# Lecture Notes Big Data in Medical Informatics

# Week 6:

# **Semantic Interoperability of EHR**

Oya Beyan, Ph.D. Prof. Dr. Stefan Decker



- Interoperability definition:
  - "ability of two or more components to exchange information and to use the information that has been exchanged."
- Semantic Interoperability definition:
  - "ability for information shared by systems to be understood at the level of formally defined domain concepts"

Semantic interoperability refers to common and precise understanding of exchanged information.

- LEVEL 1: Syntactic interoperability
  - two or more systems are capable of communicating with each other
  - The information between different components, systems or organisation can be exchangeable via specified data formats, communication protocols
  - It does not require special involvement of human.
  - From the perspective of language, syntax can be considered as the grammar to convey semantics and structure.



### Level 2: Functional interoperability

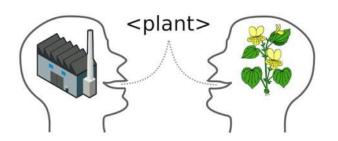
- The semantics of the information or knowledge provided is explicit and can be analysed by domain experts.
- In other words, the end users should understand the meaning of the information exchanged between information systems.

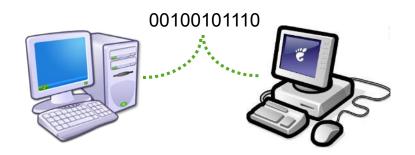
### LEVEL 3: Semantic interoperability

- A part from realizing functional interoperability, it requires that the information system understands the semantics of information request and those of requesting information.
- The information requester and the information provider should have a common understanding of the "meaning" of the exchanging information.
- The information shared by systems should be understood at the level of formally defined domain concepts so that information is computer processable by the receiving system.



 computational services should be able to interpret safely clinical data that has been transferred / integrated from diverse sources





 Semantic interoperability is the ability of sharing, aggregating, analyzing and using external information automatically and in a meaningful way.



- Why do we need Semantic Interoperability of health records?
- Discuss possible use cases that you might need to set up an semantic interoperability infrastructure ....

#### Possible use cases ....

- Manage increasingly complex clinical care.
- Connect multiple locations of care delivery.
- Support team based care.
- Deliver evidence-based health care.
- Support clinical trials
- Enable secondary use for big data analytics
- Improve patient safety.
- Reduce errors and inequalities.
- Reduce duplication and delay.
- Provide personalized decision support
- Support everyday care.
- Empower and involve citizens.
- Underpin population health and research. ....



- Goal of semantic interoperability :
  - Data to be transferred and structurally mapped into a receiving database
  - Moreover it's clinical content can be mapped to a commonly understood meaning
    - For this goal we need an information infrastructure to be able to communicate (share) meaning, and in particular, clinical meaning.
    - It is much more complex than sharing data structures, data elements and individual values.



- Task: To harmonise meaning
- A strait forward solution: Harmonise meaning by developing a standard, precise and comprehensive terminology, in which each clinical concept is clearly defined and has a unique representation.
- Question : Does it work ? Why and why not ?



- Answer: Not really, One size does not fit all!
- Although semantic interoperability ideally requires standards, this level of standardization is not achievable.

Clinical practice is inherently diverse. Representation for each clinical expression is not realistic, and is probably not desirable:

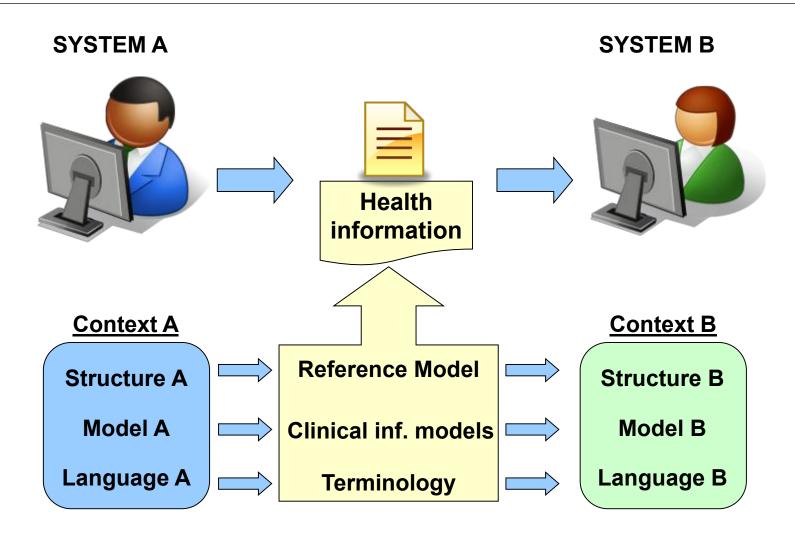
- different levels of detail, different levels of granularity are needed for different clinical settings;
- clinical practice is too diverse and evolving for fine grained standards;
- different cultures, and natural languages need to represent health phenomena and clinical meaning differently;
- patients and carers need a different level of jargon from health care professionals.



#### Goal of Semantic Interoperability is:

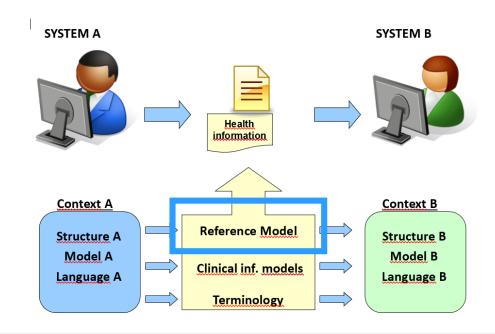
- to be able to recognise and process semantically equivalent information homogeneously, even if instances are heterogeneously represented
- i.e. if they are differently structured, and/or using different terminology systems, and/or using different natural languages.
   This equivalence needs to be robustly computable, and not just human readable but in machine interpretable way.
- When heterogeneous systems can communicate and combined:
  - guidelines, care pathways, alerting and decision support components can function effectively and safely across EHRs
  - Diverse clinical and genomic data sources can be harmonized and re utilized for big data analytics
  - Knowledge repositories and clinical data can be coupled to support evidence based medicine





- Solutions for Semantically Interoperable EHR Systems:
- 1) Generic reference models for representing clinical (EHR) data
  - e.g. ISO/EN 13606 Part 1, HL7 CDA Release 2, the openEHR Reference Model.
- 2) Agreed data structure definitions: clinical information models
  - e.g. openEHR archetypes, ISO/EN 13606 Part 2, HL7 templates, generic templates and data sets.
- 3) Clinical terminology systems
  - e.g. LOINC, SNOMED-CT, ICD.







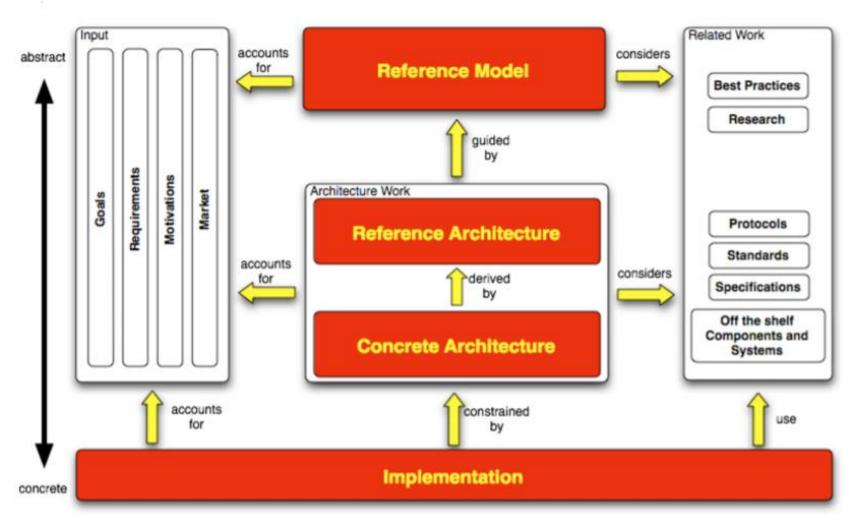
#### Reference model :

- is an abstract framework or domain-specific ontology consisting of an interlinked set of clearly defined concepts in order to ensure clear communication.
- In software design: a division of functionality into elements together with the data flow among those elements

#### Reference architecture:

- is an architectural design pattern indicating an abstract solution that implements the concepts and relationships identified in the Reference Model
- In software design: A reference architecture mapped onto software elements that implements the functionality defined in the reference model
- Reference Models are frequently confused with Reference Architecture.
  - The Reference Models serves as the common communication platform. It establish the architecture taxonomy .
  - Reference Architectures on the other hand are the architecture template which can be reuse to create architecture design (elements, relations among them, and properties of both elements and relations).





Ref: Learning, Enhanced. "DL. org: Coordination Action on Digital Library Interoperability, Best Practices and Modelling Foundations."



#### Reference Model:

- An abstract framework for understanding significant relationships among the entities of some environment
- Consists of minimal set of unifying concepts, axioms and relationships within particular problem domain
- provide common language for understanding important features of domain
- Provide a structure which allows modules and interfaces of a system to be described in consistent manner
- Not directly tied to any standards, technologies or other concrete implementation details



#### **EHR Reference Models**

- EHR Reference Models
   define the high-level logical model for any kind of EHR and the information
   properties that will be common to all of the entries contained in it:
  - dates and times of when observations occurred, health events took place and when information was recorded;
  - persons who provided, composed, entered or authorised (signed) particular entries, or who played particular roles in a health care process;
  - version management information, including who changed any of the entries, when and why;
  - the degree of sensitivity of the information and who should be allowed to access it;
  - who the information is about, if not the patient (e.g. if it about a family member, or a third party);
  - the ability to label each point in the record hierarchy i.e. to include a name for each folder, document, heading and the parts of each detailed entry;
  - a standard way of representing coded clinical terms, measured quantities, dates, times and various kinds of multimedia data.

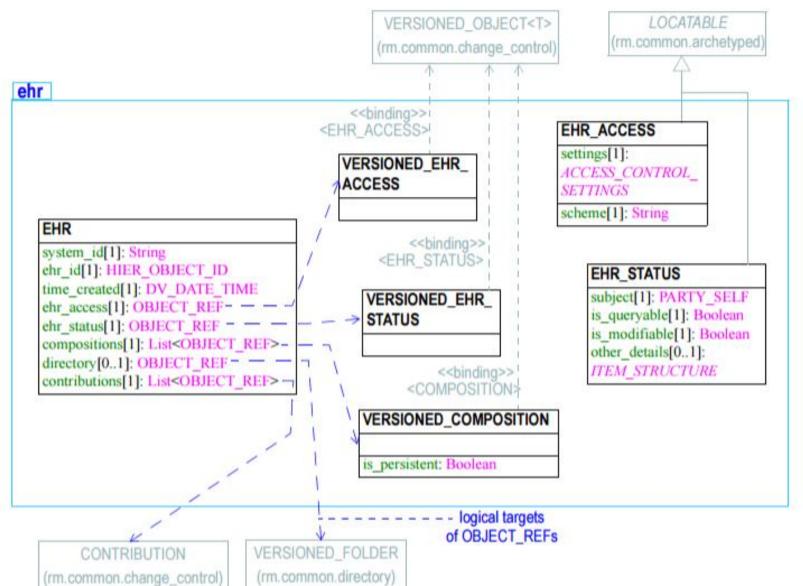


#### **EHR Reference Models**

- The reference model
  - shall be used by both sending and receiving information systems
  - contain all of the structure, names and medico-legal information required for it to be represented faithfully on receipt
  - the nature of the clinical content <u>not need to be "agreed"</u> in advance.
  - This is sometimes termed structural or syntactic interoperability.
  - The kinds of meaning that are represented are predominantly medico-legal rather than related to clinical knowledge.

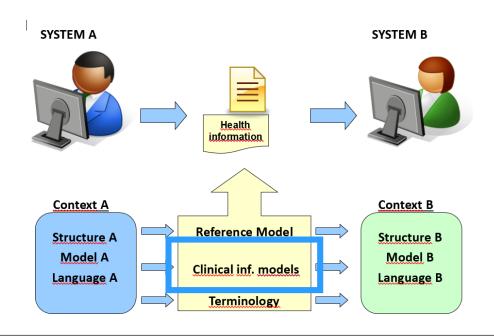


#### **EHR Reference Models**





# **Clinical Information Models**





- CIM as a generic term that encompasses all technical specifications defining how clinical information is organized and described inside an EHR system or repository, or for EHR communication.
- This approach is used for the clinical (semantic) data structures that will be communicated via the reference model
- A CIM defines both the information structure and formal semantics of documented clinical concepts.

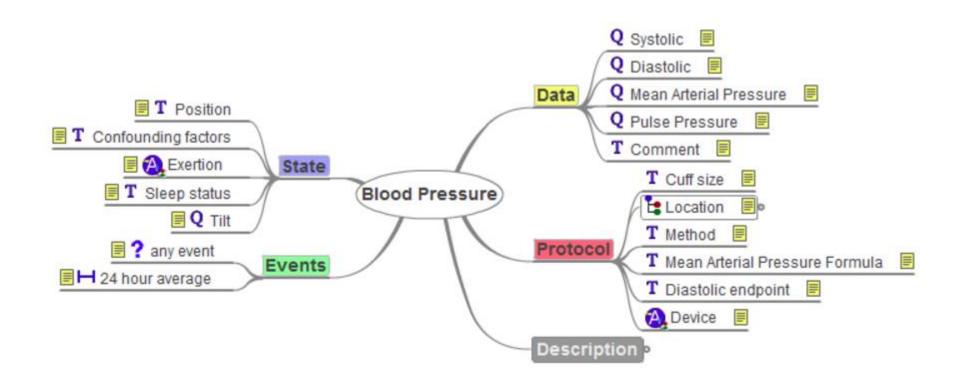


- Benefit: equivalent clinical information is represented consistently or at least can be mapped to a consistent representation for interpretation.
- CIMs are structural and semantic artefacts that facilitate
  - organizing, storing, querying, and displaying clinical data
  - exchanging that data between different information systems; and
  - performing data analytics.
- Examples:
- A standard set of domain-specific concept models, i.e. archetypes and templates for clinical, demographic and other domain-specific concepts

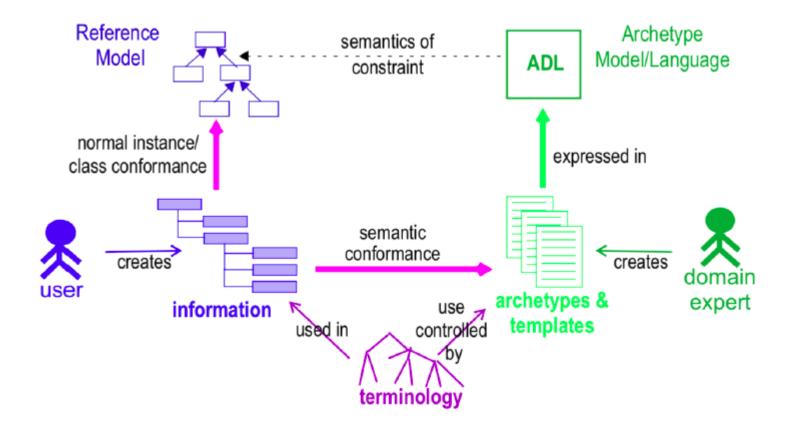


- A CIM is defined by constraining the generic data structures of an underlying reference model (RM)
  - RM provides the basic characteristics and attributes needed to represent data instances.
- Terminologies such as SNOMED CT, ICD, or LOINC also play an important role in defining CIMs.
  - The structure of CIMs can be bound (precisely mapped) to clinical terminologies to provide a unambiguous definition of the model.
  - terminologies are also used to specify value sets, ie, the set of possible terms that can be assigned as clinical information values.
- a complete semantically interoperable definition of CIMs can only be achieved by using both a standard RM and terminologies to describe the semantics of the information structures.



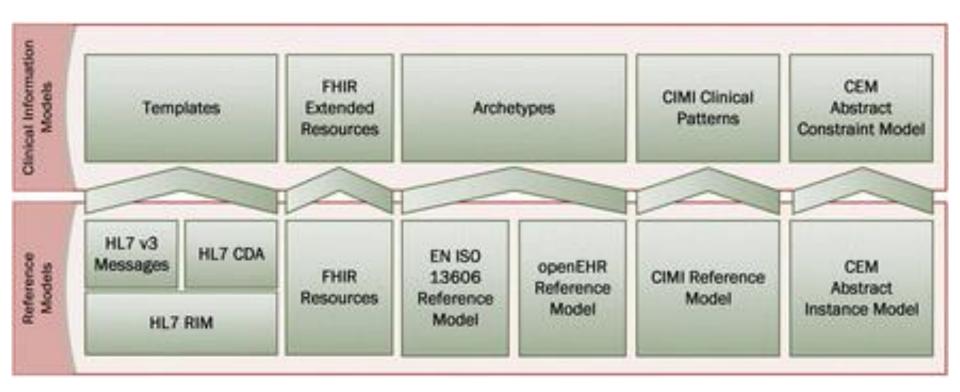








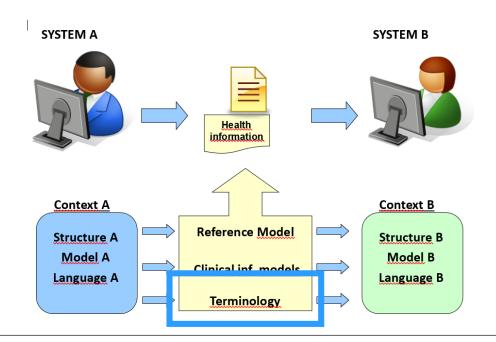
 Summary of reference models and their clinical information model definition artefacts.



Ref: Moreno-Conde, Alberto, et al. "Clinical information modeling processes for semantic interoperability of electronic health records: systematic review and inductive analysis." Journal of the American Medical Informatics Association (2015): ocv008.



# **Terminologies**





## **Clinical Terminologies**

- clinical terminology serves to provide a systematised and restricted (controlled)
  vocabulary of clinically relevant phrases that can be used during data entry to
  provide a more precise and shareable expression
- It also permits EHRs to be shared across languages the translation of a terminology to another natural language is moderately scalable
- nomenclatures,
- controlled vocabularies
- simple hierarchical classifications of diseases,
- aetiologies and treatments to facilitate the entry and analysis of healthcare data
- ICD, ICPC, SNOMED ....



# Interoperability Communication Standards and Standard Setting Organizations



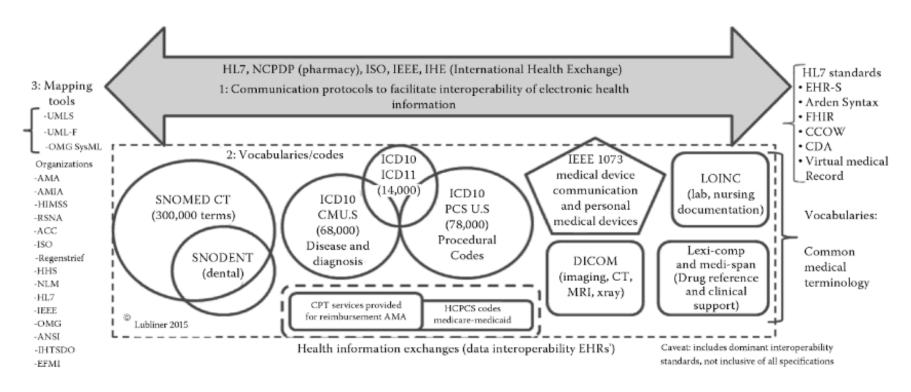


FIGURE . Interoperability: communication, terminology, and mapping standards.

Ref: Biomedical Informatics: An Introduction to Information Systems and Software in Medicine and Health. David J. Lubliner



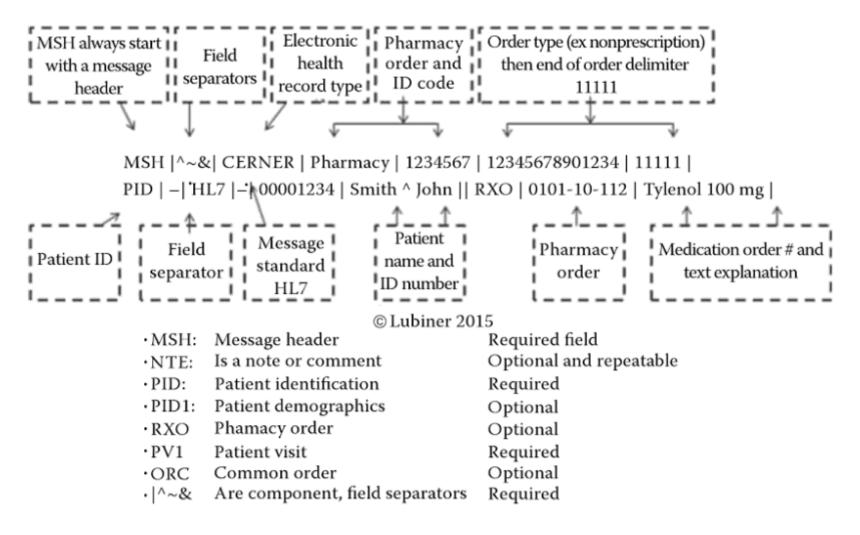


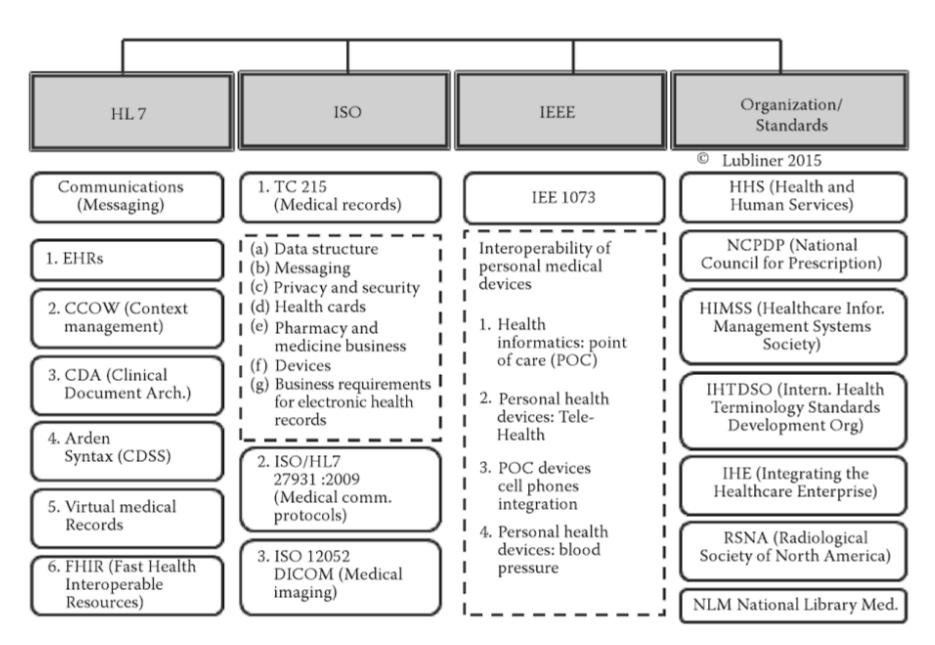
FIGURE . Health Level 7 (HL7) sample pharmacy, HL7 communications message between systems.

Ref: Biomedical Informatics: An Introduction to Information Systems and

Software in Medicine and Health. David J. Lubliner

15









## **Standard Setting Organizations**

- ISO is an International Organization for Standardization
  - it is a network of the national standards institutes of 157 countries.
  - They work on the basis of one member per country, with a Central
  - Secretariat in Geneva, Switzerland, that coordinates the system.
  - ISO produces EHR standards that are limited to the structure and function of the EHR and the system that processes EHR.
- CEN is a European Committee for Standardisation.
  - It is involved in developing multi-disciplinary standards including health care systems and their interoperability.
  - CEN covers European Union (EU) countries and some affiliated countries outside the EU.
- HL7 stands for Health Level Seven.
  - It is one of the several American National Standards Institute (ANSI) accredited
     Standards Developing Organizations, which operates in the healthcare arena.
  - Its purpose is to provide standards for data exchange between different types of healthcare computer applications.
  - HL7's domain includes clinical and administrative data

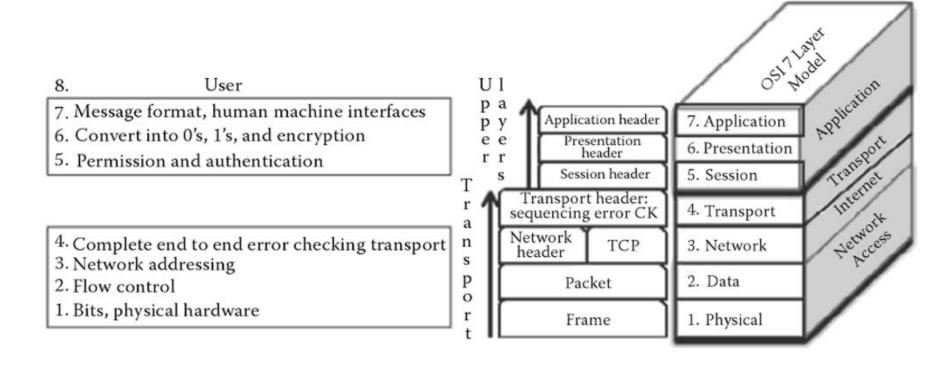


# HL7



 HL7 standards developing organization dedicated to providing a comprehensive framework and related standards for the exchange, integration, sharing, and retrieval of electronic health information that supports clinical practice and the management, delivery and evaluation of health services

#### ISO seven layer model



Ref: Biomedical Informatics: An Introduction to Information Systems and Software in Medicine and Health. David J. Lubliner



- 1	-	-	Γ3

	HL7ª						
Primary     standards (used     most often)	Structure and semantics of clinical documents to communicate between healthcare providers sed Point of use CCOW Messaging for data exchange						
most often)	Specification based on RIM V3						
	Document markup standard based on structure product labeling specification that is attached to any medicine						
Continuity of care fosters interoperability of data by physicians							
2. Foundational	Foundational Arden syntax for procedural clinical knowledge to share health knowledge databases						
standards DSS that recommends possible treatments; its AI software with embedded rules (see DSS in Chapter 3)							
	Control data for the contents of each data field						
	Service-oriented architecture						
<ol><li>Clinical and</li></ol>	3. Clinical and Messaging standards						
administrative							
domains Genomics							
Drug stability reporting							
4. EHR profiles Child health profiles							
Clinical research profiles							
	Medication						
	Behavioral Health Functional Profile						
<ol><li>Implementation</li></ol>	ANSI orders and observations	Ref: Biomedical Informatics: An					
guides	Clinical oncology	Introduction to Information					
	Questionnaire assessment	Systems and Software in Medicine and Health. David J. Lubliner					
6. Rules and	Arden syntax reference V1.6	and Health. David J. Lubililei					
references	GELLO version 3						
	DSS release 1						
<ol><li>Education and</li></ol>	Books and guides						

Data access rules/consent

Quality reporting documents

awareness

<sup>&</sup>lt;sup>a</sup> Core standards categories (http://www.hl7.org/implement/standards/product\_matrix.cfm?ref=nav).

# HL7 Version 2.x Messaging Standard



#### **HL7 Version 2.x**

- HL7 v2 is designed to make sure that the communication is feasible,
- But it does not guarantee that the clinical information in EHR is semantically interoperable.
- According to the standard [HL7 version 2.7, 2011], the purpose of HL7 v2 is to "serve as a way for inherently disparate applications and data architectures operating in a heterogeneous system environment to communicate with each other".
- It ensures syntactic interoperability but not the higher levels of interoperability.
- (HL7 version 3, using a different approach, tries to promote interoperability to a higher level in health domain.)



## What are HL7 messages

- Used to transfer electronic data between disparate healthcare systems.
- Each HL7 message sends information about a particular event such as a patient admission.
- HL7 messages are in human-readable (ASCII) format, though they may require some effort to interpret.

- There are four primary HL7 standard message types:
  - Patient Administration (ADT)
  - Orders (ORMs)
  - Results (ORUs)
  - Charges (DFTs)



#### Patient Administration (ADT)

- HL7 ADT messages carry patient demographic information for HL7 communications
- they provide important information about trigger events (such as patient admit, discharge, transfer, registration, etc.).
- Some of the most important segments in the ADT message are the
- PID (Patient Identification) segment, the PV1 (Patient Visit) segment, and occasionally the IN1 (Insurance) segment.
- ADT messages are extremely common in HL7 processing and are among the most widely used of all message types.



	Segment ID	Description	
	A01	Admit/visit notification	
<ul> <li>51 ADT Message Events</li> </ul>	A02	Transfer a patient	
	A03	Discharge/end visit	
	A04	Register a patient	
ADT	A05	Pre-admit a patient	
Patient Administration	A06	Change an outpatient to an inpatient	
	A07	Change an inpatient to an outpatient	
LIS	80A	Update patient information	
HIS Hospital Information System  ADT patient data  ED Emergency	A09	Patient departing - tracking	
RIS	A10	Patient arriving - tracking	
Radiology	A11	Cancel admit/visit notification	
	A12	Cancel transfer	
	A13	Cancel discharge/end visit	
RWTH Informatik 5   Ahornstr. 55 D-52056 Aachen German Tel +49/241/8021501   Fax +49/241/8022321   http://dbis.rw	A14	Pending admit	
	A15	Pending transfer	

#### Orders (ORMs):

- a general order message that is used to transmit information about an order.
- There is only one type of ORM message the ORM-O01 message.
- Trigger events for the ORM-O01 message involve changes to an order such as new orders, cancellations, information updates, discontinuation



#### Results (ORUs):

- observations and results from the producing system/filler i.e. LIS, EKG system)
   to the ordering system/placer (i.e. HIS, physician office application).
- It may also be used to transmit result data from the producing system to a medical record archival system, or to another system not part of the original order process.
- ORU messages are also sometimes used to register or link to clinical trials, or for medical reporting purposes for drugs and devices.
- Types of observations reported in the ORU-R01 message include:
  - Clinical lab results
  - Imaging study reports
  - EKG pulmonary function study results
  - Patient condition or other data (i.e. vital signs, symptoms, allergies, notes, etc.)



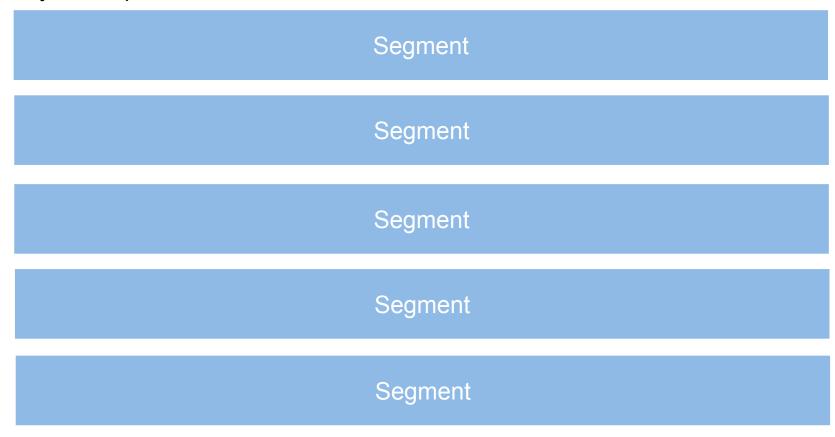
#### Charges (DFTs)

- describes a financial transaction that is sent to a billing system and is used for patient accounting purposes.
- This message might include things like ancillary charges or patient deposits, and is sent between the DSS/Order Filler and the Charge Processor.
- The DSS/Order Filler would then verify that the procedure had been completed.
- Trigger events for the DFT-P03 message include:
  - Procedure ordered
  - Procedure scheduled
  - Procedure completed
  - Future will define Report events for professional fees



- They are made up of sequence of Segments.
- Each segment serves as a building block of HL7 messages.
- Each segment is located on it's own line in the message and has a specific purpose, to group the relevant pieces of information.

- Segments are separated by <cr>
- They are separete lines

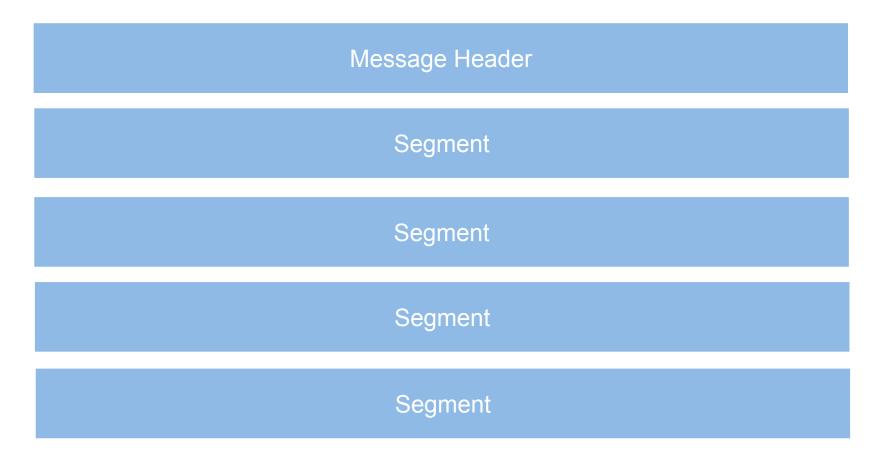


 Each segment is defined in a table such as that shown below for the MSH message header segment. The columns of this table show:

	SEQ	LEN	DT	Usage	Cardinality	TBL#	Item #	Element name
SEQ Field sequence	1	1	ST	R			00001	Field separator
number	2	4	ST	R			00002	Encoding characters
LEN Maximum fi eld length	3	180	HD	O			00003	Sending application
DT Data type	4	180	HD	O			00004	Sending facility
Usage Optionality	5	180	HD	O			00005	Receiving application
Cardinality Repeatable field.	6	180	HD	O			00006	Receiving facility
If Y can repeat any number	7	26	TS	0			00007	Date/time of message
of times a number, such as	8	40	ST	O			80000	Security
Y/3, indicates a maximum	9	7	CM	R			00009	Message type
•	10	20	ST	R			00010	Message control ID
number of three repeats	11	3	PT	R			00011	Processing ID
TBL# The reference number	12	8	ID	R		0104	00012	Version ID
of the HL7 table which	13	15	NM	O			00013	Sequence number
contains a controlled	14	180	ST	O			00014	Continuation pointer
vocabulary from which	15	2	ID	О		0155	00015	Accept acknowledgment type
values can be taken	16	2	ID	O		0155	00016	Application acknowledgment
Item# HL7's internal								type
database item number	17	2	ID	O			00017	Country code
Element name Human-	18	6	ID	O	[03]	0211	00692	Character set
readable name of the field	19	60	CE	0			00693	Principal language of message



First segment is always Message Header





## Message Header

- Conveys the metadata of the message like who sent it and when.
- It is indicated in the first three letters of the segment as MSH.

MSH

Message Header



# **Common Message Header**

MSH	Message Header
PID	Patient Identification
NK1	Next of Kin
PV1	Patient Visit
SCH	Scheduling Activity Information
OBR	Observation Requests



## Message Field

Segments are further divided into Field



They are separated by |



 Fields each have an assigned value type that relates to its position in the Segment

PID Name DOB Address

#### **Common Field Delimiter**

- knows as Field Delimiter (pipe)
- ^ known as Sub-field Delimiter (caret)
- ~ known as Repeating Field Delimiter (tilde)
- \ known as Escape Character (backslash)
- & known as Sub-sub Field Delimiter (ampersand)
- <cr> Segment terminator



## **Example Message**

PID Name DOB Address



## **Example Message**

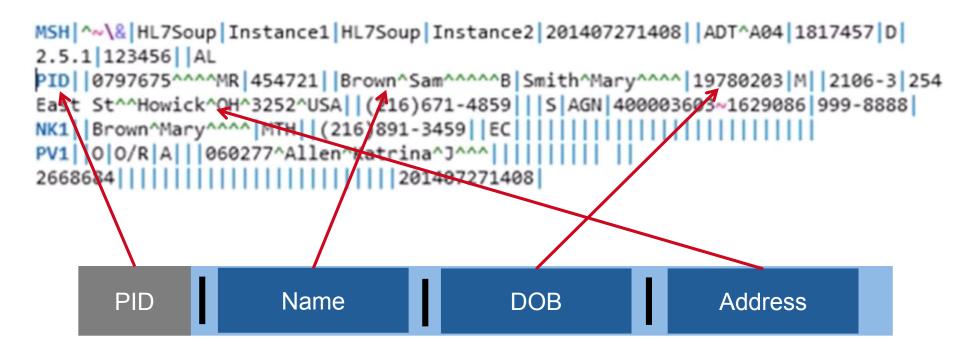


Figure 3-2. PID attributes

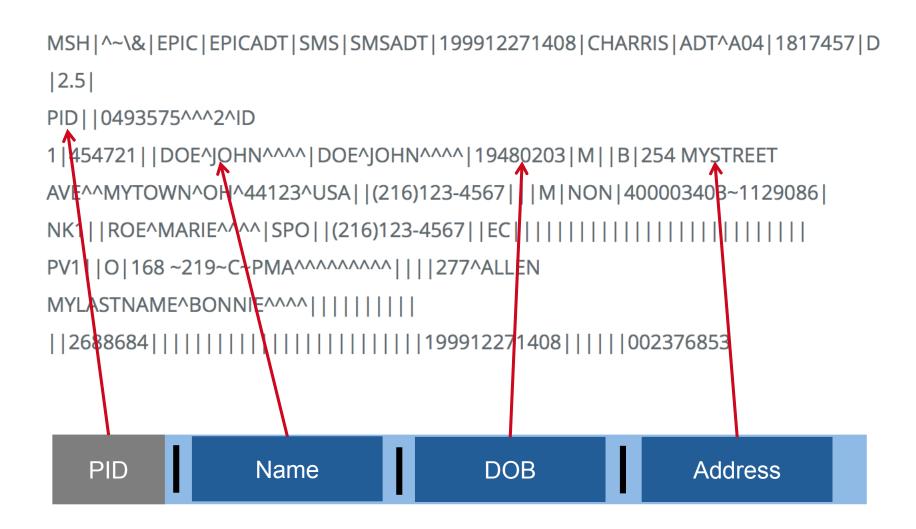
1							
SEQ	LEN	DT	OPT	RP/#	TBL#	ITEM#	ELEMENT NAME
1	4	SI	О			00104	Set ID - PID
2	20	CX	В			00105	Patient ID
3	20	CX	R	Υ		00106	Patient Identifier List
4	20	CX	В	Υ		00107	Alternate Patient ID - PID
5	48	XPN	R	Υ		00108	Patient Name
6	48	XPN	О	Υ		00109	Mother's Maiden Name
7	26	TS	О			00110	Date/Time of Birth
8	1	IS	О		0001	00111	Sex
9	48	XPN	О	Υ		00112	Patient Alias
10	80	CE	О	Υ	0005	00113	Race
11	106	XAD	О	Υ		00114	Patient Address
12	4	IS	В		0289	00115	County Code
13	40	XTN	О	Υ		00116	Phone Number - Home
14	40	XTN	О	Υ		00117	Phone Number - Business
15	60	CE	О		0296	00118	Primary Language
16	80	CE	О		0002	00119	Marital Status
17	80	CE	О		0006	00120	Religion
18	20	CX	О			00121	Patient Account Number
19	16	ST	В			00122	SSN Number - Patient
20	25	DLN	О			00123	Driver's License Number - Patient
21	20	CX	О	Y		00124	Mother's Identifier
22	80	CE	О	Y	0189	00125	Ethnic Group

#### **Practise**





#### **Practise**





#### **Note**

PID||0493575^^^2\ID
1|454721||DOE^JOHN^^^|DOE^JOHN^^^^|19480203|M||B|254 MYSTREET
AVE^MYTOWN^OH^44123^USA||(216)123-4567|||M|NON|400003403~1129086

 The four ^^^ characters at the end of this composite indicates that it has a total of six sub-composites, and that only the first two of the sub-composites are defined.
 In this composite, DOE represents the family name of the patient, and JOHN is the patient's given name.



- In order to be as flexible as possible, the HL7 committees were forced to define many segment fields as optional.
- The downside of this decision is that you cannot be certain that particular information will be present in a given message.
- This is one of the reasons why the same message may vary significantly from vendor to vendor.

## **HL7 Message Type**

- Message type indicates what health-related information is being provided in this message.
- The message type also determines what segments can be included as part of the message
- The message type is normally the ninth field of this segment



 For example, consider this MSH segment, which you have seen before:

 Here, the HL7 message type is ADT^A04, which is "Register a Patient".



## **Repeating and Optional Segments**

- Repeating segments are useful for messages that contain contact information, as they make it possible to provide more than one contact.
- For instance, multiple NK1 (Next of Kin) segments may be provided if a patient has more than one person that can be contacted in case of emergency.



- Optional segments are useful for providing information that is not provided in all messages.
- For instance, an AL1 (Patient Allergy Information)
   segment may be included if a patient has allergies.

#### **Practise**

# Determine the Repeating Segments in this HL7 Message

```
MSH|^~\&|EPIC|EPICADT|SMS|SMSADT|199912271408|CHARRIS|ADT^A04|1817457|D
|2.5|
PID | | 0493575^^^2^ID
1|454721||DOE^JOHN^^^|DOE^JOHN^^^|19480203|M||B|254 MYSTREET
AVE^MYTOWN^OH^44123^USA||(216)123-4567|||M|NON|400003403~1129086|999-|
NK1||ROE^MARIE^^^^|SPO||(216)123-4567||EC|||
NK1||DOE^|OHN ^^^|SPO||(216)123-4567||EC||
NK1||DOE^ROBERT ^^^^|SPO||(216)123-4568||EC||||||||
PV1||0|168 ~219~C~PMA^^^^^^\|||277^ALLEN
MYLASTNAME^BONNIE^^^^|||||
||2688684|||||||||||||||||||||||199912271408|||||002376853
```



#### **Practise**

# Determine the Repeating Segments in this HL7 Message



## **HL7 Segment Group**

- A segment group is a collection of segments that always appear together.
- Some segment groups can be optional or repeating.
- Messages of type ORU^R01 (Lab Result) can have one or more Result Group segment groups defined.
- Each Result Group consists of one OBR (Observation Request) segment and one or more OBX (Observation/Result) segments



 For instance, the following message includes two Result Group segment groups:



## **HL7 Segment Grammar Notation**

 In the standard segment grammar notation, segments are listed from left to right, starting with the first segment in the message (which is always MSH). For example.

The segment grammar notation for this message would be:
 MSH PID NK1 PV1



 Optional segments are enclosed in square brackets []. For example, if a PV2 (Patient Visit – Additional Information) segment can be optionally included with messages such as the one shown above, the segment grammar looks like this:

## MSH PID NK1 PV1 [PV2]



 Repeating segments are enclosed in curly brackets {}. For example, if multiple NK1 segments can be included, the segment grammar looks like this:

### MSH PID {NK1} PV1 [PV2]



 A segment that is both optional and can be repeated is enclosed in both square brackets and curly brackets. For example, if the NK1 segment is optional but can be repeated, the segment grammar looks like this:

### MSH PID [{NK1}] PV1 [PV2]



#### **Practice**

Determine the Segment Grammar of this HL7 message

#### **Practice**

Determine the Segment Grammar of this HL7 message

Here, OBR {OBX} is the segment grammar notation for a Result Group. The Result Group is enclosed in both square and curly brackets, as it is optional and can be repeated



#### References

- Kalra, Dipak, and B. G. M. E. Blobel. "Semantic interoperability of EHR systems." Studies in health technology and informatics 127 (2007): 231.
- Moreno-Conde, Alberto, et al. "Clinical information modeling processes for semantic interoperability of electronic health records: systematic review and inductive analysis." *Journal of the American Medical Informatics* Association(2015): ocv008.
- Biomedical Informatics: An Introduction to Information Systems and Software in Medicine and Health. David J. Lubliner

