



Module 3 | Trees

 Importance	
 Syllabus	Trees, Types of trees, Binary Trees, Operations on Trees, Tree representations and tree traversals, Application of binary trees. Binary search trees, Operations and Traversals on BST, Applications. Introduction of Height balanced trees, AVL trees

Trees

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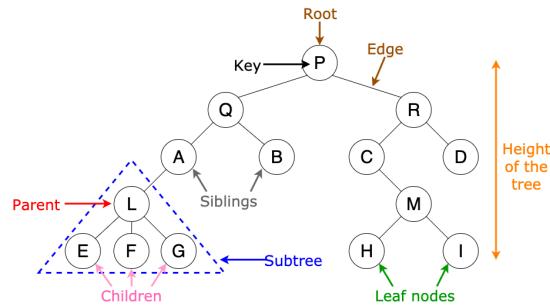
Trees

Trees: Unlike Arrays, Linked Lists, Stack and queues, which are linear data structures, trees are hierarchical data structures.

The topmost node is called root of the tree. The elements that are directly under an element are called its children. The element directly above something is called its parent. For example, 'a' is a child of 'f', and 'f' is the parent of 'a'. Finally, elements with no children are called leaves.

Main applications of trees include:

1. Manipulate hierarchical data
2. Make information easy to search (tree traversal)
3. Manipulate sorted lists of data
4. As a workflow for composting digital images for visual effects
5. Router algorithms
6. Form of a multi-stage decision-making



Terminologies of Trees

- An ancestor is any node in the path from the root to the node.
- A descendent is any node in the path below the parent node; that is, all nodes

in the paths

from a given node to a leaf are
descendents of that node.

- A path is a sequence of nodes in
which each node is adjacent to the next
one. Every node in
the tree can be reached by following a
unique path starting from the root.

- The level of a node is its distance from
the root. Because the root has a zero
distance from
itself, the root is at level 0. The children
of the root are at level 1, their children
are at level 2,
and so forth.

- Siblings are always at the same level,
but all nodes in a level are not
necessarily siblings.

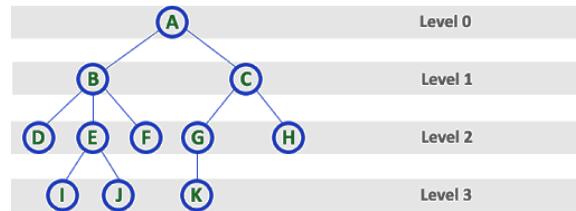
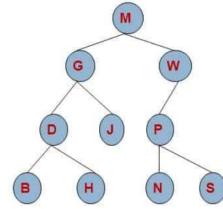
- The height of the tree is the level of
the leaf in the longest path from the root
plus 1. By
definition the height of an empty tree is
-1. Because the tree is drawn upside
down, some

texts refer to the depth of a tree rather
than its height.

- A tree may be divided into subtrees. A
subtree is any connected structure
below the root.

The first node in a subtree is known as
the root of the subtree and is used to
name the
subtree. Subtrees can also be further
subdivided into subtrees.

- M is the **root** of this tree
- G is the **root** of the **left subtree** of M
- B, H, J, N, and S are **leaves**
- N is the **left child** of P; S is the **right child**
- P is the **parent** of N
- M and G are **ancestors** of D
- P, N, and S are **descendants** of W
- Node J is at **depth** 2 (i.e., **depth** = length of
path from root = number of edges)
- Node W is at **height** 2 (i.e., **height** = length of
longest path to a leaf)



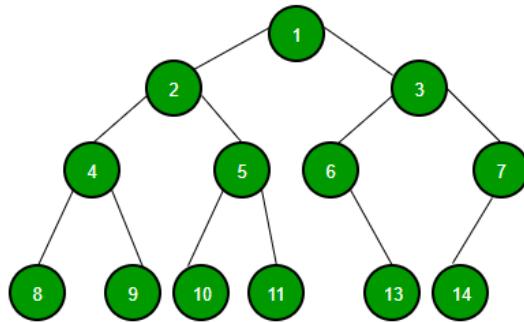
Binary Trees

A binary tree is a hierarchical data
structure in which each node has at

most two children generally referred as left child and right child.

Each node contains three components:

1. Pointer to left subtree
2. Pointer to right subtree
3. Data element



Properties of Binary Tree

1. The maximum number of nodes at level l of a binary tree is 2^l

Analysis:

For 1st level $l = 0$, max no.of nodes = 1

For 2nd level $l = 1$, max no.of nodes = 2

For 3rd level $l = 2$, max no.of nodes = 4

For 4th level $l = 3$, max no.of nodes = 8

no.of nodes sequence is 1,2,4,8,.....

i.e 2 powers

Therefore, 2^l

2. The maximum number of nodes in a binary tree of height h is $2^h - 1$

Analysis:

From point 1 we can get max no.of nodes in each level, adding them give us required result

i.e $1+2+4+8+\dots+2^h$ (consider level 0 as height 1)

Their sum is in geometric series

The result would be $2^{h+1} - 1$

i.e $2^h - 1$

3. In a binary tree with N nodes, minimum possible height or the minimum number of levels is $\log_2(N + 1)$

Analysis:

From point 2 max.nof nodes, $N = 2^h - 1$

$N+1 = 2^h$

log both sides

$\log(N+1) = h \Rightarrow h = \log_2(N + 1)$

4. A binary tree with L leaves has at least $\lceil \log_2 L \rceil + 1$ levels

Analysis:

Let one side of tree from root be empty

max no.of leaves in l level will be 2^{l-1}

Given L leaves

$$L \leq 2^{l-1}$$

log on both sides

$$|\log_2 L| \leq l - 1$$

$$l \geq |\log_2 L| + 1$$

5. In binary tree where every node has 0 or 2 children, the number of leaf nodes is always one more than nodes with two children

Analysis:

max number of leaves of level l is 2^l (Point 2)

max number of nodes in tree of height h is $2^h - 1 \Rightarrow 2^{l+1} - 1 \Rightarrow 2^l \cdot 2 - 1$

Let,

$$L = \text{no.of leaf nodes} = 2^l$$

$$N = \text{no.of internal nodes in tree} = \text{total nodes} - \text{leaf nodes}$$

$$N = 2^l \cdot 2 - 1 - 2^l$$

$$N = 2^l(2 - 1) - 1$$

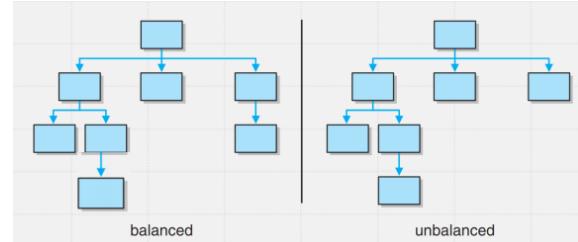
$$N = 2^l - 1$$

$$N = L - 1$$

$$L = N + 1$$

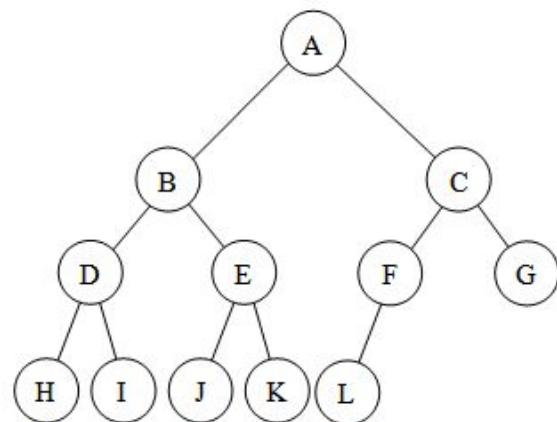
Balanced Trees

A tree is considered balanced if all the leaves of the tree are on the same level or at least within one level of each other.

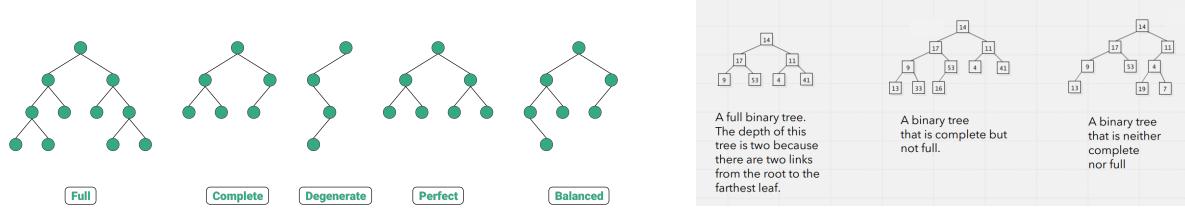


Complete Trees

- A tree is considered complete if it is balanced and all of the leaves at the bottom level are on the left side of the tree.
- A complete binary tree has 2^k nodes at every level k except the last, where the nodes must be leftmost.
- An n-ary tree is considered full if all the leaves of the tree are at the same level and every node



either is a leaf or has exactly n children.



Node Declaration

```
class Node{
    int key;
    Node left;
    Node right;
    Node(int k){
        key = k;
        right = left = null;
    }
}
```

Height of Tree

```
//Recursive
static int height(Node root){
    if(root == null) return 0;
    return Math.max(height(root.left),height(root.right))+1;
}
```

Traversals of Tree

1. In-order Traversal
2. Pre-order Traversal
3. Post-order Traversal

- Generally, we traverse a tree to search or locate a given item or key in the tree or to print all the values it contains.

In-order Traversal

```
static void in_order(Node root){
    if(root == null) return;
```

- In this traversal method, the left subtree is visited first, then the root and later the right sub-tree. We should always

remember that every node may represent a subtree itself.

- If a binary tree is traversed in-order, the output will produce sorted key values in an ascending order.

```
in_order(root.left);
System.out.print(root.key+" ");
in_order(root.right);
}
```

GFG - in-order Traversal

Practice

Pre-order Traversal

- In this traversal method, the root node is visited first, then the left subtree and finally the right subtree.

```
static void pre_order(Node root){
    if(root == null) return;
    System.out.print(root.key+" ");
    pre_order(root.left);
    pre_order(root.right);
}
```

GFG - Pre-order Traversal

Practice

Post-order Traversal

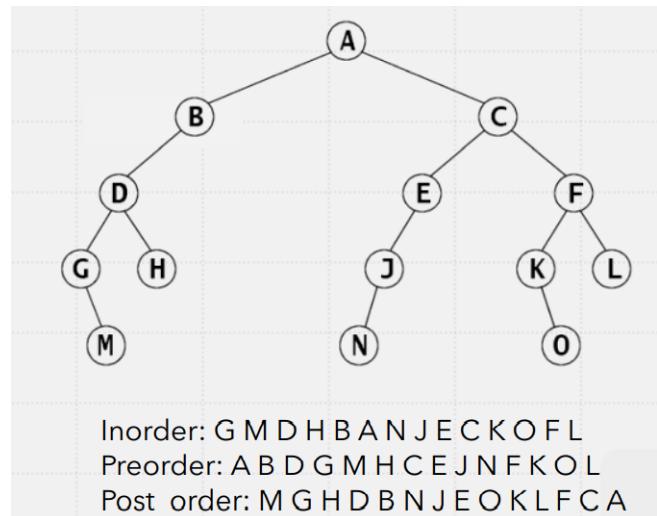
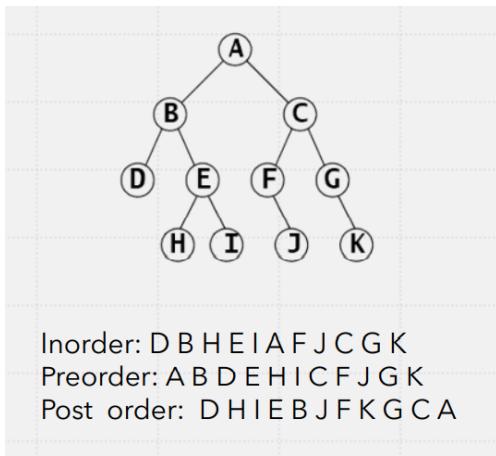
- In this traversal method, the root node is visited last, hence the name. First we traverse the left subtree, then the right subtree and finally the root node.

```
static void post_order(Node root){
    if(root == null) return;
    post_order(root.left);
    post_order(root.right);
    System.out.print(root.key+" ");
}
```

GFG - Post-order Traversal

Practice

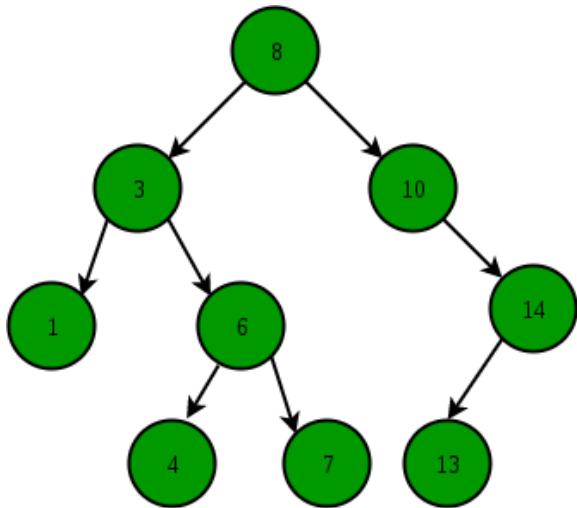
Visualization of Traversals



Binary Search Trees

Binary Search Tree is a node-based binary tree data structure which has the following properties:

- The left subtree of a node contains only nodes with keys lesser than the node's key.
- The right subtree of a node contains only nodes with keys greater than the node's key.
- The left and right subtree each must also be a binary search tree.



Operations on BSTs

Search

```
public static boolean search(Node root, int x){
    if(root==null)
        return false;
    if(root.key==x)
        return true;
    else if(root.key>x){
        return search(root.left,x);
    }else{
        return search(root.right,x);
    }
}
```

```
public static boolean search(Node root, int x){
    while (root != null) {
        if (root.key == x)
            return true;
        else if (root.key < x)
            root = root.right;
        else
            root = root.left;
    }
    return false;
}
```

Insert

```
public static Node insert(Node root, int x) {  
    if (root == null)  
        return new Node(x);  
    else if (root.key < x)  
        root.right = insert(root.right, x);  
    else if (root.key > x)  
        root.left = insert(root.left, x);  
    return root;  
}
```

```
public static Node insert(Node root, int x) {  
    Node temp = new Node(x);  
    Node parent = null, curr = root;  
    while (curr != null) {  
        parent = curr;  
        if (curr.key > x)  
            curr = curr.left;  
        else if (curr.key < x)  
            curr = curr.right;  
        else  
            return root;  
    }  
    if (parent == null)  
        return temp;  
    if (parent.key > x)  
        parent.left = temp;  
    else  
        parent.right = temp;  
    return root;  
}
```

Delete

```
public static Node delNode(Node root, int x) {  
    if (root == null)  
        return root;  
    if (root.key > x)  
        root.left = delNode(root.left, x);  
    else if (root.key < x)  
        root.right = delNode(root.right, x);  
    else {  
        if (root.left == null) {  
            return root.right;  
        } else if (root.right == null) {  
            return root.left;  
        } else {  
            Node succ = getSuccessor(root);  
            root.key = succ.key;  
            root.right = delNode(root.right, succ.key);  
        }  
    }  
    return root;  
}
```

Expression Tree

The expression tree is a binary tree in which each internal node corresponds to the operator and each leaf

node corresponds to the operand.

```
Node constructTree(char postfix[]) {
    Stack<Node> st = new Stack<Node>();
    Node t, t1, t2;

    // Traverse through every character of
    // input expression
    for (int i = 0; i < postfix.length; i++) {

        // If operand, simply push into stack
        if (!isOperator(postfix[i])) {
            t = new Node(postfix[i]);
            st.push(t);
        } else // operator
        {
            t = new Node(postfix[i]);

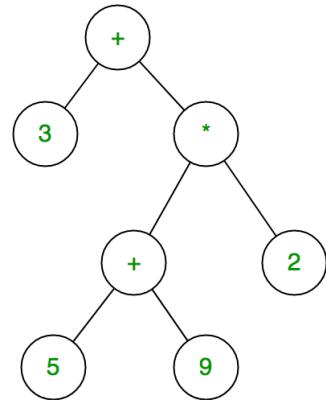
            // Pop two top nodes
            // Store top
            t1 = st.pop();           // Remove top
            t2 = st.pop();

            // make them children
            t.right = t1;
            t.left = t2;

            // System.out.println(t1 + " " + t2);
            // Add this subexpression to stack
            st.push(t);
        }
    }

    // only element will be root of expression
    // tree
    t = st.peek();
    st.pop();

    return t;
}
```

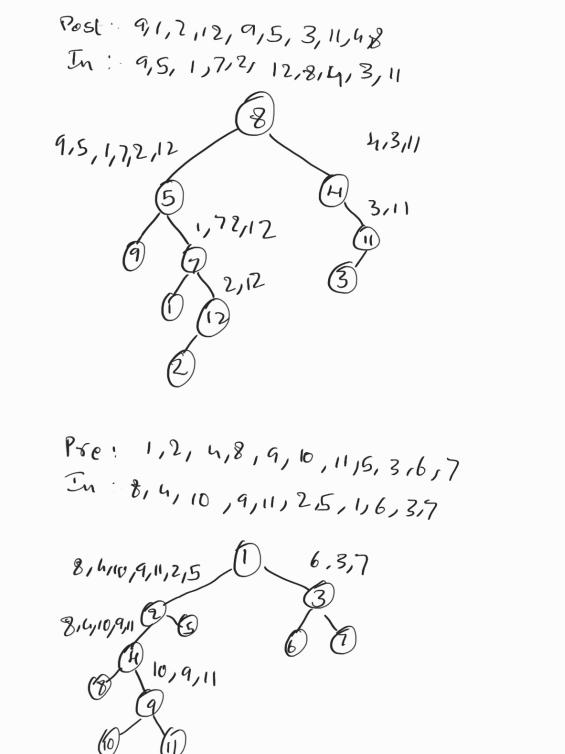


$$3 + ((5+9)*2)$$

Evaluating an Expression from Its Postfix Representation
To evaluate an expression represented in postfix, scan the representation from left to right:

1. Create a stack for operands.
2. Repeat steps 3 9 until the end of representation is reached.
3. Read the next token t from the representation.
4. If it is an operand, push its value onto the stack.
5. Otherwise, do steps 6 9:
 6. Pop a from the stack.
 7. Pop b from the stack.
 8. Evaluate $c = a \ t \ b$.
 9. Push c onto the stack.
10. Return the top element on the stack

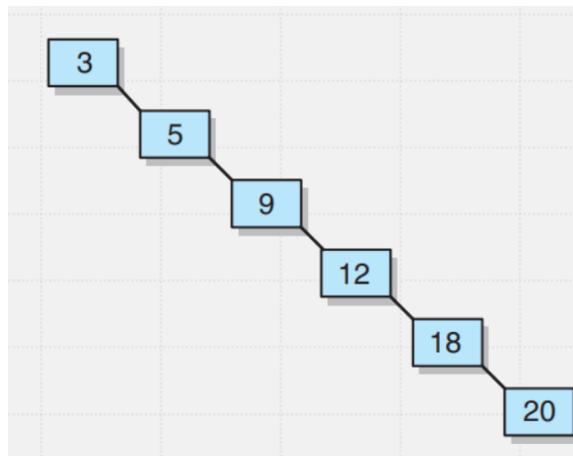
Binary tree form given pre order or post order and in-order



AVL Trees aka Self-Balancing Trees

Self Balancing Trees

Our goal instead is to keep the maximum path length in the tree at or near $\log_2 n$. There are a variety of algorithms available for balancing or maintaining balance in a tree. There are brute force methods, which are not elegant or efficient, but get the job done. For example, we could write an in-order traversal of the tree to an array and then use a recursive method (much like binary search) to insert the middle element of the array as the root, and then build balanced left and right subtrees. Although such an approach would work, there are more elegant

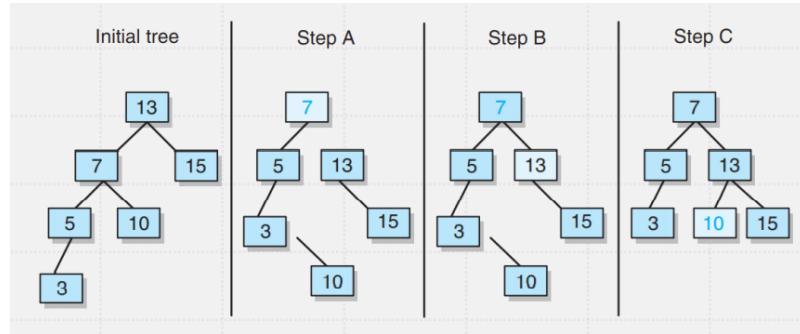


solutions, such as AVL trees and red/black trees, which we examine later.

Right Rotations

The maximum path length in this tree is 3, and the minimum path length is 1. With only 6 elements in the tree, the maximum path length should be $\log_2 6$, or 2. To get this tree into balance, we need to

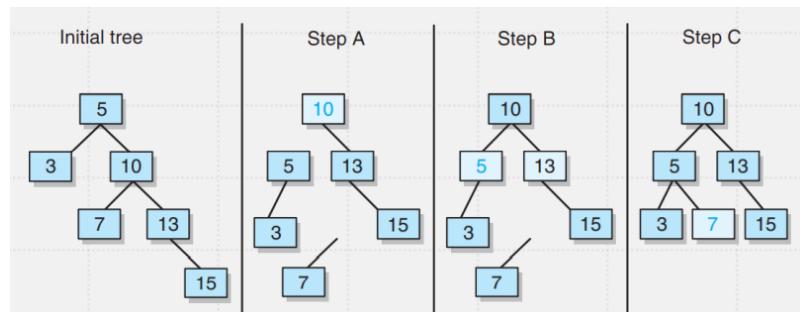
- ✓ Make the left child element of the root the new root element.
- ✓ Make the former root element the right child element of the new root.
- ✓ Make the right child of what was the left child of the former root the new left child of the former root.



Left Rotations

Again, the maximum path length in this tree is 3 and the minimum path length is 1.

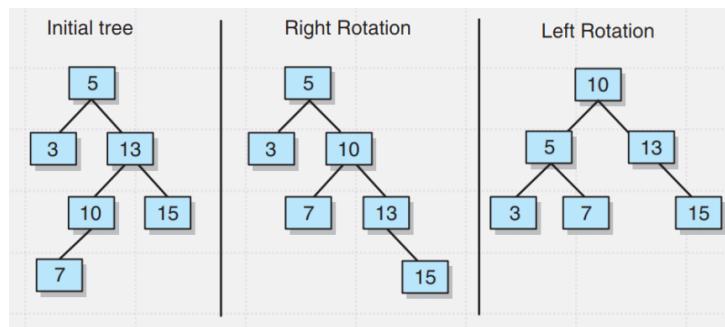
However this time the larger path length is in the right subtree of the right child of the root.



- ✓ To get this tree into balance, we need to Make the right child element of the root the new root element.
 - ✓ Make the former root element the left child element of the new root.
 - ✓ Make the left child of what was the right child of the former root the new right child of the former root.
- This left rotation is often referred to as a left rotation of the right child around the parent.

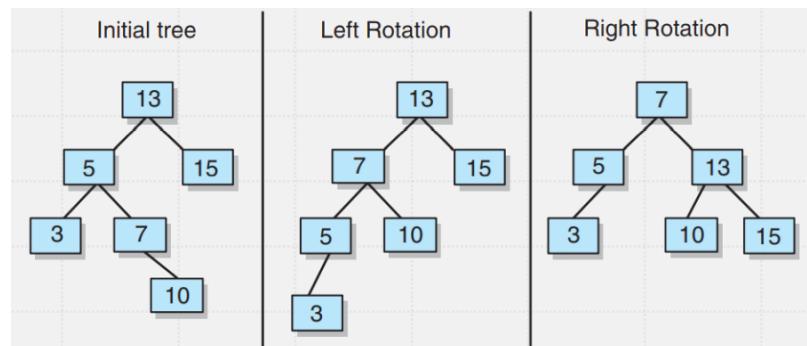
Right-Left Rotations

Unfortunately, not all imbalances can be solved by single rotations. If the imbalance is caused by a long path length in the left subtree of the right child of the root, we must first perform a right rotation of the left child of the right child of the root around the right child of the root, and then perform a left rotation of the resulting right child of the root around the root



Left-Right Rotations

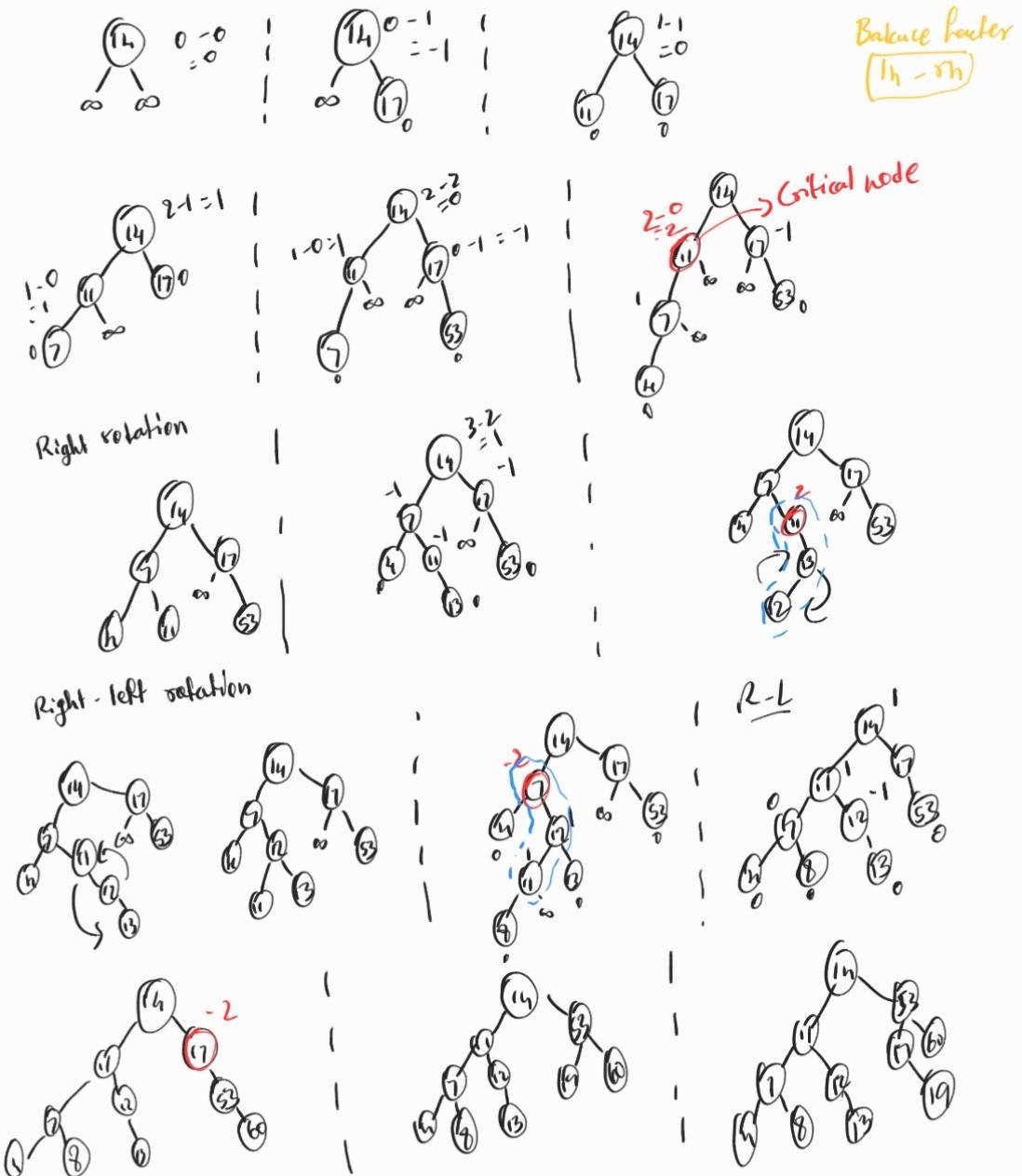
Similarly, if the imbalance is caused by a long path length in the right subtree of the left child of the root, we must first perform a left rotation of the right child of the left child of the root around the left child of the root, and then perform a right rotation of the resulting left child of the root around the root.

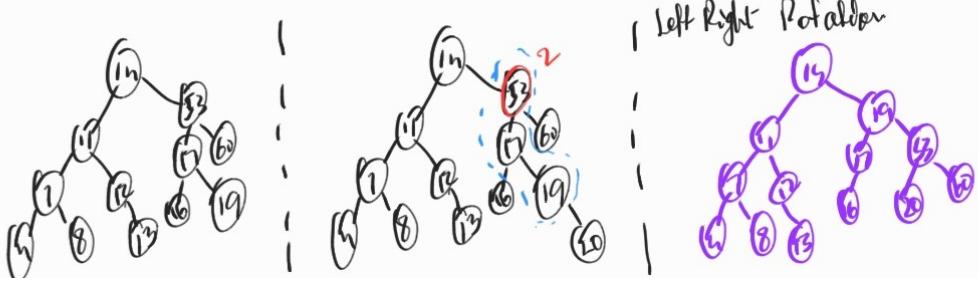


Construct AVL

Q Construct an AVL tree from following array of nos

14, 17, 4, 7, 53, 4, 13, 12, 8, 60, 19, 16, 20





<https://www.geeksforgeeks.org/binary-tree-data-structure/>
Practice Problems - GFG
Quizzes - GFG