

# Relational Database Design

- Features of Good Relational Design
- Atomic Domains and First Normal Form
- Decomposition Using Functional Dependencies
- Functional Dependency Theory
- Algorithms for Functional Dependencies
- More Normal Form
- Database-Design Process

**EMPLOYEE**

Ename	Ssn	Bdate	Address	Dnumber
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX	5
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX	5
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX	4
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX	4
Narayan, Ramesh K.	666884444	1962-09-15	975 Fire Oak, Humble, TX	5
English, Joyce A.	453453453	1972-07-31	5631 Rice, Houston, TX	5
Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX	4
Borg, James E.	888665555	1937-11-10	450 Stone, Houston, TX	1

**DEPARTMENT**

Dname	Dnumber	Dmgr_ssn
Research	5	333445555
Administration	4	987654321
Headquarters	1	888665555

**Redundancy****EMP\_DEPT**

Ename	Ssn	Bdate	Address	Dnumber	Dname	Dmgr_ssn
Smith, John B.	123456789	1965-01-09	731 Fondren, Houston, TX	5	Research	333445555
Wong, Franklin T.	333445555	1955-12-08	638 Voss, Houston, TX	5	Research	333445555
Zelaya, Alicia J.	999887777	1968-07-19	3321 Castle, Spring, TX	4	Administration	987654321
Wallace, Jennifer S.	987654321	1941-06-20	291 Berry, Bellaire, TX	4	Administration	987654321
Narayan, Ramesh K.	666884444	1962-09-15	975 FireOak, Humble, TX	5	Research	333445555
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Jabbar, Ahmad V.	987987987	1969-03-29	980 Dallas, Houston, TX	4	Administration	987654321
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# Features of a Good relational database design

- Minimum Redundancy
  - Storage of same data in more than one location - **redundancy**
  - Disadvantage: Wastage of storage space
  - Results in updation anomalies
- Fewer null values in tuples
  - Values of all the attributes of a tuple are not known, so null value is to be stored
  - Cannot be provided to primary key

# Update Anomalies

Storing natural joins of base relations leads to an additional problem referred to as **update anomalies**. These can be classified into insertion anomalies, deletion anomalies, and modification anomalies.

- Insertion anomaly: It leads to a situation in which certain information cannot be inserted into a relation unless some other information is stored
- Deletion anomaly: It leads to a situation in which deletion of data representing certain information results in losing data representing some other information that is associated with it
- Modification Anomaly: It leads to a situation in which repeated data changed at one place results in inconsistency unless the same data are also changed at other places

# Update Anomalies-Example

- Insertion Anomaly
  - To insert a new employee tuple into EMP\_DEPT, we must include either the attribute values for the department that the employee works for, or NULL.
  - For example, to insert a new tuple for an employee who works in department number 5, we must enter all the attribute values of department 5 correctly so that they are *consistent* with the corresponding values for department 5 in other tuples in EMP\_DEPT.
- It is difficult to insert a new department that has no employees as yet in the EMP\_DEPT relation. The only way to do this is to place NULL values in the attributes for employee.

# Update Anomalies-Example

- Deletion Anomaly
  - If we delete from EMP\_DEPT an employee tuple that happens to represent the last employee working for a particular department, the information concerning that department is lost from the database
- Modification Anomaly
  - In EMP\_DEPT, if we change the value of one of the attributes of a particular department—say, the manager of department 5—we must update the tuples of *all* employees who work in that department; otherwise, the database will become inconsistent
  - If we fail to update some tuples, the same department will be shown to have two different values for manager in different employee tuples, which would be wrong

# **Update Anomalies-Example**

Three anomalies are undesirable and cause difficulties to

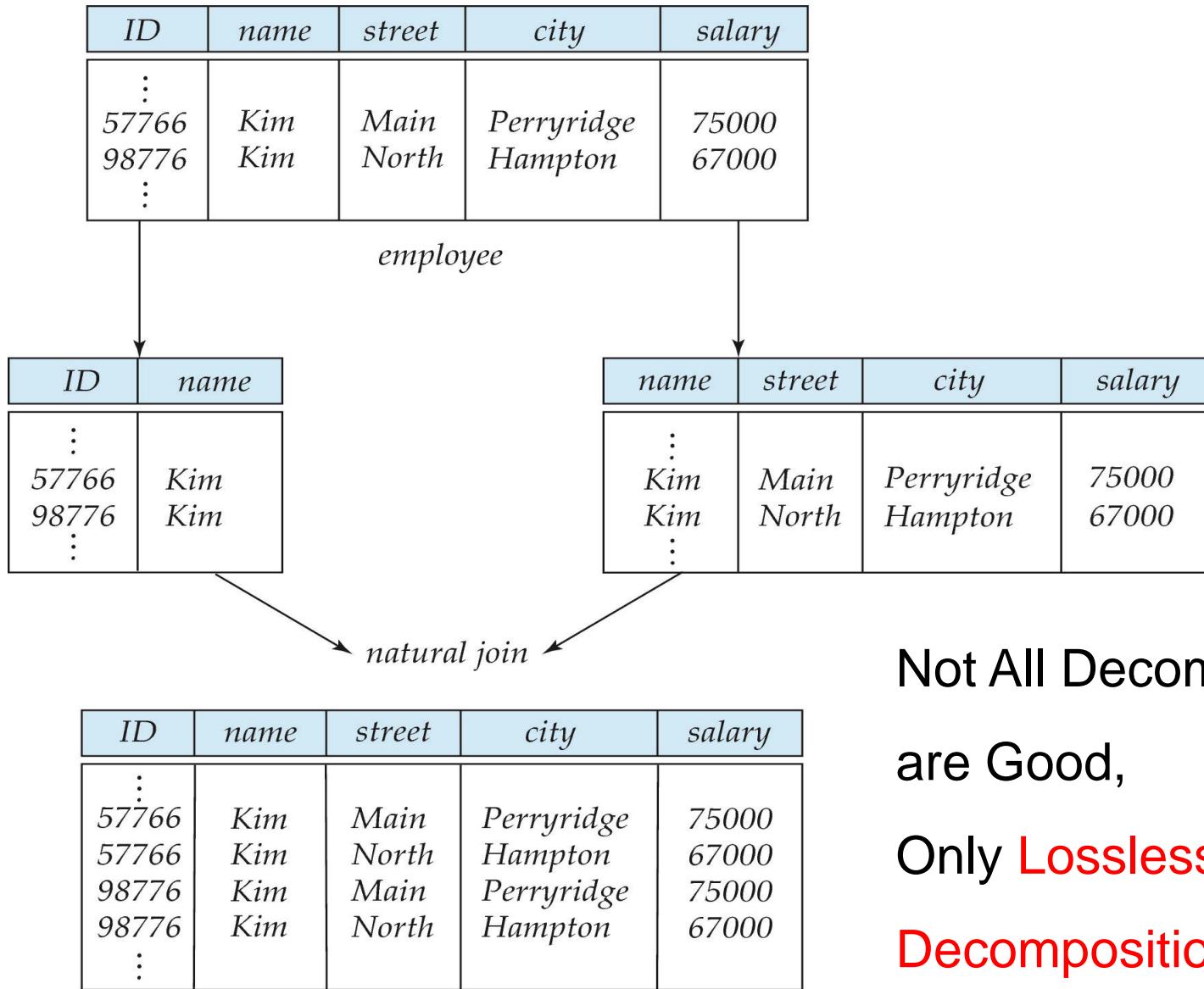
- maintain consistency of data as well as require unnecessary updates that can be avoided

# Decomposition of a Relation

- While designing a relational database certain problems that are met due to redundancy and null values can be resolved by decomposing a relation.
- In decomposing, a relation is replaced with a collection of smaller relations with specific relationship between them
- Formally, the **decomposition** of a relation schema **R** is defined as its replacement by a set of relation schemas- **R<sub>1</sub>,R<sub>2</sub>,...,R<sub>n</sub>** such that each relation schema contains a **subset of attributes** of **R**.

How to avoid redundancy & achieve Lossless Decomposition ?

# A Lossy Decomposition



Not All Decompositions  
are Good,  
Only **Lossless**  
Decomposition is Good

# Example of Lossless-Join Decomposition

- Lossless join decomposition
- Decomposition of  $R = (A, B, C)$   
 $R_1 = (A, B) \quad R_2 = (B, C)$

A	B	C
$\alpha$	1	A
$\beta$	2	B

$r$

A	B
$\alpha$	1
$\beta$	2

$\Pi_{A,B}(r)$

B	C
1	A
2	B

$\Pi_{B,C}(r)$

$\Pi_A(r) \bowtie \Pi_B(r)$

A	B	C
$\alpha$	1	A
$\beta$	2	B

# Goal — Devise a Theory for the Following

- Decide whether a particular relation  $R$  is in “good” form.
- In the case that a relation  $R$  is not in “good” form, decompose it into a set of relations  $\{R_1, R_2, \dots, R_n\}$  such that
  - each relation is in **good form**
  - the decomposition is a **lossless-join decomposition**
- Our theory is based on:
  - **functional dependencies**

# **Functional Dependency**

- *Functional dependency is a relationship between two attributes.*
- Functional dependencies are the special forms ***of integrity constraints that generalize the concepts of keys***
- They play a key role in developing a good database schema

# Functional Dependency

- A functional dependency is a constraint between two sets of attributes from the database
- A **functional dependency**, denoted by  $X \rightarrow Y$ , between two sets of attributes  $X$  and  $Y$  that are subsets of  $R$  specifies a *constraint* on the possible tuples that can form a relation state  $r$  of  $R$ .
- The constraint is that, for any two tuples  $t_1$  and  $t_2$  in  $r$  that have  $t_1[X] = t_2[X]$ , they must also have  $t_1[Y] = t_2[Y]$ .
- This means that the values of the  $Y$  component of a tuple in  $r$  depend on, or are *determined by*, the values of the  $X$  component; alternatively, the values of the  $X$  component of a tuple uniquely (or **functionally**) *determine* the values of the  $Y$  component.

# Functional Dependencies (Cont.)

- We also say that there is a functional dependency from  $X$  to  $Y$ , or that  $Y$  is **functionally dependent** on  $X$ . The abbreviation for functional dependency is **FD** or **f.d.**
- The set of attributes  $X$  is called the **left-hand side (determinant)** of the FD, and  $Y$  is called the **right-hand side (dependent)**
- Thus,  $X$  functionally determines  $Y$  in a relation schema  $R$  if, and only if, whenever two tuples of  $r(R)$  agree on their  $X$ -value, they must necessarily agree on their  $Y$ -value.

# Functional Dependencies (Cont.)

- If a constraint on  $R$  states that there cannot be more than one tuple with a given  $X$ -value in any relation instance  $r(R)$ —that is,  $X$  is a **candidate key** of  $R$ —this implies that  $X \rightarrow Y$  for any subset of attributes  $Y$  of  $R$  (because the key constraint implies that no two tuples in any legal state  $r(R)$  will have the same value of  $X$ ).
- If  $X$  is a candidate key of  $R$ , then  $X \rightarrow R$ .
- If  $X \rightarrow Y$  in  $R$ , this does not say whether or not  $Y \rightarrow X$  in  $R$ .

# Functional Dependencies (Cont.)

Table Student

studID	lastname	status	credits
1234567	Smith	Undergraduate	254
1234568	Don	Undergraduate	149
1234569	Dallas	Graduate	543

Functional dependencies include:

$\{studID\} \rightarrow \{\text{lastName}\}$

$\{studID\} \rightarrow \{\text{lastName}, \text{credits}, \text{status}, \text{studID}\}$

$\{\text{credits}\} \rightarrow \{\text{status}\}$  (but not  $\{\text{status}\} \rightarrow \{\text{credits}\}$ )

# Functional Dependencies (Cont.)

$R(A, B, C, D)$

A	B	C	D
a1	b1	c1	d1
a1	b2	c2	d2
a2	b2	c2	d3
a3	b3	c4	d3

The following FDs *may hold* :

$B \rightarrow C; C \rightarrow B; \{A, B\} \rightarrow C; \{A, B\} \rightarrow D;$  and  $\{C, D\} \rightarrow B.$

The FDs that *Do not* hold because we already have violations of them in the given extension:  $A \rightarrow B$  (tuples 1 and 2 violate this constraint);

$C \rightarrow D, B \rightarrow A$  (tuples 2 and 3 violate this constraint);

$D \rightarrow C$  (tuples 3 and 4 violate it).

# Functional Dependencies (Cont.)

Relation r

A	B	C	D
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>	d <sub>1</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>1</sub>	d <sub>2</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>	d <sub>2</sub>
a <sub>2</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>3</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>4</sub>

List the possible functional dependencies.

$A \rightarrow C$

$\{A, B\} \rightarrow C$

$D \rightarrow B$

# Functional Dependencies

## Example

Assume **ITEMS(it\_name, comp\_name, price)** is a schema storing items(toothbrush, toothpaste etc.) and company(Colgate, Pepsodent etc.) names and price.

It_name	Comp_name	price
Toothpaste	Colgate	20
Toothpaste	Pepsodent	30
Toothbrush	Colgate	55
Toothbrush	Pepsodent	90

# Functional Dependencies

## Example

Assume **ITEMS(it\_name, comp\_name, price)** is a schema storing items(toothbrush, toothpaste etc.) and company(Colgate, Pepsodent etc.) names and price.

It_name	Comp_name	price
Toothpaste	Colgate	20
Toothpaste	Pepsodent	30
Toothbrush	Colgate	55
Toothbrush	Pepsodent	90

An It\_name may be produced by many companies ,  
therefore **It\_name  $\not\rightarrow$  Comp\_Name**  
(  $\not\rightarrow$  means NOT Functionally depends )

A company may be producing many It\_name,  
therefore **Comp\_Name  $\not\rightarrow$  It\_name**

# Functional Dependencies

## Example (Contd.)

It_name	Comp_name	price
Toothpaste	Colgate	20
Toothpaste	Pepsodent	30
Toothbrush	Colgate	55
Toothbrush	Pepsodent	90

Similarly price is not functionally depends on It\_name or Comp\_name

$$\text{It\_name} \not\rightarrow \text{Price} \text{ & } \text{Comp\_Name} \not\rightarrow \text{Price}$$

However a Price is uniquely determined by the combination of  
(It\_Name,Comp\_Name), therefore

$$(\text{It\_Name}, \text{Comp\_Name}) \rightarrow \text{Price}$$

# Trivial Functional Dependency

- A functional dependency is **trivial** if it is satisfied by all relations
  - $X \rightarrow X$  is satisfied by all relations having attribute X.
  - Similarly,  $XY \rightarrow X$  is satisfied by all relations having attributes X and Y.
- Example:
  - ▶  $ID, name \rightarrow ID$
  - ▶  $name \rightarrow name$

**In general,  $\alpha \rightarrow \beta$  is trivial if  $\beta \subseteq \alpha$**

- The dependencies that are not trivial are called **non-trivial dependencies**.
- These are the dependencies that correspond to the essential integrity constraints.

# Functional Dependencies

## Super Key & Candidate key(Minimal Super key)

- $K$  is a **super key** for relation schema  $R$  if and only if  $K \rightarrow R$
- $K$  is a **candidate key** (minimal Super Key) for  $R$  if and only if following **2** conditions hold.
  - $K \rightarrow R$  (*means  $K$  is Super key*), and
  - There exists **no** subset of  $K$  (i.e. for **none** of  $\alpha \subset K$ )  
 $\alpha \rightarrow R$  **holds**
    - ▶ Means *for all subsets of  $K$ ,  $\alpha \rightarrow R$  should not hold.*

# Example: Minimal Super Key

□ Consider the **ITEMS** relation.

Let us take  $K = (\text{It\_Name}, \text{Comp\_Name})$

A Price is uniquely determined by the combination of  
 $(\text{It\_Name}, \text{Comp\_Name})$ , therefore

$(\text{It\_Name}, \text{Comp\_Name}) \rightarrow \text{Price}$

Following two functional dependency also holds because of trivial functional dependency  
( i.e.  $\alpha \rightarrow \beta$  holds always if  $\beta \subset \alpha$ )

$(\text{It\_Name}, \text{Comp\_Name}) \rightarrow \text{It\_Name}$  (because trivial FD)

because  $\text{It\_Name} \subset (\text{It\_Name}, \text{Comp\_Name})$

$(\text{It\_Name}, \text{Comp\_Name}) \rightarrow \text{Comp\_Name}$  (because trivial FD)

because  $\text{Comp\_Name} \subset (\text{It\_Name}, \text{Comp\_Name})$

Therefore  $(\text{It\_Name}, \text{Comp\_Name}) \rightarrow \text{ITEMS}$

$(\text{It\_Name}, \text{Comp\_Name})$  is **Super Key for ITEMS**

Is it Minimal Super key also?

## Example: Minimal Super Key (Contd.)

As Discussed in previous slide      **(It\_name, Comp\_name) is Super Key.**

- Further K i.e. **(It\_name, Comp\_name)** can be a **candidate key** (means minimal Super key) ,  
if **none of the subset of K determine R** i.e. ITEMS.

**“None of the sub sets of (It\_name, Comp\_name) is Super key”**

Subsets of **(It\_name, Comp\_name)** are

**(It\_name) & (Comp\_Name)**

We know **It\_name** alone do not determine **ITEMS** & **Comp\_Name** alone also do not determine **ITEMS** .

i.e. Neither **It\_name** nor **Comp\_Name** is Super Key for **ITEMS**

- i.e      **It\_name**  $\not\rightarrow$  **ITEMS** & **Comp\_name**  $\not\rightarrow$  **ITEMS**

## Example: Minimal Super Key (Contd.)

- From Super key, **(It\_name, Comp\_name)** we are unable to find **any subset** which **determine ITEMS** .

not possible to find any sub set of

**(It\_name, Comp\_name) which is super key**

- i.e. Hence **(It\_name, Comp\_name)** are **minimum attributes required** to determine ITEMS.
- Therefore **(It\_name, Comp\_name)** is **minimal Super key (i.e. candidate key)**

# Example: minimal Super Key

R={A,B,C,D}

Is  $(A,B) \rightarrow D$  Super key?

If yes , is it minimal Super Key also?

Yes,  $(A,B) \rightarrow D$  is Super Key.

How to check  $(A,B)$  is also minimal Super key?

- None subsets of  $(A,B)$  should determine D

Subsets are – A, B

Check  $A \rightarrow D$  ?  $B \rightarrow D$  ?

If none of these Dependency hold then AB is Minimal S.Key

If any one of these Functional Dependency holds then AB is not Minimal.S.Key

$A \rightarrow D$  NO,  $B \rightarrow D$  NO. No subsets of {A,B} determines D

Means  $(A,B) \rightarrow D$  Super key, also minimal Super key.

**Note:** that Functional dependency or minimal super key is not determined by the only data appearing in a relation at a given point of time, instead it depends on the meaning (semantics) of the attributes.

A	B	C	D
$a_1$	$b_1$	$c_1$	$d_1$
$a_1$	$b_2$	$c_1$	$d_2$
$a_2$	$b_2$	$c_2$	$d_2$
$a_2$	$b_3$	$c_2$	$d_3$
$a_3$	$b_3$	$c_2$	$d_4$

# Functional Dependencies(Cont'd)

## Full Functional dependency:

- If A and B are attributes of a table, B is **fully functionally dependent** on A if B is functionally dependent on A, **but not on any proper subset of A.**

### □ Example

Consider the example **ITEMS(It\_Name, Comp\_Name, Price)**

- Consider Functional Dependency **(It\_Name, Comp\_Name) → Price**
- **Price is Fully Functionally dependent** because-

- It\_Name  $\not\rightarrow$  Price
  - Comp\_Name  $\not\rightarrow$  Price
- } Price do not Functionally dependent on  
none of the proper subset of  
(It\_Name, Comp\_Name)

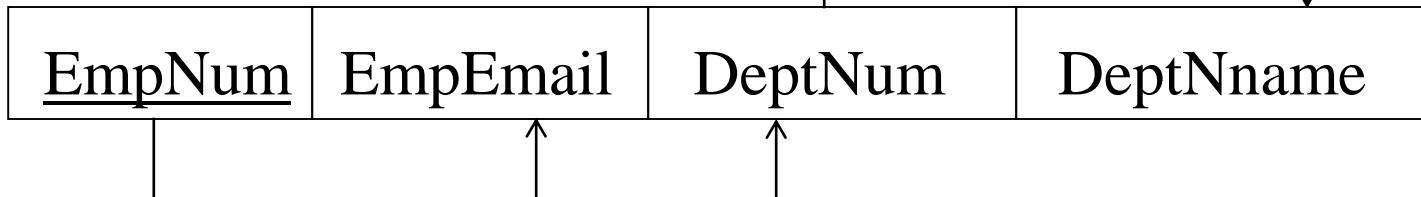
# Functional Dependencies(Cont'd)

## Partial Functional Dependency:

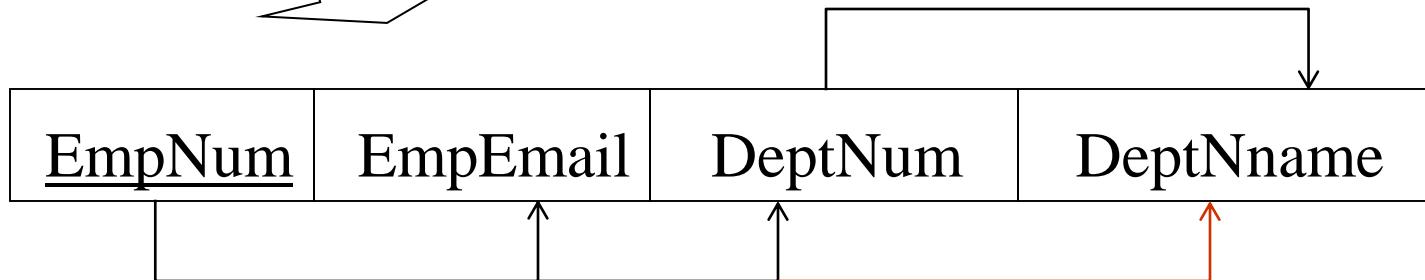
- If A and B are attributes of a table, B is **partially dependent** on A if there is some attribute that can be removed from A and yet the dependency still holds.
- **Example**  
 $(\text{Empno}, \text{Name}) \rightarrow \text{Deptno}$  , but  $\text{Empno} \rightarrow \text{Deptno}$
- Deptno is also dependent on subset Empno of  $(\text{Empno}, \text{Name})$ ,
- Therefore **Deptno** is **not fully dependent** on  $(\text{Empno}, \text{Name})$ ,
- Means **Deptno** is **Partially Dependent** on  $(\text{Empno}, \text{Name})$

# Transitive dependency

$\text{EmpNum} \rightarrow \text{DeptNum}$



$\text{DeptNum} \rightarrow \text{DeptName}$



DeptName is *transitively dependent* on EmpNum via DeptNum

$\text{EmpNum} \rightarrow \text{DeptName}$

# Closure of a Set of Functional Dependencies

- Given a set  $F$  of functional dependencies, there are certain other functional dependencies that are logically implied by  $F$ .
  - For example: If  $A \rightarrow B$  and  $B \rightarrow C$ , then we can infer that  $A \rightarrow C$  (\*transitivity),  $A \rightarrow AC$ (\*augmentation),  $AB \rightarrow B$  (trivial).  $AB \rightarrow BC$  (\*augmentation)
- The set of **all** functional dependencies logically implied by  $F$  is the **closure** of  $F$ .
- We denote the **closure** of  $F$  by  $F^+$ .
- $F^+$  is a superset of  $F$ .       $F^+ \supset F$

\* are discussed in next slides(Armstrong's Axioms)

# Closure of a Set of Functional Dependencies

- We can find  $F^+$ , the closure of  $F$ , by repeatedly applying **Armstrong's Axioms**:
  - if  $\beta \subseteq \alpha$ , then  $\alpha \rightarrow \beta$  **(reflexivity)**
  - if  $\alpha \rightarrow \beta$ , then  $\gamma \alpha \rightarrow \gamma \beta$  **(augmentation)**
  - if  $\alpha \rightarrow \beta$ , and  $\beta \rightarrow \gamma$ , then  $\alpha \rightarrow \gamma$  **(transitivity)**
- These rules are
  - **sound** (generate only functional dependencies that actually valid), and
  - **complete** (generate all functional dependencies that hold).

# Closure of Functional Dependencies (Cont.)

## □ Additional rules:

1. If  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds, then  $\alpha \rightarrow \beta\gamma$  holds (**union**)
2. If  $\alpha \rightarrow \beta\gamma$  holds, then  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds (**decomposition**)
3. If  $\alpha \rightarrow \beta$  holds and  $\gamma\beta \rightarrow \delta$  holds, then  $\alpha\gamma \rightarrow \delta$  holds (**pseudotransitivity**)

The above rules can be inferred from Armstrong's axioms.

\* For Proof, see notes section.

# Closure of Functional Dependencies (Cont.)

## □ Additional rules:

1. If  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds, then  $\alpha \rightarrow \beta\gamma$  holds (**union**)

## Union rule.- Proof

$$\alpha \rightarrow \beta \quad \text{given}$$

$\alpha\alpha \rightarrow \alpha\beta$       *augmentation rule (augmenting  $\alpha$  on both sides)*

$\alpha \rightarrow \alpha\beta$       *union of identical sets  $\alpha\alpha = \alpha$  ---(1)*

$$\alpha \rightarrow \gamma \quad \text{given}$$

$\alpha\beta \rightarrow \beta\gamma$       *augmentation rule (augment  $\beta$  on both side ---(2)*

$\alpha \rightarrow \beta\gamma$       *transitivity rule (from (1) & (2) ) Proved*

# Closure of Functional Dependencies (Cont.)

## □ Additional rules:

2. If  $\alpha \rightarrow \beta\gamma$  holds, then  $\alpha \rightarrow \beta$  holds and  $\alpha \rightarrow \gamma$  holds **(decomposition)**

## Decomposition rule.- Proof

$\alpha \rightarrow \beta\gamma$  given (1)

$\beta\gamma \rightarrow \beta$  (2) *reflexivity rule, because  $\beta \subseteq \beta\gamma$*

$\alpha \rightarrow \beta$  (1) & (2) *transitivity rule (proved)*

$\beta\gamma \rightarrow \gamma$  (3) *reflexive rule, because  $\gamma \subseteq \beta\gamma$*

$\alpha \rightarrow \gamma$  (1) & (3) *transitive rule (proved)*

# Closure of Functional Dependencies (Cont.)

## □ Additional rules:

3. If  $\alpha \rightarrow \beta$  holds and  $\gamma\beta \rightarrow \delta$  holds, then  $\alpha\gamma \rightarrow \delta$  holds  
**(pseudo transitivity)**

## Pseudo transitivity -Proof

$\alpha \rightarrow \beta$       given

$\alpha\gamma \rightarrow \gamma\beta$       *augmentation rule augmenting  $\gamma$  on both side*

$\gamma\beta \rightarrow \delta$       given

$\alpha\gamma \rightarrow \delta$       *transitivity rule(Proved)*

## Example: Finding some logically implied functional dependencies using Armstrong Axioms

□  $R = (A, B, C, G, H, I)$

$F = \{ A \rightarrow B$

$A \rightarrow C$

$CG \rightarrow H$

$CG \rightarrow I$

$B \rightarrow H \}$

if  $\beta \subseteq \alpha$ , then  $\alpha \rightarrow \beta$

(reflexivity)

if  $\alpha \rightarrow \beta$ , then  $\gamma \alpha \rightarrow \gamma \beta$

(augmentation)

if  $\alpha \rightarrow \beta$ , and  $\beta \rightarrow \gamma$ , then  $\alpha \rightarrow \gamma$

(transitivity)

□ some members of  $F^+$

□  $A \rightarrow H$

▶ by transitivity from  $A \rightarrow B$  and  $B \rightarrow H$

□  $AG \rightarrow I$

▶ Since  $A \rightarrow C$  and  $CG \rightarrow I$  (pseudotransitivity)

□  $CG \rightarrow HI$

▶ Since  $CG \rightarrow H$  and  $CG \rightarrow I$  (Union rule)

Prove:  $AB \rightarrow CH$  &  
 $A \rightarrow BC$

# Procedure for Computing $F^+$

- To compute the closure of a set of functional dependencies  $F$ :

$$F^+ = F$$

**repeat**

**for each** functional dependency  $f$  in  $F^+$

    apply **reflexivity** and **augmentation** rules on  $f$

    add the resulting functional dependencies to  $F^+$

**for each** pair of functional dependencies  $f_1$  and  $f_2$  in  $F^+$

**if**  $f_1$  and  $f_2$  can be **combined using transitivity**

**then** add the resulting functional dependency to  $F^+$

**until**  $F^+$  does not change any further

# Closure of Attribute Sets

- Given a set of attributes  $a$ , define the *closure* of  $a$  under  $F$  (denoted by  $a^+$ ) as the set of attributes that are functionally determined by  $a$  under  $F$

Algorithm to compute  $a^+$ , the **closure** of  $a$  under  $F$

```
result := a;  
  
while (changes to result) do  
    for each  $\beta \rightarrow \gamma$  in  $F$  do  
        begin  
            if  $\beta \subseteq result$  then result := result  $\cup$   $\gamma$   
        end
```

# Example for Attribute Set Closure

- $R = (A, B, C, G, H, I)$
- $F = \{ A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H \}$  Find  $AG^+$
- $(AG)^+$ 
  1.  $result = AG$
  2.  $result = ABCG$  ( $A \rightarrow C$  and  $A \rightarrow B$ )
  3.  $result = ABCGH$  ( $CG \rightarrow H$  and  $CG \subseteq AGBC$ )
  4.  $result = ABCGHI$  ( $CG \rightarrow I$  and  $CG \subseteq AGBCH$ )

# Step-by-step approach to Find AG<sup>+</sup>

1.  $result = AG$
2. **While loop**      (**1<sup>st</sup> While loop**)
3. **For Loop**

1. take  $A \rightarrow B$

$$A \subseteq result$$

$$result = result \cup B = \{AGB\}$$

2. take  $A \rightarrow C$

$$A \subseteq result$$

$$result = result \cup C = \{AGBC\}$$

3. Take  $CG \rightarrow H$

$$CG \subseteq result$$

$$result = result \cup H = \{AGBCH\}$$

4. Take  $CG \rightarrow I$

$$CG \subseteq result$$

$$result = result \cup I = \{AGBCHI\}$$

5. Take  $B \rightarrow H$

$$B \subseteq result$$

$$result = result \cup H = \{AGBCHI\}$$

**End of For Loop**

## Closure of Attribute Sets

Given a set of attributes  $a$ , define the **closure** of  $a$  under  $F$  (denoted by  $a^+$ ) as the set of attributes that are functionally determined by  $a$  under  $F$

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```

## ....Step-by-step approach to Find AG<sup>+</sup>

At the end of 1<sup>st</sup> While loop **result= { AGBCHI}**

So when 2<sup>nd</sup> While loop starts **result= { AGBCHI}**

**1. While loop (2<sup>nd</sup> While loop)**

**2. For Loop**

1. take **A → B**

$A \subseteq$  result

$result = result \cup B == \{AGBCHI\}$

2. take **A → C**

$A \subseteq$  result

$result = result \cup C == \{AGBCHI\}$

3. Take **CG → H**

$CG \subseteq$  result

$result = result \cup H == \{AGBCHI\}$

4. Take **CG → I**

$CG \subseteq$  result

$result = result \cup I == \{AGBCHI\}$

5. Take **B → H**

$B \subseteq$  result

$result = result \cup H == \{AGBCHI\}$

**End of For Loop**

**Result from While loop 1 and While loop 2 are same , there is no change in result , therefore exit While loop**

**AG<sup>+</sup>= {AGBCHI } AG → R**

# Exercises

1.  $R(A, B, C, D)$

$$F = \{ A \rightarrow B, B \rightarrow C, B \rightarrow D \}, \text{ Find } A^+, B^+$$

2.  $R(A,B,C,D)$

$$F = \{ C \rightarrow D, A \rightarrow B, B \rightarrow C \} \text{ Find } A^+, C^+$$

1.

$$A^+ = \{ A, B, C, D \}$$

$$B^+ = \{ B, C, D \}$$

2.

$$A^+ = \{ A, B, C, D \}$$

$$C^+ = \{ C, D \}$$

# Uses of Attribute Closure

There are several uses of the attribute closure algorithm:

## 1. Testing for superkey:

- To test if  $\alpha$  is a **superkey**, we compute  $\alpha^+$  and check if  $\alpha^+$  contains all attributes of  $R$ . i.e  $\alpha \rightarrow R$

## 2. Testing functional dependencies

- To check if a functional dependency  $\alpha \rightarrow \beta$  holds (or, in other words, is it in  $F^+$ ), just **check if  $\beta \subseteq \alpha^+$** .
- That is, we compute  $\alpha^+$  by using attribute closure, and then check if it contains  $\beta$ .
- Is a simple and cheap test, and very useful

## 3. Computing closure of $F$ i.e. $F^+$

- For each  $\gamma \subseteq R$ , we find the **closure**  $\gamma^+$ , and for each  $S \subseteq \gamma^+$ , we output a functional dependency  $\gamma \rightarrow S$ .

# 1. Example Testing for superkey & Candidate Key:

- $R = (A, B, C, G, H, I)$
- $F = \{ A \rightarrow B$   
 $A \rightarrow C$   
 $CG \rightarrow H$   
 $CG \rightarrow I$   
 $B \rightarrow H \}$
- Is **AG** a Super Key ? \*
- Is **AG** a candidate key? \*
  1. Is **AG** a super key?
    - i. Does  $AG \rightarrow R$  ? == Is  $(AG)^+ \supseteq R$
  2. Is any subset of **AG** a super key?
    - i. Does  $A \rightarrow R$ ? == Is  $(A)^+$  contains R
    - ii. Does  $G \rightarrow R$ ? == Is  $(G)^+$  contains R
- Is **A** is super key?

# Step-by-step approach to Check AG is Super Key

Is AG is Super Key?

□ Find  $AG^+$

□ we know  $AG^+$  is  $AG^+ = \{ABC\bar{GHI}\}$

□ i.e.  $R \subseteq (AG)^+$

□ Means  $R = \{A, B, C, G, H, I\}$ , all attributes of R Contained in  $AG^+$

□ Therefore  $AG \rightarrow R$

□ Therefore AG is Super key

....Step-by-step approach to Find  $AG^+$

At the end of 1<sup>st</sup> While loop result= {AGBCHI}  
So when 2<sup>nd</sup> While loop starts result= {AGBCHI}

1. While loop (2<sup>nd</sup> While loop)  
2. For Loop  
1. take  $A \rightarrow B$   
 $A \subseteq$  result  
result = result  $\cup$  B =={AGBCHI}
2. take  $A \rightarrow C$   
 $A \subseteq$  result  
result = result  $\cup$  C =={AGBCHI}
3. Take  $CG \rightarrow H$   
 $CG \subseteq$  result  
result = result  $\cup$  H =={AGBCHI}
4. Take  $CG \rightarrow I$   
 $CG \subseteq$  result  
result = result  $\cup$  I =={AGBCHI}
5. Take  $B \rightarrow H$   
 $B \subseteq$  result  
result = result  $\cup$  H =={AGBCHI}

End of For Loop  
Result from While loop 1 and While loop 2 are same , there is no change in result , therefore exit While loop

$AG^+ = \{AGBCHI\}$   $AG \rightarrow R$

*Reference: slide 21*

- $K$  is a **super key** for relation schema  $R$  if and only if  $K \rightarrow R$
- $K$  is a **candidate key (minimal Super Key)** for  $R$  if and only if
  - $K \rightarrow R$  (means  $K$  is Super key), and
  - for no  $\alpha \subset K$ ,  $\alpha \rightarrow R$

# Step-by-step approach to Check AG is Candidate Key

## IS AG Candidate key ?

We know AG is Super key.

Check further, is there any subset of AG is Super Key?

**Subsets of AG** are A & G

Check is  $A \rightarrow R$  or  $G \rightarrow R$  ?

If any one subset is Super Key then AG is not Candidate key, but only super key.

If none of subsets are Super key then AG is Candidate Key.

Find  $A^+$  &  $G^+$      $A^+ = \{ A, B, C, H \}$   $A \not\rightarrow R$  , Therefore A is Not Super Key

$G^+ = \{ G \}$   $G \not\rightarrow R$  , Therefore G is Not Super Key

None of subsets of AG are Super Keys –

Therefore AG is Candidate Key (Minimal Super Key)

Reference: slide 21

- K is a super key for relation schema R if and only if  $K \rightarrow R$
- K is a candidate key (minimal Super Key) for R if and only if
  - $K \rightarrow R$  (means K is Super key), and
  - for no  $\alpha \subset K$ ,  $\alpha \rightarrow R$

## 2.Example for Testing functional dependencies

To check if a functional dependency  $\alpha \rightarrow \beta$  holds (or, in other words, is it in  $F^+$ ), just check if  $\beta \subseteq \alpha^+$ . If  $\beta \not\subseteq \alpha^+$  then  $\alpha \not\rightarrow \beta$

$$R = (A, B, C, G, H, I)$$

$$F = \{ A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H \}$$

Is  $CG \rightarrow A$  functional dependency holds ?

Solution:

- Find  $CG^+$
- IF  $A \in CG^+$  Then  
 $CG \rightarrow A$  holds  
ELSE  
 $CG \not\rightarrow A$  (means functional dependency do not hold)

Exercise:

Is $AC \rightarrow H$ holds ?	YES
Is $AG \rightarrow I$ holds ?	YES
Is $AI \rightarrow G$ holds ?	NO

# Exercises

- Consider a relation
  - gradeInfo (rollNo, studName, course, grade)
- Suppose the following FDs hold:
  - $\text{rollNo}, \text{course} \rightarrow \text{grade}$ ;
  - $\text{studName}, \text{course} \rightarrow \text{grade}$  ;
  - $\text{rollNo} \rightarrow \text{studName}$ ;
  - $\text{studName} \rightarrow \text{rollNo}$
- Is this a candidate Key ( $\text{studName}, \text{course}$ ) ?

# Exercises

- Consider a Schema  $R = (A, B, C, D, E)$
- FDs  $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow A, AB \rightarrow E, BD \rightarrow A\}$
- IS  $AC \rightarrow B$  holds ?
- IS  $C \rightarrow E$  holds ?
- IS  $AE \rightarrow D$  holds ?

### 3. \*Example for Computing F<sup>+</sup>

For each  $\gamma \subseteq R$ , we find the closure  $\gamma^+$ , and for each  $S \subseteq \gamma^+$ , we output a functional dependency  $\gamma \rightarrow S$ .

$$R = (A, B, C)$$

$$F = \{ A \rightarrow B, B \rightarrow C \}$$

All the **subsets** of R are -A,B,C,AB,AC,BC,ABC

Take one subset from above set say -A and find A<sup>+</sup>

$$A^+ = \{A, B, C\}$$

*Subsets of A<sup>+</sup> are - A,B,C,AB,AC,BC,ABC*

Therefore following functional dependencies hold

$$A \rightarrow B, A \rightarrow C, A \rightarrow AB, A \rightarrow AC, A \rightarrow BC, A \rightarrow ABC. \quad \text{----(1)}$$

Similarly , take next subset say B and Find B<sup>+</sup>

$$B^+ = \{B, C\}$$

*Subsets of B<sup>+</sup> are - B,C,BC*

Therefore following functional dependencies hold

$$B \rightarrow C, B \rightarrow BC \quad \text{----(2)}$$

Take subset C , Find C<sup>+</sup>

$$C^+ = \{C\}$$

Therefore C → C (similarly A → A and B → B holds ) holds ----(3)

# ....Example for Computing $F^+$

**Take AB**

$$AB^+ = \{A, B, C\}$$

Subsets of  $AB^+$  are - A, B, C, AB, AC, BC, ABC

Therefore following functional dependencies hold

$$AB \rightarrow B, AB \rightarrow C, AB \rightarrow AB, AB \rightarrow AC, AB \rightarrow BC, AB \rightarrow ABC \text{ ----(4)}$$

**Take BC**

$$BC^+ = \{B, C\}$$

Subsets of  $BC^+$  are - B, C, BC

Therefore following functional dependencies hold

$$BC \rightarrow B, BC \rightarrow C, BC \rightarrow BC \text{ ---- (5)}$$

**Take AC**

$$AC^+ = \{A, B, C\}$$

Subsets of  $AC^+$  are - A, B, C, AB, AC, BC, ABC

Therefore following functional dependencies hold

$$AC \rightarrow B, AC \rightarrow C, AC \rightarrow AB, AC \rightarrow AC, AC \rightarrow BC, AC \rightarrow ABC \text{ ----(6)}$$

# ....Example for Computing $F^+$

Take ABC

$ABC^+ = \{A, B, C\}$

Subsets of  $ABC^+$  are - A, B, C, AB, AC, BC, ABC

Therefore following functional dependencies hold

$ABC \rightarrow B$ ,  $ABC \rightarrow C$ ,  $ABC \rightarrow AB$ ,  $ABC \rightarrow AC$ ,  $ABC \rightarrow BC$ ,  $ABC \rightarrow ABC$ . ----(7)

From (1) (2) (3) (4) (5) (6) (7)

by eliminating duplicates we can get all functional dependencies set

$$F^+ = \{ A \rightarrow A, B \rightarrow B, C \rightarrow C, A \rightarrow B, A \rightarrow C, A \rightarrow AB, A \rightarrow AC, A \rightarrow BC, A \rightarrow ABC, B \rightarrow C, B \rightarrow BC, AB \rightarrow B, AB \rightarrow C, AB \rightarrow AB, AB \rightarrow AC, AB \rightarrow BC, AB \rightarrow ABC, AC \rightarrow B, AC \rightarrow C, AC \rightarrow AB, AC \rightarrow AC, AC \rightarrow BC, AC \rightarrow ABC, ABC \rightarrow B, ABC \rightarrow C, ABC \rightarrow AB, ABC \rightarrow AC, ABC \rightarrow BC, ABC \rightarrow ABC \}$$

# Canonical Cover

- Sets of functional dependencies may have redundant dependencies that can be inferred from the others
  - For example:  $A \rightarrow C$  is redundant in:  $\{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$
  - Parts of a functional dependency may be redundant
    - ▶ E.g.: on RHS:  $\{A \rightarrow B, B \rightarrow C, A \rightarrow CD\}$  can be simplified to
$$\{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$
    - ▶ E.g.: on LHS:  $\{A \rightarrow B, B \rightarrow C, AC \rightarrow D\}$  can be simplified to
$$\{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$
- Intuitively, a canonical cover of F is a “minimal” set of functional dependencies equivalent to F, having no redundant dependencies or redundant parts of dependencies

# Extraneous Attributes

An attribute of a functional dependency is said to be **extraneous** if we can remove it without changing the closure of the set of functional dependencies.

## Formal Definition for **extraneous** Attributes.

- Consider a set  $F$  of functional dependencies and the functional dependency  $\alpha \rightarrow \beta$  in  $F$ .
  - Attribute  $A$  is **extraneous** in  $\alpha$  if  $A \in \alpha$  (an attribute  $A$  on the left side of  $\alpha \rightarrow \beta$ )  
and  $F$  logically implies  $(F - \{\alpha \rightarrow \beta\}) \cup \{(\alpha - A) \rightarrow \beta\}$ .
  - Attribute  $A$  is **extraneous** in  $\beta$  if  $A \in \beta$  (an attribute  $A$  on the right side of  $\alpha \rightarrow \beta$ ) and the set of functional dependencies  $(F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$  logically implies  $F$ .

# Extraneous Attributes

- Example: Given  $F = \{A \rightarrow C, AB \rightarrow C\}$ 
  - $B$  is extraneous in  $AB \rightarrow C$
  - Means  $B$  is *left Extraneous* in  $AB \rightarrow C$ , therefore  $AB \rightarrow C$  can be replaced by  $A \rightarrow C$
  
- Example: Given  $F = \{A \rightarrow C, AB \rightarrow CD\}$ 
  - $C$  is extraneous in  $AB \rightarrow CD$
  - Means  $C$  is *right extraneous* in  $AB \rightarrow CD$ , therefore  $AB \rightarrow CD$  can be replaced by  $AB \rightarrow D$

# Testing if an Attribute is Extraneous

- Consider a set  $F$  of functional dependencies and the functional dependency  $\alpha \rightarrow \beta$  in  $F$ .
- To test if attribute  $A \in \alpha$  is extraneous in  $\alpha$  (Left extraneous)
  1. compute  $(\{\alpha\} - A)^+$  using the dependencies in  $F$
  2. check that  $(\{\alpha\} - A)^+$  contains  $\beta$ ; if it does,  $A$  is extraneous in  $\alpha$
- To test if attribute  $A \in \beta$  is extraneous in  $\beta$  (Right extraneous)
  1. compute  $\alpha^+$  using only the dependencies in  $F'$ 
$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\},$$
  2. check that  $\alpha^+$  contains  $A$ ; if it does,  $A$  is extraneous in  $\beta$

# Canonical Cover

- A **canonical cover** for  $F$  is a set of dependencies  $F_c$  such that
  - $F$  logically implies all dependencies in  $F_c$ , and
  - $F_c$  logically implies all dependencies in  $F$ , and
  - No functional dependency in  $F_c$  contains an **extraneous attribute**, and
  - Each **left side** of functional dependency in  $F_c$  is **unique**.

# Canonical Cover: Algorithm

- To compute a canonical cover for  $F$ :

- $F_c = F$

- repeat**

- Use the union rule to replace any dependencies in  $F_c$

- $\alpha_1 \rightarrow \beta_1$  and  $\alpha_1 \rightarrow \beta_2$  with  $\alpha_1 \rightarrow \beta_1 \beta_2$

- Find a functional dependency  $\alpha \rightarrow \beta$  in  $F_c$  with an extraneous attribute either in  $\alpha$  or in  $\beta$

- /\* Note: test for extraneous attributes done using  $F_c$ , not  $F$  \*/

- If an extraneous attribute is found, delete it from  $\alpha \rightarrow \beta$

- until**  $F$  does not change

- **Note:** Union rule may become applicable after some extraneous attributes have been deleted, so it has to be re-applied

# Computing a Canonical Cover

- $R = (A, B, C)$   
 $F = \{A \rightarrow BC,$   
     $B \rightarrow C,$   
     $A \rightarrow B,$   
     $AB \rightarrow C\}$
- Combine  $A \rightarrow BC$  and  $A \rightarrow B$  into  $A \rightarrow BC$ 
  - Set is now  $\{A \rightarrow BC, B \rightarrow C, AB \rightarrow C\}$  **Applying UNION Rule.**
- **A is extraneous** in  $AB \rightarrow C$  **Checking Left extraneous**
  - Check if the result **of deleting A from  $AB \rightarrow C$**  is implied by the other dependencies
    - ▶ **Yes:** in fact,  $B \rightarrow C$  is already present!
  - Set is now  $\{A \rightarrow BC, B \rightarrow C\}$
- **C is extraneous** in  $A \rightarrow BC$  **Checking Right extraneous**
  - Check if  $A \rightarrow C$  is logically implied by  $A \rightarrow B$  and the other dependencies
    - ▶ **Yes:** using transitivity on  $A \rightarrow B$  and  $B \rightarrow C$ .
      - Can use attribute closure of A in more complex cases
- The canonical cover is:

$$F_c = \{ A \rightarrow B, B \rightarrow C \}$$

# Exercise-1

- Consider a Schema  $R = (A, B, C, D, E)$
- FDs  $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$
- Find Canonical cover.

To test if attribute  $A \in \alpha$  is extraneous in  $\alpha$  (Left extraneous)

1. compute  $(\{\alpha\} - A)^+$  using the dependencies in  $F$
2. check that  $(\{\alpha\} - A)^+$  contains  $\beta$ ; if it does,  $A$  is extraneous in  $\alpha$

To test if attribute  $A \in \beta$  is extraneous in  $\beta$  (Right extraneous)

1. compute  $\alpha^+$  using only the dependencies in  $F'$   
$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\},$$
2. check that  $\alpha^+$  contains  $A$ ; if it does,  $A$  is extraneous in  $\beta$

$F_c = F$   
repeat

Use the union rule  
to replace any dependencies  
in  $F_c$

$\alpha_1 \rightarrow \beta_1$   
and  $\alpha_1 \rightarrow \beta_2$  with  $\alpha_1 \rightarrow \beta_1 \beta_2$

Find a functional  
dependency  $\alpha \rightarrow \beta$  in  $F_c$  with  
an

extraneous attribute  
either in  $\alpha$  or in  $\beta$

/\* Note: test for  
extraneous attributes done  
using  $F_c$ , not  $F$  \*/

If an extraneous  
attribute is found, delete it  
from  $\alpha \rightarrow \beta$   
until  $F$  does not change

Consider a Schema  $R = (A, B, C, D, E)$

FDs  $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

Find Canonical cover.

$R(A, B, C, D, E)$

$F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$   
Remove Extraneous attributes from FD  $A \rightarrow BCD$ ,  $BC \rightarrow DE$

(i)  $A \rightarrow BCD$

check for right extraneous

(a) Assume  $B$  is extraneous

$\therefore F' = \{ A \rightarrow CD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

Find  $A^+$  using  $F'$

$\therefore A^+ = \{ ACD \}$

$B \notin A^+ \therefore B$  is not extraneous

(b) Assume  $C$  is extraneous

$F' = \{ A \rightarrow BD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

Find  $A^+$  using  $F'$

$A^+ = \{ ABD \}$

$C \notin A^+ \therefore C$  is not extraneous

### Exercise-1

$F_r = F$   
repeat  
use the rules  
to replace any dependency in  $F_r$   
until  $A_1 \rightarrow B_1$  with  $A_1 \rightarrow B_1$   
Find a function dependency  $U \rightarrow P$  in  $F_r$   
and  $A_1 \rightarrow B_1$  with  $A_1 \rightarrow P$   
either in or in  $F_r$   
extrenous attribute  
/\* Note: test for extrenous attribute by elimination using  $F_r$  and  $F''$   
If an extrenous attribute is found, delete from  $a \rightarrow P$   
until  $F$  does not change

Union  
Rule can't  
be applied

# Consider a Schema $R = (A, B, C, D, E)$

FDs  $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

Find Canonical cover.

(c). Assume  $D$  is extraneous  
 $F' = \{ A \rightarrow BC, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

$A^+$  using  $F'$   
 $A^+ = \{ ABCDE \}$

$D \notin A^+ \therefore D$  is extraneous

$A \rightarrow BCD$  can be replaced by  $\overline{A \rightarrow BC}$   
 $F = \{ A \rightarrow BC, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

(ii)  $BC \rightarrow DE$

Check for left extraneous

(a) Assume  $B$  is extraneous in  $BC$

$$(\because BC - B) = \emptyset$$

$C^+$  using  $F$ ,  $C^+ = \{ C \}$

$D \not\in C^+ \therefore B$  is not extraneous

## Exercise-1

<input type="checkbox"/> Consider a Schema $R = (A, B, C, D, E)$	Use the update rule to replace any dependency in $F_r$ with $A_1 \rightarrow B_1$ with $A_1$ .
<input type="checkbox"/> FDs $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$	and $A_1 \rightarrow B_1$ with $A_1$ . Find a functional dependency $A \rightarrow B$ in $F$ and $A_1 \rightarrow B_1$ with $A_1$ .
<input type="checkbox"/> Find Canonical cover.	Find a functional dependency $A \rightarrow B$ in $F$ and $A_1 \rightarrow B_1$ with $A_1$ .
To test if attribute $A \in \alpha$ is extraneous in $\alpha$ [Left extraneous]	either in or or in $\beta$ .
1. compute $(\alpha - A)^+$ using the dependencies in $F$	/* Note: test for extraneous attribute in $\alpha$ using $F_r$ and $F$ */
2. check that $(\alpha - A)^+$ contains $\beta$ . If it does, $A$ is extraneous in $\alpha$ .	If an extraneous attribute is found, delete from $\alpha \rightarrow \beta$ .
To test if attribute $A \in \alpha$ is extraneous in $\beta$ [Right extraneous]	if an extraneous attribute is found, delete from $\alpha \rightarrow \beta$ .
1. compute $\alpha^+$ using only the dependencies in $F$	and $F_r$ does not change.
2. check that $\alpha^+$ contains $\beta$ . If it does, $A$ is extraneous in $\beta$ .	

Consider a Schema  $R = (A, B, C, D, E)$

FDs  $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$

Find Canonical cover.

(b) Assume C is extraneous in BC

$\therefore BC - C = B \therefore B^+ \text{ using } F$

$$B^+ = \{ B, D, A, C, D, E \}$$

$DE \in B^+ \therefore C \text{ is extraneous}$

replace  $BC \rightarrow DE$  as  $B \rightarrow DE$

$$F = \{ A \rightarrow BC, B \rightarrow DE, B \rightarrow D, D \rightarrow A \}$$

(iii)  $B \rightarrow DE$

check for right extraneous

(a) Assume D is extraneous in DE

$$F' = \{ A \rightarrow BC, B \rightarrow E, B \rightarrow D, D \rightarrow A \}$$

$B^+$  using  $F'$

$$B^+ = \{ B, E, D, A, C \}$$

$D \in B^+ \therefore D \text{ is extraneous}$

(\*) replace  $B \rightarrow DE$  using  $B \rightarrow E$

$$F_c = \{ A \rightarrow BC, B \rightarrow E, B \rightarrow D, D \rightarrow A \}$$

$$F_{c'} = \{ A \rightarrow BC, B \rightarrow DE, D \rightarrow A \}$$

### Exercise-1

<input type="checkbox"/> Consider a Schema $R = (A, B, C, D, E)$	Use the union to replace any dependency in $F$ .
<input type="checkbox"/> FDs $F = \{ A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A \}$	and $A_1 \rightarrow B_1$ with $A_1$ in $F$ .
<input type="checkbox"/> Find Canonical cover.	Find a functional dependency $\alpha \rightarrow \beta$ in $F$ and $\alpha_1 \rightarrow \beta_1$ with $\alpha_1$ in $F$ .
To test if attribute $A \in \alpha$ is extraneous in $\alpha$ [Left extraneous]	either in or in $\beta$ .
1. compute $(\alpha - A)^+$ using the dependencies in $F$	/* Note: test for extraneous attribute using $F$ , not $F'$ .
2. check that $(\alpha - A)^+ \neq \alpha$ . If it does, $A$ is extraneous in $\alpha$ .	If an extraneous attribute is found, delete from $\alpha \rightarrow \beta$ .
To test if attribute $A \in \beta$ is extraneous in $\beta$ [Right extraneous]	repeat.
1. compute $\alpha^+$ using only the dependencies in $F$	Use the union to replace any dependency in $F$ .
$F = (F - (\alpha \rightarrow \beta)) \cup (\alpha \rightarrow \beta - A)$ ,	and $A_1 \rightarrow B_1$ with $A_1$ in $F$ .
2. check that $\alpha^+ \neq \alpha$ . If it does, $A$ is extraneous in $\beta$ .	Find a functional dependency $\alpha \rightarrow \beta$ in $F$ and $\alpha_1 \rightarrow \beta_1$ with $\alpha_1$ in $F$ .

# Exercise-2

- Consider a Schema  $R = (A, B, C)$
- FDs  $F = \{ A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C \}$
- Find Canonical cover.

To test if attribute  $A \in \alpha$  is extraneous in  $\alpha$  (Left extraneous)

1. compute  $(\{\alpha\} - A)^+$  using the dependencies in  $F$
2. check that  $(\{\alpha\} - A)^+$  contains  $\beta$ ; if it does,  $A$  is extraneous in  $\alpha$

To test if attribute  $A \in \beta$  is extraneous in  $\beta$  (Right extraneous)

1. compute  $\alpha^+$  using only the dependencies in  $F'$   
$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\},$$
2. check that  $\alpha^+$  contains  $A$ ; if it does,  $A$  is extraneous in  $\beta$

$F_c = F$   
repeat

Use the union rule  
to replace any dependencies  
in  $F_c$

$\alpha_1 \rightarrow \beta_1$   
and  $\alpha_1 \rightarrow \beta_2$  with  $\alpha_1 \rightarrow \beta_1 \beta_2$

Find a functional  
dependency  $\alpha \rightarrow \beta$  in  $F_c$  with  
an

extraneous attribute  
either in  $\alpha$  or in  $\beta$

/\* Note: test for  
extraneous attributes done  
using  $F_c$ , not  $F$  \*/

If an extraneous  
attribute is found, delete it  
from  $\alpha \rightarrow \beta$   
until  $F$  does not change

## Exercises

<input type="checkbox"/> Consider a Schema $R = (A, B, C, D, E)$
<input type="checkbox"/> FDs $F = \{A \rightarrow BC, BC \rightarrow D, B \rightarrow D, D \rightarrow E\}$
<input type="checkbox"/> Remove extraneous attributes from both sides.
<input type="checkbox"/> $A \rightarrow BCD$ . To test if attribute $A \rightarrow \alpha$ is extraneous in $\alpha$ (Left side of $A \rightarrow \alpha$ )
1. compute $(\alpha - A)^+$ using the dependencies in $F$
2. check that $(\alpha - A)^+ \rightarrow A$ contains $B$ . If it does, $A$ is extraneous in $\alpha$ .
<input type="checkbox"/> $BC \rightarrow DE$ . To test if attribute $A \rightarrow \beta$ is extraneous in $\beta$ (Right side of $A \rightarrow \beta$ )
1. compute $\alpha^+$ using only the dependencies in $F$
2. check that $\alpha^+ \rightarrow A$ contains $B$ . If it does, $A$ is extraneous in $\beta$ .

Consider a Schema  $R = (A, B, C)$

FDs  $F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$

Find Canonical cover

$R(A, B, C) \cancel{\text{is}}$

$F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$

$F_c = F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$

Apply union rule

$F_c = \{A \rightarrow BC, B \rightarrow C, AB \rightarrow C\}$

check for extraneous attributes.

(i)  $A \rightarrow BC$ , right extraneous

(a) Assume  $B$  is extraneous

$F' = \{A \rightarrow C, B \rightarrow C, AB \rightarrow C\}$

Find  $A^+$  using  $F'$

$A^+ = \{AC\} \quad B \notin A^+ \therefore B$  is NOT Extraneous

Consider a Schema $R = (A, B, C, D, E)$
FDs $F = (A \rightarrow BCD, BC \rightarrow DE, B \rightarrow D, D \rightarrow A)$
Remove extraneous attributes from both sides.
$A \rightarrow BCD$
$BC \rightarrow DE$
To test if attribute $A \rightarrow B$ is extraneous in $\{A\}$ (left side)
1. compute $\{B\} - A^+$ using the dependencies in $F$
2. check that $\{B\} - A^+$ contains $B$ . If it does, $A$ is extraneous in $\{A\}$ .
To test if attribute $A \rightarrow B$ is extraneous in $\{B\}$ (right side)
1. compute $\{A\}^+ - B$ using only the dependencies in $F$
$F + (B \rightarrow D) \rightarrow \{B\} \cup \{(A \rightarrow D) - A\}$
2. check that $\{A\}^+ - B$ contains $A$ . If it does, $A$ is extraneous in $\{B\}$ .

# Consider a Schema $R = (A, B, C)$

FDs  $F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$

Find Canonical cover

(b) Assume  $C$  is extraneous

$$F' = \{A \rightarrow B, B \rightarrow C, AB \rightarrow C\}$$

Find  $A^+$  using  $F'$

$$A^+ = \{ABC\}$$

$C \in A^+ \therefore C$  is extraneous

replace  $A \rightarrow BC$  using  $A \rightarrow B$

$$\therefore F_c = \{A \rightarrow B, B \rightarrow C, AB \rightarrow C\}$$

(ii)  $AB \rightarrow C$ , check for left extraneous.

(a) Assume  $A$  is extraneous

$AB - A = B$ , Find  $B^+$  using  $F_c$

$$B^+ = \{BC\}$$

$C \in B^+ \therefore A$  is extraneous

∴ replace  $AB \rightarrow C$  using  $B \rightarrow C$

$$\therefore F_c = \{A \rightarrow B, B \rightarrow C, B \rightarrow C\}$$

$$F_c = \{A \rightarrow B, B \rightarrow C\}$$

# Lossless-join Decomposition

- For the case of  $R = (R_1, R_2)$ , we require that for all possible relations  $r$  on schema  $R$

$$r = \prod_{R_1}(r) \bowtie \prod_{R_2}(r)$$

- A decomposition of  $R$  into  $R_1$  and  $R_2$  is lossless join if at least one of the following dependencies is in  $F^+$ :
  - $R_1 \cap R_2 \rightarrow R_1$
  - $R_1 \cap R_2 \rightarrow R_2$

This implies common attribute of R1 and R2 must be super key of  $R_1$  or  $R_2$

- The above functional dependencies are a sufficient condition for lossless join decomposition; the dependencies are a necessary condition only if all constraints are functional dependencies

# Example

- $R = (A, B, C)$   
 $F = \{A \rightarrow B, B \rightarrow C\}$ 
  - Can be decomposed in two different ways

- $R_1 = (A, B), R_2 = (B, C)$ 
  - Lossless-join decomposition:

$$R_1 \cap R_2 = \{B\} \text{ and } B \rightarrow BC \text{ i.e. } B \rightarrow R_2$$

- Dependency preserving
- $R_1 = (A, B), R_2 = (A, C)$ 
  - Lossless-join decomposition:

$$R_1 \cap R_2 = \{A\} \text{ and } A \rightarrow AB$$

- Not dependency preserving  
(cannot check  $B \rightarrow C$  without computing  $R_1 \bowtie R_2$ )

# Decomposition: EXAMPLES

$R(A,B,C,D,E)$

$F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Assume  $R$  is Decomposed into  $R_1$  and  $R_2$  as below-

- $R_1(B,C,D) \quad R_2(A,C,E)$

Is this a Lossless Decomposition ?Check it.

- $R_1(B,E,D) \quad R_2(A,C,E)$

Is this a Lossless Decomposition ?Check it.

- $R_1(A,B,E,C) \quad R_2(D,E)$

Is this a Lossless Decomposition ?Check it.

- $R_1(B,C,D) \quad R_2(A,D,E)$

Is this a Lossless Decomposition ?Check it.

$R_1(B,C,D) R_2(A,C,E)$

Is this a Lossless  
Decomposition ? Check it.

Solve :-

$R(A,B,C,D,E) \quad F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

①  $R_1(BCD) R_2(ACE)$

Is it lossless Decomposition

$$R_1 \cap R_2 = \{BCD\} \cap \{ACE\}$$

$$= C$$

To check  $C \rightarrow R_1$  or  $C \rightarrow R_2$

find  $C^+$  using F

$$\therefore C^+ = \{CEA\} \text{ means } C \rightarrow ACE$$

$$C \rightarrow R_2$$

$\therefore$  Lossless Decomposition

$R_1(B, E, D)$   $R_2(A, C, E)$

Is this a Lossless

Decomposition ? Check it.

②  $R_1(BED)$   $R_2(ACE)$

Is it lossless Decomposition

$$R_1 \cap R_2 = \{BED\} \cap \{ACE\}$$

$$= \emptyset$$

To check  $E \rightarrow R_1$  or  $E \rightarrow R_2$

find  $E^+$

$$E^+ = \{EA\}$$

$$E \nrightarrow R_1 \text{ & } E \nrightarrow R_2$$

$\therefore$  Lossy Decomposition

# Dependency Preservation

- Let  $F_i$  be the set of dependencies  $F^+$  that **include only attributes in  $R_i$** .
  - ▶ A decomposition is **dependency preserving**, if
$$(F_1 \cup F_2 \cup \dots \cup F_n)^+ = F^+$$
  - ▶ If it is not, then checking updates for violation of functional dependencies may require computing joins, which is **expensive**.

# Testing for Dependency Preservation

- To check if a dependency  $\alpha \rightarrow \beta$  is preserved in a decomposition of  $R$  into  $R_1, R_2, \dots, R_n$  we apply the following test (with attribute closure done with respect to  $F$ )

*result* =  $\alpha$

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{*result*} \cap R_i)^+ \cap R_i$$

$$\text{*result*} = \text{*result*} \cup t$$

- If *result* contains all attributes in  $\beta$ , then the functional dependency  $\alpha \rightarrow \beta$  is preserved.
- We apply the test on all dependencies in  $F$  to check if a decomposition is dependency preserving
- This procedure takes polynomial time, instead of the exponential time required to compute  $F^+$  and  $(F_1 \cup F_2 \cup \dots \cup F_n)^+$

# Dependency Preservation-Example

- Given the following:

$R(A,B,C,D,E)$

$F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Assume  $R$  is Decomposed into  $R_1$  and  $R_2$  as below-

$R_1(B,C,D) \quad R_2(A,C,E)$

- Is this decomposition dependency preserving?

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $AB \rightarrow C$  Preserved?....

**Result**= $AB$

For **Result**  $\cap R_1 = AB \cap BCD = B$

$$\{B\}^+ = BD$$

$$\{B\}^+ \cap R_1 = BD \cap BCD = BD$$

Update **Result** =  $AB \cup BD = ABD$ ,  
**continue to next for loop**

*result* =  $\alpha$

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{result} \cap R_i)^+ \cap R_i$$

$$\text{result} = \text{result} \cup t$$

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $AB \rightarrow C$  Preserved? .....

Result=ABD

For Result  $\cap R_2 = ABD \cap ACE = A$

$$\{A\}^+ = A$$

$$\{A\}^+ \cap R_2 = A \cap ACE = A$$

Update Result, Result is still ABD

Since Result changed,  
**continue to next while loop**

*result* =  $\alpha$

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{result} \cap R_i)^+ \cap R_i$$

$$\text{result} = \text{result} \cup t$$

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $AB \rightarrow C$  Preserved? .....

Result=ABD

For Result  $\cap R1 = ABD \cap BCD = BD$

$$\{BD\}^+ = BD$$

$$\{BD\}^+ \cap R1 = BD \cap BCD = BD$$

Update Result = ABD  $\cup$  BD = ABD,

Continue to next for loop

*result = α*

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\textcolor{red}{\textit{result}} \cap R_i)^+ \cap R_i$$

$$\textcolor{red}{\textit{result}} = \textcolor{blue}{\textit{result}} \cup t$$

# Example

$$R(A,B,C,D,E) \quad F = \{ AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A \}$$

Decomposition: R1(B,C,D) R2(A,C,E)

List the functional dependencies which are not preserved?

# Result=ABD      Is AB→C Preserved?

For **Result**  $\cap$  R<sub>2</sub> = ABD  $\cap$  ACE = A

$$\{A\}^+ = A$$

$$\{A\}^+ \cap R_2 = A \cap ACE = A$$

# Update Result, Result is still ABD

**Since Result hasn't changed, we stop here.**

# Check, Is Result Contains C ? NO

Therefore we can conclude

# **AB $\rightarrow$ C is not preserved.**

Similarly check other functional dependencies.

# Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $B \rightarrow D$  Preserved ?.....

Result=B

For Result  $\cap R1 = B \cap BCD = B$

$$\{B\}^+ = BD$$

$$\{B\}^+ \cap R1 = BD \cap BCD = BD$$

Update Result =  $B \cup BD = BD$

Continue to next for loop

*result =  $\alpha$*

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{result} \cap R_i)^+ \cap R_i$$

$$\text{result} = \text{result} \cup t$$

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

**Is  $B \rightarrow D$  Preserved ?**

**Result**=BD

For **Result**  $\cap R2 = BD \cap ACE = \Phi$

$$\{\Phi\}^+ = \Phi$$

$$\{\Phi\}^+ \cap R2 = \Phi \cap ACE = \Phi$$

Update **Result** =  $B \cup \Phi = BD$

**Continue to next while loop.**

**Result unchanged. Stop**

Since D belongs to **Result**,

**$B \rightarrow D$  is preserved.**

*result* =  $\alpha$

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{i}\text{result} \cap R_i)^+ \cap R_i$$

$$\text{i}\text{result} = \text{i}\text{result} \cup t$$

# Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $C \rightarrow E$  Preserved ?....

**Result=C**

For **Result**  $\cap R1 = C \cap BCD = C$

$$\{C\}^+ = CEA$$

$$\{C\}^+ \cap R1 = CEA \cap BCD = C$$

Update **Result** =  $C \cup C = C$

**Continue to next for loop**

*result =  $\alpha$*

**while** (changes to *result*) do

**for each**  $R_i$  in the decomposition

$$t = (\text{result} \cap R_i)^+ \cap R_i$$

$$\text{result} = \text{result} \cup t$$

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $C \rightarrow E$  Preserved ?

**Result=C**

For  $\text{Result} \cap R2 = C \cap ACE = C$

$$\{C\}^+ = CEA$$

$$\{C\}^+ \cap R2 = CEA \cap ACE = ACE$$

Update  $\text{Result} = C \cup ACE = ACE$

In next while loop result remains unchanged hence stop.

Since E is in  $\text{Result}$  i.e. ACE,

**$C \rightarrow E$  is preserved.**

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $E \rightarrow A$  Preserved ?.....

**Result**=E

For **Result**  $\cap R1 = E \cap BCD = \Phi$        $\{\Phi\}^+ = \Phi$

$\{\Phi\}^+ \cap R1 = \Phi \cap BCD = \Phi$

Update **Result** = E  $\cup \Phi = E$

**Continue to next for loop**

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

Is  $E \rightarrow A$  Preserved ?

**Result**=E

For **Result**  $\cap R_2 = E \cap ACE = E$

$$\{E\}^+ = EA$$

$$\{E\}^+ \cap R_1 = EA \cap ACE = EA$$

Update **Result** =  $E \cup EA = EA$

In next while loop result remains unchanged hence stop.

Since A belongs to **Result** i.e. EA,

**$E \rightarrow A$  is preserved.**

## Example continued...

$R(A,B,C,D,E)$        $F = \{AB \rightarrow C, C \rightarrow E, B \rightarrow D, E \rightarrow A\}$

Decomposition:       $R1(B,C,D)$        $R2(A,C,E)$

**AB → C is not preserved.**

**C → E is preserved.**

**B → D is preserved**

**E → A is preserved.**

As one of the functional dependencies  $AB \rightarrow C$  is not preserved,  
Therefore decomposition R1,R2 is not a dependency preserving  
decomposition.

# Normalization

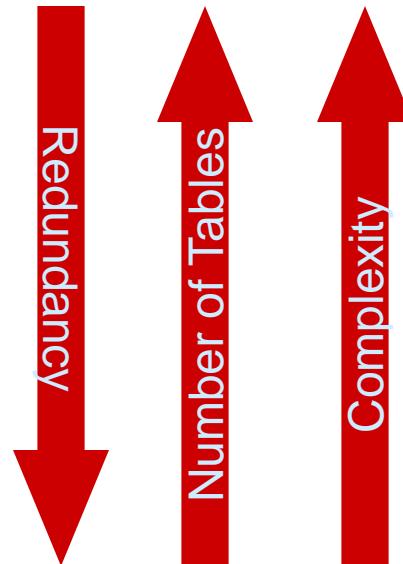
- Normalization is the process of modifying a relation schema based on its Functional Dependencies and primary keys so that it meets certain rules called normal forms.
- It is conducted by evaluating a relation schema to **check whether it satisfies particular rules and if not**, then decomposing the schema into set of smaller relation schemas that do not violate those rules
- Main purpose is to **eliminate data redundancy** and **avoid data update anomalies**.
- A relation is said to be in a **particular normal form** if it **satisfies certain specified constraints**
- Each of the normal form is **stricter than its predecessors**.

# Normalization

- A properly normalized database should have the following characteristics
  - **Atomic** values in each fields
  - Absence of **redundancy**.
  - Minimal use of **null values**.
  - Minimal **loss of information**.

# Levels of Normalization

- Levels of normalization based on the amount of redundancy in the database.
- Various levels of normalization are:
  - First Normal Form (1NF)
  - Second Normal Form (2NF)
  - Third Normal Form (3NF)
  - Boyce-Codd Normal Form (BCNF)
  - Fourth Normal Form (4NF)
  - Fifth Normal Form (5NF)
  - Domain Key Normal Form (DKNF)



Most databases should be 3NF or BCNF in order to avoid the database anomalies.

# First Normal Form

- A relational schema R is in **first normal form** if the domains of all attributes of R are atomic
- Domain is **atomic** if its elements are considered to be indivisible units
  - Examples of **non-atomic** domains:
    - ▶ Set of names, composite attributes
    - ▶ Identification numbers like “**CS101**” that can be broken up into parts-**Dept & RollNo**
- Non-atomic values complicate storage and encourage redundant (repeated) storage of data
  - **Example:** Set of accounts stored with each customer, and set of owners stored with each account

# First Normal Form (Cont'd)

- Atomicity is actually a property of how the elements of the domain are used.
  - Example: Strings would normally be considered indivisible
  - Suppose that students are given roll numbers which are strings of the form *CS0012* or *EE1127*
    - ▶ If the first two characters are extracted to find the department, the domain of roll numbers is not atomic.
  - Doing so is a bad idea: leads to **encoding of information in application program** rather than in the database.

# Example

Non-Atomic Values

**TABLE\_PRODUCT**

Product ID	Color	Price
1	red, green	15.99
2	yellow	23.99
3	green	17.50
4	yellow, blue	9.99
5	red	29.99

# **1NF Decomposition**

- 1.** Place all items that appear in the repeating group in a new table
- 2.** Duplicate in the new table the primary key of the table from which the repeating group was extracted or vice versa.
- 3.** Designate a primary key for each new table produced.

# 1NF Decomposition

**TABLE\_PRODUCT\_PRICE**

Product ID	Price
1	15.99
2	23.99
3	17.50
4	9.99
5	29.99

**TABLE\_PRODUCT\_COLOR**

Product ID	Color
1	red
1	green
2	yellow
3	green
4	yellow
4	blue
5	red

# Second Normal Form (2NF)

For a table to be in 2NF, there are two requirements

- The database is in **first normal form**
- All **nonkey** attributes in the table must be fully functionally dependent on the entire primary key

## Functional Dependencies(Cont'd)

### Full Functional dependency:

- If A and B are attributes of a table, B is **fully functionally dependent** on A if B is functionally dependent on A, **but not on any proper subset of A.**

#### Example

Consider the example ITEMS[It\_Name, Comp\_Name, Price]

- Consider Functional Dependency  $(It\_Name, Comp\_Name) \rightarrow Price$
- Price is **Fully Functionally dependent** because-

- $It\_Name \nrightarrow Price$       Price do not Functionally dependent on none of the proper subset of  $(It\_Name, Comp\_Name)$
- $Comp\_Name \nrightarrow Price$

## Example (Not 2NF)

Scheme , Book\_author(PubId, Auld, Title, Price, AuAddress)

1. Primary Key is {PubId, Auld}
2.  $\{PubId, AulD\} \rightarrow \{Price\}$
3.  $\{PubId, AulD\} \rightarrow \{Title\}$
4.  $\{AulD\} \rightarrow \{AuAddress\}$
5. AuAddress is not determined by all key attributes
6. AuAddress functionally depends **on Auld** which is a **subset of a key**
7. Hence AuAddress partially depends on Primary Key

# 2NF - Decomposition

1. If a data item is fully functionally dependent on only a part of the primary key, move that data item and that part of the primary key to a new table.
2. If other data items are functionally dependent on the same part of the key, place them in the new table also
3. Make the partial primary key copied from the original table the primary key for the new table. Place all items that appear in the repeating group in a new table

## Example 1 (Convert to 2NF)

Old Scheme {PubId, Auld, Title ,Price, AuAddress}

New Scheme1 {PubId, Auld, Title, Price}

New Scheme 2 {Auld, AuAddress}

# 3 NF

A relation schema  $R$  is in **third normal form** with respect to a set  $F$  of functional dependencies if, for all functional dependencies in  $F^+$  of the form  $\alpha \rightarrow \beta$ , Where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , **at least one** of the following holds:

- $\alpha \rightarrow \beta$  is a **trivial functional dependency**.
- $\alpha$  is a **super key** for  $R$ .
- Each attribute  $A$  in  $\beta - \alpha$  is **contained** in a candidate key for  $R$ .

**Note** that the third condition above does not say that a single candidate key must contain all the attributes in  $\beta - \alpha$ ; **each attribute A in  $\beta - \alpha$  may be contained in a different candidate key.**

Any “**schema that satisfies BCNF also satisfies 3NF**”, since each of its functional dependencies would satisfy one of the first two alternatives. **BCNF is therefore a more restrictive normal form than is 3NF**

# Testing for 3NF

- Optimization: Need to check only FDs in  $F$ , need not check all FDs in  $F^+$ .
- Use **attribute closure** to check for each functional dependency  $\alpha \rightarrow \beta$ , if  **$\alpha$  is a super key**.
- If  **$\alpha$  is not a super key**, we have to **verify if each attribute in  $\beta - \alpha$  is contained in a candidate key of  $R$** 
  - Attributes in  $\beta - \alpha$  may be in different candidate keys of  $R$

# 3NF Example

- Relation  $\text{dept\_advisor}$ :  $\text{dept\_advisor} (s\_ID, i\_ID, \text{dept\_name})$

$$F = \{(s\_ID, \text{dept\_name}) \rightarrow i\_ID, i\_ID \rightarrow \text{dept\_name}\}$$

- Consider that, we have Two candidate keys:

- ▶  $(s\_ID, \text{dept\_name})$ , and  $(i\_ID, s\_ID)$

- $R$  is in 3NF , because

- ▶ In  $((s\_ID, \text{dept\_name}) \rightarrow i\_ID$

- $(s\_ID \text{ dept\_name})$  is a super key (satisfy 2<sup>nd</sup> Condition)

- ▶ In  $i\_ID \rightarrow \text{dept\_name} ; i\_ID$  is not super key , but

- $\text{dept\_name}$  is contained in a candidate key  $(s\_ID \text{ dept\_name})$

- » (satisfy 3<sup>rd</sup> Condition)

Refer slide for 3NF

# 3NF Decomposition Algorithm

Let  $F_c$  be a canonical cover for  $F$ ;

$i := 0$ ;

**for each** functional dependency  $\alpha \rightarrow \beta$  in  $F_c$  **do**

**begin**

$i := i + 1$ ;

$R_i := \alpha \beta$

**end**

**if** none of the schemas  $R_j$ ,  $1 \leq j \leq i$  contains a candidate key for  $R$

**then begin**

$i := i + 1$ ;

$R_i := \text{any candidate key for } R$ ;

**end**

**/\* Optionally, remove redundant relations \*/**

**repeat**

**if** any schema  $R_j$  is contained in another schema  $R_k$

**then /\* delete  $R_j$  \*/**

$R_j = R_i$ ;

$i = i - 1$ ;

**return**  $(R_1, R_2, \dots, R_i)$

**Note:** algorithm ensures:

- each relation schema  $R_i$  is in 3NF
- decomposition is dependency preserving and lossless-join

# 3NF Decomposition example

Assume  $R = (A, B, C)$

$F_c = \{A \rightarrow B, B \rightarrow C\}$ , A is candidate key (if not given, then find yourself).

$R$  is not in 3 NF and Decompose to 3 NF

Solution:

i=0

For loop

take  $A \rightarrow B$ , i=1,  $R_1=A,B$

take  $B \rightarrow C$ , i=2,  $R_2=B,C$

IF none of  $R_1, R_2$  contains Candidate key (i.e. A) is FALSE  
because A is contained in  $R_1$

Repeat

there is neither  $R_1$  contained in  $R_2$  nor  $R_2$  contained in  $R_1$   
therefore No Deletion of duplicate schema

Return  $(R_1, R_2)$

“ $R_1(A,B)$  &  $R_2(B,C)$  are decomposed 3 NF Relations”

# 3NF Decomposition: An Example

## □ Relation schema:

`cust_banker_branch = (customer_id, employee_id, branch_name, type )`

Assume **(customer\_id, employee\_id)** is the **candidate key\***

## □ The **functional dependencies** for this relation schema are:

1. `customer_id, employee_id → branch_name, type`
2. `employee_id → branch_name`
3. `customer_id, branch_name → employee_id`

## □ We first **compute a canonical cover**

- `branch_name` is extraneous in the r.h.s. of the 1<sup>st</sup> dependency
- No other attribute is extraneous, so we **get**

$$\begin{aligned} F_C = \{ & \text{customer\_id, employee\_id} \rightarrow \text{type} \\ & \text{employee\_id} \rightarrow \text{branch\_name} \\ & \text{customer\_id, branch\_name} \rightarrow \text{employee\_id} \} \end{aligned}$$

# 3NF Decomposition Example (Cont.)

- The **for** loop generates following 3NF schema:

(customer\_id, employee\_id, type )

(employee\_id, branch\_name)

(customer\_id, branch\_name, employee\_id)

- Observe that **(customer\_id, employee\_id, type )** contains a candidate key of the original schema, so no further relation schema needs be added

- At end of **for** loop, detect and delete schemas, such as-

- (employee\_id, branch\_name), which is **subset of** other schemas namely-(**customer\_id, branch\_name, employee\_id**)
  - result will not depend on the order in which FDs are considered

- The resultant simplified 3NF schema is:

R<sub>1</sub> (**customer\_id, employee\_id, type**)

R<sub>2</sub> (**customer\_id, branch\_name, employee\_id**)

**R(A,B,C,D,E,F,G,H) and F={ ABC →DE , E →BCG, F →AH }**

Is it in 3NF? Decompose into 3NF.

- Assume candidate keys are **FE** and **FBC**
- $F_c = \{ABC \rightarrow DE, E \rightarrow BCG, F \rightarrow AH\}$
- 

**R(A,B,C,D,E,F,G,H,I,J) and**

**F={ AB → C ,A → DE , B →F, F →GH, D →IJ}**

Is it in 3NF? Decompose into 3NF.

- Assume candidate keys are **AB**
- $F_c = \{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$

# Boyce- Codd Normal Form

A relation schema  $R$  is in BCNF with respect to a set  $F$  of functional dependencies if for all functional dependencies in  $F^+$  of the form

$$\alpha \rightarrow \beta$$

where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , at least one of the following holds:

- $\alpha \rightarrow \beta$  is trivial (i.e.,  $\beta \subseteq \alpha$ )
- $\alpha$  is a super key for  $R$

Example schema *not* in BCNF:

*instr\_dept* (ID, name, salary, dept\_name, building, budget)

Assume  $F = \{ ID \rightarrow name, salary, dept\_name, dept\_name \rightarrow building, budget \}$

*instr\_dept* is not in BCNF because  $dept\_name \rightarrow (building, budget)$

BECAUSE, ***dept\_name* is not a superkey**

# Decomposing a Schema into BCNF

- Suppose we have a schema  $R$  and a non-trivial dependency  $\alpha \rightarrow \beta$  causes a violation of BCNF.

We decompose  $R$  into:

- $(\alpha \cup \beta)$
- $(R - (\beta - \alpha))$

- In our example,
- **Inst\_dept** (**ID**, Name, Salary, **Dept\_Name**, Building, Budget)
- Assume  $\text{dept\_name} \rightarrow building, budget$  violates
  - $\alpha = \text{dept\_name}$     $\beta = building, budget$   
and *inst\_dept* is replaced by
  - $(\alpha \cup \beta) = (\text{dept\_name}, building, budget)$
  - $(R - (\beta - \alpha)) = (ID, name, salary, \text{dept\_name})$
  - **R<sub>1</sub>**(**dept\_name, building, budget**)
  - **R<sub>2</sub>**(**ID, name, salary, dept\_name**)

On decomposing a Relation R ,one or more of the resulting schemas may not be in BCNF. In such cases, further decomposition is required, the eventual result of which is a set of BCNF schemas.

# Testing for BCNF

- To check if a non-trivial dependency  $\alpha \rightarrow \beta$  causes a violation of BCNF
  1. **compute  $\alpha^+$**  (the attribute closure of  $\alpha$ ), and
  2. **verify that it includes all attributes of  $R$ ,**  
**that is  $\alpha$  is a super key of  $R$ .**
- It suffices to **check only the dependencies in the given set  $F$**  for violation of BCNF, rather than check all dependencies in  $F^+$ .
- We can show that if none of the dependencies in  $F$  causes a violation of BCNF, then none of the dependencies in  $F^+$  will cause a violation of BCNF, either.
- However, procedure does not work **when a relation is decomposed.**
- That is, **it does not suffice** to use  $F$  when we test a relation  $R_i$ , in a decomposition of  $R$ , for violation of BCNF.

# Testing Decomposition for BCNF

when R is decomposed into  $R_i$

- To check if a relation  $R_i$  in a decomposition of R is in BCNF,
  - Either test  $R_i$  for BCNF with respect to the **restriction** of F to  $R_i$  (that is, all FDs in  $F^+$  that contain only attributes from  $R_i$ )

OR
  - use the original set of dependencies  $F$  that hold on R, but with the following test: (**this method we use in further discussion**)
    - for every set of attributes  $\alpha \subseteq R_i$ , check that  $\alpha^+$  (the attribute closure of  $\alpha$ ) either includes **no** attribute of  $R_i$ -  
 $\alpha$ , or includes **all** attributes of  $R_i$ .

**Example:**  $R = (A, B, C)$

$$F = \{A \rightarrow B, B \rightarrow C\} ,$$

R is not in BCNF and we got Decomposition  $R_1 = (A, B)$ ,  $R_2 = (B, C)$   
Is  $R_1$  and  $R_2$  in BCNF ?

**Example:**

$$R = (A, B, C)$$

$$F = \{A \rightarrow B, B \rightarrow C\},$$

**R is not in BCNF and we got Decomposition**

**$R_1 = (A, B), R_2 = (B, C)$       Is  $R_1$  and  $R_2$  in BCNF ?**

**Take  $R_1$  all subsets of  $R_1$  are A , B ,AB**

**1.  $A^+ = \{A, B, C\}$**

**$R_1 - A = B$**

**$A^+$  do not include any attribute of  $R_1 - A$  is- FALSE,**

**but**

**$A^+$  include ALL attributes of  $R_1$  is- TRUE**

**2.  $B^+ = \{B, C\}$**

**$R_1 - B = A$**

**$B^+$  do not include any attribute of  $R_1 - B$  is- TRUE**

**$R_1$  is in BCNF**

**$\alpha^+$  either includes no attribute of  $R_i - \alpha$ ,  
or includes all attributes of  $R_i$ .**

**Example: continued..**

$$R = (A, B, C)$$

$$F = \{A \rightarrow B, B \rightarrow C\} ,$$

**R is not in BCNF and we got Decomposition**

$$R_1 = (A, B), R_2 = (B, C) \quad \text{Is } R_1 \text{ and } R_2 \text{ in BCNF ?}$$

**Take  $R_2$  all subsets of  $R_2$  are B,C ,BC**

1.  $B^+ = \{B, C\}$                                $R_2 - B = C$

$B^+$  do not include any attribute of  $R_2 - B$  is- FALSE,

but

**$B^+$  include ALL attributes of  $R_2$  is- TRUE**

2.  $C^+ = \{C\}$

$C^+$  do not include any attribute of  $R_2 - C$  is- TRUE

**$R_2$  is in BCNF**

$\alpha$  either includes no attribute of  $R_i$ -  
 $\alpha$ , or includes all attributes of  $R_i$ .

# BCNF Decomposition Algorithm

A general method to decompose a relation schema so as to satisfy BCNF.

```
result := { R } ;
done := false ;
while (not done) do
  if (there is a schema  $R_i$  in result that is not in BCNF)
    then begin
      let  $\alpha \rightarrow \beta$  be a nontrivial functional dependency that
      holds on  $R_i$  such that  $\alpha^+ \text{ does not in } R_i$  AND  $\alpha \cap \beta = \emptyset$ 
      result := (result -  $R_i$ )  $\cup$  ( $R_i - \beta$ )  $\cup$  ( $\alpha, \beta$ ) ;
    end
  else done := true ;
```

The decomposition that this algorithm generates is **not only in BCNF**, but is also a **lossless decomposition**.

# Example of BCNF Decomposition

Suppose we have a schema  $R$  and a non-trivial dependency  $\alpha \rightarrow \beta$  causes a violation of BCNF.

According to algorithm, we decompose  $R$  into:

$$\text{result} := (\text{result} - R_i) \cup (R_i - \beta) \cup (\alpha, \beta)$$

- $\text{class } (\text{course\_id}, \text{title}, \text{dept\_name}, \text{credits}, \text{sec\_id}, \text{semester}, \text{year}, \text{building}, \text{room\_number}, \text{capacity}, \text{time\_slot\_id})$
- Functional dependencies:
  - $\text{course\_id} \rightarrow (\text{title}, \text{dept\_name}, \text{credits})$
  - $(\text{building}, \text{room\_number}) \rightarrow \text{capacity}$
  - $(\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year}) \rightarrow (\text{building}, \text{room\_number}, \text{time\_slot\_id})$
- A candidate key  $\{\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year}\}$ .

## □ BCNF Decomposition (Cont.)

- BCNF Decomposition:
  - $course\_id \rightarrow title, dept\_name, credits$  holds
    - ▶ but  $course\_id$  is not a superkey.
  - We replace  $class$  by:
    - ▶  $Course (course\_id, title, dept\_name, credits)$
    - ▶  $Class-1 (course\_id, sec\_id, semester, year,$   
 $building, room\_number, capacity, time\_slot\_id)$

# BCNF Decomposition (Cont.)

- *course* is in BCNF
  - How do we know this?
- *building, room\_number*→*capacity* holds on *class-1*
  - but  $\{building, room\_number\}$  is not a superkey for *class-1*.
  - We replace *class-1* by:
    - ▶ ***classroom*** (*building, room\_number, capacity*)
    - ▶ ***section*** (*course\_id, sec\_id, semester, year,*  
*building, room\_number, time\_slot\_id*)
- *classroom* and *section* are in BCNF.

Note: It is not always possible to get a BCNF decomposition that is dependency preserving



# Boyce- Codd Normal Form

A relation schema  $R$  is in **BCNF** with respect to a set  $F$  of functional dependencies if for all functional dependencies in  $F^+$  of the form

$$\alpha \rightarrow \beta$$

where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , at least one of the following holds:

- $\alpha \rightarrow \beta$  is trivial (i.e.,  $\beta \subseteq \alpha$ )
- $\alpha$  is a super key for  $R$

Example schema *not* in BCNF:

*instr\_dept* (ID, name, salary, dept\_name, building, budget )

Assume  $F = \{ ID \rightarrow name, salary, dept\_name, dept\_name \rightarrow building, budget \}$

*instr\_dept* is **not in BCNF** because  $dept\_name \rightarrow (building, budget)$

BECUSE,  $dept\_name \rightarrow (building, budget)$  **not trivial and dept\_name is not a superkey**

# Decomposing a Schema into BCNF

- Suppose we have a schema  $R$  and a **non-trivial** dependency  $\alpha \rightarrow \beta$  causes a violation of BCNF.

We decompose  $R$  into:

- $(\alpha \cup \beta)$
- $(R - (\beta - \alpha))$

On decomposing a Relation  $R$ , one or more of the resulting schemas may not be in BCNF. In such cases, further decomposition is required, the eventual result of which is a set of BCNF schemas.

- In our example,
- **Inst\_dept** (*ID, Name, Salary, Dept\_Name, Building, Budget*)
- Assume  $\text{dept\_name} \rightarrow building, budget$  violates
  - $\alpha = \text{dept\_name}$     $\beta = building, budget$   
and *inst\_dept* is replaced by
    - $(\alpha \cup \beta) = (\text{dept\_name}, building, budget)$
    - $(R - (\beta - \alpha)) = (\text{ID, name, salary, dept\_name})$
  - **R<sub>1</sub>**(*dept\_name, building, budget*)
  - **R<sub>2</sub>**(*ID, name, salary, dept\_name*)

# Testing a Relation for BCNF

- To check if a **non-trivial dependency**  $\alpha \rightarrow \beta$  causes a violation of BCNF
  1. **compute**  $\alpha^+$  (the attribute closure of  $\alpha$ ), and
  2. **verify that it includes all attributes of  $R$ ,**  
**that is  $\alpha$  is a super key of  $R$ .**
- It suffices to **check only the dependencies in the given set  $F$**  for violation of BCNF, rather than check all dependencies in  $F^+$ .
- However, this procedure does not work **when a relation is decomposed.**
- That is, **it does not suffice** to use  $F$  when we test a relation  $R_i$ , in a decomposition of  $R$ , for checking violation of BCNF.

### Boyce- Codd Normal Form

A relation schema  $R$  is in BCNF with respect to a set  $F$  of functional dependencies if for all functional dependencies in  $F^+$  of the form

$$\alpha \rightarrow \beta$$

where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , at least one of the following holds:

- $\alpha \rightarrow \beta$  is trivial (i.e.,  $\beta \subseteq \alpha$ )
- $\alpha$  is a super key for  $R$

Example schema not in BCNF:

*instr\_dept (ID\_name, salary\_dept\_name\_building, budget )*

Assume  $F = \{ID \rightarrow name, salary, dept\_name, dept\_name \rightarrow building, budget\}$

*instr\_dept* is not in BCNF because  $dept\_name \rightarrow (building, budget)$

BECAUSE,  $dept\_name \rightarrow (building, budget)$  not trivial and  $dept\_name$  is not a superkey

# Example

- $R = (A, B, C)$   
 $F = \{A \rightarrow B, B \rightarrow C\}$   
Key = {A}
- $R$  is not in BCNF (why?)
- Assume  $R$  is Decomposed into  $R_1 = (A, B)$ ,  $R_2 = (B, C)$ 
  - $R_1$  and  $R_2$  in BCNF (check\*) (see notes section)s
  - Lossless-join decomposition (check)
  - Dependency preserving (check)

\* Using  $F$  it is not possible to check whether  $R_1$  and  $R_2$  are in BCNF. We need functional dependency set  $F_1, F_2$  corresponding to  $R_1$  and  $R_2$  respectively.

# Testing Decomposition for BCNF

when  $R$  is decomposed into  $R_i$

- To check if a relation  $R_i$  in a decomposition of  $R$  is in BCNF,
  - Either test  $R_i$  for BCNF with respect to the restriction of  $F$  to  $R_i$  (that is, all FDs in  $F^+$  that contain only attributes from  $R_i$ )

OR

- use the original set of dependencies  $F$  that hold on  $R$ , but with the following test: (this method we use in further discussion)
  - for every set of attributes  $\alpha \subseteq R_i$ , check that  $\alpha^+$  (the attribute closure of  $\alpha$ ) either includes no attribute of  $(R_i - \alpha)$ , or includes all attributes of  $R_i$ .

**Example:**  $R = (A, B, C)$

$$F = \{A \rightarrow B, B \rightarrow C\} ,$$

$R$  is not in BCNF and we got Decomposition  $R_1 = (A, B)$ ,  $R_2 = (B, C)$   
Is  $R_1$  and  $R_2$  in BCNF ?

**Example:**  $R = (A, B, C)$

$$F = \{A \rightarrow B, B \rightarrow C\} ,$$

**$R$  is not in BCNF and we got Decomposition**

$R_1 = (A, B), R_2 = (B, C)$       Is  $R_1$  and  $R_2$  in BCNF ?

**Take  $R_1$**  all subsets of  $R_1$  are  $A, B, AB$

1.  $A^+ = \{A, B, C\}$        $R_1 - A = B$

$A^+$  do not include any attribute of  $R_1 - A$  is- FALSE

(because  $B \in R_1$ ), but

**$A^+$  include ALL attributes of  $R_1$  is- TRUE**

2.  $B^+ = \{B, C\}$        $R_1 - B = A$

$B^+$  do not include any attribute of  $R_1 - B$  is- TRUE

**$R_1$  is in BCNF**

**$\alpha^+$  either includes no attribute of  $R_i - \alpha$ , or includes all attributes of  $R_i$ .**

**Example: continued..**

$$R = (A, B, C)$$

$$F = \{A \rightarrow B, B \rightarrow C\} ,$$

**R** is not in BCNF and we got Decomposition

$$R_1 = (A, B), R_2 = (B, C) \quad \text{Is } R_1 \text{ and } R_2 \text{ in BCNF ?}$$

Take  $R_2$  all subsets of  $R_2$  are B,C ,BC

1.  $B^+ = \{B, C\}$                        $R_2 - B = C$

$B^+$  do not include any attribute of  $R_2 - B$  is- FALSE

(because  $C \in R_1$ ), but

**$B^+$  include ALL attributes of  $R_2$  is- TRUE**

2.  $C^+ = \{C\}$

$C^+$  do not include any attribute of  $R_2 - C$  is- **TRUE**

**$R_2$  is in BCNF**

$\alpha^+$  either includes no attribute of  $R_i$ -  $\alpha$ ,  
or includes all attributes of  $R_i$ .

# Comparison of BCNF and 3NF

- It is always possible to decompose a relation into a set of relations that are in 3NF such that:
  - the decomposition is lossless
  - the dependencies are preserved
- It is always possible to decompose a relation into a set of relations that are in BCNF such that:
  - the decomposition is lossless
  - it may not be possible to preserve dependencies.

**END**