

# Satisfiability Checking

## Simplex as a Theory Module in SMT

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WS 16/17

- 1 Full lazy SMT-solving with Simplex
- 2 Less lazy SMT-solving with Simplex

1 Full lazy SMT-solving with Simplex

2 Less lazy SMT-solving with Simplex

# The Xmas problem

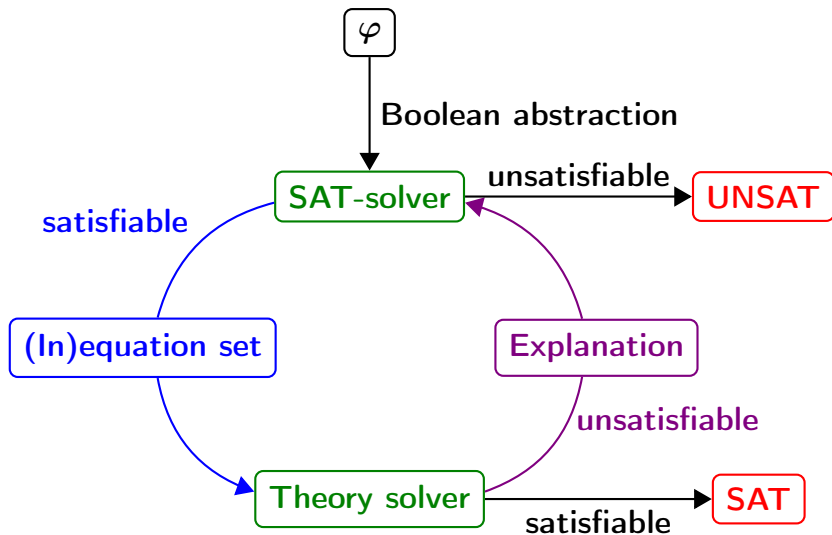
There are three types of Xmas presents Santa Claus can make.

- Santa Claus wants to reduce the overhead by making only two types.
- He needs at least 100 presents.
- He needs at least 5 of either type 1 or type 2.
- He needs at least 10 of the third type.
- Each present of type 1, 2, and 3 need 1, 2, resp. 5 minutes to make.
- Santa Claus is late, and he has only 3 hours left.
- Each present of type 1, 2, and 3 costs 3, 2, resp. 1 EUR.
- He has 300 EUR for presents in total.

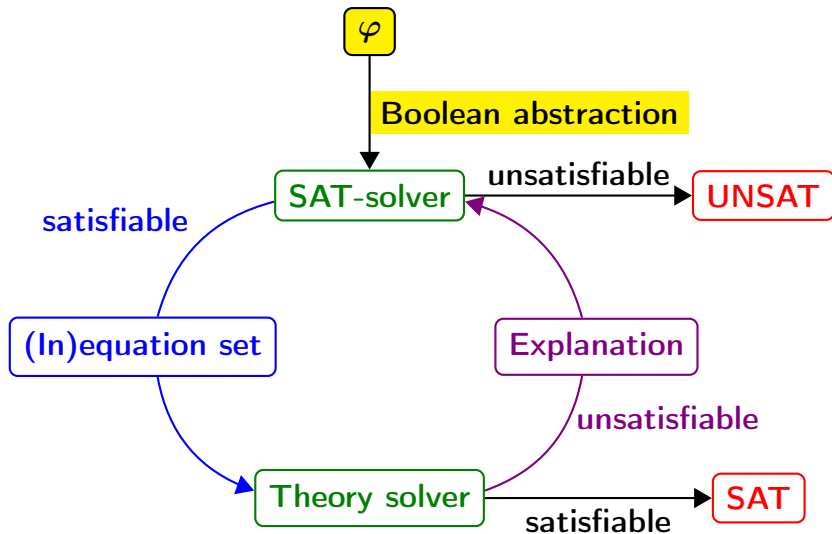
$$\begin{aligned}(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0) \wedge p_1 + p_2 + p_3 \geq 100 \wedge \\(p_1 \geq 5 \vee p_2 \geq 5) \wedge p_3 \geq 10 \wedge p_1 + 2p_2 + 5p_3 \leq 180 \wedge \\3p_1 + 2p_2 + p_3 \leq 300\end{aligned}$$

For the moment we **relax the integrality constraints**, i.e., we search for a **real-valued** solution.

# Full lazy SMT-solving



# Full lazy SMT-solving



# Boolean abstraction

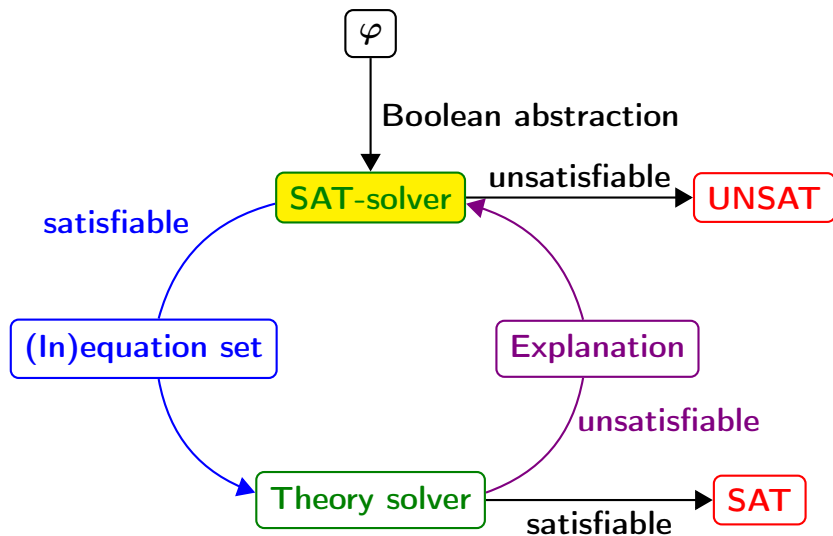
Arithmetic formula:

$$\underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge$$
$$\underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9}$$

Boolean abstraction:

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

# Full lazy SMT-solving





Boolean abstraction:

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

Assume a fixed variable order:  $a_1, \dots, a_9$

Assignment to decision variables: false

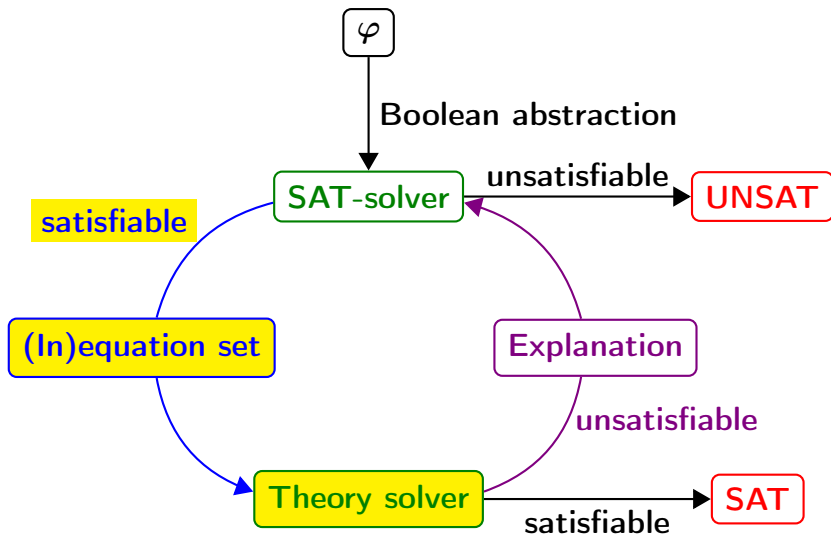
*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

*DL1* :  $a_1 : 0$

*DL2* :  $a_2 : 0, a_3 : 1$

*DL3* :  $a_5 : 0, a_6 : 1$

# Full lazy SMT-solving



# Full lazy theory solving

Current assignment:

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, \quad DL1 : a_1 : 0,$

$DL2 : a_2 : 0, a_3 : 1, \quad DL3 : a_5 : 0, a_6 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_3, a_6$

$$\underbrace{(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0)}_{a_1} \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5 \vee p_2 \geq 5)}_{a_5} \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge$$
$$\underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9}$$

Encoding:

$p_1 + p_2 + p_3 \geq 100, p_3 \geq 10,$

$p_1 + 2p_2 + 5p_3 \leq 180, 3p_1 + 2p_2 + p_3 \leq 300, p_3 = 0, p_2 \geq 5$

# Full lazy theory solving

$$\begin{array}{llll}
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_1 = & p_1 + p_2 + p_3 & s_1 \geq 100 \\
 p_3 \geq 10 & \rightarrow & s_2 = & & p_3 & s_2 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_3 = & p_1 + 2p_2 + 5p_3 & s_3 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_4 = & 3p_1 + 2p_2 + p_3 & s_4 \leq 300 \\
 p_3 = 0 & \rightarrow & s_5 = & & p_3 & s_5 = 0 \\
 p_2 \geq 5 & \rightarrow & s_6 = & & p_2 & s_6 \geq 5
 \end{array}$$

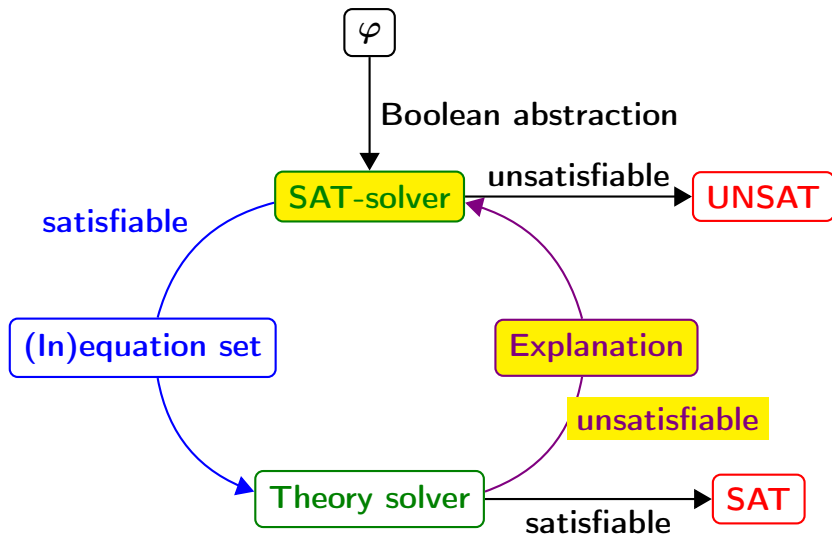
Variable order:  $s_1 < \dots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$s_2(10)$
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_2(0)$	0	0	1	$s_2(0)$	0	0	1	$p_3(10)$	0	0	1
$s_3(0)$	1	2	5	$s_3(100)$	1	1	4	$s_3(140)$	1	1	4
$s_4(0)$	3	2	1	$s_4(300)$	3	-1	-2	$s_4(280)$	3	-1	-2
$s_5(0)$	0	0	1	$s_5(0)$	0	0	1	$s_5(10)$	0	0	1
$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0

Conflict: the constraints for the basic variable of the conflicting row and all non-basic variables with non-zero coefficients in the conflicting row together are unsatisfiable.

Thus  $\underbrace{p_3 = 0}_{a_3} \wedge \underbrace{p_3 \geq 10}_{a_7}$  is not satisfiable.

# Full lazy SMT-solving



# Full lazy SAT-solving

Current assignment:

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

$DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

$DL3 : a_5 : 0, a_6 : 1$

Learn new clause:  $(\neg a_3 \vee \neg a_7)$ .

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

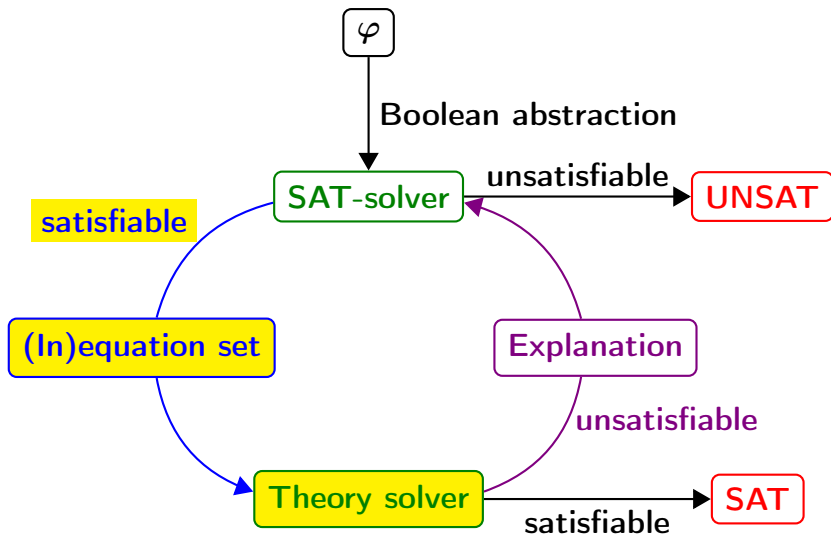
No conflict resolution needed, since the new clause is already asserting.  
Backtrack to decision level  $DL0$  and use the new clause for propagation.

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

# Full lazy SMT-solving



# Full lazy theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0,$      $DL1 : a_1 : 0, a_2 : 1,$   
 $DL2 : a_5 : 0, a_6 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_6$

$$\underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge$$
$$\underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9} \wedge (\neg a_3 \vee \neg a_7)$$

Encoding:

$$p_1 + p_2 + p_3 \geq 100, \quad p_3 \geq 10,$$
$$p_1 + 2p_2 + 5p_3 \leq 180, \quad 3p_1 + 2p_2 + p_3 \leq 300, \quad p_2 = 0, \quad p_2 \geq 5$$



# Full lazy theory solving

$$\begin{array}{llllll}
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_1 = & p_1 + & p_2 + & p_3 & s_1 \geq 100 \\
 p_3 \geq 10 & \rightarrow & s_2 = & & & p_3 & s_2 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_3 = & p_1 + & 2p_2 + & 5p_3 & s_3 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_4 = & 3p_1 + & 2p_2 + & p_3 & s_4 \leq 300 \\
 p_2 = 0 & \rightarrow & s_5 = & & p_2 & & s_5 = 0 \\
 p_2 \geq 5 & \rightarrow & s_6 = & & p_2 & & s_6 \geq 5
 \end{array}$$

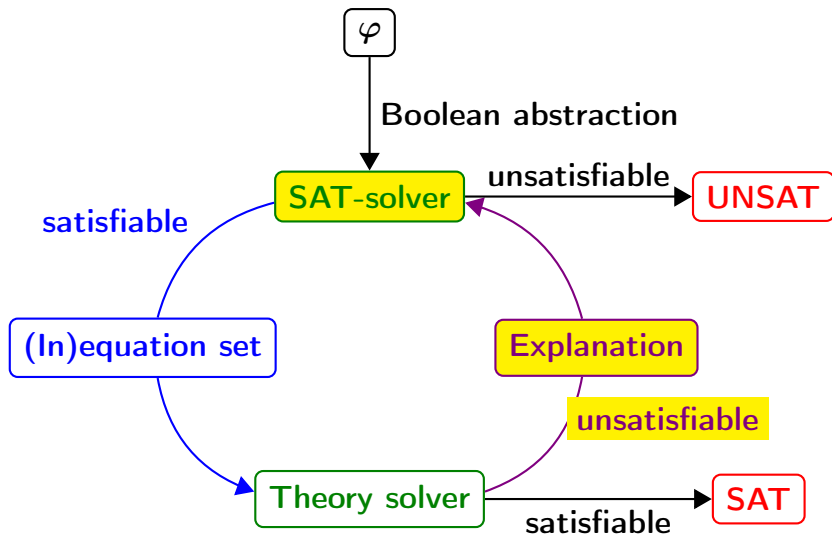
Variable order:  $s_1 < \dots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$s_2(10)$		$s_1(100)$	$s_6(5)$	$s_2(10)$
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1	$p_1(85)$	1	-1	-1
$s_2(0)$	0	0	1	$s_2(0)$	0	0	1	$p_3(10)$	0	0	1	$p_3(10)$	0	0	1
$s_3(0)$	1	2	5	$s_3(100)$	1	1	4	$s_3(140)$	1	1	4	$s_3(145)$	1	1	4
$s_4(0)$	3	2	1	$s_4(300)$	3	-1	-2	$s_4(280)$	3	-1	-2	$s_4(275)$	3	-1	-2
$s_5(0)$	0	1	0	$s_5(0)$	0	1	0	$s_5(0)$	0	1	0	$s_5(5)$	0	1	0
$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$p_2(5)$	0	1	0

Conflict: the constraints for the basic variable of the conflicting row and all non-basic variables with non-zero coefficients in the conflicting row together are unsatisfiable.

Thus  $\underbrace{p_2 = 0}_{a_2} \wedge \underbrace{p_2 \geq 5}_{a_6}$  is not satisfiable.

# Full lazy SMT-solving



# Full lazy SAT-solving

Current assignment:

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

Learn new clause:  $(\neg a_2 \vee \neg a_6)$ .

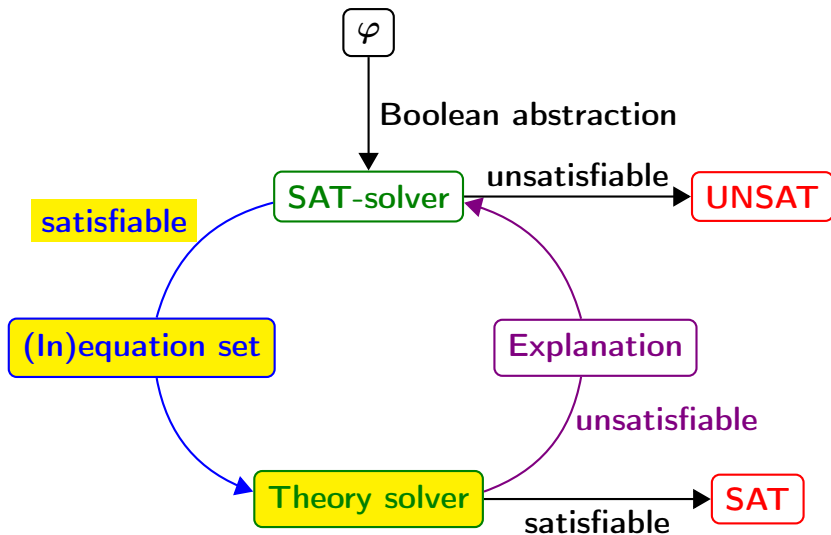
$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6)$$

No conflict resolution needed, since the new clause is already asserting.  
Backtrack to decision level  $DL1$  and apply propagation.

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

# Full lazy SMT-solving



# Full lazy theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0, \quad DL1 : a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

True theory constraints:  $a_4, a_7, a_8, a_9, a_2, a_5$

$$\begin{aligned} & \underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge \\ & \underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6) \end{aligned}$$

Encoding:

$$\begin{aligned} & p_1 + p_2 + p_3 \geq 100, \quad p_3 \geq 10, \\ & p_1 + 2p_2 + 5p_3 \leq 180, \quad 3p_1 + 2p_2 + p_3 \leq 300, \quad p_2 = 0, \quad p_1 \geq 5 \end{aligned}$$

# Full lazy theory solving

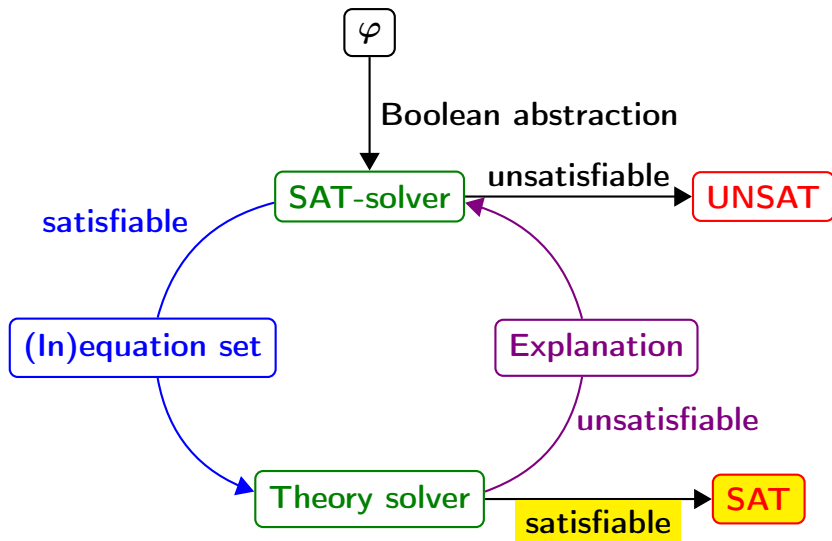
$$\begin{array}{llll}
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_1 = & p_1 + p_2 + p_3 & s_1 \geq 100 \\
 p_3 \geq 10 & \rightarrow & s_2 = & p_3 & s_2 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_3 = & p_1 + 2p_2 + 5p_3 & s_3 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_4 = & 3p_1 + 2p_2 + p_3 & s_4 \leq 300 \\
 p_2 = 0 & \rightarrow & s_5 = & p_2 & s_5 = 0 \\
 p_1 \geq 5 & \rightarrow & s_6 = & p_1 & s_6 \geq 5
 \end{array}$$

Variable order:  $s_1 < \dots < s_6 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$p_3(0)$		$s_1(100)$	$p_2(0)$	$s_2(10)$
$s_1(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_2(0)$	0	0	1	$s_2(0)$	0	0	1	$p_3(10)$	0	0	1
$s_3(0)$	1	2	5	$s_3(100)$	1	1	4	$s_3(140)$	1	1	4
$s_4(0)$	3	2	1	$s_4(300)$	3	-1	-2	$s_4(280)$	3	-1	-2
$s_5(0)$	0	1	0	$s_5(0)$	0	1	0	$s_5(0)$	0	1	0
$s_6(0)$	1	0	0	$s_6(100)$	1	-1	-1	$s_6(90)$	1	-1	-1

Solution:  $p_1 = 90$ ,  $p_2 = 0$ ,  $p_3 = 10$ .

# Full lazy SMT-solving



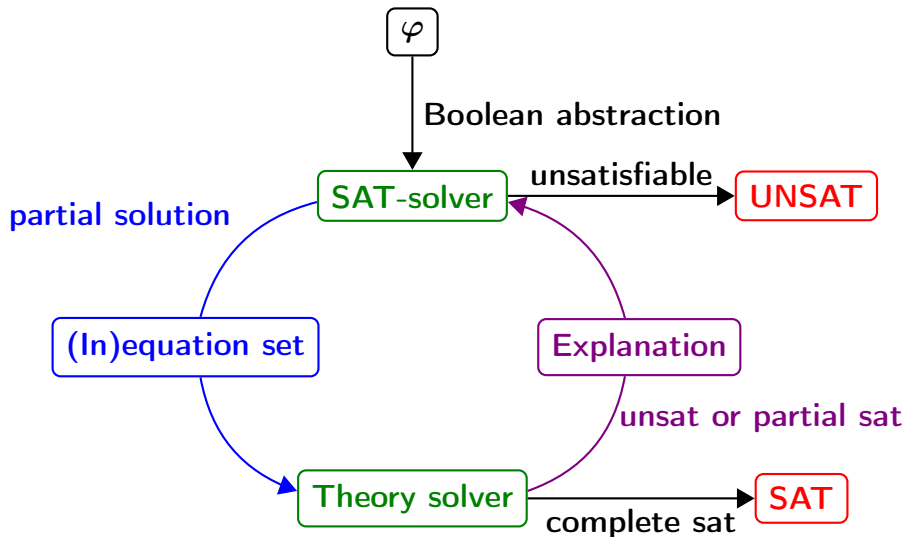
1 Full lazy SMT-solving with Simplex

2 Less lazy SMT-solving with Simplex



- In **full lazy** SMT-solving, the SAT solver asks the theory solver whether found **complete** satisfying assignments for the abstraction are consistent in the theory.
- In **less lazy** SMT-solving, the SAT solver asks for consistency checks in the theory more frequently, also for **partial** assignments.
- Usually, this happens after each completed decision level.

# Less lazy SMT-solving



# Requirements on the theory solver

- (Minimal) infeasible subsets (to explain infeasibility)
- Incrementality (to add constraints stepwise)
- Backtracking (to mimic backtracking in the SAT solver)

## Minimal infeasible subsets in Simplex:

- As seen in full lazy SMT solving
- The constraints corresponding to the basic variable of the contradictory row and all non-basic variables with non-zero coefficients in this row are together unsatisfiable.

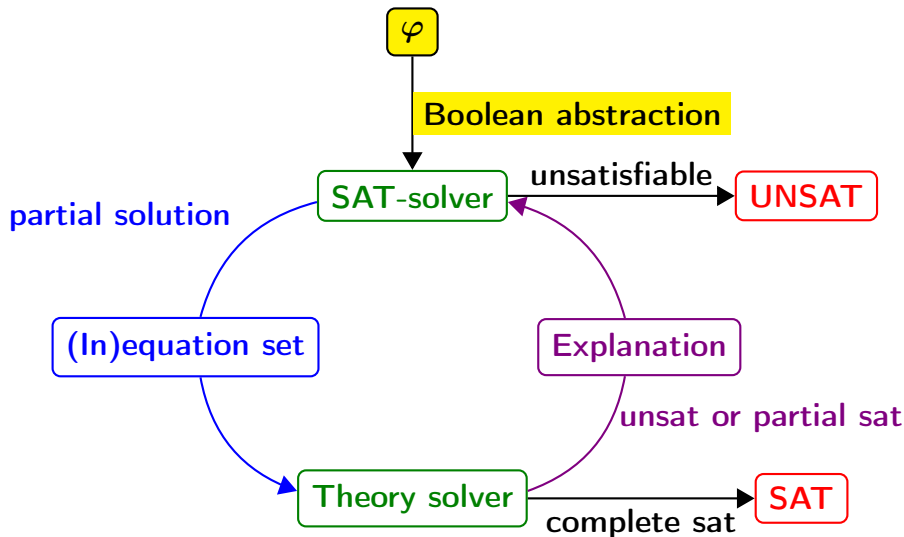
## Incrementality in Simplex:

- Add all constraints but **without bounds** on non-active constraints.
- If a constraint becomes true, **activate** its bound.

## Backtracking in Simplex:

- Remove bounds of unassigned constraints

# Less lazy SMT-solving



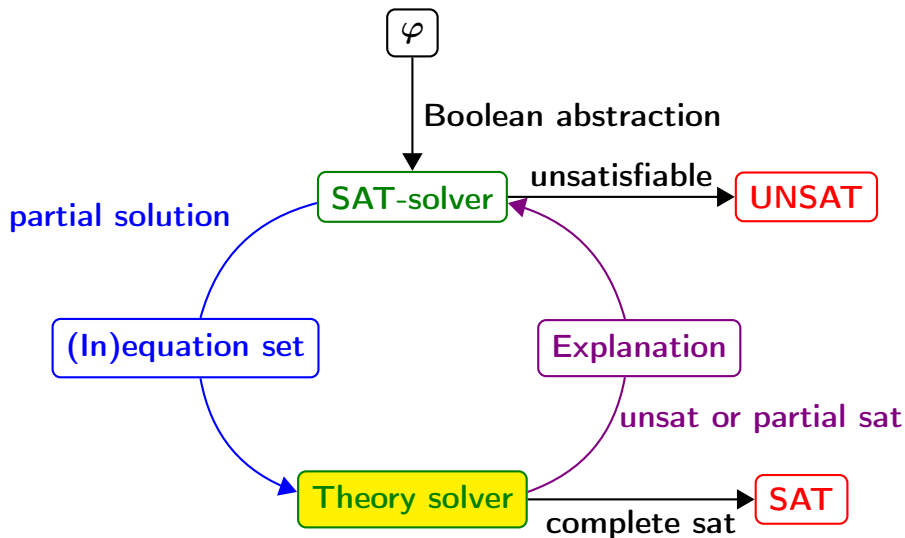
# Boolean abstraction

Arithmetic formula:

$$\underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge$$
$$\underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9}$$

Boolean abstraction:

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

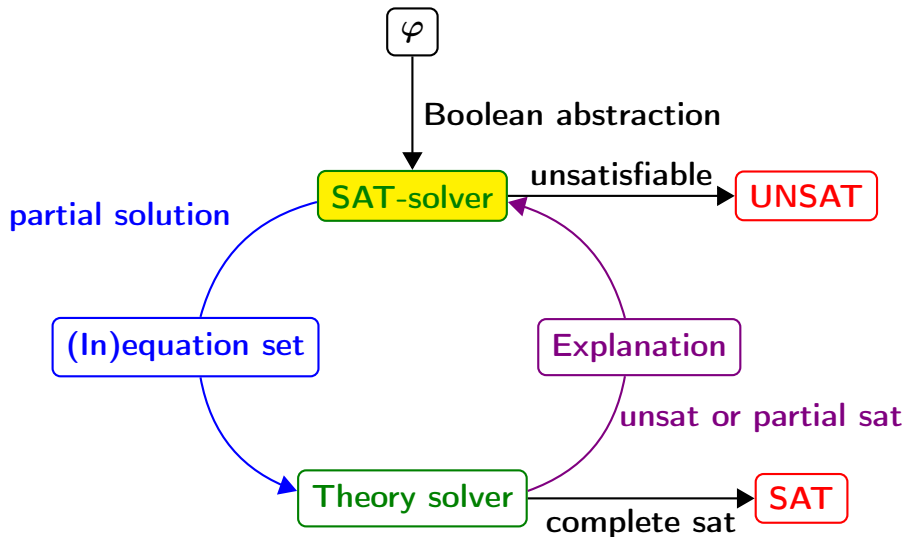


# Less lazy theory solving

Initialize the Simplex tableau with all equalities but **without any bounds**.

$$\begin{array}{llll} p_1 = 0 & \rightarrow & s_1 = & p_1 & s_1 = 0 \\ p_2 = 0 & \rightarrow & s_2 = & p_2 & s_2 = 0 \\ p_3 = 0 & \rightarrow & s_3 = & p_3 & s_3 = 0 \\ p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_4 = & p_1 + p_2 + p_3 & s_4 \geq 100 \\ p_1 \geq 5 & \rightarrow & s_5 = & p_1 & s_5 \geq 5 \\ p_2 \geq 5 & \rightarrow & s_6 = & p_2 & s_6 \geq 5 \\ p_3 \geq 10 & \rightarrow & s_7 = & p_3 & s_7 \geq 10 \\ p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_8 = & p_1 + 2p_2 + 5p_3 & s_8 \leq 180 \\ 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_9 = & 3p_1 + 2p_2 + p_3 & s_9 \leq 300 \end{array}$$

	$p_1(0)$	$p_2(0)$	$p_3(0)$
$s_1(0)$	1	0	0
$s_2(0)$	0	1	0
$s_3(0)$	0	0	1
$s_4(0)$	1	1	1
$s_5(0)$	1	0	0
$s_6(0)$	0	1	0
$s_7(0)$	0	0	1
$s_8(0)$	1	2	5
$s_9(0)$	3	2	1





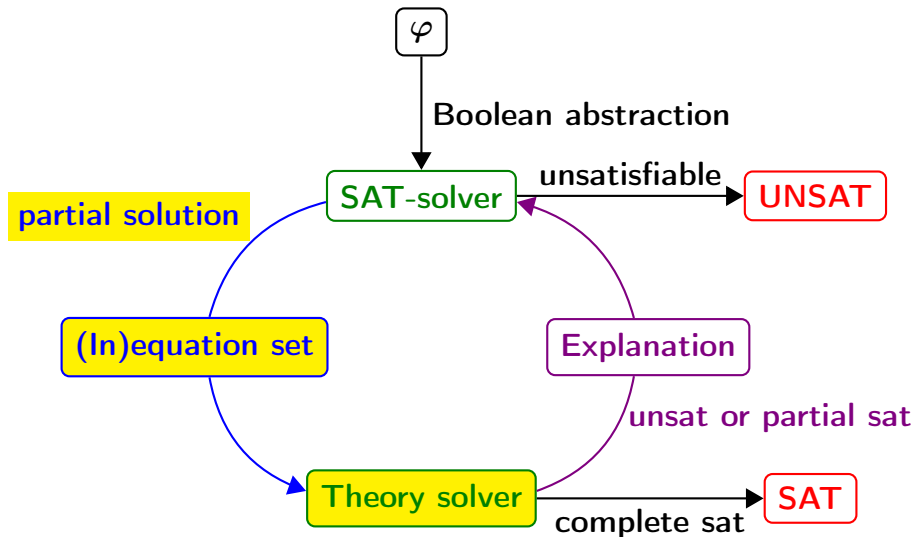
# Less lazy SAT-solving

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

Assume a fixed variable order:  $a_1, \dots, a_9$

Assignment to decision variables: false

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$



# Less lazy theory solving

DL0 :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

New true theory constraints:  $a_4, a_7, a_8, a_9$

$$\begin{aligned} & \underbrace{(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0)}_{a_1} \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5 \vee p_2 \geq 5)}_{a_5} \wedge \underbrace{p_2 \geq 5}_{a_6} \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \end{aligned}$$

Encoding:

$$s_4 \geq 100, s_7 \geq 10, s_8 \leq 180, s_9 \leq 300$$

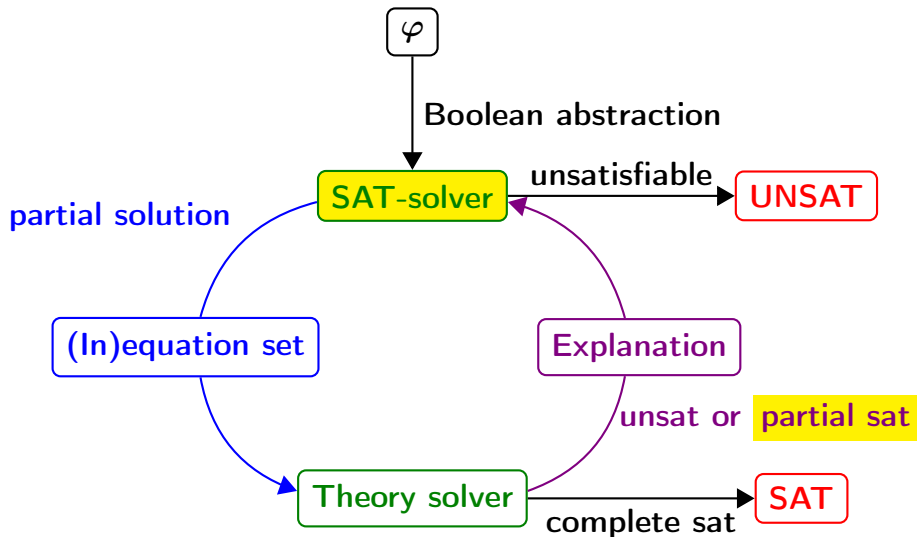
# Less lazy theory solving

$p_1 = 0$	$\rightarrow$	$s_1 =$	$p_1$	$s_1 = 0$
$p_2 = 0$	$\rightarrow$	$s_2 =$	$p_2$	$s_2 = 0$
$p_3 = 0$	$\rightarrow$	$s_3 =$	$p_3$	$s_3 = 0$
$p_1 + p_2 + p_3 \geq 100$	$\rightarrow$	$s_4 =$	$p_1 + p_2 + p_3$	$s_4 \geq 100$
$p_1 \geq 5$	$\rightarrow$	$s_5 =$	$p_1$	$s_5 \geq 5$
$p_2 \geq 5$	$\rightarrow$	$s_6 =$	$p_2$	$s_6 \geq 5$
$p_3 \geq 10$	$\rightarrow$	$s_7 =$	$p_3$	$s_7 \geq 10$
$p_1 + 2p_2 + 5p_3 \leq 180$	$\rightarrow$	$s_8 =$	$p_1 + 2p_2 + 5p_3$	$s_8 \leq 180$
$3p_1 + 2p_2 + p_3 \leq 300$	$\rightarrow$	$s_9 =$	$3p_1 + 2p_2 + p_3$	$s_9 \leq 300$

Variable order:  $s_1 < \dots < s_9 < p_1 < p_2 < p_3$ , the values of the variables are given in parentheses

	$p_1(0)$	$p_2(0)$	$p_3(0)$		$s_4(100)$	$p_2(0)$	$p_3(0)$		$s_4(100)$	$p_2(0)$	$s_7(10)$
$s_1(0)$	1	0	0	$s_1(100)$	1	-1	-1	$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0	$s_2(0)$	0	1	0	$s_2(0)$	0	1	0
$s_3(0)$	0	0	1	$s_3(0)$	0	0	1	$s_3(10)$	0	0	1
$s_4(0)$	1	1	1	$p_1(100)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_5(0)$	1	0	0	$s_5(100)$	1	-1	-1	$s_5(90)$	1	-1	-1
$s_6(0)$	0	1	0	$s_6(0)$	0	1	0	$s_6(0)$	0	1	0
$s_7(0)$	0	0	1	$s_7(0)$	0	0	1	$p_3(10)$	0	0	1
$s_8(0)$	1	2	5	$s_8(100)$	1	1	4	$s_8(140)$	1	1	4
$s_9(0)$	3	2	1	$s_9(300)$	3	-1	-2	$s_9(280)$	3	-1	-2

Return partial SAT.



$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

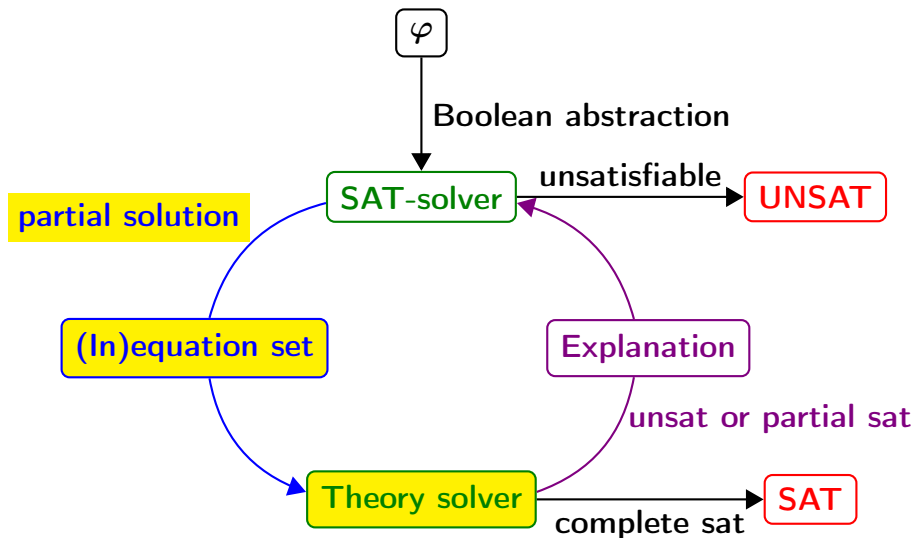
Assume a fixed variable order:  $a_1, \dots, a_9$

Assignment to decision variables: false

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

*DL1* :  $a_1 : 0$

*DL2* :  $a_2 : 0, a_3 : 1$



# Less lazy theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, \quad DL1 : a_1 : 0,$

$DL2 : a_2 : 0, a_3 : 1$

Incrementality: add  $a_3$

$$\begin{aligned} & (\underbrace{p_1 = 0}_{a_1} \vee \underbrace{p_2 = 0}_{a_2} \vee \underbrace{p_3 = 0}_{a_3}) \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & (\underbrace{p_1 \geq 5}_{a_5} \vee \underbrace{p_2 \geq 5}_{a_6}) \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \end{aligned}$$

Encoding:

$s_3 = 0$



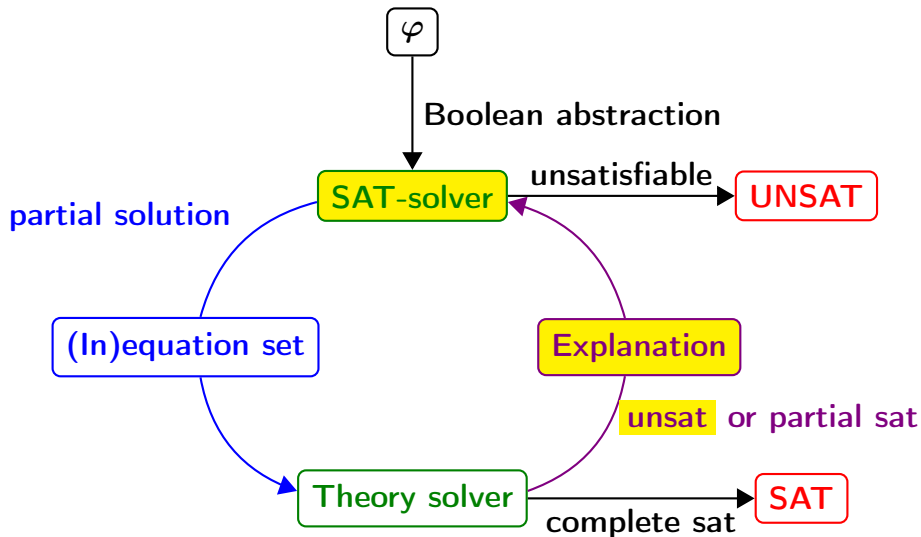
# Less lazy theory solving

$$\begin{array}{llll}
 p_1 = 0 & \rightarrow & s_1 = & p_1 & s_1 = 0 \\
 p_2 = 0 & \rightarrow & s_2 = & p_2 & s_2 = 0 \\
 p_3 = 0 & \rightarrow & s_3 = & p_3 & s_3 = 0 \\
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_4 = & p_1 + p_2 + p_3 & s_4 \geq 100 \\
 p_1 \geq 5 & \rightarrow & s_5 = & p_1 & s_5 \geq 5 \\
 p_2 \geq 5 & \rightarrow & s_6 = & p_2 & s_6 \geq 5 \\
 p_3 \geq 10 & \rightarrow & s_7 = & p_3 & s_7 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_8 = & p_1 + 2p_2 + 5p_3 & s_8 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_9 = & 3p_1 + 2p_2 + p_3 & s_9 \leq 300
 \end{array}$$

	$s_4(100)$	$p_2(0)$	$s_7(10)$
$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0
$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1
$s_5(90)$	1	-1	-1
$s_6(0)$	0	1	0
$p_3(10)$	0	0	1
$s_8(140)$	1	1	4
$s_9(280)$	3	-1	-2

Conflict:  $\underbrace{p_3 = 0}_{a_3} \wedge \underbrace{p_3 \geq 10}_{a_7}$  is not satisfiable.

# Less lazy SMT-solving



# Less lazy SAT-solving

Current assignment:

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

$DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

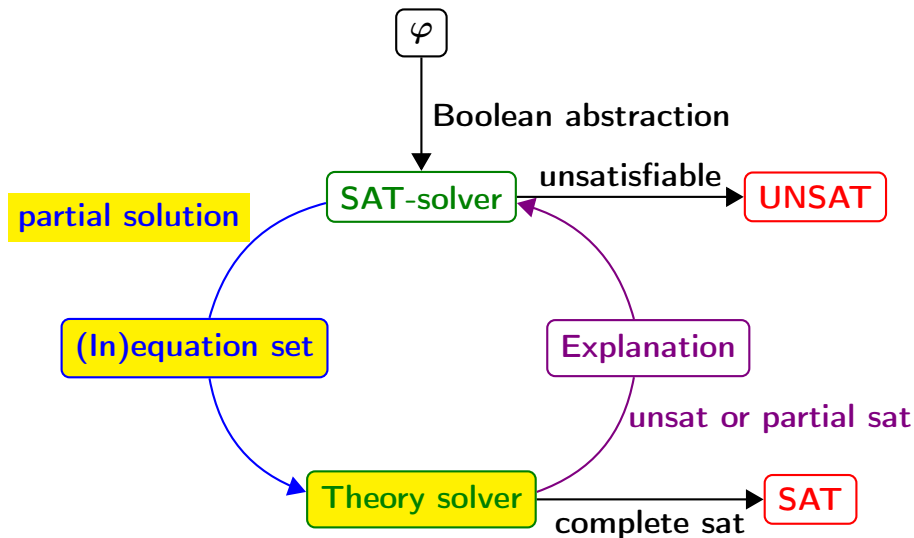
Add clause  $(\neg a_3 \vee \neg a_7)$ :

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

No conflict resolution needed, since the new clause is already asserting.  
Backtracking removes  $DL1$  and  $DL2$  first, then propagation is applied.

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1$



# Less lazy theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$     $DL1 : a_1 : 0, a_2 : 1$

Backtracking: remove  $a_3$ , Incrementality: add  $a_2$

$$\begin{aligned} & (\underbrace{p_1 = 0}_{a_1} \vee \underbrace{p_2 = 0}_{a_2} \vee \underbrace{p_3 = 0}_{a_3}) \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & (\underbrace{p_1 \geq 5}_{a_5} \vee \underbrace{p_2 \geq 5}_{a_6}) \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \end{aligned}$$

Encoding:

remove  $s_3 = 0$ , add  $s_2 = 0$

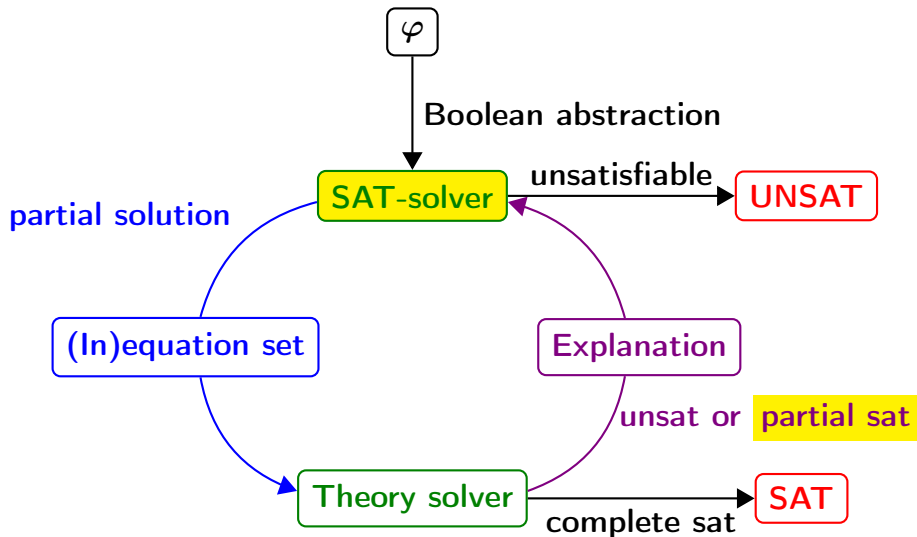
# Less lazy theory solving

Backtracking: remove bound  $s_3 = 0$ , add bound  $s_2 = 0$

$$\begin{array}{llll}
 p_1 = 0 & \rightarrow & s_1 = & p_1 & s_1 = 0 \\
 p_2 = 0 & \rightarrow & s_2 = & p_2 & s_2 = 0 \\
 p_3 = 0 & \rightarrow & s_3 = & p_3 & s_3 = 0 \\
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_4 = & p_1 + p_2 + p_3 & s_4 \geq 100 \\
 p_1 \geq 5 & \rightarrow & s_5 = & p_1 & s_5 \geq 5 \\
 p_2 \geq 5 & \rightarrow & s_6 = & p_2 & s_6 \geq 5 \\
 p_3 \geq 10 & \rightarrow & s_7 = & p_3 & s_7 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_8 = & p_1 + 2p_2 + 5p_3 & s_8 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_9 = & 3p_1 + 2p_2 + p_3 & s_9 \leq 300
 \end{array}$$

	$s_4(100)$	$p_2(0)$	$s_7(10)$
$s_1(90)$	1	-1	-1
$s_2(0)$	0	1	0
$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1
$s_5(90)$	1	-1	-1
$s_6(0)$	0	1	0
$p_3(10)$	0	0	1
$s_8(140)$	1	1	4
$s_9(280)$	3	-1	-2

Return partial SAT.



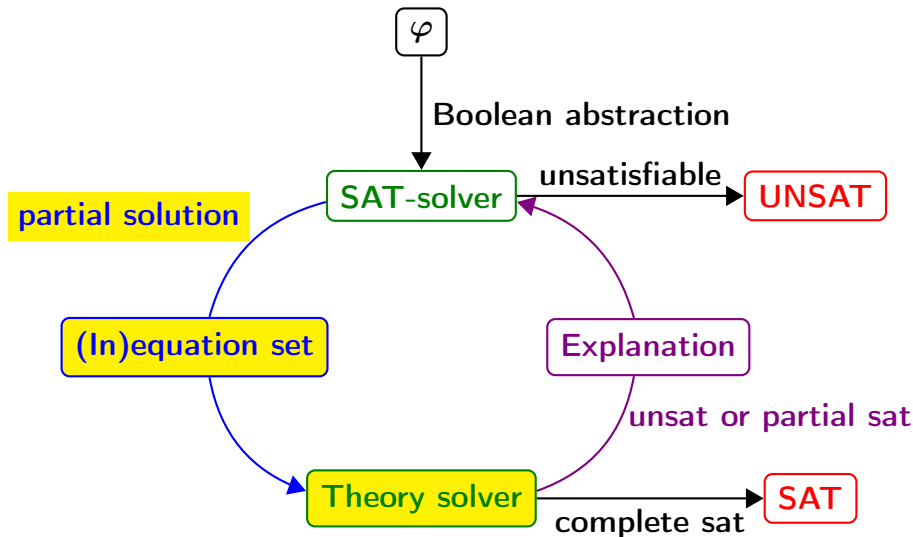
$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

*DL0* :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

*DL1* :  $a_1 : 0, a_2 : 1$

*DL2* :  $a_5 : 0, a_6 : 1$





# Less lazy theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0, \quad DL1 : a_1 : 0, a_2 : 1,$   
 $DL2 : a_5 : 0, a_6 : 1$

Incrementality: add  $a_6$

$$\begin{aligned} & (\underbrace{p_1 = 0}_{a_1} \vee \underbrace{p_2 = 0}_{a_2} \vee \underbrace{p_3 = 0}_{a_3}) \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & (\underbrace{p_1 \geq 5}_{a_5} \vee \underbrace{p_2 \geq 5}_{a_6}) \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \end{aligned}$$

Encoding:

$$s_6 \geq 5$$

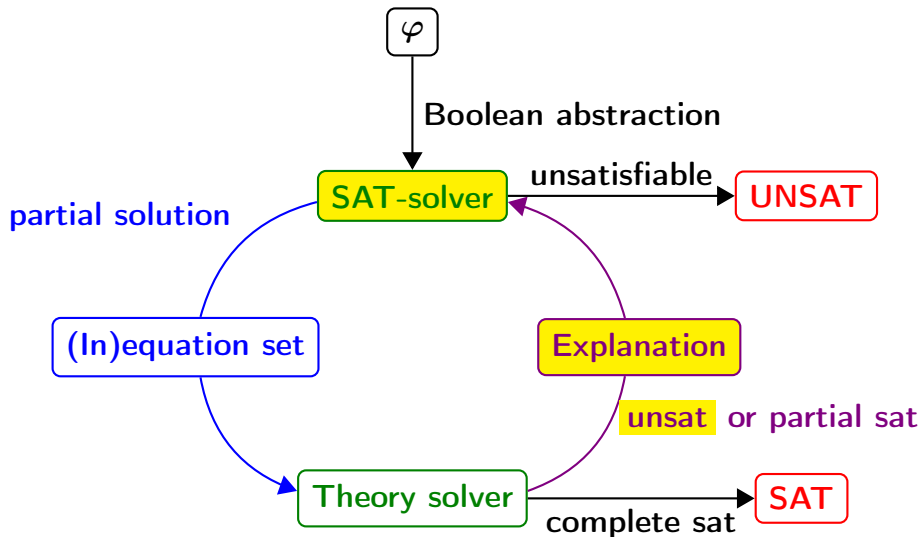
# Less lazy theory solving

$$\begin{array}{llll}
 p_1 = 0 & \rightarrow & s_1 = & p_1 & s_1 = 0 \\
 p_2 = 0 & \rightarrow & s_2 = & p_2 & s_2 = 0 \\
 p_3 = 0 & \rightarrow & s_3 = & p_3 & s_3 = 0 \\
 p_1 + p_2 + p_3 \geq 100 & \rightarrow & s_4 = & p_1 + p_2 + p_3 & s_4 \geq 100 \\
 p_1 \geq 5 & \rightarrow & s_5 = & p_1 & s_5 \geq 5 \\
 p_2 \geq 5 & \rightarrow & s_6 = & p_2 & s_6 \geq 5 \\
 p_3 \geq 10 & \rightarrow & s_7 = & p_3 & s_7 \geq 10 \\
 p_1 + 2p_2 + 5p_3 \leq 180 & \rightarrow & s_8 = & p_1 + 2p_2 + 5p_3 & s_8 \leq 180 \\
 3p_1 + 2p_2 + p_3 \leq 300 & \rightarrow & s_9 = & 3p_1 + 2p_2 + p_3 & s_9 \leq 300
 \end{array}$$

	$s_4(100)$	$p_2(0)$	$s_7(10)$		$s_4(100)$	$s_6(5)$	$s_7(10)$
$s_1(90)$	1	-1	-1	$s_1(85)$	1	-1	-1
$s_2(0)$	0	1	0	$s_2(5)$	0	1	0
$s_3(10)$	0	0	1	$s_3(10)$	0	0	1
$p_1(90)$	1	-1	-1	$p_1(85)$	1	-1	-1
$s_5(90)$	1	-1	-1	$s_5(85)$	1	-1	-1
$s_6(0)$	0	1	0	$p_2(5)$	0	1	0
$p_3(10)$	0	0	1	$p_3(10)$	0	0	1
$s_8(140)$	1	1	4	$s_8(145)$	1	1	4
$s_9(280)$	3	-1	-2	$s_9(275)$	3	-1	-2

Conflict:  $\underbrace{p_2 = 0}_{a_2} \wedge \underbrace{p_2 \geq 5}_{a_6}$  is not satisfiable.

# Less lazy SMT-solving



# Less lazy SAT-solving

Current assignment:

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

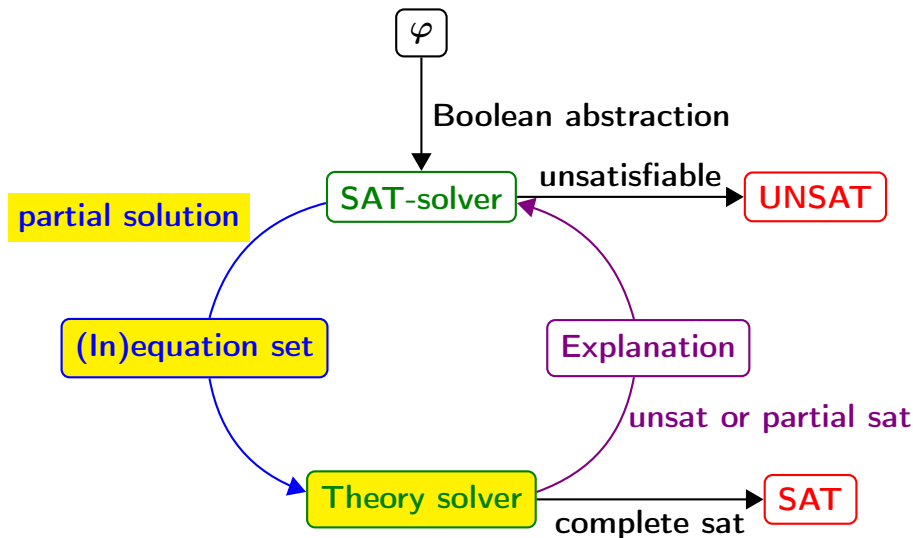
Add clause  $(\neg a_2 \vee \neg a_6)$ .

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \\ \wedge (\neg a_2 \vee \neg a_6)$$

No conflict resolution needed, since the new clause is already asserting. Backtracking removes  $DL2$  first, then propagation is used to imply new assignments (first using the new learnt clause).

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$



# Less lazy theory solving

DL0 :  $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$ ,    DL1 :  $a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

Backtracking: remove  $a_6$ , Incrementality: add  $a_5$

$$\begin{aligned} & \underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge \\ & \underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6) \end{aligned}$$

Encoding: remove  $s_6 \geq 5$ , add  $s_5 \geq 5$

# Less lazy theory solving

Backtracking: remove  $s_6 \geq 5$ , Incrementality: add  $s_5 \geq 5$

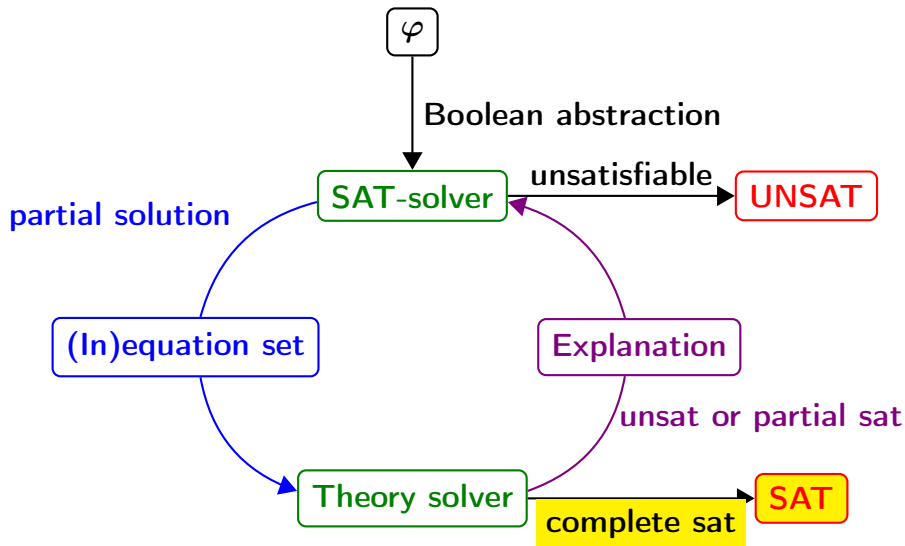
$p_1 = 0$	$\rightarrow$	$s_1 =$	$p_1$	$s_1 = 0$
$p_2 = 0$	$\rightarrow$	$s_2 =$	$p_2$	$s_2 = 0$
$p_3 = 0$	$\rightarrow$	$s_3 =$	$p_3$	$s_3 = 0$
$p_1 + p_2 + p_3 \geq 100$	$\rightarrow$	$s_4 =$	$p_1 + p_2 + p_3$	$s_4 \geq 100$
$p_1 \geq 5$	$\rightarrow$	$s_5 =$	$p_1$	$s_5 \geq 5$
$p_2 \geq 5$	$\rightarrow$	$s_6 =$	$p_2$	$s_6 \geq 5$
$p_3 \geq 10$	$\rightarrow$	$s_7 =$	$p_3$	$s_7 \geq 10$
$p_1 + 2p_2 + 5p_3 \leq 180$	$\rightarrow$	$s_8 =$	$p_1 + 2p_2 + 5p_3$	$s_8 \leq 180$
$3p_1 + 2p_2 + p_3 \leq 300$	$\rightarrow$	$s_9 =$	$3p_1 + 2p_2 + p_3$	$s_9 \leq 300$

	$s_4(100)$	$s_6(5)$	$s_7(10)$		$s_4(100)$	$s_2(0)$	$s_7(10)$
$s_1(85)$	1	-1	-1	$s_1(90)$	1	-1	-1
$s_2(5)$	0	1	0	$s_6(0)$	0	1	0
$s_3(10)$	0	0	1	$s_3(10)$	0	0	1
$p_1(85)$	1	-1	-1	$p_1(90)$	1	-1	-1
$s_5(85)$	1	-1	-1	$s_5(90)$	1	-1	-1
$p_2(5)$	0	1	0	$p_2(0)$	0	1	0
$p_3(10)$	0	0	1	$p_3(10)$	0	0	1
$s_8(145)$	1	1	4	$s_8(140)$	1	1	4
$s_9(275)$	3	-1	-2	$s_9(280)$	3	-1	-2

Since the assignment is complete, return SAT for the original problem.



# Less lazy SMT-solving



# What could also happen...

- **Problem:** When working in the less lazy modus, in the Simplex theory solver a bound of a **non-basic slack variable**  $s$  could be activated. If the current value of this non-basic variable now violates its newly activated bound, our invariant (all non-basic variable values are within the corresponding bounds) would not hold!
- **Solution:** Since the result in the previous solver state was SAT, all the basic variables satisfy their bounds. Thus pivoting with an arbitrary basic variable  $s'$  whose row has a non-zero coefficient for  $s$  solves the problem. Note: there is always such a row.
- **New problem:** Now also the bounds of  $s'$  could be activated, leading to a similar problem. However, now it can happen that all basic variables are assigned values outside their bounds!
- **Solution:** After activating a bound, first check satisfiability and activate further bounds afterwards one by one.