

Satisfiability Checking

SAT Solving

Prof. Dr. Erika Ábrahám

RWTH Aachen University
Informatik 2
LuFG Theory of Hybrid Systems

WS 16/17

Given:

- Propositional logic formula φ in CNF.

Question:

- Is φ satisfiable?
(Is there a model for φ ?)

SAT-solving: Components

- Decision (enumeration)
- Boolean constraint propagation (BCP)
- Conflict resolution and backtracking

- Decision (enumeration)
- Boolean constraint propagation (BCP)
- Conflict resolution and backtracking

Enumeration algorithm

```
bool Enumerate (CNF-Formula  $\varphi$ ) {
```

```
    trail.clear();
```

```
    if (unassigned vars) then
```

```
        choose var  $x$  and value  $v \in \{0, 1\}$ 
```

```
        push( $x, v, t$ )
```

```
    else
```

```
        if trail satisfies  $\varphi$  then return SAT
```

```
        else while (true) {
```

```
            if trail.empty return UNSAT
```

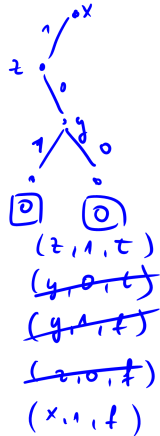
```
            else ( $x, v, b$ ) := trail.pop();
```

```
            if (! $b$ ) then { trail.push( $x, v, t$ );  
                           break }
```

```
        }
```

```
    }
```

```
}
```



Enumeration algorithm

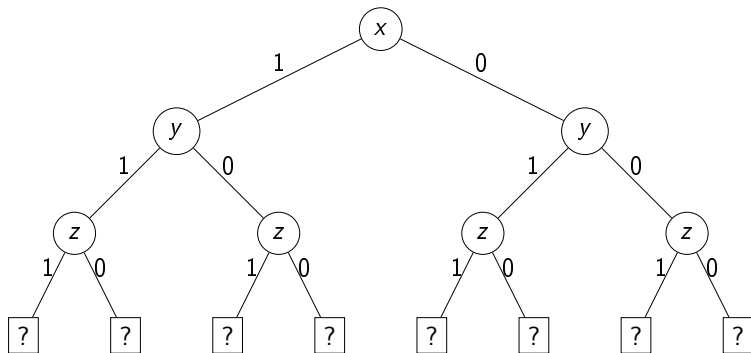
```
bool Enumeration(CNF_Formula  $\varphi$ ){
    trail.clear(); //trail is a stack
    while (true) {
        if there are unassigned variables then {
            choose unassigned variable  $x$ 
            choose value  $v \in \{0, 1\}$ 
            trail.push( $x, v, false$ )
        } else {
            if all clauses of  $\varphi$  are satisfied then return SAT
            while (true){
                if (!trail.empty()) then ( $x, v, b$ )=trail.pop()
                else return UNSAT;
                if (!b) {
                    trail.push( $x, v, true$ )
                    break
                }
            }
        }
    }
}
```

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

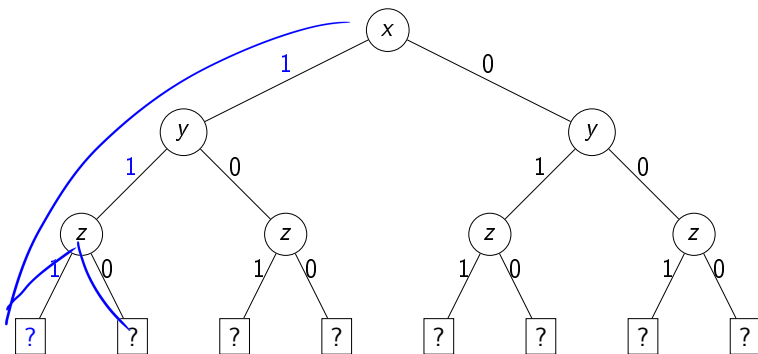
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



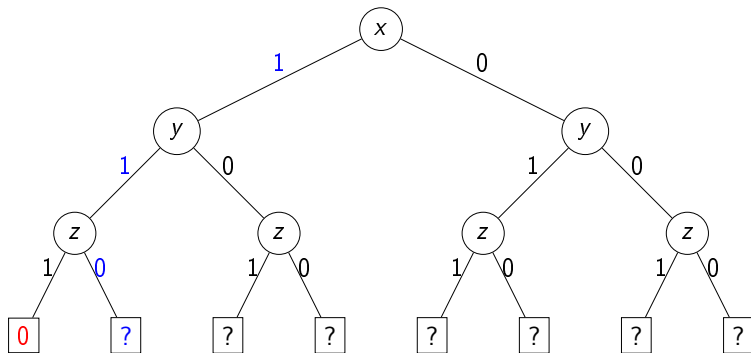
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}^{\bar{1} \quad \bar{1}}$$



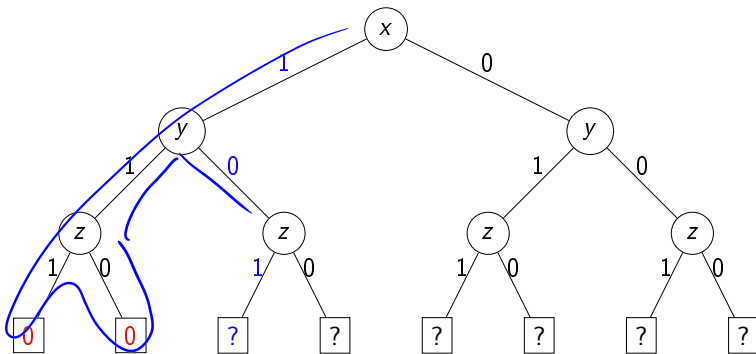
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



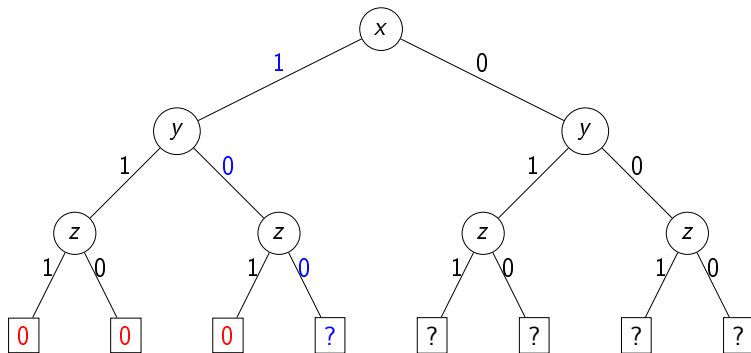
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



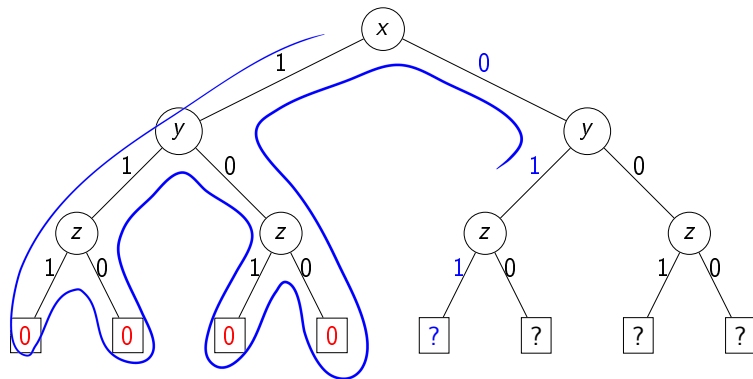
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2}^{\bar{1}} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}^{\bar{1}}$$



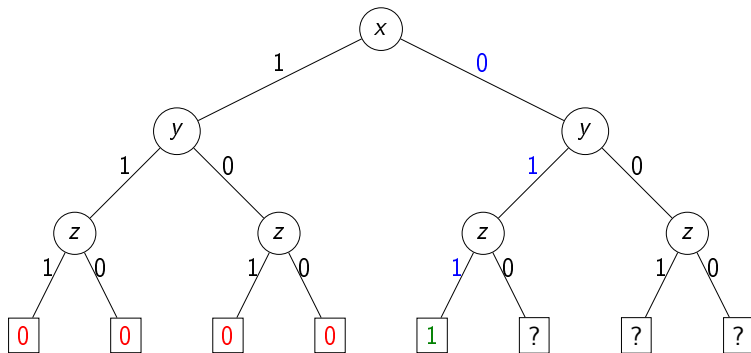
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



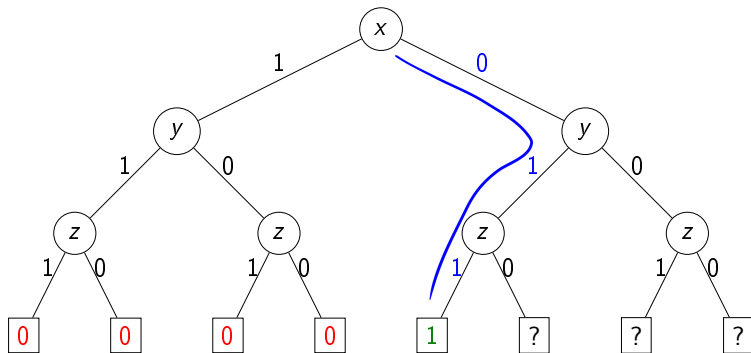
Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$



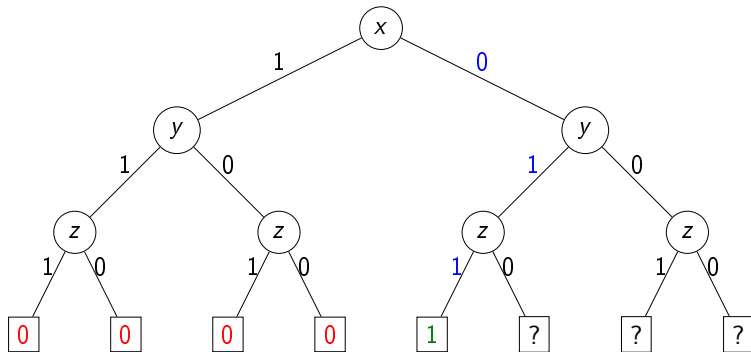
For unsatisfiable problems, all assignments need to be checked.

For satisfiable problems, variable and sign ordering might strongly influence the running time.

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3} \quad \begin{matrix} \text{F} & \text{F} \end{matrix}$$

Static variable order $x < y < z$, sign: try positive first



For unsatisfiable problems, all assignments need to be checked.

For satisfiable problems, variable and sign ordering might strongly influence the running time.

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Decision heuristics

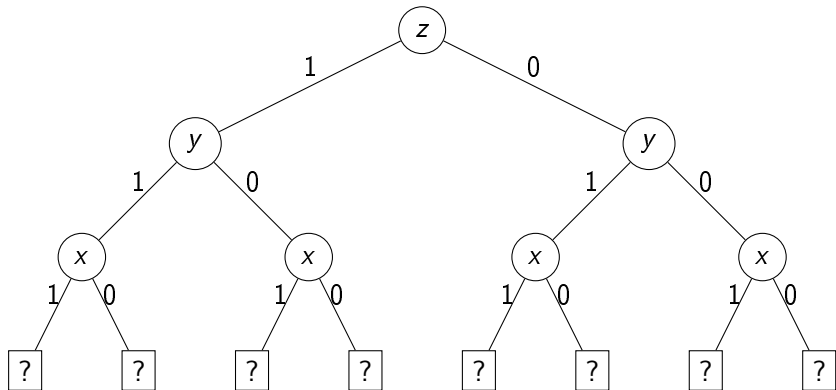
$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $z < y < x$, sign: try positive first

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

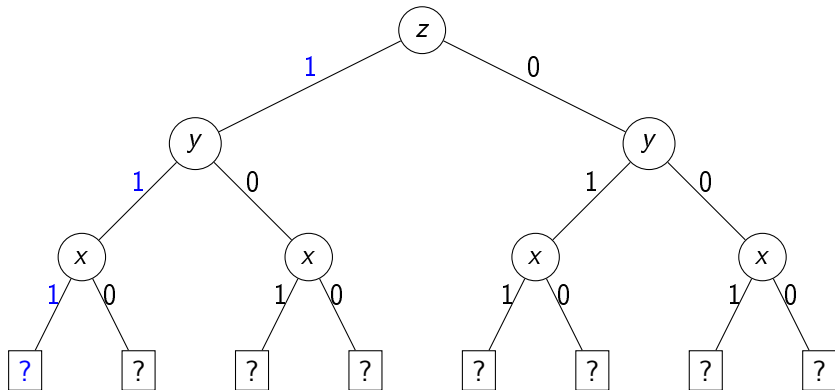
Static variable order $z < y < x$, sign: try positive first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

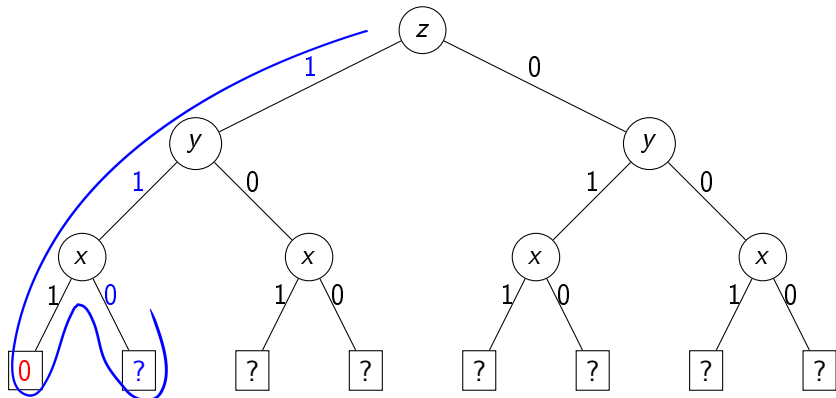
Static variable order $z < y < x$, sign: try positive first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

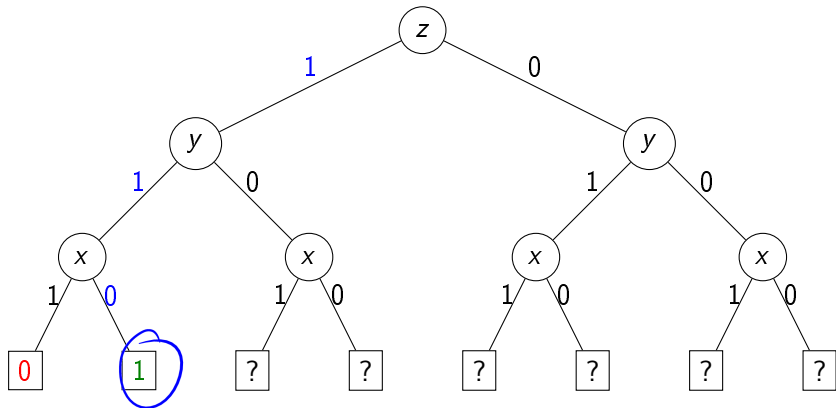
Static variable order $z < y < x$, sign: try positive first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $z < y < x$, sign: try positive first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Decision heuristics

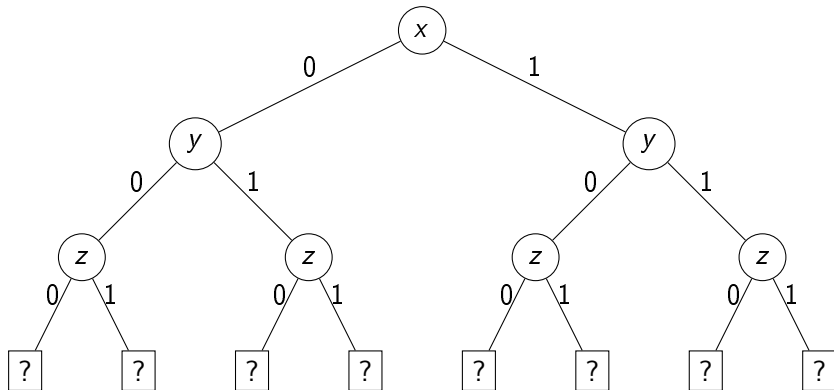
$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try negative first

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

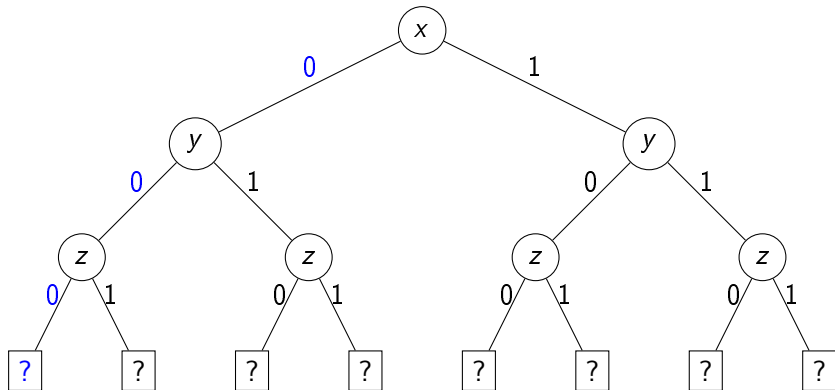
Static variable order $x < y < z$, sign: try negative first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

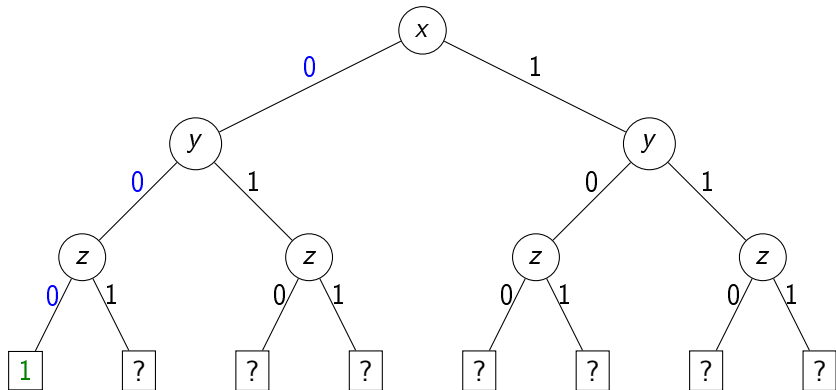
Static variable order $x < y < z$, sign: try negative first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try negative first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Decision heuristics

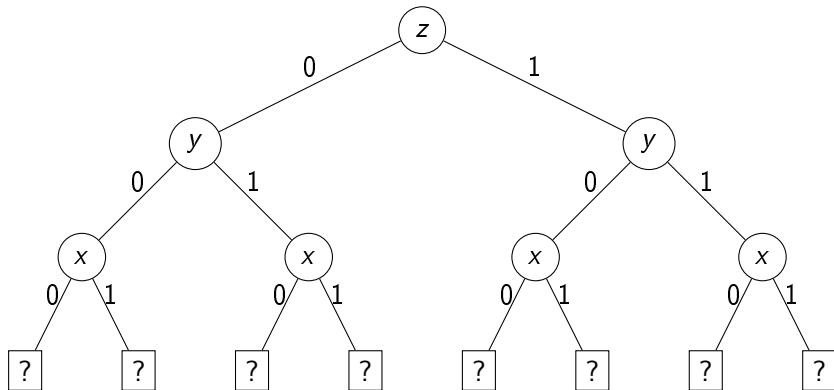
$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $z < y < x$, sign: try negative first

Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

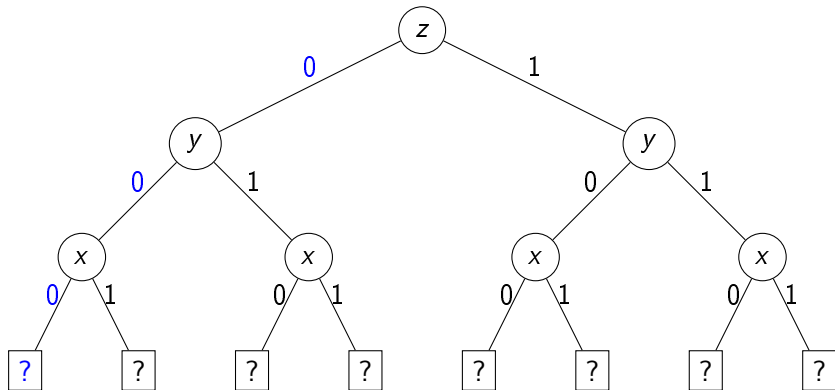
Static variable order $z < y < x$, sign: try negative first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

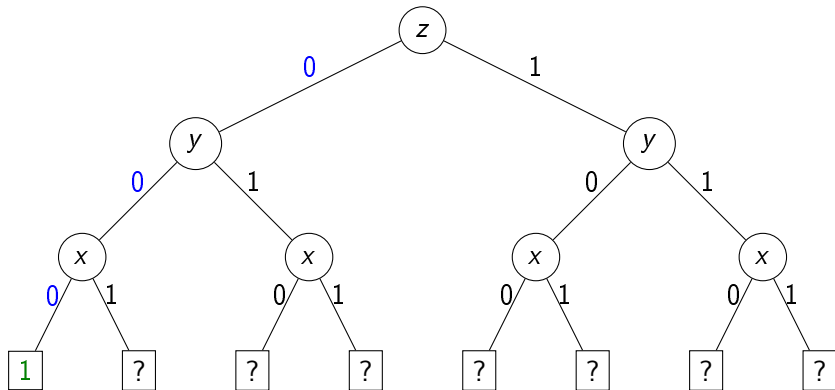
Static variable order $z < y < x$, sign: try negative first



Example CNF: Decision heuristics

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $z < y < x$, sign: try negative first



Dynamic Largest Individual Sum (DLIS): Choose an assignment that increases the most the number of satisfied clauses

- For each variable x , let C_x be the number of unresolved clauses in which x appears positively.
- For each variable x , let $C_{\neg x}$ be the number unresolved clauses in which x appears negatively.
- Let x be a variable for which C_x is maximal ($C_x \geq C_z$ for all variables z).
- Let y be a variable for which $C_{\neg y}$ is maximal ($C_{\neg y} \geq C_{\neg z}$ for all variables z).
- If $C_x > C_{\neg y}$ choose x and assign it TRUE.
- Otherwise choose y and assign it FALSE.

- Requires $\mathcal{O}(\#literals)$ queries for each decision.

Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Annotations: \Downarrow above $\neg x$ in c_1 ; \Downarrow above y in c_1 ; \Downarrow above $\neg x$ in c_3 ; \Uparrow below $C_{\neg x} = 2$; \Downarrow above $C_z = 1$

$C_x = 0$	$C_y = 2$	$C_z = 1$
$C_{\neg x} = 2$	$C_{\neg y} = 1$	$C_{\neg z} = 1$

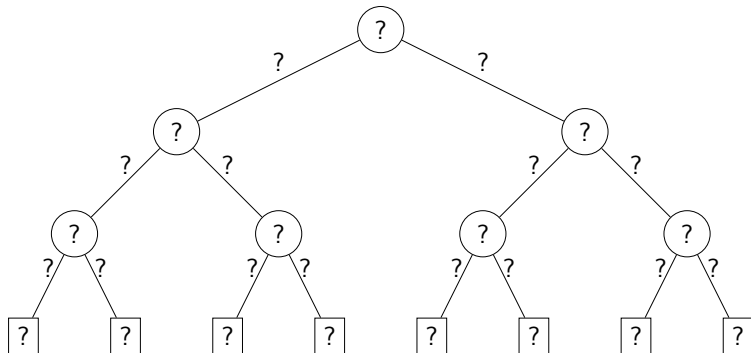
Dynamic Largest Individual Sum (DLIS) variable/sign order

Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

$$\begin{array}{lll} C_x = 0 & C_y = 2 & C_z = 1 \\ C_{\neg x} = 2 & C_{\neg y} = 1 & C_{\neg z} = 1 \end{array}$$

Dynamic Largest Individual Sum (DLIS) variable/sign order

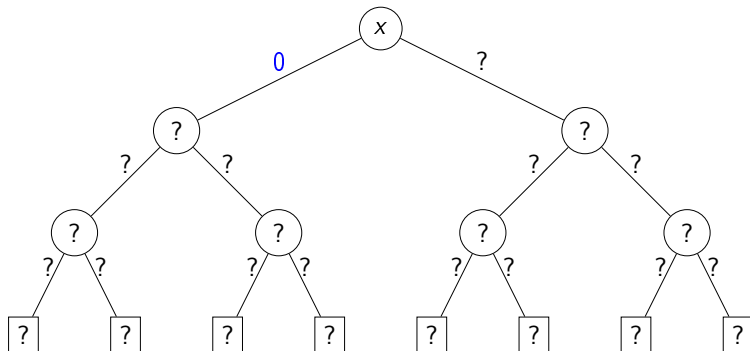


Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1 = \top} \wedge \underbrace{(y \vee \neg z)}_{c_2 = ?} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3 = \top}$$

$$\begin{array}{lll} C_x = 0 & C_y = 1 & C_z = 0 \\ C_{\neg x} = 0 & C_{\neg y} = 0 & C_{\neg z} = 1 \end{array}$$

Dynamic Largest Individual Sum (DLIS) variable/sign order



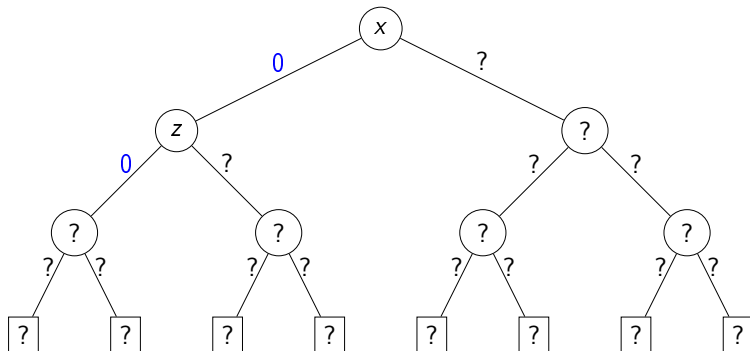
Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Annotations: \top above c_1 , \top above c_2 , \top above c_3 . Arrows point from \top to each clause.

$$\begin{array}{lll} C_x = 0 & C_y = 0 & C_z = 0 \\ C_{\neg x} = 0 & C_{\neg y} = 0 & C_{\neg z} = 0 \end{array}$$

Dynamic Largest Individual Sum (DLIS) variable/sign order

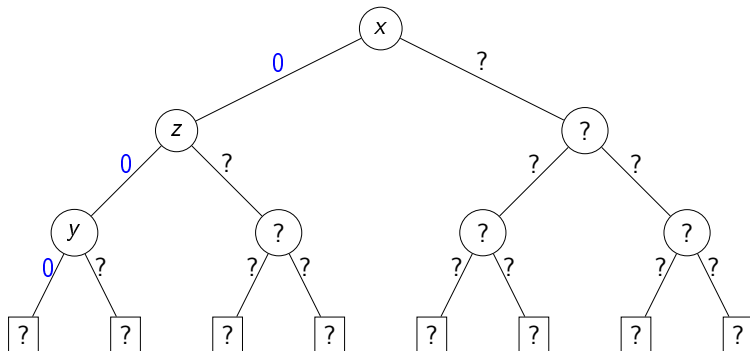


Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

$$\begin{array}{lll} C_x = 0 & C_y = 0 & C_z = 0 \\ C_{\neg x} = 0 & C_{\neg y} = 0 & C_{\neg z} = 0 \end{array}$$

Dynamic Largest Individual Sum (DLIS) variable/sign order

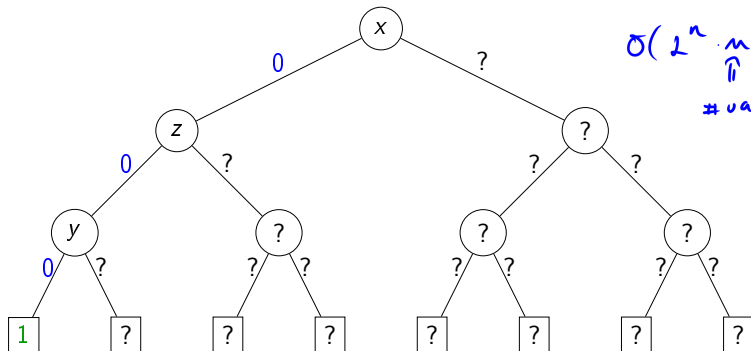


Example CNF: Decision heuristics DLIS

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

$$\begin{array}{lll} C_x = 0 & C_y = 0 & C_z = 0 \\ C_{\neg x} = 0 & C_{\neg y} = 0 & C_{\neg z} = 0 \end{array}$$

Dynamic Largest Individual Sum (DLIS) variable/sign order



lits per clause
 \Downarrow
 $O(2^n \cdot \underbrace{n}_{\# \text{ vars}} \cdot \underbrace{m}_{\# \text{ clauses}} \cdot k)$

Jeroslow-Wang method

Compute for every literal l the following **static** value:

$$\underline{J(l)} : \sum_{\substack{l \in c, c \in \phi}} 2^{-|c|} \quad \text{--- \# literals in } c$$

Handwritten examples:

$$\begin{aligned} c_1 &= (l_1 \vee l_2) & 2^{-|c_1|} &= \frac{1}{4} \\ c_2 &= (l_1 \vee l_2 \vee l_3) & 2^{-|c_2|} &= \frac{1}{8} \\ c_3 &= (l_1 \vee l_2 \vee l_3 \vee l_4) & 2^{-|c_3|} &= \frac{1}{16} \end{aligned}$$

- Choose a literal l that maximizes $J(l)$.
- This gives an exponentially higher weight to literals in shorter clauses

$$\begin{aligned} J(l_1) &= \frac{1}{4} + \frac{1}{8} + \frac{1}{16} \\ J(l_4) &= \frac{1}{16} \end{aligned}$$

Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static Jersolow-Wang method

$$\exists(x) = 0$$

$$\exists(\neg x) = \frac{1}{8} + \frac{1}{4}$$

$$\exists(y) = \frac{1}{8} + \frac{1}{4}$$

$$\exists(\neg y) = \frac{1}{4}$$

$$\exists(z) = \frac{1}{8}$$

$$\exists(\neg z) = \frac{1}{4}$$

Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static Jersolow-Wang method

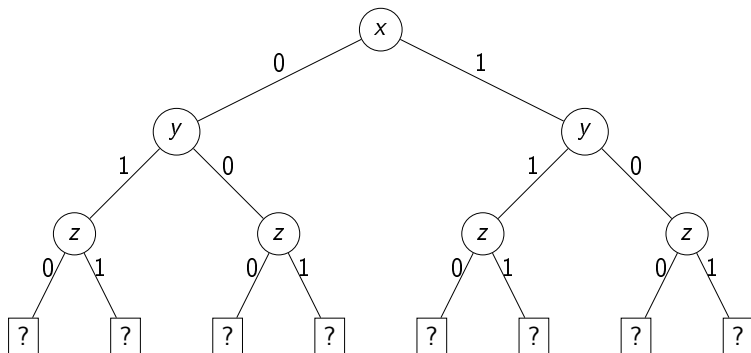
$$J(x) = 0, \quad \underline{J(\neg x) = \frac{1}{8} + \frac{1}{4}}, \quad \underline{J(y) = \frac{1}{8} + \frac{1}{4}}, \quad J(\neg y) = \frac{1}{4}, \quad J(z) = \frac{1}{8}, \quad J(\neg z) = \frac{1}{4}$$

Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static Jersolow-Wang method

$$J(x) = 0, J(\neg x) = \frac{1}{8} + \frac{1}{4}, J(y) = \frac{1}{8} + \frac{1}{4}, J(\neg y) = \frac{1}{4}, J(z) = \frac{1}{8}, J(\neg z) = \frac{1}{4}$$

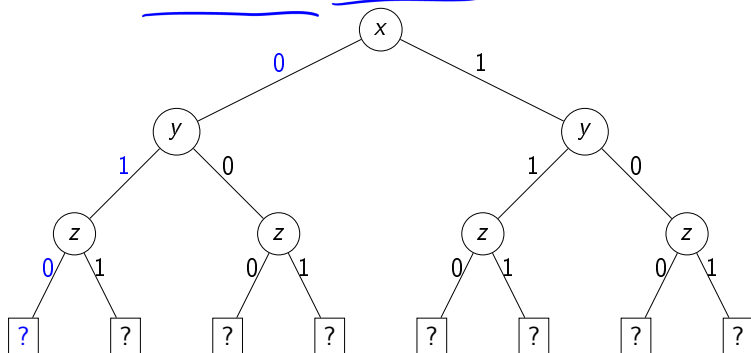


Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static Jersolow-Wang method

$$J(x) = 0, \quad \underline{J(\neg x) = \frac{1}{8} + \frac{1}{4}}, \quad \underline{J(y) = \frac{1}{8} + \frac{1}{4}}, \quad J(\neg y) = \frac{1}{4}, \quad J(z) = \frac{1}{8}, \quad J(\neg z) = \frac{1}{4}$$

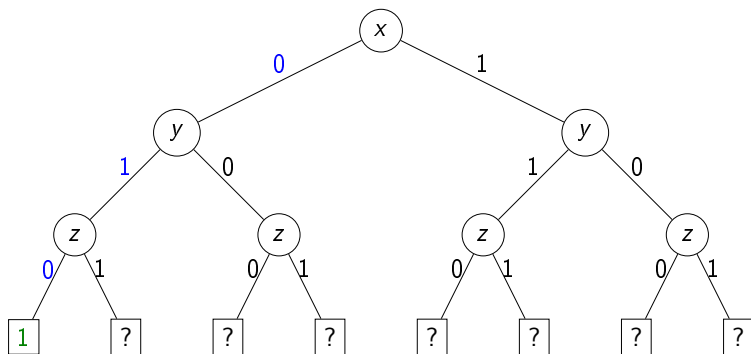


Example CNF: Jersolow-Wang method

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static Jersolow-Wang method

$$J(x) = 0, J(\neg x) = \frac{1}{8} + \frac{1}{4}, J(y) = \frac{1}{8} + \frac{1}{4}, J(\neg y) = \frac{1}{4}, J(z) = \frac{1}{8}, J(\neg z) = \frac{1}{4}$$



- We will see other (more advanced) decision heuristics later.

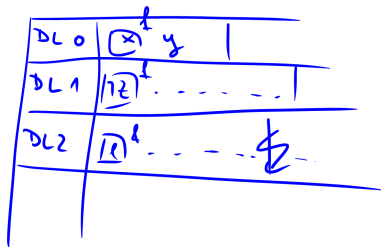
- Decision (enumeration)
- Boolean constraint propagation (BCP)
- Conflict resolution and backtracking

Status of clause

- Given a (partial) assignment, a clause can be
 - satisfied**: at least one literal is satisfied
 - unsatisfied**: all literals are assigned but none are satisfied
 - unit**: all but one literals are assigned but none are satisfied
 - unresolved**: all other cases

- Example**: $c = (x_1 \vee x_2 \vee x_3)$

x_1	x_2	x_3	c
1	0		satisfied
0	0	0	unsatisfied
0	0		unit
	0		unresolved



BCP: Unit clauses are used to imply consequences of decisions.

$$x \wedge c: (\neg x \vee \neg y)$$

\uparrow \uparrow
 \vdash \vdash

Status of clause

- Given a (partial) assignment, a clause can be
 - satisfied**: at least one literal is satisfied
 - unsatisfied**: all literals are assigned but none are satisfied
 - unit**: all but one literals are assigned but none are satisfied
 - unresolved**: all other cases
- **Example**: $c = (x_1 \vee x_2 \vee x_3)$

x_1	x_2	x_3	c
1	0		satisfied
0	0	0	unsatisfied
0	0		unit
	0		unresolved

BCP: Unit clauses are used to imply consequences of decisions.

Some notations:

- **Decision Level (DL)** is a counter for decisions
- **Antecedent(l)**: unit clause implying the value of the literal l (nil if decision)

Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Example CNF: Boolean constraint propagation

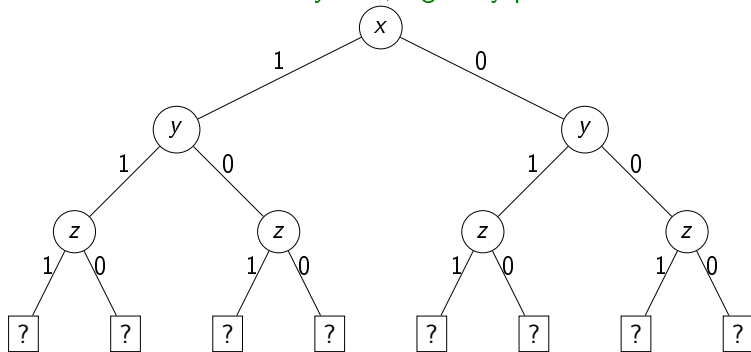
$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first

Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first

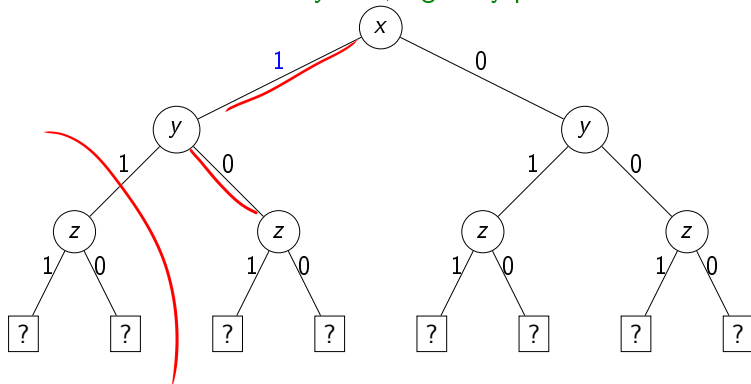


Example CNF: Boolean constraint propagation



$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \cancel{\neg y})}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first

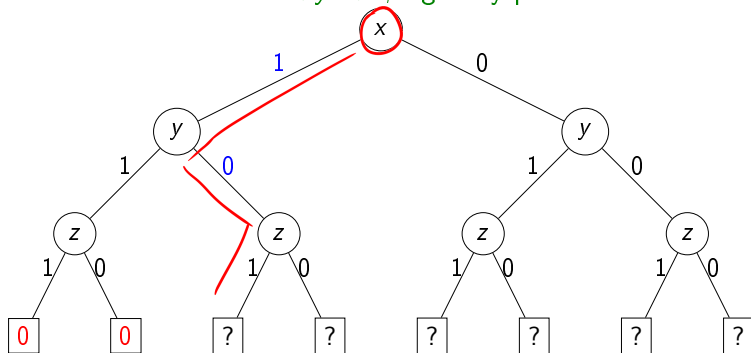


Example CNF: Boolean constraint propagation

DL1 x y z ↺

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

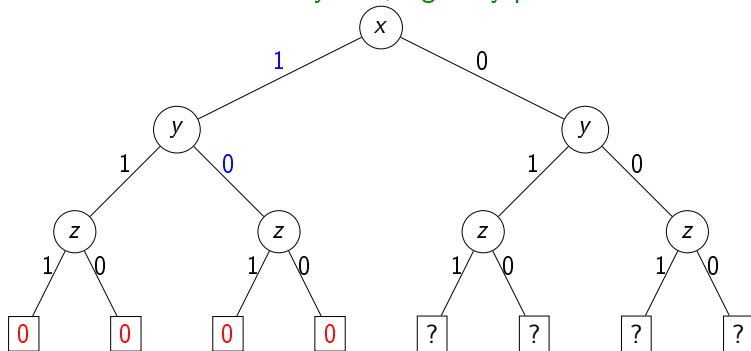
Static variable order $x < y < z$, sign: try positive first



Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

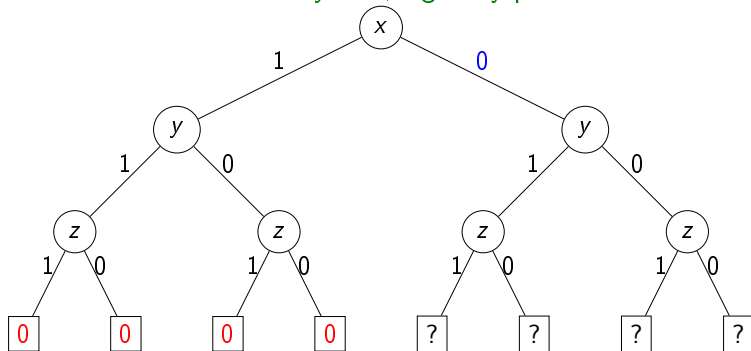
Static variable order $x < y < z$, sign: try positive first



Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

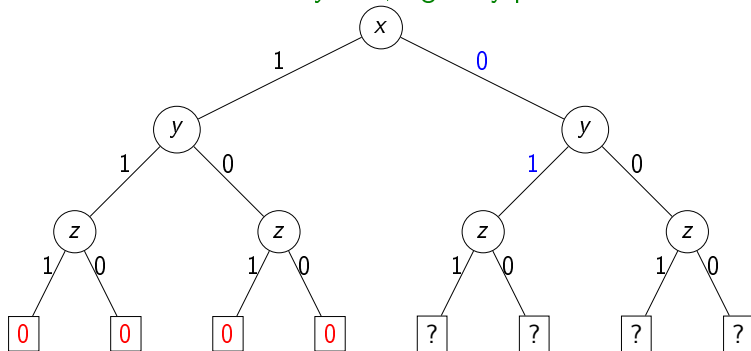
Static variable order $x < y < z$, sign: try positive first



Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

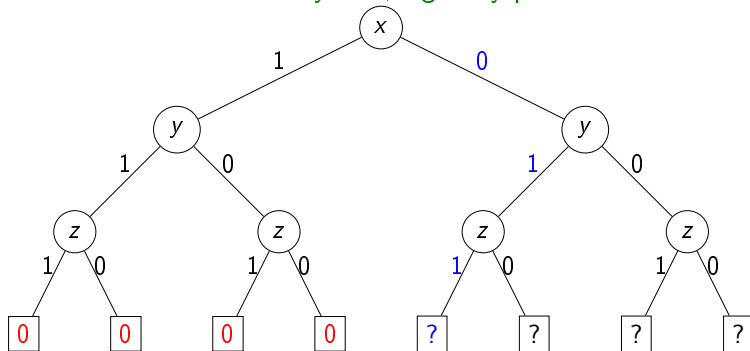
Static variable order $x < y < z$, sign: try positive first



Example CNF: Boolean constraint propagation

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

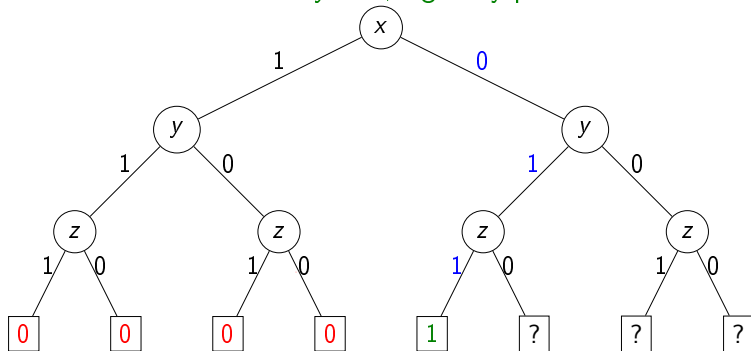
Static variable order $x < y < z$, sign: try positive first



Example CNF: Boolean constraint propagation

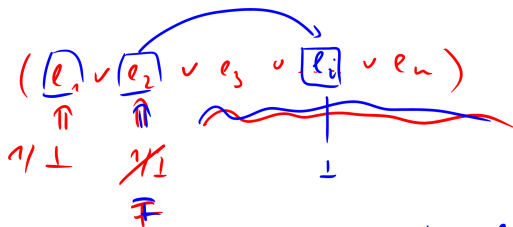
$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first



Watched literals

- For BCP, it would be a large effort to check for each propagation the value of each literal in each clause.



$l_2 \mapsto \text{False}$

Needed: literal \rightarrow set of clauses
where lit is
watched

~~clauses \rightarrow watched lit~~

- For BCP, it would be a large effort to check for each propagation the value of each literal in each clause.
- One could keep for each literal a list of clauses in which it occurs.

- For BCP, it would be a large effort to check for each propagation the value of each literal in each clause.
- One could keep for each literal a list of clauses in which it occurs.
- It is even enough to **watch two literals** in each clause such that either one of them is true or both are unassigned.
If a literal l gets true, we check each clause in which $\neg l$ is a watched literal (which is now false).
 - If the other watched literal is true, the clause is satisfied.
 - Else, if we find a new literal to watch, we are done.
 - Else, if the other watched literal is unassigned, the clause is unit.
 - Else, if the other watched literal is false, the clause is conflicting.

Implication graph

We represent (partial) variable assignments in the form of an **implication graph**.

Implication graph

We represent (partial) variable assignments in the form of an **implication graph**.

Definition

An **implication graph** is a labeled directed acyclic graph $G = (V, E, L)$, where

- V is a set of nodes, one for each currently assigned variable and an additional conflict node κ if there is a currently conflicting clause c_{conf} .
- L is a labeling function assigning a label to each node. The conflict node (if any) is labelled by $L(\kappa) = \kappa$. Each other node n , representing that x is assigned $v \in \{0, 1\}$ at decision level d , is labeled with $L(n) = (x = v@d)$; we define $literal(n) = x$ if $v = 1$ and $literal(n) = \neg x$ if $v = 0$.
- $E = \{(n_i, n_j) | n_i, n_j \in V, n_i \neq n_j, \neg literal(n_i) \in \text{Antecedent}(literal(n_j))\} \cup \{(n, \kappa) | n, \kappa \in V, \neg literal(n) \in c_{conf}\}$ is the set of directed edges where each edge (n_i, n_j) is labeled with $\text{Antecedent}(literal(n_j))$ if $n_j \neq \kappa$ and with c_{conf} otherwise.

Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first

Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first

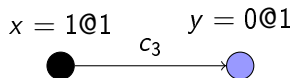
$$x = 1@1$$



Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

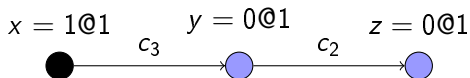
Static variable order $x < y < z$, sign: try positive first



Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

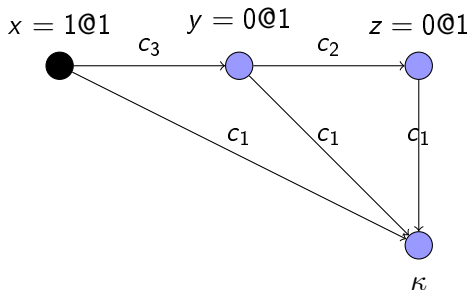
Static variable order $x < y < z$, sign: try positive first



Implication graph: Example

$$\underbrace{(\neg x \vee y \vee z)}_{c_1} \wedge \underbrace{(y \vee \neg z)}_{c_2} \wedge \underbrace{(\neg x \vee \neg y)}_{c_3}$$

Static variable order $x < y < z$, sign: try positive first



Implication graph: Example

Assignment: { }

$$c_1 = (\neg x_1 \vee x_2)$$

$$c_2 = (\neg x_1 \vee x_3 \vee x_7)$$

$$c_3 = (\neg x_2 \vee \neg x_3 \vee x_4)$$

$$c_4 = (\neg x_4 \vee x_5 \vee x_8)$$

$$c_5 = (\neg x_4 \vee x_6 \vee x_9)$$

$$c_6 = (\neg x_5 \vee \neg x_6)$$

Implication graph: Example

Assignment: $\{x_7 = 0@1\}$

$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



$x_7 = 0@1$

Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2\}$ }

$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$

$$x_8 = 0@2$$



$$x_7 = 0@1$$

Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3\}$

$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$

$x_8 = 0@2$



$x_7 = 0@1$



$x_9 = 0@3$

Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$

$$x_8 = 0@2$$



$$x_1 = 1@4$$



$$x_7 = 0@1$$

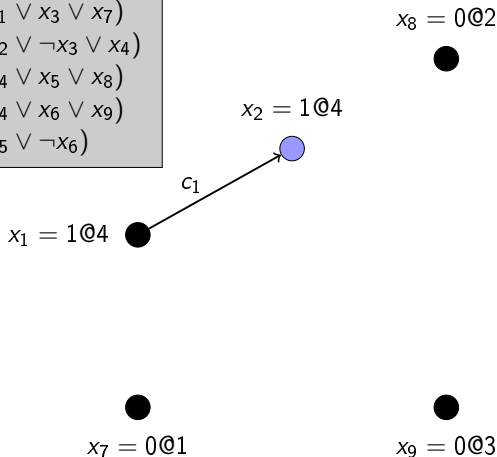


$$x_9 = 0@3$$

Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

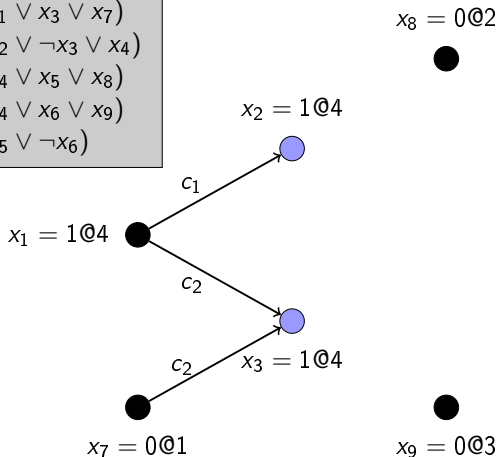
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

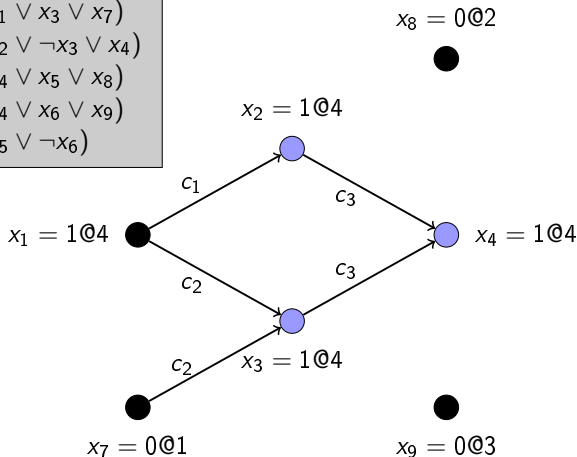
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

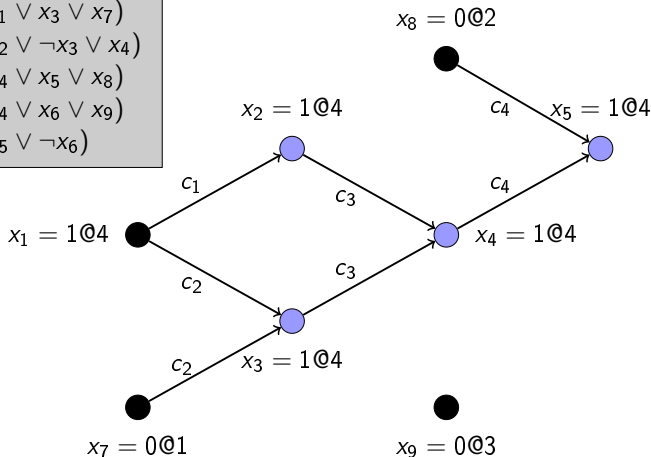
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

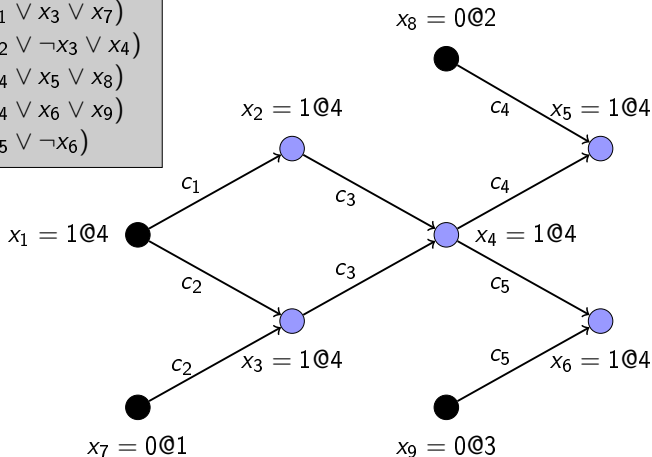
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

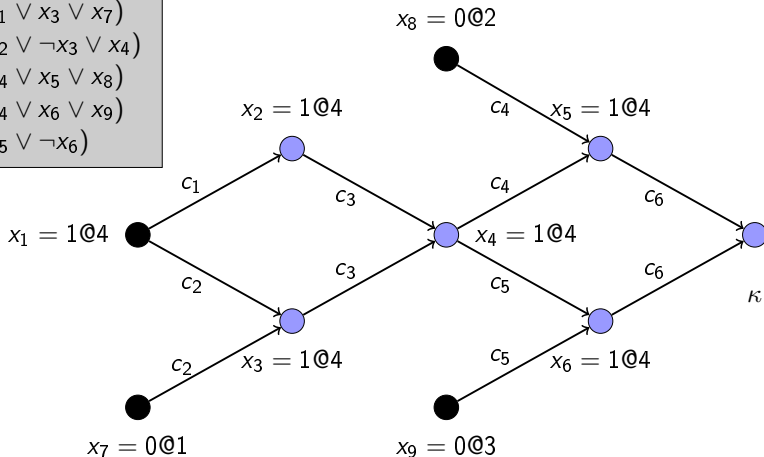
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



Implication graph: Example

Assignment: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$

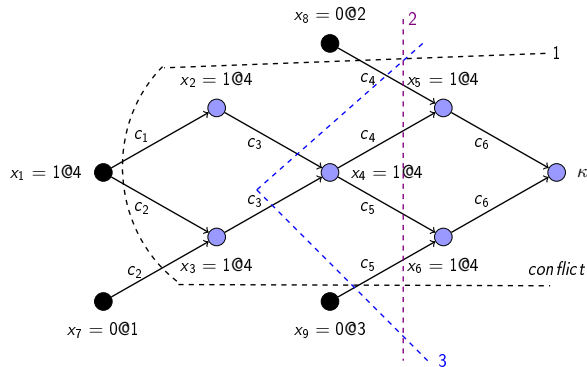
$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



- Decision (enumeration)
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking

Conflict resolution

- Assume that the current (partial) assignment doesn't satisfy our formula.
- Let L be a set of literals labeling nodes that form a cut in the implication graph, separating a conflict node from the roots.
- $\bigvee_{l \in L} \neg l$ is called a **conflict clause**: its satisfaction is necessary for the satisfaction of the formula.



$$1. (x_8 \vee \neg x_1 \vee x_7 \vee x_9)$$

$$2. (x_8 \vee \neg x_4 \vee x_9)$$

$$3. (x_8 \vee \neg x_2 \vee \neg x_3 \vee x_9)$$

...

Conflict resolution

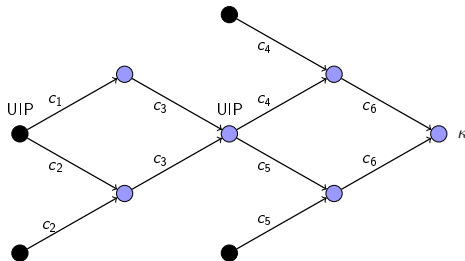
- Which conflict clauses should we consider?

Conflict resolution

- Which conflict clauses should we consider?
- An **asserting clause** is a conflict clause with a single literal from the current decision level.
Backtracking (to the right level) makes it a **unit clause**.
- Modern solvers consider only asserting clauses.

Conflict resolution

- Which conflict clauses should we consider?
- An **asserting clause** is a conflict clause with a single literal from the current decision level.
Backtracking (to the right level) makes it a **unit clause**.
- Modern solvers consider only asserting clauses.
- A **unique implication point (UIP)** is an internal node in the implication graph such that **all paths from the last decision to the conflict node go through it**.
- The **first UIP** is the UIP closest to the conflict.



Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.

Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.
- Backtrack to the **second** highest decision level d_l in the asserting conflict clause (but do not erase it).
- This way the literal with the currently highest decision level will be implied at decision level d_l .
- Propagate all new assignments.

Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.
- Backtrack to the **second** highest decision level $d/$ in the asserting conflict clause (but do not erase it).
- This way the literal with the currently highest decision level will be implied at decision level $d/$.
- Propagate all new assignments.

Q: What happens if the conflict clause has a single literal?

For example, from $(x \vee \neg y) \wedge (x \vee y)$ and decision $x = 0$, we get (x) .

Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.
- Backtrack to the **second** highest decision level $d/$ in the asserting conflict clause (but do not erase it).
- This way the literal with the currently highest decision level will be implied at decision level $d/$.
- Propagate all new assignments.

Q: What happens if the conflict clause has a single literal?

For example, from $(x \vee \neg y) \wedge (x \vee y)$ and decision $x = 0$, we get (x) .

A: Backtrack to DL0.

Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.
- Backtrack to the **second** highest decision level $d/$ in the asserting conflict clause (but do not erase it).
- This way the literal with the currently highest decision level will be implied at decision level $d/$.
- Propagate all new assignments.

Q: What happens if the conflict clause has a single literal?

For example, from $(x \vee \neg y) \wedge (x \vee y)$ and decision $x = 0$, we get (x) .

A: Backtrack to DL0.

Q: What happens if the conflict appears at decision level 0?

Conflict-driven backtracking

- Usually, the asserting conflict clause is **learnt** by adding it to the clause set. However, this is not necessary for completeness.
- Backtrack to the **second** highest decision level $d/$ in the asserting conflict clause (but do not erase it).
- This way the literal with the currently highest decision level will be implied at decision level $d/$.
- Propagate all new assignments.

Q: What happens if the conflict clause has a single literal?

For example, from $(x \vee \neg y) \wedge (x \vee y)$ and decision $x = 0$, we get (x) .

A: Backtrack to DL0.

Q: What happens if the conflict appears at decision level 0?

A: The formula is unsatisfiable.

The basic SAT algorithm

```
if (!BCP()) return UNSAT;
while (true)
{
    if (!decide()) return SAT;
    while (!BCP())
        if (!resolve_conflict()) return UNSAT;
}
```


The basic SAT algorithm

```
if (!BCP()) return UNSAT;  
while (true)  
{  
    if (!decide()) return SAT;  
    while (!BCP())  
        if (!resolve_conflict()) return UNSAT;  
}
```

Choose the next variable
and value.

Return false if all variables
are assigned.

The basic SAT algorithm

```
if (!BCP()) return UNSAT;  
while (true)  
{  
    if (!decide()) return SAT;  
    while (!BCP())  
        if (!resolve_conflict()) return UNSAT;  
}
```

Choose the next variable
and value.

Return false if all variables
are assigned.

Boolean constraint propagation.
Return false if reached a conflict

The basic SAT algorithm

```
if (!BCP()) return UNSAT;  
while (true)  
{  
    if (!decide()) return SAT;  
    while (!BCP())  
        if (!resolve_conflict()) return UNSAT;  
}
```

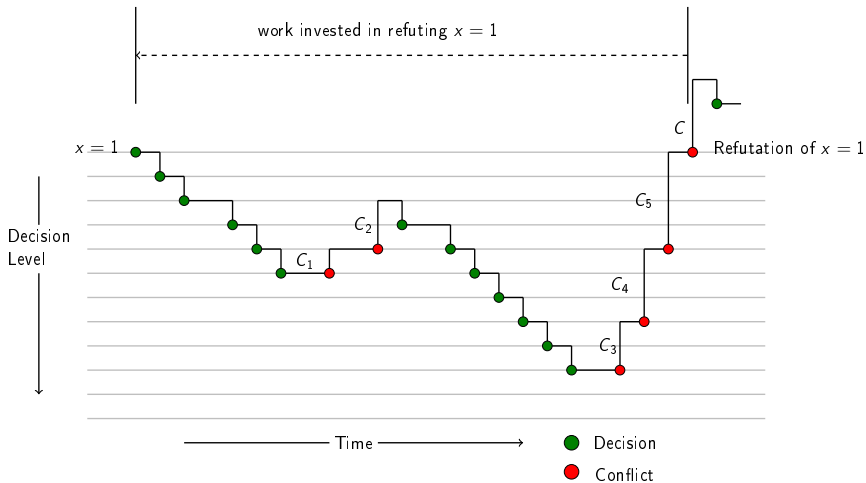
Choose the next variable and value.

Return false if all variables are assigned.

Boolean constraint propagation.
Return false if reached a conflict

Conflict resolution and backtracking. Return false if impossible.

Progress of a SAT solver



Conflict clauses and resolution

- The binary resolution is a sound (and complete) inference rule:

$$\frac{(\beta \vee a_1 \vee \dots \vee a_n) \quad (\neg\beta \vee b_1 \vee \dots \vee b_m)}{(a_1 \vee \dots \vee a_n \vee b_1 \vee \dots \vee b_m)} \text{(Binary Resolution)}$$

- Example:

$$\frac{(x_1 \vee x_2) \quad (\neg x_1 \vee x_3 \vee x_4)}{(x_2 \vee x_3 \vee x_4)}$$

Conflict clauses and resolution

- The binary resolution is a sound (and complete) inference rule:

$$\frac{(\beta \vee a_1 \vee \dots \vee a_n) \quad (\neg\beta \vee b_1 \vee \dots \vee b_m)}{(a_1 \vee \dots \vee a_n \vee b_1 \vee \dots \vee b_m)} \text{ (Binary Resolution)}$$

- Example:

$$\frac{(x_1 \vee x_2) \quad (\neg x_1 \vee x_3 \vee x_4)}{(x_2 \vee x_3 \vee x_4)}$$

What is the relation of resolution and conflict clauses?

Conflict clauses and resolution

- Consider the following example:

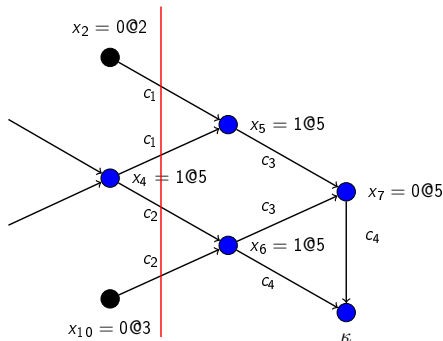
$$c_1 = (\neg x_4 \vee x_2 \vee x_5)$$

$$c_2 = (\neg x_4 \vee x_{10} \vee x_6)$$

$$c_3 = (\neg x_5 \vee \neg x_6 \vee \neg x_7)$$

$$c_4 = (\neg x_6 \vee x_7)$$

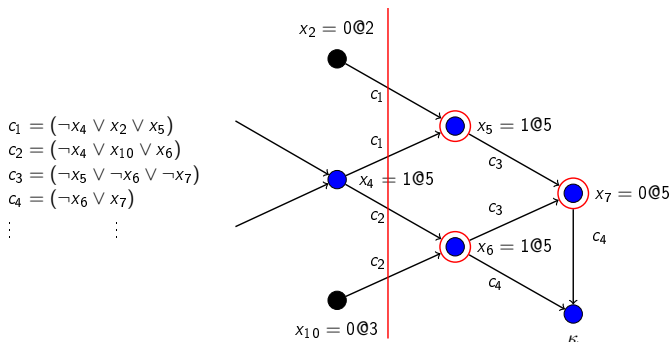
\vdots \vdots



- Conflict clause: $c_5 : (x_2 \vee \neg x_4 \vee x_{10})$

Conflict clauses and resolution

- Conflict clause: $c_5 : (x_2 \vee \neg x_4 \vee x_{10})$



- Assignment order: x_4, x_5, x_6, x_7
 - $T1 = \text{Res}(c_4, c_3, x_7) = (\neg x_5 \vee \neg x_6)$
 - $T2 = \text{Res}(T1, c_2, x_6) = (\neg x_4 \vee \neg x_5 \vee x_{10})$
 - $T3 = \text{Res}(T2, c_1, x_5) = (x_2 \vee \neg x_4 \vee x_{10})$

Finding the conflict clause

```
procedure analyze_conflict() {  
    if (current_decision_level = 0) return false;  
    cl := current_conflicting_clause;  
    while (not stop_criterion_met(cl)) do {  
        lit := last_assigned_literal(cl);  
        var := variable_of_literal(lit);  
        ante := antecedent(var);  
        cl := resolve(cl, ante, var);  
    }  
    add_clause_to_database(cl);  
    return true;  
}
```

Applied to our example:

name	<i>cl</i>	<i>lit</i>	<i>var</i>	<i>ante</i>
c_4	$(\neg x_6 \vee x_7)$	x_7	x_7	c_3
	$(\neg x_5 \vee \neg x_6)$	$\neg x_6$	x_6	c_2
	$(\neg x_4 \vee x_{10} \vee \neg x_5)$	$\neg x_5$	x_5	c_1
c_5	$(\neg x_4 \vee x_2 \vee x_{10})$			

Definition

An **unsatisfiable core** of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

Definition

An **unsatisfiable core** of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

- The set of all original clauses is an unsatisfiable core.

Definition

An **unsatisfiable core** of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

- The set of all original clauses is an unsatisfiable core.
- The set of those original clauses that were used for resolution in conflict analysis during SAT-solving (inclusively the last conflict at decision level 0) gives us an unsatisfiable core which is in general much smaller.

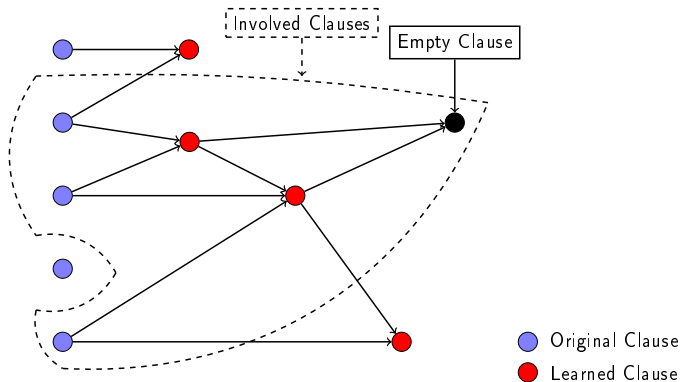
Definition

An **unsatisfiable core** of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

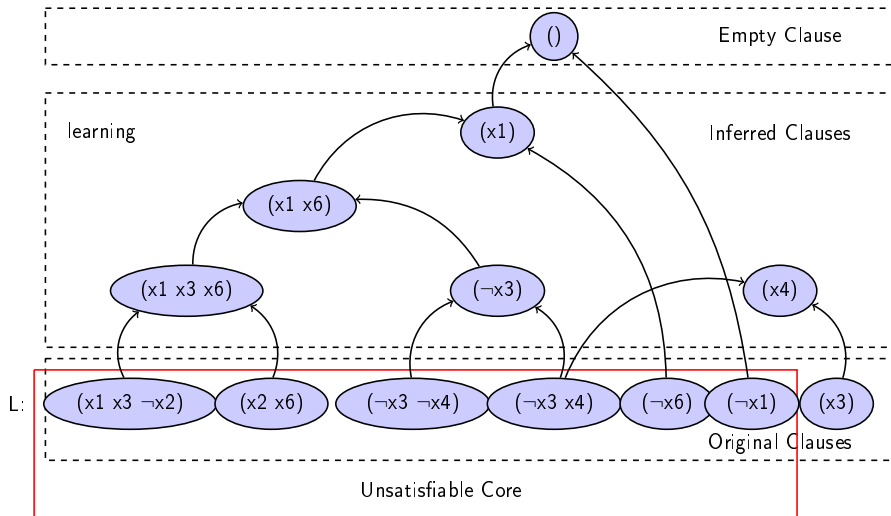
- The set of all original clauses is an unsatisfiable core.
- The set of those original clauses that were used for resolution in conflict analysis during SAT-solving (inclusively the last conflict at decision level 0) gives us an unsatisfiable core which is in general much smaller.
- However, this unsatisfiable core is still not always minimal (i.e., we can remove clauses from it still having an unsatisfiable core).

The resolution graph

A **resolution graph** gives us more information to get a minimal unsatisfiable core.



Resolution graph: Example



Theorem

It is never the case that the solver enters decision level dl again with the same partial assignment.

Theorem

It is never the case that the solver enters decision level dl again with the same partial assignment.

Proof.

Define a partial order on partial assignments: $\alpha < \beta$ iff either α is an extension of β or α has more assignments at the smallest decision level at that α and β do not agree.

BCP decreases the order, conflict-driven backtracking also. Since the order always decreases during the search, the theorem holds. \square

Back to decision heuristics...

- Decision (enumeration)
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking

Decision heuristics - VSIDS

- VSIDS (variable state independent decaying sum)
- Gives priority to variables involved in recent conflicts.
- “Involved” can have different definitions. We take those variables that occur in clauses used for conflict resolution.

Decision heuristics - VSIDS

- VSIDS (variable state independent decaying sum)
 - Gives priority to variables involved in recent conflicts.
 - “Involved” can have different definitions. We take those variables that occur in clauses used for conflict resolution.
- 1 Each variable in each polarity has a **counter** initialized to 0.
 - 2 We define an **increment** value (e.g., 1).
 - 3 When a **conflict** occurs, we increase the counter of each variable, that occurs in at least one clause used for conflict resolution, by the increment value.
Afterwards we increase the increment value (e.g., by 1).
 - 4 For decisions, the unassigned variable with the **highest counter** is chosen.
 - 5 Periodically, all the counters and the increment value are **divided** by a constant.

- **Chaff** holds a list of unassigned variables sorted by the counter value.
- Updates are needed only when adding conflict causes.
- Thus - decision is made in constant time.

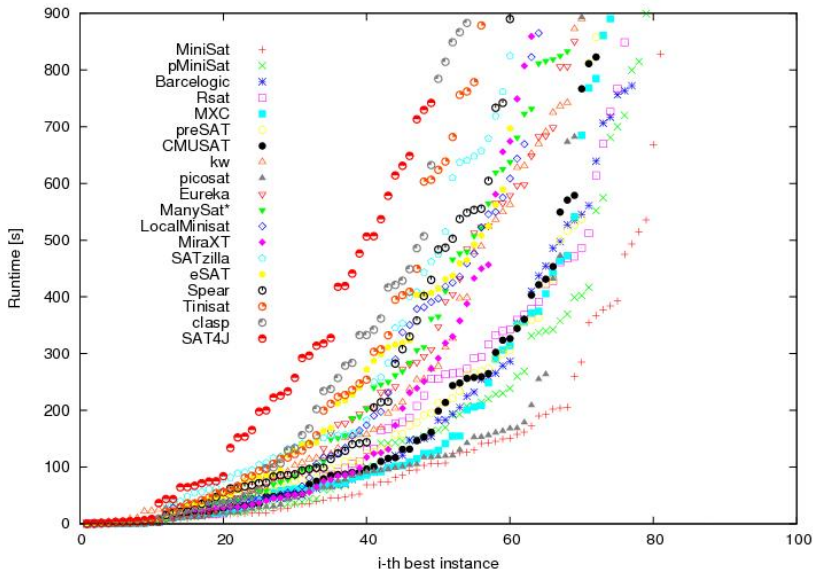
VSIDS is a 'quasi-static' strategy:

- **static** because it doesn't depend on current assignment
- **dynamic** because it gradually changes. Variables that appear in recent conflicts have higher priority.

This strategy is a **conflict-driven** decision strategy.

"...employing this strategy dramatically (i.e., an order of magnitude) improved performance..."

The SAT competitions



taken from <http://baldur.itl.uka.de/sat-race-2008/analysis.html>