

# TOPOLOGICAL HOLOGRAPHY AND SPACETIME SYMMETRY

*Sal Pace (MIT)*

arXiv:2408.xxxxx



Ömer Aksoy  
(MIT)



Ho Tat Lam  
(MIT)



# A SYMMETRY RENAISSANCE

Our understanding of **global symmetry** in **QFT** and **quantum lattice models** is currently undergoing a dramatic revolution

Higher symmetries

Subsystem symmetry

Non-invertible symmetry

⋮



- Applications in classifying phases of matter, providing selection rules, new 't Hooft anomalies, naturalness, ...  
*[Sungwoo's talk]*
- Topological holography has emerged as a powerful framework for studying/applying (generalized) symmetries  
*[Emily's talk]*

# THIS TALK

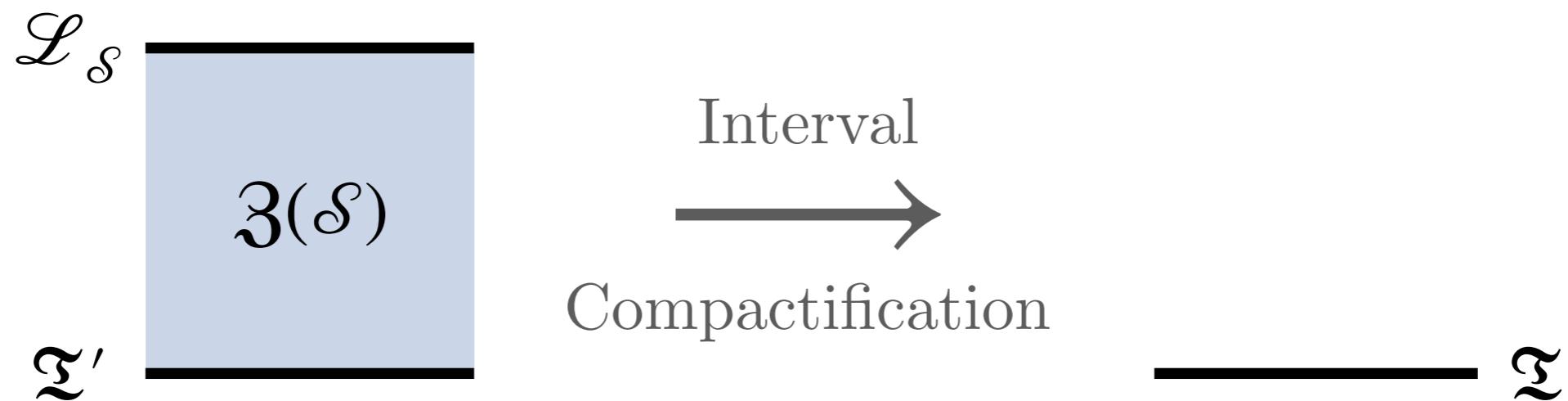
---

- 1) Review topological holography
- Emphasis on simple examples from both field theory and quantum code points of view
- 2) Discuss our proposal for including **spacetime symmetries**
  - 3) Show applications to discrete spatial translation symmetry
- **LSM anomalies** and **dipole symmetries** in topological holography

# TOPOLOGICAL HOLOGRAPHY

---

Given a  $d + 1$ D theory  $\Sigma$  with symmetry  $\mathcal{S}$ , topological holography is a framework for separating the kinematics  $\mathcal{S}$  from the dynamics of  $\Sigma$  using a  $d + 2$ D topological theory  $\mathcal{Z}(\mathcal{S})$



- $\mathcal{L}_{\mathcal{S}}$  is a **boundary** whose defects are described by  $\mathcal{S}$
- $\mathcal{Z}(\mathcal{S})$  is a **topological field theory** called SymTFT
- $\Sigma'$  is a **boundary** realizing the dynamics of  $\Sigma$

# EXAMPLE (FIELD THEORY)

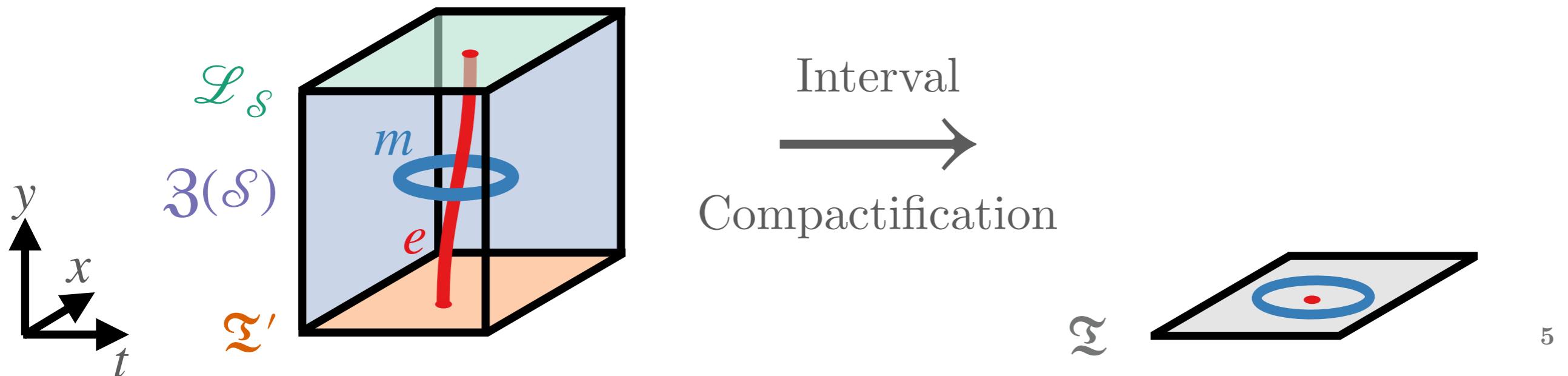
Example:  $\mathcal{S} = \mathbb{Z}_2$  in  $d + 1 = 1 + 1\text{D}$

The SymTFT  $\mathfrak{Z}(\mathcal{S})$  is the  $2 + 1\text{D}$  BF theory  $L = \frac{2i}{2\pi} \epsilon^{\mu\nu\rho} b_\mu \partial_\nu a_\rho$

- Topological defect lines  $e(\gamma) = e^{i \int_\gamma a_\mu dx^\mu}$   $m(\gamma) = e^{i \int_\gamma b_\mu dx^\mu}$

$$\langle e(\gamma_1) m(\gamma_2) \rangle = (-1)^{\text{Link}(\gamma_1, \gamma_2)}$$

- $\mathcal{L}_{\mathcal{S}}$  boundary condition  $a_0 = 0, a_x = 0$  (Dirichlet)



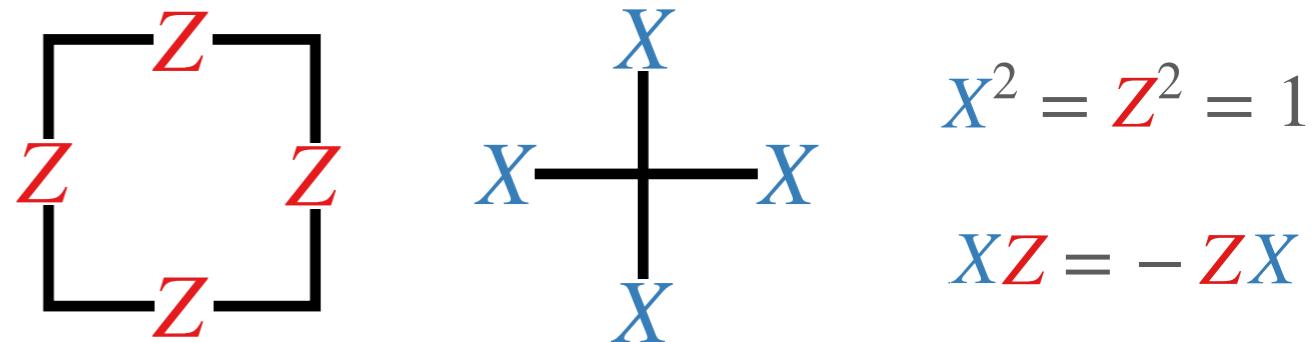
# EXAMPLE (QUANTUM CODE)

Example:  $\mathcal{S} = \mathbb{Z}_2$  in  $d + 1 = 1 + 1\text{D}$

$\mathfrak{Z}(\mathcal{S})$  is the ground state space of  $2 + 1\text{D}$  toric code

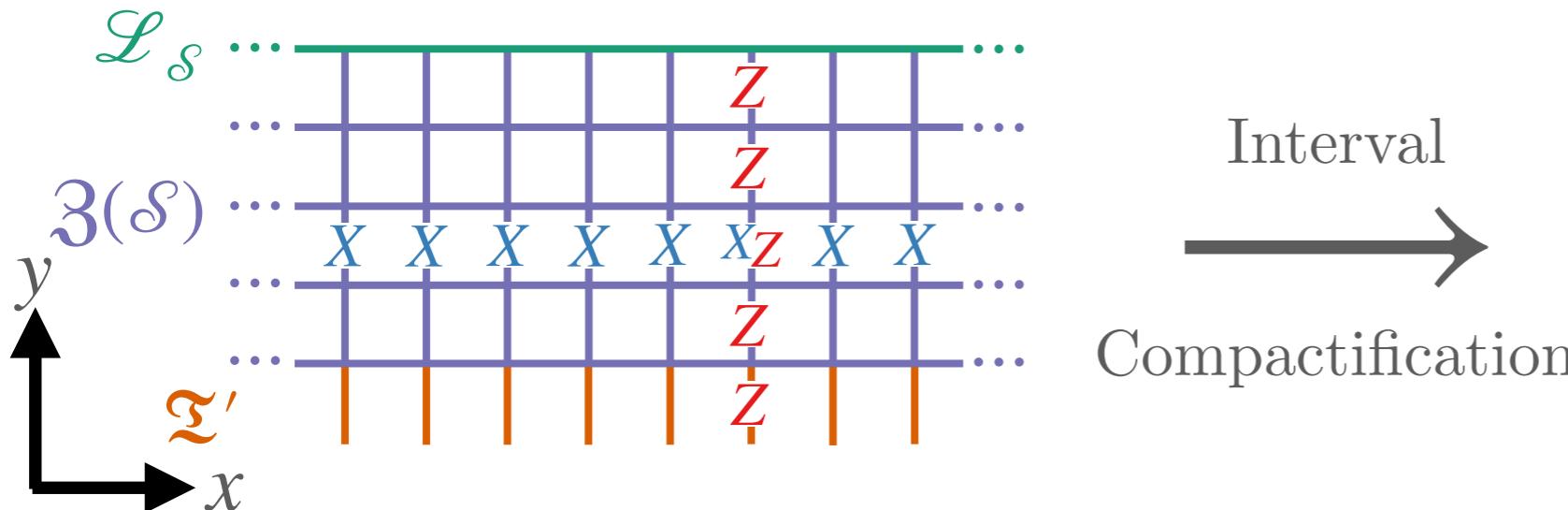
► 1-form symmetry operators

$$e(\gamma) = \prod_{l \in \gamma} Z_l$$

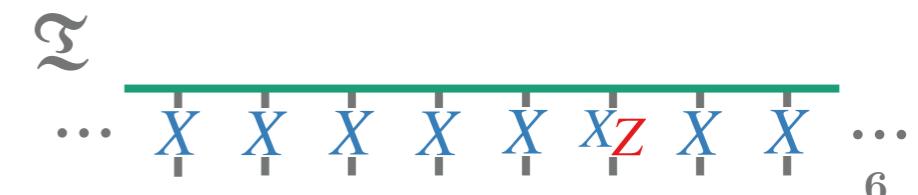


$$m(\gamma^\vee) = \prod_{l \perp \gamma^\vee} X_l$$

►  $\mathcal{L}_{\mathcal{S}}$  is  $Z = 1$  “rough” boundary



Compactification



# TOPOLOGICAL HOLOGRAPHY

---

Topological defects of SymTFT  $\mathfrak{Z}(\mathcal{S})$  label  $\mathcal{S}$ -symmetry sectors  $\mathcal{H}_q^{(s)}$  of  **$s$ -twisted Hilbert space** of  $\Sigma$ :

- $\mathcal{S} = \mathbb{Z}_2$  **example:**  $1 = \mathcal{H}_{\text{id}}^{(0)}$      $e = \mathcal{H}_{\text{sign}}^{(0)}$      $m = \mathcal{H}_{\text{id}}^{(1)}$      $f = \mathcal{H}_{\text{sign}}^{(1)}$
- Discrete gauging implemented by changing  $\mathcal{L}_{\mathcal{S}}$   
*( $\mathcal{S}_1$  and  $\mathcal{S}_2$  related by discrete gauging have the same SymTFT)*

# TOPOLOGICAL HOLOGRAPHY

•  
•  
•

- 1 Kong, Wen, Zheng (2014);  
1 Freed, Teleman (2018);  
1 Ji, Wen (2019);  
3 Lichtman, Thorngren, Lindner, Stern, Berg (2020); Kong, Lan, Wen, Zhang, Zheng (2020); Gaiotto, Kulp (2020);  
1 Apruzzi, Bonetti, Etxebarria, Hosseini, Schafer-Nameki (2021);  
7 Chatterjee, Wen (2022); Apruzzi (2022); Chatterjee, Wen (2022); Moradi, Moosavian, Tiwari (2022); Freed, Moore, Teleman (2022); Kaidi, Ohmori, Zheng (2022); Chatterjee, Ji, Wen (2022);  
18 Kaidi, Nardoni, Zafrir, Zheng (2023); Zhang, Córdova (2023); Lan, Zhou (2023); Bhardwaj, Schafer-Nameki (2023); Chen, Cui, Haghighe, Wang (2023); Apruzzi, Bonetti, Gould, Schafer-Nameki (2023); Bah, Leung, Waddleton (2023); Córdova, Hsin, Zhang (2023); Cao, Jia (2023); SP (2023); Baume, Heckman, Hübner, Torres, Turner, Yu (2023); Huang, Cheng (2023); Wen, Potter (2023); Inamura, Wen (2023); Schuster, Tantivasadakarn, Vishwanath, Yao (2023); Bhardwaj, Bottini, Pajer, Schafer-Nameki (2023); SP, Zhu, Beaudry, Wen (2023); Motamarri, McLauchlan, Béri (2023);  
19 Brennan, Sun (2024); Antinucci, Benini (2024); Bonetti, Del Zotto, Minasian (2024); Apruzzi, Bedogna, Dondi (2024); Del Zotto, Nadir Meynet, Moscrop (2024); Bhardaj, Pajer, Schafer-Nameki, Warman (2024); Argurio, Benini, Bertolini, Galati, Niro (2024); Wen, Ye, Potter (2024); Franco, Yu (2024); Putrov, Radhakrishnan (2024); Chatterjee, Aksoy, Wen (2024); Bhardwaj, Bottini, Schafer-Nameki, Tiwari (2024); Arbalestrier, Arguio, Tizzano (2024); Huang (2024); Bhardwaj, Inamura, Tiwari (2024); Hasan, Meynet, Migliorati (2024); Nardoni, Sacchi, Sela, Zafrir, Zheng (2024); Heckman, Hübner (2024); Ji, Chen (2024)

# INCLUDING SPACETIME SYMMETRIES

---

**Proposal:** incorporate effects from **spacetime symmetries** on  $\mathcal{S}$  by enriching  $\mathfrak{Z}(\mathcal{S})$  with **spacetime symmetries**

- Topological defects of  $\mathfrak{Z}(\mathcal{S})$  can have nontrivial interplay with **spacetime symmetry**
- i.e., a **symmetry enriched** topological order (SET)

**Why?** Suppose  $1 \rightarrow \mathcal{S} \rightarrow \mathcal{S}_{\text{total}} \rightarrow \mathcal{S}_{\text{spacetime}} \rightarrow 1$  in  $d + 1$ D

- Gauging  $\mathcal{S}$  of  $d + 2$ D  $\mathcal{S}$ -SPT gives  $\mathfrak{Z}(\mathcal{S})$
- Gauging  $\mathcal{S}$  of  $d + 2$ D  $\mathcal{S}_{\text{total}}$ -SPT gives  $\mathfrak{Z}(\mathcal{S})$  enriched by  $\mathcal{S}_{\text{spacetime}}$

# DISCRETE TRANSLATIONS

---

Here we explore including 1D discrete spatial translations

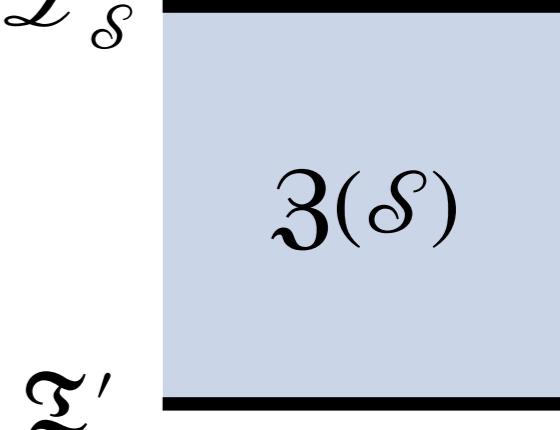
- SymTFT is a 2+1D translation SET

*Wen (2003); Kitaev (2006); Essin, Hermele (2012); Oh, Kim, Moon, Hoon Han (2021); Rao, Sodemann (2021); SP, Wen (2022); Song, Senthil (2022); Delfino, Fontana, Gomes, Chamon (2022); Tam, Venderbos, Kane (2022); Oh, SP, Han, You, Lee (2023); Delfino, Chamon, You (2023)*

- SymTFT is generally only a foliated TFT.  $\mathcal{L}_{\mathcal{S}}$

*Slagle, Aasen, Williamson (2018); Gorantla, Lam, Seiberg, Shao (2020); Ohmori, Shimamura (2022); Spieler (2023); Cao, Jia (2023); Ebisu, Honda, Nakanishi (2023); Ebisu, Honda, Nakanishi (2024)*

Interplay of discrete translations and  $\mathcal{S}$ :



- Modulated symmetry
- Lieb-Schultz-Mattis (LSM) anomalies

⋮

# MODULATED SYMMETRY

---

$\mathcal{S}$  is a (spatially) modulated symmetry if its symmetry transformation is spatially position-dependent

$$\mathcal{S}_{\text{total}} = \langle \mathcal{S} \rtimes_{\varphi} \mathcal{S}_{\text{space}} \rangle \quad \varphi: \mathcal{S}_{\text{space}} \rightarrow \text{Aut}(\mathcal{S})$$

- $\mathcal{S}$  can be a generalized symmetry *Oh, SP, Han, You, Lee (2023)*
- Standard example is 1 + 1D dipole symmetry:

$$[e^{iaQ}, H] = [e^{ibP}, H] = 0 \quad T e^{iP} T^{-1} = e^{iQ} e^{iP}$$

E.g., Lifshitz-type theories

*Griffin, Grosvenor, Horava, Yam (2013); Seiberg (2020); Gorantla, Lam, Seiberg, Shao (2022), ...*

$$L = (\partial_x^2 \varphi)^2 - (\partial_t \varphi)^2 \text{ invariant under } \varphi \rightarrow \varphi + a + b x$$

# LSM ANOMALIES

---

Lieb-Schultz-Mattis (LSM) anomaly is an obstruction to a gapped SPT phase due to an interplay of internal and lattice symmetries

*Lieb, Schultz, Mattis (1961); Oshikawa (1999); Hastings (2003); Hastings (2004); ...  
Yao, Oshikawa (2019)*

- Match 't Hooft anomalies in the continuum

*Cho, Hsieh, Ryu (2017); Cheng, Seiberg (2022); Aksoy, Mudry, Furusaki, Tiwari (2023); Seifnashri (2023)*

Example: LSM anomaly in the 1 + 1D XY model ( $j \sim j + L$ )

$$H = - \sum_j X_j X_{j+1} + Y_j Y_{j+1}$$

- Symmetry operators  $U_x = \prod_j X_j$  and  $U_y = \prod_j Y_j$  obey

$$U_x U_y = (-1)^L U_y U_x$$

# SYMTFT FOR DIPOLE SYMMETRY

---

What is the SymTFT for a 1 + 1D  $\mathbb{Z}_2$  dipole symmetry?

1. Start with trivial  $\mathcal{S}_{\text{total}} = (\mathbb{Z}_2 \times \mathbb{Z}_2) \rtimes \mathbb{Z}_{x\text{-dir}}$  SPT in 2 + 1D
2. Gauge the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  sub-symmetry of  $\mathcal{S}_{\text{total}}$  to get  $\mathfrak{Z}(\mathcal{S})$
3. SymTFT is  $\mathbb{Z}_2 \times \mathbb{Z}_2$  gauge theory nontrivially enriched by  $\mathbb{Z}_{x\text{-dir}}$  translations (symmetry operators form a 2-group)

# SYMTFT (FIELD THEORY)

$\mathfrak{Z}(\mathcal{S})$  is level 2 “ $x$ -dipole” BF theory

- $L = \frac{1}{2} m_2^2 + e_1^2 - \frac{1}{2} a_0^2 - \frac{1}{2} b_0^2 - \frac{1}{2} a_{xx}^2 - \frac{1}{2} b_{xx}^2 - \frac{1}{2} a_y^2 - \frac{1}{2} b_y^2 - \frac{1}{2} a_{xy}^2 - \frac{1}{2} b_{xy}^2 - \frac{1}{2} a_{yx}^2 - \frac{1}{2} b_{yx}^2$
- m<sub>2</sub> and e<sub>1</sub> are modulated 1-form symmetries
  - Under discrete translation  $x \rightarrow x + \Lambda^{-1}$
  - Gauge symmetry
- $m_2 \rightarrow m_1 m_2$   
 $e_1 \rightarrow e_2 e_1$
- $b_0 \sim b_0 + \partial_t f^{(b)}$   
 $b_{xx} \sim b_{xx} + \partial_x^2 f^{(b)}$   
 $b_y \sim b_y + \partial_y f^{(b)}$
- Topological defect lines

$$m_1(C) = e^{i\Lambda^{-1} \int_C [b_{xx}]dx + [\partial_x b_y]dy + [\partial_x b_0]dt}$$

$$e_2(C) = e^{i\Lambda^{-1} \int_C [a_{xx}]dx + [\partial_x a_y]dy + [\partial_x a_0]dt}$$

$$m_2(C) = e^{i \int_C [xb_{xx}]dx + [x\partial_x b_y - b_y]dy + [x\partial_x b_0 - b_0]dt}$$

$$e_1(C) = e^{i \int_C [xa_{xx}]dx + [x\partial_x a_y - a_y]dy + [x\partial_x a_0 - a_0]dt}$$

# SYMTFT AS A FOLIATED TFT

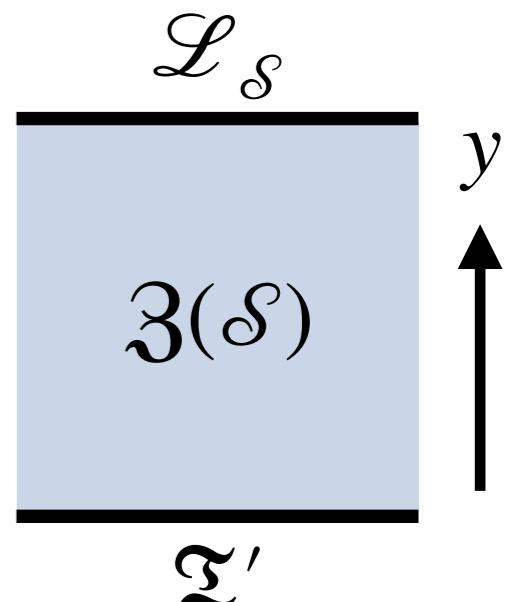
---

$\mathcal{Z}(\mathcal{S})$  is level 2 “ $x$ -dipole” BF theory

$$L = \frac{2i}{2\pi\Lambda} \left( b_y \partial_t a_{xx} + a_y \partial_t b_{xx} + a_0 (\partial_x^2 b_y - \partial_y b_{xx}) - b_0 (\partial_x^2 a_y - \partial_y a_{xx}) \right)$$

This is a **foliated TQFT**

- No local dof, only defect lines
- All defects are **topological** in the  $y, t$  directions
- Not all defects are **topological** in  $x$ -direction  
(i.e.,  $e^{i\oint a_y dy + a_0 dt}$  and  $e^{i\oint b_y dy + b_0 dt}$ )



Can be constructed by gauging the foliated  $\mathbb{Z}_2 \times \mathbb{Z}_2$  SPT

$$L = \frac{2i}{2\pi} A \wedge B \wedge dx$$

# DIPOLE BOUNDARY (FIELD THEORY)

---

Dipole symmetry boundary  $\mathcal{L}_{\mathcal{S}}$ :

- Gauge fields satisfy Dirichlet boundary condition

$$a_0 = 0 \quad a_{xx} = 0$$

Topological defects  $e_i$  and  $m_i$  on this boundary become

$$m_1(C) = e^{i\Lambda^{-1} \int_C [b_{xx}] dx + [\partial_x b_0] dt} \quad m_2(C) = e^{i \int_C [xb_{xx}] dx + [x\partial_x b_0 - b_0] dt}$$

$$e_2(C) = 1 \quad e_1(C) = 1$$

- Discrete translations  $T: x \rightarrow x + \Lambda^{-1}$  causes

$$(m_1, m_2) \rightarrow (m_1, m_1 m_2)$$

# LSM BOUNDARY (FIELD THEORY)

LSM symmetry boundary  $\mathcal{L}_{\mathcal{S}}$ :

- Introduce boundary fields  $\tilde{a}_x \sim \tilde{a}_x + \partial_x f^{(a)}$ ,  $\tilde{b}_x \sim \tilde{b}_x + \partial_x f^{(b)}$
- Boundary conditions:

$$\partial_x a_0 = \partial_t \tilde{a}_x$$

$$\partial_x b_0 = \partial_t \tilde{b}_x$$

$$a_{xx} = \partial_x \tilde{a}_x$$

$$b_{xx} = \partial_x \tilde{b}_x$$

Topological defects  $e_i$  and  $m_i$  on this boundary become

$$m_1(C) = 1$$

$$m_2(C) = e^{-i \int_C \tilde{b}_x dx + b_0 dt}$$

$$e_2(C) = 1$$

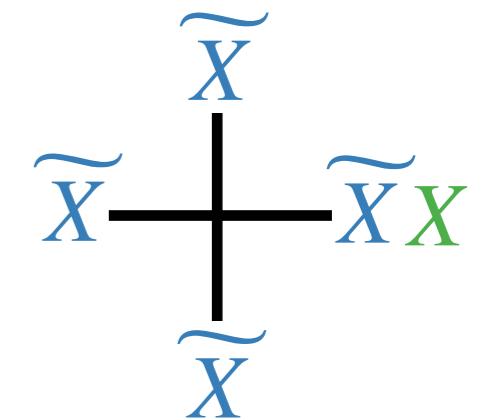
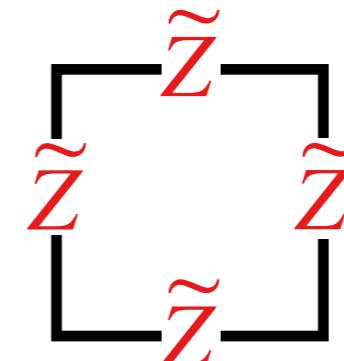
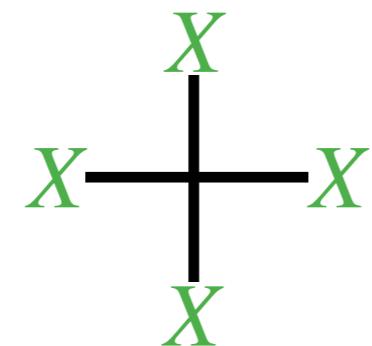
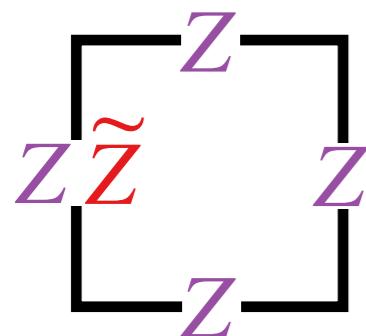
$$e_1(C) = e^{-i \int_C \tilde{a}_x dx + a_0 dt}$$

- Using this  $\mathcal{L}_{\mathcal{S}}$ , there are no translation-preserving gapped SPT phases (follows from  $e_1$  and  $m_2$  being modulated)

# SYMTFT (QUANTUM CODE)

$\mathcal{Z}(\mathcal{S})$  is stabilizer code with two qubits on each link of the square lattice

► Stabilizers:



1-form symmetry operators

$$m_1(\gamma^\vee) = \prod_{(\mathbf{r}, i) \perp \gamma^\vee} X_{\mathbf{r}, i}$$

$$m_2(\gamma^\vee) = \prod_{(\mathbf{r}, i) \subset \gamma^\vee} \left[ \tilde{X}_{\mathbf{r}, y} X_{\mathbf{r}, y}^{x-1} \right] \delta_{i,y} + \left[ \tilde{X}_{\mathbf{r}, x} X_{\mathbf{r}, x}^x \right] \delta_{i,x}$$

$$e_2(\gamma) = \prod_{(\mathbf{r}, i) \subset \gamma} \tilde{Z}_{\mathbf{r}, i}$$

$$e_1(\gamma) = \prod_{(\mathbf{r}, i) \in \gamma} \left[ Z_{\mathbf{r}, x} \tilde{Z}_{\mathbf{r}, x}^x \right] \delta_{i,x} + \left[ Z_{\mathbf{r}, y} \tilde{Z}_{\mathbf{r}, y}^{x-1} \right] \delta_{i,y}$$

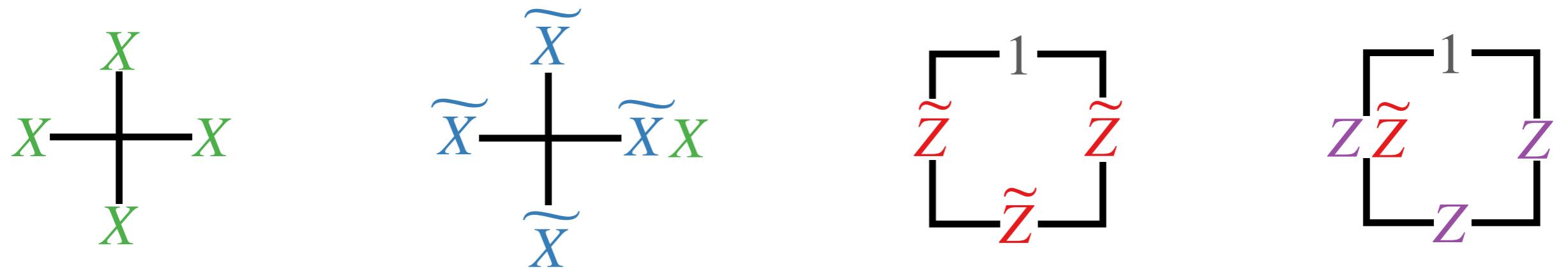
$$X^2 = Z^2 = \tilde{X}^2 = \tilde{Z}^2 = 1$$

$$XZ = -ZX$$

$$\tilde{X}\tilde{Z} = -\tilde{Z}\tilde{X}$$

# DIPOLE BOUNDARY (QUANTUM CODE)

Dipole symmetry boundary stabilizers (rough boundary)



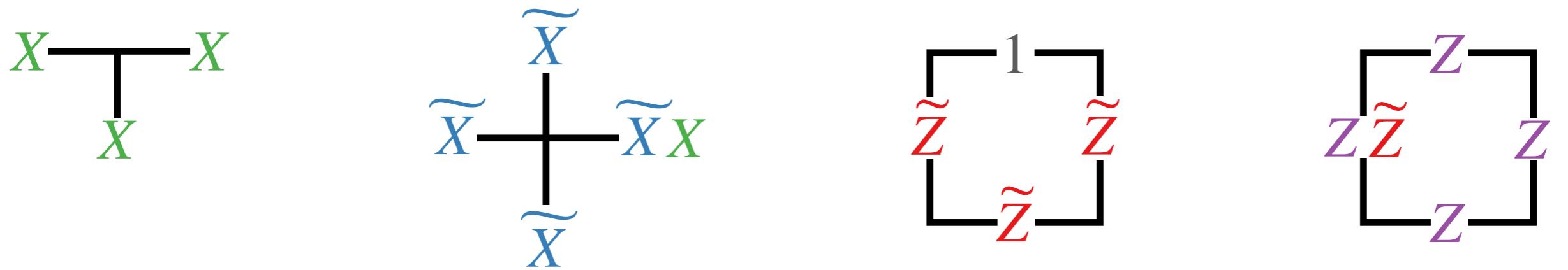
On this **symmetry boundary**, the 1-form symmetry operators become

$$e_1 = e_2 = 1 \quad m_1 = \prod_{j=1}^{L_x} X_{(j, L_y - 1), y} \quad m_2 = \prod_{j=1}^{L_x} \widetilde{X}_{(j, L_y - 1), y} X_{(j, L_y - 1), y}^{j-1}$$

- Satisfies  $T m_2 T^{-1} = m_1 m_2 \implies$  **dipole symmetry**

# LSM BOUNDARY (QUANTUM CODE)

LSM symmetry boundary stabilizers (boundary dof: qubits)



On this **symmetry boundary**, the 1-form symmetry operators become

$$m_1 = e_2 = 1$$

$$e_1 = \prod_{j=1}^{L_x} Z_{(j, L_y), x}$$

$$m_2 = \prod_{j=1}^{L_x} \widetilde{X}_{(j, L_y - 1), y} X_{(j, L_y), x}$$

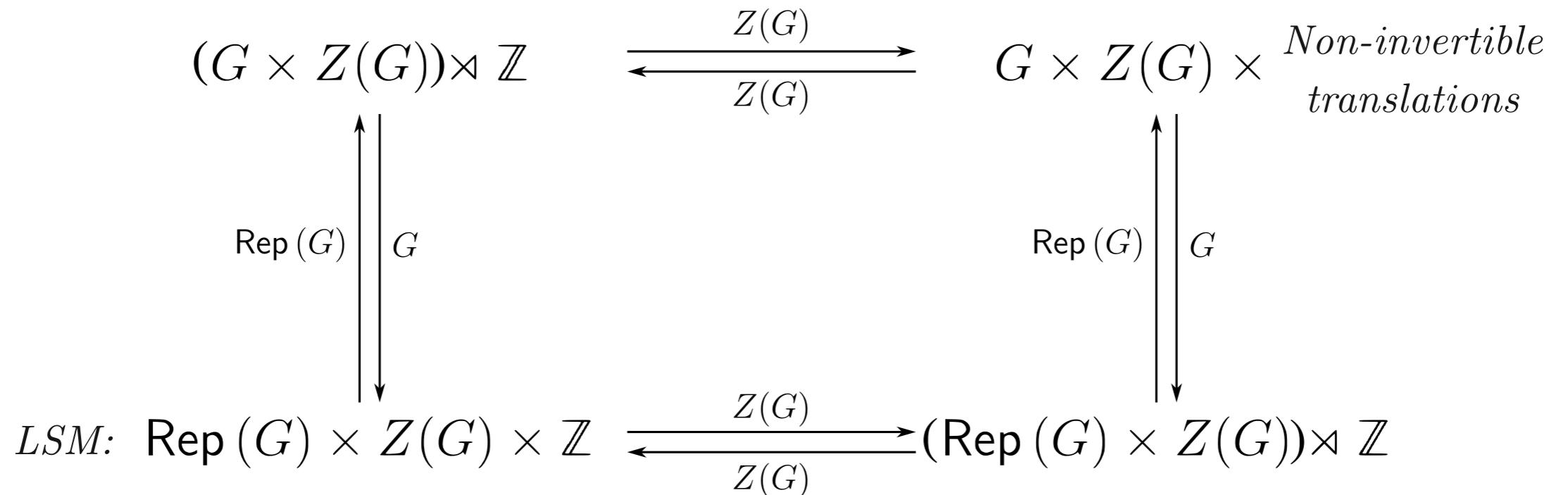
- Satisfies  $e_1 m_2 = (-1)^{L_x} m_2 e_1 \implies$  LSM anomaly

# GENERAL FINITE GROUP $G$

---

More generally, we construct the SymTFT for a modulated  $G \times Z(G)$  symmetry

## Gauging web



- Non-invertible symmetry with LSM anomaly
- Non-invertible modulated symmetry

# THANKS FOR LISTENING :-)

---

Two open questions:

- What about other **spacetime symmetries** and different types of symmetry enrichment for the SymTFT
- What is the general relationship between **foliated SPTs** (a.k.a. weak SPTs) and **foliated SymTFTs** for modulated symmetries?

