

## **Computer Vision - Lecture 7**

#### Segmentation as Energy Minimization

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#### **Announcements**

- Please don't forget to register for the exam!
  - > On the Campus system



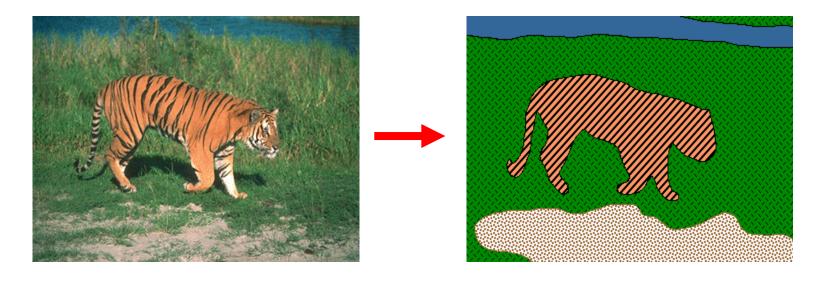
#### **Course Outline**

- Image Processing Basics
- Segmentation
  - Segmentation and Grouping
  - Segmentation as Energy Minimization
- Recognition
  - Global Representations
  - Subspace representations
- Local Features & Matching
- Object Categorization
- 3D Reconstruction
- Motion and Tracking



### Recap: Image Segmentation

Goal: identify groups of pixels that go together





### Recap: K-Means Clustering

- Basic idea: randomly initialize the k cluster centers, and iterate between the two steps we just saw.
  - 1. Randomly initialize the cluster centers,  $c_1, ..., c_K$
  - 2. Given cluster centers, determine points in each cluster
    - For each point p, find the closest c<sub>i</sub>. Put p into cluster i
  - 3. Given points in each cluster, solve for c<sub>i</sub>
    - Set c<sub>i</sub> to be the mean of points in cluster i
  - 4. If c<sub>i</sub> have changed, repeat Step 2

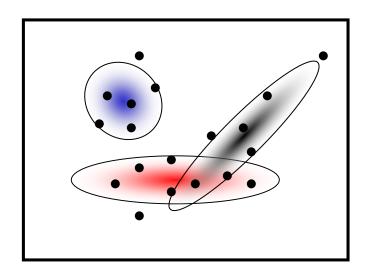
#### Properties

- Will always converge to some solution
- Can be a "local minimum"
  - Does not always find the global minimum of objective function:

$$\sum_{\text{clusters } i} \sum_{\text{points p in cluster } i} ||p - c_i||^2$$



### Recap: Expectation Maximization (EM)



- Goal
  - $\blacktriangleright$  Find blob parameters heta that maximize the likelihood function:

$$p(data|\theta) = \prod_{n=1}^{N} p(\mathbf{x}_n|\theta)$$

- Approach:
  - 1. E-step: given current guess of blobs, compute ownership of each point
  - 2. M-step: given ownership probabilities, update blobs to maximize likelihood function
  - 3. Repeat until convergence

### Recap: EM Algorithm

- See lecture

  Machine Learning!
- Expectation-Maximization (EM) Algorithm
  - > E-Step: softly assign samples to mixture components

$$\gamma_j(\mathbf{x}_n) \leftarrow \frac{\pi_j \mathcal{N}(\mathbf{x}_n | \boldsymbol{\mu}_j, \boldsymbol{\Sigma}_j)}{\sum_{k=1}^K \pi_k \mathcal{N}(\mathbf{x}_n | \boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k)} \quad \forall j = 1, \dots, K, \quad n = 1, \dots, N$$

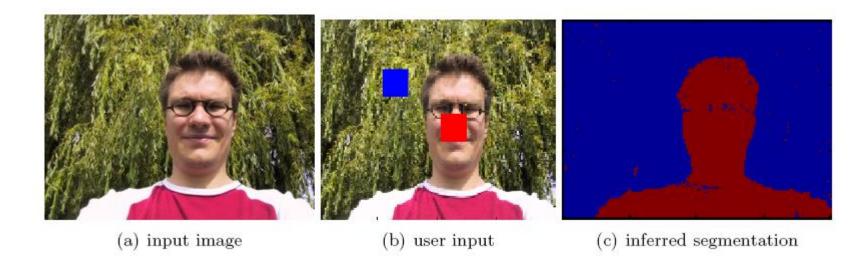
M-Step: re-estimate the parameters (separately for each mixture component) based on the soft assignments

$$\hat{N}_j \leftarrow \sum_{n=1}^N \gamma_j(\mathbf{x}_n)$$
 = soft number of samples labeled  $j$ 
 $\hat{\pi}_j^{ ext{new}} \leftarrow \frac{\hat{N}_j}{N}$ 
 $\hat{\mu}_j^{ ext{new}} \leftarrow \frac{1}{\hat{N}_j} \sum_{n=1}^N \gamma_j(\mathbf{x}_n) \mathbf{x}_n$ 
 $\hat{\Sigma}_j^{ ext{new}} \leftarrow \frac{1}{\hat{N}_j} \sum_{n=1}^N \gamma_j(\mathbf{x}_n) (\mathbf{x}_n - \hat{\boldsymbol{\mu}}_j^{ ext{new}}) (\mathbf{x}_n - \hat{\boldsymbol{\mu}}_j^{ ext{new}})^{ ext{T}}$ 

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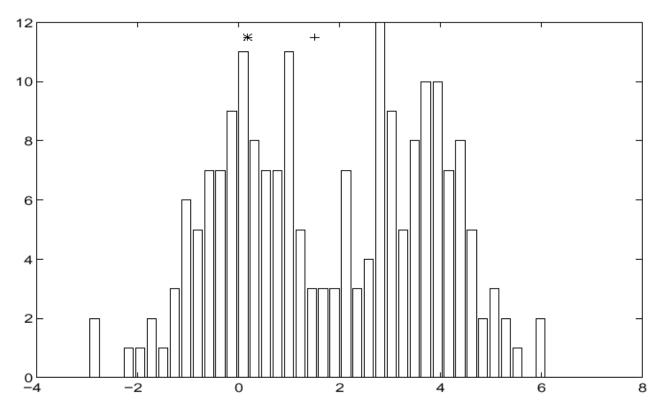
### MoG Color Models for Image Segmentation



- User assisted image segmentation
  - User marks two regions for foreground and background.
  - Learn a MoG model for the color values in each region.
  - Use those models to classify all other pixels.
  - ⇒ Simple segmentation procedure (building block for more complex applications)



### Recap: Mean-Shift Algorithm



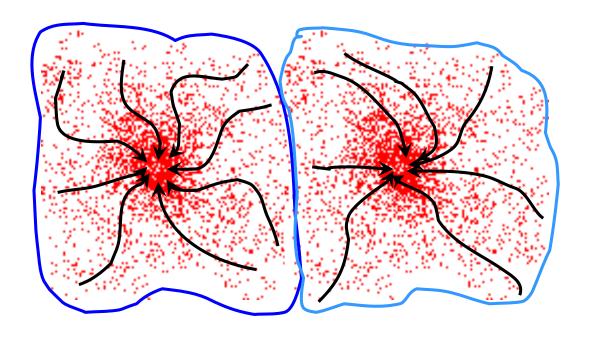
#### **Iterative Mode Search**

- Initialize random seed, and window W
- Calculate center of gravity (the "mean") of W:  $\sum xH(x)$  $x \in W$
- Shift the search window to the mean
- Repeat Step 2 until convergence



### Recap: Mean-Shift Clustering

- Cluster: all data points in the attraction basin of a mode
- Attraction basin: the region for which all trajectories lead to the same mode



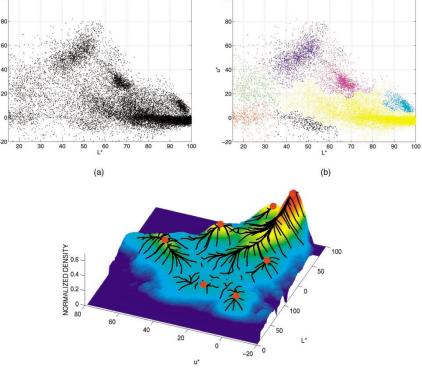


### Recap: Mean-Shift Segmentation

- Find features (color, gradients, texture, etc)
- Initialize windows at individual pixel locations
- Perform mean shift for each window until convergence

Merge windows that end up near the same "peak" or

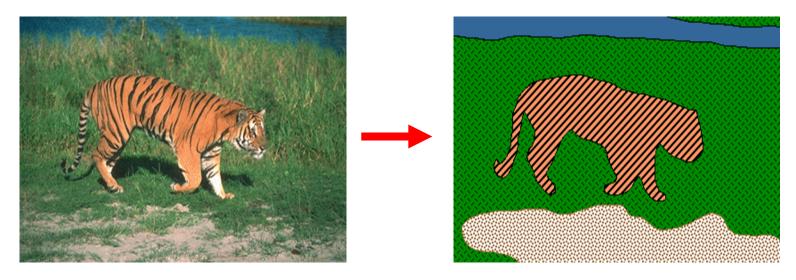
mode



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## Back to the Image Segmentation Problem...

Goal: identify groups of pixels that go together

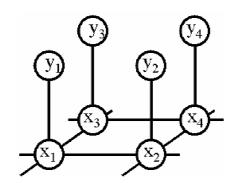


- Up to now, we have focused on ways to group pixels into image segments based on their appearance...
  - Segmentation as clustering.
- We also want to enforce region constraints.
  - Spatial consistency
  - Smooth borders



### **Topics of This Lecture**

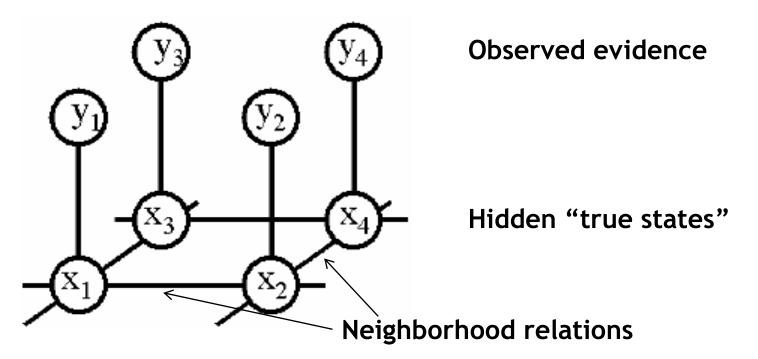
- Segmentation as Energy Minimization
  - Markov Random Fields
  - Energy formulation
- Graph cuts for image segmentation
  - Basic idea
  - s-t Mincut algorithm
  - Extension to non-binary case
- Applications
  - Interactive segmentation





#### **Markov Random Fields**

- Allow rich probabilistic models for images
- But built in a local, modular way
  - Learn local effects, get global effects out

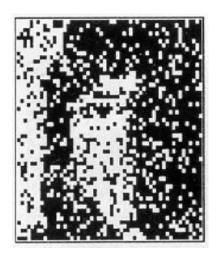


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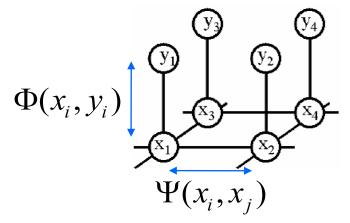
#### **MRF Nodes as Pixels**



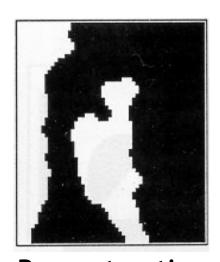
Original image



Degraded image



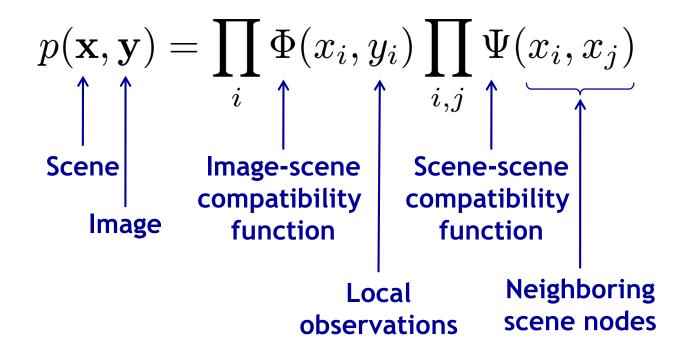
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Reconstruction from MRF modeling pixel neighborhood statistics



### **Network Joint Probability**





### **Energy Formulation**

Joint probability

$$p(\mathbf{x}, \mathbf{y}) = \prod_{i} \Phi(x_i, y_i) \prod_{i,j} \Psi(x_i, x_j)$$

 Maximizing the joint probability is the same as minimizing the negative log

$$-\log p(\mathbf{x}, \mathbf{y}) = -\sum_{i} \log \Phi(x_i, y_i) - \sum_{i,j} \log \Psi(x_i, x_j)$$
$$E(\mathbf{x}, \mathbf{y}) = \sum_{i} \phi(x_i, y_i) + \sum_{i,j} \psi(x_i, x_j)$$

- This is similar to free-energy problems in statistical mechanics (spin glass theory). We therefore draw the analogy and call E an energy function.
- ullet  $\phi$  and  $\psi$  are called potentials.

### **Energy Formulation**



potentials

Energy function

$$E(\mathbf{x},\mathbf{y}) = \sum_{i} \phi(x_i,y_i) + \sum_{i,j} \psi(x_i,x_j)$$
 Single-node Pairwise

potentials

- ullet Single-node potentials  $\phi$  ("unary potentials")
  - Encode local information about the given pixel/patch
  - How likely is a pixel/patch to belong to a certain class (e.g. foreground/background)?
- Pairwise potentials  $\psi$ 
  - Encode neighborhood information
  - How different is a pixel/patch's label from that of its neighbor? (e.g. based on intensity/color/texture difference, edges)

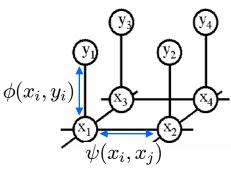
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### **Energy Minimization**

- Goal:
  - Infer the optimal labeling of the MRF.
- Many inference algorithms are available, e.g.
  - Gibbs sampling, simulated annealing
  - Iterated conditional modes (ICM)
  - Variational methods
  - Belief propagation
  - Graph cuts



- Only suitable for a certain class of energy functions
- But the solution can be obtained very fast for typical vision problems (~1MPixel/sec).

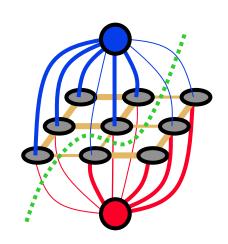


Machine Learning!



### **Topics of This Lecture**

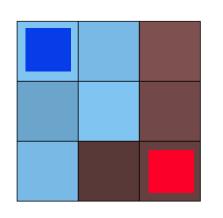
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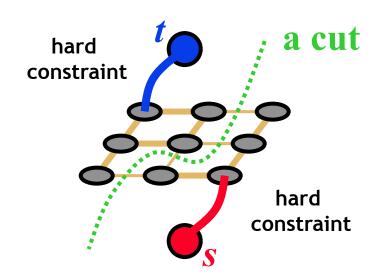
## **Graph Cuts for Optimal Boundary Detection**

• Idea: convert MRF into source-sink graph



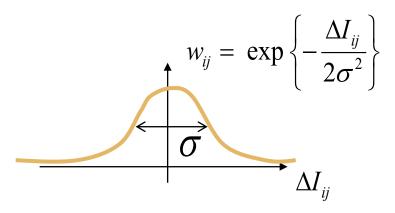






Minimum cost cut can be computed in polynomial time

(max-flow/min-cut algorithms)





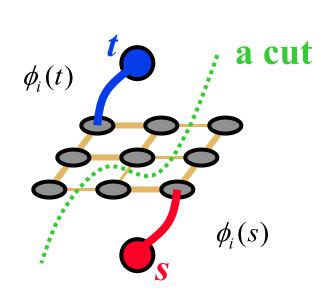


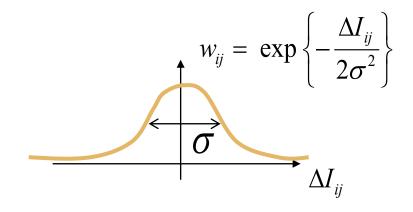
### Simple Example of Energy

$$E(\mathbf{x}, \mathbf{y}) = \sum_{i} \phi_{i}(x_{i}) + \sum_{i,j} w_{ij} \cdot \delta(x_{i} \neq x_{j})$$

Unary terms

Pairwise terms



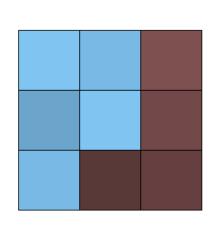


$$x \in \{s, t\}$$

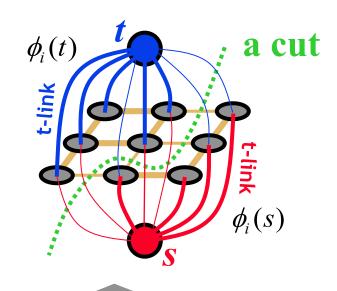
(binary object segmentation)



### **Adding Regional Properties**







Regional bias example

Suppose  $I^s$  and  $I^t$  are given "expected" intensities of object and background

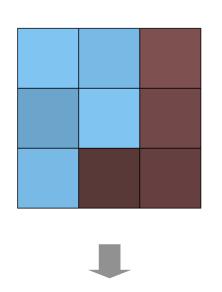


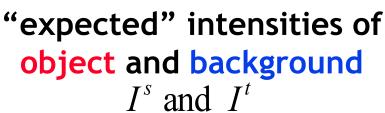
$$\phi_i(s) \propto \exp\left(-\|I_i - I^s\|^2 / 2\sigma^2\right)$$
  
 $\phi_i(t) \propto \exp\left(-\|I_i - I^t\|^2 / 2\sigma^2\right)$ 

NOTE: hard constrains are not required, in general.

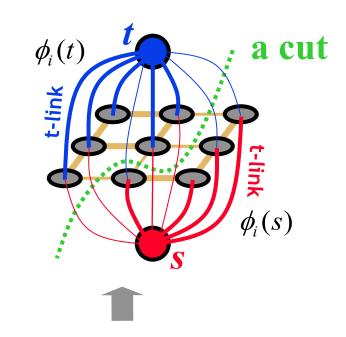


### **Adding Regional Properties**





can be re-estimated



$$\phi_i(s) \propto \exp\left(-\|I_i - I^s\|^2 / 2\sigma^2\right)$$

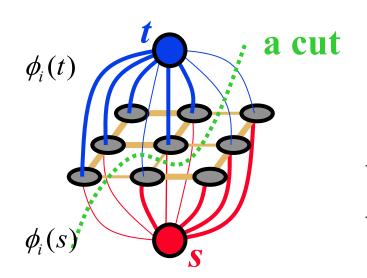
$$\phi_i(t) \propto \exp\left(-\|I_i - I^t\|^2 / 2\sigma^2\right)$$

**EM-style optimization** 



### **Adding Regional Properties**

 More generally, regional bias can be based on any intensity models of object and background



$$\phi_i(L_i) = -\log p(I_i|L_i)$$
 $p(I_i|s)$ 

given object and background intensity histograms



### How to Set the Potentials? Some Examples

- Color potentials
  - e.g., modeled with a Mixture of Gaussians

$$\phi(x_i, y_i; \theta_{\phi}) = \log \sum_{k} \theta_{\phi}(x_i, k) p(k|x_i) \mathcal{N}(y_i; \boldsymbol{\mu}_k, \boldsymbol{\Sigma}_k)$$

- Edge potentials
  - E.g., a "contrast sensitive Potts model"

$$\psi(x_i, x_j, g_{ij}(\mathbf{y}); \theta_{\psi}) = -\theta_{\psi} g_{ij}(\mathbf{y}) \delta(x_i \neq x_j)$$

where

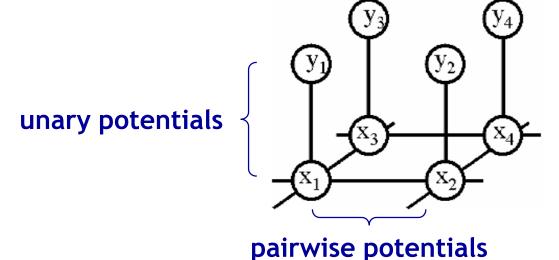
$$g_{ij}(\mathbf{y}) = e^{-\beta \|y_i - y_j\|^2}$$
  $\beta = \frac{1}{2} \left( \text{avg} \left( \|y_i - y_j\|^2 \right) \right)^{-1}$ 

• Parameters  $heta_{\phi}$ ,  $heta_{\psi}$  need to be learned, too!

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### **Example: MRF for Image Segmentation**

MRF structure

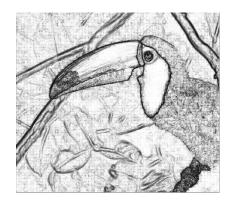




Data (D)



Unary likelihood



Pair-wise Terms

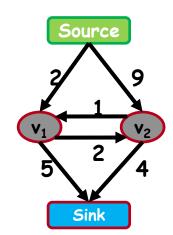


**MAP Solution** 



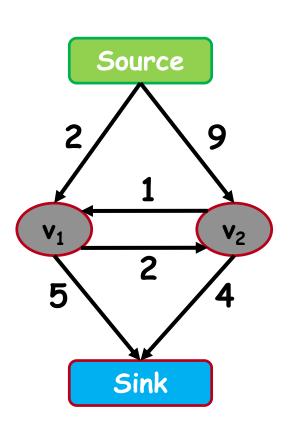
### **Topics of This Lecture**

- Segmentation as Energy Minimization
  - Markov Random Fields
  - Energy formulation
- Graph cuts for image segmentation
  - Basic idea
  - s-t Mincut algorithm
  - Extension to non-binary case
- Applications
  - Interactive segmentation



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#### How Does it Work? The s-t-Mincut Problem

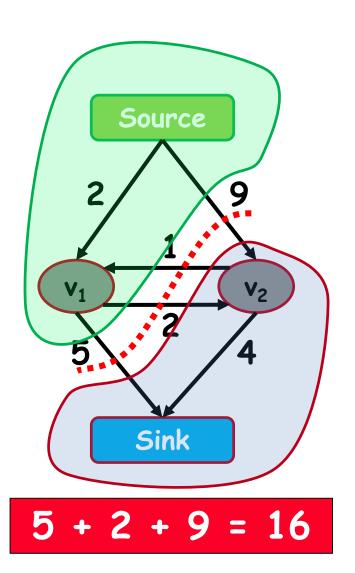


#### Graph (V, E, C)

Vertices V =  $\{v_1, v_2 ... v_n\}$ Edges E =  $\{(v_1, v_2) ....\}$ Costs C =  $\{c_{(1, 2)} ....\}$ 



#### The s-t-Mincut Problem



#### What is an st-cut?

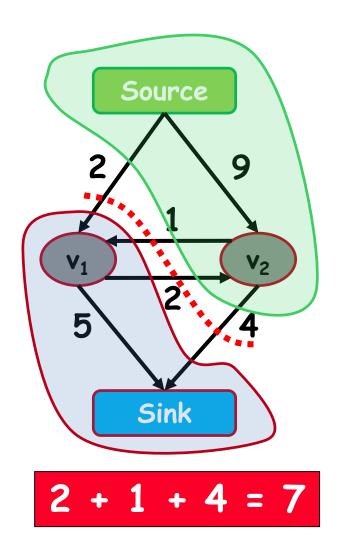
An st-cut (S,T) divides the nodes between source and sink.

#### What is the cost of a st-cut?

Sum of cost of all edges going from S to T



#### The s-t-Mincut Problem



#### What is an st-cut?

An st-cut (S,T) divides the nodes between source and sink.

#### What is the cost of a st-cut?

Sum of cost of all edges going from S to T

#### What is the st-mincut?

st-cut with the minimum cost



### How to Compute the s-t-Mincut?

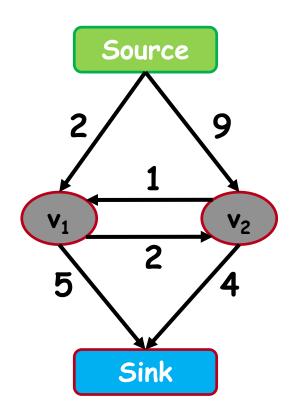


Compute the maximum flow between Source and Sink

#### **Constraints**

Edges: Flow < Capacity

Nodes: Flow in = Flow out



#### Min-cut/Max-flow Theorem

In every network, the maximum flow equals the cost of the st-mincut



### History of Maxflow Algorithms

#### **Augmenting Path and Push-Relabel**

year	discoverer(s)	bound
1951	Dantzig	$O(n^2mU)$
1955	Ford & Fulkerson	$O(m^2U)$
1970	Dinitz	$O(n^2m)$
1972	Edmonds & Karp	$O(m^2 \log U)$
1973	Dinitz	$O(nm \log U)$
1974	Karzanov	$O(n^3)$
1977	Cherkassky	$O(n^2m^{1/2})$
1980	Galil & Naamad	$O(nm\log^2 n)$
1983	Sleator & Tarjan	$O(nm \log n)$
1986	Goldberg & Tarjan	$O(nm\log(n^2/m))$
1987	Ahuja & Orlin	$O(nm + n^2 \log U)$
1987	Ahuja et al.	$O(nm\log(n\sqrt{\log U}/m))$
1989	Cheriyan & Hagerup	$E(nm + n^2 \log^2 n)$
1990	Cheriyan et al.	$O(n^3/\log n)$
1990	Alon	$O(nm + n^{8/3} \log n)$
1992	King et al.	$O(nm + n^{2+\epsilon})$
1993	Phillips & Westbrook	$O(nm(\log_{m/n} n + \log^{2+\epsilon} n))$
1994	King et al.	$O(nm\log_{m/(n\log n)}n)$
1997	Goldberg & Rao	$O(m^{3/2}\log(n^2/m)\log U)$
		$O(n^{2/3}m\log(n^2/m)\log U)$

*n*: #nodes

m: #edges

*U*: maximum edge weight

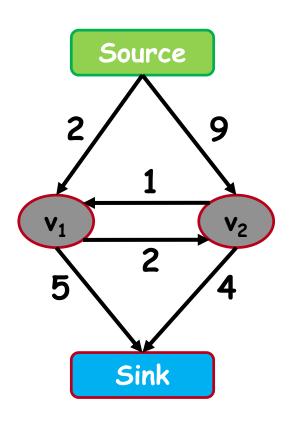
Algorithms assume non-negative edge weights





### **Maxflow Algorithms**

Flow = 0



# Augmenting Path Based Algorithms

- 1. Find path from source to sink with positive capacity
- 2. Push maximum possible flow through this path
- Repeat until no path can be found

Algorithms assume non-negative capacity

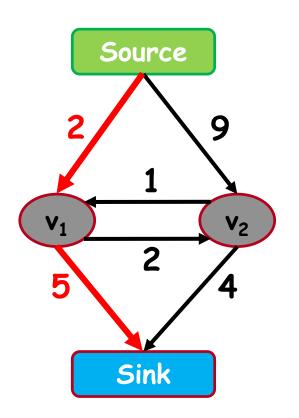
Slide credit: Pushmeet Kohli





### **Maxflow Algorithms**





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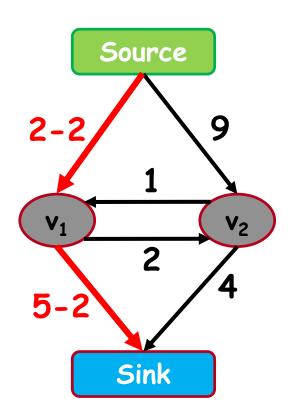
Slide credit: Pushmeet Kohli





### **Maxflow Algorithms**

$$Flow = 0 + 2$$



# Augmenting Path Based Algorithms

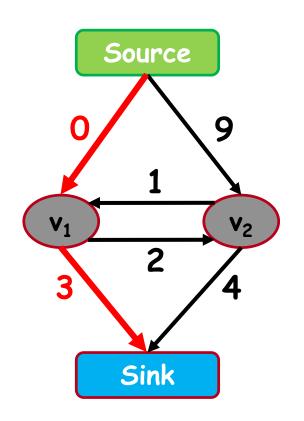
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Algorithms assume non-negative capacity





Flow = 2



# Augmenting Path Based Algorithms

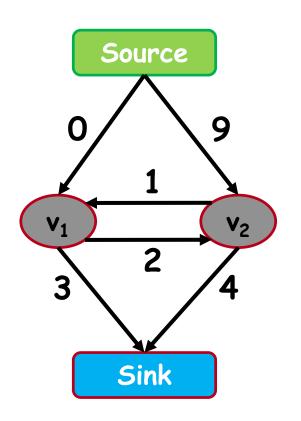
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Algorithms assume non-negative capacity

Slide credit: Pushmeet Kohli



Flow = 2



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Algorithms assume non-negative capacity

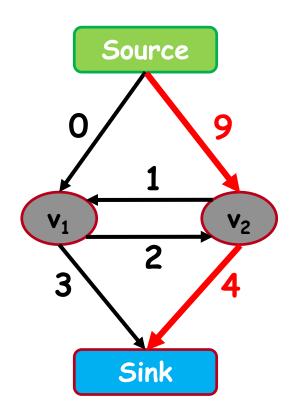
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Flow = 2



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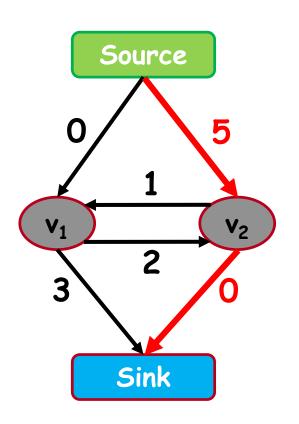
Algorithms assume non-negative capacity

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$$Flow = 2 + 4$$



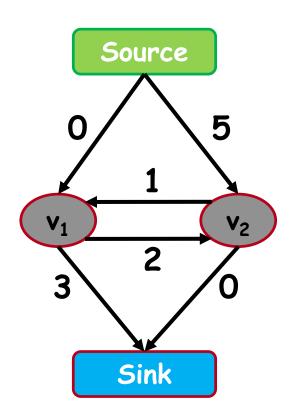
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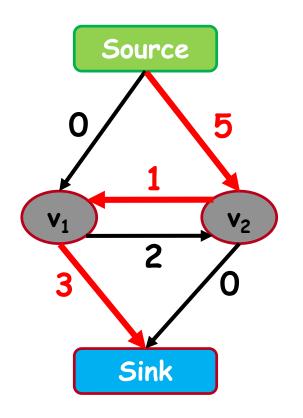
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Algorithms assume non-negative capacity

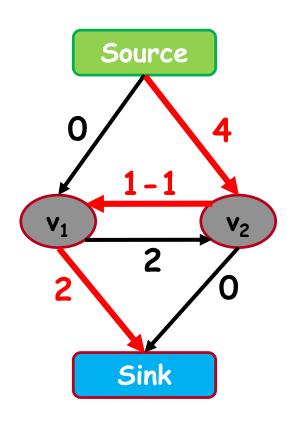
Slide credit: Pushmeet Kohli

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$$Flow = 6 + 1$$



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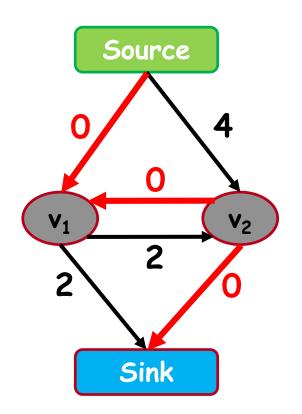
Algorithms assume non-negative capacity



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### **Maxflow Algorithms**

Flow = 7



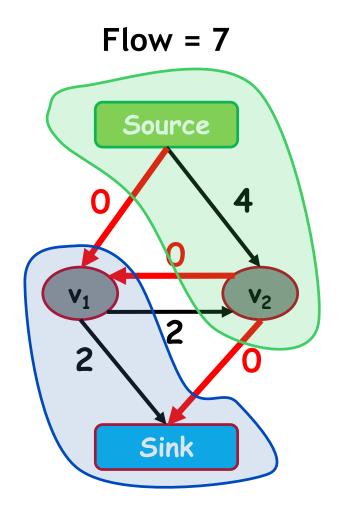
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Algorithms assume non-negative capacity

B. Leibe





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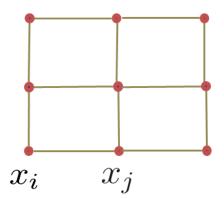
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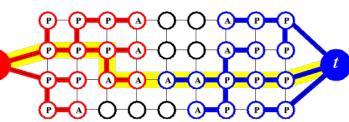
Slide credit: Pushmeet Kohli

### **Applications: Maxflow in Computer Vision**

- Specialized algorithms for vision problems
  - Grid graphs
  - Low connectivity (m ~ O(n))



- Dual search tree augmenting path algorithm [Boykov and Kolmogorov PAMI 2004]
  - Finds approximate shortest augmenting paths efficiently.
  - High worst-case time complexity.
  - Empirically outperforms other algorithms on vision problems.
  - Efficient code available on the web http://www.cs.ucl.ac.uk/staff/V.Kolmogorov/software.html





### When Can s-t Graph Cuts Be Applied?

$$E(L) = \sum_{p}^{p} E_p(L_p) + \sum_{pq \in N}^{p} E(L_p, L_q)$$
 t-links 
$$L_p \in \{s, t\}$$

• s-t graph cuts can only globally minimize binary energies that are submodular. [Boros & Hummer, 2002, Kolmogorov & Zabih, 2004]

E(L) can be minimized by s-t graph cuts

$$\iff E(s,s) + E(t,t) \le E(s,t) + E(t,s)$$

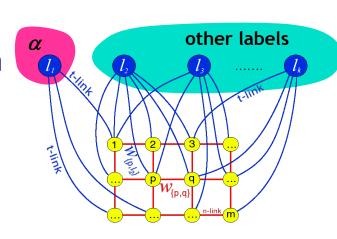
Submodularity ("convexity")

- Submodularity is the discrete equivalent to convexity.
  - > Implies that every local energy minimum is a global minimum.
  - ⇒ Solution will be globally optimal.



### **Topics of This Lecture**

- Segmentation as Energy Minimization
  - Markov Random Fields
  - Energy formulation
- Graph cuts for image segmentation
  - Basic idea
  - s-t Mincut algorithm
  - Extension to non-binary case
- Applications
  - Interactive segmentation





### **Dealing with Non-Binary Cases**

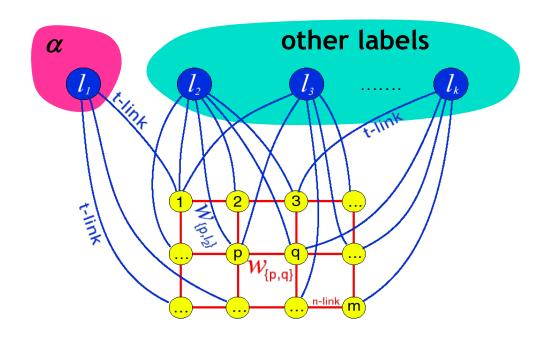
- Limitation to binary energies is often a nuisance.
  - ⇒ E.g. binary segmentation only...
- We would like to solve also multi-label problems.
  - The bad news: Problem is NP-hard with 3 or more labels!
- There exist some approximation algorithms which extend graph cuts to the multi-label case:
  - $\triangleright$   $\alpha$ -Expansion
  - $\rightarrow \alpha\beta$ -Swap
- They are no longer guaranteed to return the globally optimal result.
  - But  $\alpha$ -Expansion has a guaranteed approximation quality (2-approx) and converges in a few iterations.



#### α-Expansion Move

#### Basic idea:

Break multi-way cut computation into a sequence of binary s-t cuts.



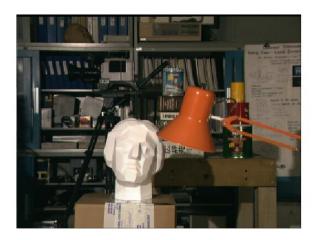


#### α-Expansion Algorithm

- 1. Start with any initial solution
- **2.** For each label " $\alpha$ " in any (e.g. random) order:
  - 1. Compute optimal  $\alpha$ -expansion move (s-t graph cuts).
  - 2. Decline the move if there is no energy decrease.
- 3. Stop when no expansion move would decrease energy.

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### **Example: Stereo Vision**







**Depth map** 

Original pair of "stereo" images



#### α-Expansion Moves

• In each  $\alpha$ -expansion a given label " $\alpha$ " grabs space from other labels



For each move, we choose the expansion that gives the largest decrease in the energy:  $\Rightarrow$  binary optimization problem

Slide credit: Yuri Boykov

B. Leibe



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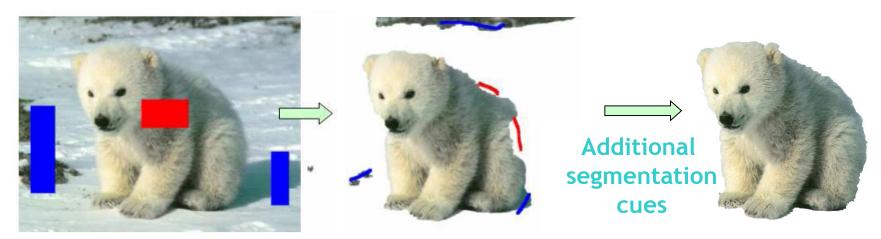


### **GraphCut Applications: "GrabCut"**

- Interactive Image Segmentation [Boykov & Jolly, ICCV'01]
  - Rough region cues sufficient
  - Segmentation boundary can be extracted from edges

#### Procedure

- User marks foreground and background regions with a brush.
- This is used to create an initial segmentation which can then be corrected by additional brush strokes.

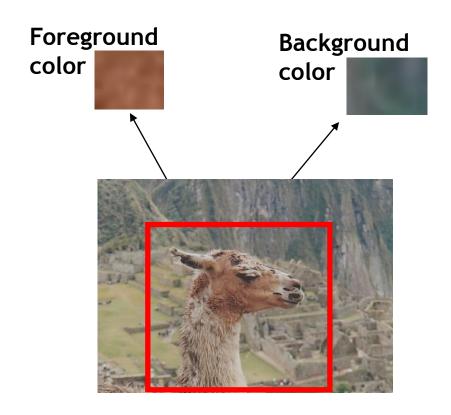


User segmentation cues

Slide credit: Matthieu Bray



#### **GrabCut: Data Model**





Global optimum of the energy

- Obtained from interactive user input
  - User marks foreground and background regions with a brush
  - Alternatively, user can specify a bounding box



#### **GrabCut: Coherence Model**

An object is a coherent set of pixels:

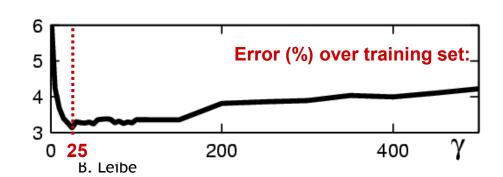
$$\psi(x,y) = \gamma \sum_{(m,n)\in C} \delta[x_n \neq x_m] e^{-\beta \|y_m - y_n\|^2}$$







How to choose  $\gamma$ ?



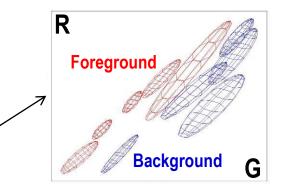
Slide credit: Carsten Rother

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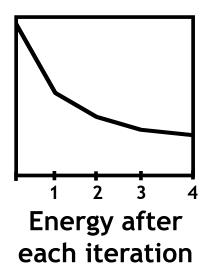
### **Iterated Graph Cuts**



Result



Color model (Mixture of Gaussians)





### **GrabCut: Example Results**







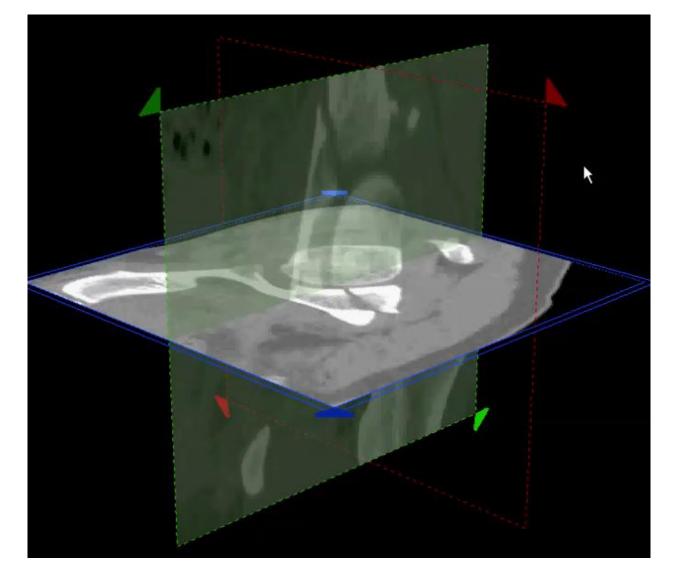






This is included in the newest version of MS Office!

### **Applications: Interactive 3D Segmentation**





### **Summary: Graph Cuts Segmentation**

#### Pros

- Powerful technique, based on probabilistic model (MRF).
- Applicable for a wide range of problems.
- Very efficient algorithms available for vision problems.
- Becoming a de-facto standard for many segmentation tasks.

#### Cons/Issues

- Graph cuts can only solve a limited class of models
  - Submodular energy functions
  - Can capture only part of the expressiveness of MRFs
- Only approximate algorithms available for multi-label case



### References and Further Reading

- A gentle introduction to Graph Cuts can be found in the following paper:
  - Y. Boykov, O. Veksler, <u>Graph Cuts in Vision and Graphics: Theories and Applications</u>. In *Handbook of Mathematical Models in Computer Vision*, edited by N. Paragios, Y. Chen and O. Faugeras, Springer, 2006.
- Read how the interactive segmentation is realized in MS Office 2010
  - C. Rother, V. Kolmogorov, Y. Boykov, A. Blake, <u>Interactive</u> <u>Foreground Extraction using Graph Cut</u>, Microsoft Research Tech Report MSR-TR-2011-46, March 2011
- Try the GraphCut implementation at http://www.cs.ucl.ac.uk/staff/V.Kolmogorov/software.html