Project Report--##Transaction Manager## (Assignment2)

Division of Labor:

Team 31

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- · Spoorthi Seri(sxs2684@mavs.uta.edu,1002032680) (documentation)
- · Neha Thokala (nxt0631@mavs.uta.edu, 1002030631) (implementation of commit, abort)

Overall Status

- Initially, I followed the steps provided to compile and build the code locally. To gain a better understanding of C and C++ programming language we referenced the FAQ section provided by Stroustrop (https://www.stroustrup.com/bs_faq2.html) and other sources online.
- After gaining a better understanding of how the main function runs, we implemented readtx(), writetx(), CommitTx(), and AbortTx() in TxMgr and TxtTx code files.
- Test files were given by sir, and we added new test scenarios to test edge cases.
- After testing locally, copied source files to the UTA omega server.
- The code was compiled and built successfully.
- Test scenarios generated the expected results as log files

Login at omega and create a directory.

-mkdir tmproject2

After making tmproject2 folder in omega, copy files by running this command in a local terminal

- pscp -r /Users/sakshisrivastava/Desktop/uta/Sem3Spring/DBMS/project2/4331-5331_Proj2Spring23_team_31/

sx3702@omega.uta.edu:/home/s/sx/sx3702/tmproject2/

SSH to omega and verify.

- -cd /home/s/sx/sx3702/tmproject2/src
- vi zgt_semaphore.C // uncomment union semun ZGT arg
- chmod +x zgt_test
- make clean && make # compiles the code
- ./zgt test ../more-test-files/Scenario1.txt # run test cases.
- vi Scenario5.log
- vi ../more-test-files/Scenario5.txt

Where you encountered difficulty

- When building the code locally we faced an error due to the redefinition of semaphore
 zgt_semaphore.C:25:7: error: redefinition of 'semun'. The variable was already defined in the <sys/sem.h> header file which caused an error.
- It was difficult to jump into a low-level programming language like C and most of the time was spent on understanding the syntax and semantics. It would help if students were given some references for C to get started with the language. But it was a great learning exercise for us personally.

Overview and file descriptions:

In this project, we were asked to implement one of the lower-level components of DBMS – the Transaction Manager which will be called by higher layers to handle concurrency control. For this purpose, we have implemented Strict Two-Phase Locking (S2PL) protocol where an Exclusive lock is assigned for Write operations and a Shared lock is assigned for Read operations. Hence the Transaction Manager handles Locking and Releasing objects as and when necessary.

The Transaction Manager has been implemented using zgt tx.c and zgt tm.c programs.

Transaction manager (zgt_tm.c) manages transactions (zgt_tx) in a multi-threaded environment using the Pthreads library and ensures proper synchronization using mutexes and condition variables.

The code is written in C++ and includes several functions for creating and processing transactions, performing read and write operations, and handling committing or aborting transactions as needed.

Data Structures:

struct param: This structure contains the information required to execute a transaction operation, such as the transaction ID (tid), object number (obno), transaction type (Txtype), and sequence number (count).

Transaction Manager (zgt tm.c) Functions:

• TxRead: Creates a new thread to perform a read operation in a transaction by initializing the param structure and calling the readtx function within the newly created thread.

- TxWrite: Creates a new thread to perform a write operation in a transaction by initializing the param structure and calling the writetx function within the newly created thread.
- CommitTx: Creates a new thread to commit a transaction by initializing the param structure and calling the committx function within the newly created thread.
- AbortTx: Creates a new thread to abort a transaction by initializing the param structure and calling the aborttx function within the newly created thread.

<u>Transaction (zgt tx.c) Functions:</u>

- readtx: Receives a struct param as an argument, containing the transaction ID, object number, and count. Calls the process_read_write() function with the transaction ID, object number, count, and a Shared lock mode ('S').
- writetx: Like readtx(), receive a struct param as an argument. Calls the process_read_write() function with the transaction ID, object number, count, and an Exclusive lock mode ('X').
- process_read_write():
 - Starts the operation with the start operation() function.
 - Sets the lock on the object as an exclusive lock considering the necessary conditions by calling the set_lock() function.
 - o Finishes the operation with the finish operation() function.
 - Exits the thread with pthread exit(NULL).
- aborttx():
 - Receives a struct param as an argument, containing the transaction ID and count.
 - Starts the operation with the start operation() function.
 - Calls the do commit abort() function for aborting the transaction with status 'A'.
 - o Finishes the operation with the finish operation() function.
 - Exits the thread with pthread_exit(NULL).
- committx():

- Receives a struct param as an argument, containing the transaction ID and count.
- Starts the operation with the start operation() function.
- Calls the do_commit_abort() function for committing the transaction with status
 'C'.
- o Finishes the operation with the finish operation() function.
- Exits the thread with pthread exit(NULL).

do commit abort():

- Writes a log record for the transaction (commit or abort).
- o Retrieves the transaction using the transaction ID.
- If the transaction exists, it releases the locks held by the transaction, and either end or removes the transaction based on the status.
- o If there are transactions waiting on the semaphore, it releases them using $zgt\ v()$.

remove_tx():

- Removes the transaction from the transaction manager.
- Scans through the list of transactions and updates the nextr value accordingly.
- If the transaction does not exist, log an error message.

set lock():

- Sets a lock on an object with a specific lock mode (Shared or Exclusive) for a transaction
- Considers conditions such as current transaction ownership, lock modes, and waiting transactions.
- If successful, returns 0, otherwise, return -1.

Main Difference between commit and abort:

- committx finalizes and saves the changes made during a transaction, making them permanent.
- aborttx discards the changes made during a transaction and restores the system to its state before the transaction started.

Logical errors and how we handled them:

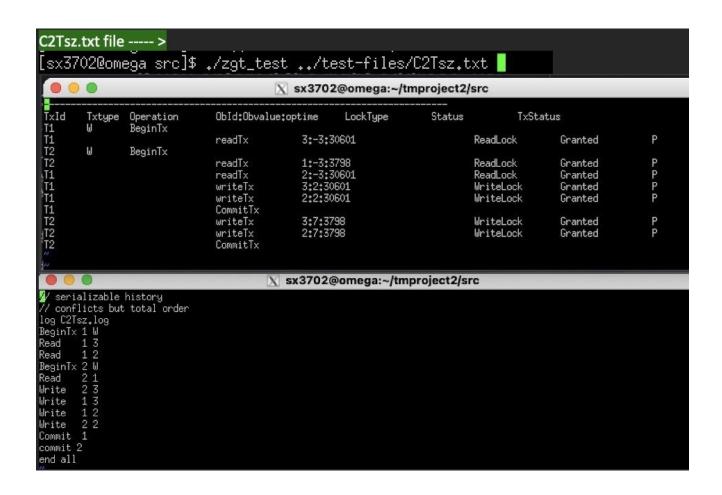
- Deadlock prevention: When a deadlock scenario occurs when two threads try to read/write the same object, the resolution comes by using the semaphore mechanism, which ensures that a transaction waits for a lock only if it can obtain it, preventing deadlocks from occurring.
- Incorrect or non-existent transaction IDs: To avoid a bad (illogical) test scenario, The code checks whether a transaction exists before performing any operation on it (e.g., in the do_commit_abort() function). If a transaction does not exist, it logs an error message and does not proceed with the operation.
- Ensuring lock acquisition: The code ensures that a transaction acquires the appropriate lock (shared or exclusive) before performing read or write operations. This is done in the zgt_tx:set_lock() function, where the lock is acquired if it does not already exist, or if the current transaction already holds the lock.
- Maintaining transaction states: For easier debugging and ease of management, the code keeps track of the state of a transaction, such as active, waiting, or committed. This ensures that operations are performed only when the transaction is in the correct state.
- Proper clean-up after commit or abort: The code makes sure that the locks are released, and the transaction is removed from the transaction manager after a commit or abort operation. This is done in the zgt_tx:free_locks(), zgt_tx:end_tx(), and zgt_tx:remove_tx() functions.
- Ensuring transaction order: The code prevents two operations of the same transaction from following one another by using a sequence number (SEQNUM[tid]). This ensures that the correct operation order is maintained for each transaction.
- Local build errors: Faced some initial local build errors which were resolved after using guards (reference). Eventually, we removed them since the error did not show up in the OMEGA server and we were not allowed to touch header files.

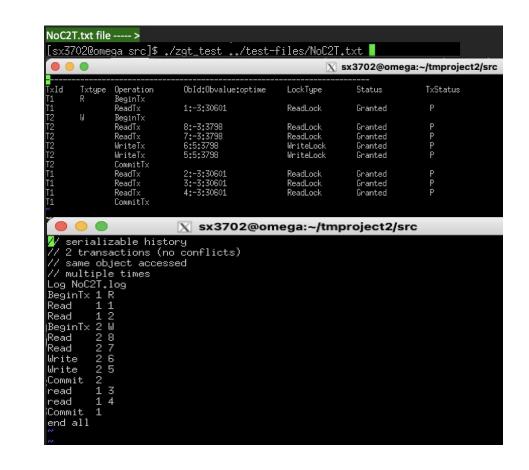
Future Improvements

- Deadlock detection and resolution: Enhance the system's deadlock detection and resolution capabilities. Consider implementing a more advanced algorithm, such as the Wait-for-Graph (WFG) or the edge-chasing algorithm, to efficiently detect and resolve deadlocks in the system.
- Distributed transaction support: Extend the system to support distributed transactions across multiple nodes or clusters. Implement a distributed transaction coordinator to manage transactions that span multiple nodes and ensure atomicity, consistency, isolation, and durability (ACID) properties are maintained.
- Object versioning: Introduce object versioning to enable Multi-Version Concurrency Control (MVCC). This can help reduce contention by allowing transactions to work with different versions of objects without needing to lock them.
- Enhanced logging and recovery: Improve the logging and recovery mechanisms by implementing techniques like Write-Ahead Logging (WAL) or the ARIES recovery algorithm. These can help ensure faster and more efficient recovery after a system crash or failure.
- Monitoring and diagnostics: Develop a comprehensive monitoring and diagnostics framework that provides insights into the system's performance, resource usage, and transaction statistics. This can help identify potential bottlenecks, optimize performance, and aid in troubleshooting issues.
- Transaction prioritization: Implement transaction prioritization, allowing certain transactions to be prioritized over others based on criteria like age, resource requirements, or user-defined priorities. This can help improve the responsiveness of the system for critical transactions and reduce the impact of long-running or resourceintensive transactions.
- Security enhancements: Integrate security features such as authentication, authorization, and encryption to protect sensitive data and ensure that only authorized users can perform transactions. Implementing role-based access control (RBAC) can also help manage user permissions more effectively.

Test on omega Screenshots:

Sirtestfiles-given. -----





```
Deadlock.txt file
[sx3702@omega src]$ ./zgt_test ../test-files/deadlock.txt 📗
creating TxRead thread for Tx: 1
exiting TxRead thread create for Tx: 1 commit 2
Commit : 1
creating TxRead thread for Tx: 2
exiting TxRead thread create for Tx: 2
end all
Release all resources and exit:
Entering End of schedule thread with thrNum: 8
Wait for threads and cleanup
Walter for threads and thearly
Thread O completed with ret value: O
Thread 1 completed with ret value: O
Thread 2 completed with ret value: O
Thread 3 completed with ret value: O
                                                                         X sx3702@omega:~/tmproject2/src
         Txtype
W
                  Operation
BeginTx
BeginTx
ReadTx
ReadTx
                                    ObId:Obvalue:optime
                                                               LockType
                                                                                 Status
                                                                                                   TxStatus
                                    1:-3:30601
2:-3:3798
                                                               ReadLock
ReadLock
                                                                                 Granted
Granted
  2 transactions
  7/ generates a deadlock
  // will hang w/o deadlock resolution
Log deadlock.log
  BeginTx 1 W
 Beginix 1 W
BeginTx 2 W
Read 1 1
Read 2 2
Write 1 2
Write 2 1
  Commit 1
  commit 2
  end all
```

```
RR.txt file
  [sx3702@omega src]$ ./zgt_test ../test-files/RR.txt
TxId
T1
T1
T2
T2
T2
T2
T1
T1
           Txtype Operation
R BeginTx
ReadTx
R BeginTx
ReadTx
ReadTx
ReadTx
ReadTx
CommitTx
                                          ObId:Obvalue:optime
                                                                         LockType
                                                                                              Status
                                                                                                                  TxStatus
                                                                                                                   P
                                          1:-3:30601
                                                                         ReadLock
                                                                                             Granted
                                          1:-6:3798
2:-3:3798
3:-3:3798
                                                                         ReadLock
ReadLock
ReadLock
                                                                                             Granted
Granted
                                                                                                                   P
P
P
                                                                                             Granted
                      ReadTx
ReadTx
                                                                         ReadLock
ReadLock
                                          3:-6:30601
2:-6:30601
                                                                                             Granted
Granted
                                                                                                                   P
P
                      CommitTx
  🖊 read read history
 7/ 2 transactions
 // same object accessed
 // multiple times
 Log RR.log
 BeginTx 1 R
Read 1 1
                \overline{1} \overline{3}
 Read
 begintx 2 R
read 2 1
Read 2 2
read 1 2
Read 2 3
 commit 1
 commit 2
 end all
```

d Txt R		eration ginTx	ObId:Obvalue:optime	LockType	Status	TxStatus
W	Re	adTx ginTx	1:-3:30601	ReadLock	Granted	Р
R	Be	ginix ginTx mmitTx				
	Wr	iteTx	1:2:3798	WriteLock	Granted	P
		adTx mmitTx	1:-1:19913	ReadLock	Granted	Р
	Cor	mmitTx				
3 tra same	nsacti object ole ti	accesse				

```
S2T.txt file
[sx3702@omega src]$ ./zgt_test ../test-files/S2T.txt
TxId
        Txtype Operation
                                 ObId:Obvalue:optime
                                                           LockType
                                                                            Status
                                                                                            TxStatus
T1
T2
T2
T2
T2
T2
T1
T1
T1
T1
T1
                 BeginTx
                 ReadTx
                                 1:-3:30601
                                                           ReadLock
                                                                            Granted
                 BeginTx
        W
                                 5:-3:3798
5:2:3798
6:5:3798
6:2:3798
                                                                                             Р
                                                           ReadLock
                 ReadTx
                                                                           Granted
                                                           WriteLock
WriteLock
                                                                                             PPP
                 WriteTx
                                                                           Granted
                 WriteTx
                                                                           Granted
                                                           ReadLock
                ReadTx
                                                                           Granted
                CommitTx
                                 2:-3:30601
3:5:30601
4:5:30601
                                                           ReadLock
                                                                                             2222
                ReadTx
                                                                           Granted
                WriteTx
                                                           WriteLock
                                                                           Granted
                WriteTx
                                                           WriteLock
                                                                           Granted
                ReadTx
WriteTx
                                 1:-6:30601
2:2:30601
                                                           ReadLock
                                                                           Granted
                                                           WriteLock
                                                                           Granted
                                 4:10:30601
4:15:30601
                                                          WriteLock
                WriteTx
                                                                           Granted
                                                                                             P
                                                           WriteLock
                WriteTx
                                                                            Granted
                CommitTx
serial history
// 2 transactions
// same object accessed
// multiple times
Log S2T.log
BeginTx 1 W
           1 1
Read
           1 2
1 3
Read
Write
           1 4
Write
           1 1
read
           12
write
           1 4
write
write
           1 4
commit 1
begintx 2 W
           25
read
           25
write
           26
write
           26
read
commit
end all
```

.....

New test cases added.

```
Case1deadlock.txt file
[sx3702@omega src]$ vi ../more-test-files/case1deadlock.txt
creating BeginTx thread for Tx: 2
finished creating BeginTx thread for Tx: 2
Read 21
Read : 2 : 1
creating TxRead thread for Tx: 2
exiting TxRead thread create for Tx: 2
commit 2
Commit : 2
creating TxRead thread for Tx: 2
exiting TxRead thread create for Tx: 2
TxId
      Txtype Operation
                          ObId:Obvalue:optime
                                              LockType
                                                          Status
                                                                        TxStatus
T1
T1
T2
             BeginTx
             ReadTx
BeginTx
                          1:-3:30601
                                              ReadLock
                                                          Granted
log case1deadlock.log
BeginTx 1 W
Read
       1 1
BeginTx 2 R
Read
       2 1
commit 2
end all
```

d Txtype	Operation	ObId:Obvalue:optime	LockType	Status	TxStatu
M	BeginTx				
	ReadTx	1:-3:30601	ReadLock	Granted	Р
W	BeginTx				
	WriteTx	4:5:3798	WriteLock	Granted	P
	WriteTx	5:5:3798	WriteLock	Granted	P
W	BeginTx	S E 10017	narous services no record on	W-000000000000000000000000000000000000	100
	WriteTx	6:5:19913	WriteLock	Granted	P
n	CommitTx				
R	BeginTx ReadTx	9:-3:16916	ReadLock	Granted	Р
	WriteTx	7:5:19913	WriteLock	Granted	P
	ReadTx	10:-3:16916	ReadLock	Granted	P
	ReadTx	8:-3:19913	ReadLock	Granted	P
	ReadTx	11:-3:16916	ReadLock	Granted	P
	WriteTx	2:5:30601	WriteLock	Granted	P
	CommitTx	2,5,50001	WI I CELOCK	or arreed	
	ReadTx	12:-3:16916	ReadLock	Granted	P
	ReadTx	13:-3:16916	ReadLock	Granted	P
	ReadTx	3:-3:30601	ReadLock	Granted	P
	CommitTx	0. 0.000±	TOUGLOUN	or or occu	
	ReadTx	1:-6:16916	ReadLock	Granted	P
	CommitTx	11 0110010	1103020011	01 011000	
ad 1 3 ginTx 2 W ite 2 4 ite 2 5 ginTx 3 W ite 3 6 ite 3 7 ad 3 8 mmit 3 mmit 2					

x3702@omega src]\$./zgt_test/more-test-files/disj_multi_accesses.txt						
		Operation	ObId:Obvalue:optime	LockType	Status	TxStatus
₩		BeginTx	4 . 7 . 70004	D 11 1		
		ReadTx	1:-3:30601	ReadLock	Granted	Р
W		BeginTx	E4-747700	Daniel and	Country	В.
		ReadTx	5:-3:3798 5:2:3798	ReadLock WriteLock	Granted	P P
		WriteTx WriteTx	5;2;3798 6;5;3798	writeLock WriteLock	Granted Granted	P
		Writerx ReadTx	6:2:3798	ReadLock	Granted	P
		CommitTx	0,2,3730	REGULUCK	Granceu	Г
		ReadTx	2:-3:30601	ReadLock	Granted	В
		WriteTx	3:5:30601	WriteLock	Granted	P P
		WriteTx	4:5:30601	WriteLock	Granted	6
		ReadTx	1:-6:30601	ReadLock	Granted	P P
		WriteTx	2:2:30601	WriteLock	Granted	P
		WriteTx	4:10:30601	WriteLock	Granted	P
		WriteTx WriteTx	4:15:30601 4:15:30601	WriteLock WriteLock	Granted	P
		CommitTx	4,13,30001	MITCELOCK	or arriced	
	j_mu; 1 W 1 1 2 1 3 1 4 1 1 2 1 4 1 4	times ti_accesse	s.log			

```
Ddlk_3Tx file
[sx3702@omega src]$ ./zgt_test ../more-test-files/ddlk_3Txs.txt 📗
TxId
T1
T1
T2
T2
T2
T3
        Txtype Operation
W BeginTx
ReadTx
W BeginTx
                              ObId:Obvalue:optime
                                                    LockType
                                                                   Status
                                                                                  TxStatus
                                                    ReadLock
                              1:-3:30601
                                                                   Granted
               ReadTx
                              2:-3:3798
                                                    ReadLock
                                                                   Granted
               BeginTx
possible deadlock test case
7/ Two write transactions
log ddlk_3Tx.log
// op Tx#
                    type
BeginTx 1 W
// op Tx#
                    0b,j
Read 1 1
Write 1 2
Read 1 6
BeginTx 2 W
Read 2 2
Write 2 1
Read 2 7
commit 2
Commit 1
begintx 3 R
read 3 2
write 3 1
read 3 2
end all
```

	ga src]⊅ ./:	zgt_test/more-te:	st-files/KW_	pot_ddIK.txt	
d Txtype W	Operation BeginTx	ObId:Obvalue:optime	LockType	 Status	TxStatus
⊌	ReadTx BeginTx	1:-3:30601	ReadLock	Granted	Р
	WriteTx	4:5:3798	WriteLock	Granted	Р
	WriteTx	5:5:3798	WriteLock	Granted	Р
W	BeginTx				
	WriteTx	6:5:19913	WriteLock	Granted	Р
	CommitTx				
R	BeginTx				
	WriteTx	7:5:19913	WriteLock	Granted	P
	ReadTx	9:-3:19913	ReadLock	Granted	P
	WriteTx	2:5:30601	WriteLock	Granted	Р
	CommitTx				
	ReadTx	3:-3:30601	ReadLock	Granted	Р
	WriteTx	8:5:30601	WriteLock	Granted	P
	CommitTx				
	ReadTx	1:-6:16916	ReadLock	Granted	P
	ReadTx	2;2;16916	ReadLock	Granted	Р
	ReadTx	3:-6:16916	ReadLock	Granted	P
	ReadTx	8:2:16916	ReadLock	Granted	P
	ReadTx	6:2:16916	ReadLock	Granted	P
	ReadTx CommitTx	7;2;16916	ReadLock	Granted	Р
		Marks.	@omeg		
RW_pot_d PW_pot_d OP Tx# InT 1 1 Id 1 1 2 Id 1 1 3 8 W te 1 2 8 W te 2 3 8 7 Id 1 1 2 8 W te 3 9 3 2 1 2 3 8 6 7 Id 1 5 5 8 6 7 Id 1 5 5 8 6 7 Id 1 5 5 8 6 7 Id 1 5 7 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	kw ixs test dlk.log type Obj	case with no deadloc			

l Txtyp։ W		ObId:Obvalue:optime	LockType	Status	TxStatus
W	BeginTx ReadTx	6:-3:30601	ReadLock	Granted	Р
W	BeginTx				
R	BeginTx				
	ReadTx	4:-3:19913	ReadLock	Granted	P
	ReadTx	8:-3:3798	ReadLock	Granted	P
	WriteTx	7:5:3798	WriteLock	Granted	P
	AbortTx				
	WriteTx	5:5:19913	WriteLock	Granted	P
	ReadTx	9:-3:19913	ReadLock	Granted	Р
	WriteTx	7:10:30601	WriteLock	Granted	P
	CommitTx				
	WriteTx	7:15:30601	WriteLock	Granted	P
	ReadTx CommitTx	6:-6:30601	ReadLock	Granted	Р
	te transact bort.log	ions			
	bort.log :# type :# Obj W	ions			

```
Scenario1.txt file
[sx3702@omega src]$ ./zgt_test ../more-test-files/Scenario1.txt
TxId
T1
T1
T2
T1
T2
T2
T2
        Txtype Operation
                                  ObId:Obvalue:optime
                                                           LockType
                                                                            Status
                                                                                             TxStatus
                 BeginTx
ReadTx
                                  1:-3:30601
                                                           ReadLock
                                                                            Granted
                Readix
BeginTx
CommitTx
ReadTx
CommitTx
                                                                                              P
                                  1:-6:3798
                                                           ReadLock
                                                                            Granted
log Scenario1.log
BeginTx 1 W
Read 11
BeginTx 2 R
           2 1
Read
Commit 1
commit 2
end all
 //readlock on object 1 by T2 must wait for readlock on 1 by T1 to end
```

xId 1	Txtype ₩	Operation BeginTx	ObId:Obvalue:optime	LockType	Status	TxStatus
1 2	R	ReadTx BeginTx	1:-3:30601	ReadLock	Granted	Р
	cenario2 Tx 1 W	.log				
ead	1 1 [x 2 R					
-0	2 1					
rite ommii						

```
Scenario4.txt file
[sx3702@omega src]$ ./zgt_test ../more-test-files/Scenario4.txt
TxId
T1
T1
T2
T2
T2
      Txtype Operation
R BeginTx
ReadTx
R BeginTx
                                                                     TxStatus
                         ObId:Obvalue:optime
                                            LockType
                                                        Status
                         1:-3:30601
                                            ReadLock
                                                        Granted
                                                                      P
             ReadTx
CommitTx
                         1:-6:3798
                                            ReadLock
                                                        Granted
                                                                      P
```

[1 W [1		ObId:Obvalue:optime	LockType	Status	TxStatus
72 R	BeginTx ReadTx BeginTx	1;-3;30601	ReadLock	Granted	P
Read 11 BeginTx2R Read 21 commit2 endall		re all the reads have exclu			