

COMP90038

Algorithms and Complexity

Lecture 18: Dynamic Programming
(with thanks to Harald Søndergaard & Michael Kirley)

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Review from Lecture 17: Hashing



- If we have a hash table of size m and keys are integers, we may define

$$h(n) = n \bmod m.$$

- But keys may be other things, such as strings of characters, and the hash function should apply to these and still be easy (cheap) to compute.
- We need to choose m so that it is large enough to allow efficient operations, without taking up excessive memory.
- The hash function should distribute keys evenly along the cells of the hash table.

Review from Lecture 17: Hashing of Strings

<i>char</i>	<i>M</i>	<i>Y</i>	<i>K</i>	<i>E</i>	<i>Y</i>
s_i	12	24	10	4	24
$bin(s_i)$	01100	11000	01010	00100	11000
i	0	1	2	3	4

- Now concatenate the binary string:

$$\begin{aligned}
 M \ Y \ K \ E \ Y &\mapsto 0110011000010100010011000 (=13379736) \\
 13379736 \bmod 101 &= 64
 \end{aligned}$$

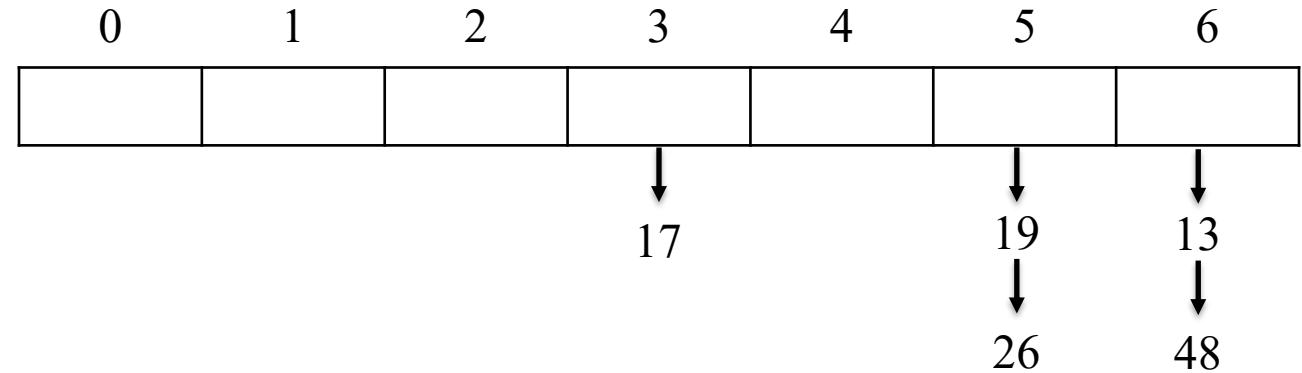
- So 64 is the position of string of string $M \ Y \ K \ E \ Y$ in the hash table.
- We deliberately chose m to be **prime**.

$$13379736 = 12 \times 32^4 + 24 \times 32^3 + 10 \times 32^2 + 4 \times 32^1 + 24 \times 32^0$$

- With $m = 32$, the hash value of any key is the last character's value!

Review from Lecture 17: Collision Handling

- Consider $h(k) = k \bmod 7$. Draw the resulting hash tables after inserting 19, 26, 13, 48, 17 (in this order).



- Linear probing

0	1	2	3	4	5	6
13	48		17		19	26

- Double hashing, using $s(k) = 5 - (k \bmod 5)$ offset

0	1	2	3	4	5	6
	48	26	17		19	13

Review from Lecture 17: Rabin-Karp String Search

- Repeatedly hashing strings of length m seems like a bad idea. However, the hash values can be calculated **incrementally**. The hash value of the length- m substring s that starts at position j is:

$$\text{hash}(s, j) = \sum_{i=0}^{m-1} \text{chr}(s_{j+i}) \times a^{m-i-1},$$

where a is the alphabet size. From that we can get the next hash value, for the substring that starts at position $j + 1$, **quite cheaply**:

$$\text{hash}(s, j + 1) = (\text{hash}(s, j) - a^{m-1} \text{chr}(s_j)) \times a + \text{chr}(s_{j+m})$$

modulo m .

- The first substring “the” = $t \cdot (26)^2 + h \cdot (26) + e$
- If we have “the”, can we compute “her”?

$$\begin{aligned}
 \text{“her”} &= h \cdot (26)^2 + e \cdot (26) + r \\
 &= 26 \cdot (h \cdot (26) + e) + r \\
 &= 26 \cdot (t \cdot (26)^2 + h \cdot (26) + e - t \cdot (26)^2) + r \\
 &= 26 \cdot (\text{“the”} - t \cdot (26)^2) + r
 \end{aligned}$$

Dynamic Programming

- **Dynamic programming** is an algorithm design technique that is sometimes applicable when we want to solve a recurrence relation and the recursion involves overlapping instances.
- In Lecture 16 we achieved a spectacular performance improvement in the calculation of Fibonacci numbers by switching from a naïve top-down algorithm to one that solved, and tabulated, smaller sub-problems.
- The **bottom-up** approach used the tabulated results, rather than solving overlapping sub-problems repeatedly.
- That was a particularly simple example of dynamic programming.

Review from Lecture 16: Fibonacci Numbers with Tabulation

- We assume that, from the outset, all entries of the table F are 0.

```
function FIB( $n$ )
```

```
  if  $n = 0$  or  $n = 1$  then
    return 1
```

```
  result  $\leftarrow F[n]$ 
```

```
  if result = 0 then
```

```
    result  $\leftarrow \text{FIB}(n - 1) + \text{FIB}(n - 2)$ 
```

```
    F[n]  $\leftarrow \text{result}$ 
```

```
  return result
```

Initial	$F = 0, 0, \dots, 0$
$n = 2$	result=FIB(1)+FIB(0) =1+1=2 $F = 0, 0, 2, 0, \dots, 0$
$n = 2$	result=FIB(2)+FIB(1) =2+1=3 $F = 0, 0, 2, 3, 0, \dots, 0$
$n = 4$	result=FIB(3)+FIB(2) =3+2=5 $F = 0, 0, 2, 3, 5, 0, \dots, 0$

- $F[0, \dots, n]$ is an array that stores partial results, initialised to 0.
- Base cases $\text{FIB}(0) = 1$ & $\text{FIB}(1) = 1$.

Dynamic Programming and Optimisation



- **Optimisation** problems sometimes allow for clever dynamic programming solutions.
 - the objective is to find the best possible combination: the one with the lowest cost, or higher profit, subject to some constraints.
- For dynamic programming to be useful, the optimality principle must hold:
 - *An optimal solution to a problem is composed of optimal solutions to its subproblems.*
- While not always, this principle often holds.

Example 1: The Coin-Row Problem



- Given a row of coins, pick the largest possible sum, subject to this constraint: no two adjacent coins can be picked.

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- For example, consider the coins: 20 10 20 50 20 10 20



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- For example, consider the coins: 20 10 20 50 20 10 20



- We cannot take these two coins, since they are neighbours.

Example 1: The Coin-Row Problem

- Given a row of coins, pick the largest possible sum, subject to this constraint: no two adjacent coins can be picked.
- For example, consider the coins: 20 10 20 50 20 10 20



- We about this combination, is 80 the maximum profit?

Example 1: The Coin-Row Problem



- Given a row of coins, pick the largest possible sum, subject to this constraint: no two adjacent coins can be picked.
- Think of the problem recursively.
- Let the values of the coins be v_1, v_2, \dots, v_n .
- Let $S(i)$ be the sum that can be gotten by picking optimally from the first i coins.
- Either the i th coin (with value v_i) is part of the solution or it is not.
- If we choose to pick the i th coin, we cannot also pick its neighbour on the left, so the best we can achieve is $S(i - 2) + v_i$.
- Otherwise we can leave it, and the best we can achieve is $S(i - 1)$.

Example 1: The Coin-Row Problem



- We can say the same thing formally, as a recurrence relation:

$$S(i) = \max\{S(i - 1), S(i - 2) + v_i\}$$

- This holds for $i > 1$.
- We need two base cases: $S(0) = 0$ and $S(1) = v_1$.

Example 1: The Coin-Row Problem



- We can say the same thing formally, as a recurrence relation:

$$S(i) = \max\{S(i - 1), S(i - 2) + v_i\}$$

- This holds for $i > 1$.
- We need two base cases: $S(0) = 0$ and $S(1) = v_1$.
- You can code this algorithm directly like that in your favourite programming language.
- However, the solutions suffers the same problem as the naïve Fibonacci program: lots of repetition of identical sub-computations.

Example 1: The Coin-Row Problem



- Since all values $S(1)$ to $S(n)$ need to be found anyway, we may as well proceed from the bottom up, storing intermediate results in an array S as we go.
- Given an array C that holds the coin values, the recurrence relation tells us what to do:

```
function COINRow( $C[1..n]$ )
     $S[0] \leftarrow 0$ 
     $S[1] \leftarrow C[1]$ 
    for  $i \leftarrow 2$  to  $n$  do
         $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
    return  $S[n]$ 
```

Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

 $S[0] \leftarrow 0$
 $S[1] \leftarrow C[1]$
for $i \leftarrow 2$ to n **do**
 $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$
return $S[n]$

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0							

- $i = 0$
- $S[0] = 0$



Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

 $S[0] \leftarrow 0$
 $S[1] \leftarrow C[1]$
for $i \leftarrow 2$ to n **do**
 $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$
return $S[n]$

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20						

- $i = 1$
- $S[1] = 20$



Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20					

- $i = 2$

- $S[2] = \max(S[1] = 20, S[0] + 10 = 0 + 10) = 20$



Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40				

- $i = 3$

- $S[3] = \max(S[2] = 20, S[1] + 20 = 20 + 20) = 40$



Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40	70			

- $i = 4$

- $S[4] = \max(S[3] = 40, S[2] + 50 = 20 + 50) = 70$



Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40	70	70		

- $i = 5$

- $S[5] = \max(S[4] = 70, S[3] + 20 = 40 + 20) = 70$

Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40	70	70	80	

- $i = 6$

- $S[6] = \max(S[5] = 70, S[4] + 10 = 70 + 10) = 80$

Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40	70	70	80	90

- $i = 7$
- $S[7] = \max(S[6] = 80, S[5] + 20 = 70 + 20) = 90$

Example 1: The Coin-Row Problem

- Let us run the algorithm on the seven coins 20, 10, 20, 50, 20, 10, 20:

```
function COINRow( $C[1..n]$ )
```

```
   $S[0] \leftarrow 0$ 
```

```
   $S[1] \leftarrow C[1]$ 
```

```
  for  $i \leftarrow 2$  to  $n$  do
```

```
     $S[i] \leftarrow \max\{S[i - 1], S[i - 2] + C[i]\}$ 
```

```
  return  $S[n]$ 
```

index	0	1	2	3	4	5	6	7
C		20	10	20	50	20	10	20
S	0	20	20	40	70	70	80	90

Using		1	1	1	1	1	1	1
				3	4	4	4	4
							6	7

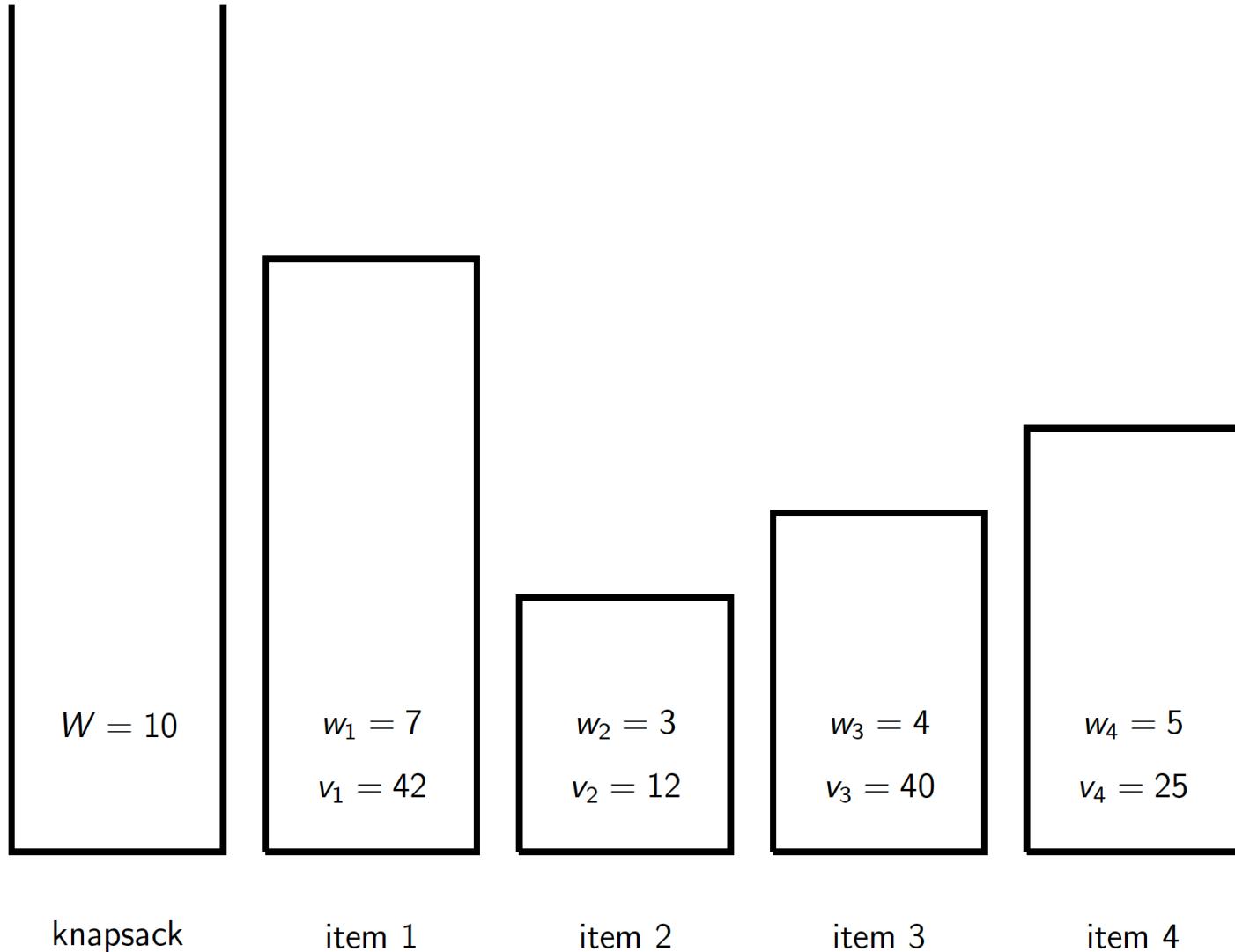
- Keeping track of the (indices of the) coins used in an optimal solution is an easy extension to the algorithm.

Example 2: The Knapsack Problem



- In Lecture 5 we looked at the **knapsack problem**.
- Given n items with
 - weights: w_1, w_2, \dots, w_n
 - values: v_1, v_2, \dots, v_n
 - knapsack of weight capacity W .
- Find the most valuable selection of items that will fit in the knapsack.
- We assume that all entities involved are positive integers.

Example 2: The Knapsack Problem



Example 2: The Knapsack Problem



- We previously devised a brute-force algorithm, but dynamic programming may give is a better solution.
- The critical step is to find a good answer to the question “what is the sub-problem?”
- In this case, the trick is to formulate the recurrence relation over **two** parameters, namely the sequence $1, 2, \dots, i$ of item considered so far, **and** the remaining capacity $w \leq W$.

Example 2: The Knapsack Problem



- We previously devised a brute-force algorithm, but dynamic programming may give is a better solution.
- The critical step is to find a good answer to the question “what is the sub-problem?”
- In this case, the trick is to formulate the recurrence relation over **two** parameters, namely the sequence $1, 2, \dots, i$ of item considered so far, **and** the remaining capacity $w \leq W$.
- Let $K(i, w)$ by the value of the best choice of items amongst the first i using the knapsack capacity w .
- Then we are after $K(n, W)$.

Example 2: The Knapsack Problem



- The reason we focus on $K(i, w)$ is that we can express a solution to that recursively.
- Amongst the first i items we either pick item i or we don't.

Example 2: The Knapsack Problem



- The reason we focus on $K(i, w)$ is that we can express a solution to that recursively.
- Amongst the first i items we either pick item i or we don't.
- For a solution that **excludes** the item i , the value of an optimal subset is simply $K(i - 1, w)$.

Example 2: The Knapsack Problem



- The reason we focus on $K(i, w)$ is that we can express a solution to that recursively.
- Amongst the first i items we either pick item i or we don't.
- For a solution that **excludes** the item i , the value of an optimal subset is simply $K(i - 1, w)$.
- For a solution that **includes** item i , apart from that item, an optimal solution contains an optimal subset of the first $i - 1$ items **that will fit into a bag of capacity $w - w_i$** . The value of such a subset is $K(i - 1, w - w_i) + v_i$.

Example 2: The Knapsack Problem



- The reason we focus on $K(i, w)$ is that we can express a solution to that recursively.
- Amongst the first i items we either pick item i or we don't.
- For a solution that **excludes** the item i , the value of an optimal subset is simply $K(i - 1, w)$.
- For a solution that **includes** item i , apart from that item, an optimal solution contains an optimal subset of the first $i - 1$ items **that will fit into a bag of capacity $w - w_i$** . The value of such a subset is $K(i - 1, w - w_i) + v_i$.
- ... **provided item i fits**, that is, provided $w - w_i \geq 0$.

Example 2: The Knapsack Problem



- Now it is easy to express the solution recursively:

$$K(i, w) = 0 \text{ if } i = 0 \text{ or } w = 0$$

- Otherwise:

$$K(i, w) = \begin{cases} \max(K(i - 1, w), K(i - 1, w - w_i) + v_i) & \text{if } w - w_i \geq 0 \\ K(i - 1, w) & \text{if } w - w_i < 0 \end{cases}$$

Example 2: The Knapsack Problem



- Now it is easy to express the solution recursively:

$$K(i, w) = 0 \text{ if } i = 0 \text{ or } w = 0$$

- Otherwise:

$$K(i, w) = \begin{cases} \max(K(i - 1, w), K(i - 1, w - w_i) + v_i) & \text{if } w \geq w_i \\ K(i - 1, w) & \text{if } w < w_i \end{cases}$$

Example 2: The Knapsack Problem



- Now it is easy to express the solution recursively:

$$K(i, w) = 0 \text{ if } i = 0 \text{ or } w = 0$$

- Otherwise:

$$K(i, w) = \begin{cases} \max(K(i - 1, w), K(i - 1, w - w_i) + v_i) & \text{if } w \geq w_i \\ K(i - 1, w) & \text{if } w < w_i \end{cases}$$

- That gives a correct algorithm for the problem, albeit an inefficient one, if we take it literally.
- For a bottom-up solution we need to write the code that systematically fills a two-dimensional table.
- The table will have $n + 1$ rows and $W + 1$ columns.

Example 2: The Knapsack Problem



- First fill the leftmost column and top row, then proceed row by row:

```
for  $i \leftarrow 0$  to  $n$  do  
     $K[i, 0] \leftarrow 0$   
for  $j \leftarrow 1$  to  $W$  do  
     $K[0, j] \leftarrow 0$   
for  $i \leftarrow 1$  to  $n$  do  
    for  $j \leftarrow 1$  to  $W$  do  
        if  $j < w_i$  then  
             $K[i, j] \leftarrow K[i - 1, j]$   
        else  
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$   
return  $K[n, W]$ 
```

- The algorithm has time (and space) complexity $\Theta(nW)$.

- Here is a concrete example—like before, expect let $W = 8$.

Example 2: The Knapsack Problem

- Here is a concrete example—like before, expect let $W = 8$.

$v_1 = 42 \quad w_1 = 7$
 $v_2 = 12 \quad w_2 = 3$
 $v_3 = 40 \quad w_3 = 4$
 $v_4 = 25 \quad w_4 = 5$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0									
1									
2									
3									
4									

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

Example 2: The Knapsack Problem

- On the first for loop:

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0								
1	0								
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

Example 2: The Knapsack Problem

- On the second for loop:

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0								
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

Example 2: The Knapsack Problem

- Now we advance row by row:

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0								
2	0								
3	0								
4	0								

$v_1 = 42 \quad w_1 = 7$
 $v_2 = 12 \quad w_2 = 3$
 $v_3 = 40 \quad w_3 = 4$
 $v_4 = 25 \quad w_4 = 5$

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

Example 2: The Knapsack Problem

- Is the current capacity ($j=1$) sufficient to fit the first item ($i=1$)?

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	?							
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

Example 2: The Knapsack Problem

- We won't have enough capacity until $j=7$:

$$\begin{array}{l}
 v_1 = 42 \quad w_1 = 7 \\
 v_2 = 12 \quad w_2 = 3 \\
 v_3 = 40 \quad w_3 = 4 \\
 v_4 = 25 \quad w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=1$
- $j=7$

Example 2: The Knapsack Problem

- We won't have enough capacity until $j=7$:

$$\begin{array}{l}
 v_1 = 42 \quad w_1 = 7 \\
 v_2 = 12 \quad w_2 = 3 \\
 v_3 = 40 \quad w_3 = 4 \\
 v_4 = 25 \quad w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=1$
- $j=7$
- $K[1 - 1, 7] = K[0, 7] = 0$

Example 2: The Knapsack Problem

- We won't have enough capacity until $j=7$:

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0		
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=1$
- $j=7$
- $K[1 - 1, 7] = K[0, 7] = 0$
- $K[1 - 1, 7 - 7] + 42 = K[0, 0] + 42 = 0 + 42 = 42$

Example 2: The Knapsack Problem

- We won't have enough capacity until $j=7$:

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=1$
- $j=7$
- $K[1 - 1, 7] = K[0, 7] = 0$
- $K[1 - 1, 7 - 7] + 42 = K[0, 0] + 42 = 0 + 42 = 42$
- $K[1, 7] = \max(0, 42) = 42$

Example 2: The Knapsack Problem

- There are no more items to pack, then $K[1,8] = K[1,7]$:

$v_1 = 42 \quad w_1 = 7$
 $v_2 = 12 \quad w_2 = 3$
 $v_3 = 40 \quad w_3 = 4$
 $v_4 = 25 \quad w_4 = 5$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0								
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=1$
- $j=8$
- $K[1 - 1,8] = K[0,8] = 0$
- $K[1 - 1,8 - 7] + 42 = K[0,1] + 42 = 0 + 42 = 42$
- $K[1,8] = \max(0,42) = 42$

Example 2: The Knapsack Problem

- Next row, we won't have enough capacity until $j = 3$:

$v_1 = 42 \quad w_1 = 7$
 $v_2 = 12 \quad w_2 = 3$
 $v_3 = 40 \quad w_3 = 4$
 $v_4 = 25 \quad w_4 = 5$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0	0	0	12					
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=2$
- $j=3$
- $K[2 - 1, 3] = K[1, 3] = 0$
- $K[2 - 1, 3 - 3] + 12 = K[1, 0] + 12 = 0 + 12 = 12$
- $K[2, 3] = \max(0, 12) = 12$

Example 2: The Knapsack Problem

- At $j = 7$, it is better to pick 42:

$$\begin{array}{l}
 v_1 = 42 \quad w_1 = 7 \\
 v_2 = 12 \quad w_2 = 3 \\
 v_3 = 40 \quad w_3 = 4 \\
 v_4 = 25 \quad w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0	0	0	12	12	12	12	42	
3	0								
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=2$
- $j=7$
- $K[2 - 1, 7] = K[1, 7] = 42$
- $K[2 - 1, 7 - 3] + 12 = K[1, 4] + 12 = 0 + 12 = 12$
- $K[2, 7] = \max(42, 12) = 42$

Example 2: The Knapsack Problem

- Next row, at $j = 4$, it is better to pick 40:

$$\begin{array}{l}
 v_1 = 42 \quad w_1 = 7 \\
 v_2 = 12 \quad w_2 = 3 \\
 v_3 = 40 \quad w_3 = 4 \\
 v_4 = 25 \quad w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0	0	0	12	12	12	12	42	42
3	0	0	0	12	40				
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=3$
- $j=4$
- $K[3 - 1, 4] = K[2, 4] = 12$
- $K[3 - 1, 4 - 4] + 40 = K[2, 0] + 40 = 0 + 40 = 40$
- $K[3, 4] = \max(12, 40) = 40$

Example 2: The Knapsack Problem

- What happens at $j = 7$?

$v_1 = 42 \quad w_1 = 7$
 $v_2 = 12 \quad w_2 = 3$
 $v_3 = 40 \quad w_3 = 4$
 $v_4 = 25 \quad w_4 = 5$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0	0	0	12	12	12	12	42	42
3	0	0	0	12	40	40	40	52	
4	0								

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=3$
- $j=7$
- $K[3 - 1, 7] = K[2, 7] = 42$
- $K[3 - 1, 7 - 4] + 40 = K[2, 3] + 40 = 12 + 40 = 52$
- $K[3, 7] = \max(42, 52) = 52$

Example 2: The Knapsack Problem

- At the end, the best solution found is $K[4,8]=52$:

$$\begin{array}{ll}
 v_1 = 42 & w_1 = 7 \\
 v_2 = 12 & w_2 = 3 \\
 v_3 = 40 & w_3 = 4 \\
 v_4 = 25 & w_4 = 5
 \end{array}$$

$i \setminus j$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	42	42
2	0	0	0	12	12	12	12	42	42
3	0	0	0	12	40	40	40	52	52
4	0	0	0	12	40	40	40	52	52

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
  
```

- $i=4$
- $j=8$
- $K[4 - 1, 8] = K[3, 8] = 52$
- $K[4 - 1, 8 - 5] + 25 = K[3, 3] + 25 = 12 + 25 = 37$
- $K[4, 8] = \max(52, 37) = 52$

Solving the Knapsack Problem with MEmoing



- To some extent the bottom-up (table-filling) solution is overkill: it finds the solution to **every conceivable sub-instance**.
- Most of the table entries cannot actually contribute to a solution. For a clearer example of this, let all the weights in the problem be multiplied by 1000.
- In this situation, a top-down approach, with **memoing**, is preferable.
- To keep the memo table small, make it a hash table.

Solving the Knapsack Problem with Memoing



- The hash table uses keys (i, j) . These are stored together with their corresponding values $k = K(i, j)$.

```
function KNAP(i,j)
    if i = 0 or j = 0 then
        return 0
    if key (i,j) is in hashtable then
        return the corresponding value (that is,  $K(i, j)$ )
    if j <  $w_i$  then
         $k \leftarrow \text{KNAP}(i - 1, j)$ 
    else
         $k \leftarrow \max(\text{KNAP}(i - 1, j), \text{KNAP}(i - 1, j - w_i) + v_i)$ 
    insert  $k$  into hashtable, with key (i,j)
    return  $k$ 
```

Coming Up Next



- We apply dynamic programming to two graph problems (transitive closure and all-pairs shortest-paths); the resulting algorithms are known as **Warshall's** and **Floyd's**.