Response Letter to the Editor concerning the Submission entitled:

On the Prevalence of Condorcet's Paradox

Ms. Ref. No.: EJ MS20240844

Salvatore Barbaro and Anna-Sophie Kurella

December 30, 2024

Dear Amanda,

First of all, we would like to express our gratitude once again for the invitation to resubmit. You will notice that we have thoroughly revised the manuscript following a detailed consideration of the comments. In this letter, we aim to respond to your decision letter. In our separate *Letter to the Reviewers*, we address the specific comments made by the referees.

Before addressing your questions, please allow us to briefly outline the main revisions compared to the initial version:

- 1. The introduction now systematically presents the state of research and the research gap, linking the rather technical topic to recommendations for electoral reform.
- 2. Data and empirical strategies are described more clearly, and the questions and critiques raised in your letter and the reviewers' comments are addressed. Specifically, we clarify that we include all elections for which data is available for more than two parties or candidates.

Following your recommendation to address questions of statistical inference, we applied bootstrap methods.

While the initial version analysed presidential elections using party ratings, we now focus exclusively on presidential elections for which candidate ratings are available. Only in a few cases have we relied on party ratings, and then only when parliamentary and presidential elections occurred simultaneously. Additionally, we now utilise an updated version of the dataset that was recently published.

In the initial version, we interpreted ties as an indicator of cyclical majorities. Comments from the second reviewer regarding related literature led us to reconsider this approach and address it explicitly, which we have now done. 3. Alongside our main finding (that the Condorcet Paradox has virtually no empirical relevance), we present a range of new insights regarding who the Condorcet winners are, how often they make it into government, and how various electoral systems influence these outcomes.

1. How Novel is the Result?

In the thoroughly revised introduction, we outline the various research approaches to the question at hand and explain why they have provided only limited insights into the prevalence of the paradox. We refer to the recent survey of empirical studies (van Deemen 2014) and cite its conclusion that our knowledge of the relevance of the Condorcet Paradox remains unsettled. Furthermore, we highlight the research gap identified by Amartya Sen (2017), which calls for a cross-national empirical analysis. You criticised that readers may struggle to identify the marginal contribution of our paper. We believe this is now very clear.

You raised the question of whether our main finding is sufficiently surprising, given the prior conventional wisdom that the Condorcet Paradox occurs infrequently rather than commonly. We would like to address this concern as follows:

- 1. Empirical studies have repeatedly reported instances of cyclical majorities. While not described as a very frequent phenomenon (in contrast to the simulation-based research branch), it remained unclear how often these cases occur. Our result, which demonstrates that it practically does not occur, is novel.
- 2. The expectation of infrequent cases was based on empirical studies with several weaknesses, which we detail precisely in the paper's Introduction: the data are often estimated, or the studies are case-based. This is why the existing research indicates that our understanding of the empirical relevance is vague. Our contribution meets the standards set in the literature for a comprehensive study, encompassing multiple countries and various time periods.
- 3. Our analysis enables a range of observations that, to the best of our knowledge, are novel: Who are the Condorcet winners, and how effective are non-Condorcet voting methods at bringing Condorcet winners into political and administrative positions of responsibility? We also provide, as far as we know for the first time, insights into which party families are most frequently Condorcet winners and which parties are disproportionately affected by failing to join the government as Condorcet winners. We believe that, overall, we offer a range of new insights, especially considering that we have presented these findings in a highly condensed format within a short paper.

2. What Drives the Result?

We have followed the recommendations of the second reviewer by thoroughly addressing the question of which parties are Condorcet winners and how often they achieve governmental responsibility under different electoral systems. However, as you rightly point out, this analysis does not answer the question of *why* there are virtually no Condorcet Paradoxes. For such an analysis, we simply lack the necessary variance. Following your suggestion, we highlight this issue and encourage future researchers to address this question. You can find our discussion of this issue in lines 148–153.

Our initial approach involved searching for cyclical majorities below the level of the Condorcet winner.¹ This procedure is described in lines 138–147. However, even when we search for intransitive social orders, they are so exceedingly rare that we fail to observe sufficient variance.

Empirical Strategy

- (a) ... the paper provides too little information about the polling data used.
 - ... How many respondents are there?

Indeed, we provided too little information regarding the data and empirical strategy in the initial version. We are confident that the revised version comprehensively addresses this weakness. We refer to the new section "Data and Methods" (Section 2), particularly paragraphs 1 to 4. We have made an effort to highlight where some of the methods we applied have already been utilised in the existing literature.

(b) Do you use all available elections? Or have you selected 221? ... If you have, what determined the selection?

First, we would like to emphasise that, upon rereading our initial draft, this question is absolutely justified. We regret that we were not sufficiently precise on this point either. We excluded only those cases for which data were available for fewer than three parties or candidates. For these cases, it does not make sense to examine cyclical majorities. Otherwise, the dataset is fully utilised, and no further selection takes place.

The cases with only two ratings for parties or candidates amount to six in total. However, four of these are elections from the United States. We are aware that there is particular interest among the readership in analyses concerning the US. Unfortunately, the dataset does not allow for more in-depth analysis specifically for the US. While we find this regrettable, we consider it justifiable. After all, the core of this work does not lie in individual case studies but rather in providing a comprehensive perspective on preferences and their aggregation.

- (c) The paper assumes agents report truthfully. Why is this a good assumption in the context of this setting? ... might the institution / might culture influence whether subjects report truthfully?
- (d) The paper appears to assume that preferences are measured accurately, i.e., there is no noise in reports or measurement error. This is far from

¹As an example: there are cyclical majorities involving candidates B, C, and D; however, all voters prefer candidate A the most.

- a typical assumption. Why do we think it is a good assumption in this context?
- (e) As Referee 3 points out, it is not terribly helpful to point to what R package you use. Much more helpful would be a discussion of what is actually done.

Modest Comments