# CS2040S Tutorial 5

## **Tutorial Time**

Slides for tutorials are taken and adapted from Christian

Discuss the trade-offs of using AVL and trie to store strings.

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Trade-off: time complexity, space complexity

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- Trie: O(L)

Space complexity:

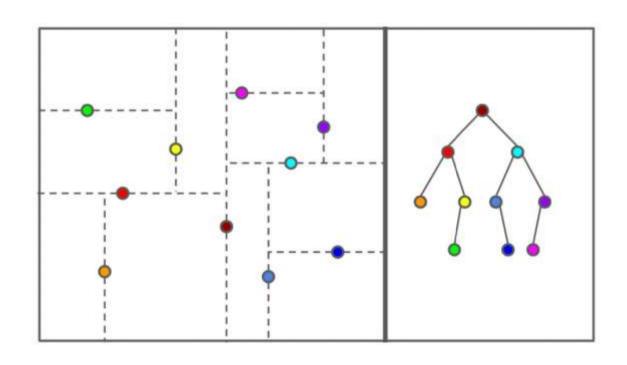
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Time complexity for insert, delete, and find a word with length L to a collection of N words:

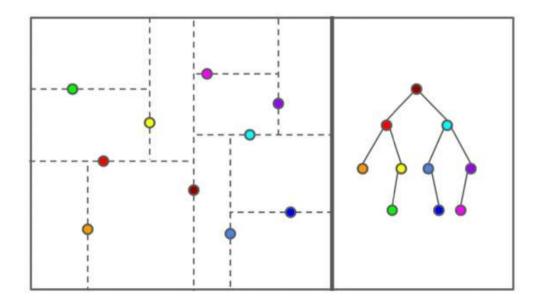
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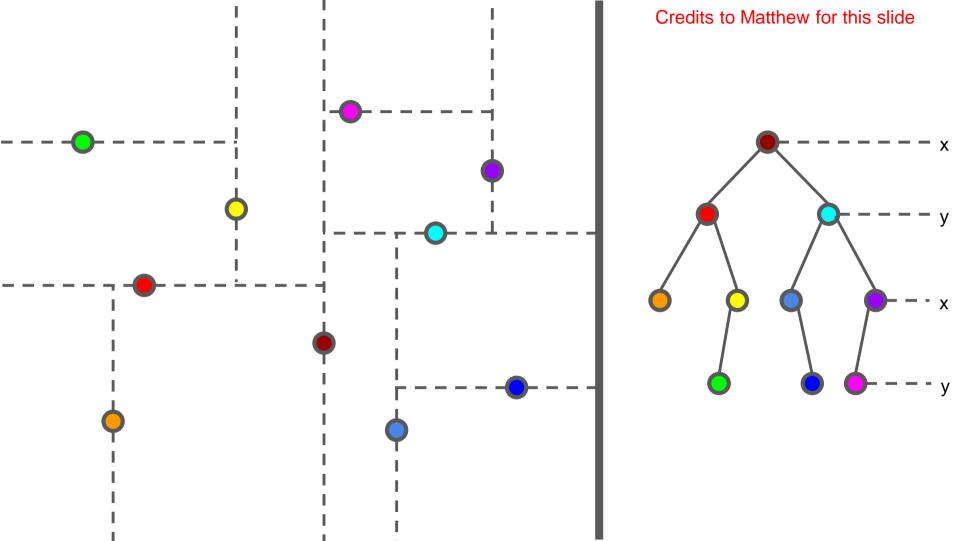
#### Space complexity:

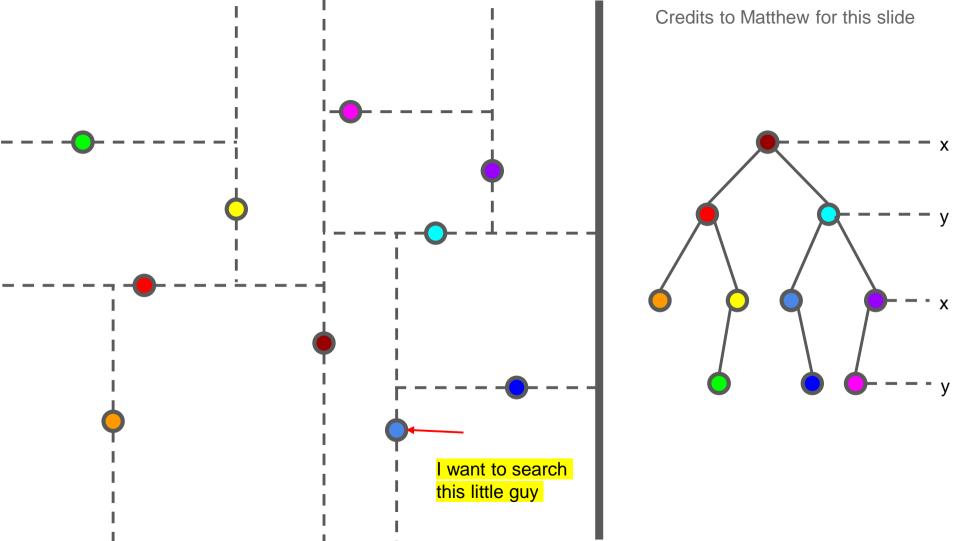
- AVL Tree: O(total\_string\_length)
- Trie: O(total\_string\_length)
- Trie tends to have more overhead cost (more nodes and edges)

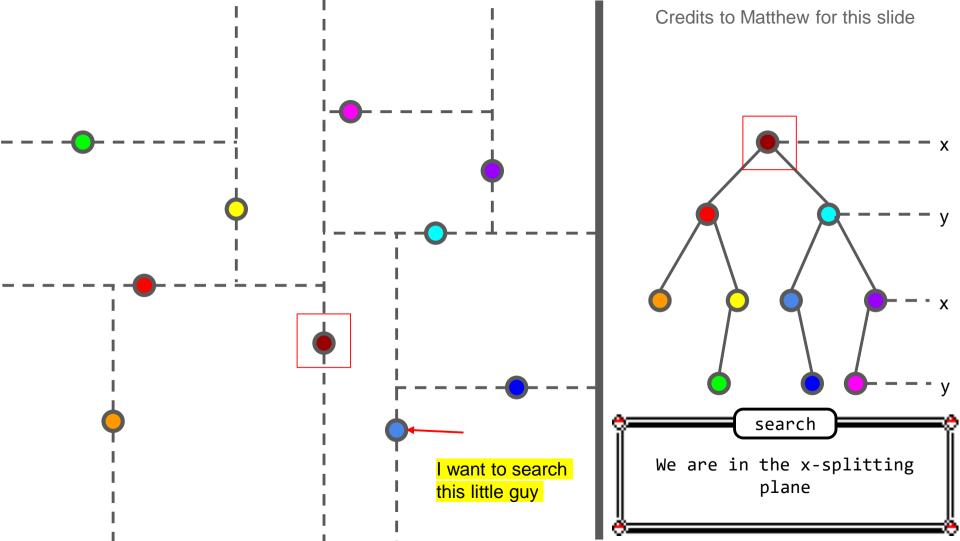


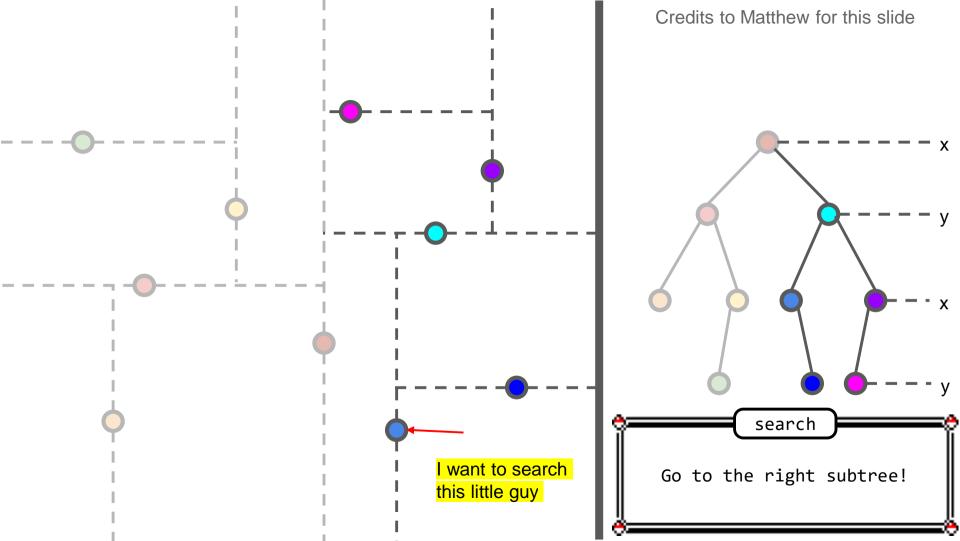
How do you search for a point in a kd-tree? What is the running time?

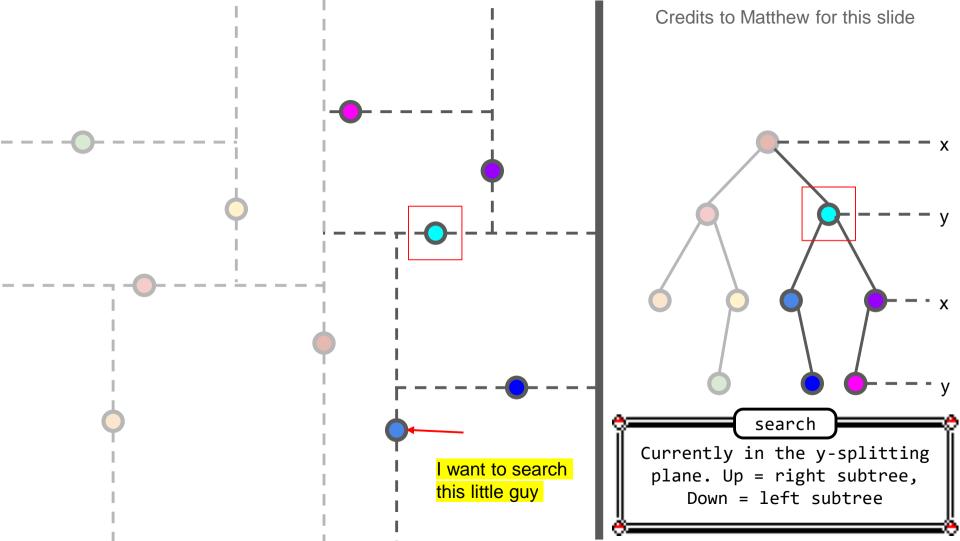


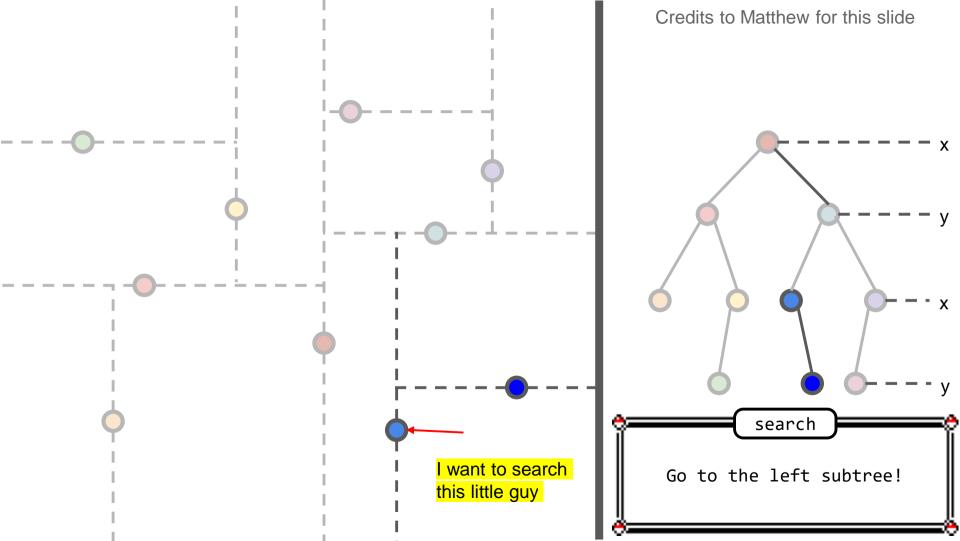


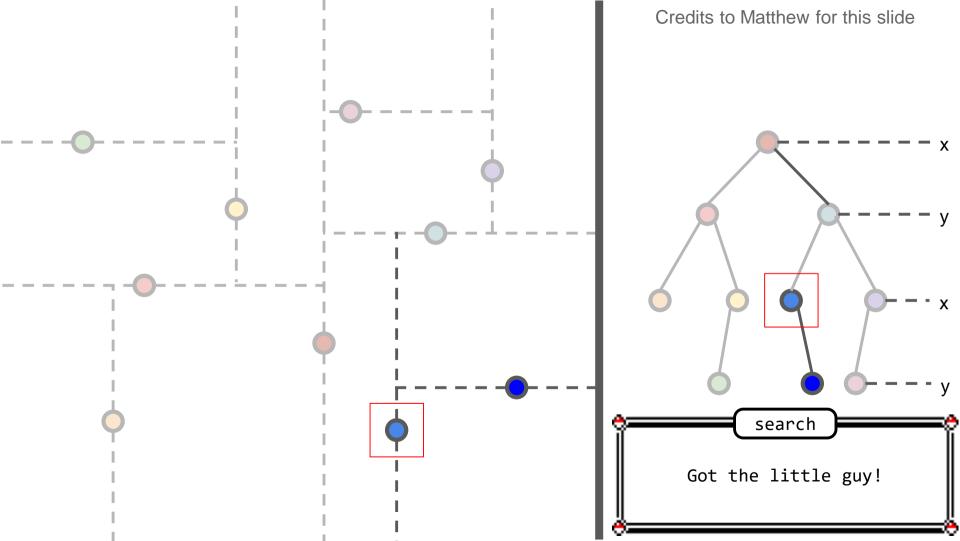












What is the running time?

What is the running time?

- O(h), height of the tree

Note: kd-tree is not necessarily balanced. That's the goal of 2b!

You are given an (unordered) array of points. What would be a good way to build a kd-tree? Think about what would keep the tree nicely balanced. What is the running time of the construction algorithm?

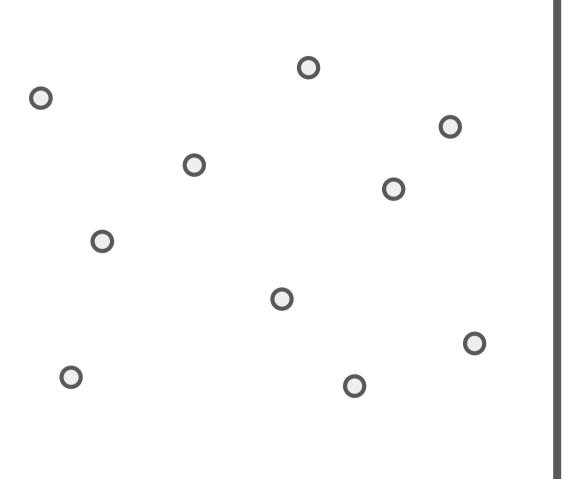
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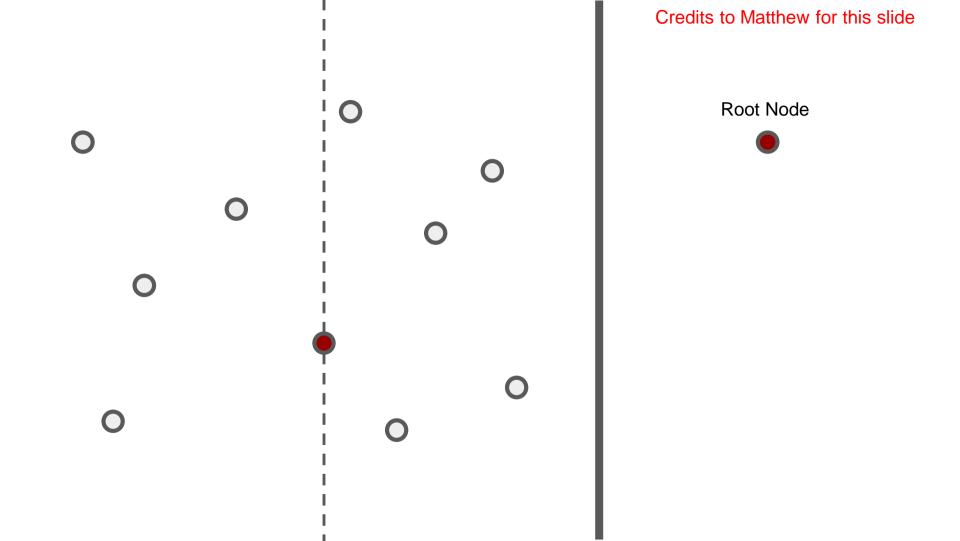
- Find median element in x as root for the first level. Then build left tree and right tree
- Find median element in y as root for the second level. Then build left tree and right tree.

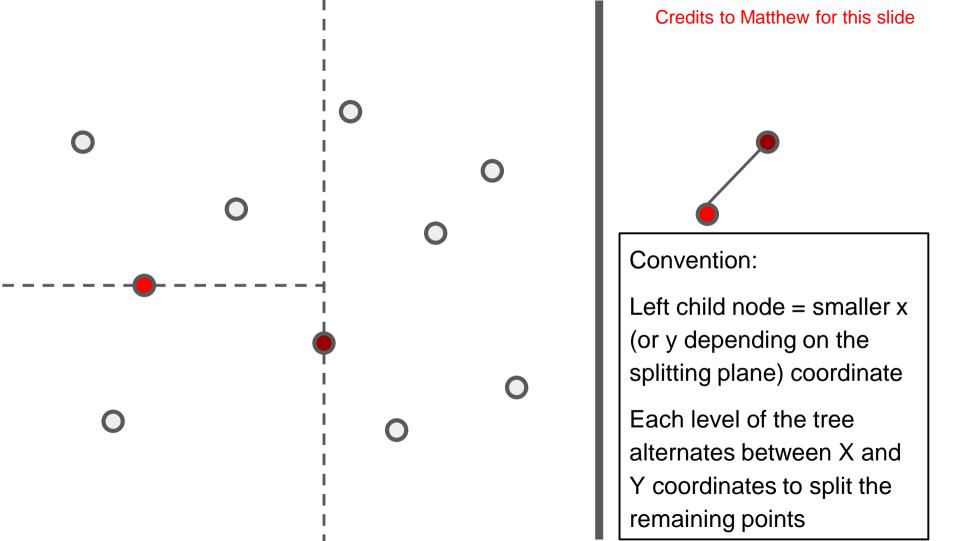
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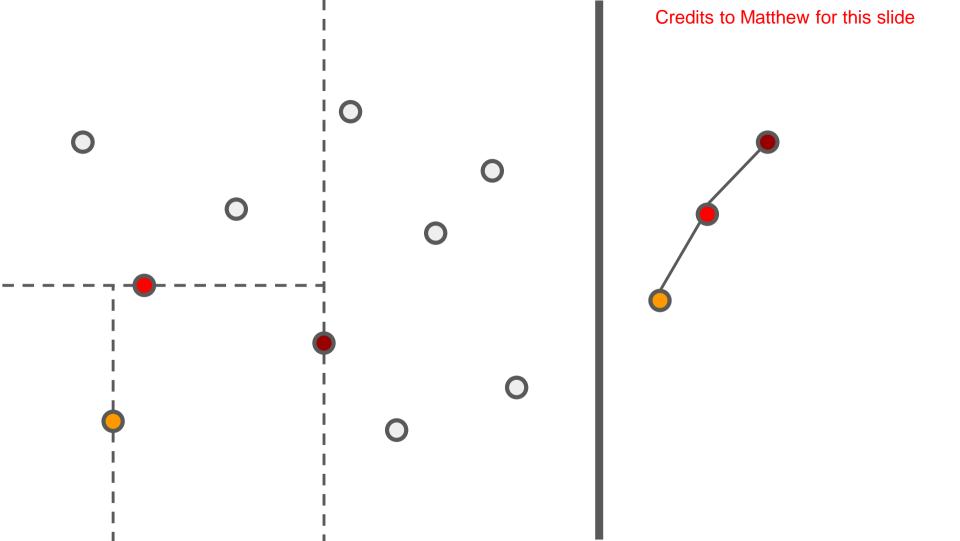
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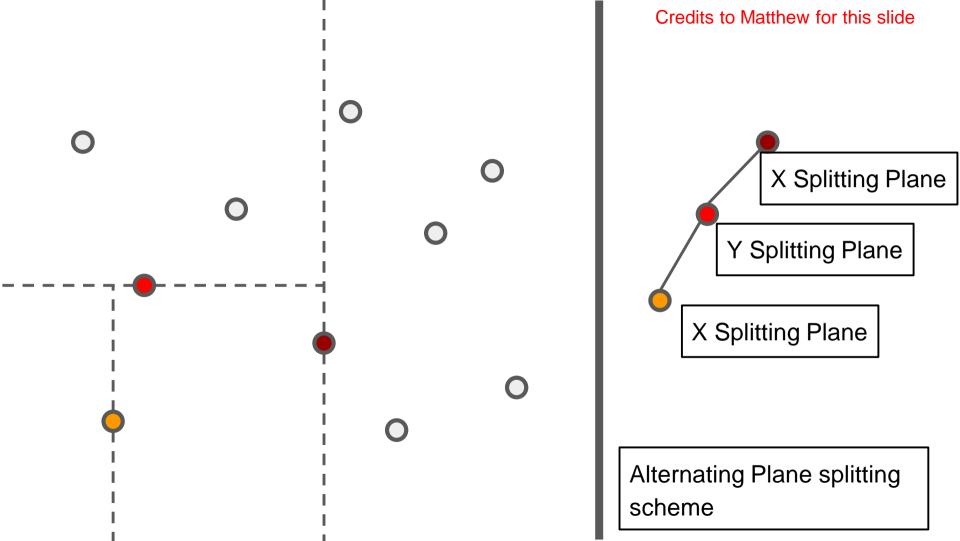
How fast can we find median? What are our options?

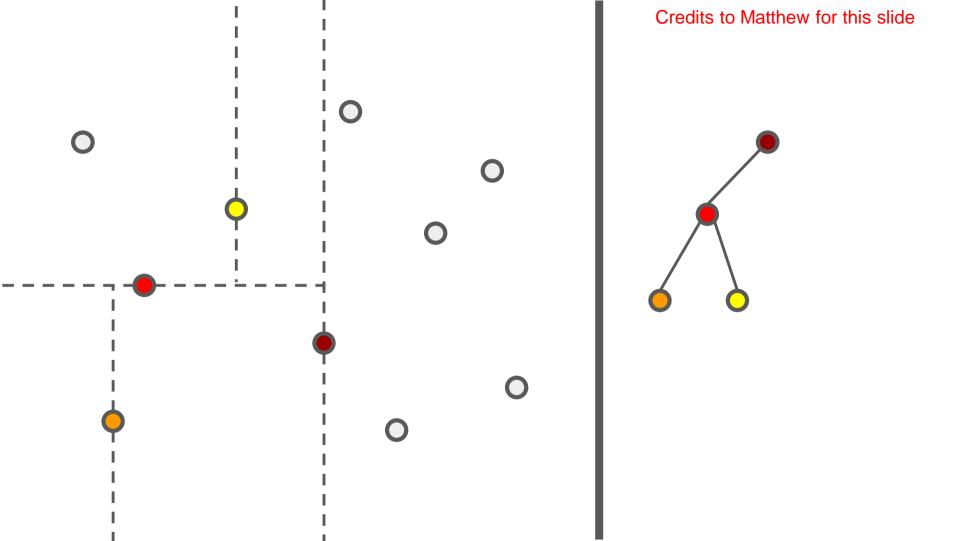


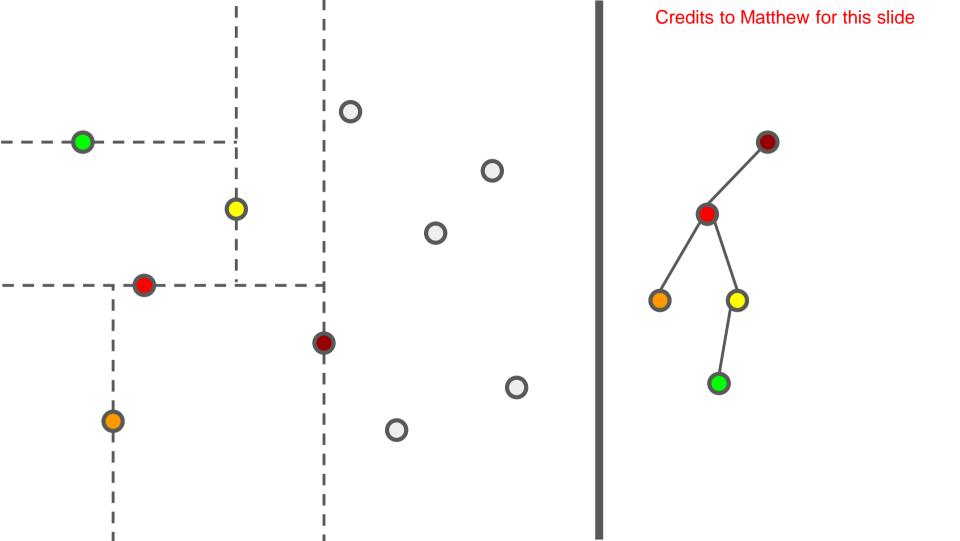


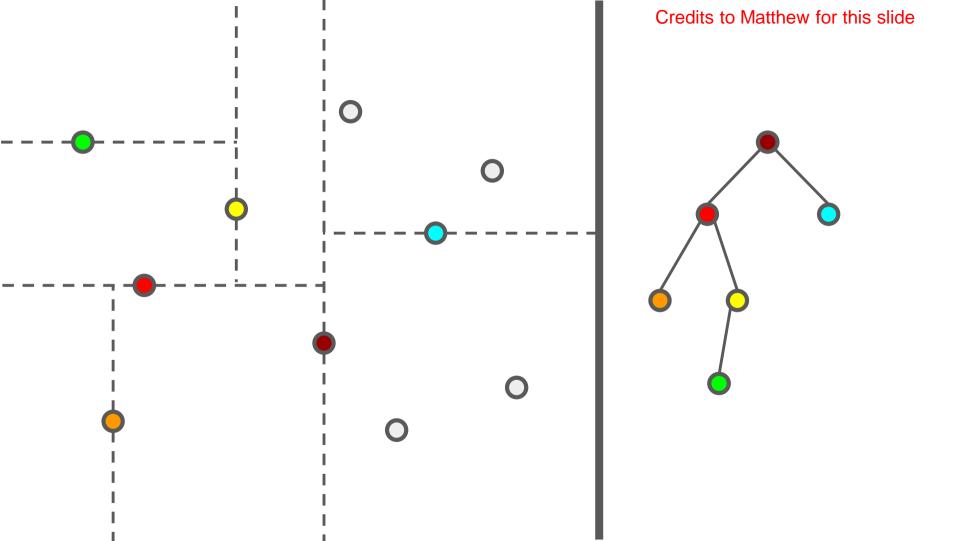


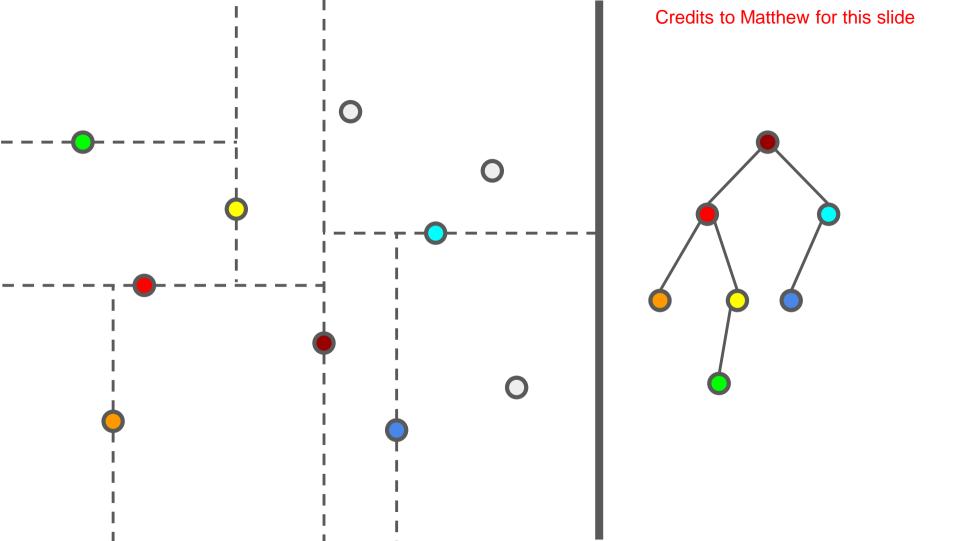


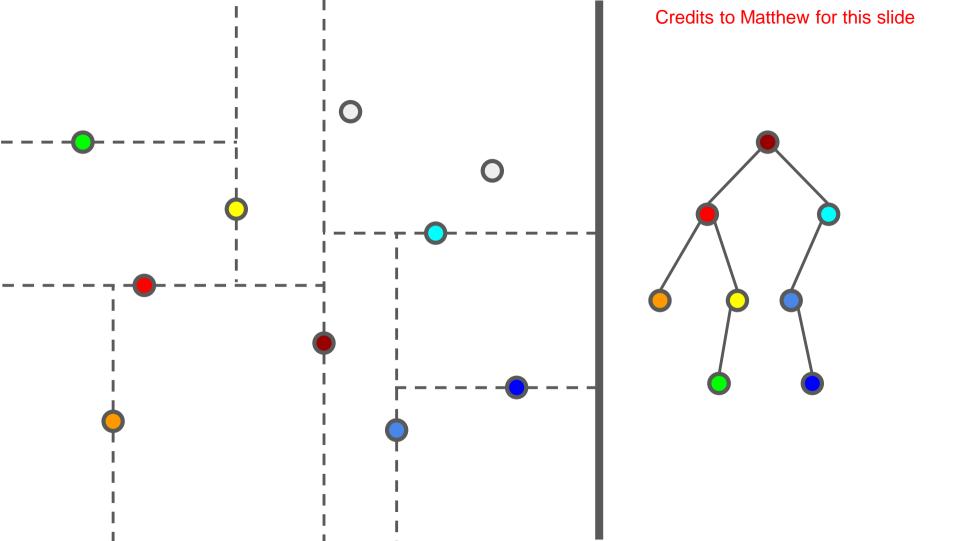


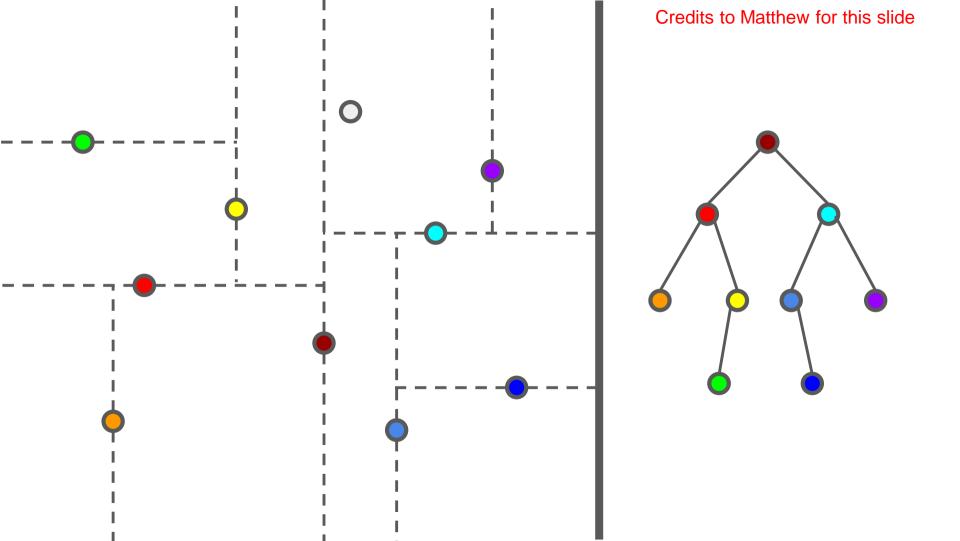


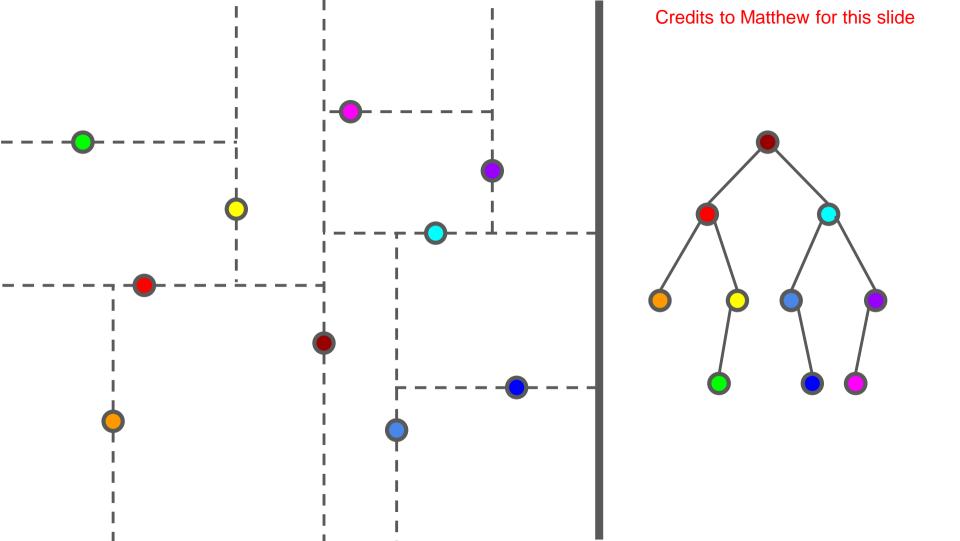












What is the running time of the construction algorithm?

- Find median element in x as root for the first level. Then build left tree and right tree
- Find median element in y as root for the second level. Then build left tree and right tree.

How can we find the median at each level? How fast can we do?

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Option 1: Sort at every level

- Runtime recurrence:  $T(n) = 2T(n/2) + O(n \log n)$
- Runtime: O(n log<sup>2</sup>n)

$$T(n) = 2T(\frac{y}{2}) + O(n\log n)$$

$$\frac{\text{work done}}{\text{nlogn}}$$

109 M

= n[ 10g (n. \frac{7}{4} \cdot \frac{7}{4} \cdot \cdot \cdot \cdot \cdot \frac{7}{4} \cdot \cdot

=  $n \log \left( \frac{n^{105}}{3\cdot 9\cdot n} \right) = O(n \log^2 n)$ 

T(n) = nlogn+nlog =+ ... + nlog 1

2001



How can we find the median at each level? How fast can we do?

Option 1: Sort at every level

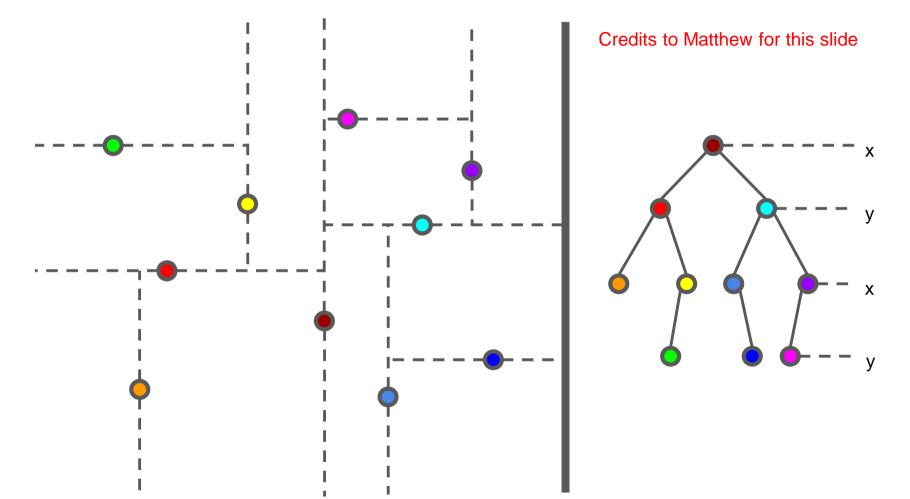
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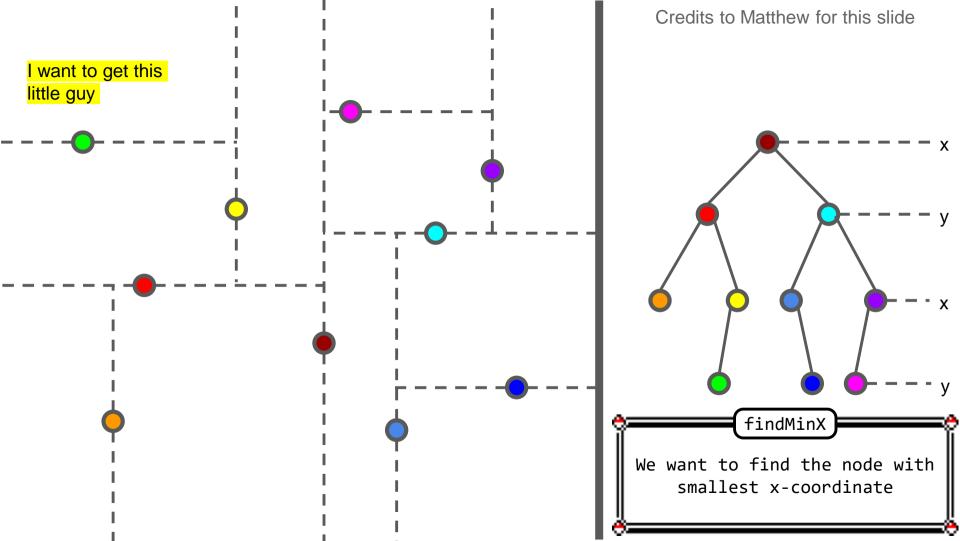
Option 2: Quickselect

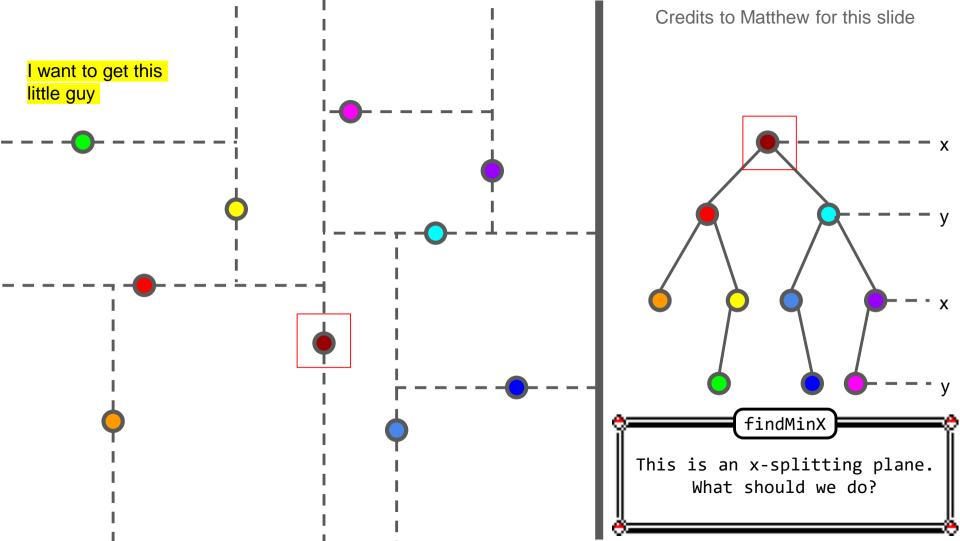
- Runtime recurrence: T(n) = 2T(n/2) + O(n)
- Runtime: O(n log n)

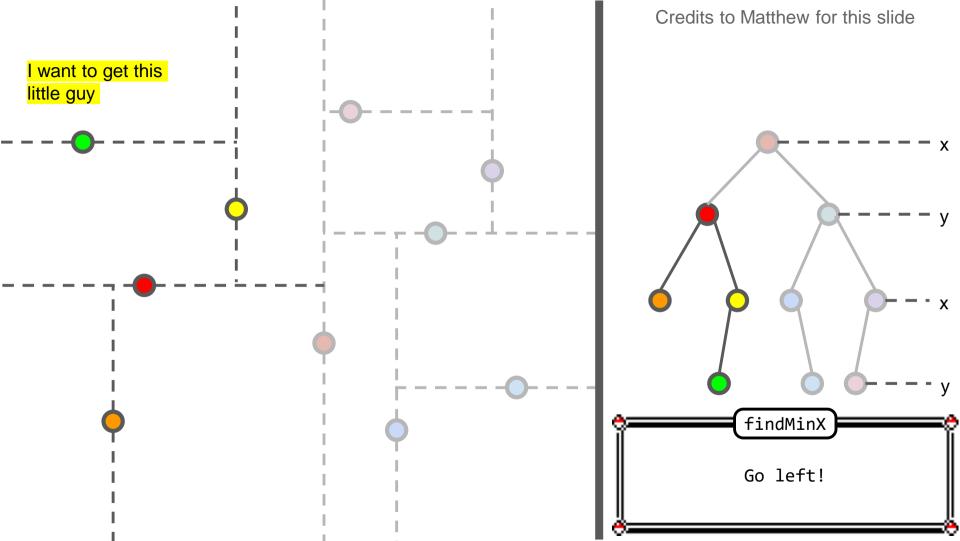
How would you find the element with the minimum (or maximum) x-coordinate in a kd-tree? How expensive can it be, if the tree is perfectly balanced?

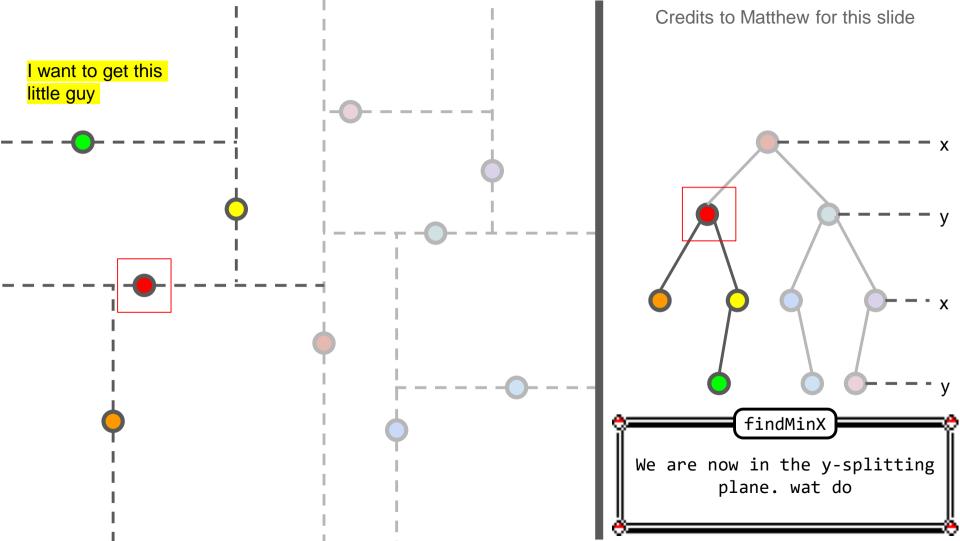
### Problem 2c: Min/Max x-coordinate in kd-Tree

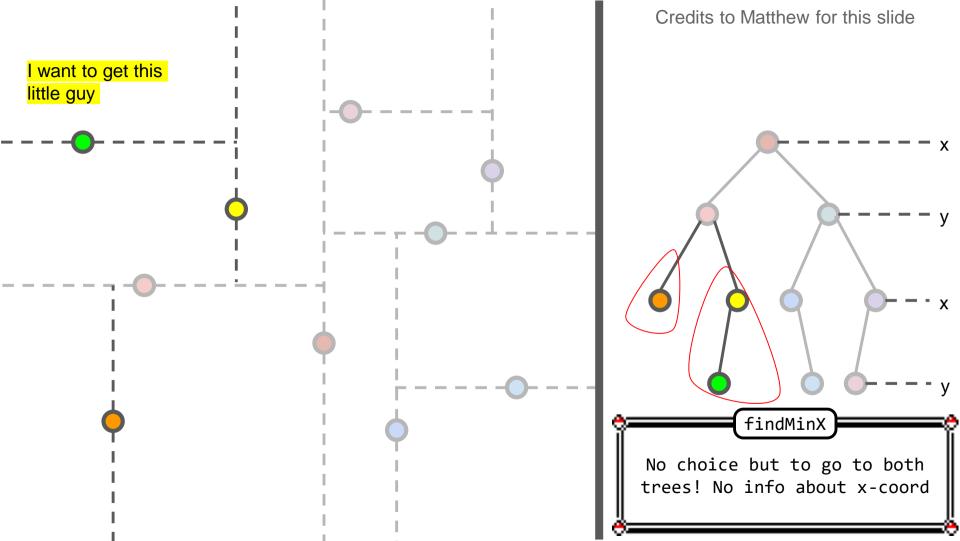












What's the recurrence relation?

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$$T(n) = 2T(n/4) + O(1)$$

n / 4 because we explore the subtree 2 levels down.

2T(n/4) because during the y-split, we have to explore both trees.

$$T(n) = 2T\left(\frac{n}{4}\right) + O(1) = O(\sqrt{n})$$

No. of nodes

What's the recurrence relation?

$$T(n) = 2T(n/4) + O(1)$$

n / 4 because we explore the subtree 2 levels down.

2T(n/4) because during the y-split, we have to explore both trees.

Runtime: O(√n)

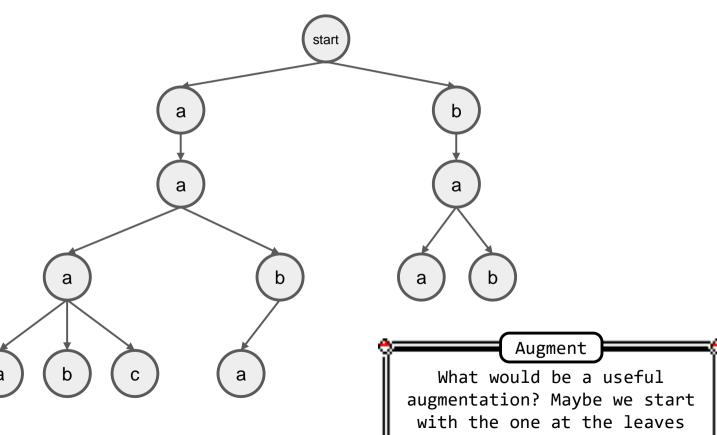
### **Problem 3: Tries**

Coming up with a good name for your baby is hard. Imagine you want to build a data structure to help answer these types of questions. Your data structure should support the following operations:

- insert(name, gender, count): adds a name of a given gender, with a count of how many babies have that name.
- countName(name, gender): returns the number of babies with that name and gender.
- countPrefix(prefix, gender): returns the number of babies with that prefix of their name and gender.
- countBetween(begin, end, gender): returns the number of babies with names that are lexicographically after begin and before end that have the proper gender.

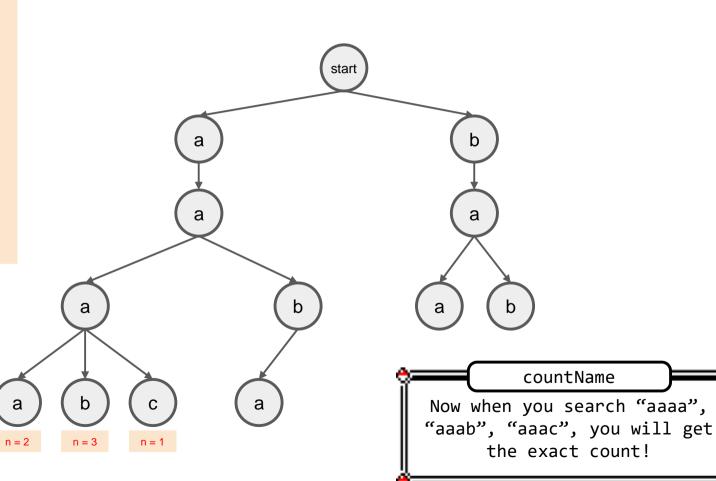


- aaac (1)"aaba" (5)
- "aab" (7)
- "baa" (6)
- "bab" (3)



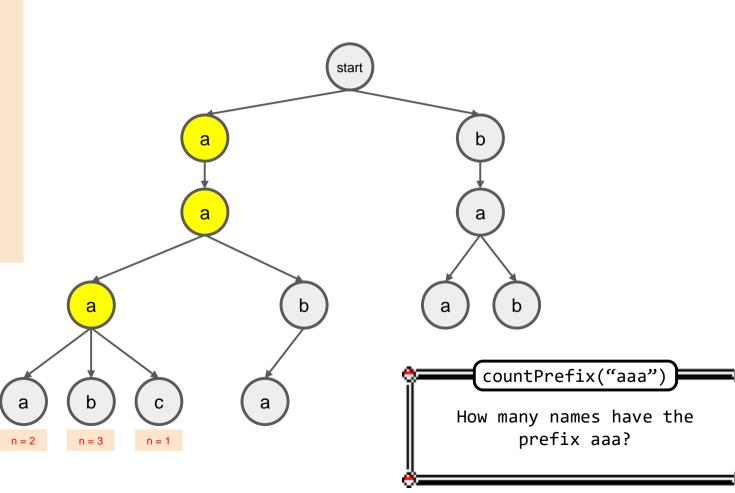
### Want to store:

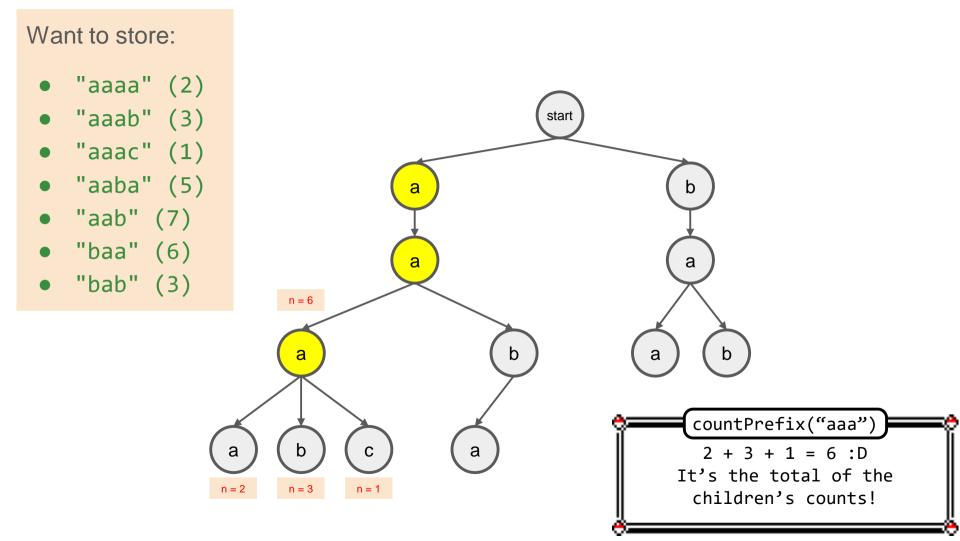
- "aaaa" (2)
- "aaab" (3)
- "aaac" (1)
- "aaba" (5)
- "aab" (7)
- "baa" (6)
- "bab" (3)

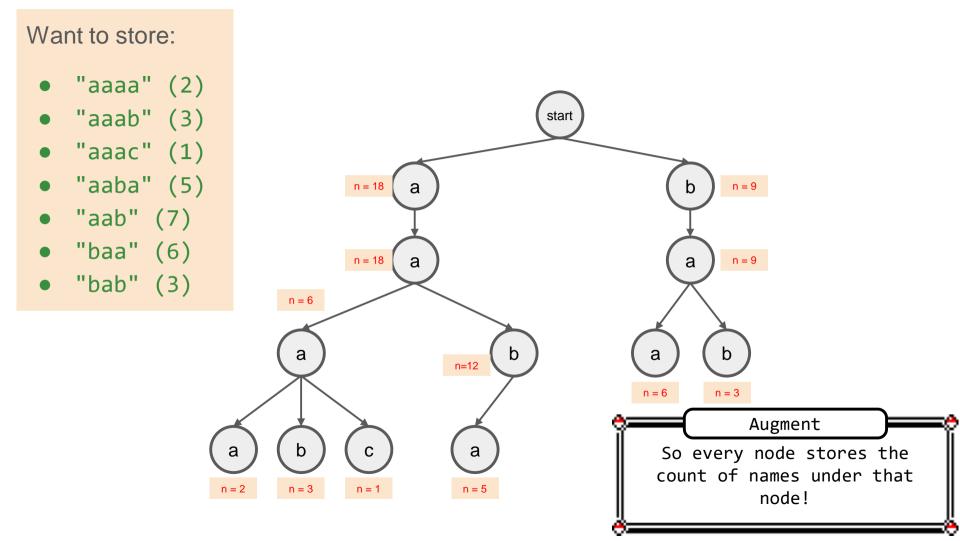


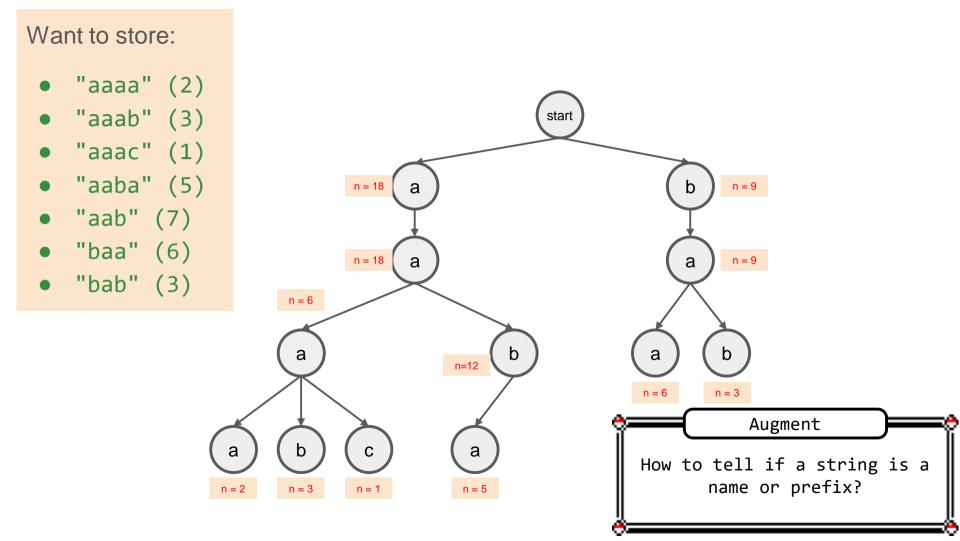


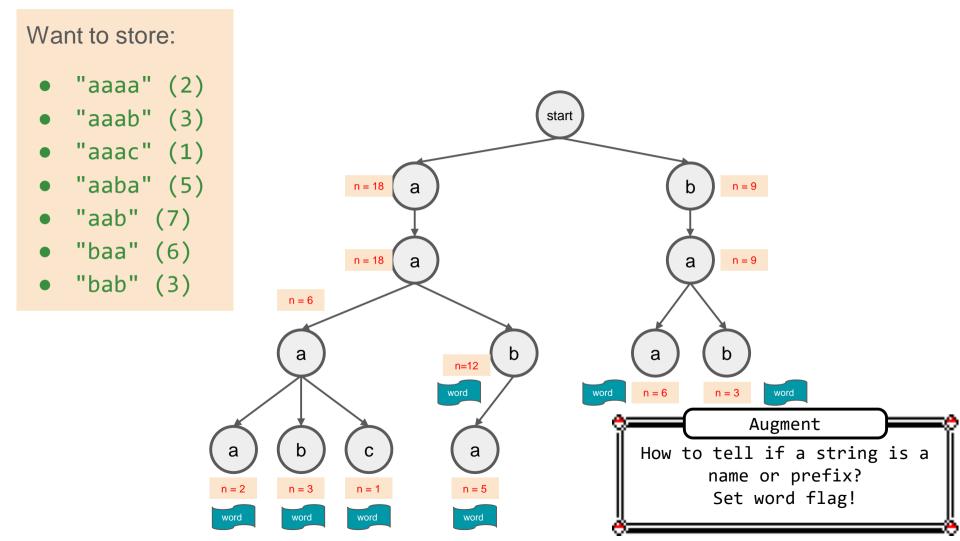
- "aaab" (3)
- "aaac" (1)
- "aaba" (5)
- "aab" (7)
- "baa" (6)
- "bab" (3)

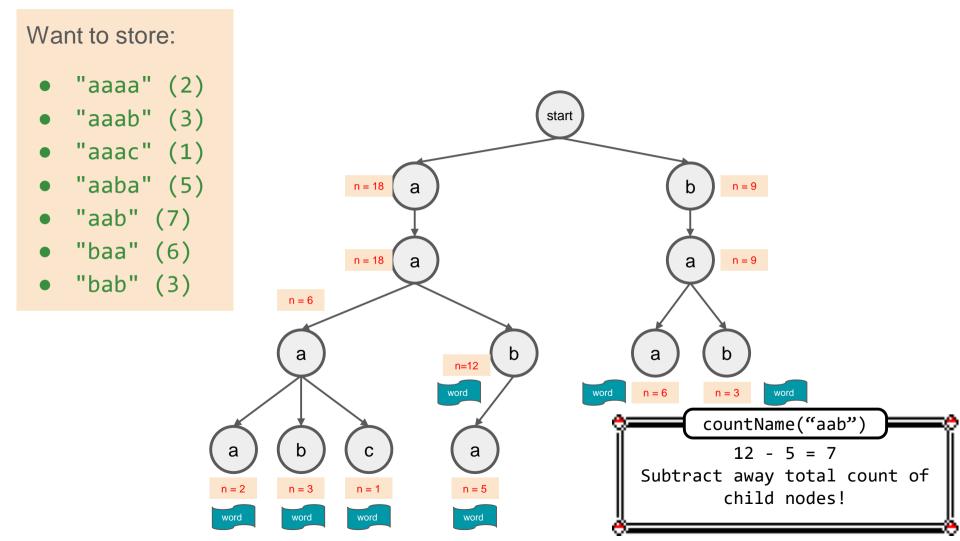


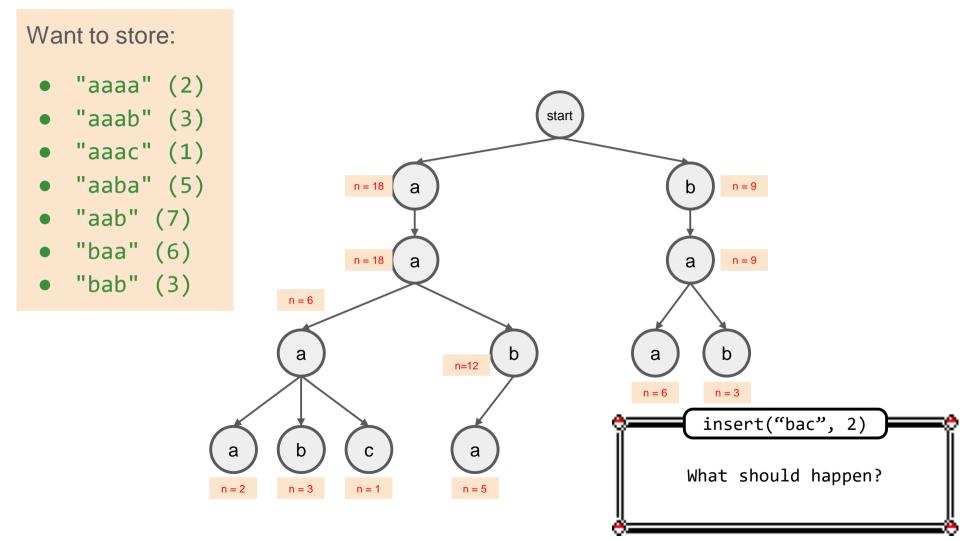


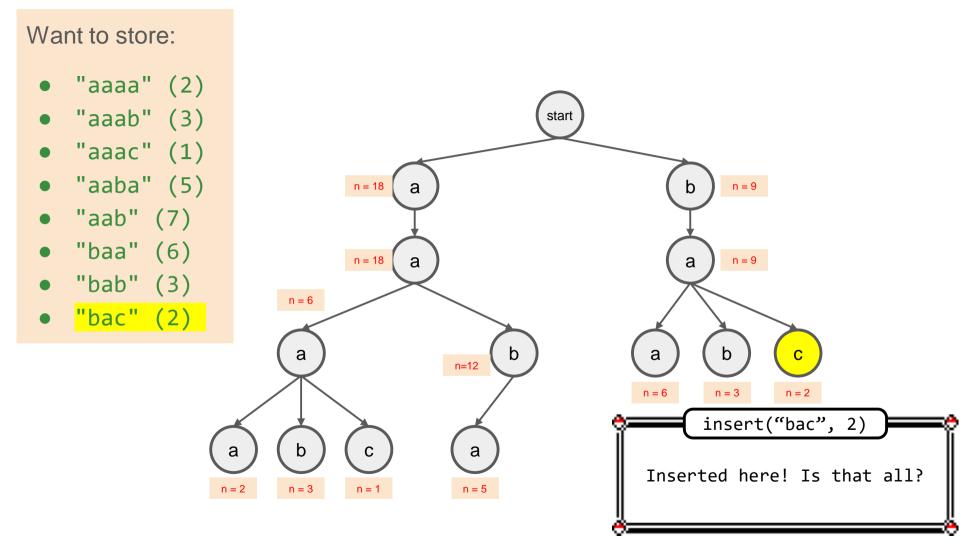


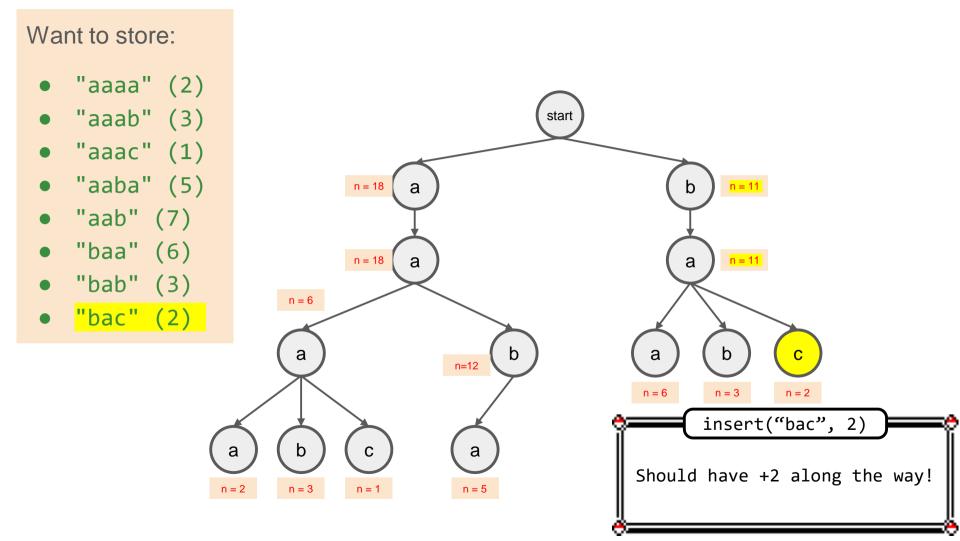




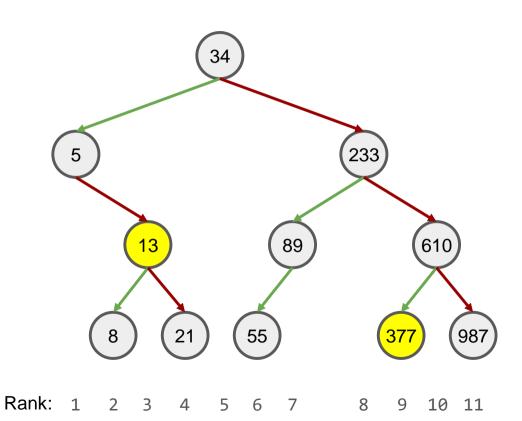






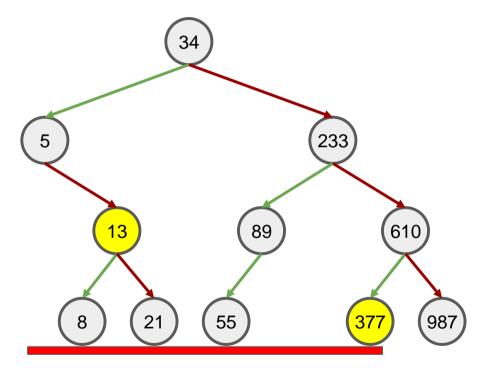


Intermezzo: Suppose given this AVL tree. How can you efficiently count the number of nodes between 13 and 377 (both exclusive)?



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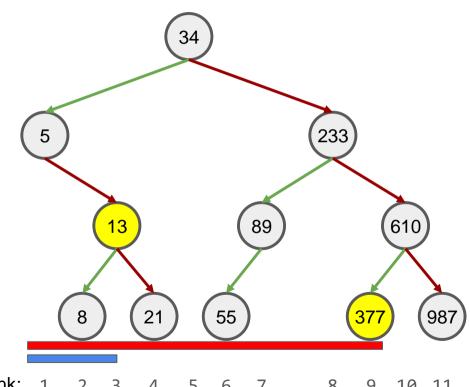
Take ranks! rank(377) covers 9 elements



Rank: 1 2 3 4 5 6 7 8 9 10 11

Intermezzo: Suppose given this AVL tree. How can you efficiently count the number of nodes between 13 and 377 (both exclusive)?

Take ranks! rank(377) covers 9 elements rank(13) covers 3 elements



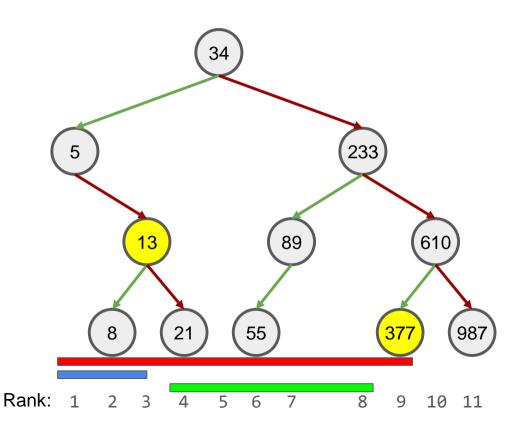
Rank:

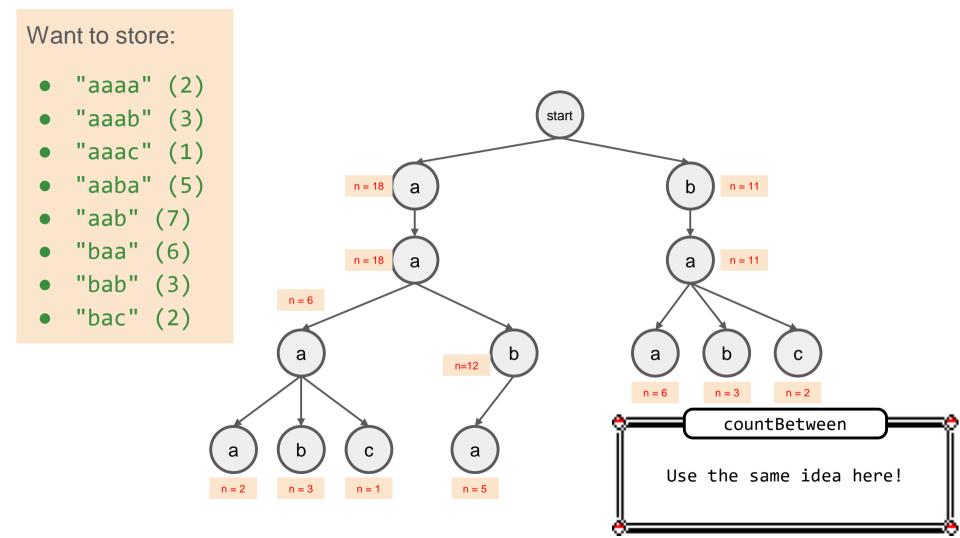
Intermezzo: Suppose given this AVL tree. How can you efficiently count the number of nodes between 13 and 377 (both exclusive)?

Take ranks!
rank(377) covers 9
elements
rank(13) covers 3
elements

Ans:

rank(377) - rank(13) - 1





# Problem 4: Bit Tries

Given an array of 32 bits unsigned positive integers, find 2 numbers such that their XOR is maximum.

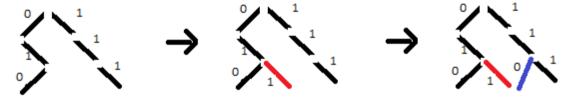
$x_1$	$x_2$	$x_1 \text{ XOR } x_2$		
0	0	0		
0	1	1		
1	0	1		
1	1	0		

XOR TABLE

### Problem 4: Bit Tries

Bit tries – where each node is either a 0 or a 1, representing the i-th bit's value where i = depth, counting from the MSB

### Adding an integer:



Let's consider 3 bit numbers only. 010 and 111 are already into the trie here.

We are going to insert 011 into the trie.

Since the first two bits, 01 are already there, we just have to add the 3rd bit 1 into the trie.

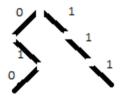
Now suppose we add 110 to the trie.

We add the third bit 0 to the trie.

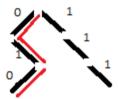
### Problem 4: Bit Tries

To find the largest value of an XOR operation, the value of XOR should have every bit to be a set bit i.e 1. In a 32-bit number, the goal is to get the most 1 set starting left to right.

### Example with 3 bits.



We have this already existing trie. Now, we want to maximise the xor with the number 100.



First bit is 1. So we'd like to have a 1 after XOR. So we go left side. So our answer is of the form "1xx". Next bit is 0. So we go right side. Our answer is now of form "11x".

For 3rd bit a 0 is there. So we'd like to go right. But we can't go to right.

Instead we'll continue with left only.

So, our answer is "110".

# SORTING JUMBLE (CS2040S 2020 MIDTERM)

# Sorting Jumble

The first column in the table below contains an unsorted list of words. The last column contains a sorted list of words. Each intermediate column contains a partially sorted list.

Each intermediate column was constructed by beginning with the unsorted list at the left and running one of the sorting algorithms that we learned about in class, stopping at some point before it finishes. Each algorithm is executed exactly as described in the lecture notes. One column has been sorted using a sorting algorithm that you have not seen in class. (Recursive algorithms recurse on the left half of the array before the right half.)

Identify which column was (partially) sorted with which sorting algorithm.

	Unsorted	A	В	C	D	E	F	Sorted
	Mary	Eddie	Eddie	Alice	Alice	Alice	Eddie	Alice
	Harry	Fred	Gina	Bob	Bob	Bob	Gina	Bob
	Patty	Gina	Harry	Carol	Carol	Carol	Harry	Carol
	Eddie	Harry	Kelly	Eddie	Dave	Dave	Fred	Dave
	Gina	Ina	Mary	Gina	Eddie	Eddie	Alice	Eddie
	Kelly	Kelly	Patty	Kelly	Fred	Fred	Ina	Fred
ubble election	Ina	Mary	Ina	Ina	Gina	Ina	Bob	Gina
sertion	Fred	Patty	Fred	Fred	Kelly	Harry	Kelly	Harry
erge uick(first	Alice	Alice	Alice	Dave	Mary	Gina	Carol	Ina
le pivot) Ione	Noah	Bob	Noah	John	Noah	Kelly	John	John
	Bob	Linda	Bob	Harry	Harry	John	Dave	Kelly
	Linda	Noah	Linda	Linda	Linda	Ophelia	Linda	Linda
	Carol	Carol	Carol	Mary	Patty	Patty	Mary	Mary
	John	Dave	John	Noah	John	Noah	Noah	Noah
	Dave	John	Dave	Patty	Ina	Mary	Ophelia	Ophelia
	Ophelia	Ophelia	Ophelia	Ophelia	Ophelia	Linda	Patty	Patty
	Unsorted	A	В	С	D	E	F	Sorted

## Sorting Jumble

- Do not just execute each sorting algorithm, step-by-step, until it matches one of the columns
  - ■You will run out of time if you do that
  - Only do so if you've already narrowed down to a few possible search algorithms and you cannot make use of any other invariants
- Think in terms of invariants that are true at every step of the algorithm!

Sorting Algorithm	Description	Invariant	Is stable?
Bubble Sort	"Bubble" the largest element to the end of the array through repeated swapping of out- of-order adjacent pairs (inversions)		Yes
Selection Sort	Select the minimum element and add it to the sorted region of the array by swapping. Repeat until all elements have been selected.		No
Insertion Sort	Select the first element in the unsorted region of the array and find where to place it in the sorted region. Repeat until all elements have been selected.		Yes
Merge Sort	Halve the array, recursively sort, then merge	Each subarray is already sorted when merging	Yes
Quick Sort (with first element pivot)	Partition around the first element, then repeat on subarrays	All elements to the left/right of the pivot are smaller/larger	No

nsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pivot a
Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary		
John	Dave	Noah		
Dave	John	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted		

Unsorted	A	Sorted	0 11 11	
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	<b>Bubble Sort</b>	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
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Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary		
John	Dave	Noah		
Dave	John	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted		

Unsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	<b>Bubble Sort</b>	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pivot a
Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary	Since the ordering	ng of elements in array A is different
John	Dave	Noah	from the unsort	ed array, we know that at least one
Dave	John	Ophelia	iteration of the sort was run. However, the largest element (Patty) is not at the end of the array. Thus,	
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted	` ',	gorithm A is not Bubble Sort.

Unsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pivot a
Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary		
John	Dave	Noah		
Dave	John	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted		

Unsorted	A	Sorted		
lary	Eddie	Alice	Sorting Algor	rithm
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
na	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	
oah	Bob	John		
ob	Linda	Kelly	Quick Sort (with first	
nda	Noah	Linda	element pivot)	
Carol	Carol	Mary	Since the orderi	r
ohn	Dave	Noah	from the unsort	֡֓֞֝ <u>֚</u>
Dave	John	Ophelia	iteration of the	
Ophelia	Ophelia	Patty	element (Alice)	
Unsorted	A	Sorted	sorting alg	

Unsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
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Ina	Mary	Gina		
Fred	Patty	Harry		
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Dave	John	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted		

Unsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pivot a
Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary	Since only the las	st element (Ophelia) is untouched, at
John	Dave	Noah	least $n-1$ iterati	ons of the sort was run. However, th
Dave	John	Ophelia	first $n-1$ elements of the array A are not sorted. Thu	
Ophelia	Ophelia	Patty		gorithm A is not Insertion Sort.
Unsorted	A	Sorted		,

nsorted	A	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Fred	Bob	Bubble Sort	
Patty	Gina	Carol		
Eddie	Harry	Dave	Selection Sort	
Gina	Ina	Eddie		
Kelly	Kelly	Fred	Insertion Sort	
Ina	Mary	Gina		
Fred	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pivot
Linda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary		
John	Dave	Noah		
Dave	John	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	A	Sorted		

sorted	A	Sorted		
ary	Eddie	Alice	Sorting Algorithm	Invariant
arry	Fred	Bob	Bubble Sort	
itty	Gina	Carol		
ddie	Harry	Dave	Selection Sort	
ina	Ina	Eddie		
Celly	Kelly	Fred	Insertion Sort	
na	Mary	Gina		
red	Patty	Harry		
Alice	Alice	Ina	Merge Sort	Each subarray is already sorted when
Noah	Bob	John		merging
Bob	Linda	Kelly	Quick Sort (with first	All elements to the left/right of the pive
inda	Noah	Linda	element pivot)	smaller/larger
Carol	Carol	Mary	Since each subarra	y (when split by powers of 2) is lo
ohn	Dave	Noah	sorted, sor	ting algorithm A is Merge Sort!
Dave	John	Ophelia		
Ophelia	Ophelia	Patty		

A

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivot a
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly		
Linda	Linda	Linda		
Carol	Carol	Mary		
John	John	Noah		
Dave	Dave	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	В	Sorted		

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	<b>Bubble Sort</b>	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivot a
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly		
Linda	Linda	Linda		
Carol	Carol	Mary		
John	John	Noah		
Dave	Dave	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	В	Sorted		

Sorted		
Alice	<b>Sorting Algorithm</b>	Invariant
Bob	<b>Bubble Sort</b>	
Carol		
Dave	Selection Sort	
Eddie		
Fred	Insertion Sort	
Gina		
Harry		
Ina	Quick Sort (with first	All elements to the left/right of the pivot are
John	element pivot)	smaller/larger
Kelly	Since the ordering	ng of elements in array B is different
Linda		ed array, we know that <b>at least one</b>
Mary		sort was run. However, the largest
Noah		, , , , , , , , , , , , , , , , , , ,
Ophelia	·	is not at the end of the array. Thus,
Patty	sorting ai	gorithm B is not Bubble Sort.

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

B

Eddie

Gina

Harry

Kelly

Mary

Patty

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

B

Ophelia

Patty

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivot a
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly		
Linda	Linda	Linda		
Carol	Carol	Mary		
John	John	Noah		
Dave	Dave	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	В	Sorted		

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivot are
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly	Since the ordering of elements in array B is different	
Linda	Linda	Linda	from the unsort	ed array, we know that <b>at least one</b>
Carol	Carol	Mary	iteration of the sort was run. However, the smallest	
John	John	Noah	element (Alice)	is not at the start of the array. Thus,
Dave	Dave	Ophelia	,	orithm B is not Selection Sort.
Ophelia	Ophelia	Patty	33.38 4.8	,
	44	111		

B

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivot a
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly		
Linda	Linda	Linda		
Carol	Carol	Mary		
John	John	Noah		
Dave	Dave	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	В	Sorted		

Unsorted	В	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Kelly	Dave	Selection Sort	
Gina	Mary	Eddie		
Kelly	Patty	Fred	Insertion Sort	
Ina	Ina	Gina		
Fred	Fred	Harry		
Alice	Alice	Ina	Quick Sort (with first	All elements to the left/right of the pivo
Noah	Noah	John	element pivot)	smaller/larger
Bob	Bob	Kelly	Since the first 6	elements are sorted and the last 1
Linda	Linda	Linda	elements are unto	uched, sorting algorithm B is Inser
Carol	Carol	Mary		Sort!
John	John	Noah		
Dave	Dave	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	В	Sorted		

nsorted	C	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Eddie	Dave	Selection Sort	
Gina	Gina	Eddie		
Kelly	Kelly	Fred	Quick Sort (with first	All elements to the left/right of the pivot
Ina	Ina	Gina	element pivot)	smaller/larger
Fred	Fred	Harry		
Alice	Dave	Ina		
Noah	John	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Mary	Mary		
John	Noah	Noah		
Dave	Patty	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	С	Sorted		

Sorted	
Alice	
Bob	
Carol	
Dave	
Eddie	
Fred	
Gina	
Harry	
Ina	
John	
Kelly	
Linda	
Mary	
Noah	
Ophelia	
Patty	
Sorted	

Unsorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

C

Alice

Bob

Carol

Eddie

Gina

Kelly

Ina

Fred

Dave

John

Harry

Linda

Mary

Noah

Patty

C

Ophelia

<b>Sorting Algorithm</b>	Invariant		
<b>Bubble Sort</b>			
Selection Sort			
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger		
Cinco the analogica of alone arts in announce is different			

Since the ordering of elements in array C is different from the unsorted array, we know that at least one iteration of the sort was run. However, the largest element (Patty) is not at the end of the array. Thus, sorting algorithm C is not Bubble Sort.

Unsorted	C	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Eddie	Dave	Selection Sort	
Gina	Gina	Eddie		
Kelly	Kelly	Fred	Quick Sort (with first	All elements to the left/right of the piv
Ina	Ina	Gina	element pivot)	smaller/larger
Fred	Fred	Harry		
Alice	Dave	Ina		
Noah	John	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Mary	Mary		
John	Noah	Noah		
Dave	Patty	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	С	Sorted		

Unsorted	C	Sorted
Mary	Alice	Alice
Harry	Bob	Bob
Patty	Carol	Carol
Eddie	Eddie	Dave
Gina	Gina	Eddie
Kelly	Kelly	Fred
Ina	Ina	Gina
Fred	Fred	Harry
Alice	Dave	Ina
Noah	John	John
Bob	Harry	Kelly
Linda	Linda	Linda
Carol	Mary	Mary
John	Noah	Noah
Dave	Patty	Ophelia
Ophelia	Ophelia	Patty
Uncorted	C	Sorted

<b>Sorting Algorithm</b>	Invariant
Bubble Sort	
Selection Sort	
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger

The first 3 elements of array C are sorted, but not the 4<sup>th</sup> element. If sorting algorithm C is Selection Sort, it must have been run for **no more than three iterations**. Regardless of whether 1, 2, or 3 iterations were run, Mary should be in Alice's original position in the array (due to swapping). However, this is not the case. Thus, sorting algorithm C is not Selection Sort.

Unsorted	C	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Eddie	Dave	Selection Sort	
Gina	Gina	Eddie		
Kelly	Kelly	Fred	Quick Sort (with first	All elements to the left/right of the pivot
Ina	Ina	Gina	element pivot)	smaller/larger
Fred	Fred	Harry		
Alice	Dave	Ina		
Noah	John	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Mary	Mary		
John	Noah	Noah		
Dave	Patty	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	С	Sorted		

Unsorted	C	Sorted
Mary	Alice	Alice
Harry	Bob	Bob
Patty	Carol	Carol
Eddie	Eddie	Dave
Gina	Gina	Eddie
Kelly	Kelly	Fred
Ina	Ina	Gina
Fred	Fred	Harry
Alice	Dave	Ina
Noah	John	John
Bob	Harry	Kelly
Linda	Linda	Linda
Carol	Mary	Mary
John	Noah	Noah
Dave	Patty	Ophelia
Ophelia	Ophelia	Patty
Unsorted	С	Sorted

<b>Sorting Algorithm</b>	Invariant
Bubble Sort	
Selection Sort	
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger

Since the first element in the unsorted array (Mary) appears in its sorted location in array C and the elements to the left/right of Mary are smaller/larger, sorting algorithm C is possibly Quick Sort. However, we cannot say for sure as array F has the same properties! We can either try to execute Quick Sort step-by-step (not recommended), or try to figure out if array F's identity can be determined to find out the identity of array C through elimination.

Unsorted	D	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Dave	Dave	Selection Sort	
Gina	Eddie	Eddie		
Kelly	Fred	Fred	Quick Sort (with first	All elements to the left/right of the pivot a
Ina	Gina	Gina	element pivot)	smaller/larger
Fred	Kelly	Harry		
Alice	Mary	Ina		
Noah	Noah	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Patty	Mary		
John	John	Noah		
Dave	Ina	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	D	Sorted		

Unsorted	D	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	<b>Bubble Sort</b>	
Patty	Carol	Carol		
Eddie	Dave	Dave	Selection Sort	
Gina	Eddie	Eddie		
Kelly	Fred	Fred	Quick Sort (with first	All elements to the left/right of the pivot a
Ina	Gina	Gina	element pivot)	smaller/larger
Fred	Kelly	Harry		
Alice	Mary	Ina		
Noah	Noah	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Patty	Mary		
John	John	Noah		
Dave	Ina	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	D	Sorted		

Sorted
Alice
Bob
Carol
Dave
Eddie
Fred
Gina
Harry
Ina
John
Kelly
Linda
Mary
Noah
Ophelia
Patty
Sorted

Unsorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

D

Alice

Bob

Carol

Dave

Eddie

Fred

Gina

Kelly

Mary

Noah

Harry

Linda

Patty

John

Ophelia

Ina

D

<b>Sorting Algorithm</b>	Invariant				
<b>Bubble Sort</b>					
Selection Sort					
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger				
Since the ordering	Since the ordering of elements in array D is different				

Since the ordering of elements in array D is different from the unsorted array, we know that at least one iteration of the sort was run. However, the largest element (Patty) is not at the end of the array. Thus, sorting algorithm D is not Bubble Sort.

Unsorted	D	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Dave	Dave	Selection Sort	
Gina	Eddie	Eddie		
Kelly	Fred	Fred	Quick Sort (with first	All elements to the left/right of the pivot a
Ina	Gina	Gina	element pivot)	smaller/larger
Fred	Kelly	Harry		
Alice	Mary	Ina		
Noah	Noah	John		
Bob	Harry	Kelly		
Linda	Linda	Linda		
Carol	Patty	Mary		
lohn	John	Noah		
Dave	Ina	Ophelia		
Ophelia	Ophelia	Patty		
Unsorted	D	Sorted		

rted		
ce	<b>Sorting Algorithm</b>	Invariant
ob urol	Bubble Sort	
e	Selection Sort	
	Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger
у	However, this is a	elements are at the start of array D. also the case for array E. As such, we but to execute Selection Sort. D:
h		
helia		
/		

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

D

Alice

Bob

Carol

Dave

Eddie

Fred

Gina

Kelly

Mary

Noah

Harry

Linda

Patty

John

Ina

D

Ophelia

Unsorted	D	E	Sorted	
Mary	Alice	Alice	Alice	
Harry	Bob	Bob	Bob	
Patty	Carol	Carol	Carol	
Eddie	Dave	Dave	Dave	
Gina	Eddie	Eddie	Eddie	
Kelly	Fred	Fred	Fred	
Ina	Gina	Ina	Gina	
Fred	Kelly	Harry	<ul> <li>Select the minimum element</li> <li>Add it to the sorted region of the ar swapping</li> </ul>	
Alice	Mary	Gina		
Noah	Noah	Kelly		swapping  Repeat until all elements have been se
Bob	Harry	John	Kelly	
Linda	Linda	Ophelia	Linda	
Carol	Patty	Patty	Mary	
John	John	Noah	Noah	
Dave	Ina	Mary	Ophelia	
Ophelia	Ophelia	Linda	Patty	
Unsorted	D	E	Sorted	

Unsorted	D	E	Sorted	
Mary	Alice	Alice	Alice	
Harry	Bob	Bob	Bob	
Patty	Carol	Carol	Carol	
Eddie	Dave	Dave	Dave	
Gina	Eddie	Eddie	Eddie	
Kelly	Fred	Fred	Fred	Selection sort
Ina	Gina	Ina	Gina	
Fred	Kelly	Harry	Harry	Select the minimum element
Alice	Mary	Gina	Ina	<ul> <li>Add it to the sorted region of the array by</li> </ul>
Noah	Noah	Kelly	John	swapping
Bob	Harry	John	Kelly	<ul> <li>Repeat until all elements have been selected</li> </ul>
Linda	Linda	Ophelia	Linda	
Carol	Patty	Patty	Mary	
John	John	Noah	Noah	
Dave	Ina	Mary	Ophelia	
Ophelia	Ophelia	Linda	Patty	
Unsorted	D	E	Sorted	

Unsorted	D	E	Sorted
Mary	Alice	Alice	Alice
Harry	Bob	Bob	Bob
Patty	Carol	Carol	Carol
Eddie	Dave	Dave	Dave
Gina	Eddie	Eddie	Eddie
Kelly	Fred	Fred	Fred
Ina	Gina	Ina	Gina
Fred	Kelly	Harry	Harry
Alice	Mary	Gina	Ina
Noah	Noah	Kelly	John
Bob	Harry	John	Kelly
Linda	Linda	Ophelia	Linda
Carol	Patty	Patty	Mary
John	John	Noah	Noah
Dave	Ina	Mary	Ophelia
Ophelia	Ophelia	Linda	Patty
Unsorted	D	E	Sorted

Unsorted	D	E	Sorted	
Ophelia	Ophelia	Linda	Patty	ט וא אפופגנוטוו אטו ני
Dave	Ina	Mary	Ophelia	D is Selection Sort!
John	John	Noah	Noah	Mary in array E is wrong. Thus, sorting algorithm
Carol	Patty	Patty	Mary	When executing Selection Sort, the position of
Linda	Linda	Ophelia	Linda	
Bob	Harry	John	Kelly	<ul> <li>Repeat until all elements have been selected</li> </ul>
Noah	Noah	Kelly	John	swapping
Alice	Mary	Gina	Ina	<ul> <li>Add it to the sorted region of the array by</li> </ul>
Fred	Kelly	Harry	Harry	
Ina	Gina	Ina	Gina	Select the minimum element
Kelly	Fred	Fred	Fred	Selection sort
Gina	Eddie	Eddie	Eddie	
Eddie	Dave	Dave	Dave	
Patty	Carol	Carol	Carol	
Harry	Bob	Bob	Bob	

Sorted

Alice

Unsorted

Mary

D

Alice

E

Alice

sorted	E	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Dave	Dave	Quick Sort (with first	All elements to the left/right of the pivot
Gina	Eddie	Eddie	element pivot)	smaller/larger
Kelly	Fred	Fred		
Ina	Ina	Gina		
Fred	Harry	Harry		
Alice	Gina	Ina		
Noah	Kelly	John		
Bob	John	Kelly		
Linda	Ophelia	Linda		
Carol	Patty	Mary		
John	Noah	Noah		
Dave	Mary	Ophelia		
Ophelia	Linda	Patty		

E

nsorted	E	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	<b>Bubble Sort</b>	
Patty	Carol	Carol		
Eddie	Dave	Dave	Quick Sort (with first	All elements to the left/right of the pivo
Gina	Eddie	Eddie	element pivot)	smaller/larger
Kelly	Fred	Fred		
Ina	Ina	Gina		
Fred	Harry	Harry		
Alice	Gina	Ina		
Noah	Kelly	John		
Bob	John	Kelly		
Linda	Ophelia	Linda		
Carol	Patty	Mary		
John	Noah	Noah		
Dave	Mary	Ophelia		
Ophelia	Linda	Patty		

E

Sorted
Alice
Bob
Carol
Dave
Eddie
Fred
Gina
Harry
Ina
John
Kelly
Linda
Mary
Noah
Ophelia
Patty
Sorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John Dave

Ophelia

Unsorted

E

Alice

Bob

Carol

Dave

Eddie

Fred

Ina

Harry

Gina

Kelly

John

Patty

Noah

Mary

Linda

E

Ophelia

Sorting Algorithm	Invariant
Bubble Sort	
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger

Since the ordering of elements in array E is different from the unsorted array, we know that **at least one** iteration of the sort was run. However, the largest element (Patty) is not at the end of the array. Thus, sorting algorithm E is not Bubble Sort.

Unsorted	E	Sorted		
Mary	Alice	Alice	Sorting Algorithm	Invariant
Harry	Bob	Bob	Bubble Sort	
Patty	Carol	Carol		
Eddie	Dave	Dave	Quick Sort (with first	All elements to the left/right of the pivot
Gina	Eddie	Eddie	element pivot)	smaller/larger
Kelly	Fred	Fred		
Ina	Ina	Gina		
Fred	Harry	Harry		
Alice	Gina	Ina		
Noah	Kelly	John		
Bob	John	Kelly		
Linda	Ophelia	Linda		
Carol	Patty	Mary		
John	Noah	Noah		
Dave	Mary	Ophelia		
Ophelia	Linda	Patty		

E

Sorted

_	Sor
	Bub
	Qui
	elen
	Sin

Sorted

Alice

Bob

Carol

Dave

Eddie

Fred

Gina

Harry

Ina

John

Kelly

Linda

Mary Noah

Ophelia

Patty

Sorted

Unsorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

E

Alice

Bob

Carol

Dave

Eddie

Fred

Ina

Harry

Gina

Kelly

John

Patty

Noah

Mary

Linda

E

Ophelia

<b>Sorting Algorithm</b>	Invariant
Bubble Sort	
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger
Since the position	of the pivot element Mary is incorrect,

sorting algorithm E cannot be Quick Sort.

Sorting Algorithm  Bubble Sort  Quick Sort (with first element pivot)  By elimination, sorting algorithm E is none of the 5 sorting algorithms!		
Quick Sort (with first elements to the left/right of the pivot are smaller/larger  By elimination, sorting algorithm E is none of the 5	<b>Sorting Algorithm</b>	Invariant
element pivot) smaller/larger  By elimination, sorting algorithm E is none of the 5	Bubble Sort	
,	· ·	All elements to the left/right of the pivot are smaller/larger
	•	5 5

Bob	Bob
Carol	Carol
Dave	Dave
Eddie	Eddie
Fred	Fred
Ina	Gina
Harry	Harry
Gina	Ina
Kelly	John
John	Kelly
Ophelia	Linda
Patty	Mary
Noah	Noah
Mary	Ophelia
Linda	Patty
E	Sorted

Sorted

Alice

Unsorted

Mary

Harry

Patty Eddie Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

E

Alice

Unsorted	F	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	Bubble Sort	
Patty	Harry	Carol		
Eddie	Fred	Dave	Quick Sort (with first	All elements to the left/right of the pivot
Gina	Alice	Eddie	element pivot)	smaller/larger
Kelly	Ina	Fred		
Ina	Bob	Gina		
Fred	Kelly	Harry		
Alice	Carol	Ina		
Noah	John	John		
Bob	Dave	Kelly		
Linda	Linda	Linda		
Carol	Mary	Mary		
John	Noah	Noah		
Dave	Ophelia	Ophelia		
Ophelia	Patty	Patty		

F

Sorted

Unsorted	F	Sorted		
Mary	Eddie	Alice	Sorting Algorithm	Invariant
Harry	Gina	Bob	<b>Bubble Sort</b>	
Patty	Harry	Carol		
Eddie	Fred	Dave	Quick Sort (with first	All elements to the left/right of the pivot a
Gina	Alice	Eddie	element pivot)	smaller/larger
Kelly	Ina	Fred		
Ina	Bob	Gina		
Fred	Kelly	Harry		
Alice	Carol	Ina		
Noah	John	John		
Bob	Dave	Kelly		
Linda	Linda	Linda		
Carol	Mary	Mary		
John	Noah	Noah		
Dave	Ophelia	Ophelia		
Ophelia	Patty	Patty		

F

Sorted

Sorted
Alice
Bob
Carol
Dave
Eddie
Fred
Gina
Harry
Ina
John
Kelly
Linda
Mary
Noah
Ophelia
Patty
Sorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

F

Eddie

Gina

Harry

Fred

Alice

Ina

Bob

Kelly

Carol

John

Dave

Linda

Mary Noah

Ophelia

Patty

F

<b>Sorting Algorithm</b>	Invariant	
<b>Bubble Sort</b>		
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger	
Since the largest $k$ elements are at the end of array F (and no other arrays have Patty at the end), sorting		

(and no other arrays have Patty at the end), sorting algorithm F is Bubble Sort!

Sorting Algorithm	Invariant
Quick Sort (with first element pivot)	All elements to the left/right of the pivot are smaller/larger
By the process o	of elimination, sorting algorithm C is Quick Sort!

Bob Bob Carol Carol Eddie Dave Gina Eddie Kelly Fred Gina Ina Fred Harry Ina Dave John John Harry Kelly Linda Linda Mary Mary Noah Noah

Sorted

Alice

Ophelia

Sorted

Patty

Unsorted

Mary

Harry

Patty

Eddie

Gina

Kelly

Ina

Fred

Alice

Noah

Bob

Linda

Carol

John

Dave

Ophelia

Unsorted

C

Alice

Patty

C

Ophelia

# ALGORITHM ANALYSIS (CS2040S 2020 MIDTERM)

For each of the following, choose the best (tightest) asymptotic function from among the given options. Some of the following may appear more than once, and some may appear not at all. Write the letter indicating the proper bound in the answer box.

Α. Θ(1)	B. $\Theta(\log n)$	C. $\Theta(n)$	D. $\Theta(n \log n)$
E. $\Theta(n^2)$	F. $\Theta(n^3)$	G. $\Theta(2^n)$	H. None of the above.

Α. Θ(1)	B. $\Theta(\log n)$	C. Θ(n)	D. $\Theta(n \log n)$
E. $\Theta(n^2)$	F. Θ(n <sup>3</sup> )	G. $\Theta(2^n)$	H. None of the above.

$$T(n) = \left(\frac{\sqrt{n}}{17}\right) \left(\frac{\sqrt{n}}{4}\right) + \frac{n \log n}{1000}$$

$$T(n) = \left(\frac{\sqrt{n}}{17}\right) \left(\frac{\sqrt{n}}{4}\right) + \frac{n \log n}{1000}$$

$$= \frac{n}{68} + \frac{n \log n}{1000}$$

$$= \Theta(n) + \Theta(n \log n)$$

$$= \Theta(n \log n)$$

Α, Θ(1)	B. $\Theta(\log n)$	C. $\Theta(n)$	D. $\Theta(n \log n)$
E. Θ(n <sup>2</sup> )	F. Θ(n <sup>3</sup> )	G. Θ(2 <sup>n</sup> )	H. None of the above.

$$T(n) = (2^n)(2^n)$$

```
T(n) = (2^n)(2^n)
= 2^{2n}
= \Theta(2^{2n})
```

$$T(n) = (2^n)(2^n)$$

$$= 2^{2n}$$

$$= \Theta(2^{2n})$$

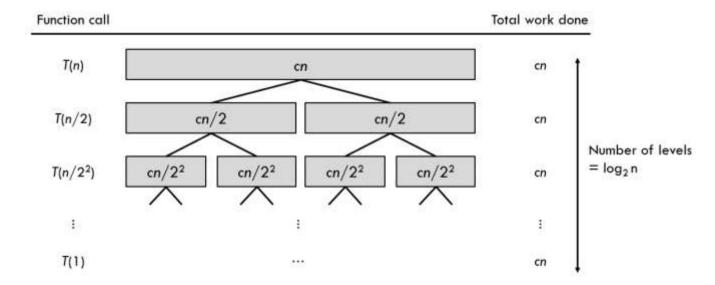
Note: 
$$\Theta(2^{2n}) \neq \Theta(2^n)$$
  
The constant in the exponent matters!  $\Theta(2^{2n}) = \Theta((2^n)^2)$ 

Α. Θ(1)	B. $\Theta(\log n)$	C. Θ(n)	D. $\Theta(n \log n)$
E. $\Theta(n^2)$	F. Θ(n <sup>3</sup> )	G. $\Theta(2^n)$	H. None of the above.

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$T(1) = 1$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$
$$T(1) = 1$$



$$T(n) = 2T\left(\frac{n}{2}\right) + n$$
$$T(1) = 1$$

- Given that
  - the total amount of work done in each level sums up to cn, and that
  - the height of the tree is  $h = \log_2 n$ ,
- we can then calculate the total amount of work done across all levels by multiplying the total amount of work done by the height of the tree.

$$cn \log_2 n = \Theta(n \log n)$$

```
A. \Theta(1) B. \Theta(\log n) C. \Theta(n) D. \Theta(n \log n) E. \Theta(n^2) F. \Theta(n^3) G. \Theta(2^n) H. None of the above.
```

```
public static int loopy(int n) {
    for (int i = 0; i < n; i++) {
        for (int j = 0; j < i; j++) {
            System.out.println("Hello.");
        }
    }
}</pre>
```

$$T(n) = \sum_{\substack{i=0\\n-1}} \sum_{j=0}^{n-1} 1$$

$$= \sum_{i=0}^{n-1} i$$

$$= 0 + 1 + 2 + 3 + \dots + (n-1)$$

$$= \frac{n(n-1)}{2}$$

$$= \Theta(n^2)$$

```
A. \Theta(1) B. \Theta(\log n) C. \Theta(n) D. \Theta(n \log n)
E. \Theta(n^2) F. \Theta(n^3) G. \Theta(2^n) H. None of the above.
```

```
public static int recursiveloopy(int n) {
    for (int i = 0; i < n; i++) {
        for (int j = 0; j < n; j++) {
            System.out.println("Hello.");
    if (n <= 2) {
        return 1;
    } else if (n % 2 == 0) {
        return recursiveloopy(n + 1);
    } else {
        return recursiveloopy(n - 2);
```

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        System.out.println("Hello.");
    }
}</pre>
```

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        System.out.println("Hello.");
    }
}</pre>
```

• During the i-th iteration of the outer for loop, the inner for loop runs for n iterations. If we add up all the iterations, we get:

$$T(n) = n(n)$$

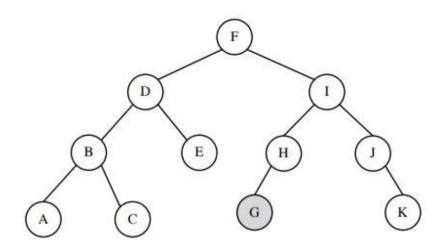
$$= n^2$$

$$= \Theta(n^2)$$

```
if (n <= 2) {
    return 1;
} else if (n % 2 == 0) {
    return recursiveloopy(n + 1);
} else {
    return recursiveloopy(n - 2);
}</pre>
```

```
if (n <= 2) {
    return 1;
} else if (n % 2 == 0) {
    return recursiveloopy(n + 1);
} else {
    return recursiveloopy(n - 2);
}</pre>
```

- recursiveloopy is called  $\Theta(n)$  times
- Overall, we get  $\Theta(n) \times \Theta(n^2) = \Theta(n^3)$



The previous operation inserted the node G, which then triggered a double-rotation. Which node was the grandparent of G (i.e., the parent of the parent of G) immediately after G was inserted and before the rotations were performed?

1. D

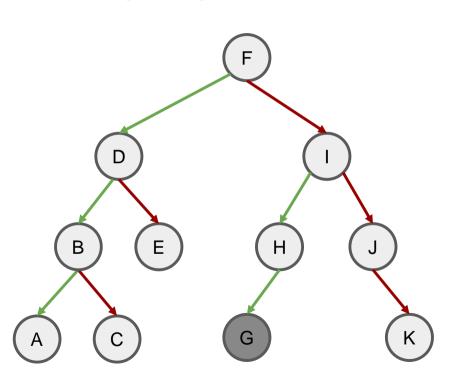
3. F

5. I

2. E

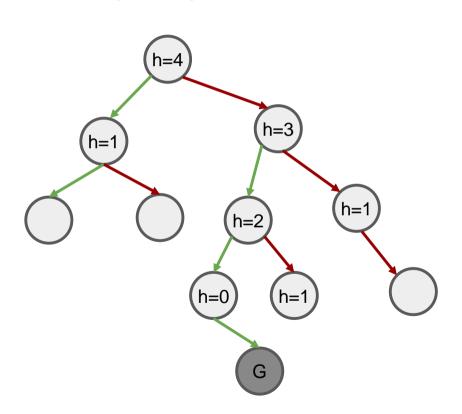
4. H

6. *J* 



Okay, so G made the right subtree of the grandparent left heavy

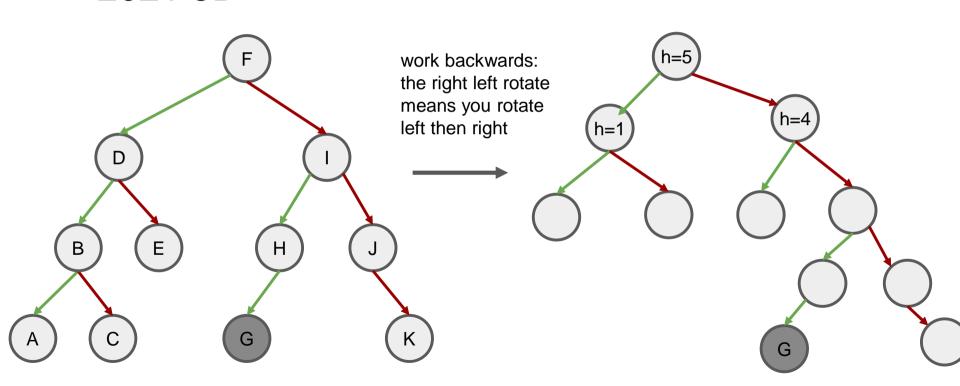
(great grandparent of G is  $F \rightarrow F$  is parent of G)

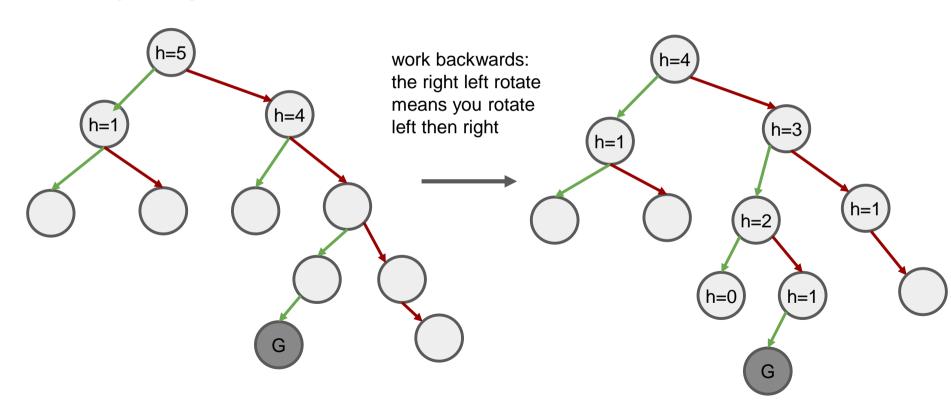


Okay, so G made the right subtree of the grandparent left heavy

- -> some shape like this?
- -> via a right rotate and a left rotate.

Let's just try to get this shape by picking a random place to reverseleft rotate and then reverse-right rotate





## MIDTERM SORTING (CS2040S 2021 MIDTERM)

```
superMidtermSort(int[] A)
     int d = 2
     int j = 0
     int n = A.length
     repeat
          d = 2^{2^i}
          midtermSort(A, d)
          if isSorted(A) return
          j = j + 1
     until d > n
                                 Assume we are using midtermSort(A, k) to sort an array A of unique elements where each
     MergeSort(A, 0, n-1)
                                 item is in an array position at a distance \leq k from its array position in the sorted array. For
                                 example, in the following array:
                                                                       3 10 11 12 7
                                                                    2
                                 Notice that each element is within distance k = 3 of its final position. The value in A[4] = 2
                                 belongs in position A[1], and 4-1 \le 3. Assume k \ge 2.
```

midtermSort(int[] A, int k)
 int n = A.length;

for (int j = 0; j <= n/k-1; j++)

MergeSort(A, jk, (j+2)k-1)

```
MergeSort(A, jk, (j+2)k-1)
                                                In each loop, sort two of the chunks.
superMidtermSort(int[] A)
                                               since the items are at most k distance away
    int d = 2
                                               from their true position (ie worst case they
    int j = 0
                                               are in the previous chunk or the next chunk),
    int n = A.length
    repeat
                                               after sorting each section twice (with the
         d = 2^{2^i}
                                               previous chunk, and the next chunk) they will
         midtermSort(A, d)
                                               get into the right place
         if isSorted(A) return
         j = j + 1
    until d > n
                              Assume we are using midtermSort(A, k) to sort an array A of unique elements where each
    MergeSort(A, 0, n-1)
                             item is in an array position at a distance \leq k from its array position in the sorted array. For
                              example, in the following array:
                                                                3 10 11 12 7
                              Notice that each element is within distance k = 3 of its final position. The value in A[4] = 2
                              belongs in position A[1], and 4-1 \le 3. Assume k \ge 2.
```

of k elements

midterm sort idea: splits the array into chunks

midtermSort(int[] A, int k)
 int n = A.length;

for (int j = 0; j <= n/k-1; j++)

#### **A.** Which of the following is always true:

- I. After each iteration of the loop, the array prefix A[0, (j+1)k-1] is sorted.
- II. After each iteration of the loop, the array prefix A[0, (j+2)k-1] is sorted.
- 1. Statement I.
- 2. Statement II.

- 3. Both Statements I and II.
- 4. Neither statement is true.

#### **A.** Which of the following is always true:

- I. After each iteration of the loop, the array prefix A[0, (j+1)k-1] is sorted.
- II. After each iteration of the loop, the array prefix A[0, (j+2)k-1] is sorted.
- 1. Statement I.
- 2. Statement II.

- 3. Both Statements I and II.
- 4. Neither statement is true.

```
midtermSort(int[] A, int k)
   int n = A.length;
   for (int j = 0; j<=n/k-1; j++)
        MergeSort(A, jk, (j+2)k-1)</pre>
```

#### **A.** Which of the following is always true:

- I. After each iteration of the loop, the array prefix A[0, (j+1)k-1] is sorted.
- II. After each iteration of the loop, the array prefix A[0, (j+2)k-1] is sorted.
- 1. Statement I.
- 2. Statement II.

- 3. Both Statements I and II.
- 4. Neither statement is true.

Because each item in the array is at an array position  $\leq k$  from its array position in the sorted array, after each iteration of the loop, the elements in A[0, (j+1)k-1] are the same as the sorted array.

#### **B.** Which of the following is always true:

- I. After each iteration of the loop, the array prefix A[0, (j+1)k-1] contains the (j+1)k-1 smallest elements in the array.
- II. After each iteration of the loop, the array prefix A[0, (j+2)k-1] contains the (j+2)k-1 smallest elements in the array.
- 1. Statement I.
- 2. Statement II.

- 3. Both Statements I and II.
- 4. Neither statement is true.

- **B.** Which of the following is always true:
  - I. After each iteration of the loop, the array prefix A[0, (j+1)k-1] contains the (j+1)k-1 smallest elements in the array.
  - II. After each iteration of the loop, the array prefix A[0, (j+2)k-1] contains the (j+2)k-1 smallest elements in the array.
  - 1. Statement I.
  - 2. Statement II.

- 3. Both Statements I and II.
- 4. Neither statement is true.

[2 marks]

**C.** If an element A[i] is initially in position i and ends in position  $i_{\ell} \leq i$  (when the sorting algorithm completes), then at the end of every iteration of the loop it is never moved to a position  $i_h > i$ : True or False?

**C.** If an element A[i] is initially in position i and ends in position  $i_{\ell} \leq i$  (when the sorting algorithm completes), then at the end of every iteration of the loop it is never moved to a position  $i_h > i$ : True or False? [2 marks]

- **C.** If an element A[i] is initially in position i and ends in position  $i_{\ell} \leq i$  (when the sorting algorithm completes), then at the end of every iteration of the loop it is never moved to a position  $i_h > i$ : True or False? [2 marks]
- Let us look at the first iteration that overlaps A[i]
  - A[i] must be in the second half of the subset of the array.
  - Case 1: A[i] ends up in the first half of the subset of the array that is being sorted
    - Then, its position is fixed after just 1 iteration and the statement is true
  - Case 2: A[i] ends up in the second half of the subset of the array that is being sorted
    - Then, in order for  $i_l \le i$  and  $i_h > i$ , the number of elements smaller than A[i] must be smaller in the second iteration than in the first iteration
    - This is impossible as the number of elements smaller than A[i] can only increase in the second iteration
    - Thus, the statement is true

**D.** Assume we run superMidtermSort(A) to sort an array A of unique elements where each item is in an array position at at distance  $\leq k$  from its array position in the sorted array. (In this case, note that k is not given to the algorithm.) What is the running time of the algorithm as a function of n and k? Give the tightest bound possible. [3 marks]

1.  $\Theta(k)$ 

4.  $\Theta(k \log n)$ 

7.  $\Theta(nk)$ .

2.  $\Theta(k \log k)$ 

5.  $\Theta(n\log^2 k)$ 

8. None of the above.

3.  $\Theta(n \log k)$ 

**D.** Assume we run superMidtermSort(A) to sort an array A of unique elements where each item is in an array position at at distance  $\leq k$  from its array position in the sorted array. (In this case, note that k is not given to the algorithm.) What is the running time of the algorithm as a function of n and k? Give the tightest bound possible. [3 marks]

1.  $\Theta(k)$ 

4.  $\Theta(k \log n)$ 

7.  $\Theta(nk)$ .

2.  $\Theta(k \log k)$ 

5.  $\Theta(n\log^2 k)$ 

8. None of the above.

3.  $\Theta(n \log k)$ 

```
int n = A.length;
    Midterm S
                        for (int j = 0; j <= n/k-1; j++)
                             MergeSort(A, jk, (j+2)k-1)
D. Assume we run s
                                                              elements where each
                                                              e sorted array. (In this
item is in an array pos
                   superMidtermSort(int[] A)
case, note that k is not
                                                              of the algorithm as a
                        int d = 2
function of n and k? G
                                                                         [3 marks]
                        int j = 0
  1. \Theta(k)
                                                              (nk).
                        int n = A.length
                        repeat
  2. \Theta(k \log k)
                                                              one of the above.
                             d = 2^{2^j}
  3. \Theta(n \log k)
                             midtermSort(A, n, d)
                             if isSorted(A) return
                             j = j + 1
                        until d > n
                        MergeSort(A, 0, n-1)
```

```
int n = A.length;
for (int j = 0; j<=n/k-1; j++)
    MergeSort(A, jk, (j+2)k-1)</pre>
    Midterm \S_{\binom{n}{t}}
D. Assume we run s
                                                                        elements where each
                                                                        e sorted array. (In this
item is in an array pos
                      superMidtermSort(int[] A)
case, note that k is not
                                                                        of the algorithm as a
                            int d = 2
function of n and k? G
                                                                                    [3 marks]
                            int j = 0
   1. \Theta(k)
                                                                        (nk).
                            int n = A.length
                            repeat
  2. \Theta(k \log k)
                                                                        one of the above.
                                  d = 2^{2^j}
  3. \Theta(n \log k)
                                  midtermSort(A, n, d)
                                  if isSorted(A) return
                                  j = j + 1
                            until d > n
                            MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k)
                          int n = A.length;
    Midterm S. (n)
                         for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)</pre>
                                                                   elements where each
D. Assume we run s
                                                             O(2k \log 2k)
item is in an array pos
                     superMidtermSort(int[] A)
                                                             = O(k \log 2k)
case, note that k is not
                                                            = O(k(\log 2 + \log k)) rks]
                          int d = 2
function of n and k? G
                                                             = O(k \log 2 + k \log k)
                          int j = 0
                                                            = O(k + k \log k)
  1. \Theta(k)
                          int n = A.length
                                                             = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                   me or the above.
                               d = 2^{2^j}
  3. \Theta(n \log k)
                               midtermSort(A, n, d)
                               if isSorted(A) return
                               j = j + 1
                          until d > n
                          MergeSort(A, 0, n-1)
```

this

as a

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm S. (n)
                         for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                   elements where each
D. Assume we run s
                                                            O(2k \log 2k)
item is in an array pos
                    superMidtermSort(int[] A)
                                                            = O(k \log 2k)
case, note that k is not
                                                            = O(k(\log 2 + \log k)) rks]
                          int d = 2
function of n and k? G
                                                            = O(k \log 2 + k \log k)
                          int j = 0
                                                            = O(k + k \log k)
  1. \Theta(k)
                          int n = A.length
                                                            = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                  me or the above.
                               d = 2^{2^j}
  3. \Theta(n \log k)
                               midtermSort(A, n, d)
                               if isSorted(A) return
                               j = j + 1
                          until d > n
                          MergeSort(A, 0, n-1)
```

this

as a

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm S. (n)
                          for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                    elements where each
D. Assume we run s
                                                             O(2k \log 2k)
item is in an array pos
                     superMidtermSort(int[] A)
                                                             = O(k \log 2k)
case, note that k is not
                                                             = O(k(\log 2 + \log k)) rks]
                          int d = 2
function of n and k? G
                                                             = O(k \log 2 + k \log k)
                          int j = 0
                                                             = O(k + k \log k)
  1. \Theta(k)
                          int n = A.length
                                                             = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                   me or the above.
                               d = 2^{2^j}
  3. \Theta(n \log k)
                    O(n \log d \text{ midtermSort}(A, n, d)
                               if isSorted(A) return
                               j = j + 1
                          until d > n
                          MergeSort(A, 0, n-1)
```

this

as a

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm Ş
                          for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                    elements where each
D. Assume we run s
                                                             O(2k \log 2k)
                                                                                    this
item is in an array pos
                     superMidtermSort(int[] A)
                                                             = O(k \log 2k)
case, note that k is not
                                                                                    as a
                                                             = O(k(\log 2 + \log k)) rks]
                          int d = 2
function of n and k? G
                                                             = O(k \log 2 + k \log k)
                          int j = 0
                                                             = O(k + k \log k)
  1. \Theta(k)
                          int n = A.length
                                                             = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                    me or the above.
                               d = 2^{2^j}
  3. \Theta(n \log k)
                    O(n \log d \text{ midtermSort}(A, n, d)
                                if isSorted(A) return
                          until d > n
                          MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm Ş
                          for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                    elements where each
D. Assume we run s
                                                             O(2k \log 2k)
                                                                                    this
item is in an array pos
                     superMidtermSort(int[] A)
                                                             = O(k \log 2k)
case, note that k is not
                                                                                    as a
                                                             = O(k(\log 2 + \log k)) rks]
                          int d = 2
function of n and k? G
                                                             = O(k \log 2 + k \log k)
                          int j = 0
                                                             = O(k + k \log k)
   1. \Theta(k)
                          int n = A.length
                                                              = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                    me or the above.
                                d = 2^{2^j}
  3. \Theta(n \log k)
                    O(n \log d \text{ midtermSort}(A, n, d)
                                if isSorted(A) return
                          until d > n O(\log \log n)
                          MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm $
                          for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                    elements where each
D. Assume we run s
                                                              O(2k \log 2k)
                                                                                     this
item is in an array pos
                     superMidtermSort(int[] A)
                                                              = O(k \log 2k)
case, note that k is not
                                                                                    as a
                                                              = O(k(\log 2 + \log k))
                          int d = 2
function of n and k? G
                                                              = O(k \log 2 + k \log k)
                           int j = 0
                                                              = O(k + k \log k)
   1. \Theta(k)
                          int n = A.length
                                                              = O(k \log k)
                           repeat
  2. \Theta(k \log k)
                                                                       or the above.
                                d = 2^{2^j}
  3. \Theta(n \log k)
                               midtermSort(A, n, d) However, d is actually bounded
                    O(n \log d)
                                if isSorted(A) return
                                                                 by k as once d > k,
                                                          midtermSort(A, d) sorts the array
                                                  O(n)
                                                             and isSorted(A) returns true
                          until d > n <del>O(loglogn)</del>
                          MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k) Overall O(n \log k)
                          int n = A.length;
    Midterm \S_{n}
                          for (int j = 0; j<=n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                    elements where each
D. Assume we run s
                                                             O(2k \log 2k)
                                                                                    this
item is in an array pos
                     superMidtermSort(int[] A)
                                                             = O(k \log 2k)
case, note that k is not
                                                                                    as a
                                                             = O(k(\log 2 + \log k))
                          int d = 2
function of n and k? G
                                                             = O(k \log 2 + k \log k)
                          int j = 0
                                                             = O(k + k \log k)
   1. \Theta(k)
                          int n = A.length
                                                              = O(k \log k)
                          repeat
  2. \Theta(k \log k)
                                                                       or the above.
                                d = 2^{2^j}
  3. \Theta(n \log k)
                    O(n \log d midtermSort(A, n, d) However, d is actually bounded
                                if isSorted(A) return
                                                                by k as once d > k,
                                                          midtermSort(A, d) sorts the array
                                                  O(n)
                                                             and isSorted(A) returns true
             O(\log \log k) until d > n O(\log \log n)
                          MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k) Overall O(n \log k)
     Midterm S_0(\frac{n}{k}) int n = A.length; for (int j = 0; j <= n/k-1; j++)

MergeSort(A, jk, (j+2)k-1)
                                                                                   elements where each
D. Assume we run s
                                                                           O(2k \log 2k)
O(n \log 2^{2^0}) + O(n \log 2^{2^1}) + O(n \log 2^{2^2}) + \cdots
                                                                                                      this
+ O\left(n\log 2^{2^{\log\log k}}\right) = O\left(n(2^0 + 2^1 + 2^2 + \dots + 2^{\log\log k})\right)
                                                                           = O(k \log 2k)
                                                                                                      as a
                                                                           = O(k(\log 2 + \log k)) rks
= O\left(n\sum_{i=0}^{\log\log k} 2^i\right) = O\left(n\left(\frac{2^{\log\log k + 1} - 1}{2 - 1}\right)\right)
                                                                           = O(k \log 2 + k \log k)
                                                                           = O(k + k \log k)
                                                                           = O(k \log k)
= O(n(2\log k - 1)) = O(n\log k)
                                                                                      or the above.
   3. \Theta(n \log k)
                         O(n \log d midtermSort(A, n, d) However, d is actually bounded
                                       if isSorted(A) return
                                                                              by k as once d > k,
                                                                       midtermSort(A, d) sorts the array
                                                             O(n)
                                                                          and isSorted(A) returns true
                 O(\log \log k) until d > n O(\log \log n)
                                MergeSort(A, 0, n-1)
```

**E.** Assume we run superMidtermSort(A) to sort an array A with the possibility of repeated elements. Is the resulting sorting algorithm stable? [2 marks]

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True, because merge sort is stable

F. Is the superMidtermSort(A) an in-place sorting algorithm?

[2 marks]

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[2 marks]

False, because merge sort (as defined in class) is not in-place

**G.** Assume instead we run InsertionSort(A) to sort the array A of unique elements where each item is in an array position at at distance  $\leq k$  from its array position in the sorted array. What is the running time of the algorithm as a function of n and k? Give the tightest bound possible. [3 marks]

1.  $\Theta(k)$ 

4.  $\Theta(k \log n)$ 

7.  $\Theta(nk)$ .

2.  $\Theta(k \log k)$ 

5.  $\Theta(n\log^2 k)$ 

8. None of the above.

3.  $\Theta(n \log k)$ 

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8. None of the above.

3.  $\Theta(n \log k)$ 

```
int n = A.length;
    Midterm S
                        for (int j = 0; j <= n/k-1; j++)
                             MergeSort(A, jk, (j+2)k-1)
G. Assume instead v
                                                             nique elements where
each item is in an arra
                                                              on in the sorted array.
What is the running ti superMidtermSort(int[] A)
                                                             ive the tightest bound
                        int d = 2
possible.
                                                                        [3 marks]
                        int j = 0
  1. \Theta(k)
                        int n = A.length
                        repeat
  2. \Theta(k \log k)
                                                              one of the above.
                             d = 2^{2^j}
  3. \Theta(n \log k)
                             midtermSort(A, n, d)
                             if isSorted(A) return
                             j = j + 1
                        until d > n
                        MergeSort(A, 0, n-1)
```

```
int n = A.length;
for (int j = 0; j<=n/k-1; j++)
    MergeSort(A, jk, (j+2)k-1)</pre>
    Midterm \S_{\binom{n}{t}}
G. Assume instead v
                                                                       nique elements where
each item is in an arra
                                                                        on in the sorted array.
What is the running ti superMidtermSort(int[] A)
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                            int j = 0
   1. \Theta(k)
                            int n = A.length
                            repeat
   2. \Theta(k \log k)
                                                                        one of the above.
                                  d = 2^{2^j}
   3. \Theta(n \log k)
                                  midtermSort(A, n, d)
                                  if isSorted(A) return
                                  j = j + 1
                            until d > n
                            MergeSort(A, 0, n-1)
```

```
midtermSort(int[] A, int k)
   Midterm S_0(\frac{n}{k}) for (int j = 0; j<=n/k-1; j++)

MergeSort(A, jk, (j+2)k-1)

O((2k)^2)
= O(4k^2)
G. Assume instead v
                                                                                   here
                                                                   O = O(k^2)
each item is in an arra
                                                                                   rray.
What is the running ti superMidtermSort(int[] A)
                                                                   ive the tightest bound
                          int d = 2
possible.
                                                                              [3 marks]
                          int j = 0
  1. \Theta(k)
                          int n = A.length
                          repeat
  2. \Theta(k \log k)
                                                                   one of the above.
                               d = 2^{2^j}
  3. \Theta(n \log k)
                               midtermSort(A, n, d)
                                if isSorted(A) return
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```
midtermSort(int[] A, int k) Overall O(nk)
    Midterm S_0(\frac{n}{k}) int n = A.length;
for (int j = 0; j <= n/k-1; j++)
MergeSort(A, jk, (j+2)k-1)
                                                                        O\left((2k)^2\right) = O(4k^2)
G. Assume instead v
                                                                                       here
                                                                       O = O(k^2)
each item is in an arra
                                                                                       rray.
What is the running ti superMidtermSort(int[] A)
                                                                       ive the tightest bound
                            int d = 2
possible.
                                                                                   [3 marks]
                            int j = 0
   1. \Theta(k)
                            int n = A.length
                            repeat
  2. \Theta(k \log k)
                                                                       one of the above.
                                 d = 2^{2^j}
   3. \Theta(n \log k)
                                 midtermSort(A, n, d)
                                 if isSorted(A) return
                                 j = j + 1
                            until d > n
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                                                                                     here
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                                                                                     rray.
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                                                                                 [3 marks]
                           int j = 0
   1. \Theta(k)
                           int n = A.length
                           repeat
  2. \Theta(k \log k)
                                                                     one of the above.
                                 d = 2^{2^j}
  3. \Theta(n \log k)
                         O(nd) midtermSort(A, n, d)
                                 if isSorted(A) return
                                 j = j + 1
                           until d > n
                           MergeSort(A, 0, n-1)
```

MergeSort(A, 0, n-1)

here

rray.