# Satisfiability Checking Simplex as a Theory Module in SMT

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WS 16/17

### Outline

1 Full lazy SMT-solving with Simplex

2 Less lazy SMT-solving with Simplex

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2 Less lazy SMT-solving with Simplex

### The Xmas problem

There are three types of Xmas presents Santa Claus can make.

- Santa Claus wants to reduce the overhead by making only two types.
- He needs at least 100 presents.
- He needs at least 5 of either type 1 or type 2.
- He needs at least 10 of the third type.
- Each present of type 1, 2, and 3 need 1, 2, resp. 5 minutes to make.
- Santa Claus is late, and he has only 3 hours left.
- Each present of type 1, 2, and 3 costs 3, 2, resp. 1 EUR.
- He has 300 EUR for presents in total.

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 $(p_1 \ge 5 \lor p_2 \ge 5) \land p_3 \ge 10 \land p_1 + 2p_2 + 5p_3 \le 180 \land$   
 $3p_1 + 2p_2 + p_3 \le 300$ 

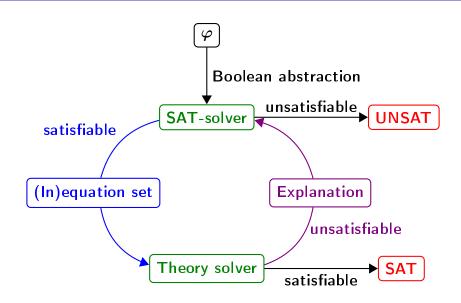
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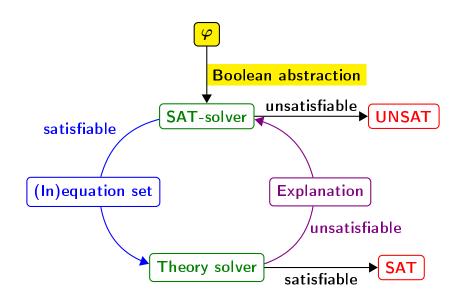
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For the moment we relax the integrality constraints, i.e., we search for a real-valued solution.





### Boolean abstraction

Arithmetic formula:

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

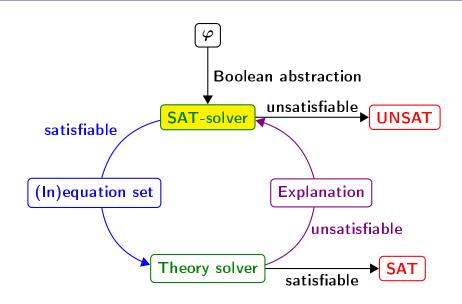
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Boolean abstraction:

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$



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$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$$

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```
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```

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DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1
```

Boolean abstraction:

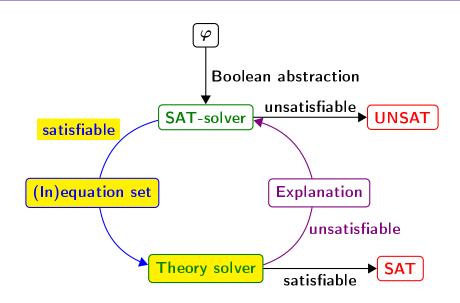
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DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

DL3: a_5: 0, a_6: 1
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### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0, DL2: a_2: 0, a_3: 1, DL3: a_5: 0, a_6: 1
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DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0,

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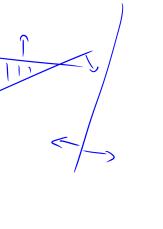
True theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ ,  $a_3$ ,  $a_6$ 

#### Current assignment:

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0,$  $DL2: a_2: 0, a_3: 1, DL3: a_5: 0, a_6: 1$ 

True theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ ,  $a_3$ ,  $a_6$ 

$$\underbrace{(p_{1} = 0)}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \geq 100}_{a_{4}} \wedge \underbrace{(p_{1} \geq 5)}_{a_{5}} \vee \underbrace{p_{2} \geq 5)}_{a_{6}} \wedge \underbrace{p_{3} \geq 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \leq 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \leq 300}_{a_{9}}$$



#### Current assignment:

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True theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ ,  $a_3$ ,  $a_6$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

#### Encoding:

$$p_1 + p_2 + p_3 \ge 100$$
,  $p_3 \ge 10$ ,  $p_1 + 2p_2 + 5p_3 \le 180$ ,  $3p_1 + 2p_2 + p_3 \le 300$ ,  $p_3 = 0$ ,  $p_2 \ge 5$ 

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		57(100)	l s (o	(ه) دم (		
$s_1(0)$	1	1	1	P (100	) 1	- <	- 1		
s <sub>2</sub> (0)	0	0	1	Sz	9	0	1		
$s_3(0)$	1	2	5	S 3	1	1	4		
s <sub>4</sub> (0)	3	2	1						
s <sub>5</sub> (0)	0	0	1						
$s_6(0)$	0	1	0						

$$S_1 = \rho_1 + \rho_1 + \rho_3$$
  $\Rightarrow \rho_1 = \underbrace{S_1 - \rho_2 - \rho_3}$   
 $S_3 = \rho_1 + 2\rho_2 + 5\rho_3$   $\Rightarrow S_3 = \underbrace{(S_1 - \rho_2 - \rho_3) + 2\rho_2 + 5\rho_3}$   
 $= S_1 + \rho_2 + 4\rho_3$ 

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		51(100)	12(0)	S <sub>2</sub> (10)
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	P 1			
s <sub>2</sub> (0)	0	0	1	$s_2(0) =$	0	0	1	P3 (10)	0	0	1
$s_3(0)$	1	2	5	$s_3(100)$	1	1	4	<b>S</b> <sub>3</sub>			
s <sub>4</sub> (0)	3	2	1 1	s <sub>4</sub> (300)	3	-1	-2	Su			
$s_5(0)$	0	0	1	$s_5(0)$	0	0	1	35			
s <sub>6</sub> (0)	0	1	0	$s_6(0)$	0	1	0	54			

$$S_{L} = N_{3}$$
  $\longrightarrow$   $\rho_{3} = (\overline{S_{L}})$   $\rho_{4} = S_{4} - \rho_{2} - \rho_{3}$   $\longrightarrow$   $\rho_{5} = S_{4} - \rho_{2} - S_{2}$ 

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

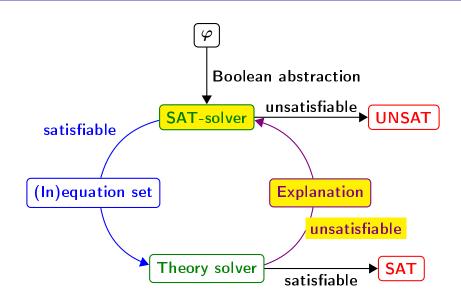
	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$(s_2(10))$
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	p <sub>1</sub> (90)	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_{2}(0)$	0	0	1	p <sub>3</sub> (10)	0	0	1
s <sub>3</sub> (0)	1	2	5	$s_3(100)$	1	1	4	s <sub>3</sub> (140)	1	1	4
s <sub>4</sub> (0)	3	2	1	s <sub>4</sub> (300)	3	-1	-2	s <sub>4</sub> (280)	3	-1	-2
$s_5(0)$	0	0	1	$s_5(0)$	0	0	1	$(s_5(10))$	0	0	1
s <sub>6</sub> (0)	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		$s_1(100)$	p <sub>2</sub> (0)	$p_3(0)$		s <sub>1</sub> (100)	p <sub>2</sub> (0)	s <sub>2</sub> (10)
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_{2}(0)$	0	0	1	$p_3(10)$	0	0	1
$s_3(0)$	1	2	5	$s_3(100)$	1	1	4	$s_3(140)$	1	1	4
s <sub>4</sub> (0)	3	2	1	s <sub>4</sub> (300)	3	-1	-2	s <sub>4</sub> (280)	3	-1	-2
$s_5(0)$	0	0	1	$s_5(0)$	0	0	1	$s_5(10)$	0	0	1
s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0

Conflict: the constraints for the basic variable of the conflicting row and all non-basic variables with non-zero coefficients in the conflicting row together are unsatisfiable.

Thus 
$$p_3 = 0 \land p_3 \ge 10$$
 is not satisfiable.  $\rightarrow$  Add dance  $(7a_3 \lor 7a_4)$ 



#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

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DL3: a_5: 0, a_6: 1
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Current assignment:
```

```
\begin{array}{c} DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1 & a_3: 0 \\ DL1: a_1: 0 & \\ DL2: a_2: 0, a_3: 1 & \\ DL3: a_5: 0, a_6: 1 & \\ \\ Learn new clause: \left( \neg a_3 \lor \neg a_7 \right). & \\ \left( a_1 \lor a_2 \lor a_3 \right) \land a_4 \land \left( a_5 \lor a_6 \right) \land a_7 \land a_8 \land a_9 \land \left( \neg a_3 \lor \neg a_7 \right) & \\ \end{array}
```

### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1
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DL2: a_2: 0, a_3: 1
DL3: a_5: 0, a_6: 1
Learn new clause: (\neg a_3 \lor \neg a_7).
```

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

No conflict resolution needed, since the new clause is already asserting. Backtrack to decision level DLO and use the new clause for propagation.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1
```

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Learn new clause:  $(\neg a_3 \lor \neg a_7)$ .

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### Current assignment:

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Learn new clause:  $(\neg a_3 \lor \neg a_7)$ .

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

No conflict resolution needed, since the new clause is already asserting. Backtrack to decision level *DL*0 and use the new clause for propagation.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1
```

### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

DL3: a_5: 0, a_6: 1

Learn new clause: (\neg a_3 \lor \neg a_7).
```

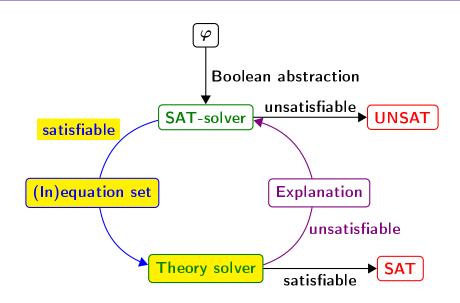
 $(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$ 

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DL1: a_1: 0, a_2: 1

DL2: a_5: 0, a_6: 1
```



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DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1
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DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1
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True theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ ,  $a_2$ ,  $a_6$ 

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1$$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_6$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \geq 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \geq 5}_{a_{5}} \vee \underbrace{p_{2} \geq 5})}_{a_{6}} \wedge \underbrace{p_{3} \geq 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \leq 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \leq 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7})$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1$$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_6$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \ge 5}_{a_{5}} \vee \underbrace{p_{2} \ge 5})}_{a_{6}} \wedge \underbrace{p_{3} \ge 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7})$$

Encoding:

$$p_1 + p_2 + p_3 \ge 100, \ p_3 \ge 10, \ p_1 + 2p_2 + 5p_3 \le 180, \ 3p_1 + 2p_2 + p_3 \le 300, \ p_2 = 0, \ p_2 \ge 5$$

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)						
s <sub>1</sub> (0)	1	1	1						
s <sub>2</sub> (0) s <sub>3</sub> (0) s <sub>4</sub> (0) s <sub>5</sub> (0)	0	0	1						
s <sub>3</sub> (0)	1	2	5						
s4(0)	3	2	1						
s <sub>5</sub> (0)	0	1	0						
s <sub>6</sub> (0)	0	1	0						

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$				
s <sub>1</sub> (0)	1	1	1	$\rho_1(100)$	1	-1	-1				
s <sub>2</sub> (0)	0	0	1	$s_2(0)$	0	0	1				
53(0)	1	2	5	s <sub>3</sub> (100)	1	1	4				
s <sub>4</sub> (0)	3	2	1	s4(300)	3	-1	-2				
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0				
s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0				

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		s <sub>1</sub> (100)	$p_2(0)$	s <sub>2</sub> (10)		
$s_1(0)$	1	1	1	$\rho_1(100)$	1	-1	-1	p <sub>1</sub> (90)	1	-1	-1		
s <sub>2</sub> (0)	0	0	1	$s_2(0)$	0	0	1	p <sub>3</sub> (10)	0	0	1		
s <sub>3</sub> (0)	1	2	5	s <sub>3</sub> (100)	1	1	4	s <sub>3</sub> (140)	1	1	4		
s4(0)	3	2	1	s4(300)	3	-1	-2	s <sub>4</sub> (280)	3	-1	-2		
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0		
s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0		

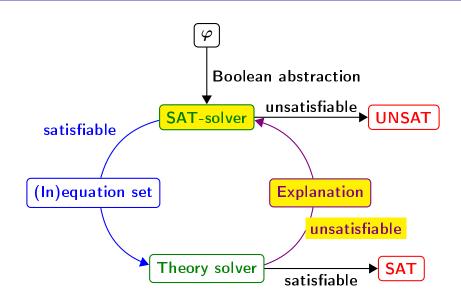
	$p_1(0)$	p <sub>2</sub> (0)	p3(0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		$s_1(100)$	$p_2(0)$	s <sub>2</sub> (10)		s <sub>1</sub> (100)	s <sub>6</sub> (5)	s <sub>2</sub> (10)
$s_1(0)$	1	1	1	$\rho_1(100)$	1	-1	-1	p <sub>1</sub> (90)	1	-1	-1	p <sub>1</sub> (85)	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_2(0)$	0	0	1	p <sub>3</sub> (10)	0	0	1	p <sub>3</sub> (10)	0	0	1
s <sub>3</sub> (0)	1	2	5	53(100)	1	1	4	s <sub>3</sub> (140)	1	1	4	s <sub>3</sub> (145)	1	1	4
s4(0)	3	2	1	s4(300)	3	-1	-2	s <sub>4</sub> (280)	3	-1	-2	s <sub>4</sub> (275)	3	-1	-2
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0 (	s <sub>5</sub> (5)	0	1	0
s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	p <sub>2</sub> (5)	0	1	0

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	p <sub>1</sub> (0)	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		s <sub>1</sub> (100)	$p_2(0)$	s2(10)		s <sub>1</sub> (100)	s <sub>6</sub> (5)	s <sub>2</sub> (10)
s <sub>1</sub> (0)	1	1	1	$\rho_1(100)$	1	-1	-1	p <sub>1</sub> (90)	1	-1	-1	p <sub>1</sub> (85)	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_2(0)$	0	0	1	p <sub>3</sub> (10)	0	0	1	p <sub>3</sub> (10)	0	0	1
s <sub>3</sub> (0)	1	2	5	s <sub>3</sub> (100)	1	1	4	s <sub>3</sub> (140)	1	1	4	s <sub>3</sub> (145)	1	1	4
s4(0)	3	2	1	s4(300)	3	-1	-2	s <sub>4</sub> (280)	3	-1	-2	s <sub>4</sub> (275)	3	-1	-2
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (5)	0	1	0
s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	s <sub>6</sub> (0)	0	1	0	p <sub>2</sub> (5)	0	1	0

Conflict: the constraints for the basic variable of the conflicting row and all non-basic variables with non-zero coefficients in the conflicting row together are unsatisfiable.

Thus 
$$\underline{p_2 = 0} \land \underline{p_2 \ge 5}$$
 is not satisfiable.



```
Current assignment: DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 \\ DL1: a_1: 0, a_2: 1 \\ DL2: a_5: 0, a_6: 1 \\ \text{Learn new clause: } (\neg a_2 \lor \neg a_6). (a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)
```

#### Current assignment:

```
\begin{array}{l} DL0: a_{4}: 1, a_{7}: 1, a_{8}: 1, a_{9}: 1, a_{3}: 0 \\ DL1: a_{1}: 0, a_{2}: 1 \\ DL2: a_{5}: 0, a_{6}: 1 \\ \\ \text{Learn new clause: } (\neg a_{2} \lor \neg a_{6}). \\ \\ (a_{1} \lor a_{2} \lor a_{3}) \land a_{4} \land (a_{5} \lor a_{6}) \land a_{7} \land a_{8} \land a_{9} \land (\neg a_{3} \lor \neg a_{7}) \land \\ \\ (\neg a_{2} \lor \neg a_{6}) \end{array}
```

No conflict resolution needed, since the new clause is already asserting.

#### Current assignment:

```
DLO: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1

DL2: a_5: 0, a_6: 1

Learn new clause: (\neg a_2 \lor \neg a_6).

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)
```

No conflict resolution needed, since the new clause is already asserting. Backtrack to decision level DL1 and apply propagation.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1
```

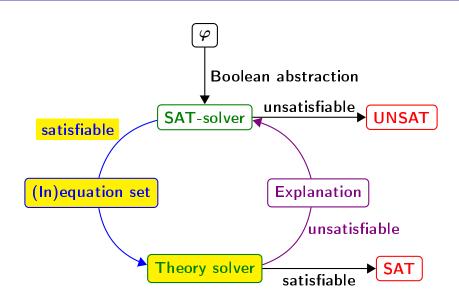
#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0
DL1: a_1: 0, a_2: 1
DL2: a_5: 0, a_6: 1
Learn new clause: (\neg a_2 \lor \neg a_6).
(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)
```

No conflict resolution needed, since the new clause is already asserting. Backtrack to decision level DL1 and apply propagation.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1
```



 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$ 

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, \quad DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$ 

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_5$ 

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, \quad DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_5$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \geq 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \geq 5}_{a_{5}} \vee \underbrace{p_{2} \geq 5}) \wedge \underbrace{p_{3} \geq 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \leq 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \leq 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7}) \wedge (\neg a_{2} \vee \neg a_{6})$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$$

True theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ ,  $a_2$ ,  $a_5$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}} \land (\neg a_{3} \lor \neg a_{7}) \land (\neg a_{2} \lor \neg a_{6})$$

Encoding:

$$p_1 + p_2 + p_3 \ge 100$$
,  $p_3 \ge 10$ ,  $p_1 + 2p_2 + 5p_3 \le 180$ ,  $3p_1 + 2p_2 + p_3 \le 300$ ,  $p_2 = 0$ ,  $p_1 \ge 5$ 

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)				
$s_1(0)$	1	1	1				
s <sub>2</sub> (0)	0	0	1				
s <sub>3</sub> (0)	1	2	5				
$s_4(0)$	3	2	1				
s <sub>5</sub> (0)	0	1	0				
s <sub>6</sub> (0)	1	0	0				

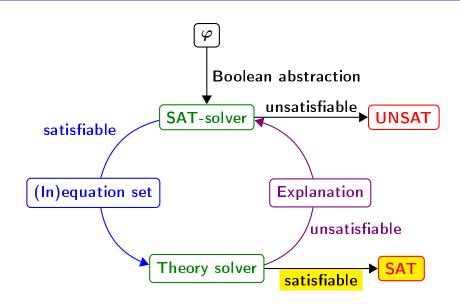
	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		$s_1(100)$	p <sub>2</sub> (0)	$p_3(0)$		
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1		
s <sub>2</sub> (0)	0	0	1	$s_{2}(0)$	0	0	1		
s <sub>3</sub> (0)	1	2	5	s <sub>3</sub> (100)	1	1	4		
$s_4(0)$	3	2	1	$s_4(300)$	3	-1	-2		
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0		
s <sub>6</sub> (0)	1	0	0	s <sub>6</sub> (100)	1	-1	-1		

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>1</sub> (100)	$p_2(0)$	$p_3(0)$		s <sub>1</sub> (100)	$p_2(0)$	s <sub>2</sub> (10)
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_{2}(0)$	0	0	1	$p_3(10)$	0	0	1
$s_3(0)$	1	2	5	s <sub>3</sub> (100)	1	1	4	s <sub>3</sub> (140)	1	1	4
$s_4(0)$	3	2	1	s <sub>4</sub> (300)	3	-1	-2	$s_4(280)$	3	-1	-2
$s_5(0)$	0	1	0	$s_5(0)$	0	1	0	$s_5(0)$	0	1	0
$s_6(0)$	1	0	0	$s_6(100)$	1	-1	-1	s <sub>6</sub> (90)	1	-1	-1

Variable order:  $s_1 < \ldots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		$s_1(100)$	p <sub>2</sub> (0)	$p_3(0)$		$s_1(100)$	p <sub>2</sub> (0)	s <sub>2</sub> (10)
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
s <sub>2</sub> (0)	0	0	1	$s_{2}(0)$	0	0	1	$p_3(10)$	0	0	1
s <sub>3</sub> (0)	1	2	5	s <sub>3</sub> (100)	1	1	4	s <sub>3</sub> (140)	1	1	4
$s_4(0)$	3	2	1	s <sub>4</sub> (300)	3	-1	-2	$s_4(280)$	3	-1	-2
s <sub>5</sub> (0)	0	1	0	s <sub>5</sub> (0)	0	1	0	$s_5(0)$	0	1	0
s <sub>6</sub> (0)	1	0	0	$s_6(100)$	1	-1	-1	s <sub>6</sub> (90)	1	-1	-1

Solution:  $p_1 = 90$ ,  $p_2 = 0$ ,  $p_3 = 10$ .



#### Outline

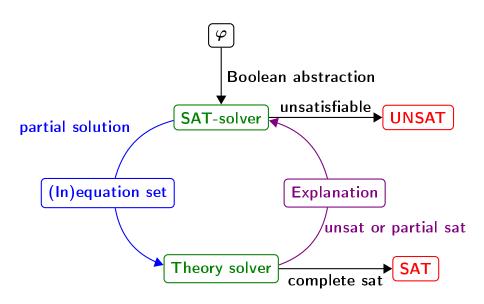
1 Full lazy SMT-solving with Simplex

2 Less lazy SMT-solving with Simplex

# Less lazy SMT-solving

- In full lazy SMT-solving, the SAT solver asks the theory solver whether found complete satisfying assignments for the abstraction are consistent in the theory.
- In less lazy SMT-solving, the SAT solver asks for consistency checks in the theory more frequently, also for partial assignments.
- Usually, this happens after each completed decision level.

# Less lazy SMT-solving



### Requirements on the theory solver

- (Minimal) infeasible subsets (to explain infeasibility)
- Incrementality (to add constraints stepwise)
- Backtracking (to mimic backtracking in the SAT solver)

### Requirements on the theory solver

- (Minimal) infeasible subsets (to explain infeasibility)
- Incrementality (to add constraints stepwise)
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#### Minimal infeasible subsets in Simplex:

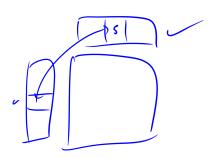
- As seen in full lazy SMT solving
- The constraints corresponding to the basic variable of the contradictory row and all non-basic variables with non-zero coefficients in this row are together unsatisfiable.

#### Incrementality in Simplex:

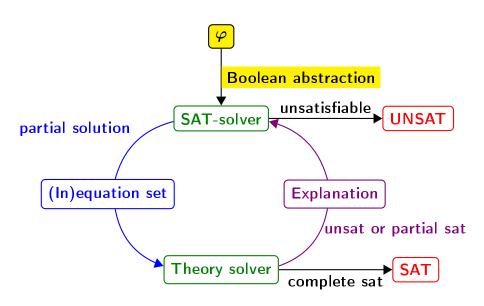
- Add all constraints but without bounds on non-active constraints.
- If a constraint becomes true, activate its bound.

#### Backtracking in Simplex:

■ Remove bounds of unassigned constraints



# Less lazy SMT-solving



#### Boolean abstraction

Arithmetic formula:

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

#### Boolean abstraction

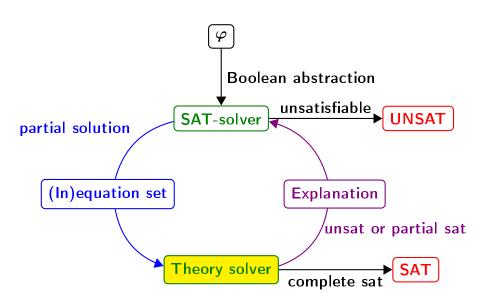
Arithmetic formula:

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

Boolean abstraction:

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

# Less lazy SMT-solving

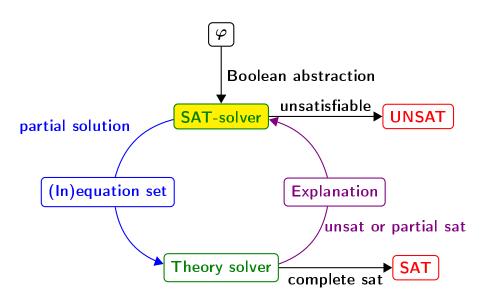


### Less lazy theory solving

Initialize the Simplex tableau with all equalities but without any bounds.

	$p_1(0)$	$p_2(0)$	$p_3(0)$
$s_1(0)$	1	0	0
$s_2(0)$	0	1	0
<i>s</i> <sub>3</sub> (0)	0	0	1
$s_4(0)$	1	1	1
$s_5(0)$	1	0	0
$s_6(0)$	0	1	0
$s_7(0)$	0	0	1
<i>s</i> <sub>8</sub> (0)	1	2	5
$s_9(0)$	3	2	1 1

# Less lazy SMT-solving



# Less lazy SAT-solving

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

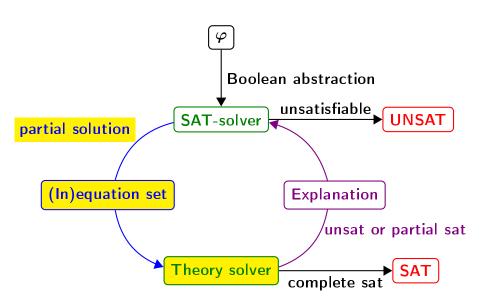
Assume a fixed variable order:  $a_1, \ldots, a_9$ Assignment to decision variables: false

# Less lazy SAT-solving

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order:  $a_1, \ldots, a_9$ Assignment to decision variables: false

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$$



 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ 

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ 

New true theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ 

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ 

New true theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$$

New true theory constraints:  $a_4$ ,  $a_7$ ,  $a_8$ ,  $a_9$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

#### Encoding:

$$s_4 \ge 100$$
,  $s_7 \ge 10$ ,  $s_8 \le 180$ ,  $s_9 \le 300$ 

Variable order:  $s_1 < \ldots < s_9 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

		$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)					
Ĭ	$s_1(0)$	1	0	0					1
	$s_2(0)$	0	1	0					
	$s_3(0)$	0	0	1					
	$s_4(0)$	1	1	1					
	s <sub>5</sub> (0)	1	0	0					
	$s_6(0)$	0	1	0					
	$s_{7}(0)$	0	0	1					
	s <sub>8</sub> (0)	1	2	5					
	$s_{9}(0)$	3	2	1 1					

Variable order:  $s_1 < \ldots < s_9 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

		$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>4</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		
$s_1$	(0)	1	0	0	$s_1(100)$	1	-1	-1		
<b>s</b> <sub>2</sub>	(0)	0	1	0	$s_2(0)$	0	1	0		
<b>s</b> 3	(0)	0	0	1	$s_3(0)$	0	0	1		
S <sub>4</sub>	<b>(0)</b>	1	1	1	$p_1(100)$	1	-1	-1		
<i>s</i> <sub>5</sub>	(0)	1	0	0	$s_5(100)$	1	-1	-1		
<i>s</i> <sub>6</sub>	(0)	0	1	0	$s_6(0)$	0	1	0		
S7	(0)	0	0	1	$s_7(0)$	0	0	1		
58	(0)	1	2	5	$s_8(100)$	1	1	4		
	(0)	3	2	1	$s_9(300)$	3	-1	-2		

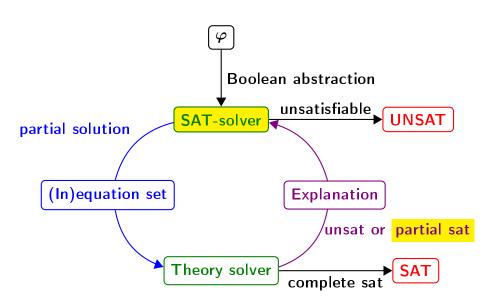
Variable order:  $s_1 < \ldots < s_9 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

		$p_1(0)$	p <sub>2</sub> (0)	p <sub>3</sub> (0)		s <sub>4</sub> (100)	p <sub>2</sub> (0)	$p_3(0)$		s <sub>4</sub> (100)	$p_{2}(0)$	s <sub>7</sub> (10)
	$s_1(0)$	1	0	0	$s_1(100)$	1	-1	-1	$s_1(90)$	1	-1	-1
	$s_2(0)$	0	1	0	$s_2(0)$	0	1	0	$s_2(0)$	0	1	0
	$s_3(0)$	0	0	1	$s_3(0)$	0	0	1	$s_3(10)$	0	0	1
	$s_4(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
l	s <sub>5</sub> (0)	1	0	0	s <sub>5</sub> (100)	1	-1	-1	s <sub>5</sub> (90)	1	-1	-1
	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0
	$s_{7}(0)$	0	0	1	$s_7(0)$	0	0	1	$p_3(10)$	0	0	1
	s <sub>8</sub> (0)	1	2	5	s <sub>8</sub> (100)	1	1	4	s <sub>8</sub> (140)	1	1	4
	$s_9(0)$	3	2	1	s <sub>9</sub> (300)	3	-1	-2	$s_9(280)$	3	-1	-2

Variable order:  $s_1 < \ldots < s_9 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

		$p_1(0)$	$p_2(0)$	p <sub>3</sub> (0)		s <sub>4</sub> (100)	$p_2(0)$	$p_3(0)$		s <sub>4</sub> (100)	$p_{2}(0)$	$s_7(10)$
	$s_1(0)$	1	0	0	$s_1(100)$	1	-1	-1	$s_1(90)$	1	-1	-1
l	$s_2(0)$	0	1	0	$s_2(0)$	0	1	0	$s_2(0)$	0	1	0
	$s_3(0)$	0	0	1	$s_3(0)$	0	0	1	$s_3(10)$	0	0	1
	$s_4(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
Ï	s <sub>5</sub> (0)	1	0	0	s <sub>5</sub> (100)	1	-1	-1	s <sub>5</sub> (90)	1	-1	-1
	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0
	$s_{7}(0)$	0	0	1	$s_7(0)$	0	0	1	$p_3(10)$	0	0	1
	<i>s</i> <sub>8</sub> (0)	1	2	5	s <sub>8</sub> (100)	1	1	4	s <sub>8</sub> (140)	1	1	4
	<i>s</i> <sub>9</sub> (0)	3	2	1	s <sub>9</sub> (300)	3	-1	-2	s <sub>9</sub> (280)	3	-1	-2

Return partial SAT.



$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order:  $a_1, \ldots, a_9$ Assignment to decision variables: false

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order:  $a_1, \ldots, a_9$ Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ 

 $DL1: a_1: 0$ 

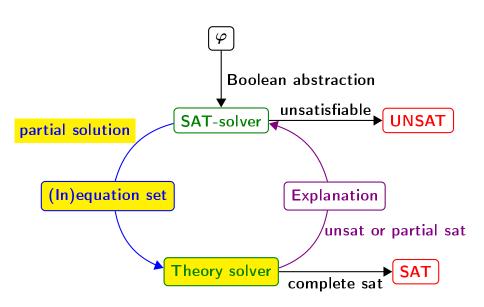
$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order:  $a_1, \ldots, a_9$ Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ 

 $DL1:a_1:0$ 

 $DL2: a_2: 0, a_3: 1$ 



```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0, DL2: a_2: 0, a_3: 1
```

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0, DL2: a_2: 0, a_3: 1
```

Incrementality: add a3

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0, DL2: a_2: 0, a_3: 1
```

Incrementality: add  $a_3$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, DL1: a_1: 0, DL2: a_2: 0, a_3: 1$$

Incrementality: add a3

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

Encoding:

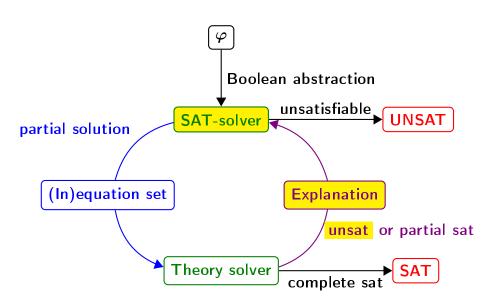
$$s_3 = 0$$

$p_1 = 0$	$\rightarrow$	$s_1 =$	$p_1$			$s_1 = 0$
$p_2 = 0$	$\rightarrow$	$s_2 =$		$p_2$		$s_2 = 0$
$p_3 = 0$	$\rightarrow$	$s_3 =$			$p_3$	$s_3 = 0$
$p_1 + p_2 + p_3 \ge 100$	$\rightarrow$	$s_4 =$	$\rho_1 +$	$p_2+$	$p_3$	$s_4 \ge 100$
$p_1 \geq 5$	$\rightarrow$	$s_5 =$	$p_1$			$s_5 \geq 5$
$p_2 \geq 5$	$\rightarrow$	$s_6 =$		$p_2$		$s_6 \ge 5$
$p_3 \ge 10$	$\rightarrow$	$s_7 =$			$p_3$	$s_7 \ge 10$
$p_1 + 2p_2 + 5p_3 \le 180$	$\rightarrow$	$s_8 =$	$p_1 +$	$2p_2 +$	$5p_3$	$s_8 \le 180$
$3p_1 + 2p_2 + p_3 \leq 300$	$\rightarrow$	$s_9 =$	$3p_1 +$	$2p_2 +$	$p_3$	$s_9 \le 300$

$s_4(100)$	$p_{2}(0)$	$s_7(10)$
1	-1	-1
0	1	0
0	0	1
1	-1	-1
1	-1	-1
0	1	0
0	0	1
1	1	4
3	-1	-2
	1 0 0 1 1 0 0	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

	$s_4(100)$	$p_{2}(0)$	$s_7(10)$
$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0
$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1
s <sub>5</sub> (90)	1	-1	-1
$s_6(0)$	0	1	0
$p_3(10)$	0	0	1
s <sub>8</sub> (140)	1	1	4
$s_9(280)$	3	-1	-2

Conflict:  $p_3 = 0 \land p_3 \ge 10$  is not satisfiable.



```
Current assignment:  DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1 \\ DL1: a_1: 0 \\ DL2: a_2: 0, a_3: 1 \\ \text{Add clause } (\neg a_3 \lor \neg a_7): \\ (a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \\ \end{cases}
```

#### Current assignment:

```
\begin{array}{l} DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1 \\ DL1: a_1: 0 \\ DL2: a_2: 0, a_3: 1 \\ \text{Add clause } (\neg a_3 \lor \neg a_7): \\ & (a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \end{array}
```

No conflict resolution needed, since the new clause is already asserting.

#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

Add clause (\neg a_3 \lor \neg a_7):

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*1 and *DL*2 first, then propagation is applied.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1
```

#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

Add clause (\neg a_3 \lor \neg a_7):

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*1 and *DL*2 first, then propagation is applied.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0
```

#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

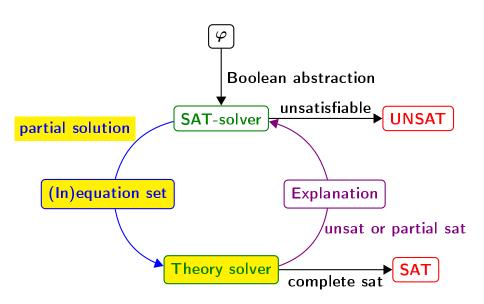
Add clause (\neg a_3 \lor \neg a_7):

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*1 and *DL*2 first, then propagation is applied.

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1
```



 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$   $DL1: a_1: 0, a_2: 1$ 

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 DL1: a_1: 0, a_2: 1
```

Backtracking: remove  $a_3$ , Incrementality: add  $a_2$ 

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$
  $DL1: a_1: 0, a_2: 1$ 

Backtracking: remove  $a_3$ , Incrementality: add  $a_2$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$
  $DL1: a_1: 0, a_2: 1$ 

Backtracking: remove  $a_3$ , Incrementality: add  $a_2$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}}$$

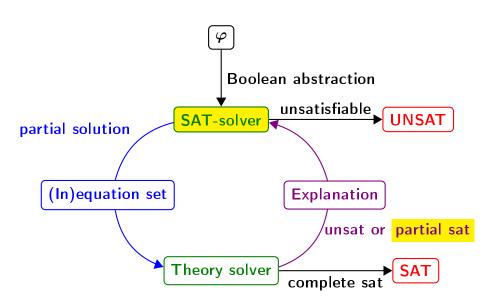
Encoding:

remove  $s_3 = 0$ , add  $s_2 = 0$ 

Backtracking: remove bound  $s_3 = 0$ , add bound  $s_2 = 0$ 

	$s_4(100)$	$p_{2}(0)$	$s_7(10)$
$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0
$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1
s <sub>5</sub> (90)	1	-1	-1
s <sub>6</sub> (0)	0	1	0
$p_3(10)$	0	0	1
s <sub>8</sub> (140)	1	1	4
s <sub>9</sub> (280)	3	-1	-2

Return partial SAT.

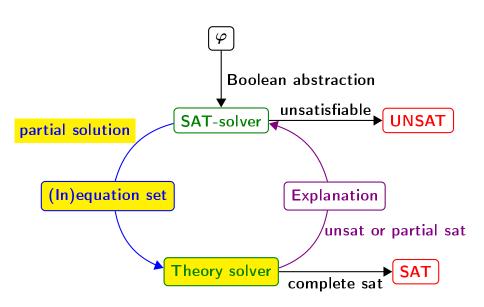


$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ 

 $DL1: a_1: 0, a_2: 1$ 

```
(a_{1} \lor a_{2} \lor a_{3}) \land a_{4} \land (a_{5} \lor a_{6}) \land a_{7} \land a_{8} \land a_{9} \land (\neg a_{3} \lor \neg a_{7})
DL0: a_{4}: 1, a_{7}: 1, a_{8}: 1, a_{9}: 1, a_{3}: 0
DL1: a_{1}: 0, a_{2}: 1
DL2: a_{5}: 0, a_{6}: 1
```



```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1
```

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1
```

Incrementality: add a6

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1$$

Incrementality: add  $a_6$ 

$$\underbrace{(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0)}_{a_{1}} \land \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{2}} \land \underbrace{(p_{1} \ge 5 \lor p_{2} \ge 5)}_{a_{6}} \land \underbrace{p_{3} \ge 10}_{a_{7}} \land \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \land \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}} \land (\neg a_{3} \lor \neg a_{7})$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, DL2: a_5: 0, a_6: 1$$

Incrementality: add  $a_6$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \ge 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \ge 5}_{a_{5}} \vee \underbrace{p_{2} \ge 5})}_{a_{6}} \wedge \underbrace{p_{3} \ge 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \le 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \le 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7})$$

Encoding:

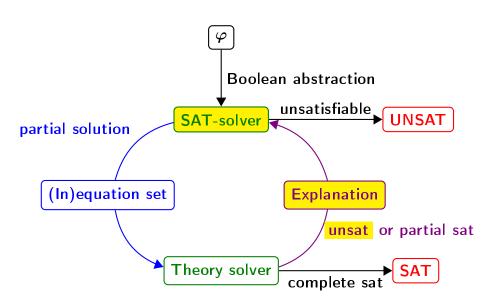
$$s_6 \geq 5$$

	s <sub>4</sub> (100)	$p_2(0)$	s <sub>7</sub> (10)
$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0
$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1
$s_5(90)$	1	-1	-1
$s_6(0)$	0	1	0
$p_3(10)$	0	0	1
$s_8(140)$	1	1	4
$s_9(280)$	3	-1	-2

	s <sub>4</sub> (100)	$p_{2}(0)$	s <sub>7</sub> (10)		s <sub>4</sub> (100)	s <sub>6</sub> (5)	s <sub>7</sub> (10)
$s_1(90)$	1	-1	-1	s <sub>1</sub> (85)	1	-1	-1
$s_2(0)$	0	1	0	$s_2(5)$	0	1	0
$s_3(10)$	0	0	1	$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1	$p_1(85)$	1	-1	-1
$s_5(90)$	1	-1	-1	s <sub>5</sub> (85)	1	-1	-1
$s_6(0)$	0	1	0	$p_{2}(5)$	0	1	0
$p_3(10)$	0	0	1	$p_3(10)$	0	0	1
s <sub>8</sub> (140)	1	1	4	s <sub>8</sub> (145)	1	1	4
$s_9(280)$	3	-1	-2	s <sub>9</sub> (275)	3	-1	-2

	s <sub>4</sub> (100)	$p_2(0)$	s <sub>7</sub> (10)		s <sub>4</sub> (100)	<i>s</i> <sub>6</sub> (5)	s <sub>7</sub> (10)
$s_1(90)$	1	-1	-1	s <sub>1</sub> (85)	1	-1	-1
$s_2(0)$	0	1	0	$s_2(5)$	0	1	0
s <sub>3</sub> (10)	0	0	1	$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1	$p_1(85)$	1	-1	-1
$s_5(90)$	1 1	-1	-1	s <sub>5</sub> (85)	1	-1	-1
$s_6(0)$	0	1	0	$p_{2}(5)$	0	1	0
$p_3(10)$	0	0	1	$p_3(10)$	0	0	1
s <sub>8</sub> (140)	1	1	4	s <sub>8</sub> (145)	1	1	4
s <sub>9</sub> (280)	3	-1	-2	s <sub>9</sub> (275)	3	-1	-2

Conflict:  $\underline{p_2 = 0} \land \underline{p_2 \ge 5}$  is not satisfiable.



#### Current assignment:

```
\begin{array}{c} DL0: a_{4}: 1, a_{7}: 1, a_{8}: 1, a_{9}: 1, a_{3}: 0 \\ DL1: a_{1}: 0, a_{2}: 1 \\ DL2: a_{5}: 0, a_{6}: 1 \\ \\ Add \ clause \ (\lnot a_{2} \lor \lnot a_{6}). \\ \\ (a_{1} \lor a_{2} \lor a_{3}) \land a_{4} \land (a_{5} \lor a_{6}) \land a_{7} \land a_{8} \land a_{9} \land (\lnot a_{3} \lor \lnot a_{7}) \\ \\ \land (\lnot a_{2} \lor \lnot a_{6}) \end{array}
```

#### Current assignment:

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1

DL2: a_5: 0, a_6: 1

Add clause (\neg a_2 \lor \neg a_6).

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)

\land (\neg a_2 \lor \neg a_6)
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*2 first, then propagation is used to imply new assignments (first using the new learnt clause).

#### Current assignment:

```
\begin{array}{l} DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 \\ DL1: a_1: 0, a_2: 1 \\ DL2: a_5: 0, a_6: 1 \\ \\ \text{Add clause } (\neg a_2 \lor \neg a_6). \\ \\ (a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \\ \\ \land (\neg a_2 \lor \neg a_6) \end{array}
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*2 first, then propagation is used to imply new assignments (first using the new learnt clause).

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1
```

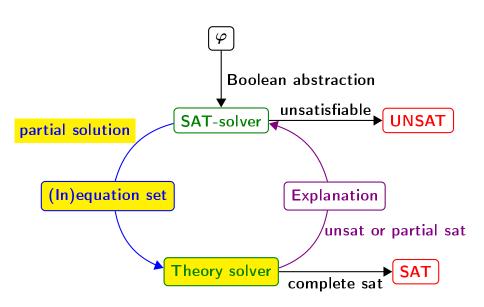
#### Current assignment:

```
\begin{array}{l} DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 \\ DL1: a_1: 0, a_2: 1 \\ DL2: a_5: 0, a_6: 1 \\ \\ \text{Add clause } (\neg a_2 \lor \neg a_6). \\ \\ (a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \\ \\ \land (\neg a_2 \lor \neg a_6) \end{array}
```

No conflict resolution needed, since the new clause is already asserting. Backtracking removes *DL*2 first, then propagation is used to imply new assignments (first using the new learnt clause).

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1
```



$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$$

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1
```

Backtracking: remove  $a_6$ , Incrementality: add  $a_5$ 

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$$

Backtracking: remove  $a_6$ , Incrementality: add  $a_5$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \geq 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \geq 5}_{a_{5}} \vee \underbrace{p_{2} \geq 5}_{a_{6}}) \wedge \underbrace{p_{3} \geq 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \leq 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \leq 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7}) \wedge (\neg a_{2} \vee \neg a_{6})$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0, DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$$

Backtracking: remove  $a_6$ , Incrementality: add  $a_5$ 

$$(\underbrace{p_{1} = 0}_{a_{1}} \vee \underbrace{p_{2} = 0}_{a_{2}} \vee \underbrace{p_{3} = 0}_{a_{3}}) \wedge \underbrace{p_{1} + p_{2} + p_{3} \geq 100}_{a_{4}} \wedge \underbrace{(\underbrace{p_{1} \geq 5}_{a_{5}} \vee \underbrace{p_{2} \geq 5}_{a_{6}}) \wedge \underbrace{p_{3} \geq 10}_{a_{7}} \wedge \underbrace{p_{1} + 2p_{2} + 5p_{3} \leq 180}_{a_{8}} \wedge \underbrace{3p_{1} + 2p_{2} + p_{3} \leq 300}_{a_{9}} \wedge (\neg a_{3} \vee \neg a_{7}) \wedge (\neg a_{2} \vee \neg a_{6})$$

Encoding: remove  $s_6 \ge 5$ , add  $s_5 \ge 5$ 

Backtracking: remove  $s_6 \ge 5$ , Incrementality: add  $s_5 \ge 5$ 

	s <sub>4</sub> (100)	$s_6(5)$	s <sub>7</sub> (10)
$s_1(85)$	1	-1	-1
s <sub>2</sub> (5)	0	1	0
s <sub>3</sub> (10)	0	0	1
$p_1(85)$	1	-1	-1
s <sub>5</sub> (85)	1	-1	-1
$p_2(5)$	0	1	0
$p_3(10)$	0	0	1
s <sub>8</sub> (145)	1	1	4
s <sub>9</sub> (275)	3	-1	-2

Backtracking: remove  $s_6 \ge 5$ , Incrementality: add  $s_5 \ge 5$ 

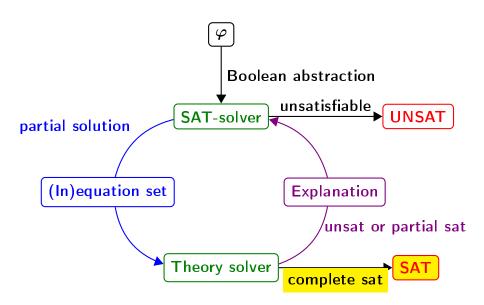
	s <sub>4</sub> (100)	$s_6(5)$	s <sub>7</sub> (10)		$s_4(100)$	$s_2(0)$	s <sub>7</sub> (10)
$s_1(85)$	1	-1	-1	$s_1(90)$	1	-1	-1
s <sub>2</sub> (5)	0	1	0	$s_6(0)$	0	1	0
$s_3(10)$	0	0	1	$s_3(10)$	0	0	1
$p_1(85)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_5(85)$	1	-1	-1	$s_5(90)$	1	-1	-1
$p_2(5)$	0	1	0	$p_2(0)$	0	1	0
$p_3(10)$	0	0	1	$p_3(10)$	0	0	1 1
s <sub>8</sub> (145)	1	1	4	s <sub>8</sub> (140)	1	1	4
$s_9(275)$	3	-1	-2	$s_9(280)$	3	-1	-2

Backtracking: remove  $s_6 \ge 5$ , Incrementality: add  $s_5 \ge 5$ 

	s <sub>4</sub> (100)	$s_6(5)$	s <sub>7</sub> (10)		s <sub>4</sub> (100)	s <sub>2</sub> (0)	s <sub>7</sub> (10)
$s_1(85)$	1	-1	-1	$s_1(90)$	1	-1	-1
s <sub>2</sub> (5)	0	1	0	$s_6(0)$	0	1	0
s <sub>3</sub> (10)	0	0	1	$s_3(10)$	0	0	1
$p_1(85)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_5(85)$	1	-1	-1	$s_5(90)$	1	-1	-1
$p_2(5)$	0	1	0	$p_{2}(0)$	0	1	0
$p_3(10)$	0	0	1 1	$p_3(10)$	0	0	1
s <sub>8</sub> (145)	1	1	4	s <sub>8</sub> (140)	1	1	4
$s_9(275)$	3	-1	-2	$s_9(280)$	3	-1	-2

Since the assignment is complete, return SAT for the original problem.

Satisfiability Checking — Prof. Dr. Erika Ábrahám (RWTH Aachen University)



#### What could also happen...

- Problem: When working in the less lazy modus, in the Simplex theory solver a bound of a non-basic slack variable s could be activated. If the current value of this non-basic variable now violates its newly activated bound, our invariant (all non-basic variable values are within the corresponding bounds) would not hold!
- Solution: Since the result in the previous solver state was SAT, all the basic variables satisfy their bounds. Thus pivoting with an arbitrary basic variable s' whose row has a non-zero coefficient for s solves the problem. Note: there is always such a row.
- New problem: Now also the bounds of s' could be activated, leading to a similar problem. However, now it can happen that all basic variables are assigned values outside their bounds!
- Solution: After activating a bound, first check satisfiability and activate further bounds afterwards one by one.