

A Review of Deep Learning with Special Emphasis on Architectures, Applications and Recent Trends

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Abstract

Deep learning has taken over - both in problems beyond the realm of traditional, hand-crafted machine learning paradigms as well as in capturing the imagination of the practitioner sitting on top of petabytes of data. While the public perception about the efficacy of deep neural architectures in complex pattern recognition tasks grows, sequentially up-to-date primers on the current state of affairs must follow. In this review, we seek to present a refresher of the many different stacked, connectionist networks that make up the deep learning architectures followed by automatic architecture optimization protocols using multi-agent approaches. Further, since guaranteeing system uptime is fast becoming an indispensable asset across multiple industrial modalities, we include an investigative section on testing neural networks for fault detection and subsequent mitigation. This is followed by an exploratory survey of several application areas where deep learning has emerged as a game-changing technology - be it anomalous behavior detection in financial applications or in financial time-series forecasting, predictive and prescriptive analytics, medical

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image analysis/processing or power systems research. The thrust of this review is on outlining emerging areas of application-oriented research within the deep learning community as well as to provide a handy reference to researchers seeking to embrace deep learning in their work for what it is: statistical pattern recognizers with unparalleled hierarchical structure learning capacity with the ability to scale with information.

Keywords: Neural Network Architectures, Supervised Learning, Unsupervised Learning, Testing Neural Networks, Applications of Deep Learning, Evolutionary Computation

1. Introduction

Artificial neural networks (ANNs), one of the most widely-used paradigms in computational intelligence, started out as an attempt to carry out synthetic mimicry of adaptive biological nervous systems in software and customized hardware implementations [1]. ANNs have made a strong resurgence as pattern recognition tools following pioneering work by a group of people [2] who demonstrated that stacked neural architectures can indeed learn complex, non-linear functional mappings given the right computational capabilities and that they scale with training data, unlike more traditional approaches. The intellectual neighbourhood has seen exponential growth, both in terms of academic and industrial research partly due the inherently trouble-free use of stacked neural architectures as blackbox implementations which eliminates the need to hand-craft specifics of the problem but also due the state-of-the-art performances of the networks in applications which require deriving actionable insights from unstructured, high-dimensional data [3] [4] [5] [6] [7] [8]. This motivates this timely review which charts through the niche, starting with a brief description of artificial neural networks below:

1.1. What is an Artificial Neural Network?

An artificial neural network is composed by many interconnected single units, or 'neurons' and act as sequential or parallel information-processing-units. If

21 one imagines a black-box created by stacking layers of these unitary neurons,
22 the resulting architecture may carry out the following actions:

- 23 1. It may interact with the surrounding universe using some of its atomic
24 units to receive information (these units are known to be part of the *input*
25 *layers* of the *neural network*).
- 26 2. It may pass information back-and-forth among the stacked layers within
27 the black-box and process the information by invoking certain *design goals*
28 and *learning rules* (these units are known to be parts of the *hidden layers*
29 of the *neural network*).
- 30 3. It may relay information out to the surrounding universe using some of
31 its atomic units (these units are known to be part of the *output layers* of
32 the *neural network*).

33 Each neuron is activated if the incoming signal is larger than some *threshold*
34 and propagates a signal to all neurons connected to it. The connection mech-
35 anism acts like a filter - it weighs the signal with either a positive or negative
36 weight, drawing parallels from the excitation and inhibition processes in bio-
37 logical neural systems. In general, the system response of the black-box to an
38 excitation from the surrounding universe depends on the details of the connec-
39 tivity of internal units and the distribution of weights.

40 1.2. *How do these networks learn?*

41 Neural networks are capable of learning - by changing the distribution of
42 weights it is possible to approximate a function representative of the patterns
43 in the input. The key idea is to re-stimulate the black-box using new excita-
44 tion (data) until a sufficiently well-structured representation is achieved. Each
45 stimulation redistributes the neural weights a little bit - hopefully in the right
46 direction, given the learning algorithm involved is appropriate for use, until the

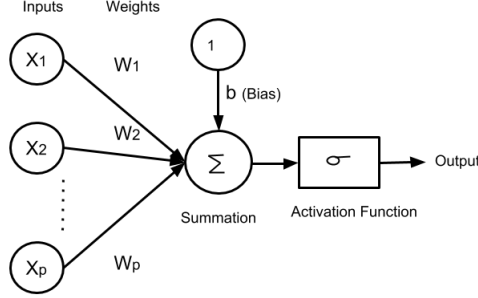


Figure 1: The Perceptron Learning Model

47 error in approximation w.r.t some well-defined metric is below a practitioner-
 48 defined lower bound. Learning then, is the aggregation of a variable length
 49 of causal chains of neural computations [9] seeking to approximate a certain
 50 pattern recognition task through linear/nonlinear modulation of the activation
 51 of the neurons across the architecture. The instances in which chains of im-
 52 plicit linear activation fail to learn the underlying structure, non-linearity aids
 53 the modulation process. The term '*deep*' in this context is a direct indicator
 54 of the space complexity of the aggregation chain across many *hidden layers*
 55 to learn sufficiently detailed representations. Theorists and empiricists alike
 56 have contributed to an exponential growth in studies using Deep Neural Net-
 57 works, although generally speaking, the existing constraints of the field are
 58 well-acknowledged [10] [11] [12]. Deep learning has grown to be one of the prin-
 59 cipal components of contemporary research in artificial intelligence in light of its
 60 ability to scale with input data and its capacity to generalize across problems
 61 with similar underlying feature distributions, which are in stark contrast to the
 62 hard-coded, problem-specific pattern recognition architectures of yesteryear.

Table 1: Some Key Advances in Neural Networks Research

People Involved	Contribution
McCulloch & Pitts	ANN models with adjustable weights (1943) [13]
Rosenblatt	The Perceptron Learning Algorithm (1957) [14]
Widrow and Hoff	Adaline (1960), Madaline Rule I (1961) & Madaline Rule II (1988)[15] [16]
Minsky & Papert	The XOR Problem (1969) [17]
Werbos (Doctoral Dissertation)	Backpropagation (1974) [18]
Hopfield	Hopfield Networks (1982) [19]
Rumelhart, Hinton & Williams	Renewed interest in backpropagation: multilayer adaptive backpropagation (1986) [20]
Vapnik, Cortes	Support Vector Networks (1995) [21]
Hochreiter & Schmidhuber	Long Short Term Memory Networks (1997) [22]
LeCunn et. al.	Convolutional Neural Networks (1998) [23]
Hinton & Ruslan	Hierarchical Feature Learning in Deep Neural Networks (2006) [24]

63 *1.3. Why are deep neural networks garnering so much attention now?*

64 Multi-layer neural networks have been around through the better part of the
65 latter half of the previous century. A natural question to ask why deep neural
66 networks have gained the undivided attention of academics and industrialists
67 alike in recent years? There are many factors contributing to this meteoric rise
68 in research funding and volume. Some of these are briefed:

- 69 • A surge in the availability of large training data sets with high quality
70 labels
- 71 • Advances in parallel computing capabilities and multi-core, multi-
72 threaded implementations
- 73 • Niche software platforms such as PyTorch [25], Tensorflow [26], Caffe
74 [27] , Chainer [28], Keras [29], BigDL [30] etc. that allow seamless
75 integration of architectures into a GPU computing framework with-
76 out the complexity of addressing low-level details such as derivatives
77 and environment setup. Table 2 provides a summary of popular Deep
78 Learning Frameworks.
- 79 • Better regularization techniques introduced over the years help avoid
80 overfitting as we scale up: techniques like batch normalization, dropout,
81 data augmentation, early stopping etc are highly effective in avoiding
82 overfitting and can single handedly improve model performance with
83 scaling.
- 84 • Robust optimization algorithms that produce near-optimal solutions:
85 Algorithms with adaptive learning rates (AdaGrad, RMSProp, Adam,
86 Adaboost), Stochastic Gradient Descent (with standard momentum
87 or Nesterov momentum), Particle Swarm Optimization, Differential
88 Evolution, etc.

Table 2: A Collection of Popular Deployment Platforms

Software Platform	Purpose
Tensorflow [26]	Software library with high performance numerical computation and support for Machine Learning and Deep Learning architectures compatible to be deployed in CPU, GPU and TPU. url: https://www.tensorflow.org/
Theano [31]	GPU compatible Python library with tight integration to NumPy involves smooth mathematical operations on multidimensional arrays. url: http://deeplearning.net/software/theano/
CNTK [32]	Microsoft Cognitive Toolkit (CNTK) is a Deep Learning Framework describing computations through directed graphs. url: https://www.microsoft.com/en-us/cognitive-toolkit/
Keras [29]	It runs on top of Tensorflow, CNTK or Theano compatible to be deployed in CPU and GPU. url: https://keras.io/
PyTorch [25]	Distributed training and performance evaluation platform integrated with Python supported by major cloud platforms. url: https://pytorch.org/
Caffe [27]	Convolutional Architecture for Fast Feature Embedding (Caffe) is a Deep Learning framework with focus on image classification and segmentation and deployable in both CPU and GPU. url: http://caffe.berkeleyvision.org/
Chainer [28]	Supports CUDA computation and multiple GPU implementation. url: https://chainer.org/
BigDL [30]	Distributed deep learning library for Apache Spark supporting programming languages Scala and Python. url: https://software.intel.com/en-us/articles/bigdl-distributed-deep-learning-on-apache-spark

89 1.4. *Contributions made by this article*

90 The article, in its present form serves to present a collection of notable work
91 carried out by researchers in and related to the deep learning niche. It is by no
92 means exhaustive and limited in its own right to capture the global scheme of
93 proceedings in the ever-evolving complex web of interactions among the deep
94 learning community. While cognizant of the difficulty of achieving the stated
95 goal, we tried to present nonetheless to the reader an overview of pertinent
96 scholarly collections in varied niches in a single article.

97 The article makes the following contributions from a practitioner’s reading
98 perspective:

- 99 • It walks through foundations of biomimicry involving artificial neural
100 networks from biological ones, commenting on how neural network
101 architectures learn and why deeper layers of neural units are needed
102 for certain of pattern recognition tasks.
- 103 • It talks about how several different deep architectures work, starting
104 from Deep feed-forward networks (DFNNs) and Restricted Boltz-
105 mann Machines (RBMs) through Deep Belief Networks (DBNs) and
106 Autoencoders. It also briefly sweeps across Convolutional neural net-
107 works (CNNs), Recurrent Neural Networks (RNNs), Generative Ad-
108 versarial Networks (GANs) and some of the more recent deep ar-
109 chitectures. This cluster within the article serves as a baseline for
110 further readings or as a refresher for the sections which build on it
111 and follow.
- 112 • The article surveys two major computational areas of research in the
113 present day deep learning community that we feel have not been ade-
114 quately surveyed yet - (a) Multi-agent approaches in automatic archi-
115 tecture generation and learning rule optimization of deep neural net-
116 works using swarm intelligence and (b) Testing, troubleshooting and

117 robustness analysis of deep neural architectures which are of prime
118 importance in guaranteeing up-time and ensuring fault-tolerance in
119 mission-critical applications.

120 • A general survey of developments in certain application modalities is
121 presented. These include:

- 122 · Anomaly Detection in Financial Services,
- 123 · Financial Time Series Forecasting,
- 124 · Prognostics and Health Monitoring,
- 125 · Medical Imaging and
- 126 · Power Systems

127 The rest of the paper is organized as follows: Section 2 outlines some com-
128 monly used deep architectures with a high-level working mechanisms of each,
129 Section 3 talks about the infusion of swarm intelligence techniques within the
130 context of deep learning and Section 4 details diagnostic approaches in assuring
131 fault-tolerant implementations of deep learning systems. Section 5 makes an
132 exploratory survey of several pertinent applications highlighted in the previous
133 paragraph while Section 6 makes a critical dissection of the general successes
134 and pitfalls of the field as of now and concludes the article.

135 2. Deep architectures: Working mechanisms

136 There are numerous deep architectures available in the literature. The Com-
137 parison of architectures is difficult as different architectures have different ad-
138 vantages based on the application and the characteristics of the data involved,
139 for example, In vision, Convolutional Neural Networks [23], for sequences and
140 time series modelling Recurrent neural networks [33] is preferred. However, deep
141 learning is a fast evolving field. In every year various architectures with various
142 learning algorithms are developed to endure the need to develop human-like
143 efficient machines in different domains of application.

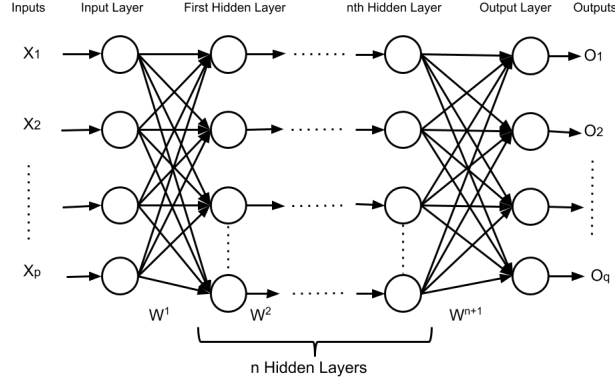


Figure 2: Deep Feed-forward Neural Network with n Hidden layers, p input units and q output units with weights W .

2.1. Deep Feed-forward Networks

Deep Feedforward Neural network, the most basic deep architecture with only the connections between the nodes moves forward. Basically, when a multilayer neural network contains multiple numbers of hidden layers, we call it deep neural network [34]. An example of Deep Feed-Forward Network with n hidden layers is provided in Figure 2. Multiple hidden layers help in modelling complex nonlinear relation more efficiently compared to the shallow architecture. A complex function can be modelled with less number of computational units compared to a similarly performing shallow network due to the hierarchical learning possible with the multiple levels of nonlinearity [35]. Due to the simplicity of architecture and the training in this model, It is always a popular architecture among researchers and practitioners in almost all the domains of engineering. Backpropagation using gradient descent [36] is the most common learning algorithm used to train this model. The algorithm first initialises the weights randomly, and then the weights are tuned to minimise the error using gradient descent. The learning procedure involves multiple forward and backwards passes consecutively. In forward pass, we forward the input towards the output through multiple hidden layers of nonlinearity and ultimately compare

162 the computed output with the actual output of the corresponding input. In the
 163 backward pass, the error derivatives with respect to the network parameters
 164 are back propagated to adjust the weights in order to minimise the error in
 165 the output. The process continues multiple times until we obtained a desired
 166 improvement in the model prediction. If X_i is the input and f_i is the nonlinear
 167 activation function in layer i , the output of the layer i can be represented by,

$$X_{i+1} = f_i(W_i X_i + b_i) \quad (1)$$

168 X_{i+1} , as this becomes input for the next layer. W_i and b_i are the parameters
 169 connecting the layer i with the previous layer. In the backward pass, these
 170 parameters can be updated with,

$$W_{new} = W - \eta \partial E / \partial W \quad (2)$$

$$b_{new} = b - \eta \partial E / \partial b \quad (3)$$

171 Where W_{new} and b_{new} are the updated parameters for W and b respectively,
 172 and E is the cost function and η is the learning rate. Depending on the task to
 173 be performed like regression or classification, the cost function of the model
 174 is decided. Like for regression, root mean square error is common and for
 175 classification softmax function.

176 Many issues like overfitting, trapped in local minima and vanishing gradi-
 177 ent issues can arise if a deep neural network is trained naively. This was the
 178 reason; neural network was forsaken by the machine learning community in the
 179 late 1990s. However, in 2006 [24, 37], with the advent of unsupervised pre-
 180 training approach in deep neural network, the neural network is revived again
 181 to be used for the complex tasks like vision and speech. Lately, many other
 182 techniques like l1, l2 regularisation [38], dropout [39], batch normalisation [40],
 183 good set of weight initialisation techniques [41, 42, 43, 44] and good set of acti-
 184 vation functions [45] are introduced to combat the issues in training deep neural
 185 networks.

2.2. *Restricted Boltzmann Machines*

Restricted Boltzmann Machine (RBM) [46] can be interpreted as a stochastic neural network. It is one of the popular deep learning frameworks due to its ability to learn the input probability distribution in supervised as well as unsupervised manner. It was first introduced by Paul Smolensky in 1986 with the name Harmonium [47]. However, it gets popularised by Hinton in 2002 [48] with the advent of the improved training algorithm to RBM. After that, it got a wide application in various tasks like representation learning [49], dimensionality reduction [50], prediction problems [51]. However, deep belief network training using the RBM as building block [24] was the most prominent application in the history of RBM that provides the starting of deep learning era. Recently RBM is getting immense popularity in the field of collaborative filtering [52] due to the state of the art performance in Netflix.

Restricted Boltzmann Machine is a variation of Boltzmann machine with the restriction in the intra-layer connection between the units, and hence called restricted. It is an undirected graphical model containing two layers, visible and hidden layer, forms a bipartite graph. Different variations of RBMs have been introduced in literature in terms of improving the learning algorithms, provided the task. Temporal RBM [53] and conditional RBM [54] proposed and applied to model multivariate time series data and to generate motion captures, Gated RBM [55] to learn transformation between two input images, Convolutional RBM [56, 57] to understand the time structure of the input time series, mean-covariance RBM [58, 59, 60] to represent the covariance structure of the data, and many more like Recurrent TRBM [61], factored conditional RBM (fcRBM) [62]. Different types of nodes like Bernoulli, Gaussian [63] are introduced to cope with the characteristics of the data used. However, the basic RBM modelling concept introduced with Bernoulli units. Each node in RBM is a computational unit that processes the input it receives to make stochastic decisions whether to transmit that input or not. An RBM with m visible and n hidden units is provided in Figure 3.

The joint probability distribution of an standard RBM can be defined with

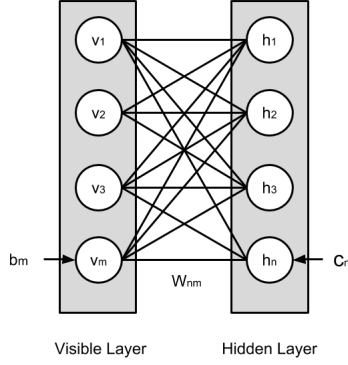


Figure 3: RBM with m visible units and n hidden units

217 Gibbs distribution $p(v, h) = \frac{1}{Z} e^{-E(v, h)}$, where energy function $E(v, h)$ can be
 218 represented with:

$$E(v, h) = - \sum_{i=1}^n \sum_{j=1}^m w_{ij} h_j v_i - \sum_{j=1}^m b_j v_j - \sum_{i=1}^n c_i h_i \quad (4)$$

219 Where, m, n are the number of visible and hidden units, v_j , h_j are the states
 220 of the visible unit j and hidden unit i, b_j , c_j are the real-valued biases correspond-
 221 ing to the jth visible unit and ith hidden unit respectively, w_{ij} is real-valued
 222 weights connecting visible units with hidden units. Z is the normalisation con-
 223 stant (sum over all the possible combinations for $e^{-E(v, h)}$) to ensure the proba-
 224 bility distributions sums to 1. The restriction made in the intralayer connection
 225 make the RBM hidden layer variables independent given the states of the visible
 226 layer variables and vice versa. This easy down the complexity of modelling the
 227 probability distribution and hence the probability distribution of each variable
 228 can be represented by conditional probability distribution as given below:

$$p(h|v) = \prod_{i=1}^n p(h_i|v) \quad (5)$$

229

$$p(v|h) = \prod_{j=1}^m p(v_j|h) \quad (6)$$

230 RBM is trained to maximise the expected probability of the training samples.
 231 Contrastive divergence algorithm proposed by Hinton [48] is popular for the
 232 training of RBM. The training brings the model to a stable state by minimising
 233 its energy by updating the parameters of the model. The parameters can be
 234 updated using the following equations:

$$\Delta w_{ij} = \epsilon(\langle v_i h_j \rangle_{data} - \langle v_i h_j \rangle_{model}) \quad (7)$$

$$\Delta b_i = \epsilon(\langle v_i \rangle_{data} - \langle v_i \rangle_{model}) \quad (8)$$

$$\Delta c_j = \epsilon(\langle h_j \rangle_{data} - \langle h_j \rangle_{model}) \quad (9)$$

235 Where, ϵ is the learning rate, $\langle . \rangle_{data}$, $\langle . \rangle_{model}$ are used to represent
 236 the expected values of the data and the model.

237 2.3. *Deep Belief Networks*

238 Deep belief network (DBN) is a generative graphical model composed of
 239 multiple layers of latent variables. The latent variables are typically binary, can
 240 represent the hidden features present in the input observations. The connection
 241 between the top two layers of DBN is undirected like an RBM model, hence a
 242 DBN with 1 hidden layer is just an RBM. The other connections in DBN except
 243 last are directed graphs towards the input layer. DBN is a generative model,
 244 hence to generate a sample from DBN follows a top-down approach. We first
 245 draw samples from the RBM on the top layer, this is usually done by Gibbs
 246 sampling, then we can perform sampling from the visible units by a simple pass
 247 of ancestral sampling in a top-down fashion. A standard DBN model [64] with
 248 three hidden layers is shown in Figure 4.

249 Inference in DBN is an intractable problem due to the explaining away effect
 250 in the latent variable model. However, in 2006 Hinton [24] proposed a fast
 251 and efficient way of training DBN by stacking Restricted Boltzmann Machine
 252 (RBM) one above the other. The lowest level RBM during training learns the

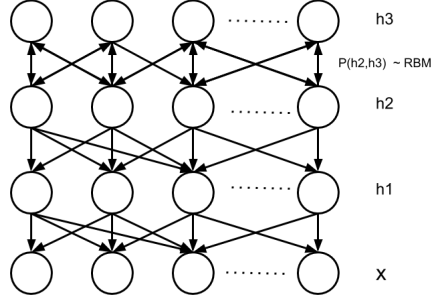


Figure 4: DBN with input vector \mathbf{x} with 3 hidden layers

253 distribution of the input data. The next level of RBM block learns high order
 254 correlation between the hidden units of the previous hidden layer by sampling
 255 the hidden units. This process repeated for each hidden layer till the top. A
 256 DBN with L numbers of hidden layer models the joint distribution between its
 257 visible layer v and the hidden layers h^l , where $l = 1, 2, \dots, L$ as follows:

$$p(v, h^1, \dots, h^L) = p(v | h^1) \left(\prod_{l=1}^{L-2} p(h^l | h^{l+1}) \right) p(h^{L-1}, h^L) \quad (10)$$

258 The log-probability of the training data can be improved by adding layers
 259 to the network, which, in turn, increases the true representational power of the
 260 network [65]. The DBN training proposed in 2006 [24] by Hinton led to the deep
 261 learning era of today and revived the neural network. This was the first deep
 262 architecture in the history able to train efficiently. Before that, it was almost
 263 impossible to train deep architectures. Deep architectures build by initialis-
 264 ing the weights with DBN, outperformed the kernel machines, that was in the
 265 research landscape at that time. DBN, along with its use as generative mod-
 266 els, significantly applied as discrimination model by appending a discrimination
 267 layer at the end and fine-tuning the model using the target labels provided [2].
 268 In most of the applications, this approach of pretraining a deep architecture led
 269 to the state of the performance in discriminative model [66, 24, 37, 67, 50] like
 270 in recognising handwritten digits, detecting pedestrians, time series prediction

etc. even when the number of labelled data was limited [68]. It has got immense popularity in acoustic modelling [69] recently as the model could provide upto 20% improvement over state of the art models, Hidden Markov Model, Gaussian Mixture Model. The approach creates feature detectors hierarchically as features of features in pretraining that provide a good set of initialised weights to the discriminative model. The initialised weights are in a region near the optimal weights that can improve both modelling and the convergence in fine-tuning [66, 70]. DBN has been used as an initialised model in classification in many applications like in phone recognition [58], computer vision [59] where it is used for the training of higher order factorized Boltzmann machine, speech recognition [71, 72, 73] for pretraining DNN, for pretraining of deep convolutional neural network (CNN) [56, 74, 57]. The improved performance is due to the ability to learn some abstract features by the hidden layer of the network. Some of the work on analysis of the features to understand what is lost and what is captured during its training is demonstrated in [60, 75, 76].

2.4. *Autoencoders*

Autoencoder is a three-layer neural network, as shown in Figure 5, that tries to reconstruct its input in its output layer. Hence, the output layer of an autoencoder contains the same number of units as the input layer. The hidden layer typically contains less number of neurons compared to the visible layer, tries to encode or represent the input in a more compact form. It shares the same idea as RBM, but it typically uses deterministic distribution instead of stochastic units with particular distribution as in the case of RBM.

Like feedforward neural network, autoencoder is typically trained using back-propagation algorithm. The training consists of two phases: Encoding and Decoding. In the encoding phase, the model tries to encode the input into some hidden representation using the weight metrics of the lower half layer, and in the decoding phase, it tries to reconstruct the same input from the encoding representation using the metrics of the upper half layer. Hence, weights in encoding and decoding are forced to be the transposed of each other. The encoding and

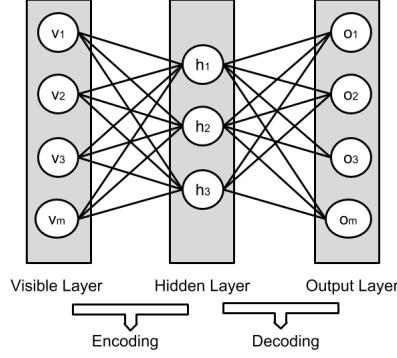


Figure 5: Autoencoder with 3 neurons in hidden layer

301 decoding operation of an autoencoder can be represented by equations below:

302 In encoding phase,

$$y' = f(wx + b) \quad (11)$$

303 Where w , b are the parameters to be tuned, f is the activation function, x

304 is the input vector, and y is the hidden representation. In decoding phase,

$$x' = f(w'y' + c) \quad (12)$$

305 Where w' is the transpose of w , c is the bias to the output layer, x' is the

306 reconstructed input at the output layer. The parameters of the autoencoder

307 can be updated using the following equations:

$$w_{new} = w - \eta \partial E / \partial w \quad (13)$$

$$b_{new} = b - \eta \partial E / \partial b \quad (14)$$

308 Where w_{new} and b_{new} are the updated parameters for w and b respectively

309 at the end of the current iteration, and E is the reconstruction error of the input

310 at the output layer.

311 Autoencoder with multiple hidden layers forms a deep autoencoder. Similar

312 like in deep neural network, autoencoder training may be difficult due to multi-

313 ple layers. This can be overcome by training each layer of deep autoencoder as
 314 a simple autoencoder [24, 37]. The approach has been successfully applied to
 315 encode documents for faster subsequent retrieval [77], image retrieval, efficient
 316 speech features [78] etc. As like RBM stacking to form DBN [24] for layerwise
 317 pretraining of DNN, autoencoder [37] along with sparse encoding energy-based
 318 model [67] are independently developed at that time. They both were effectively
 319 used to pre-train a deep neural network, much like the DBN. The unsupervised
 320 pretraining using autoencoder has been successfully applied in many fields like
 321 in image recognition and dimensionality reduction in MNIST [50, 78, 79], mul-
 322 timodal learning in speech and video images [80, 81] and many more. Autoen-
 323 coder has got immense popularity as generative model in recent years [34, 82].
 324 Non Probabilistic and non-generative nature of conventional autoencoder has
 325 been generalised to generative modelling [83, 38, 84, 85, 86] that can be used to
 326 generate the samples from the network meaningfully.

327 Several variations of autoencoders are introduced with quite different prop-
 328 erties and implementation to learn more efficient representation of data. One
 329 of the popular variation of autoencoder that is robust to input variations is
 330 denoising autoencoder [85, 38, 86]. The model can be used for good compact
 331 representation of input with the number of hidden layers less than the input
 332 layer. It can also be used to perform robust modelling of the input distribu-
 333 tion with higher number of neurons in the hidden layer. The robustness in
 334 denoising autoencoder is achieved by introducing dropout trick or by introduc-
 335 ing some gaussian noise to the input data [87, 88] or to the hidden layers [89].
 336 The approach helps in many many ways to improve performance. It virtually
 337 increasing the training set hence reduce overfitting, and make robust represen-
 338 tation of the input. Sparse autoencoder [89] is introduced in a consideration
 339 to allow larger number of hidden units than the visible units to make it easier
 340 and efficient representation of the input distribution in the hidden layer. The
 341 larger hidden layer represent the input representation by turning on and off the
 342 units in the hidden layer. Variational autoencoder [82, 90] that uses quite the
 343 similar concept as RBM, learn stochastic distribution of latent variables instead

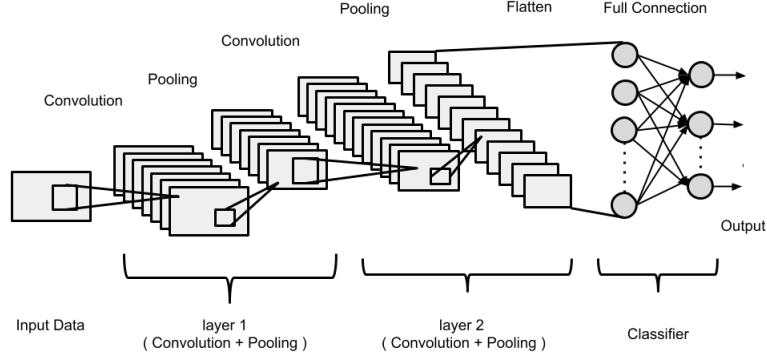


Figure 6: Convolution and Pooling Layers in a CNN

344 of deterministic distribution. Transforming autoencoders [91] proposed as a au-
 345 toencoder with transformation invariant property. The encoded features of the
 346 autoencoder can effectively reflect the transformation invariant property. The
 347 encoder is applied in image recognition [91, 92] purpose that contains capsule as
 348 the building block. Capsule is an independent sub-network that extracts local
 349 features within a limited window of viewing to understand if a feature entity
 350 is present with certain probability. Pretraining for CNN using regularised deep
 351 autoencoder is very much popularised in recent years in computer vision works.
 352 Robust models of CNN is obtained with denoising autoencoder [84] and sparse
 353 autoencoder with pooling and local contrast normalization [93] which provides
 354 not only translation-invariant features but also scaling and out-of-plane rotation
 355 invariant features.

356 2.5. *Convolutional Neural Networks*

357 Convolutional Neural Networks are a class of neural networks that are ex-
 358 tremely good for processing images. Although its idea was proposed way back
 359 in 1998 by LeCun et. al in their paper entitled "Gradient-based learning applied
 360 to document recognition" [94] but the deep learning world actually saw it in ac-
 361 tion when Krizhevsky et. al were able win the ILSVRC-2012 competition. The

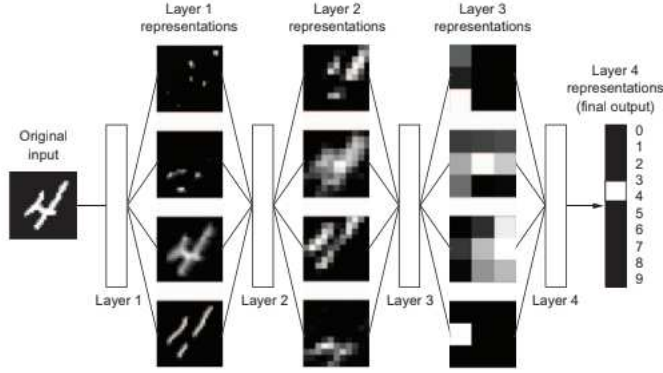


Figure 7: Representations of an image of handwritten digit learned by CNN

architecture that Krizhevsky et. al proposed is popularly known as AlexNet
 [95]. This remarkable win started the new era of artificial intelligence and the
 computation community witnessed the real power of CNNs. Soon after this,
 several architectures have been proposed and still are being proposed. And in
 many cases, these CNN architectures have been able to beat human recognition
 power as well. It is worth to note that, The deep learning revolution actually
 with the usage of Convolutional Neural Networks (CNNs). CNNs are ex-
 tremely useful for a set computer vision related tasks such as image detection,
 image segmentation, image classification and so on and all of these tasks are
 practically well aligned. On a very high level, deep learning is all about learn-
 ing data representations and in order to do so deep learning systems typically
 breaks down complex representations into a set of simpler representations. As
 mentioned earlier, CNNs are particularly useful when it comes to images as
 images have a special spatial property in their formations. An image has sev-
 eral characteristics like edges, contours, strokes, textures, gradients, orientation,
 colour. A CNN breaks down an image in terms of simple properties like these
 and learn them as representations in different layers [96]. Figure 7 is a good
 representative of this learning scheme.

The layers involved in any CNN model are the convolution layers and the

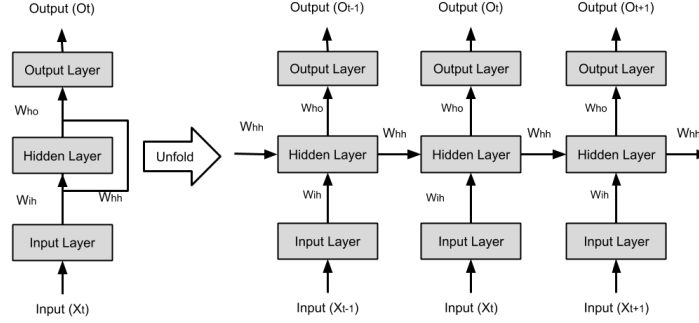


Figure 8: A Recurrent Neural Network Architecture

subsampling/pooling layers which allow the network learn filters that are specific to specific parts in an image. The convolution layers help the network retain the spatial arrangement of pixels that is present in any image whereas the pooling layers allow the network to summarize the pixel information [97]. There are several CNN architectures ZFNet, AlexNet, VGG, YOLO, SqueezeNet, ResNet and so on and some these have been discussed in section 2.8.

2.6. Recurrent Neural Networks

Although Hidden Markov Models (HMM) can express time dependencies, they become computationally unfeasible in the process of modelling long term dependencies which RNNs are capable of. A detailed derivation of Recurrent Neural Network from differential equations can be found in [98]. RNNs are form of feed-forward networks spanning adjacent time steps such that at any time instant a node of the network takes the current data input as well as the hidden node values capturing information of previous time steps. During the backpropagation of errors across multiple timesteps the problem of vanishing and exploding gradients take place which can be avoided by Long Short Term Memory (LSTM) Networks introduced by Hochreiter and Schmidhuber [99]. The amount of information to be retained from previous time steps is controlled by a sigmoid layer known as ‘forget’ gate whereas the sigmoid activated ‘input gate’ decides upon the new information to be stored in the cell followed by

401 a hyperbolic tangent activated layer to produce new candidate values which
 402 is updated taking forget gate coefficient weighted old state's candidate value.
 403 Finally the output is produced controlled by output gate and hyperbolic tangent
 404 activated candidate value of the state.

405 LSTM networks with peephole connections [100] updates the three gates us-
 406 ing the cell state information. A single update gate instead of forget and input
 407 gate is introduced in Gated Recurrent Unit (GRU) [101] merging the hidden
 408 and the cell state. In [102] Sak et al., came up with training LSTM RNNs in a
 409 distributed way on multicore CPU using asynchronus SGD (Stochastic Gradient
 410 Descent) optimization for the purpose of acoustic modelling. They presented
 411 a two-layer deep LSTM architecture with each layer having a linear recurrent
 412 projection layer with more efficient use of the model parameters. Doetch et al.,
 413 [103] proposed a LSTM based training framework composed of sequence chunks
 414 forming mini batches for training for the purpose of handwriting recognition.
 415 With reduction of runtime by a factor of 3 the architecture uses modified gating
 416 units with layer specific weights for each gate. Palangi et al., [104] implemented
 417 sentence embedding model using LSTM-RNN that sequentially extracts infor-
 418 mation from each word and embeds in a semantic vector till the end of the
 419 sentence to obtain overall semantic representation of the entire sentence. The
 420 model with capability of attenuating unimportant words and identifying salient
 421 keywords is specifically useful in web document retrieval applications.

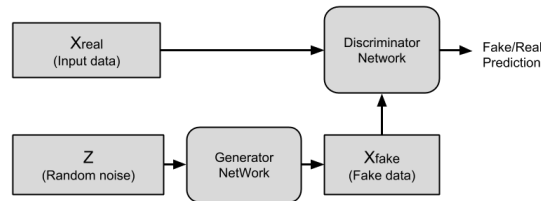


Figure 9: A Generative Adversarial Network Architecture

422 2.7. *Generative Adversarial Networks*

423 Goodfellow et al., [105] introduced a novel framework for Generative Ad-
424 versarial Nets with simultaneous training of a generative and a discriminative
425 model. The proposed new Generative model bypasses the difficulty of approx-
426 imation of unmanageable probabilistic measures in Maximum Likelihood Esti-
427 mation faced previously. The generative model tries to capture the data dis-
428 tribution whereas the discriminative model learns to estimate the probability
429 of a sample either coming from training data or the distribution captured by
430 generative model. If the two above models described by multilayer perceptrons,
431 only backpropagation and dropout algorithms are required to train them.

432 The goal in this process is to train the Generative network in a way to maxi-
433 mize the probability of the discriminative network to make a mistake. A unique
434 solution can be obtained in the function space where the generative model re-
435 covers the distribution of training data and the discriminative model results into
436 50% probability for each sample. This can be viewed as a minmax two player
437 game between these two models as the generative models produce adversarial
438 examples while discriminative model trying to identify them correctly and both
439 try to improve their efficiency until the adversarial examples are indistinguish-
440 able from the original ones.

441 In [106], the authors presented training procedures to be applied to GANs
442 focusing on producing visually sensible images. The proposed model was suc-
443 cessful in producing MNIST samples visually indistinguishable from the original
444 data and also in learning recognizable features from Imagenet dataset in a semi-
445 supervised way. This work provides insight about appropriate evaluation metric
446 for generative models in GANs and stable semi-supervised training approach.
447 In [107], the authors identified distinct features of GANs from a Turing per-
448 spective. The discriminators were allowed to behave as interrogators such as in
449 Turing Test by interacting with data sample generating processes and affirmed
450 the increase in accuracy of the models by verification with two case studies. The
451 first one was about inferring an agent's behavior based on a hidden stochastic
452 process while managing its environment. The second examples talks about ac-

453 tive self-discovery exercised by a robot to conclude about its own sensors by
454 controlled movements.

455 Wu et al., [108] proposed a 3D Generative Adversarial Network (3DGAN)
456 for three dimensional object generation using volumetric convolutional networks
457 with a mapping from probabilistic space of lower dimension to three dimen-
458 sional object space so that the 3D object can be sampled or explored without
459 any reference image. As a result high quality 3D objects can be generated
460 employing efficient shape descriptor learnt in an unsupervised manner by the
461 adversarial discriminator. Vondrick et al., [109] came up with video recog-
462 nition/classification and video generation/prediction model using Generative
463 Adversarial Network (GAN) with separation of foreground from background
464 employing spatio-temporal convolutional architecture. The proposed model is
465 efficient in predicting futuristic versions of static images extracting meaningful
466 features and recognizing actions embedded in the video in a minimally super-
467 vised way. Thus, learning scene dynamics from unlabeled videos using adver-
468 sarial learning is the main objective of the proposed framework.

469 Another interesting application is generating images from detailed visual de-
470 scriptions [110]. The authors trained a deep convolutional generative adversarial
471 network (DC-GAN) based on encoded text features through hybrid character-
472 level convolutional recurrent neural network and used manifold interpolation
473 regularizer. The generalizability of the approach was tested by generating im-
474 ages from various objects and changing backgrounds.

475 2.8. *Recent Deep Architectures*

476 When it comes to deep learning and computer vision, datasets like Cats
477 and Dogs, ImageNet, CIFAR-10, MNIST are used for benchmarking purposes.
478 Throughout this section, the ImageNet dataset is used for the purpose of bench-
479 marking results as it is more generalized than the other datasets just mentioned.
480 Every year a competition named ILSVRC (ImageNet Large Scale Visual Recog-
481 nition Competition) is organized (which is an image classification competition)
482 which based on the ImageNet dataset and it is widely accepted by the deep

483 learning community [111].
 484 Several deep neural network architectures have been proposed in the literature
 485 and still are being proposed with an objective of achieving general artificial
 486 intelligence. LeNet architecture, for example was proposed by Lecun et. al in
 487 1998s and it was originally proposed as a digit classification model. Later, LeNet
 488 has been incorporated to identify handwritten numbers on cheques [94]. Several
 489 architectures have been proposed after LeNet among which AlexNet certainly
 490 deserves to be the most notable mentions. It was proposed by Krizhevsky et.
 491 al in 2012 and AlexNet was able to beat all the competitors of the ILSVRC
 492 challenge. The discovery of AlexNet marks a significant turn in the history of
 493 deep learning for several reasons such as AlexNet incorporated the dropout reg-
 494 ularization which was just developed by that time, AlexNet made use of efficient
 495 GPU computing for reducing the training time which was first of its kind back
 496 in 2012 [95]. Soon after AlexNet , ZFNet was proposed by Zeiler et. al in the
 497 year of 2013 and showed state-of-the-art results on the ILSVRC challenge. It
 498 was an enhancement of the AlexNet architecture. It uses expanded mid convo-
 499 lution layers and incorporates smaller strides and filters in the first convolution
 500 layer for capturing the pixel information in a great detail [112]. In 2014, Google
 501 researchers came with a better model which is known as GoogleNet or the In-
 502 ception Network and won the ILSVRC 2014 challenge. The main catch of this
 503 architecture is the inception layer which allows convolving in parallel with dif-
 504 ferent kernel sizes. This is turn allows to learn the smaller pixel information of
 505 an image in a better way [113]. It's worth to mention the VGGNet (also called
 506 VGG) architecture here. It was the runners' up in the ILSVRC 2014 challenge
 507 and was proposed by Simonyan et. al. VGG uses a 3X3 kernel throughout
 508 its entire architecture and achieves tremendous generalization with this fixation
 509 [114]. The inner of the ILSVRC 2015 challenge was the ResNet architecture
 510 and was proposed by He et. al. This architecture is more formally known as
 511 Residual Networks and is deeper than the VGG architecture while still being
 512 less complex in the VGG architecture. ResNet was able to beat human per-
 513 formance on the ImageNet dataset and it is still being quite actively used in

514 production [115] [116].

515 **3. Swarm Intelligence in Deep Learning**

516 The introduction of heuristic and meta-heuristic algorithms in designing
517 complex neural network architectures aimed towards tuning the network pa-
518 rameters to optimize the learning process has brought improvements in the per-
519 formance of several Deep Learning Frameworks. In order to design the Artificial
520 Neural Networks (ANN) automatically with evolutionary computation a Deep
521 Evolutionary Network Structured Representation (DENSER) was proposed in
522 [117], where the optimal design for the network is achieved by a bi-leveled rep-
523 resentation. The outer level deals with the number of layers and their sequence
524 whereas the inner layer optimizes the parameters and hyper parameters asso-
525 ciated with each layer defined by a context-free human perceivable grammar.
526 Through automatic design of CNNs the proposed approach performed well on
527 CIFER-10, CIFER-100, MNIST and Fashion MNIST dataset. On the other
528 hand, Garro et al., [118] proposed a methodology to automatically design ANN
529 using basic Particle Swarm Optimization (PSO), Second Generation of Particle
530 Swarm Optimization (SGPSO), and a New Model of PSO (NMPSO) to evolve
531 and optimize the synaptic weights, transfer function for each neuron and the
532 architecture itself simultaneously. The ANNs designed in this way, were eval-
533 uated over eight fitness functions. It aimed towards dimensionality reduction
534 of the input pattern, and was compared to the traditional design architectures
535 using well known Back-Propagation and Levenberg-Marquardt algorithms. Das
536 et al. [119], used PSO to optimize the number of layers, neurons, the kind of
537 transfer functions to be involved and the topology of ANN aimed at building
538 channel equalizers that perform better in presence of all noise scenarios.

539 Wang et al. [120], used Variable-length Particle Swarm Optimization for
540 automatic evolution of deep Convolutional Neural Network Architectures for
541 image classification purposes. They proposed novel encoding strategy to encode
542 CNN layers in particle vectors and introduced a Disabled layer hiding certain

543 dimensions of the particle vector to have variable-length particles. In addition
544 to this, to speed up the process the authors randomly picked up partial datasets
545 for evaluation. Thus several variants of PSO along with its hybridised versions
546 [121] as well as a host of recent swarm intelligence algorithms such as Quantum
547 Double Delta Swarm Algorithm (QDDS) [122] and its chaotic implementation
548 [123] proposed by Sengupta et al. can be used, among others for automatic
549 generation of architectures used in Deep Learning applications.

550 The problem of changing dimensionality of perceived information by each
551 agent in the domain of Deep reinforcement learning (RL) for swarm systems
552 has been solved in [124] using an endtoend learned mean feature embedding as
553 state information. The research concluded that an endtoend embedding using
554 neural network features helps to scale up the RL architecture with increasing
555 numbers of agents towards better performing policies as well as ensures fast
556 convergence.

557 4. Testing neural networks

558 Software employed in safety critical systems need to be rigorously tested
559 through white-box or black-box testing. In white box testing, the internal struc-
560 ture of the software/program is known and utilized in generating test cases as
561 per the test criteria/requirement. Whereas in black box testing the inputs and
562 outputs of the program are compared as the internal code of the software cannot
563 be accessed. Some of the previous works dealing with generating test cases re-
564 vealing faulty cases can be found in [125] and in [126] using Principle component
565 analysis. In [127] the authors implemented a black-box testing methodology by
566 feeding randomly generated input test cases to an original version of a real-world
567 test program producing the corresponding outputs, so as the input-output pairs
568 are generated to train a neural network. Then each test case is applied to mu-
569 tated and faulty version of the test program and compared against the output
570 of the trained ANN to calculate the distance between two outputs indicating
571 whether the faulty program has produced valid or invalid result. Thus ANN

572 is treated as an automated oracle which produces satisfactory results when the
 573 training set is comprised of data ensuring good coverage on the whole range of
 574 input.

575 Y. Sun et al, [128] proposed a set of four test coverage criteria drawing
 576 inspiration from traditional Modified Condition/Decision Coverage (MC/DC)
 577 criteria. They also proposed algorithms for generating test cases for each crite-
 578 rion built upon linear programming. A new test case (an input to Deep Neural
 579 Network) is produced by perturbing a given one, where the stated algorithms
 580 should encode the test requirement and a fragment of the DNN by fixing the ac-
 581 tivation pattern obtained from the given input example, and then minimize the
 582 difference between the new and the current inputs. The utility of this method
 583 lies in bug finding, determining DNN safety statistics, measuring testing accu-
 584 racy and analysis of DNN internal structure. The paper discusses about sign
 585 change, value change and distance change of a neuron pair with two neurons in
 586 adjacent layers in the context of their change in activation values in two given
 587 test cases. Four covering methods: sign sign cover, distance sign cover, sign
 588 value cover and distance value cover are explained along with test requirement
 589 and test criteria which computes the percentage of the neuron pairs that are
 590 covered by test cases with respect to the covering method.

591 For each test requirement an automatic test case generation algorithm is im-
 592 plemented based on Linear Programming (LP). The objective is to find a test
 593 input variable, whose value is to be synthesized with LP, with identical activa-
 594 tion pattern as a given input. Hence a pair of inputs that satisfy the closeness
 595 definition are called adversarial examples if only one of them is correctly labeled
 596 by the DNN. The testing criteria necessitates that (sign or distance) changes
 597 of the condition neurons should support the (sign or value) change of every
 598 decision neuron. For a pair of neurons with a specified testing criterion, two
 599 activation patterns need to be found such that the two patterns together shall
 600 exhibit the changes required by the corresponding testing criterion. In the fi-
 601 nal test suite the inputs matching these patterns will be added. The authors
 602 put forward results on 10 DNNs with the Sign-Sign, Distance-Sign, Sign-value

and Distance-Value covering methods that show that the test generation algorithms are effective, as they reach high coverage for all covering criteria. Also, the covering methods designed are useful. This is supported by the fact that a significant portion of adversarial examples have been identified. To evaluate the quality of obtained adversarial examples, a distance curve to see how close the adversarial example is to the correct input has been plotted. It is observed that when going deeper into the DNN, it can become harder for the cover of neuron pairs. Under such circumstances, to improve the coverage performance, the use of larger data set when generating test pairs is needed. Interestingly, it seems that most adversarial examples can be found around the middle layers of all DNNs tested. In future the authors propose to find more efficient test case generation algorithms that do not require linear programming.

Katz et al. [129], provided methods for verifying adversarial robustness of neural networks with Reluplex algorithm, to prove, that a small perturbation to a rightly classified input should not result into misclassification. Huang et al, [130], proposed an automated verification framework based on Satisfiability Modulo Theory (SMT) to test the safety of neural network by searching adversarial manipulations through exploration in the space around a given data point. The adversarial examples discovered were used to fine-tune the network.

4.1. *Different Methods of Adversarial Test Generation*

Despite the success of deep learning in various domains, the robustness of the architectures need to be studied before applying neural network architectures in safety critical systems. In this subsection we discuss the kind of malicious attack that can fool or mislead NN to output wrong decisions and ways to overcome them. The work presented by Tuncali et al., [131] deals with generating scenarios leading to unexpected behaviors by introducing perturbations in the testing conditions. For identifying falsification and critical systems behavior for autonomous driving systems, the authors focused on finding glancing counterexamples which refer to the borderline behavior of the system where it is in the verge of failing. They introduced Signal Temporal Logic (STL) formula for

the problem in hand which in this case is a combination of predicates over the speed of the target car and distances of all other objects (including cars and pedestrians) and relative positions of them. Then a list of test cases is created and evaluated against STL specification. A covering array spanning all possible combinations of the values the variables can take is generated. To find a glancing behavior, the discrete parameters from the covering array that correspond to the trace that minimize STL conditions for a trace, are used to create test cases either uniformly randomly or by a cost function to guide a search over the continuous variables. Thus, a glancing test case for a trace is obtained. The proposed closed loop architecture behaves in an integrated way along with the controller and Deep Neural Network (DNN) based perception system to search for critical behavior of the vehicle.

In [132] Yuan et al discuss adversarial falsification problem explaining false positive and false negative attacks, white box attacks where there is complete knowledge about the trained NN model and black box attack where no information of the model can be accessed. With respect to adversarial specificity there are targeted and non-targeted attacks where the class output of the adversarial input is predefined in the first case and arbitrary in the second case. They also discuss about perturbation scope where individual attacks are geared towards generating unique perturbations per input whereas universal attacks generate similar attack for the whole dataset. The perturbation measurement is computed as p-norm distance between actual and adversarial input. The paper discusses various attack methods including L-BFGS attack, Fast Gradient Sign Method (FGSM) by performing update of one step gradient along the direction of the sign of the gradient of every pixel expressed as [133]:

$$\eta = \epsilon \text{sign}(\nabla_x J_\theta(x, l)) \quad (15)$$

where ϵ is the magnitude of perturbation η which when added to an input data generates an adversarial data.

FGSM has been extended by Basic Iterative Method (BIM) and Iterative Least-Likely Class Method (ILLC). Moosavi-Dezfooli et al. [134] proposed Deep-

fool where iterative attack was performed with linear approximation to surpass the nonlinearity in multidimensional cases.

4.2. *Countermeasures for Adversarial Examples*

The paper [132] deals with reactive countermeasures such as Adversarial Detecting, Input Reconstruction, and Network Verification and proactive countermeasures such as Network Distillation, Adversarial (Re)training, and Classifier Robustifying. In Network Distillation high temperature softmax activation reduces the sensitivity of the model towards small perturbations. In Adversarial (Re)training adversarial examples are used during training. Adversarial detecting deals with finding the probability of a given input being adversarial or not. In input reconstruction technique a denoising autoencoder is used to transform the adversarial examples to actual data before passing them as input to the prediction module by deep NN. Also, Gaussian Process Hybrid Deep Neural Networks (GPDNNs) are proven to be more robust towards adversarial inputs.

There are also ensembling defense strategies to counter multifaceted adversarial examples. But the defense strategies discussed here are mostly applicable to computer vision tasks, whereas the need of the day is to generate real time adversarial input detection and take measures for safety critical systems.

5. Applications

5.1. *Fraud Detection in Financial Services*

Fraud detection is an interesting problem in that it can be formulated in an unsupervised, a supervised and a one-class classification setting. In unsupervised learning category, class labels either unknown or are assumed to be unknown and clustering techniques are employed to figure out (i) distinct clusters containing fraudulent samples or (ii) far off fraudulent samples that do not belong to any cluster, where all clusters contained genuine samples, in which case, it is treated as an outlier detection problem. In supervised learning category, class labels are known and a binary classifier is built in order to

Table 3: Distribution of Articles by Application Areas

Application Area	Authors
Fraud Detection in Financial Services	Pumsirirat et al. [135], Schreyer et al. [136], Wang et al. [137], Zheng et al. [138], Dong et al. [139], Gomez et al. [140], Rymantubb et al. [141], Fiore et al. [142]
Financial Time Series Forecasting	Cavalcante et al. [143], Li et al. [144], Fama et al. [145], Lu et al. [146], Tk & Verner [147], Pandey et al. [148], Lasfer et al. [149], Gudelek et al. [150], Fischer & Krauss [151], Santos Pinheiro & Dras [152], Bao et al. [153], Hossain et al. [154], Calvez and Cliff [155]
Prognostics and Health Monitoring	Basak et al. [156], Tamilselvan & Wang [157], Kuremoto et al. [158], Qiu et al. [159], Gugulothu et al. [160], Filonov et al. [161], Botezatu et al. [162]
Medical Image Processing	Suk, Lee & Shen [163], van Tulder & de Bruijne [164], Brosch & Tam [165], Esteva et al. [166], Rajaraman et al. [167], Kang et al. [168], Hwang & Kim [169], Andermatt et al. [170], Cheng et al. [171], Miao et al. [172], Oktay et al. [173], Golkov et al. [174]
Power Systems	Vankayala & Rao [175], Chow et al. [176], Guo et al. [177], Bourguet & Antsaklis [178], Bunn & Farmer [179], Hippert et al. [180], Kuster et al. [181], Aggarwal & Song [182], Zhai [183], Park et al. [184], Mocanu et al. [185], Chen et al. [186], Bouktif et al. [187], Dedinec et al. [188], Rahman et al. [189], Kong et al. [190], Dong et al. [191], Kalogirou et al. [192], Wang et al. [193], Das et al. [194], Dabra et al. [195], Liu et al. [196], Jang et al. [197], Gensler et al. [198], Abdel-Nasser et al. [199], Manwell et al. [200], Marugán et al. [201], Wu et al. [202], Wang et al. [203], Wang et al. [204], Feng et al. [205], Qureshi et al. [206]

690 classify fraudulent samples. Examples of these techniques include logistic re-
 691 gression, Naive Bayes, supervised neural networks, decision tree, support vector
 692 machine, fuzzy rule based classifier, rough set based classifier etc. Finally, in
 693 the one-class classification category, only samples of genuine class available or
 694 fraud samples are not considered for training even if available. These are called
 695 one-class classifiers. Examples include one-class support vector machine (aka
 696 Support vector data description or SVDD), auto association neural networks
 697 (aka auto encoders). In this category, models are trained on the genuine class
 698 data and are tested on the fraud class. Literature abounds with many studies
 699 involving traditional neural networks with various architectures to deal with
 700 the above mentioned three categories. Having said that fraud (including cyber
 701 fraud) detection is increasingly becoming menacing and fraudsters always ap-
 702 pear to be few notches ahead of organizations in terms of finding new loopholes
 703 in the system and circumventing them effortlessly. On the other hand, organi-
 704 zations make huge investments in money, time and resources to predict fraud in
 705 near real-time, if not real time and try to mitigate the consequences of fraud.
 706 Financial fraud manifests itself in various areas such as banking, insurance and
 707 investments (stock markets). It can be both offline as well as online. Online
 708 fraud includes credit/debit card fraud, transaction fraud, cyber fraud involving
 709 security, while offline fraud includes accounting fraud, forgeries etc.

710 Deep learning algorithms proliferated during the last five years having found
 711 immense applications in many fields, where the traditional neural networks were
 712 applied with great success. Fraud detection one of them. In what follows, we re-
 713 view the works that employed deep learning for fraud detection and appeared in
 714 refereed international journals and one article is from arXive repository. papers
 715 published in International conferences are excluded.

716 Pumsirirat (2018)[135] proposed an unsupervised deep auto encoder (AE)
 717 based on restricted Boltzmann machine (RBM) in order to detect novel frauds
 718 because fraudsters always try to be innovative in their modus operandi so that
 719 they are not caught while perpetrating the fraud. He employed backpropagation
 720 trained deep Auto-encoder based on RBM that can reconstruct normal trans-

721 actions to find anomalies from normal patterns. He used the Tensorflow library
722 from Google to implement AE, RBM, and H2O by using deep learning. The
723 results show the mean squared error, root mean squared error, and area under
724 curve.

725 Schreyer (2017) [136] observed the disadvantage of business and experiential-
726 knowledge driven rules in failing to generalize well beyond the known scenarios
727 in large scale accounting frauds. Therefore, he proposed a deep auto encoder for
728 this purpose and tested it effectiveness on two real world datasets. Chartered
729 accountants appreciated the power of the deep auto encoder in predicting the
730 anomalous accounting entries.

731 Automobile insurance fraud has traditionally been predicted by considering
732 only structured data and textual data present in the claims was never analyzed.
733 But, Wang and Xu (2018) [137] proposed a novel method, wherein Latent Dirich-
734 let Allocation (LDA) was first used to extract the text features hidden in the
735 text descriptions of the accidents appearing in the claims, and then along with
736 the traditional numeric features as input data deep neural networks are trained.
737 Based on the real-world insurance fraud dataset, they concluded their hybrid
738 approach outperformed random forests and support vector machine.

739 Telecom fraud has assumed large proportions and its impact can be seen in
740 services involving mobile banking. Zheng et al. (2018)[138] proposed a novel
741 generative adversarial network (GAN) based model to compute probability of
742 fraud for each large transfer so that the bank can prevent potential frauds if the
743 probability exceeds a threshold. The model uses a deep denoising autoencoder
744 to learn the complex probabilistic relationship among the input features, and
745 employs adversarial training to accurately discriminate between positive samples
746 and negative samples in a data. They concluded that the model outperformed
747 traditional classifiers and using it two commercial banks have reduced losses
748 of about 10 million RMB in twelve weeks thereby significantly improving their
749 reputation.

750 In today's word-of-mouth marketing, online reviews posted by customers
751 critically influence buyers purchase decisions more than before. However, fraud

752 can be perpetrated in these reviews too by posting fake and meaningless reviews,
753 which cannot reflect customers'/users genuine purchase experience and opinions.
754 They pose great challenges for users to make right choices. Therefore, it is
755 desirable to build a fraud detection model to identify and weed out fake reviews.
756 Dong et al. (2018)[139] present an autoencoder and random forest, where a
757 stochastic decision tree model fine tunes the parameters. Extensive experiments
758 were conducted on a large Amazon review dataset.

759 Gomez et al. (2018)[140] presented a neural network based system for fraud
760 detection in banking. They analyzed a real world dataset, and proposed an end-
761 to-end solution from the practitioners perspective, especially focusing on issues
762 such as data imbalances, data processing and cost metric evaluation. They
763 reported their proposed solution performed comparably with state-of-the-art
764 solutions.

765 Ryman-Tubb et al. (2018) [141] observed that payment card fraud has
766 dented economies to the tune of USD 416bn in 2017. This fraud is perpetrated
767 primarily to finance terrorism, arms and drug crime. Until recently the pat-
768 terns of fraud and the criminals modus operandi has remained unsophisticated.
769 However, smart phones, mobile payments, cloud computing and contactless pay-
770 ments have emerged almost simultaneously with large-scale data breaches. This
771 made the extant methods less effective. They surveyed extant methods us-
772 ing transactional volumes in 2017. This benchmark will show that only eight
773 traditional methods have a practical performance to be deployed in industry.
774 Further, they suggested that a cognitive computing approach and deep learning
775 are promising research directions.

776 Fiore et al (2019) [142] observed that data imbalance is a crucial issue in
777 payment card fraud detection and that oversampling has some drawbacks. They
778 proposed Generative Adversarial Networks (GAN) for oversampling, where they
779 trained a GAN to output mimicked minority class examples, which were then
780 merged with training data into an augmented training set so that the effective-
781 ness of a classifier can be improved. They concluded that a classifier trained
782 on the augmented set outperformed the same classifier trained on the original

783 data, especially as far the sensitivity is concerned, resulting in an effective fraud
784 detection mechanism.

785 In summary, as far as fraud detection is concerned, some progress is made in
786 the application of a few deep learning architectures. However, there is immense
787 potential to contribute to this field especially, the application of Resnet, gated
788 recurrent unit, capsule network etc to detect frauds including cyber frauds. .

789 5.2. *Financial Time Series Forecasting*

790 Advances in technology and break through in deep learning models have
791 seen an increase in intelligent automated trading and decision support systems
792 in Financial markets, especially in the stock and foreign exchange (FOREX)
793 markets. However, time series problems are difficult to predict especially finan-
794 cial time series [143]. On the other hand, NN and deep learning models have
795 shown great success in forecasting financial time series [144] despite the con-
796 tradictory report by efficient market hypothesis (EMH) [145], that the FOREX
797 and stock market follows a random walk and any profit made is by chance. This
798 can be attributed to the ability of NN to self-adapt to any nonlinear data set
799 without any statically assumption and prior knowledge of the data set [146].

800 Deep leaning algorithms have used both fundamental and technical analysis
801 data, which is the two most commonly used techniques for financial time se-
802 ries forecasting, to trained and build deep leaning models [143]. Fundamental
803 analysis is the use or mining of textual information like financial news, com-
804 pany financial reports and other economic factors like government policies, to
805 predict price movement. Technical analysis on the other hand, is the analysis
806 of historical data of the stock and FOREX market.

807 Deep Learning NN (DLNN) or Multilayer Feed forward NN (MFF) is the
808 most used algorithms for financial markets [147]. According to the experimental
809 analysis done by Pandey et al [148], showed that MFF with Bayesian learning
810 performed better than MFF learning with back propagation for the FOREX
811 market.

812 Deep neural networks or machine learning models are considered as a black

box, because the internal workings is not fully understood. The performance of DNN is highly influence by the its parameters for a particular domain. Lasfer el at [149] performed an analysis on the influence of parameter (like the number of neurons, learning rate, activation function etc) on stock price forecasting. The authors work showed that a larger NN produces a better result than a smaller NN. However, the effect of the activation function on a large NN is lesser.

Although CNN is well known for its stripes in image recognition and less application in the Financial markets, CNN have also shown good performance in forecasting the stock market. As indicated by [149], the deeper the network the more NN can generalize to produce good results. However, the more the layers of NN, it is more likely to overfit a given data set. CNN on the other hand, with its techniques of convolution, pooling and drop out mechanism reduces the tendency of overfitting [150].

In order to apply CNN for the Financial market, the input data need to be transformed or adapted for CNN. With the help of a sliding window, Gudelek el at [150] used images generated by taking snapshots of the stock time series data and then fed it into 2D-CNN to perform daily predictions and classification of trends (whether downwards or upwards). The model was able to get 72 percent accuracy on 17 exchange traded fund data set. The model was not compared against other NN architecture. Fisher and Krauss [151] adapted LSTM for stock prediction and compared its performance with memory-free based algorithms like random forest, logistic regression classifier and deep neural network. LSTM performed better than other algorithms, random forest however, outperformed LSTM during the financial crisis in 2008.

EMH [145] holds the view that financial news which affects the price movement are in cooperated into the price immediately or gradual. Therefore, any investor that can first analyze the news and make a good trading strategy can profit. Based on this view and the rise of big data, there has been an upward trend in sentiment analysis and text mining research which utilizes blogs, financial news social media to forecast the stock or FOREX market [143]. Santos et al [152] explored the impact of news articles on company stock prices by im-

plementing a LSTM neural network pre-trained by a character level language model to predict the changes in prices of a company for both inter day and intraday trading. The results showed that, CNN with word wise based model outperformed other models. However, LSTM character level-based model performed better than RNN base models and also has less architectural complexity than other algorithms.

Moreover, there has been hybrid architectures to combine the strengths or more than one deep leaning models to forecast financial time series. Bao et al [153] combined wavelet transform, stacked autoencoders and LSTM for stock price prediction. The output of one network or model was fed into the next model as input. The hybrid model perfumed better than LSTM and RNN (which were standalone). Hossain et al [154], also created a hybrid model by combining LSTM and Gated recurrent unit (GRU) to predict S&P 500 stock price. The model was compared against standalone models like LSTM and GRU with different architectural layers. The hybrid model outperformed all other algorithms.

Calvez and Cliff [155] did introduce a new approach on how to trade on the stock market with DLNN model. DLNN model learn or observe the trading behaviors of traders. The author used a limit-order-book (LOB) and quotes made by successful traders (both automated and humans) as input data. DLNN was able to learn and outperformed both human traders and automated traders. This approach of learning might be the breakthrough for intelligent automated trading for Financial markets.

5.3. *Prognostics and Health Management*

The service reliability of the ever-encompassing cyber-physical systems around us has started to garner the undivided attention of the prognostics community in recent years. Factors such as revenue loss, system downtime, failure in mission-critical deployments and market competitive index are emergent motivations behind making accurate predictions about the State-of-Health (SoH) and Remaining Useful Life (RUL) of components and systems. Industry niches such as

874 manufacturing, electronics, automotive, defense and aerospace are increasingly
 875 becoming reliant on expert diagnosis of system health and smart recommender
 876 systems for maximizing system uptime and adaptive scheduling of maintenance.
 877 Given the surge in sensor influx, if there exists sufficient structured information
 878 in historical or transient data, accurate models describing the system evolution
 879 may be proposed. The general idea is that in such approaches, there is a point
 880 in the operational cycle of a component beyond which it no longer delivers opti-
 881 mum performance. In this regard, the most widely used metric for determining
 882 the critical operational cycle is termed as the Remaining Useful Life (RUL),
 883 which is a measure of the time from measurement to the critical cycle beyond
 884 which sub-optimal performance is anticipated. Prognostic approaches may be
 885 divided into three categorizations: (a) Model-driven (b) Data-driven (c) Hybrid
 886 i.e. any combination of (a) and (b). The last three decades have seen exten-
 887 sive usage of model-driven approaches with Gaussian Processes and Sequen-
 888 tial Monte-Carlo (SMC) methods which continue to be popular in capturing
 889 patterns in relatively simpler sensor data streams. However, one shortcoming
 890 of model driven approaches used till date happens to be their dependence on
 891 physical evolution equations recommended by an expert with problem-specific
 892 domain knowledge. For model-driven approaches to continue to perform as well
 893 when the problem complexity scales, the prior distribution (physical equations)
 894 needs to continue to capture the embedded causalities in the data accurately.
 895 However, it has been the observation that as sensor data scales, the ability of
 896 model-driven approaches to learn the inherent structures in the data has lagged.
 897 This is of course due to the use of simplistic priors and updates which are un-
 898 able to capture the complex functional relationships from the high dimensional
 899 input data. With the introduction of self-regulated learning paradigms such
 900 as Deep Learning, this problem of learning the structure in sensor data was
 901 mitigated to a large extent because it was no longer necessary for an expert
 902 to hand-design the physical evolution scheme of the system. With the recent
 903 advancements in parallel computational capabilities, techniques leveraging the
 904 volume of available data have begun to shine. One key issue to keep in mind

905 is that the performance of data-driven approaches are only as good as the la-
 906 beled data available for training. While the surplus of sensor data may act as a
 907 motivation for choosing such approaches, it is critical that the precursor to the
 908 supervised part of learning, i.e. data labeling is accurate. This often requires
 909 laborious and time-consuming efforts and is not guaranteed to result in the gen-
 910 eration of near-accurate ground truth. However, when adequate precaution is in
 911 place and strategic implementation facilitating optimal learning is achieved, it
 912 is possible to deliver customized solutions to complex prediction problems with
 913 an accuracy unmatched by simpler, model-driven approaches. Therein lies the
 914 holy grail of deep learning: the ability to scale learning with training data.

915 The recent works on device health forecasting are as follows: Basak et al.
 916 [156] carried on Remaining Useful Life (RUL) prediction of hard disks along with
 917 discussions on effective feature normalization strategies on Backblaze hard disk
 918 data. Deep Belief Networks (DBN) based multisensor health diagnosis method-
 919 ology has been proposed in [157] and employed in aircraft engine and electric
 920 power transformer health diagnosis to show the effectiveness of the approach.

921 Kuremoto et al., [158] applied DBN composed of two Restricted Boltzmann
 922 Machines (RBM) to capture the input feature distribution and then optimized
 923 the size of the network and learning rate through Particle Swarm Optimization
 924 for forecasting purposes with time series data. Qiu et al., [159] proposed an
 925 early warning model where feature extraction through DNN with hidden state
 926 analysis of Hidden Markov Model (HMM) is carried out for health maintenance
 927 of equipment chain in gas pipeline. Gugulothu et al. [160] proposed a fore-
 928 casting scheme using a Recurrent Neural Network (RNN) model to generate
 929 embeddings which capture the trend of multivariate time series data which are
 930 supposed to be disparate for healthy and unhealthy devices. The idea of using
 931 RNNs to capture intricate dependencies among various time cycles of sensor
 932 observations is emphasized in [161] for prognostic applications. Botezatu et al.,
 933 came up with some rules for directly identifying the healthy or unhealthy state
 934 of a device in [162], employing a disk replacement prediction algorithm with
 935 changepoint detection applied to time series Backblaze data. Thus deep learn-

ing architectures have been extensively used in prognostics starting to replace some of the model driven approaches.

5.4. *Medical Image Processing*

Deep learning techniques have pervaded the entire discipline of medical image processing and the number of studies highlighting its application in canonical tasks such as image classification, detection, enhancement, image generation, registration and segmentation have been on a sharp rise. A recent survey by Litjens et al. [207] presents a collective picture of the prevalence and applications of deep learning models within the community as does a fairly rigorous treatise of the same by Shen et al. [208]. A concise overview of recent work in some of these canonical tasks follows.

The purpose of image/exam classification jobs is to identify the presence of a disease based on the images of medical examinations. Over the last few years, various neural network architectures have been used in this field including stacked auto-encoders applied to diagnosis of Alzheimers disease and mild cognitive impairment, exploiting the latent non-linear complicated relations among various features [163], Restricted Boltzmann Machines applied to Lung CT analysis combining generative as well as discriminative learning techniques [164], Deep Belief Networks trained on three dimensional medical images [165] etc. Recently, the trend of using Convolutional Neural Networks in the field of image processing has been observed. In 2017, Esteva et al. [166] used and fine-tuned the Inception v3 [209] model to classify clinical images pertaining to skin cancer examinations into benign and malignant variants. Validated experiments were carried out by testing model performance against a good number of dermatologists. In 2018, Rajaraman et al. [167] used specialized CNN architectures like ResNet for detecting malarial parasites in thin blood smear images. Kang et al. [168] improved the performance of 2D CNN by using a 3D multi-view CNN for lung nodule classification using spatial contextual information with the help of 3D Inception-ResNet architecture.

Object/lesion detection aims to identify different parts/lesions in an image.

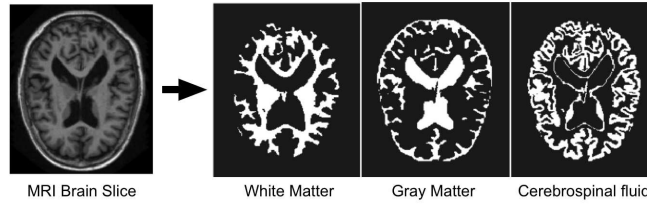


Figure 10: MRI Brain Slice and its different segmentation [211]

966 Although object classification and object detection are quite similar to each
 967 other but the challenges are specific to each of the categories. When it comes
 968 to object detection, the problem of class-imbalance can pose a major hurdle
 969 in terms of the performance of object detection models. Object detection also
 970 involves identification of localized information (that is specific to different parts
 971 of an image) from the full image space. Therefore, the task of object detection is
 972 a combination of identification of localized information and classification [210].
 973 In 2016, Hwang and Kim proposed a self-transfer learning (STL) framework
 974 which optimizes both the aspects of medical object detection task. They tested
 975 the STL framework for the detection of nodules in chest radiographs and lesions
 976 in mammography [169].

977 Segmentation happens to be one of the most common subjects of interest
 978 when it comes to application of Deep Learning in the domain of medical image
 979 processing. Organ and substructure segmentation allows for advanced fine-
 980 grained analysis of a medical image and it is widely practiced in the analyses
 981 of cardiac and brain images. A demonstration is shown in Figure 10, where
 982 different segmented parts of an MRI Brain Slice along with the original slice are
 983 considered. Segmentation includes both the local and global context of pixels
 984 with respect to a given image and the performance of a segmentation model
 985 can suffer from inconsistencies due to class imbalances. This makes the task
 986 of segmentation a difficult one. The most widely-used CNN architecture for
 987 medical image segmentation is U-Net which was proposed by Ronneberger et
 988 al. [212] in 2015. U-Net takes care of sampling that is required to check the

class-imbalance factors and it is capable of scanning an entire image in just one forward pass which enables it to consider the full context of the image. RNN-based architectures have also been proposed for segmentation tasks. In 2016, Andermatt et al. [170] presented a method to automatically segment 3D volumes of biomedical images. They used multi-dimensional gated recurrent units (GRU) as the main layers of their neural network model. The proposed method also involves on-the-fly data augmentation which enables the model to be trained with less amount of training data.

Other applications of deep learning in Medical Image processing include image registration which implies coordinate transformation from a reference image space to target image space. Cheng et al. [171] used multi-modal stacked denoising autoencoder to compute effective similarity measure among images using normalized mutual information and local cross correlation. On the other hand, Miao et al. [172] developed CNN regressors to directly evaluate the registration transformation parameters. In addition to these, image generation and enhancement techniques have been discussed in [173], [174].

5.5. *Power Systems*

Artificial Neural Networks (ANN) have rapidly gained popularity among power system researchers [175]. Since their introduction to the power systems area in 1988 [176], numerous applications of ANN to problems of electric power systems have been proposed. However, the recent developments of Deep Learning (DL) methods have resulted into powerful tools that can handle large datasets and often outperform traditional machine learning methods in problems related to the power sector [177]. For this reason, currently deep architectures are receiving the attention of researchers in power industry applications. Here, we will focus on describing some approaches of deep ANN architectures applied on three basic problems of the power industry, i.e. load forecasting and prediction of the power output of wind and solar energy systems.

Load forecasting is one of the most important tasks for the efficient power system's operation. It allows the system operator to schedule spinning reserve

1019 allocation, decide for possible interchanges with other utilities and assess sys-
 1020 tem's security [178]. A small decrease in load forecasting error may result in sig-
 1021 nificant reduction of the total operation cost of the power system [179]. Among
 1022 the Artificial Intelligence techniques applied for load forecasting, methods based
 1023 on ANN have undoubtedly received the largest share of attention [180]. A basic
 1024 reason for their popularity lies on the fact that ANN techniques are well-suited
 1025 for energy forecast [181]; they may obtain adequate estimations in cases where
 1026 data is incomplete [182] and can consistently deal with complex non-linear prob-
 1027 lems [183]. Park et al. [184], was one of the first approaches for applying ANN in
 1028 load forecasting. The efficiency of the proposed Multi-layer Perceptron (MLP)
 1029 was demonstrated by benchmarking it against a numerical forecasting method
 1030 frequently used by utilities. As an evolution of ANN forecasting techniques, DL
 1031 methods are expected to increase the prediction accuracy by allowing higher
 1032 levels of abstraction [185]. Thus, DL methods are gradually gain increased pop-
 1033 ularity due to their ability to capture data behaviour when considering complex
 1034 non-linear patterns and large amounts of data. In [186], an end-to-end model
 1035 based on deep residual neural networks is proposed for hourly load forecasting
 1036 of a single day. Only raw data of past load and temperature were used as in-
 1037 puts of the model. Initially, the inputs of the model are processed by several
 1038 fully connected layers to produce preliminary forecast. These forecasts are then
 1039 passed through a deep neural network structure constructed by residual blocks.
 1040 The efficiency of the proposed model was demonstrated on data-sets from the
 1041 North-American Utility and ISO-NE. In [187], a Long Short Term Memory
 1042 (LSTM)-based neural network has been proposed for short and medium term
 1043 load forecasting. In order to optimize the effectiveness of the proposed approach,
 1044 Genetic Algorithm is used to find the optimal values for the time lags and the
 1045 number of layers of the LSTM model. The efficient performance of the pro-
 1046 posed structure was verified using electricity consumption data of the France
 1047 Metropolitan. Mocanu et al. [185] utilized two deep learning approaches based
 1048 on Restricted Boltzman Machines (RBM), i.e. conditional RBM and factored
 1049 conditional RBM, for single-meter residential load forecasting. The method was

1050 benchmarked against several shallow ANN architectures and a Support Vector
 1051 Machine approach, demonstrating increased efficiency compared to the compet-
 1052 ing methods. Dedinec et al. [188] employed a Deep Belief Network (DBN) for
 1053 short term load forecasting of the Former Yugoslavian Republic of Macedonia.
 1054 The proposed network comprised several stacks of RBM, which were pre-trained
 1055 layer-wise. Rahman et al. [189] proposed two models based on the architec-
 1056 ture of Recurrent Neural Networks (RNN) aiming to predict the medium and
 1057 long term electricity consumption in residential and commercial buildings with
 1058 one-hour resolution. The approach has utilized a MLP in combination with a
 1059 LSTM based model using an encoder-decoder architecture. A model based on
 1060 LSTM-RNN framework with appliance consumption sequences for short term
 1061 residential load forecasting has been proposed in [190]. The researchers have
 1062 showed that their method outperforms other state-of-the-art methods for load
 1063 forecasting. In [191] a Convolutional Neural Network (CNN) with k-means clus-
 1064 tering has been proposed. K-means is used to partition the large amount of data
 1065 into clusters, which are then used to train the networks. The method has shown
 1066 improved performance compared to the case where the k-means has not been
 1067 engaged.

1068 The utilization of DL techniques for modelling and forecasting in systems
 1069 of renewable energy is progressively increasing. Since the data in such systems
 1070 are inherently noisy, they may be adequately handled with ANN architectures
 1071 [192]. Moreover, because renewable energy data is complicated in nature, shal-
 1072 low learning models may be insufficient to identify and learn the corresponding
 1073 deep non-linear and non-stationary features and traits [193]. Among the various
 1074 renewable energy sources, wind and solar energy have gained more popularity
 1075 due to their potential and high availability [194]. As a result, in recent years
 1076 the research endeavours have been focused on developing DL techniques for the
 1077 problems related to the deployment of the aforementioned renewable energy
 1078 sources.

1079 Photovoltaic (PV) energy has received much attention, due to its many ad-
 1080 vantages; it is abundant, inexhaustible and clean [195]. However, due to the

1081 chaotic and erratic nature of the weather systems, the power output of PV en-
 1082 ergy systems is intermittent, volatile and random [196]. These uncertainties may
 1083 potentially degrade the real-time control performance, reduce system economics,
 1084 and thus pose a great challenge for the management and operation of electric
 1085 power and energy systems [197]. For these reasons, the accuracy of forecast-
 1086 ing of PV power output plays a major role in ensuring optimum planning and
 1087 modelling of PV plants. In [193] a deep neural network architecture is proposed
 1088 for deterministic and probabilistic PV power forecasting. The deep architecture
 1089 for deterministic forecasting comprises a Wavelet Transform and a deep CNN.
 1090 Moreover, the probabilistic PV power forecasting model combines the determin-
 1091 istic model and a spine Quantile Regression (QR) technique. The method has
 1092 been evaluated on historical PV power data-sets obtained from two PV farms
 1093 in Belgium, exhibiting high forecasting stability and robustness. In Gensler et
 1094 al. [198], several deep network architectures, i.e. MLP, LSTM networks, DBN
 1095 and Autoencoders, have been examined with respect to their forecasting accu-
 1096 racy of the PV power output. The performance of the methods is validated on
 1097 actual data from PV facilities in Germany. The architecture that has exhibited
 1098 the best performance is the Auto-LSTM network, which combines the feature
 1099 extraction ability of the Autoencoder with the forecasting ability of the LSTM.
 1100 In [199] an LSTM-RNN is proposed for forecasting the output power of solar
 1101 PV systems. In particular, the authors examine five different LSTM network
 1102 architectures in order to obtain the one with the highest forecasting accuracy at
 1103 the examined data-sets, which are retrieved from two cities of Egypt. The net-
 1104 work, which provided the highest accuracy is the LSTM with memory between
 1105 batches.

1106

1107 With the advantages of non-pollution, low costs and remarkable benefits of
 1108 scale, wind power is considered as one of the most important sources of en-
 1109 ergy [200]. ANN have been widely employed for processing large amounts of
 1110 data obtained from data acquisition systems of wind turbines [201]. In recent
 1111 years, many approaches based on DL architectures have been proposed for the

1112 prediction of the power output of wind power systems. In [202], a deep neu-
 1113 ral network architecture is proposed for deterministic wind power forecasting,
 1114 which combines CNN and LSTM networks. The results of the model are fur-
 1115 ther analyzed and evaluated based on the wind power forecasting error in order
 1116 to perform probabilistic forecasting. The method has been validated on data
 1117 obtained from a wind farm in China; it has managed to perform better com-
 1118 pared to other statistical methods, i.e. ARIMA and persistence method, as
 1119 well as artificial intelligence based techniques in deterministic and probabilistic
 1120 wind power forecasting. Wang et al. [203] proposed a wind power forecast-
 1121 ing method based on Wavelet Transform, CNN and ensemble technique. Their
 1122 method was compared with the persistence method and two shallow ANN archi-
 1123 tectures, i.e. Back-Propagation ANN (BPANN) and Support Vector Machine,
 1124 on data sets collected from wind farms in China. The results validate that their
 1125 method outperforms the benchmark approaches in terms of reliability, sharp-
 1126 ness and overall skill. In [204] a DBN model in conjunction with the k-means
 1127 clustering algorithm is proposed for wind power forecasting. The proposed ap-
 1128 proach demonstrated significantly increased forecasting accuracy compared to a
 1129 BPANN and a Morlet wavelet neural network on data-sets obtained from a wind
 1130 farm in Spain. A data-driven multi-model wind forecasting methodology with
 1131 deep feature selection is proposed in [205]. In particular, a two layer ensem-
 1132 ble technique is developed; the first layer comprises multiple machine learning
 1133 models, which generate individual forecasts. In the second layer a blended algo-
 1134 rithm is utilized to merge the forecasts derived during the first stage. Numerical
 1135 results validate the efficiency of the proposed methodology compared to models
 1136 employing a single algorithm. Finally, in [206] an approach is proposed for wind
 1137 power forecasting, which combines deep Autoencoders, DBN and the concept
 1138 of transfer learning. The method is tested on data-sets containing power mea-
 1139 surement and meteorological forecast related to components of wind, obtained
 1140 from wind farms in Europe. Moreover, it is compared to commonly used base-
 1141 line regression models, i.e. ARIMA and Support Vector Regressor, and derives
 1142 better results in terms of MAE, RMSE and SDE compared to the benchmark

1143 algorithms.

1144 6. Discussions

1145 In this paper we presented several Deep Learning architectures starting from
1146 the foundational architectures up to the recent developments covering the as-
1147 pect of their modifications and evolution over time as well as applications to
1148 specific domains. We discussed the blend of swarm intelligence in Deep Learn-
1149 ing approaches and how the influence of one enriches other when applied to real
1150 world problems. The vastly growing use of deep learning architectures specially
1151 in safety critical systems brings us to the question, how reliable the architectures
1152 are in providing decisions even in presence of adversarial scenarios. To address
1153 this, we started by giving an overview of testing neural network architectures,
1154 various methods for adversarial test generation as well as countermeasures to be
1155 adopted against adversarial examples. Next we moved on to specific applications
1156 of deep learning including Medical Imaging, Prognostics and Health Manage-
1157 ment, Applications in Financial Services, Financial Time Series Forecasting and
1158 lastly the applications in Power Systems.

1159 In conclusion, we highlight a few open areas of research and elaborate on
1160 some of the existing lines of thoughts and studies in addressing challenges that
1161 lie within.

- 1162 • **Challenges with scarcity of data:** With growing availability of data as
1163 well as powerful and distributed processing units Deep Learning architec-
1164 tures can be successfully applied to major industrial problems. However,
1165 deep learning is traditionally big data driven and lacks efficiency to learn
1166 abstractions through clear verbal definitions [213] if not trained with bil-
1167 lions of training samples. Also the large reliance on Convolutional Neural
1168 Networks(CNNs) especially for video recognition purposes could face ex-
1169 ponential inefficiency leading to their demise [214] which can be avoided
1170 by capsules [215] capturing critical spatial hierarchical relationships more

efficiently than CNNs with lesser data requirements. To make DL work with smaller available data sets, some of the approaches in use are data augmentation, transfer learning, recursive classification techniques as well as synthetic data generation. One shot learning [216] is also bringing new avenues to learn from very few training examples which has already started showing progress in language processing and image classification tasks. More generalized techniques are being developed in this domain to make DL models learn from sparse or fewer data representations is a current research thrust.

- **Adopting unsupervised approaches:** A major thrust is towards combining deep learning with unsupervised learning methods. Systems developed to set their own goals [213] and develop problem-solving approaches in its way towards exploring the environment are the future research directions surpassing supervised approaches requiring lots of data apriori. So, the thrust of AI research including Deep Learning is towards Meta Learning, i.e., learning to learn which involves automated model designing and decision making capabilities of the algorithms. It optimizes the ability to learn various tasks from fewer training data[217].

- **Influence of cognitive neuroscience:** Inspiration drawn from cognitive neuroscience, developmental psychology to decipher human behavioral pattern are able to bring major breakthrough in applications such as enabling artificial agents learn about spatial navigation on their own which comes naturally to most living beings [218].

- **Neural networks and reinforcement learning:** Meta-modeling approaches using Reinforcement Learning(RL) are being used for designing problem specific Neural Network architectures. In [219] the authors introduced MetaQNN, a RL based meta-modeling algorithm to automatically generate CNN architectures for image classification by using Q-learning

1199 [220] with ϵ greedy exploration. AlphaGo, the computer program built
1200 combining reinforcement learning and CNN for playing the game ‘Go’
1201 achieved a great success by beating human professional ‘Go’ players. Also
1202 deep convolutional neural networks can work as function approximators
1203 to predict ‘Q’ values in a reinforcement learning problem. So, a major
1204 thrust of current research is on superposition of neural networks and re-
1205 inforcement learning geared towards problem specific requirements.

1206 This review has aimed at aiding the beginner as well as the practitioner in the
1207 field make informed choices and has made an in-depth analysis of some recent
1208 deep learning architectures as well as an exploratory dissection of some pertinent
1209 application areas. It is the authors’ hope that readers find the material engaging
1210 and informative and openly encourage feedback to make the organization and
1211 content of this article more aligned along the lines of a formal extension of the
1212 literature within the deep learning community.

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