Satisfiability Checking Summary I

Prof. Dr. Erika Ábrahám

RWTH Aachen University Informatik 2 LuFG Theory of Hybrid Systems

WS 16/17

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

Propositional logic: Syntax

Abstract grammar:

$$\varphi := AP \mid (\neg \varphi) \mid (\varphi \wedge \varphi)$$

with $AP \in AP$.

Syntactic sugar:

$$\begin{array}{cccc}
 & \bot & := (a \land \neg a) \\
 & \top & := (a \lor \neg a) \\
(\varphi_1 & \lor & \varphi_2 &) := \neg((\neg \varphi_1) \land (\neg \varphi_2)) \\
(\varphi_1 & \to & \varphi_2 &) := ((\neg \varphi_1) \lor \varphi_2) \\
(\varphi_1 & \leftrightarrow & \varphi_2 &) := ((\varphi_1 \to \varphi_2) \land (\varphi_2 \to \varphi_1)) \\
(\varphi_1 & \bigoplus & \varphi_2 &) := (\varphi_1 \leftrightarrow (\neg \varphi_2))
\end{array}$$

Propositional logic: Semantics

- Structures for predicate logic:
 - Domain: $\mathbb{B} = \{0, 1\}$
 - Interpretation: assignment $\alpha: AP \to \{0,1\}$ Assign: set of all assignments Equivalently: $\alpha \in 2^{AP}$ or $\alpha \in \{0,1\}^{AP}$
- Semantics: $\models \subseteq (Assign \times Formula)$ is defined recursively:

$$\begin{array}{ll} \alpha & \models p & \text{iff } \alpha(p) = \text{true} \\ \alpha & \models \neg \varphi & \text{iff } \alpha \not\models \varphi \\ \alpha & \models \varphi_1 \land \varphi_2 & \text{iff } \alpha & \models \varphi_1 \text{ and } \alpha \models \varphi_2 \end{array}$$

$$\begin{array}{lll} \alpha & \models \varphi_1 \vee \varphi_2 & \text{iff } \alpha & \models \varphi_1 \text{ or } \alpha & \models \varphi_2 \\ \alpha & \models \varphi_1 \to \varphi_2 & \text{iff } \alpha & \models \varphi_1 \text{ implies } \alpha & \models \varphi_2 \\ \alpha & \models \varphi_1 \leftrightarrow \varphi_2 & \text{iff } \alpha & \models \varphi_2 \text{ iff } \alpha & \models \varphi_2 \\ \alpha & \models \varphi_1 \bigoplus \varphi_2 & \text{iff } \alpha & \models \varphi_2 \text{ iff } \alpha & \not\models \varphi_2 \end{array}$$

Logic extensions: Theories

Propositional logic	$(x \lor y) \land (\neg x \lor y)$
Equality	$(x = y \land y \neq z) \rightarrow (x \neq z)$
Uninterpreted functions	$(F(x) = F(y) \land y = z) \rightarrow F(x) = F(z)$
Linear real/integer arithmetic	$2x + y > 0 \land x + y \le 0$
	2x = 1
Real algebra	$x^2 + 2xy + y^2 < 0$

Normal forms

Input for solvers:

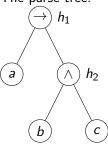
- Negation Normal Form (NNF)
- Conjunctive Normal Form (CNF)

Converting to CNF: Tseitin's encoding

Consider the formula

$$\phi = (a \to (b \land c))$$

The parse tree:

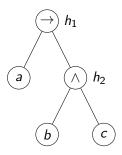


- Associate a new auxiliary variable with each gate.
- Add constraints that define these new variables.
- Finally, enforce the root node.

Converting to CNF: Tseitin's encoding

■ Need to satisfy:

$$(h_1 \leftrightarrow (a \rightarrow h_2)) \land (h_2 \leftrightarrow (b \land c)) \land (h_1)$$



■ Each gate encoding has a CNF representation with 3 or 4 clauses.

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

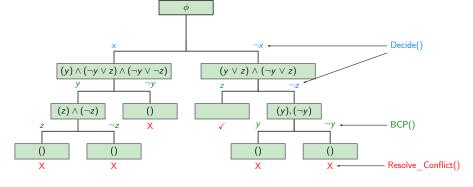
The basic SAT algorithm

```
Choose the next variable
                                                and value.
                                                Return false if all variables
               if (!BCP()) return UNSAT
                                                are assigned.
               while (true)
                     if (!decide()) return SAT;
                     while (!BCP())
                            if (!resolve conflict()) return UNSAT;
                                         Conflict resolution and
Boolean constraint propagation.
                                         backtracking. Return false
Return false if reached a conflict.
                                         if impossible.
```

A basic SAT algorithm

Assume the CNF formula

$$\phi : (x \vee y \vee z) \wedge (\neg x \vee y) \wedge (\neg y \vee z) \wedge (\neg x \vee \neg y \vee \neg z)$$



SAT solving: Components

- Decision
- Boolean Constraint Propagation
- Conflict resolution
- Backtracking

Boolean constraint propagation

A clause can be

Satisfied: at least one literal is true

Unsatisfied: all literals are false

→ Conflict

Unit: one literal is unassigned, the remaining literals are false

→ Propagation

Unresolved: all other cases

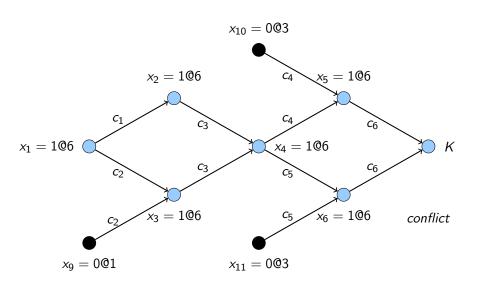
■ Example: $C = (x_1 \lor x_2 \lor x_3)$

<i>x</i> ₁	<i>x</i> ₂	<i>X</i> 3	С
1	0		satisfied
0	0	0	unsatisfied
0	0		unit
	0		unresolved

Boolean constraint propagation

- Organize the search in the form of a decision tree
 - Each node corresponds to a decision
 - Definition: Decision Level (DL) is the depth of the node in the decision tree.
 - Notation: x =v @ d x∈{0,1} is assigned to v at the decision level d

Conflict resolution



Conflict resolution

The resolution inference rule for CNF:

$$\frac{\left(\textit{I} \lor \textit{I}_1 \lor \textit{I}_2 \lor ... \lor \textit{I}_n\right) \quad \left(\neg \textit{I} \lor \textit{I}_1' \lor ... \lor \textit{I}_m'\right)}{\left(\textit{I}_1 \lor ... \lor \textit{I}_n \lor \textit{I}_1' \lor ... \lor \textit{I}_m'\right)} \text{ Resolution}$$

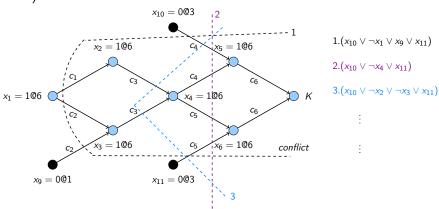
Example:

$$\frac{(a \lor b) \quad (\neg a \lor c)}{(b \lor c)}$$

- Resolution is a sound and complete inference system for CNF.
- If the input formula is unsatisfiable, there exists a proof of the empty clause.

Conflict resolution

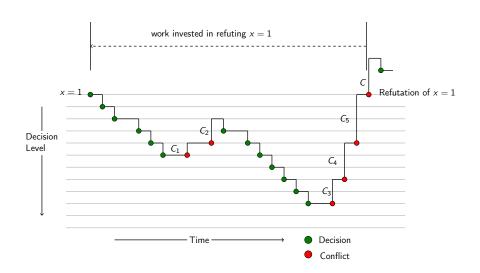
Apply resolution up in the implication tree until a UIP (Unique Implication Point) has been reached:



Non-chronological backtracking

- Backtrack to the second largest decision level in the conflict clause.
- This resolves the conflict and triggers an implication by the new conflict clause.

Progress of a SAT solver



Decision heuristics - VSIDS

VSIDS(Variable State Independent Decaying Sum)

- **1** Each variable (in each polarity) has an activity initialized to 0.
- 2 When resolution gets applied to a clause, the activities of its literals are increased.
- 3 Decision: The unassigned variable with the highest activity is chosen.
- Periodically, all the activities are divided by a constant.

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

Equality logic with uninterpreted functions

We extend propositional logic with

- equalities and
- uninterpreted functions (UFs).

Syntax:

- variables x over an arbitrary domain D,
- constants c from the same domain D,
- function symbols F for functions of the type $D^n \to D$, and
- equality as predicate symbol.

```
Terms: t := c \mid x \mid F(t,...,t)
Formulas: \varphi := t = t \mid (\varphi \wedge \varphi) \mid (\neg \varphi)
```

Semantics: straightforward

From uninterpreted functions to equality logic

We lead back the problems of equality logic with uninterpreted functions to those of equality logic without uninterpreted functions.

Basic idea: Encode functional congruence

Two possible reductions:

- Ackermann's reduction
- Bryant's reduction

Ackermann's reduction

- Input: φ^{UF} with m instances of an uninterpreted function F.
- Output: satisfiability-equivalent φ^E without any occurrences of F.

Algorithm

- 1 Assign indices to the F-instances.
- 2 $\varphi_{flat} := \mathcal{T}(\varphi^{UF})$ where \mathcal{T} replaces each occurrence F_i of F by a fresh variable f_i .
- $ext{3} \ arphi_{cong} := igwedge_{i=1}^{m-1} igwedge_{j=i+1}^m (\mathcal{T}(\mathit{arg}(F_i)) = \mathcal{T}(\mathit{arg}(F_j)))
 ightarrow f_i = f_j$
- 4 Return $\varphi_{flat} \wedge \varphi_{cong}$.

Bryant's reduction

- Input: φ^{UF} with m instances of an uninterpreted function F.
- Output: satisfiability-equivalent φ^E without any occurrences of F.

Algorithm

- **1** Assign indices to the *F*-instances.
- 2 Return $\mathcal{T}^*(\varphi^{UF})$ where \mathcal{T}^* replaces each $F_i(arg(F_i))$ by

case
$$\mathcal{T}^*(arg(F_1)) = \mathcal{T}^*(arg(F_i))$$
 : f_1 ...
$$\mathcal{T}^*(arg(F_{i-1})) = \mathcal{T}^*(arg(F_i))$$
 : f_{i-1} true : f_i

Equality logic to propositional logic

- Input: Equality logic formula φ^E
- Output: Satisfiability-equivalent propositional logic formula φ^E

Algorithm

- **1** Construct φ_{sk} by replacing each equality $t_i = t_i$ in φ^E by a fresh Boolean variable $e_{i,i}$.
- 2 Construct the E-graph $G^{E}(\varphi^{E})$ for φ^{E} .
- 3 Make $G^E(\varphi^E)$ chordal.
- 4 $\varphi_{trans} = true$.
- **5** For each triangle $(e_{i,j}, e_{i,k}, e_{k,i})$ in $G^E(\varphi^E)$:

$$arphi_{ ext{trans}} := arphi_{ ext{trans}} \qquad \wedge \left(e_{i,j} \wedge e_{j,k} \right)
ightarrow e_{k,i} \ \wedge \left(e_{i,j} \wedge e_{i,k} \right)
ightarrow e_{j,k} \ \wedge \left(e_{i,k} \wedge e_{i,k} \right)
ightarrow e_{i,j}$$

6 Return $\varphi_{sk} \wedge \varphi_{trans}$.

- 1 Propositional logic, theories, normal forms
- 2 Propositional SAT solving
- 3 Eager SMT-solving
 - Equality logic with uninterpreted functions
 - From UF to EQ I: Ackermann's reduction
 - From UF to EQ II: Bryant's reduction
 - From EQ to SAT: The Sparse method
 - Finite-precision bit-vector arithmetic

Finite-precision bit-vector arithmetic

"Bit blasting":

- Model bit-level operations (functions and predicates) by Boolean circuits
- Use Tseitin's encoding to generate propositional SAT encoding
- Use a SAT solver to check satisfiability
- Convert back the propositional solution to the theory

Effective solution for many applications.

Example: Bounded model checking for C programs (CBMC) [Clarke, Kroening, Lerda, TACAS'04]