more robust, not sensitive to outliers
      (used when data is skewed).
    - Using a linear regression (with best fit line between two variables)
    - Manual or automated (depending on data size)
  - 3. Hot-deck: Copying values from other similar records.
  - 4. Flag: Filling missing values leads to a loss in information.
    - Ignore tuple/record: not very effective, unless tuple contains several attributes with missing values.
    - Missing data is informative in itself, and algorithm should know about it.



### Missing Value Ratio

- If dataset has too many missing values, then drop those variables
- These variable do not carry much useful information.

### Steps:

- 1. set a threshold level,
- 2. if a variable has missing values more than that threshold, drop that variable.
- The higher the threshold value, the more efficient the reduction.
- Dropping a feature may result in information loss.
- Replacing missing data with some substitute value to retain most of the data/information of the dataset:
  - Mean/Median/Mode Imputation
  - Fixed value Imputation
  - Null Imputation
  - Mean Imputation by category

- Forward/Backward fill
- Hot Deck Imputation
- KNN Imputation
- Regression Imputation

ID	season	holiday	workingday	weather	temp	atemp	humidity	windspeed	count
AB101	1.0	0.0	0.0	1.0	9.84	14.395	81.0	NaN	16
AB102	1.0	NaN	0.0	NaN	9.02	13.635	0.08	NaN	40
AB103	1.0	0.0	NaN	1.0	9.02	13.635	0.08	NaN	32
AB104	NaN	0.0	NaN	1.0	9.84	14.395	75.0	NaN	13
AB105	1.0	NaN	0.0	NaN	9.84	14.395	NaN	16.9979	1
AB106	1.0	0.0	NaN	2.0	9.84	12.880	75.0	NaN	1
AB107	1.0	0.0	0.0	1.0	9.02	13.635	0.08	NaN	2
AB108	1.0	NaN	0.0	1.0	8.20	12.880	86.0	NaN	3
AB109	NaN	0.0	0.0	NaN	9.84	14.395	NaN	NaN	8
AB110	1.0	0.0	0.0	1.0	13.12	17.425	76.0	NaN	14



```
data['Age'].isnull().sum()
177
data['Age'].mean()
29.69911764705882
data['Age'].replace(np.NaN , data['Age'].mean()).head(15)
      22.000000
      38.000000
      26.000000
      35.000000
      35.000000
                             Replaced with mean
      29.699118
      54.000000
       2.000000
                                  data['Age'].median()
      27.000000
      14.000000
                                  28.0
10
       4.000000
11
      58.000000
                                  data['Age'].mode()
      20.000000
12
13
      39.000000
                                       24.0
14
      14.000000
                                  dtype: float64
Name: Age, dtype: float64
```

```
data['Cabin'].head(10)
      NaN
      C85
      NaN
     C123
      NaN
      NaN
      E46
      NaN
      NaN
      NaN
Name: Cabin, dtype: object
data['Cabin'].fillna('U').head(10)
     C85
        U
     C123
      E46
Name: Cabin, dtype: object
```



3	1/6/2017	NaN	7.0	NaN
4	1/7/2017	32.0	NaN	Rain
5	1/8/2017	NaN	NaN	Sunny
6	1/9/2017	NaN	NaN	NaN
7	1/10/2017	34.0	8.0	Cloudy
8	1/11/2017	40.0	12.0	Sunny

	day			
		temperature	windspeed	event
0	1/1/2017	32.0	6.0	Rain
1	1/4/2017	NaN	9.0	Sunny
2	1/5/2017	28.0	NaN	Snow
3	1/6/2017	NaN	7.0	NaN
4	1/7/2017	32.0	NaN	Rain
5	1/8/2017	NaN	NaN	Sunny
6	1/9/2017	NaN	NaN	NaN
7	1/10/2017	34.0	8.0	Cloudy
8	1/11/2017	40.0	12.0	Sunny



	day	temperature	windspeed	event
0	1/1/2017	32.0	6.0	Rain
1	1/4/2017	28.0	9.0	Sunny
2	1/5/2017	28.0	77.0	Snow
3	1/6/2017	32.0	7.0	Rain
4	1/7/2017	32.0	78.0	Rain
5	1/8/2017	34.0	(~8.0	Sunny
6	1/9/2017	34.0	8.0	Cloudy
7	1/10/2017	34.0	8.0	Cloudy
8	1/11/2017	40.0	12.0	Sunny



- Noisy data is meaningless data (corrupt data).
  - Data that cannot be understood or interpreted correctly by machines (e.g. unstructured text).
  - Spelling errors, industry abbreviations and slang can also impede machine reading.
- Noisy data unnecessarily increases data storage space required and can also adversely affect analysis results.
  - Noisy data can be caused by faulty data collection instruments, data entry problems, technology limitation, hardware failures, programming errors, gibberish input from speech or optical character recognition (OCR) programs, etc.

#### Example:

Unknown encoding: Marital Status — Q

Out of range values: Age — -10

Inconsistent Data:
 DoB — 4th Oct 1999, Age — 50

inconsistent formats: DoJ — 13th Jan 2000, DoL — 10/10/2016)



- Regression: Data can be smoothed by fitting the data into a regression functions.
- Clustering: Outliers may be detected by clustering (similar values are organized into groups/clusters).
  - Values that fall outside of the set of clusters may be considered outliers.
  - o may be smoothed or removed.
- Noise can be handled using binning.
  - Smoothing of sorted data is done using the values around it.
  - 1. Sorted data is placed into bins or buckets.
  - 2. Bins can be created by equal-width (distance) or equal-depth (frequency) partitioning.
  - 3. On these bins, smoothing can be applied (by bin mean, bin median or bin boundaries).
  - 4. Outliers can be treated by using binning and then smoothing it.



- Outlier extreme values that deviate from other observations on data; indicate variability in measurement.
- Common causes of outliers on data set:
  - Data entry errors (human errors)
  - Measurement errors (instrument errors)
  - Experimental errors (data extraction or experiment planning/executing errors)
  - Intentional (dummy outliers made to test specific methods)
  - Data processing errors (data manipulation or data set unintended mutations)
  - Sampling errors (extracting or mixing data from wrong or various sources)
  - Natural (not an error, novelties in data))



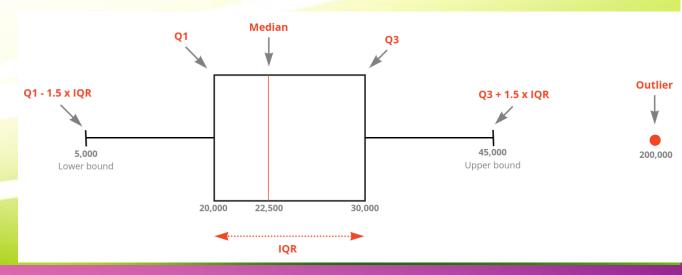
### **Types of Outlier:**

- Type 1 Global/Point outlier
- Type 2 Contextual outlier
- Type 3 Collective outlier
- Univariate outliers found when looking at distribution of values in single feature space.
- Multivariate outliers found in n-dimensional space (n-features).
- Outlier detection methods:
  - Numeric Outlier
  - Z-Score Analysis
  - DBSCAN
  - Isolation forest



#### **Numerical outlier Detection Method:**

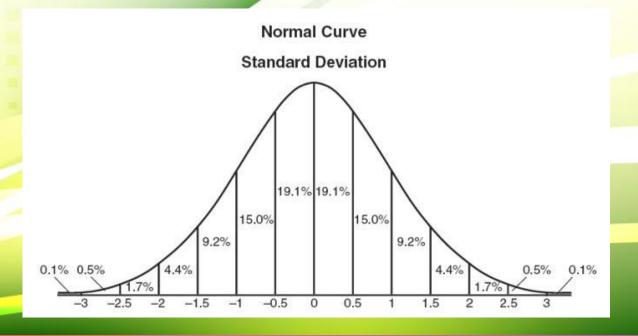
- Simple, non-standard outlier detection technique in one-dimensional feature space.
- Exteriors are calculated by IQR (Inter Quartile Range).
- Analyze with upper & lower bounds using interquartile amplifier value k = 1.5.
  - o lower bound/fence = Q1 1.5(IQR)
  - outlier < lower bound/fence</p>
  - upper bound/fence = Q3 + 1.5(IQR)
  - outlier > upper bound/fence





#### **Z-Score outlier Detection Method:**

- Z-score tells how many standard deviations away a given observation is from mean.
  - 68% of the data points lie between +/- 1 standard deviation.
  - o 95% of the data points lie between +/- 2 standard deviation
  - 99.7% of the data points lie between +/- 3 standard deviation





#### **Z-Score outlier Detection Method:**

- Z-score technique considers Gaussian distribution of data.
- Outliers are data points on tail of the distribution and are therefore far from average.
- Z-score is a parametric measure and it takes two parameters mean and standard deviation.

Z score = (x - mean) / std. deviation

- Z-score tells how many standard deviations away a given observation is from mean.
- Limit must be specified in data set  $\rightarrow$  good 'thumb rule' limits may be fixed deviations of 2.5, 3, 3.5, or more.
  - Example, Z-score of 2.5 means data point is 2.5 standard deviation far from mean.
  - Since it is very (2.5 S.D. times) far from center, it's flagged as outlier/anomaly.



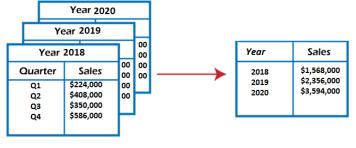
#### **Transformation:**

- Processed data are transformed from one format to another format, that is more appropriate for analysis.
- Data transformation may be:
  - Constructive: adds, copies, or replicates data.
  - Destructive: deletes fields or records.
  - Aesthetic: standardizes the data to meet requirements or parameters.
  - Structural: reorganized by renaming, moving, or combining columns.
- Benefits of data transformation:
  - Better Organization
  - Improved Data Quality
  - Perform Faster Queries
  - Better Data Management
  - More Use Out of Data



#### **Data Reduction**

- Process of reducing volume of original data to represent in much smaller volume by maintaining integrity of original data.
  - Reducing number of attributes/columns/dimension; and/or number of records/tuples/rows.
  - Efficiency of data mining process is improved, while producing same analytical results.
  - Necessary when processing the entire data set is expensive and time consuming.
- Data cube aggregation: aggregation at various levels of data in a simpler form.
- Dimensionality reduction: Not all attributes are required for data analysis.
  - Keep most suitable subset of attributes from a large number of attributes



**Aggregated Data** 

- o techniques like forward selection, backward elimination, decision tree induction or combination these.
- Data compression: large volumes of data is compressed (number of bits used to store data is reduced).
  - o In loss compression, quality of data is compromised for more compression.
  - o In lossless compression, quality of data is not compromised for higher compression level.
- Numerosity reduction: reduces volume of data by choosing smaller forms for data representation.
  - using clustering or sampling of data.



#### **Data Transformation methods:**

- Smoothing: process of removing noise from data (binning, regression, and clustering).
- Aggregation: process where summary or aggregation operations are applied to data.
  - daily sales data may be aggregated to compute monthly and annual total amounts (sum, min, max, group, etc)
- Generalization: low-level (primitive/raw) data are replaced with high-level data of categorical value.
  - street\_name → city or country;
  - Converting text to numbers; color (black, red, white) → 0,1,2
  - Converting continuous data to categories; age  $(15-30,30-50,50-70) \rightarrow$  youth, middle-aged, and senior.
- O Normalization: Normalize & scale attribute data so as to fall within a small specified range (e.g. 0.0 to 1.0)
- Attribute Construction: New attributes are constructed from given set of attributes.
  - New year and month column for original date column.



#### Data Transformation Process (ETL → Extract, Transform, and Load)

- Data Discovery: Understand and identify data in its source format. Decide what they need to do to get data into its desired format.
- Data Mapping: Determine how individual fields to be modified, mapped, filtered, joined, and aggregated.
- Data Extraction: Extract data from original source.
- Code Generation and Execution: Create a code to complete the transformation.
- Review: After transforming the data, check it to ensure everything has been formatted correctly.
- Sending: Send data to its target destination.



- When attributes are on different ranges or scales, data modelling and mining can be difficult.
- When multiple attributes are there but attributes have values on different scales, this may lead to poor data models while performing data mining operations.
  - They are normalized to bring all the attributes on the same scale.
- Normalization transforms the data to fall under a given range; hence helps in applying data mining

algorithms and extracting data faster.

- Min-max normalization
- Decimal scaling
- Z-score normalization

person_name	Salary	Year_of_ experience	Expected Position Level
Aman	100000	10	2
Abhinav	78000	7	4
Ashutosh	32000	5	8
Dishi	55000	6	7
Abhishek	92000	8	3
Avantika	120000	15	1
Ayushi	65750	7	5



- Min-max normalization Implements linear transformation on original data.
  - $\circ$  min<sub>A</sub> and max<sub>A</sub> are minimum and maximum values of an attribute, A.
  - Min-max normalization maps a value, v, of A to v' in the range [new\_min<sub>A</sub>, new\_max<sub>A</sub>]

$$v'_{i} = \frac{v_{i} - \min_{A}}{\max_{A} - \min_{A}} \left( new_{\max_{A}} - new_{\min_{A}} \right) + new_{\min_{A}}$$

- Example, \$1200 and \$9800 are minimum, and maximum value for attribute income.
- [0.0, 1.0] is the range in which we need to map a value of \$73,600.
- Datapoint \$73,600 would be transformed using min-max normalization as follows:

$$\frac{73600 - 1200}{9800 - 1200}(1.0 - 0.0) + 0.0 = 0.716$$



- Z-score (zero-mean) normalization Values for attribute 'A' are normalized based on mean and standard deviation of A.
- Datapoint, v, of A is normalized to v' by computing
  - $\circ$  where A' and  $\sigma_A$  are mean and standard deviation of attribute A.
- Useful when actual minimum and maximum of attribute A are unknown, or when there are outliers.
  - Example, mean and standard deviation for attribute A as \$65,000 and \$18,000.
  - Normalized value \$85,800 using z-score normalization is;

$$\frac{85,800 - 65,000}{18,000} = 1,156.$$



- Decimal Scaling Normalizes by changing the decimal point of values of attribute A. This movement of a
  decimal point depends on the maximum absolute value of A.
- Datapoint, v, of A is normalized to v' by computing.

$$v'=\frac{v}{10^5}$$

- $\circ$  Where j is the smallest integer such that Max (|v'|)<1.
- Example, observed values for attribute A range from -986 to 917.
- Maximum absolute value for attribute A is 986.
- To normalize each value of attribute A using decimal scaling, divide each value of attribute A by 1000,
   i.e., j=3 (number of integers in the largest number).
- o So, the value -986 would be normalized to -0.986, and 917 would be normalized to 0.917.



- Data Partitioning: technique for physically dividing data during the loading of Master Data.
- For Easy Management:
  - O Data volume in data warehouse can grow up to hundreds of gigabytes.
  - This huge size is very hard to manage as a single entity.
- To Assist Backup/Recovery
  - Partitioning allows to load only as much data required on a regular basis (instead of whole data always).
  - Reduces time to load and also enhances system performance.
  - Also beneficial for backup purpose.
- To Enhance Performance
  - O Query performance enhances, having to process lesser/partitioned (required) data; not entire data...



### **Horizontal Partitioning**

- Partitioning by Time into Equal Segments: Data partitioned on basis of time period (of equal size)
- Partition by Time into Different-sized Segments: Implemented as a set of small partitions for relatively current data, larger partition for inactive data. (When specific/aged data is accessed infrequently)
- Partition on Different Dimension: Partition on basis of dimensions other than time (product group, region, supplier, etc).
- Partition by Size of Table: partition on basis of size (When no clear basis/dimension for partitioning).
  - Set a predetermined size/critical point. When data exceeds predetermined size, partition is created.



#### **Vertical Partition**

- Splits data vertically.
- Each partition holds subset of fields.
- Normalization
  - Standard relational method of database organization.
  - o removing redundancies from database by splitting tables and linking them with foreign key.

#### Functional partitioning

- Data is aggregated according to how it is used by each bounded context in the system.
- Example, e-commerce system might store invoice data in one partition and product inventory data in another.