College of Computing and Information Technology, AASTMT 2017

DNA Sequencing Error Correction Algorithms

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- → Problem Definition
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- → Background
- → Related Work
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- → H-RACER Proposal
- → Evaluation
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- → Future Work

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Deoxyribonucleic Acid (DNA)



DNA Sequencing

→ DNA sequence - a single format onto which a broad range of biological phenomena can be projected for high-throughput data collection

>Genel

DNA Sequencing

→ Next-generation DNA sequencing has the potential to dramatically accelerate biological and biomedical research

→ NGS generates too many reads in a suitable time, which negatively affected the output accuracy

NGS Errors Corrections:

- → Nucleotide Error Types:
 - Substitution
 - Insertion
 - Deletion

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Problem Definition

→ DNA Next Sequencing Generation - generates reads with many errors with different types

→ DNA Reads Accuracy - is a vital factor in all of the DNA reads processes

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Objective

→ Raising the DNA reads accuracy

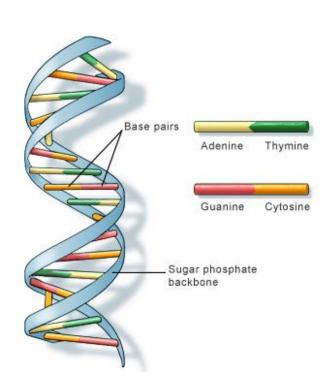
→ Correcting all of the different types of errors

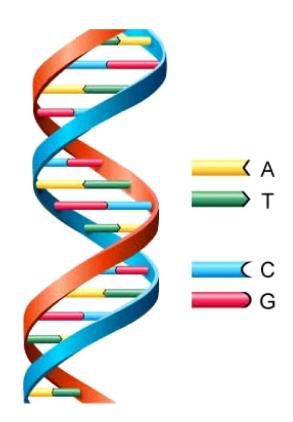
→ Accomplishing the correction process within the shortest time

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Deoxyribonucleic Acid (DNA)





Deoxyribonucleic Acid (DNA)

→ Human DNA consists of about 3 billion bases

→ The bases order determines the information available for building and maintaining an organism

DNA Sequencing

→ DNA sequencing - outputs fasta or fasta file.

FASTA

FASTQ

@MISEQ-2:20:00000000-A61NM:1:1101:12299:1738 1:N:0:some_name TGCGTCATCATCTTTGTCATCGTGTACTACGCCCTGATGGCTGGTGTGGTTTGGTTTGTGGTC +

Next-Generation sequencing (NGS):

→ NGS generates too many reads in a suitable time

- → NGS introduced two painful issues:
 - Read Length Shortness
 - Reads Accuracy Decrement

NGS Errors Corrections:

→ Reads accuracy is a vital factor in all reads processes

- → Detecting and Correcting errors is an essential step, and can be either:
 - Standalone Program
 - Process Preceding Step

NGS Errors Corrections:

- → Detecting and Correcting errors depends on:
 - Nucleotide Frequency
 - Nucleotide Quality Value

- → Nucleotide Error Types:
 - Substitution
 - Insertion
 - Deletion

NGS Errors - Substitution Error

T C T C G

NGS Errors - Substitution Error

→ Substitution Error

| Т | С | Т | С | G |
|---|---|----------|---|---|
| Т | С | <u>A</u> | С | G |

NGS Errors - Substitution Error

T C A C G

NGS Errors - Insertion Error

T C T C G

NGS Errors - Insertion Error

| Т | С | Т | | С | G |
|---|---|----------|---|---|---|
| Т | С | <u>G</u> | Т | С | G |

NGS Errors - Insertion Error



NGS Errors - Deletion Error

T C T C G

NGS Errors - Deletion Error

| Т | С | T | С | G |
|---|---|---|---|---|
| Т | С | - | С | G |

NGS Errors - Deletion Error

T C C G

→ K-mer - All the possible sub-sequences (of length k) from a read

→ K-mer Frequency - *Number of a k-mer repetition in all the reads*

GATTA
SATTAC
TACA

→ K-mer Frequency Threshold - A preset threshold used in classifying k-mers

→ Coverage - Number of reads that include a given nucleotide in the sequence
C = LN/G

→ Spectrum Alignment - A filtration step that classifies the k-mers into strong and weak k-mers

→ Spectrum alignment depends on the k-mers frequencies and/or the nucleotides quality values

- → Correction can take place with:
 - Spectrum Alignment by obtaining the nucleotides substitutions that leads to reduce the weak k-mers count

• Tree Breadth-First Search - by traversing multi out-going edges nodes, and removing fewer reads paths, then re-aligns them to the existing path

- → Correction can take place with:
 - Reads Alignments by aligning reads with a common k-mer, then fixing misaligned nucleotides based on their occurrences and quality values

 Suffix Array - built using a string of reads, and the correction takes place with the letter that appears most at each position

- → Correction can take place with:
 - Suffix Trie the edges are labelled with DNA letters, where the correction is based on the number of leaves in the sub-trie rooted at the node

• K-mer Hashing Table - by storing the total times each nucleotide appears before and after a k-mer, where the error is corrected via the counts

→ Correction can take place with:

• K-mer Discontinuities - the frequencies of adjacent k-mers, where the correction is based on the removal or minimizing the discontinuity

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Related Work

→ Correction Methodologies can be classified into two categories:

Substitution only correction

Substitution, insertion and deletions correction

Related Work

→ Substitution only correction methodology, like:

| | Euler | Velvet | AllPaths | SOAP | Quake | Reptile | CUDA | HITEC | RACER | EC |
|-----------------|-----------------------|---------------|-----------------------------------|----------------|-----------------------------------|-----------------------------------|---------------------------------|-----------------|---------------|---------------|
| Year | 2004 | 2008 | 2008 | 2010 | 2010 | 2010 | 2010 | 2011 | 2013 | 2015 |
| Used Concept | Spectrum Alignment | Tree BFS | Spectrum Alignment | Tree BFS | Spectrum Alignment | Spectrum Alignment | Spectrum Alignment | Suffix Array | Hash Table | Hash Table |
| Depends On | K-mer Freq. | Nuc. Freq. | K-mer Freq. with Nuc. QV | K-mer Freq. | K-mer Freq. with Nuc. QV | K-mer Freq. with Nuc. QV | K-mer Freq. with Votes | Nuc. Freq. | Nuc. Freq. | Nuc. Freq. |

Related Work

→ Substitution, insertion and deletions correction methodology , like:

| | HSHREC | Coral | Pollx |
|--------------|-------------|--------------------|--------------------------|
| Year | 2010 | 2011 | 2015 |
| Used Concept | Suffix Trie | Reads Alignment | K-mer Discontinuities |
| Depends On | Nuc. Freq. | Nuc. Freq. with QV | K-mer Freq. |

Related Work

- → RACER characteristics:
 - Ability to correct data sets that have varying read lengths
 - Hash table and k-mer nucleotides neighbours
 - Fastest DNA error correction algorithm existent nowadays with a high accuracy
 - Corrects substitutions only

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→ Aiming to correct all types of errors

→ Hashing the k-mers into integers

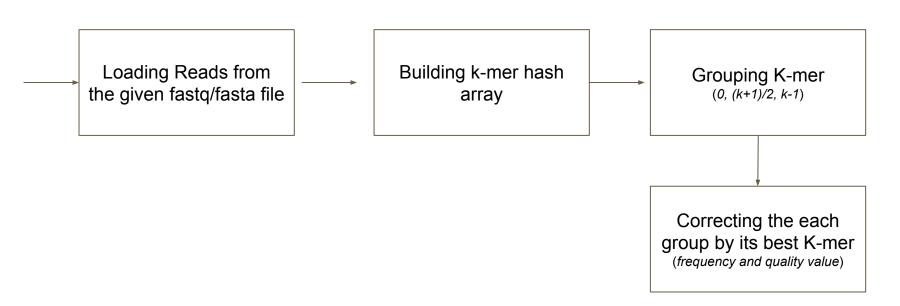
→ Flexible to run more correction iterations

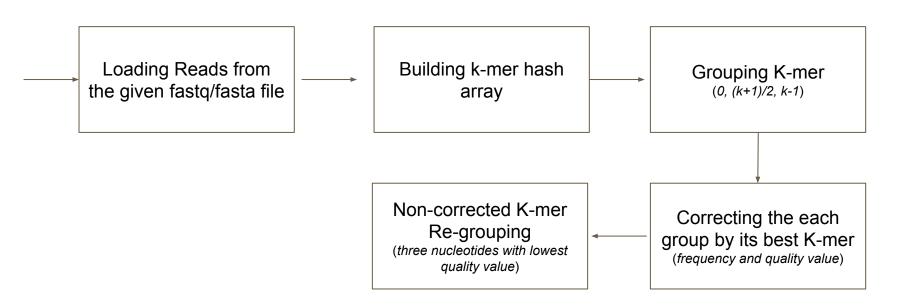
Loading Reads from the given fastq/fasta file

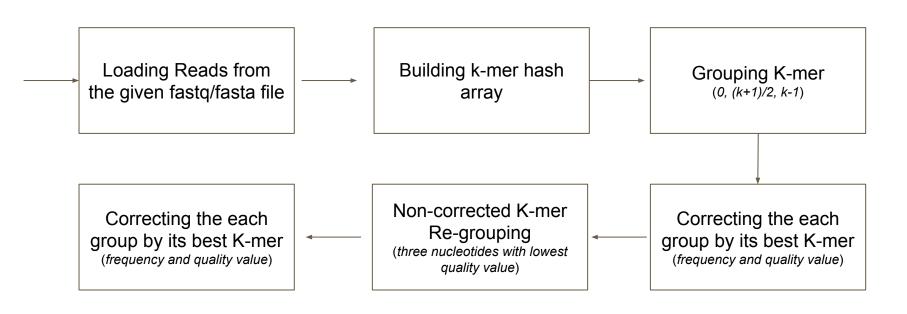
Loading Reads from the given fastq/fasta file

Building k-mer hash array









| id | k-mer | Freq |
|----|---------|------|
| 0 | CCGTAAT | 9 |
| 1 | CGCTACT | 13 |
| 2 | GTACGGT | 8 |
| 3 | AGCTACT | 4 |
| 4 | GTCCTGT | 3 |
| 5 | ACGTAAT | 2 |
| 6 | GGCCACT | 2 |
| 7 | GGCCTAA | 1 |
| 8 | TGCCACC | 3 |

| id | k-mer | Freq | Gp |
|----|---------|------|----|
| 0 | CCGTAAT | 9 | -1 |
| 1 | CGCTACT | 13 | -1 |
| 2 | GTACGGT | 8 | -1 |
| 3 | AGCTACT | 4 | -1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | ACGTAAT | 2 | -1 |
| 6 | GGCCACT | 2 | -1 |
| 7 | GGCCTAA | 1 | -1 |
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| id | k-mer | Freq | Gp |
|----|---------|------|----|
| 0 | CCGTAAT | 9 | 0 |
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| 6 | GGCCACT | 2 | -1 |
| 7 | GGCCTAA | 1 | -1 |
| 8 | TGCCACC | 3 | -1 |

| id | k-mer | Freq | Gp |
|----|----------------------------------|------|----|
| 0 | <u>C</u> CG <u>T</u> AA <u>T</u> | 9 | 0 |
| 1 | CGCTACT | 13 | -1 |
| 2 | GTACGGT | 8 | -1 |
| 3 | AGCTACT | 4 | -1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | ACGTAAT | 2 | -1 |
| 6 | GGCCACT | 2 | -1 |
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| 8 | TGCCACC | 3 | -1 |

| id | k-mer | Freq | Gp |
|----|----------------------------------|------|----|
| 0 | <u>C</u> CG <u>T</u> AA <u>T</u> | 9 | 0 |
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| 3 | AGCTACT | 4 | -1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | -1 |
| 6 | GGCCACT | 2 | -1 |
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|----|----------------------------------|------|----|
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| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 |
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| 7 | GGCCTAA | 1 | -1 |
| 8 | TGCCACC | 3 | -1 |

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|----|----------------------------------|------|----|
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| 3 | AGCTACT | 4 | -1 |
| 4 | GTCCTGT | 3 | -1 |
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|----|----------------------------------|------|----|
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| 6 | GGCCACT | 2 | -1 |
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|----|----------------------------------|------|----|
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| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 |
| 6 | GGCCACT | 2 | -1 |
| 7 | GGCCTAA | 1 | -1 |
| 8 | TGCCACC | 3 | -1 |

| id | k-mer | Freq | Gp |
|----|----------------------------------|------|----|
| 0 | <u>C</u> CG <u>T</u> AA <u>T</u> | 9 | 0 |
| 1 | <u>C</u> GC <u>T</u> AC <u>T</u> | 13 | 1 |
| 2 | GTACGGT | 8 | -1 |
| 3 | <u>A</u> GC <u>T</u> AC <u>T</u> | 4 | 1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 |
| 6 | <u>G</u> GC <u>C</u> AC <u>T</u> | 2 | -1 |
| 7 | GGCCTAA | 1 | -1 |
| 8 | TGCCACC | 3 | -1 |

| id | k-mer | Freq | Gp | | |
|----|----------------------------------|------------|----|--|--|
| 0 | <u>C</u> CG <u>T</u> AA <u>T</u> | 9 | 0 | | |
| 1 | <u>C</u> GC <u>T</u> AC <u>T</u> | CGCTACT 13 | | | |
| 2 | GTACGGT | 8 | -1 | | |
| 3 | <u>A</u> GC <u>T</u> AC <u>T</u> | 4 | 1 | | |
| 4 | GTCCTGT | 3 | -1 | | |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 | | |
| 6 | <u>G</u> GC <u>C</u> AC <u>T</u> | 2 | 1 | | |
| 7 | GGCCTAA | 1 | -1 | | |
| 8 | TGCCACC | 3 | -1 | | |

| id | k-mer | Freq | Gp | | |
|----|----------------------------------|------------|----|--|--|
| 0 | <u>C</u> CG <u>T</u> AA <u>T</u> | CCGTAAT 9 | | | |
| 1 | <u>C</u> GC <u>T</u> AC <u>T</u> | CGCTACT 13 | | | |
| 2 | GTACGGT | 8 | -1 | | |
| 3 | <u>A</u> GC <u>T</u> AC <u>T</u> | 4 | 1 | | |
| 4 | GTCCTGT | 3 | -1 | | |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 | | |
| 6 | <u>G</u> GC <u>C</u> AC <u>T</u> | 2 | 1 | | |
| 7 | GGCCTAA | 1 | -1 | | |
| 8 | TGCCACC 3 | | -1 | | |

| id | k-mer | Freq | Gp |
|----|----------------------------------|------|----|
| 0 | <u>CCGTAAT</u> | 9 | 0 |
| 1 | <u>C</u> GC <u>T</u> AC <u>T</u> | 13 | 1 |
| 2 | GTACGGT | 8 | -1 |
| 3 | <u>AGCT</u> AC <u>T</u> | 4 | 1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 |
| 6 | <u>G</u> GC <u>C</u> AC <u>T</u> | 2 | 1 |
| 7 | GGCCTAA 1 | | -1 |
| 8 | <u>T</u> GC <u>C</u> AC <u>C</u> | 3 | 1 |

| id | k-mer | Freq | Gp |
|----|----------------------------------|------|----|
| 0 | <u>CCGTAAT</u> | 9 | 0 |
| 1 | <u>C</u> GC <u>T</u> AC <u>T</u> | 13 | 1 |
| 2 | GTACGGT | 8 | -1 |
| 3 | <u>AGCT</u> AC <u>T</u> | 4 | 1 |
| 4 | GTCCTGT | 3 | -1 |
| 5 | <u>A</u> CG <u>T</u> AA <u>T</u> | 2 | 0 |
| 6 | <u>G</u> GC <u>C</u> AC <u>T</u> | 2 | 1 |
| 7 | GGCCTAA 1 | | -1 |
| 8 | <u>T</u> GC <u>C</u> AC <u>C</u> | 3 | 1 |

K-mer Grouping Error Correction - Evaluation

→ Data sets

| Name | Genome Length | Read Length | Number of Reads | Coverage |
|--------------------|---------------|-------------|-----------------|----------|
| Lactococcus Lactis | 2,598,144 | 36 | 4,370,050 | 60.55 |

K-mer Grouping Error Correction - Evaluation

→ Lactococcus Lactis (G: 2,598,144 - L: 36, N: 4,370,050, C: 60.55)

| | Coral | Pollux | HSHSREC | KGEC |
|------------------------|-------|--------|---------|-------|
| Accuracy in Percentage | 91.45 | 94.15 | 95.34 | 95.39 |
| Time in Minutes | 5 | 3 | 15 | 61 |

K-mer Grouping Error Correction Defects

→ This algorithm is mainly dependent on the k-mers grouping

→ kmers grouping takes place by generating all of the possible cases of the corrections of every kmer, and here goes the time defect (exponential)

→ On removing the method with the exponential complexity, the accuracy of the algorithm has been greatly negatively affected.

K-mer Grouping Error Correction Defects

→ The main major step of the proposal implies to it's weakness point, which proves that this proposal won't get a better results

→ So, it fails to run on big data

→ Using real data sets to get a good indication of real life performance

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H-RACER Proposal

→ H-RACER is a newly correction approach for correcting all types of errors.

→ H-RACER is inherited from RACER

→ RACER is the fastest algorithm specialized in correction substitution errors only

H-RACER Proposal

- → RACER characteristics:
 - Ability to correct data sets that have varying read lengths
 - Hash table and k-mer nucleotides neighbours
 - Fastest DNA error correction algorithm existent nowadays with a high accuracy
 - Corrects substitutions only

H-RACER Proposal

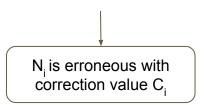
→ H-RACER uses the same algorithm of RACER in detecting errors and deciding corrections values

→ H-RACER detects the error type for an erroneous nucleotide by studying its correction value against its neighbours

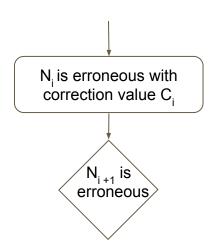
→ H-RACER decides the corrective action (substitute, insert, delete) according to the detected error type

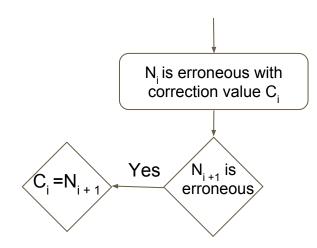
H-RACER - Error Type Detection

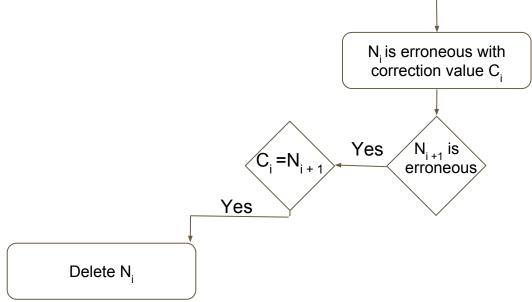
H-RACER - Error Type Detection

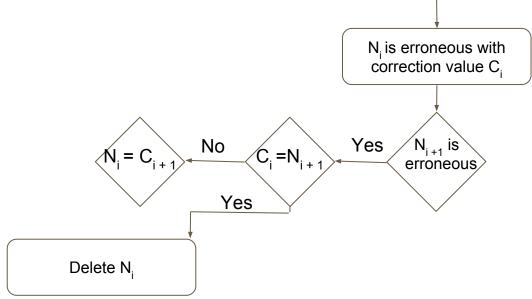


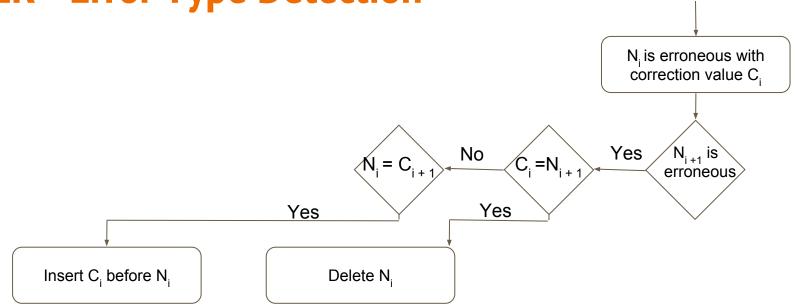
H-RACER - Error Type Detection

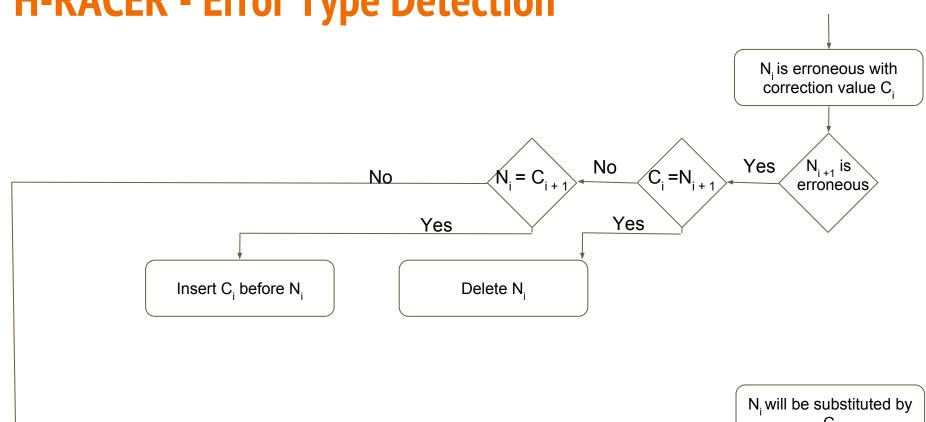


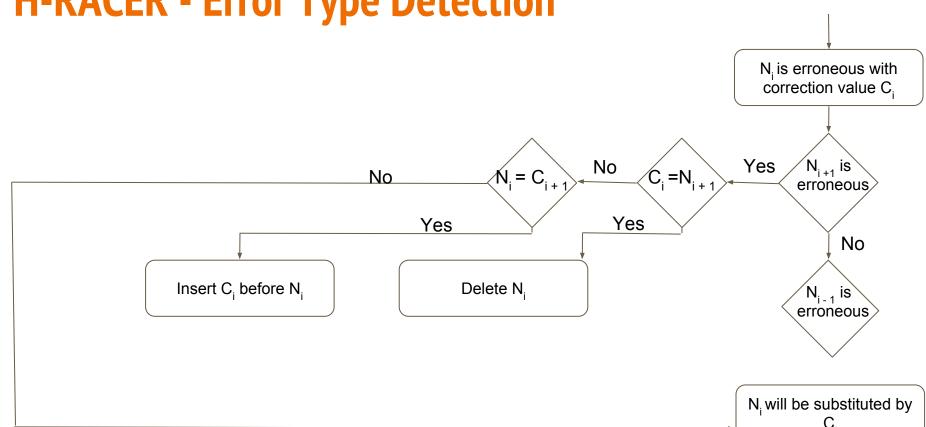


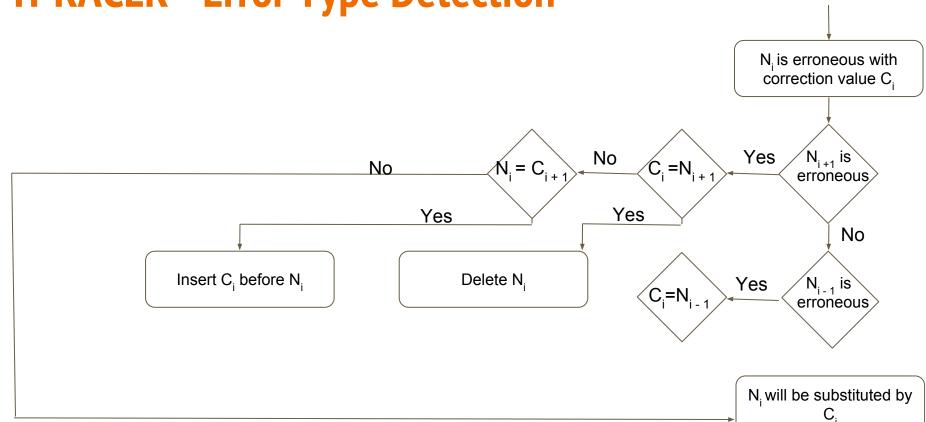


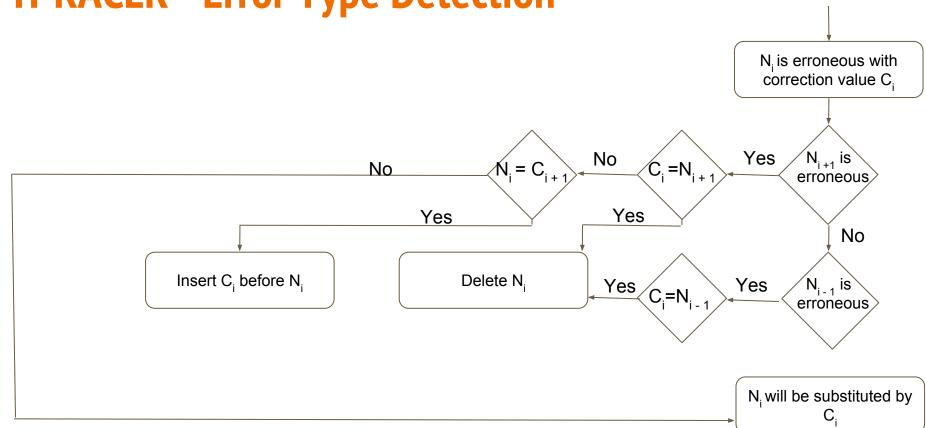


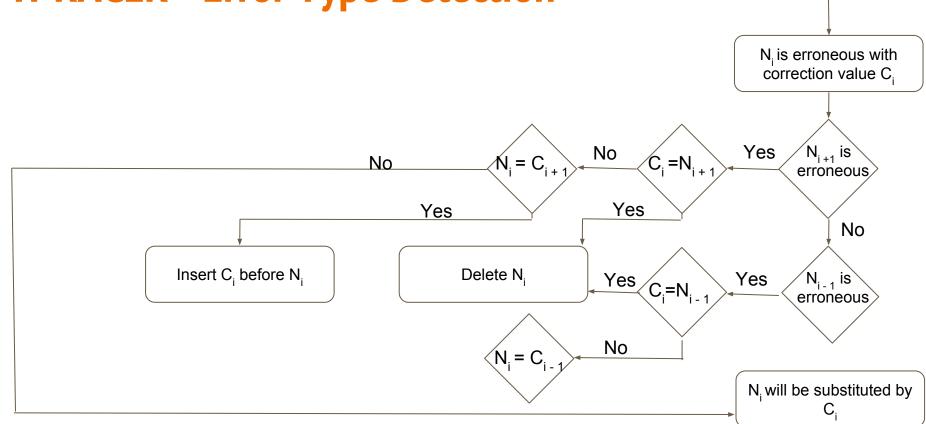


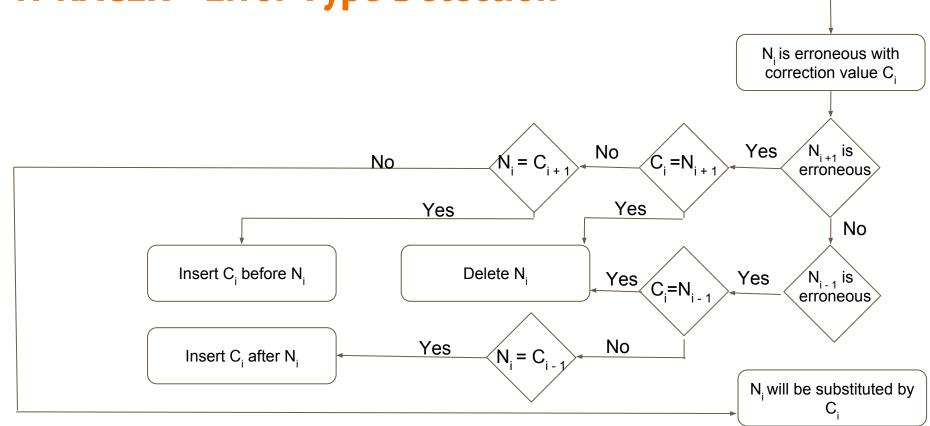


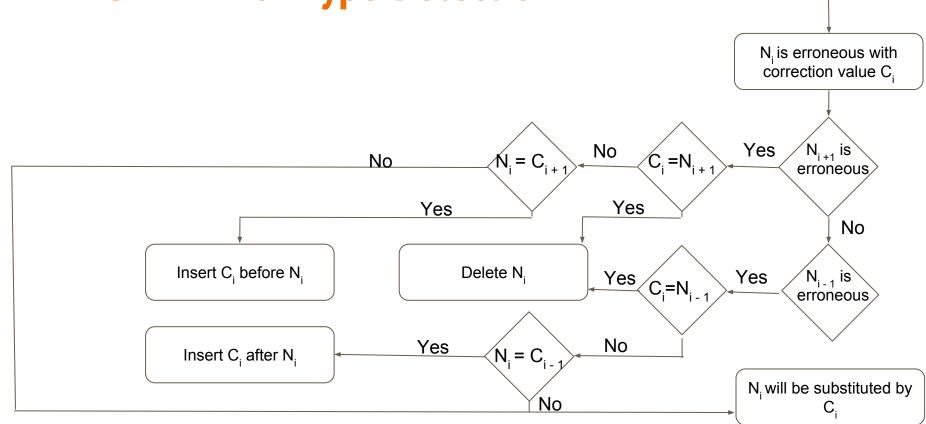


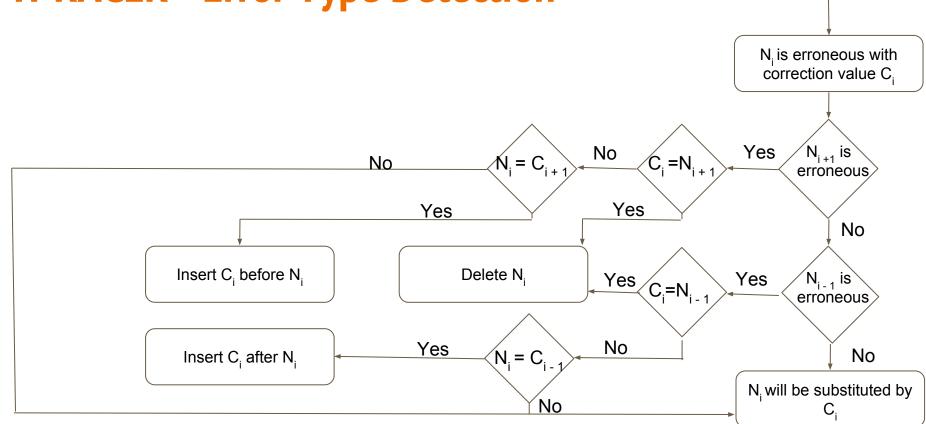












→ Erroneously Inserted Nucleotide

...ACCATG...

→ Erroneously Inserted Nucleotide

. . . A C C A T G . . .

→ Erroneously Inserted Nucleotide



→ Erroneously Inserted Nucleotide



→ Erroneously Inserted Nucleotide



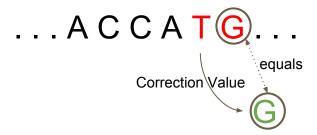
T - erroneously inserted nucleotide

→ Erroneously Inserted Nucleotide



- T erroneously inserted nucleotide
- ... Correction delete T

→ Erroneously Inserted Nucleotide



- ∴ T erroneously inserted nucleotide
- ... Correction delete T

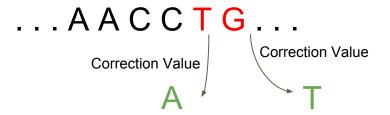
. . . A C C A **G** . . .

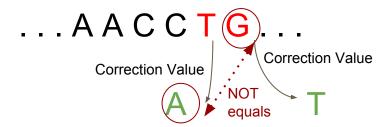
→ Erroneously Deleted Nucleotide

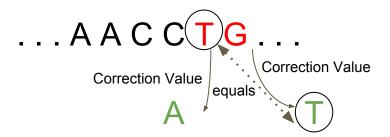
... A A C C T G ...

→ Erroneously Deleted Nucleotide

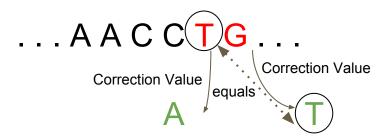
. . . A A C C T G . . .



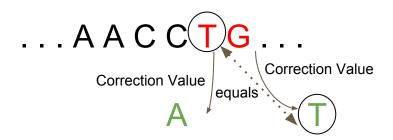




→ Erroneously Deleted Nucleotide

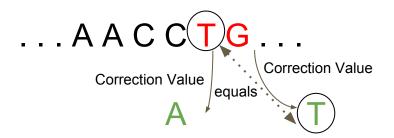


... A - erroneously deleted nucleotide



- ... A erroneously deleted nucleotide
- ... Correction insert A

→ Erroneously Deleted Nucleotide



- ... A erroneously deleted nucleotide
- ... Correction insert A

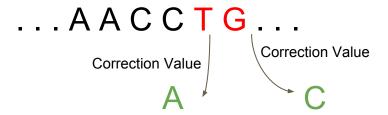
... A A C C **A** T G . . .

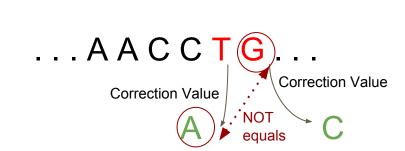
→ Erroneously Substituted Nucleotide

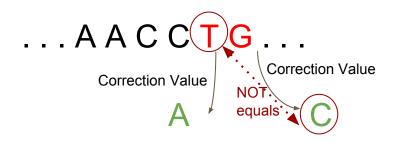
... A A C C T G ...

→ Erroneously Substituted Nucleotide

. . . A A C C T G . . .

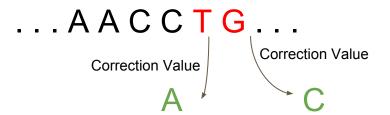




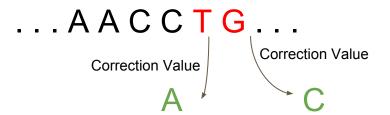


→ Erroneously Substituted Nucleotide

∴ A - erroneously substituted by T, and, C - erroneously substituted by G



- ∴ A erroneously substituted by T, and, C erroneously substituted by G
- ... Correction substitute T by A and G by C



- ∴ A erroneously substituted by T, and, C erroneously substituted by G
- ... Correction substitute T by A and G by C

```
Correction Value

Correction Value
```

- ∴ A erroneously substituted by T, and, C erroneously substituted by G
- Correction substitute T by A and G by C ... A A C C A C ...

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Evaluation Definitions

→ True Positive - *Properly detected as erroneous and properly corrected*

→ False Positive - *Improperly counted as erroneous and improperly corrected*

→ False Negative - *Improperly considering as not erroneous*

Evaluation Definitions

→ True Negative - *Properly considering as not erroneous*

→ Sensitivity - *Ability to detect the erroneous nucleotides*

Sensitivity = TP/(TP+FN)

Evaluation Definitions

→ Specificity - *Ability to properly corrects the erroneous nucleotides*

→ Accuracy - All over error rate

$$Accuracy = (TP+TN)/(TP+FP+FN+TN)$$

→ Data sets were brought from the National Center for Biotechnology Information (NCBI)

→ Executing on amazon elastic cloud (AWS EC2) instance with 32 vCPU and 244GiB RAM, with Linux (Ubuntu) operating system

→ Verified by a standalone C/C++ program implemented by RACER, that has the advantage of avoiding the interference of mapping/assembling programs

→ Data sets

| Name | Genome Length | Read Length | Number of Reads | Coverage |
|--------------------|---------------|-------------|-----------------|----------|
| Lactococcus Lactis | 2,598,144 | 36 | 4,370,050 | 60.55 |
| Treponema Pallidum | 1,139,417 | 35 | 7,133,663 | 219.13 |
| E.coli 75a | 4,639,675 | 75 | 3,454,048 | 55.83 |
| E.coli 75b | 4,639,675 | 75 | 4,341,061 | 70.17 |

→ Lactococcus Lactis

| | Coral | Pollux | HSHSREC | H-RACER |
|----------------------------|-------|--------|---------|---------|
| True Positive in Millions | 15.4 | 25.3 | 25.5 | 21.2 |
| False Positive in Millions | 2.0 | 7.7 | 6.1 | 0.02 |
| False Negative in Millions | 11.4 | 1.5 | 1.3 | 5.6 |
| True Negative in Millions | 128.5 | 122.8 | 124.5 | 130.5 |
| Sensitivity in Percentage | 57.43 | 94.46 | 95.25 | 79.22 |
| Specificity in Percentage | 98.44 | 94.08 | 95.36 | 99.98 |
| Accuracy in Percentage | 91.45 | 94.15 | 95.34 | 96.45 |
| Time in Minutes | 5 | 3 | 15 | 1 |

→ Treponema Pallidum

| | Coral | Pollux | HSHSREC | H-RACER |
|----------------------------|-------|--------|---------|---------|
| True Positive in Millions | 25.60 | 63.9 | 64.4 | 56.3 |
| False Positive in Millions | 3.5 | 8.8 | 8.1 | 0.2 |
| False Negative in Millions | 41.6 | 3.3 | 2.7 | 10.8 |
| True Negative in Millions | 179.1 | 173.7 | 174.4 | 182.4 |
| Sensitivity in Percentage | 38.08 | 95.15 | 95.95 | 83.87 |
| Specificity in Percentage | 98.10 | 95.16 | 95.55 | 99.88 |
| Accuracy in Percentage | 81.97 | 95.16 | 95.65 | 95.58 |
| Time in Minutes | 12 | 3 | 22 | 2 |

→ E.coli 75a

| | Coral | Pollux | HSHSREC | H-RACER |
|----------------------------|-------|--------|---------|---------|
| True Positive in Millions | 26.4 | 80.0 | N/A | 76.3 |
| False Positive in Millions | 5.6 | 31.7 | N/A | 0.03 |
| False Negative in Millions | 73.7 | 20.2 | N/A | 23.8 |
| True Negative in Millions | 153.4 | 127.2 | N/A | 158.9 |
| Sensitivity in Percentage | 26.40 | 79.87 | N/A | 76.21 |
| Specificity in Percentage | 96.51 | 80.07 | N/A | 99.98 |
| Accuracy in Percentage | 69.40 | 79.99 | N/A | 90.79 |
| Time in Minutes | 9 | 16 | N/A | 1 |

→ E.coli 75b

| | Coral | Pollux | HSHSREC | H-RACER |
|----------------------------|-------|--------|---------|---------|
| True Positive in Millions | 13.3 | 99.4 | N/A | 81.06 |
| False Positive in Millions | 3.7 | 37.8 | N/A | 0.04 |
| False Negative in Millions | 108.5 | 22.4 | N/A | 40.8 |
| True Negative in Millions | 200.0 | 166.0 | N/A | 203.7 |
| Sensitivity in Percentage | 10.93 | 81.58 | N/A | 66.54 |
| Specificity in Percentage | 98.19 | 81.46 | N/A | 99.98 |
| Accuracy in Percentage | 65.55 | 81.50 | N/A | 87.47 |
| Time in Minutes | 13 | 21 | N/A | 2 |

→ H-RACER has the best results in accuracy and time, especially for long genomes

→ H-RACER uses the bitwise orientation in implementation (inherited from RACER), so it shows the best time

 \rightarrow H-RACER error detection algorithm has a complexity O(r), where r is the number of reads

- → H-RACER has the best accuracy, as it depends on:
 - Lowering false positive rate
 - Lowering sensitivity rate

→ Lowering false positive rate negatively affects the true positive and false negative rates

→ Enhancing the reads overall accuracy is the main vital target. So, corrective algorithms should not introduce errors (represented in false positive rate).

→ H-RACER has the best accuracy although it hasn't the best sensitivity rate

- → Using genomes with high coverage rate, negatively affects H-RACER by:
 - Increasing error detection ambiguity
 - Raising false negative rate
 - Lowering accuracy

→ The comparisons were established between H-RACER and algorithms specialized in correcting all types of errors

→ Using real data sets, to get a good indication of real life performance

→ Different data sets, with different read length, genome size and coverage

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Conclusion

→ H-RACER acquires the major advantages of RACER in both aspects performance and time

→ H-RACER added its elegant algorithm in detecting the errors types and properly applying their corrections

Conclusion

→ H-RACER is the fastest with the highest accuracy algorithm among the algorithms that corrects all types of errors

→ H-RACER algorithm is an open source program implemented in C/C++

→ H-RACER has been published in IWBBIO - April 2017

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Future Work

→ Enhancing the memory usage of H-RACER for long genomes, so as to be able to run long genomes within 244GiB RAM

→ Implementing H-RACER with parallel threads, where both time and memory will be enhanced, especially for long genomes

→ Inventing a new k-mer grouping algorithm for K-mer Grouping Error Correction algorithm with a reasonable complexity

Thank you! —