

# Satisfiability Checking

## Lazy SAT-Modulo-Theories (SMT) Solving

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# The Xmas problem

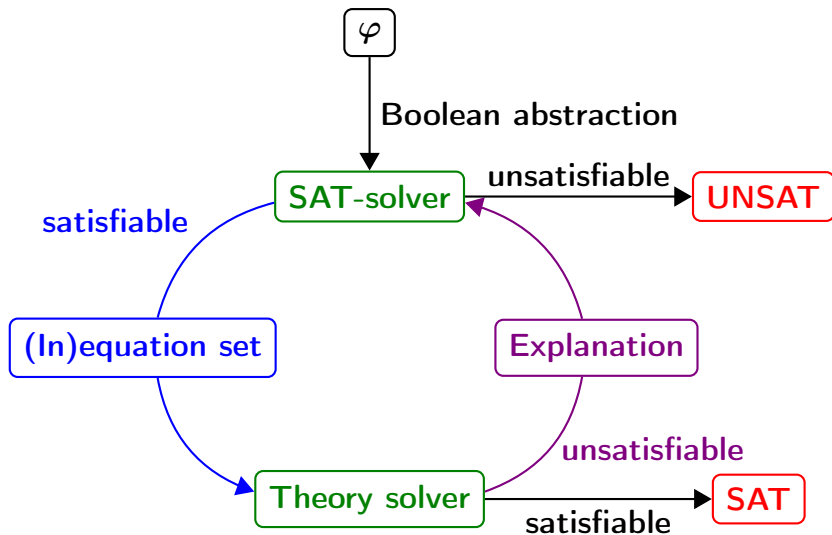
There are three types of Xmas presents Santa Claus can make.

- Santa Claus wants to reduce the overhead by making only two types.
- He needs at least 100 presents.
- He needs at least 5 of either type 1 or type 2.
- He needs at least 10 of the third type.
- Each present of type 1, 2, and 3 need 1, 2, resp. 5 minutes to make.
- Santa Claus is late, and he has only 3 hours left.
- Each present of type 1, 2, and 3 costs 3, 2, resp. 1 EUR.
- He has 300 EUR for presents in total.

$$\begin{aligned}(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0) \wedge p_1 + p_2 + p_3 \geq 100 \wedge \\(p_1 \geq 5 \vee p_2 \geq 5) \wedge p_3 \geq 10 \wedge p_1 + 2p_2 + 5p_3 \leq 180 \wedge \\3p_1 + 2p_2 + p_3 \leq 300\end{aligned}$$

**Logic:** First-order logic over the integers with addition.

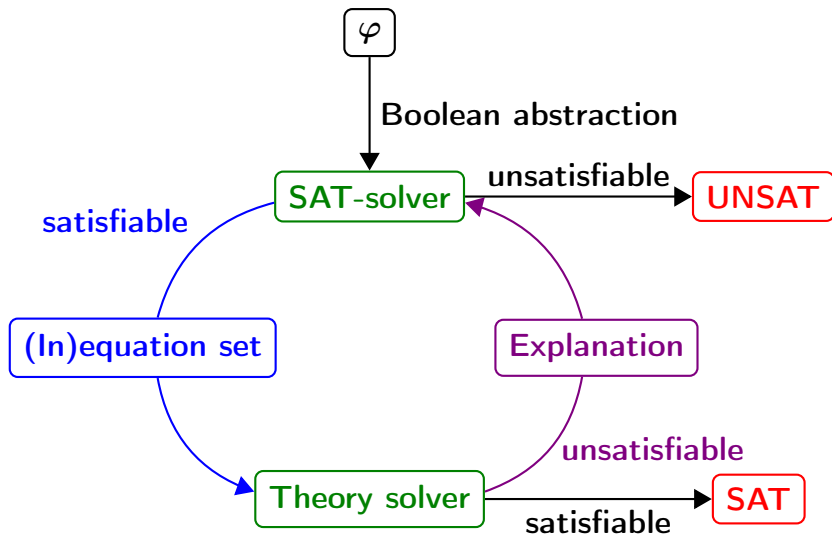
# Full lazy SMT-solving



$$\begin{aligned} & \underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge \\ & \underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9} \end{aligned}$$

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

# Full lazy SMT-solving



$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

Assume a fixed variable order:  $a_1, \dots, a_9$

Assignment to decision variables: false

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

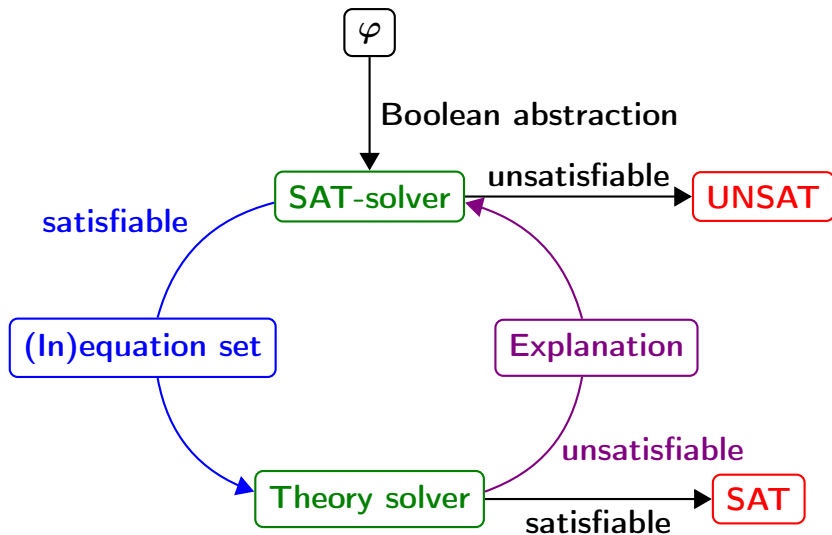
*DL1* :  $a_1 : 0$

*DL2* :  $a_2 : 0, a_3 : 1$

*DL3* :  $a_5 : 0, a_6 : 1$

Solution found for the Boolean abstraction.

# Full lazy SMT-solving



# Theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$      $DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

$DL3 : a_5 : 0, a_6 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_3, a_6$

$$\begin{aligned} & (\underbrace{p_1 = 0}_{a_1} \vee \underbrace{p_2 = 0}_{a_2} \vee \underbrace{p_3 = 0}_{a_3}) \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & (\underbrace{p_1 \geq 5}_{a_5} \vee \underbrace{p_2 \geq 5}_{a_6}) \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \end{aligned}$$

Encoding:

$$\begin{array}{lll} a_4 : p_1 + p_2 + p_3 \geq 100 & a_7 : p_3 \geq 10 & a_8 : p_1 + 2p_2 + 5p_3 \leq 180 \\ a_9 : 3p_1 + 2p_2 + p_3 \leq 300 & a_3 : p_3 = 0 & a_6 : p_2 \geq 5 \end{array}$$



Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

$$a_7 : p_3 \geq 10$$

$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

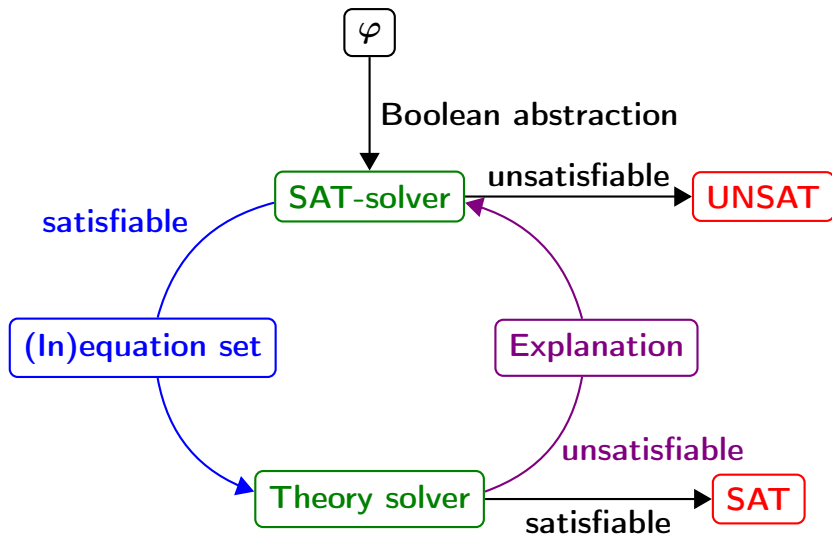
$$a_3 : p_3 = 0$$

$$a_6 : p_2 \geq 5$$

No.

Reason:  $\underbrace{p_3 = 0}_{a_3} \wedge \underbrace{p_3 \geq 10}_{a_7}$  are conflicting.

# Full lazy SMT-solving



Add clause  $(\neg a_3 \vee \neg a_7)$ .

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

*DL1* :  $a_1 : 0$

*DL2* :  $a_2 : 0, a_3 : 1$

*DL3* :  $a_5 : 0, a_6 : 1$

Conflict resolution is simple, since the new clause is already an asserting one.

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

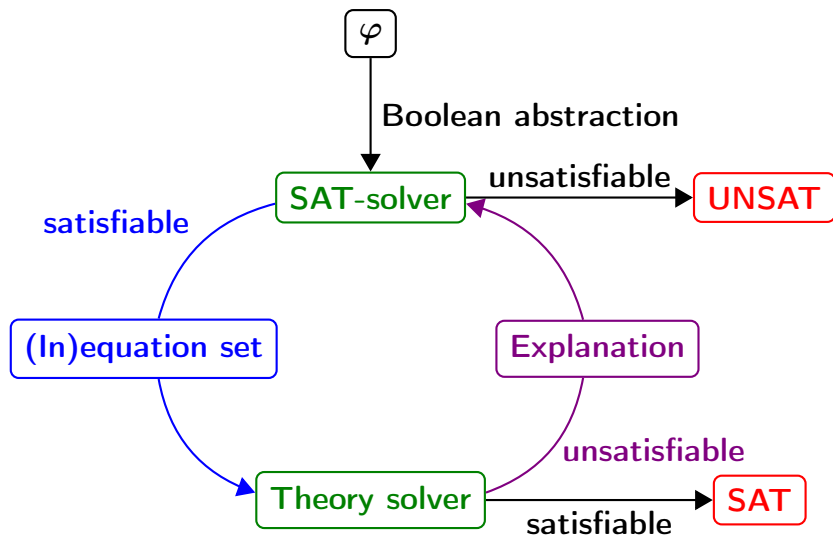
*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

*DL1* :  $a_1 : 0, a_2 : 1$

*DL2* :  $a_5 : 0, a_6 : 1$

Solution found for the Boolean abstraction.

# Full lazy SMT-solving



# Theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$     $DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_6$

$$\begin{aligned} & (\underbrace{p_1 = 0}_{a_1} \vee \underbrace{p_2 = 0}_{a_2} \vee \underbrace{p_3 = 0}_{a_3}) \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & (\underbrace{p_1 \geq 5}_{a_5} \vee \underbrace{p_2 \geq 5}_{a_6}) \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \end{aligned}$$

Encoding:

$$\begin{array}{lll} a_4 : p_1 + p_2 + p_3 \geq 100 & a_7 : p_3 \geq 10 & a_8 : p_1 + 2p_2 + 5p_3 \leq 180 \\ a_9 : 3p_1 + 2p_2 + p_3 \leq 300 & a_2 : p_2 = 0 & a_6 : p_2 \geq 5 \end{array}$$

Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

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$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

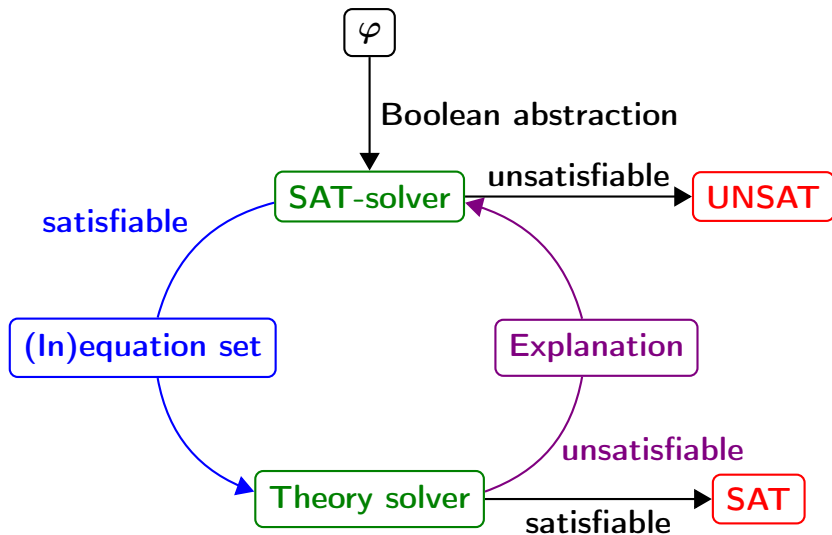
$$a_2 : p_2 = 0$$

$$a_6 : p_2 \geq 5$$

No.

Reason:  $\underbrace{p_2 = 0}_{a_2} \wedge \underbrace{p_2 \geq 5}_{a_6}$  are conflicting.

# Full lazy SMT-solving





Add clause  $(\neg a_2 \vee \neg a_6)$ .

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6)$$

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

*DL1* :  $a_1 : 0, a_2 : 1$

*DL2* :  $a_5 : 0, a_6 : 1$

Conflict resolution is simple, since the new clause is already an asserting one.

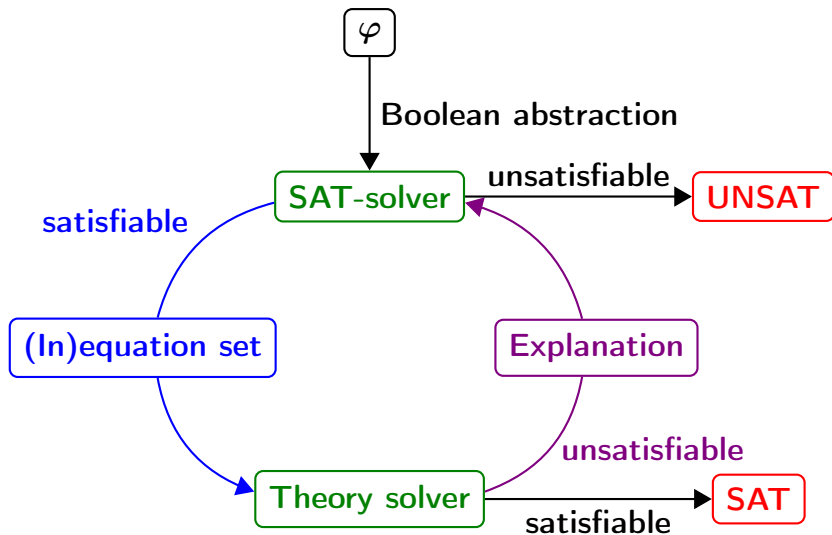
$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \wedge$$
$$(\neg a_2 \vee \neg a_6)$$

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

*DL1* :  $a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

Solution found for the Boolean abstraction.

# Full lazy SMT-solving



# Theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$     $DL1 : a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_5$

$$\underbrace{(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0)}_{a_1} \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5 \vee p_2 \geq 5)}_{a_5} \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge$$
$$\underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6)$$

Encoding:

$$\begin{array}{lll} a_4 : p_1 + p_2 + p_3 \geq 100 & a_7 : p_3 \geq 10 & a_8 : p_1 + 2p_2 + 5p_3 \leq 180 \\ a_9 : 3p_1 + 2p_2 + p_3 \leq 300 & a_2 : p_2 = 0 & a_5 : p_1 \geq 5 \end{array}$$

Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

$$a_7 : p_3 \geq 10$$

$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

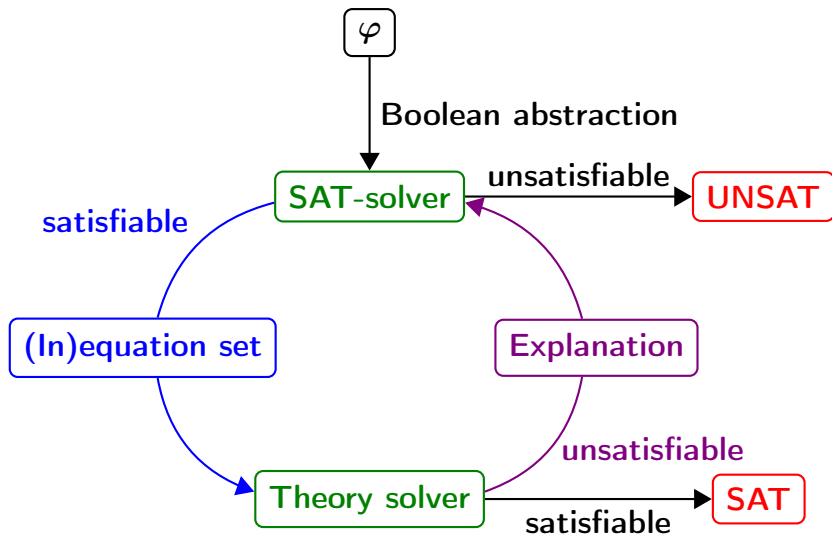
$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

$$a_2 : p_2 = 0$$

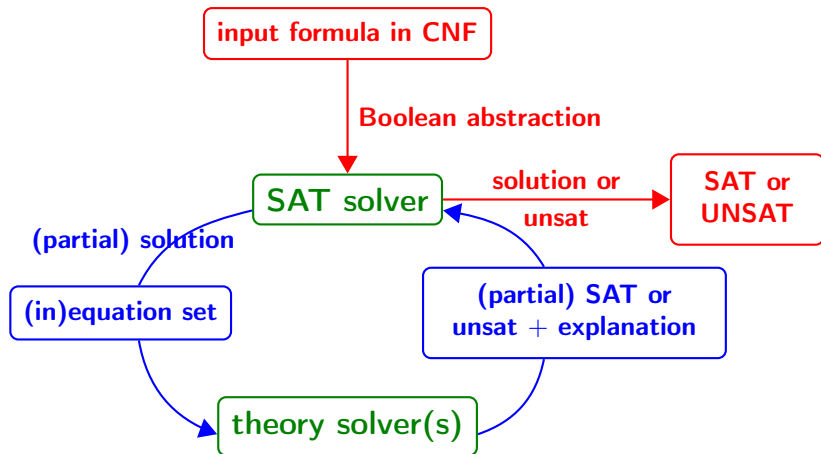
$$a_5 : p_1 \geq 5$$

Yes. E.g.,  $p_1 = 90$ ,  $p_2 = 0$ ,  $p_3 = 10$  is a solution.

# Full lazy SMT-solving



# Less lazy SMT-solving



# Requirements on the theory solver

- 1 **Incrementality**: In less lazy solving we extend the set of constraints. The solver should make use of the previous satisfiability check for the check of the extended set.
- 2 **(Preferably minimal) infeasible subsets**: Compute a reason for unsatisfaction
- 3 **Backtracking**: The theory solver should be able to remove constraints in inverse chronological order.



- This approach strictly divides between logical (Boolean) structure and theory constraints.
- There are other approaches, which do not divide Boolean and theory solving so strictly.
- One idea: Propagate in the SAT-solver **bounds** on theory variables.